MINUTES OF THE REGULAR MEETING
OF THE
POWER AUTHORITY OF THE STATE OF NEW YORK

March 26, 2015

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Minutes of the Regular Meeting of the Power Authority of the State of New York held via videoconference at the following participating locations at approximately 9:00 a.m.

1) New York Power Authority, 123 Main Street, White Plains, NY
2) Monroe Community College, 1000 E. Henrietta Road, Rochester, NY

Members of the Board present were:

John R. Koelmel, Chairman
Joanne M. Mahoney, Vice Chair
Eugene L. Nicandri, Trustee
Jonathan F. Foster, Trustee
Terrance P. Flynn, Trustee
Dr. Anne M, Kress, Trustee – video conference

Gil Quiniones	President and Chief Executive Officer
Edward Welz	Chief Operating Officer
Robert Lurie	Executive Vice President and Chief Financial Officer
Justin Driscoll	Executive Vice President and General Counsel
Jill Anderson	Senior Vice President – Public and Regulatory Affairs
Jennifer Faulkner	Senior Vice President – Internal Audit
Joseph Kessler	Senior Vice President – Power Generation
James Pasquale	Senior Vice President – Economic Development & Energy Efficiency
Kristine Pizzo	Senior Vice President – Human Resources
Bradford Van Auken	Senior Vice President – Operations Support Services & Chief Engineer
Rocco Iannarelli	Acting Senior Vice President – Enterprise Shared Services
Kristen Barbato	Vice President – Customer Energy Solutions
John Canale	Vice President – Procurement
Thomas Concadoro	Vice President and Controller
Thomas Davis	Vice President – Financial Planning
Joseph Gryzlo	Vice President and Chief Ethics and Compliance Officer
Keith Hayes	Vice President – Marketing
John Kahabka	Vice President – Environmental, Health and Safety
Joseph Leary	Vice President – Community and Government Relations
Ethan Riegelhaupt	Vice President – Corporate Communications
Jack Joyce	Vice President – Project and Business Development
Karen Delince	Vice President and Corporate Secretary
Brian McElroy	Treasurer
Brian Liu	Deputy Treasurer
Robert Hopkins	Director – Budgets
Jinvit Kandapa	Director – Asset Investment – Investment Planning
Christine Schmitt	Director – Marketing Analysis and Administration
Scott Tetenman	Director – Finance
Mark Slade	Director – Licensing – Relicensing and Implementation
Travis Egle	Manager – Pricing and Energy Market Analysis
Gregory Jablonsky	Manager – Network Services – Infrastructure
Silvia Louie	Senior Project Manager – Executive Office/Public and Regulatory Affairs
Andrea Luongo	Project Manager – Project Management – Southeast New York
Glenn Martinez	Senior Network Analyst – Infrastructure
Susan Watson	Principal Attorney I – Contracts, Licensing and Environmental
Lorna Johnson	Associate Corporate Secretary
Sheila Baughman	Assistant Corporate Secretary
Trish Hennessy	Photographer – Video & Photographic Services

Chairman Koelmel presided over the meeting. Corporate Secretary Delince kept the Minutes.

Introduction

Chairman Koelmel welcomed the Trustees and staff members who were present at the Annual meeting. He said that the meeting had been duly noticed as required by the Open Meetings Law and called the meeting to order pursuant to the Authority's Bylaws, Article III, Section 3.

1. Adoption of the March 26, 2015 Proposed Meeting Agenda

Upon motion made and seconded, the meeting Agenda was adopted.

Conflicts of Interest

Vice Chair Mahoney, Trustees Flynn and Kress declared conflicts of interest as indicated below and said they would not participate in the discussions or votes as it relate to those matters:

Vice Chair Mahoney: ARCADIS of New York, Inc., CH2M HILL Engineering, PA, Wendel Energy Services, LLC, Whiteman Osterman & Hanna, O'Connell Electric (Item 2ci); Bond, Schoeneck & King, Hiscock & Barclay, Orrick, Herrington & Sutcliffe, (Item 2cii); New York State Canal Corporation, (Item 2ciii); Johnson Controls, (Item 2civ); Local Government Task Force (Item 4c).

Trustee Flynn: Solar Liberty Energy System, Inc. (Item 2ci); Nixon Peabody, (Item 2cii).

Trustee Kress: SUNY College of Nanoscience & Engineering (Item 2diii).

Chairman Koelmel and Trustees Nicandri and Foster declared no conflicts.

2. CONSENT AGENDA:

Upon motion made and seconded, the Consent Agenda was approved.

Vice Chair Mahoney, Trustees Flynn and Kress were recused from the votes as they relate to the companies previously indicated.

Trustee Nicandri commented that the Executive Summary of the agenda items provided by the Corporate Secretary is very useful and appreciated.

a. Governance Matters:

i. Approval of the Minutes

The Minutes of the Regular Meeting held on February 26, 2015 were unanimously adopted.

ii. Amendments to the Authority's Audit Committee Charter

The Chairman of the Audit Committee submitted the following report:

"SUMMARY

The Trustees are requested to adopt the Audit Committee Charter as amended and set forth in Exhibits '2a ii-A' and '2a ii-A-1' attached hereto. These amendments were approved by the Audit Committee at its March 26, 2015 meeting. The most significant amendments are discussed below.

BACKGROUND AND DISCUSSION

The Audit Committee Charter was last amended on February 23, 2010. In December of 2014, the Audit Committee requested that its Charter be evaluated against current practice at the Authority, as well as compared to the leading practices of comparable public authorities and utilities. The objective of the review was to identify gaps in information and processes and to provide recommendations for the Charter's enhancement. In addition, since 2010 there have been significant changes to the organizational structure of the Internal Audit Department, including the appointment of a new Chief Audit Executive on February 17, 2015.

The principal amendments to the Audit Committee Charter included in Exhibit '2a ii-A' are as follows:

- Enhanced explanation of Committee decision-making requirements, such as quorum and majority vote. These include provisions for: changing the number of committee members from three to no more than five; the addition of an alternate member; defining a quorum to be a majority of the number of regular Committee members; and a majority vote of the members present to take action on a matter.

- Expanded explanation of Committee responsibilities as it relates to Internal Audit, such as the authority over the appointment, dismissal, compensation and performance reviews of the Chief Audit Executive; the review of the activities, staffing and organizational structure of the Internal Audit Department, as well as elaboration on the discussion of significant risks reported in the Internal Audit reports (See Section C.3 a, b and e).

- Inclusion of Committee oversight responsibilities, as recommended by the Institute of Internal Auditors ('IIA'), such as periodic reporting to the Board, annual review of the Charter and conduct a self-evaluation (See Section C.3 j and k).

FISCAL INFORMATION

None.

RECOMMENDATION

The Executive Vice President and General Counsel, the Senior Vice President – Internal Audits and the Audit Committee recommend that the Trustees approve the proposed amendments to the Audit Committee Charter.

For the reasons stated, I recommend the approval of the above-requested action by adoption of the resolution below."

The following resolution, as submitted by the Chairman of the Audit Committee, was unanimously adopted.

RESOLVED, That the attached Audit Committee Charter be adopted in the form proposed in Exhibit "2a ii-A."

b. Rate Making:

i. Decrease in New York City Governmental Customer Fixed Cost Component – Notice of Adoption

The President and Chief Executive Officer submitted the following report:

"SUMMARY

The Trustees are requested to take final action to approve a decrease in the Fixed Cost component of the production rates by $2.5 million or 1.8%, excluding Astoria Energy II ('AEII') plant expenses to be charged in 2015 to the New York City Governmental Customers ('NYCGCs' or 'Customers'). The decrease would be effective with the March 2015 bills.

BACKGROUND

At their December 16, 2014 meeting, the Trustees directed the publication in the New York *State Register* ('*State Register*') of a notice that the Authority proposed to increase the Fixed Costs component of the production rates by 1.9% to be charged in 2015 to the Customers. The *State Register* notice was published on January 7, 2015 in accordance with the State Administrative Procedure Act ('SAPA'). The public comment period expired on February 23, 2015. The City of New York ('City') is the only one of the eleven NYCGCs who filed formal written comments.

As indicated in the December 16th memorandum to the Trustees, under the Customers' Long-Term Agreements ('LTAs'), the Authority must establish Fixed Costs based on Cost-of-Service ('COS') principles and may make changes only under a SAPA proceeding with the approval of the Trustees. As the memorandum also indicated, the LTAs establish two distinct cost categories: Fixed Costs and Variable Costs. Fixed Costs, which represent approximately 20% of the total production COS, include Operation and Maintenance ('O&M'), Shared Services, Capital Cost, Other Expenses (i.e., certain directly assignable costs), and a credit for investment and other income. Variable Costs, representing 60% of the total production costs, include items such as fuel, purchased power, transmission costs, etc. The remainder of the costs represents AEII plant expenses agreed to by contract.

DISCUSSION

Based on Customer comments received and staff's analysis, the final decrease in Fixed Costs sought by this action is $2.5 million. This represents a $5.1 million decrease from the proposed Fixed Costs estimate discussed at the December 16, 2014 Trustees' meeting. Under the LTAs, Customers' concerns must be considered in a confidential process prior to presenting any proposed changes to the Fixed Costs to the Trustees or issuing them for public comment. In 2014, numerous Customer data requests were presented to staff, and in all cases, responses to relevant questions were provided to the Customers.

As part of the SAPA process, the City submitted formal written comments to NYPA. The City's comments in its entirety are attached as Exhibit '2b i-A.' In their comments, the City stated that while the overall process of developing the COS was improved in 2014, the City still looks forward to a more transparent process as well as rates that are fair and reasonable. The City requests that the Board of Trustees further reduce the Fixed Costs from the level set forth in the Preliminary 2015 COS by approximately $20 million; extend the recovery period for fixed-rate debt service for the 500 MW plant to match the life of the plant; and undergo a comprehensive and independent management audit. Below are staff's analyses and recommendations addressing the public comments received on the Fixed Costs proposal.

1. Staff Analysis of Public Comments on Fixed Costs and Recommendations

Staff notes that the Fixed Costs have decreased noticeably since the end of 2010. Fixed Costs, exclusive of those associated with AEII, were $159.7 million in the 2011 Final COS; $159.7 million in the 2012 Final COS; $154.3 million in the 2013 Final COS; $138.3 million in the 2014 Final COS; and, if the Trustees accept staff's recommendations, $135.8 million for the 2015 COS. Over the five-year period, Fixed Costs have decreased by 15%.

In addition to decreasing Fixed Costs, the NYPA rate structure produced over $300 million in estimated production cost savings in 2014 versus what the Customers would have paid for similar Con Edison service, while receiving NYPA account management, load research, billing, system operations, risk management, scheduling and settlements services.

Staff Review of 2015 LTA Annual Process: During this cycle of the LTA annual process, NYPA staff has provided the Customers with abundant verifying information in the form of a comprehensive Preliminary 2015 COS and accompanying staff report. In addition, NYPA staff has responded to numerous data requests made during the discovery process.

The Preliminary 2015 Variable Costs were distributed to the NYCGCs on July 7, 2014 to assist NYPA and the NYCGCs in formulating their procurement plans for energy, capacity, and ancillary services for 2015. As agreed upon by NYPA and the NYCGCs, Fixed Costs were released later in the year, to capture final data used in NYPA's 2015 Official Budget which was approved by the Trustees on December 16, 2014.

On July 17, 2014, the City of New York submitted the first set of discovery questions on behalf of the NYCGCs, related to variable costs. There were 11 discovery requests, many of which contained multiple parts. NYPA responded two weeks later on July 30th, with a complete set of answers including various analyses. On July 31, 2014, the MTA followed the City of New York with a second set of 10 discovery questions related to variable costs, which were answered on August 12th.

On October 23, 2014, NYPA distributed the Capital Costs portion of the Fixed Costs figures to the NYCGCs, with the remaining Fixed Costs figures being distributed on November 21, 2014. A total of 26 discovery questions, some with multiple parts, were submitted by the City of New York and the MTA, which were answered by NYPA from November through January 5th. The questions focused on major and minor capital additions, costs associated with the 500 MW plant facility, a request for further information on the Poletti decommissioning project, inquiries surrounding the headquarters budget and the allocation of costs to the Southeast New York Customers ('SENY') and the small hydroelectric facilities ('Small Hydros'), questions on the use of consultants and contractors, and requests for financial presentations delivered to the rating agencies.

As a follow-up to the written responses, NYPA and the NYCGCs took part in a call on January 7th to clarify answers NYPA had provided. The NYCGCs were looking for additional explanation on capital additions and business case process, the Poletti decommissioning, the number of employees dedicated to SENY and the Small Hydros, and a few questions around risk. Follow-up items from that call were answered from January through February 13th.

Staff has attached one of NYPA's response packages as Exhibit '2b i-B,' which explains in detail NYPA's budgeted O&M and Shared Services expenses for 2015 for the Customers. Included in Exhibit '2b i-B' are explanations detailing how NYPA allocates its Shared Services expenses over its various customers and projects, and a mapping which demonstrate how the 2015 Budget figures, approved by the Trustees at their December 2014 meeting, flow into the NYCGCs COS. While the NYCGCs were generally tepid with praiseworthy comments, they did acknowledge that 'these changes by NYPA staff allowed the City to directly compare the COS information to the Budget information presented to the Board of Trustees. Overall, this approach was an improvement to the process compared to prior years.'

Equally important to the numerous responses provided to the NYCGCs, was an internal process NYPA developed during the early part of 2014 to mitigate the enterprise risk 'Increase in Non-Recurring O&M Expenses.'

The Increase in Non-Recurring O&M Expenses risk was one of two pilot enterprise risks that the Trustees were briefed on in 2014. Staff is sensitive to the level of non-recurring O&M expenses that are charged to various customers, or which have the potential to impact NYPA's net revenue. The mitigation plan called for: (1) better communication between NYPA's financial staff and NYPA's operational staff so that all internal parties understand the net revenue and customer rate impacts of proposed investment; and (2) a formalized system of O&M project prioritization and substantiation, utilizing a newly developed risk assessment and project prioritization ('RAPP') tool. The RAPP tool assesses risk factors associated with proposed projects to more effectively establish the hierarchy within the project portfolio. The risk mitigation plan was a cross functional effort between Finance and Operations departments, and it produced a 2015 budget plan that balanced reliability and operational needs against customer rate impacts.

Staff now provides its analysis and recommendations regarding seven issues raised by the City in their comments filed on February 23, 2015.

The following is a summary of the NYCGCs comments filed under SAPA proceedings and NYPA's responses.

Issue 1-A: The Rate of Increase of the Fixed Cost

Comments: The rate of increase of the Fixed Costs is excessive and unreasonable. The City included a table in their comments, indicating that parts of NYPA's Fixed Costs have grown by more than four times the inflation rate over the past five years. The City believes that there are many items included in the COS that are not justifiable.

Staff Analysis: Some clarification to the City's use of the term 'Fixed Costs' is in order. Fixed Costs, as stated above, have, in fact, gone down steadily over the past five years, not increased. Fixed Costs are comprised of Capital Costs (including Debt Service), Other Expenses, Direct Site O&M expenses, and Shared Services Expenses, which are mainly comprised of an allocated portion of Headquarter Expenses and Research & Development ('R&D') costs. It is the O&M and Shared Service expenses that the City is actually referencing in their analyses of Issue 1-A, Issue 1-B, Issue 1-E, Issue 4 and, presumably, Issue 7.

Staff disputes the claim that the rate of increase of Fixed O&M and Shared Services costs is excessive and unreasonable, and contends that costs presented are the necessary expenditures to safely and reliably operate the facilities that support the Customer load. Further, staff has been forthcoming and responsive to information requests from the NYCGCs.

Each year, NYPA provides the NYCGCs details that substantiate the proposed Fixed Costs in the COS. The documentation includes the major drivers in the proposed cost, a comparison with the previous year, explanations of projects, explanations and calculations of allocations, reconciliation to the approved Budget, and numerous other details and information supporting the cost. NYPA staff also responded to Customer questions, comments and concerns, by providing additional information including expanded explanations to assist their understanding of the component costs.

As noted by the Customers, there has been some above average growth in the O&M and Shared Services expenses. This growth is essentially driven by two components, the addition of AEII support costs and an increase in non-recurring costs (includes outage costs not covered in the Wood contract). AEII became operational in mid-2011, with 2012 being the first full year of operation. For 2011 and 2012, the NYCGCs did not pay for any of the NYPA non-lease costs associated with supporting this facility. The approximate $2.0 million dollar annual cost to support the facility was phased in during 2013 and 2014. The other major driver is the growth in non-recurring projects. In 2012, non-recurring projects accounted for $2.8 million, while in 2015 these costs are $8.2 million.

Non-recurring costs fluctuate from year to year depending upon such factors as wear and tear and the life cycle of equipment, new regulatory requirements, emerging issues, advances in technology that improve performance and safety, and available resources. All projects are vetted and prioritized on a company-wide basis. For 2015, there are several projects that are related to safety and regulatory requirements. These include cathodic

protection evaluation, noise reduction improvements and an arc flash study at the 500 MW plant, as well as a fish guidance study at the Crescent facility. There are several other projects that will maintain the reliability of the facilities that are dedicated to the Customer load. In addition, the 2015 O&M and Shared Services expenses include the non-recurring cost of a new risk system.

The NYCGCs have been provided a list and description of these non-recurring projects, which are a major factor in the increased costs in 2015.

Recommendation: Accordingly, staff recommends no overall reduction in either of the O&M or Shared Services expenses, based upon a growth rate that is primarily driven by new costs (AEII) and various non-recurring projects.

Issue 1-B: Excessive Personnel Costs

Comments: Excessive personnel costs have been allocated to the SENY cost category, including the assignment of 30 full-time equivalent positions, accounting for $4.1 million in site payroll as well as $1.0 million for consultants and contracted services. The NYCGCs are allocated $17.8 million of NYPA's headquarters costs and $2.1 million for site payroll, consultants, and NYPA headquarters staff for AEII. The NYCGCs are also allocated personnel costs related to the four Small Hydros, including 31 full-time equivalent people accounting for $4.5 million in site payroll plus $1.7 million for consultants and contracted services. The City questioned the reasonableness of these labor and consultant costs allocated to the NYCGCs, stating that NYPA has not provided information to justify said costs.

Staff Analysis: In response to the questions raised by the City about contractors and consultants supporting the facilities, they are utilized when special expertise is needed (mostly for non-recurring work), or if it is found to be more economical than hiring additional full-time staff. The majority of the $1.7 million for consultants and contractors consists of non-recurring maintenance work (mostly from the painting and concrete repair for the Crescent Tainter Gates and the Crescent Fish Guidance System) with the balance generated from other recurring maintenance. Information about labor, contractors and consultants has been provided in prior Customer responses.

The personnel staffing cited represents the budgeted labor of various NYPA departments required to meet the known operations and maintenance challenges for 2015. For the Small Hydro facilities, much of the staffing is associated with labor hours directly budgeted to projects and tasks at each facility. In the case of SENY and AEII, most of the personnel costs are directly assigned based upon annual surveys of the cost centers supporting these facilities. In all cases, these costs are year-specific and have been vetted at several levels before final approval in the 2015 Budget.

The Small Hydro facilities are dedicated to serving the NYCGC's load. In the 2015 Budget, there are 31 full-time equivalents ('FTE's') that are directly charged/assigned to these facilities. Twenty-two FTE's are from the Operations and Maintenance staff at the Blenheim-Gilboa and Clark facilities. The balance of the FTE's represent Real Estate, Environmental, Engineering, Project Management and site functions such as warehouse and purchasing that support Small Hydro work and projects. NYPA staff is often required to travel significant distances (from the Blenheim-Gilboa and Clark facilities) to the facilities, which is charged as working time to the cost center, in order to perform routine plant maintenance. Also, at some of the facilities, there are shoreline, recreational and environmental issues that must be addressed to comply with license requirements.

NYPA's support for SENY includes account management, load research, billing, system operations, risk management, scheduling and settlements. As stated in prior Customer responses, NYPA has indicated that there is no double counting of the FTE's that support SENY in the SENY headquarters cost allocation. As with the Small Hydro facilities, contractors and consultants are utilized when it is more economical or NYPA staff does not have the expertise to perform certain tasks. In 2015, contract services and consultants will be primarily associated with a new risk software solution, market analysis for pipeline construction and fuel costs, and other miscellaneous services.

NYPA staff also supports AEII with its fuel operations, market analysis and hedging and energy resource management. In 2015, contract services will be utilized for market price data gathering and hedge strategy consulting.

Recommendation: Staff believes these costs are justified and should not be reduced.

Issue 1-C: Capital Investments at the Small Hydro's

Comments: A greater assessment and scrutiny of capital investments in the Small Hydros are needed. The City voiced the necessity for undertaking and presenting to Customers a cost-benefit analysis for all Small Hydro capital projects. The City questioned why NYPA needed to rebuild a turbine at Jarvis and why NYPA fabricated replacement parts, instead of procuring replacement parts from the original equipment manufacturer. The City also stated that NYPA does not follow standard utility accounting practices as NYPA does not follow the Uniform System of Accounts. Specific examples were provided to demonstrate this point, including the Jarvis rebuild and the replacement of the tainter gate at the Crescent facility both being categorized as maintenance items rather than major capital investments. As such, the City requested the Board of Trustees to direct NYPA staff to determine and make the necessary adjustments to the Fixed Costs.

Staff Analysis: Regarding additional capital expenditures at the non-economic Small Hydro plants, the responsibility to maintain these facilities is grounded in several principles, foremost of which is NYPA's obligation under its FERC operating license. NYPA is required to not only operate the dams, but to also maintain the waterways in which the facilities exist. Additionally, the Small Hydros fall under NYPA's mission of upholding the stewardship of natural resources in the State of New York, while providing green energy for consumption within the state.

There are currently two capital projects underway at the Small Hydros: (1) Units 3 & 4 overhauls and (2) control upgrades at Crescent and Vischer Ferry. Once these upgrades are complete, O&M costs at the two facilities are expected to decrease. Had the work not been done, however, NYPA projects that there would have been a significant increase in O&M costs, and decreased reliability in the operation of the plants.

In response to the comments about the Jarvis facility rebuild, details from the scope-of-work indicate that the damage sustained by components of the turbine, caused by loosened bolts and normal wear and tear, resulted in the required repair of a number of components, not replacement. Based upon this 'repair' classification documented in NYPA's Conceptual Project Review ('CPR') database, the accounting determination was to expense rather than capitalize. Similarly, the rebuild of Unit #1 was classified in the CPR as preventative maintenance on the unit, which included adjusting the runner blades, steel weld overlay on runner sockets, and sealing system, etc. These items are repairs to the turbine, which are classified as O&M.

In response to the City's question about fabrication of parts rather than purchase, NYPA explored the options of purchasing the parts from Andritz Hydro AG ('Andritz'), the vendor who owns all of the rights and technical information from the Original Equipment Manufacturer ('OEM'), Bell Products, Inc. Andritz does not have these parts in stock, and would have fabricated them for NYPA's specific order. NYPA also contracted with Lucius Pitkin, Inc. to obtain samples of the metallurgical and heat transfer specifications on the existing machinery, which NYPA used to develop technical and performance specifications for replacement parts. Those specifications and requirements were used in a competitive bidding process, for which several different metal fabrication companies were chosen to provide the necessary parts. Purchasing the parts from various vendors as a result of the competitive bidding process versus acquiring them from Andritz saved approximately $150,000.

Regarding accounting classifications, NYPA maintains its books and records in accordance with generally accepted accounting principles, and its annual financial statements are audited by independent public accountants. Further, NYPA follows utility accounting practice when determining whether expenditures are categorized as capital or maintenance items.

Under this method, NYPA establishes Retirement units which denote the smallest items or components of plant and equipment which NYPA will account for in its capital accounts as a Unit of Property. The smaller

components that make up the designated Units of Property are identified as Minor items of property. Costs incurred in connection with additions or replacements of Retirement units of property are accounted for as Capital, while costs incurred in connection with additions or replacements of Minor items of property are accounted for as Maintenance. NYPA applies this methodology consistently in Capital versus Expense determinations at all of its facilities.

Lastly, with respect to the point about the tainter gates at the Crescent facility, had this been a replacement of the gates, it would have been classified as Capital. Since the work only includes painting and inspection, it is classified as an O&M expense.

Recommendation: In the future, NYPA will perform a formal cost-benefit analysis for new, large capital projects at the Small Hydro facilities, and will provide justification for major expenditures to the NYCGCs upon request. In regard to the rebuild at the Jarvis facility, as detailed in this response, the expenditures were for required repairs to damaged parts, which are necessary for operation of the plant. These expenses were reviewed and approved by NYPA's management as part of the normal course of business, and should not require further review by the Trustees. As to the tainter gates at the Crescent operation, the expense items are for painting and inspection, not replacement. These are also normal course of business expenses which are reviewed by NYPA's management, and do not require Trustee review.

Issue 1-D: Variable Debt Interest Rate

Comments: The interest rates charged to the NYCGCs are excessive and are not consistent with the requirements of the LTA. In response to the City's objection of these rates last year, NYPA's staff stated that these interest rates were essentially locked-in when the LTA was executed in 2005. The City stated that NYPA's position has no contractual or factual basis, and the LTA did not freeze short-term variable interest rates at their 2005 levels. The City acknowledged that NYPA uses a variety of debt instruments, and their interest rates vary and can be higher than the commercial paper rate. However, the commercial paper interest rate is 0.75%, according to NYPA's approved budget for 2015. NYCGCs are being charged between 4.5% and 6.5% for commercial paper debt service expense. The City recalculated the Fixed Costs using interest rates of 2.0% and 3.0% for those items for which interest was included, other than fixed debt instruments. As a result, the City proposed that the Fixed Costs be reduced by $6.0 million, but not less than $4.0 million.

Staff Analysis: NYPA initially set the interest rate on the 500 MW plant variable rate debt based on an approximation of market rates at the time the LTA was executed, and NYPA was willing to assume the risk that actual rates would be higher. In order to allow the NYCGCs to benefit from expected lower short-term market rates going forward, NYPA is willing to share this risk with the NYCGCs by artificially fixing the interest rate on the variable rate debt at 2.5%. The lower rate will be used beginning with the 2015 COS through the stated expiration term of the LTA (December 31, 2017). The result will decrease 2015 COS expenses by approximately $4.0 million.

The decrease in rates does not affect variable rate debt expense associated with the Small Hydro projects. The debt matured on February 13, 2015 and the debt service was levelized over the term at the request of the NYCGCs.

Recommendation: NYPA will lower the fixed interest rate to 2.5%, resulting in a decrease of approximately $4.0 million in the 2015 COS.

Issue 1-E: Costs Associated with Consultants and Contractors

Comments: The use of consultants and contracted services is unjustified and should be rejected. Compared to 2014, contracted services costs have increased by more than 35% and the cost for consultants has increased by almost 82%. NYPA has not justified why the work being done by contractors and consultants to perform recurring maintenance work could not be performed by the 31 FTE's assigned to the Small Hydros or the 1,075 NYPA employees assigned to operations. The work performed by contractors and consultants accounts for over $8.0 million in the Fixed Costs. NYPA has not provided sufficient granularity on the contractors and

consultants work for the City to properly review. As a result, the City asserts that the contracted services costs should be reduced by $4.0 million and consultant costs should be reduced by $800,000 in order to align these costs with the levels from prior years.

Staff Analysis: Staff disagrees with this comment. In responses to Customer questions during the January 7th conference call, it was stated that the increase in these costs is directly attributed to increased non-recurring work (including outage work not covered under the Wood contract). NYPA provided a list and description of non-recurring projects that are included in the 2015 COS. As stated previously, these projects have been vetted and prioritized, and represent NYPA's assessment of the required work in 2015 to maintain the safe and reliable operation of the facilities and functions that support the NYCGCs.

NYPA elects to execute certain work with consultants and contractors when it has been determined that it will cost the Customers more to acquire the staff's expertise than is required. This generally applies to one-time, periodic or specialized work that is less than full-time work. This is especially true of the non-recurring and outage work.

Regarding recurring work, NYPA uses contractor support for maintenance at the 500 MW plant, contractors to service HVAC, scaffolding, water sampling, waste removal, and assembly and disassembly of the flash boards at the Small Hydro facilities, etc. As stated in the conference call, NYPA continually reviews its contract services to determine whether it is more economical to use NYPA employees or contractor support. At this time, there is currently no surplus of labor available to eliminate these costs.

Recommendation: Based upon the explanation provided, staff disagrees with the comments and states the included costs are justified and should not be reduced.

Issue 1-F: Vehicle-Related Costs at the 500 MW Plant are Unjustified and Excessive

Comments: Vehicle related costs are unjustified and excessive. Since 2006, NYPA has purchased 17 vehicles, other than forklifts, for the 500 MW plant and Poletti. Given that Poletti ceased operating in 2008, it is presumed that NYPA is not continuing to make capital investments in a closed facility. As such, the purchase of 17 vehicles to operate a single, fixed location facility seems excessive. The vehicle related costs should be reduced to encompass only one or two vehicles.

Staff Analysis: NYPA staff has reviewed the Customers' assertion that 17 vehicles are assigned to the 500 MW plant, and provides the following analysis.

NYPA commences the recovery of its capital expenditures on a two-year lag basis. For example, capital expenditures incurred in 2013 are first included in the 2015 COS, 2014 expenditure in the 2016 COS, etc. These costs are recovered over a number of years in a straight line method. For these vehicles which include pickup trucks, SUVs and passenger vehicles, the recovery period is five years.

The 17 vehicles cited by the City represent the total purchases between 2007 and 2013. Of this total, five vehicles have already been retired (as they have exceeded their useful life) and are not included in the 2015 COS. The remaining 12 vehicles, which are included in the 2015 COS, supported the operation and maintenance of the 500 MW plant during 2013. The vehicles supported the security, warehouse, operations, metering and other functions at the site. NYPA's Fleet Management division reviews and assesses all vehicle requests. The review includes usage, need and other parameters that would establish a justification for the purchase. This division is also tasked with rightsizing and optimizing fleet efficiency.

Recommendation: Staff contends that the 12 vehicles at the site in 2013 are justified. Therefore, staff recommends that the full cost of the 12 existing vehicles remain in the fixed cost, and no adjustment to the Fixed Cost be made.

Issue 1-G: Black Start Costs at the 500 MW Plant

Comments: The black start charges must be reviewed. The NYCGCs have been charged a total of $726,785 for black start equipment at the 500 MW plant since 2008. Given that the 500 MW plant does not have black start capability, the City requests that these costs be removed from the Fixed Costs.

Staff Analysis: As part of the 500 MW plant interconnection agreement with Con Edison, dated August 5, 2004, NYPA was required to establish a technical and economical black start capability for the 500 MW plant. After initial analyses and discussions with Con Edison, it was apparent that the black start capability at the 500 MW plant was technically feasible but not economically justified. The payback period for the project was approximately 70 years, far exceeding the useful life of the asset. Thus, the project was abandoned in favor of a technically feasible and more economic black start capability utilizing NYPA's Harlem River and Hell Gate plants.

The City is correct in its assertion that if the 500 MW plant participated in the black start program, the costs would be recovered through the NYISO, and not directly through the Customers. Since the project did not materialize, costs could not be recovered in this manner. Therefore, this cost represents the abandoned cost for the project with a twenty-year recovery period.

Recommendation: In reviewing the project costs, staff identified a credit that will be applied in 2015, thus reducing the project cost by $170,000. The impact on the 2015 Fixed Cost will be a reduction of approximately $12,000.

Issue 1-H: Inconsistent Accounting Treatment of Spare Parts between Poletti & 500 MW Plant

Comments: The methodology for charging the NYCGCs for spare parts between Poletti and the 500 MW plant are inconsistent. The NYCGCs were informed by NYPA that they would be charged the costs of parts for Poletti when placed into service, rather than when NYPA acquired the inventory. The NYCGCs are being charged for spare transformers at the 500 MW plant prior to entering service. As such, the City asserts the charges for spare parts costs are not consistent. The City requests the carrying costs for the spare transformers at the 500 MW plant be removed from the development of future years' COS. Conversely, if the treatment of the spare parts costs is consistent with NYPA's accounting practices, NYPA has been double-charging the NYCGCs for the Poletti materials and supplies inventory; all historical and current charges for such inventory should then be reversed and the NYCGCs credited for those improper charges.

Staff Analysis: In the 2011 Final COS, an analysis of NYPA's material and supply inventory system resulted in a determination that $7.9 million of Poletti inventory was obsolete beyond salvage value. The $7.9 million is being written off over seven years, which is the remaining term of the LTA, including NYPA's opportunity cost at 4.25% per year. This inventory is classified as Normal inventory. Normal inventory purchases are charged to O&M or Capital at the point of use at the power plant.

Capital Spare Parts are acquired spare parts or auxiliary equipment which are specifically fabricated for particular fixed assets in a capital project, maintained in immediate proximity of the capital project, and required during the installation/testing phase or held for emergency back-up after the capital project is put into service. The costs associated with these items are depreciated/amortized over the useful life of the asset, and recovered from the Customers over time. The spare transformer at the 500 MW plant is classified as a Capital Spare Part and, as such, is charged to the Customers over time from acquisition rather than the point at which the item is taken out of inventory and put into service.

The remaining spare part inventory at the 500 MW plant, which is classified as Normal Inventory, is treated the same way as the Normal Inventory was treated at Poletti in which purchases are charged to O&M or Capital at the point of use at the power plant.

Recommendation: NYPA is consistent in its method of accounting for inventory at the Poletti and 500 MW plant. Staff therefore recommends no changes to inventory charges.

Issue 2: Recovery Period for the 500 MW Plant's Fixed Rate Debt Service Expense

Comments: The recovery period for the 500 MW plant's fixed rate debt service expense should be extended to match the service life of the unit. The City requests NYPA extend the recovery period for the fixed rate debt service expense to no less than 30 years, thereby reducing the Preliminary 2015 Fixed Costs by $20 million.

Staff Analysis: The charging of annual debt service cash flow for capital recovery purposes by non-investor-owned utilities such as NYPA is not uncommon for public power utilities (namely governmental and municipal electric utilities), and it has been the method used by NYPA in every COS developed for the NYCGCs since they became NYPA's customers in the mid-1970's. Debt Service consists of principal and interest payments to bondholders. These bondholders must be paid what they are due. Typically, the bonds and their accompanying debt service cash flow are of a 20-year to 30-year duration, which does not necessarily directly correspond to the useful lives of the assets being financed.

When the City states that capital investment in a facility is recovered over its entire life, they are referencing, for the most part, a situation involving an investor-owned utility and for asset functions such as transmission or distribution that remain regulated by an overseeing entity such as a State Public Utility Commission or the Federal Energy Regulatory Commission ('FERC'). The Generation function is the part of the utility business that has been separated from the regulatory framework and is open to competition. Absent the regulatory compact, generators are subject to either the vagaries of the energy and capacity markets, or trying to reduce risk and achieve revenue stability by entering into contractual arrangements with parties on a mutually consenting basis. The LTAs between the NYCGCs and NYPA is one such mutual and voluntarily entered-into agreement, where the payment of debt service has been codified as one of the fixed expenses where NYPA is granted recovery. In return for the payment of fixed expenses, the NYCGCs receive the net position of the 500 MW plant's output.

The City states that it conducted an analysis that shows that NYPA does not experience any real risk with the 500 MW plant investment recoveries if it were to lower its debt service charges to the levels requested by the City. Though this is a possibility, it raises the question of why NYPA should assume the chance of any additional risk, while all of the benefits of the 500 MW plant fully flow to the Customers. The net benefit/cost of the 500 MW plant is the energy margin revenues (energy sales minus fuel costs) plus avoided installed capacity costs minus fixed expenses (which includes fixed debt service). In the 2015 Preliminary COS provided to the NYCGCs on December 19, 2014, the energy margin plus installed capacity avoided costs equaled roughly $150 million in projected benefit versus about $106 million in total fixed expenses for a net benefit in the $45 million range. This potential benefit accrues fully to the NYCGCs.

NYPA is already carrying fixed debt that exceeds the current contract term with the NYCGCs by close to five years. It makes little sense to defer some of this debt into future years where the Authority has no firm customer contracts, and where market conditions may change to a point that makes the debt recovery problematic. However, should the NYCGCs and NYPA agree on an extension or replacement to the existing LTAs, NYPA would be amenable to extending the 500 MW plant debt service out through the end of the term of the new contract.

Recommendation: Staff recommends no change to the recovery period for the 500 MW plant's fixed rate debt service expense.

Issue 3: Elimination of the Demand Side Management ('DSM') Charge

Comments: NYPA provided an explanation to the NYCGCs that the DSM charges included in the Preliminary 2015 Fixed Costs are an allocation of costs for renewable and clean energy projects. NYPA followed this claim with an itemized list of past projects categorized under DSM. The City feels that most of the projects itemized by NYPA are location-specific and should be paid for by the customers who directly benefit from them. The City requests that the Board of Trustees removes the $400,000 DSM charge from the Preliminary 2015 Fixed Costs and provide a credit to the NYCGCs equal to the total DSM charge included in past years' Fixed Costs.

Staff Analysis: NYPA will eliminate the DSM charge from the 2015 rate development. The DSM charge falls under the cost category 'Other Expenses' in the Preliminary 2015 COS. While reviewing the NYCGCs' data

request, staff determined that this DSM assessment was being recovered by another means ─ through the fee structure that NYPA's Energy Efficiency business unit charges Customers for turn-key energy efficiency projects.

Also, during its review, staff determined that the NYCGCs are not being assessed certain Other Expenses that other NYPA customers are being assessed. While the magnitudes of these expenses are quite small in the various COS, the approach needs to be uniform and ultimately more transparent. Many of the Other Expenses result from programs that NYPA has taken on via prompting from the NYS Governor's office or from state agencies such as NYSERDA. Staff is working to create a standardized process for allocating Other Expenses to all Customers.

Recommendation: Staff recommends the elimination of the DSM charge of roughly $400,000 in the 2015 COS. Upon the 'Other Expense' determination process developed with input from NYPA's senior management, staff will evaluate whether the DSM expenses from earlier years resulted in an unjustified level of expense. Staff will communicate its findings to the NYCGCs and if the result is in the Customers' favor, will attempt to work out a means of passing back the overcharges. Staff notes that, contractually, NYPA is not required to reconcile previous years fixed expenses, but in this particular case, if justified, NYPA will do so.

Issue 4: Allocation of R&D Costs

Comments: The R&D costs included in the Preliminary 2015 Fixed Costs account for $8.8 million. The City specified that it is improper to allocate the R&D costs based on a labor ratio, as the summary of the R&D costs provided by NYPA indicates that the R&D projects are specifically related to individual operating organizations and can be easily allocated to those organizations. The City further claimed that many of the R&D projects have no direct relationship to NYPA's responsibilities under the LTA. The City requests the Board of Trustees direct NYPA staff to revise the allocation of R&D costs to be consistent with the LTA and adjust the Fixed Costs accordingly.

Staff Analysis: As described by FERC's definitions for the 'Uniform System of Accounts Prescribed for Public Utilities and Licensees Subject to the Provisions of the Federal Power Act,' R&D expenses are defined as expenditures incurred by public utilities either directly through another person or organization (such as a research institute, industry association, foundation, university, engineering company or similar contractor) in pursuing research, development and demonstration activities including experiment, design, installation, construction, or operation. This definition includes 'expenditures for the implementation or development of new and/or existing concepts until technically feasible operations are verified…….the term includes, but is not limited to: all such costs incidental to the design, development or implementation of an experimental facility, a plant process, a product, a formula, an invention, a system or similar items……the term includes preliminary investigations and detailed planning of specific projects for securing for customers non-conventional electric power supplies that rely on technology that has not been verified previously to be feasible…….the term does not include expenditures for efficiency surveys; studies of management; management techniques and organization; consumer surveys, advertising, promotions, or items of like nature.' NYPA's historical expenditures for fuel cell technology, electric vehicle demonstrations, hybrid fuel technologies, evaluation of high temperature conductor and connector systems, lithium ion battery demo, etc., meet the industry definition for R&D.

R&D costs are classified as operating expenses, and commonly recovered through rates. FERC grants recovery of R&D expenses from utility customers with the expenses predominately being accounted for in the Administrative and General Expenses section of the Operation and Maintenance Expense Chart of Accounts; specifically, in account 930.2 Miscellaneous general expenses. The FERC standard for functionalizing Administrative and General Expenses is through the use of labor ratios. NYPA is merely following this industry standard when it allocates a portion of the R&D expenses based on labor ratios to the NYCGCs.

Recommendation: NYPA's R&D program and projects are in alignment with the industry standard, as is the methodology of using labor ratios to allocate costs. Staff therefore recommends no change to Fixed Costs based upon R&D allocations.

Issue 5: Poletti Decommissioning Costs

Comments: In 2014, NYPA exceeded its contingency budget for the Poletti decommissioning costs by $2.7 million and informed the NYCGCs that it would be adding another $11.3 million of costs to the project. The City asserts that NYPA did not properly plan the decommissioning of Poletti and some of the additional costs could have been avoided if the decommissioning plan had been more complete. As such, the City proposed NYPA accept some of the responsibility for these changes and absorb a portion of the additional costs. Further, the City proposed an equal division of the additional costs, thus reducing the decommissioning costs of $8.0 million.

Staff Analysis: The Authority's planning of the Poletti Decommissioning and Deconstruction Program occurred between 2007 and 2010. The Authority worked with consultants experienced in fossil fuel plant decommissioning, including Hatch Acres, Aecom and TRC to conduct studies, assessments, industry information, etc., to plan the Poletti Program and identify most obstacles and risks long in advance of the cease generation date in 2010. In early 2008, Hatch Acres, under contract with the Authority, produced the overall Deconstruction budget estimate, attached hereto as Exhibit '2b i-C.' Aecom, also under contract with the Authority, put into place a comprehensive project plan (2008), then designed (2009) and deconstructed (2010) the Demineralizer as the first construction portion of the decommissioning program. The Decommissioning plan, annexed hereto as Exhibit '2b i-D,' outlines the milestones and expected work, as well as target dates for the project. This was the first iteration of the plan, created before any RFP's were issued for the contract work to deconstruct the plant. An overall, broad scope document which gave a high-level view of responsibilities for internal NYPA personnel was also created and is attached hereto as Exhibit '2b i-E.'

Prior to award of the deconstruction contract, each prospective bidder was required to provide a written outline approach to the project to assure their plan was in alignment with the approach NYPA and its consultants had considered through the years.

After the winning contractor, LVI Services, Inc. ('LVI'), was hired and actual deconstruction efforts began, LVI was held to submission of multiple project plans, which were routinely reviewed and improved upon, prior to permitting and the start of any work. LVI's initial schedule and final versions are attached hereto as Exhibit '2b i-F.'

There are reports, studies, and presentations (Exhibits 2b i-C, 2b i-D, 2b i-E and 2b i-F) that were produced and put in place before work commenced which covered the scope of the entire project. These were all followed, improved upon as necessary, and established the checks and balances required to maintain the deconstruction program, as they would with any project of this size and scope.

As to the avoidance of additional costs, an explanation of why these items were only identified during the deconstruction process was provided to the NYCGCs on November 21, 2014 and is attached hereto as Exhibit '2b i-G.' As stated in that memo, the costs would have been substantially the same for each of the additional items had they been identified before the project commenced.

Recommendation: Based upon the foregoing, staff disagrees with the suggestion that the additional charges be reduced, and states that the included costs are justified.

Issue 6: Review of Depreciation Charges

Comments: As a result of the City's questions regarding capital investments, NYPA reviewed its capital additions for Poletti and the 500 MW plant. This resulted in some adjustments as NYPA asserted it had been under-collecting its depreciation expense. The City claims that the supporting information provided by NYPA shows that NYPA is over-collecting its depreciation expenses. The City is requesting the Board of Trustees direct NYPA staff to review the calculation of the depreciation expenses to ensure the NYCGCs are not overcharged. The City also reviewed documentation provided by NYPA distinguishing the difference between minor additions and capital additions; the City believes this distinction is inconsistent with those costs included in the Fixed Costs. The City requests the Board of Trustees direct NYPA staff to review the matter and confirm that the NYCGCs are not overcharged.

Staff Analysis: To provide the proper backdrop, the subject matter that the City is making reference relates to the 500 MW plant additions post its commercial operation in December 2005. As stated by the City in earlier comments, the original investment for the 500 MW plant is recovered through rates that collect the debt service costs that financed the investment. Significant investment of capital additions to the 500 MW plant since the start-up of the plant have been funded by NYPA from its cash reserves. The NYCGCs are responsible for paying these investment costs. The method NYPA uses to recover these capital additions from the NYCGCs is reasonable, but after considering the City's comments, NYPA will develop an improved and clearer presentation method. Staff will keep the NYCGCs apprised of the effort in a timely manner during 2015.

Capital and minor additions are budget terms used by NYPA. Staff believes that these additions can be collectively referred to as capital additions. As part of its improved presentation efforts, NYPA will endeavor to show these additions on a uniform system of accounts basis rather than categorize them as Capital and Minor additions.

Recommendation: Staff will develop a more transparent, uniform system of reporting Capital and Minor additions to the NYCGCs.

Issue 7: NYPA Should Commission an Independent Management Audit

Comments: The Public Service Commission ('PSC') performs comprehensive management and operations audits of each major electric and gas utility. Although NYPA is not governed by the Public Service Law directing the performance of such audits, the City requests the Board of Trustees to direct the performance of an independent management audit of NYPA's practices and operations as this would bring value to the City, NYCGCs and other NYPA customers.

Staff Analysis: In response to the City's request, NYPA does, in fact, have an independent management and operations audit conducted every five years by the Office of the State Comptroller ('OSC'). The OSC also periodically conducts program audits addressing aspects of the Authority's operations. NYPA additionally have its annual financial Audit independently performed in recent history by KPMG.

Next, as a New York State public authority, NYPA is required to disclose a significant amount of information and follow numerous State guidelines. Information disclosed publicly includes the Authority's Budget and Financial Plan filed in accordance with section 2801 of Public Authorities Law, its Annual Four-Year Budget and Financial Plan filed with the State Comptroller, as well as biographies and salaries of selected NYPA employees.

Lastly, NYPA contracts with independent experts in reviewing models, processes and controls within the organization. These examinations happen with appropriate regularity to the particular business unit and specific function.

Recommendation: Staff believes the existing independent external and internal audits, along with NYPA's extensive public disclosures, provide a sufficient review of NYPA's operations.

2. Final Recommendation on 2015 Fixed Costs

Based on Customer comments received and further staff analysis, staff recommends a decrease in the Fixed Costs as compared to the 2014 Final COS and the originally proposed 2015 COS in the Notice of Proposed Rulemaking that was noticed in the *State Register* pursuant to Trustees direction at their December 16, 2014 meeting. This is a $5.1 million decrease from the costs appearing in the January 7, 2015 SAPA notice with Capital Costs decreasing by $4.1 million and Other Expenses (DSM and OPEB) decreasing by $1.0 million. Overall, the Fixed Costs for 2015 would decrease by $2.5 million, as compared to the 2014 Final Fixed Costs, to $135.8 million. The decrease in Fixed Costs will be reflected in the production rates effective with the March 2015 bills.

3. Description of Final 2015 COS and Customer Rates

Because the Variable Costs component (i.e., fuel and purchased power, risk management, New York Independent System Operator ('NYISO') ancillary services and O&M reserve, less a credit for NYISO revenues from Customer-dedicated generation) is developed in collaboration with the Customers in accordance with the provisions of the LTAs previously approved by the Trustees, staff is not requesting the Trustees' approval of the Variable Costs component of the production rates for 2015. Additionally, the Authority passes through all Variable Costs to the Customers by way of the 'Energy Charge Adjustment ('ECA') with Hedging' cost-recovery mechanism that the Customers collectively selected for 2015. This cost-recovery mechanism offered under the LTA employs a monthly charge or credit that reflects the difference between the projected Variable Costs of electricity (i.e., the Variable Costs recovered under the Customers' tariffs) and the monthly actual Variable Costs incurred by the Authority to serve the Customers.

For the Trustees' information, the projected Variable Costs are expected to decrease 21.3% from 2014 levels and, in combination with the recommended Fixed Costs decrease and AEII costs, results in a final projected 2015 COS of $680.0 million. At existing rates, revenues of $776.0 million would be produced, resulting in an over recovery of $95.9 million. As a result, staff is recommending that rates be revised to decrease revenue collection by 12.4%. The current 2014 Customer rates and recommended 2015 Customer rates with the overall 12.4% revenue decrease are shown in Exhibit '2b i-H.'

FISCAL INFORMATION

The adoption of the Fixed Costs decrease would result in an estimated $2.5 million decrease in revenue to the Authority, which is justified by the forecasted reduction in costs. The Energy Charge Adjustment mechanism will protect NYPA from the effects of movements in variable costs above those projected.

RECOMMENDATION

The Manager – Pricing and Energy Market Analysis and the Director – Finance recommend that the Trustees authorize the Corporate Secretary to file a Notice of Adoption with the New York State Department of State for publication in the New York *State Register* for a decrease in Fixed Costs applicable to the New York City Governmental Customers under the Long-Term Agreements.

The Trustees are also requested to authorize the Senior Vice President – Economic Development and Energy Efficiency, or his designee, to issue written notice of adoption and the revised tariff leaves, as necessary, to the affected Customers.

For the reasons stated, I recommend the approval of the above-requested action by adoption of the resolution below."

The following resolution, as submitted by the President and Chief Executive Officer, was unanimously adopted.

RESOLVED, That the Senior Vice President – Economic Development and Energy Efficiency, or his designee, be, and hereby is, authorized to issue written notice of this final action by the Trustees to the affected Customers; and be it further

RESOLVED, That the Corporate Secretary of the Authority be, and hereby is, directed to file such notices as may be required with the New York State Department of State for publication in the New York *State Register* and to submit such other notice as may be required by statute or regulation concerning the rate decrease; and be it further

RESOLVED, That the Chairman, the Vice Chair, the President and Chief Executive Officer, the Chief Operating Officer and all other officers of the Authority are, and each of them hereby is, authorized on behalf of the Authority to do any and all things, take any and all actions and execute and deliver any and all certificates, agreements and other documents to effectuate the foregoing resolution, subject to the approval of the form thereof by the Executive Vice President and General Counsel.

ii. Decrease in Westchester County Governmental Customer Rates – Notice of Adoption

The President and Chief Executive Officer submitted the following report:

"SUMMARY

The Trustees are requested to approve a modification in the rates for the sale of firm power to the Westchester County Governmental Customers ('Customers') in 2015. This proposed action is consistent with the rate-setting process set forth in the Supplemental Electricity Agreements executed by the Customers and the Authority and in accordance with the State Administrative Procedure Act ('SAPA').

This proposed final action seeks approval to decrease the production rates of the Customers by 12.85% as compared to 2014 rates. The decrease would be effective with the March 2015 bills.

BACKGROUND

At their meeting on December 16, 2014, the Trustees directed the publication in the New York *State Register* ('*State Register*') of a notice that the Authority proposed to increase the production rates by 8.00% for rate year 2015. The *State Register* notice was published on January 7, 2015. In accordance with SAPA, a forty-five day comment period was established, ending on February 23, 2015. There were no public comments received.

DISCUSSION

Based on further staff analysis, the final projected 2015 Cost of Service ('COS') is $33.15 million and the projected 2015 rate revenues based on 2014 rates are $38.04 million, resulting in an over-recovery of $4.89 million or 12.85%. This represents a decrease of 19.3% from the proposed production costs discussed at the December 16, 2014 Trustees' meeting.

The decrease from the preliminary COS is primarily attributable to decreases in purchased power costs of the variable costs component related to energy and capacity purchases necessary to serve the Customers. The fixed costs are projected to decrease by 1.5% as compared to the preliminary COS. The further decrease in the fixed costs is primarily due to the decrease in the Other Expenses as compared to the preliminary 2015 COS.

In 2015, the Customers will continue to be subject to an Energy Charge Adjustment ('ECA'), under which the Authority passes through all actual variable costs to the Customers. This cost-recovery mechanism employs a monthly charge or credit that reflects the difference between the projected variable costs of electricity recovered by the tariff rates and the monthly actual variable costs incurred by the Authority.

The current 2014 and final 2015 proposed rates with the 12.85% overall decrease in revenues are shown in Exhibit '2b ii-A.'

FISCAL INFORMATION

The adoption of the 2015 production rate decrease would have no net effect on NYPA's financial position. The rate change would result in an estimated decrease in revenues of $4.89 million, which is offset by the forecasted decrease in costs originally presented at the December 16, 2014 Trustees' meeting. The Energy Charge Adjustment mechanism will protect NYPA's net revenues from the effects of movements in variable costs above those projected.

RECOMMENDATION

The Manager – Pricing and Energy Market Analysis and the Director – Finance recommend that the Trustees authorize the Corporate Secretary to file a Notice of Adoption with the New York State Department of State

for publication in the New York *State Register* for an adoption of a production rate decrease applicable to the Westchester County Governmental Customers.

It is also recommended that the Senior Vice President – Economic Development and Energy Efficiency, or his designee, be authorized to issue written notice of adoption and the revised tariff leaves, as necessary, to the affected Customers.

For the reasons stated, I recommend the approval of the above-requested action by adoption of the resolution below."

The following resolution, as submitted by the President and Chief Executive Officer, was unanimously adopted.

> **RESOLVED, That the Senior Vice President – Economic Development and Energy Efficiency, or his designee, be, and hereby is, authorized to issue written notice of this final action by the Trustees to the affected Customers; and be it further**
>
> **RESOLVED, That the Corporate Secretary of the Authority be, and hereby is, directed to file such notices as may be required with the New York State Department of State for publication in the New York *State Register* and to submit such other notice as may be required by statute or regulation concerning the rate decrease; and be it further**
>
> **RESOLVED, That the Chairman, the Vice Chair, the President and Chief Executive Officer, the Chief Operating Officer and all other officers of the Authority are, and each of them hereby is, authorized on behalf of the Authority to do any and all things, take any and all actions and execute and deliver any and all certificates, agreements and other documents to effectuate the foregoing resolution, subject to the approval of the form thereof by the Executive Vice President and General Counsel.**

c. Procurement (Services) Contracts:

i. Procurement (Services) Contracts – Business Units and Facilities – Awards, Extensions and/or Additional Funding

The President and Chief Executive Officer submitted the following report:

"SUMMARY

The Trustees are requested to approve the award and funding of the multiyear procurement (services) contracts listed in Exhibit '2c i-A,' as well as the continuation and/or funding of the procurement (services) contracts listed in Exhibit '2c i-B,' in support of projects and programs for the Authority's Business Units/Departments and Facilities. Detailed explanations of the recommended awards and extensions, including the nature of such services, the bases for the new awards if other than to the lowest-priced bidders and the intended duration of such contracts, or the reasons for extension and the projected expiration dates, are set forth in the discussion below.

BACKGROUND

Section 2879 of the Public Authorities Law and the Authority's Guidelines for Procurement Contracts require the Trustees' approval for procurement contracts involving services to be rendered for a period in excess of one year.

The Authority's Expenditure Authorization Procedures ('EAPs') require the Trustees' approval for the award of non-personal services, construction, equipment purchase or non-procurement contracts in excess of $3 million, as well as personal services contracts in excess of $1 million if low bidder, or $500,000 if sole-source, single-source or non-low bidder.

The Authority's EAPs also require the Trustees' approval when the cumulative change- order value of a personal services contract exceeds $500,000, or when the cumulative change-order value of a non-personal services, construction, equipment purchase, or non-procurement contract exceeds the greater of $1 million or 25% of the originally approved contract amount not to exceed $3 million.

DISCUSSION

Awards

The terms of these contracts will be more than one year; therefore, the Trustees' approval is required. Except as noted, all of these contracts contain provisions allowing the Authority to terminate the services for the Authority's convenience, without liability other than paying for acceptable services rendered to the effective date of termination. Approval is also requested for funding all contracts, which range in estimated value from $141,250 to $30 million. Except as noted, these contract awards do not obligate the Authority to a specific level of personnel resources or expenditures.

The issuance of multiyear contracts is recommended from both cost and efficiency standpoints. In many cases, reduced prices can be negotiated for these long-term contracts. Since these services are typically required on a continuous basis, it is more efficient to award long-term contracts than to rebid these services annually.

Extensions

Although the firms identified in Exhibit '2c i-B' have provided effective services, the issues or projects requiring these services have not been resolved or completed and the need exists for continuing these contracts. The Trustees' approval is required because the terms of these contracts will exceed one year including the extension, the term of extension of these contracts will exceed one year and/or because the cumulative change-order limits will

exceed the levels authorized by the EAPs in forthcoming change orders. The subject contracts contain provisions allowing the Authority to terminate the services at the Authority's convenience, without liability other than paying for acceptable services rendered to the effective date of termination. These contract extensions do not obligate the Authority to a specific level of personnel resources or expenditures.

Extension of the contracts identified in Exhibit '2c i-B' is requested for one or more of the following reasons: (1) additional time is required to complete the current contractual work scope or additional services related to the original work scope; (2) to accommodate an Authority or external regulatory agency schedule change that has delayed, reprioritized or otherwise suspended required services; (3) the original consultant is uniquely qualified to perform services and/or continue its presence and rebidding would not be practical or (4) the contractor provides a proprietary technology or specialized equipment, at reasonable negotiated rates, that the Authority needs to continue until a permanent system is put in place.

The following is a detailed summary of each recommended contract award and extension.

Contract Awards in Support of Business Units/Departments and Facilities:

Business Services

Research and Technology Development

The contract with **EDM International, Inc. ('EDM') (PO# TBA)** would provide for maintenance, onsite repair, cellular communication service and GridWatch web data hosting for eight sagometer stations installed in critical locations on the Authority's Niagara-Rochester-Utica and Moses-Willis transmission corridors. The sagometers enable the Authority to monitor and measure the sag of transmission conductors by measuring the clearance between the lowest portion of the energized conductor and the ground. Such measurements are available in real time, stored in the EDM GridWatch database and compared over time to detect any changes. This technology enables the Authority to identify the transmission line's unused capacity and increase power flow to satisfy peak demand, while still leaving enough ground clearance to comply with codes and provide safe and efficient operation of its power lines. Staff recommends the award of a contract to EDM on a sole-source basis, since EDM developed this system in collaboration with the Electric Power Research Institute and holds the commercial license for this technology. As the original equipment developer, EDM is uniquely qualified to perform all such required services and has provided satisfactory services under the previous contract for such work. The new contract would become effective on or about April 1, 2015, for an intended term of up to five years, subject to the Trustees' approval, which is hereby requested. Approval is also requested for the total amount expected to be expended for the term of the contract, $141,250.

The contract with **The Valley Group, Inc. – a Nexans company ('TVG') (PO# TBA)** would provide for maintenance and support services for the Dynamic Line Rating ('DLR') systems currently installed at 15 locations on three Authority transmission lines. The system support programs include, but are not limited to, providing hardware support for DLR equipment in the field (e.g., calibration verification, free battery replacement, etc.), software updates as well as on- and off-site support, and the line evaluation program (data collection and analysis). TVG is the only company in the United States that provides the equipment and technology for dynamic line rating utilizing tension measurement methodology. In addition to providing real-time transmission capacity information to help optimize transmission assets, the TVG DLR system also enables the collection of key line performance data over extended periods of time. Periodic analysis of this data can reveal valuable insights into the overall capabilities of the lines over time, temperature and seasons. At their meeting of September 27, 2011, the Trustees approved the award of the initial sole-source contract to TVG for the design, engineering, fabrication, delivery and support for the installation of DLR equipment. Since that contract has expired and the need for system maintenance and support services for this specialized proprietary equipment and software is ongoing, staff recommends the award of a new contract to TVG on a sole-source basis. As the developer of this technology and original equipment manufacturer, TVG is uniquely qualified to provide such services and has provided satisfactory services under the initial contract. The new contract would become effective on or about April 1, 2015, for an intended term of up to five years, subject

to the Trustees' approval, which is hereby requested. Approval is also requested for the total amount expected to be expended for the term of the contract, $210,000.

Economic Development & Energy Efficiency

Energy Efficiency

The contracts with **Solar Liberty Energy Systems, Inc. ('Solar Liberty') and Standard Solar, Inc. ('Standard Solar') (Q14-5789; PO#s TBA)** would provide for all supervision, labor, materials and equipment and perform all actions required to furnish, deliver, install and make operational roof-mounted solar photovoltaic ('PV') systems in certain New York City ('NYC') Schools, as part of the Authority's Energy Efficiency Program. The City of New York ('City') requested the Authority's assistance in the implementation of a rooftop solar PV systems initiative, receiving partial funding from the New York State Research and Development Authority ('NYSERDA'). The aggressive schedule associated with the NYSERDA funding calls for systems to be installed by April of 2016 at approximately 24 NYC Schools located throughout the five boroughs, as pre-selected by the City. An initial group of nine sites is being accommodated under an existing contract with Solar Liberty, for which the Trustees approved additional funding at their meeting of December 16, 2014, with the understanding that work on the remaining 15 project sites would be performed under new competitively bid contracts. To that end, bid documents were developed by staff and were downloaded electronically from the Authority's Procurement website by 127 firms, including those that may have responded to a notice in the New York State *Contract Reporter*. The work will be structured in two phases: Phase 1 for the NYC Schools and Phase 2 for other projects within NYC as well as statewide. Awards for Phase 1 only are recommended at this time; proposed awards for Phase 2 will be submitted for the Trustees' approval at a later date. Ten proposals were received and evaluated, as further set forth in the Award Recommendation documents. Staff recommends the award of contracts to two firms, Solar Liberty and Standard Solar, the lowest-priced evaluated and technically qualified bidders. The anticipated workload for these services warrants multiple contracts and assures the Authority of adequate resources to accommodate the aggressive schedule. The contracts would become effective on or about April 1, 2015, for a term of up to 21 months, subject to the Trustees' approval, which is hereby requested. Approval is also requested for the currently estimated aggregate total amount expected to be expended for Phase 1, $11,856,000. Such contracts will be monitored for utilization levels, available approved funding and combined total expenditures. It should be noted that all costs will be recovered by the Authority.

At the Trustees' meeting of October 15, 2014, staff recommended the award of competitively bid contracts to twelve firms (**Q14-5668)** to provide for various services in connection with the Statewide Energy Efficiency Program. The Trustees approved the award of contracts to ten of these firms (**Cannon Design Architecture and Engineering, PC, The Daylight Savings Company, Ecosystem/LiRo Energy Group II, EME Consulting Engineering Group, LLC, ENERActive Solutions, Energy & Resource Solutions, Inc. dba ERS, Fulcrum Facilities Services, LLC dba The Fulcrum Group, Guth DeConzo Consulting Engineers, PC, PRES Services, LLC dba PRES Energy and RCM Technologies, Inc.)** and an aggregate total amount of $300 million. (The proposed awards to **LaBella Associates, DPC ('LaBella') and Wendel Energy Services, LLC ('Wendel')**, originally included in the aforementioned October Discussion Agenda (Item 5), were not adopted by the Trustees because they were unable to attain the required number of votes based on conflicts of interest filed by some of the Board members.) The proposed award to **LaBella** was resubmitted for the Board's consideration and was approved at the Trustees' meeting of February 26, 2015. The proposed award to the one remaining firm, Wendel, is now resubmitted for the Board's consideration with the intent of achieving the required quorum for adoption. Approval of this request would enable the Authority to utilize the firm's specialty skills, experience and expertise, as needed. The contract would become effective on or about April 1, 2015 for an intended term of approximately four and one-half years (through October 14, 2019, coterminous with the other eleven previously-approved contract awards), subject to the Trustees' approval, which is hereby requested. Wendel would share in the previously-approved aggregate total. Such contract will also be closely monitored for utilization levels, available approved funding and combined total expenditures.

Law

The contract with **Whiteman Osterman & Hanna LLP ('Whiteman') (PO# TBA)** would provide for the continuation of legal services, currently provided under an existing competitively bid contract with the Whiteman firm, in order to represent the interests of the Authority through completion of certain ongoing matters currently in litigation. Since the existing contract with Whiteman is expiring, and the need for such services is ongoing, it would be in the best interests of the Authority to award a new contract to Whiteman on a single-source basis, as further set forth in the Award Recommendation documents. Whiteman has dedicated a significant amount of time in reviewing thousands of documents, meeting with potential witnesses, document production and making several court appearances. Most importantly, the Authority is very satisfied with the quality of the legal representation of its interests by Whiteman in these matters. To terminate this relationship now and retain new counsel, when this firm is thoroughly familiar with the issues and already well engaged in the discovery process, is neither practical nor advisable. Furthermore, if the Authority retained a different law firm, the Authority would incur considerable costs for that firm to learn the matter/s; such expense is avoided with Whiteman's continued representation of the Authority. Because of the inability to predict the length of litigation in the court system, it is requested that the new contract with Whiteman be awarded for a term of up to four years (three-year award with an option to extend for one additional year, if needed), subject to the Trustees' approval, which is hereby requested. The new contract would become effective on or about April 1, 2015. Approval is also requested for the total amount expected to be expended for the term of the contract, $400,000 (including the anticipated cost of preparing for trial, trial, and the retention of appropriate expert testimony during trial).

Operations / Operations Support Services

Power Generation / Support Services

Due to the need to commence services in order to accommodate the planned Spring 2015 outages at the Authority's Small Clean Power Plants ('SCPPs'), the contracts with **ACME Industrial Inc. ('ACME') (4600002911) and Boilermatic Welding Industries, Inc. ('Boilermatic') (4600002912)** became effective on March 2, 2015, for an interim award amount of $150,000 each, subject to the Trustees' ratification and approval as soon as practicable, in accordance with the Authority's Guidelines for Procurement Contracts and EAPs. Such contracts provide for on-call general maintenance support services for the SCPPs. Services include, but are not limited to, onsite and offsite (shop) welding and machining services, change-out of expansion joints, large pump and motor alignments, catalyst work and replacements. Bid documents (Q14-5747) were developed by staff and were downloaded electronically from the Authority's Procurement website by 61 firms, including those that may have responded to a notice in the New York State *Contract Reporter*. Two proposals were received and evaluated, as further set forth in the Award Recommendation documents. Staff recommends the award of contracts to both firms, **ACME and Boilermatic**, which are technically qualified and meet the bid requirements. Both firms are New York State Small Businesses with fully-equipped machine shops capable of handling the heavy equipment used at the SCPPs. The award of contracts to two firms would afford the Authority more flexibility and cost-effective options, depending on the nature of the situation, schedule and specific requirements. It should be noted that ACME has previously provided satisfactory service to the Authority for such work. The intended term of the contracts is up to five years, subject to the Trustees' ratification and approval, which is hereby requested. Approval is also requested for the aggregate total amount expected to be expended for the term of the contracts, $5 million. Such contracts will be monitored for utilization levels, available approved funding and combined total expenditures.

The contracts with **American Electrical Testing Co., Inc. ('AETC') and EPS Technology, Inc. ('EPS') (Q15-5799; PO#s TBA)** would provide for switchyard maintenance services for the Authority's power plants and other facilities in the Southeastern New York Region. Services also include, but are not limited to, all switchyard, relay and electrical testing and inspection services, onsite relay calibration, transformer bushing testing and other electrical testing services. Bid documents were developed by staff and were downloaded electronically from the Authority's Procurement website by 79 firms, including those that may have responded to a notice in the New York State *Contract Reporter*. Two proposals were received and evaluated, as further set forth in the Award Recommendation documents. Staff recommends the award of contracts to both firms, AETC and EPS, which fully

meet the Authority's bid requirements and are technically qualified to perform the work. It should also be noted that AETC has provided satisfactory service under a prior contract for such work. The anticipated workload for these services warrants multiple contracts and assures the Authority of adequate resources during heavy workloads. The award of contracts to two firms would also benefit the Authority by providing more flexibility and cost-effective options, and would allow the Authority to obtain competitive proposals and award tasks to the firm with the requisite expertise, depending on the nature of the situation, schedule and specific requirements. The contracts would become effective on or about April 1, 2015, for an intended term of up to five years, subject to the Trustees' approval, which is hereby requested. Approval is also requested for the aggregate total amount expected to be expended for the term of the contracts, $10 million. Such contracts will be monitored for utilization levels, available approved funding and combined total expenditures.

The contract with **Casella Waste Services ('Casella') (6000154472; PO# TBA)** would provide for refuse removal and disposal services for the St. Lawrence/FDR Power Project, in accordance with all applicable New York State Department of Environmental Conservation and Department of Transportation regulations and requirements. Services also include furnishing all necessary containers for trash/refuse, recyclables, construction debris, wood, insulators and retired wood utility poles, as needed, and include the container, hauling, landfill and disposal fees. Bid documents were developed by staff and were downloaded electronically from the Authority's Procurement website by 16 firms, including those that may have responded to a notice in the New York State *Contract Reporter*. One proposal was received and evaluated, as further set forth in the Award Recommendation documents. (Reasons for the lack of other responses include, but are not limited to, the work was not in the scope of their services or was too large, lack of geographic proximity, or the bid documents were downloaded for information purposes only.) Staff recommends the award of a contract to Casella, which is qualified to perform such services, meets the bid requirements and has provided satisfactory service under the existing contract for such work. The new contract would become effective on or about April 1, 2015, for an intended term of up to three years, subject to the Trustees' approval, which is hereby requested. Approval is also requested for the total amount expected to be expended for the term of the contract, $400,000.

The Authority's Small Clean Power Plants ('SCPPs') were constructed in 2001 and have experienced an increasing number of age- and cycle-related circuit breaker malfunctions, which require increased maintenance and testing. The vital role played by the SCPPs in providing for New York City's peak-demand electric power delivery requirements mandates a quick response and consistent results. To that end, the contract with **Circuit Breaker Sales NE, Inc. ('CBS') (Q15-5800)** would provide for maintenance services for circuit breakers and associated components at the SCPPs, as needed. Services include, but are not limited to, furnishing all supervision, labor, materials and equipment, and performing all operations and services required to maintain medium and low voltage breakers and associated electrical equipment for the SCPPs. Bid documents were developed by staff and were downloaded electronically from the Authority's Procurement website by 52 firms, including those that may have responded to a notice in the New York State *Contract Reporter*. Three proposals were received and evaluated, as further set forth in the Award Recommendation documents. Staff recommends the award of a contract to CBS, the lowest-priced and most technically qualified bidder, which meets the bid requirements and has previously provided satisfactory service to the Authority for such work. The contract would become effective on or about April 1, 2015, for an intended term of up to five years, subject to the Trustees' approval, which is hereby requested. Approval is also requested for the total amount expected to be expended for the term of the contract, $1 million.

Federal and State regulations attach joint and several liabilities to the generators of hazardous wastes, therefore the Authority, as a waste generator, continues to share any liability for such waste even though a vendor has accepted it for disposal. In fact, the Authority, in the worst case, could be held to share liability for all other non-Authority waste found at such a vendor's site, if the vendor did not manage the site properly. Authority operating projects, through the course of their normal operating practices, generate hazardous waste, which can include petroleum and PCB-contaminated oil-filled electrical equipment. In order to act in an environmentally responsible manner and to limit the Authority's potential long-term liability for costly remediation of contaminated disposal facilities and associated litigation, the Environment, Health & Safety Division ('EH&S') has a policy of review, inspection and evaluation of hazardous was treatment, transportation, recycling and disposal vendors and facilities. The purpose of such audits is to determine compliance with applicable laws and regulations, and to assess the level of risk of site contamination, which could result from the facility's management practices. Facility approval is based on an evaluation of these elements and subsequent determination by EH&S that the potential for

harm to the environment from facility operations is low, and therefore that risk of liability to the Authority is minimized. While is it important for the Authority to approve multiple disposal outlets for each of its waste streams so its waste disposal needs are met and it is not overly dependent on any one vendor or facility, it is also important that the Authority not contribute waste to more facilities than are necessary, since a certain amount of liability risk is incurred at each one. The contracts with **Clean Harbors Environmental Services, Inc. ('Clean Harbors') and TCI of NY, LLC ('TCI') (Q14-5608; PO#s TBA)** would provide for turnkey field services (including draining, disassembly, dismantling, etc.), transportation, recycling and disposal of oil-filled electrical equipment from the Authority's facilities and projects throughout the state. Such equipment may include transformers, capacitors, voltage regulators, cable, relays, re-closers, rectifiers, switches, cabinets, panels and conduits. Bid documents were developed by staff and were downloaded electronically from the Authority's Procurement website by 43 firms, including those that may have responded to a notice in the New York State *Contract Reporter*. Six proposals were received and evaluated, as further set forth in the Award Recommendation documents. As the result of initial screening, three bids did not exhibit the required qualifications with respect to ownership of a permitted processing facility for disassembly and decommissioning of oil-filled electrical equipment, and therefore were not considered further. The remaining three proposals were evaluated in greater detail, through onsite multimedia environmental audits of individual proposed facilities and an evaluation of available financial and insurance records, in accordance with the Authority's Environmental policies to minimize liabilities associated with waste disposal. Based on the foregoing, staff recommends the award of contracts to two firms, Clean Harbors and TCI, the most technically qualified bidders, which fully meet the Authority's bid requirements. It should be noted that both firms have provided satisfactory service under existing contracts for such work. The award of contracts to two firms would benefit the Authority by providing more flexibility and cost-effective options, depending on the nature of the situation, schedule and specific requirements. The new contracts would become effective on or about April 1, 2015, for an intended term of up to five years for Clean Harbors and an initial term of three years to TCI with an option to extend for up to two additional years, subject to the Trustees' approval, which is hereby requested. Approval is also requested for the aggregate total amount expected to be expended for the term of the contracts, $1 million. Such contracts will be monitored for utilization levels, available approved funding and combined total expenditures.

The contracts with **Day & Zimmermann ('D&Z') and Fresh Meadow Power, LLC ('Fresh Meadow') (Q14-5755; PO#s TBA)** would provide for on-call general maintenance support services for the Authority's power plants and other facilities in the Southeastern New York ('SENY') Region. Such services generally consist of providing skilled craft labor to supplement and assist the Authority's plant employees during periods of routine maintenance, scheduled outages, emergency shutdown or technical inspections, as directed by Authority management at the respective SENY facilities, and involve the following categories of work: general plant maintenance, plant modifications and corrections, and retrofit work. The work will typically consist of the repair, maintenance and modification of turbines, generators, piping, pumps, valves, heat exchangers, electric motors, circuit breakers, fans, filters, pressure vessels, boilers, heat recovery steam generator and related structures, and will be performed by skilled craft labor (e.g., boilermakers, millwrights, steamfitters, insulators, electricians, laborers, painters, plumbers, ironworkers and I&C technicians). Bid documents were developed by staff and were downloaded electronically from the Authority's Procurement website by 48 firms, including those that may have responded to a notice in the New York State *Contract Reporter*. Three proposals were received and evaluated, as further set forth in the Award Recommendation documents. Staff recommends the award of contracts to two firms, D&Z and Fresh Meadow, the two lowest-priced bidders, which meet the Authority's bid requirements and are technically qualified to perform the work. It should also be noted that both firms have provided satisfactory service under existing or prior contracts for such work. The award of contracts to two firms would benefit the Authority by providing more flexibility and cost-effective options, and allow the Authority to call upon both companies to provide competitive proposals for general maintenance projects, depending on the nature of the situation, schedule and specific expertise required. The new contracts would become effective on or about April 1, 2015, for an intended term of up to five years, subject to the Trustees' approval, which is hereby requested. Approval is also requested for the aggregate total amount expected to be expended for the term of the contracts, $30 million. Such contracts will be monitored for utilization levels, available approved funding and combined total expenditures.

The contract with **Milieu, Inc. ('Milieu') (Q14-5770; PO# TBA)** would provide for the operation, maintenance and monitoring of the eel passage facility at the St. Lawrence/FDR Power Project Robert Moses Power Dam, pursuant to Articles 405 and 406 of the new Project License issued by the Federal Energy Regulatory Commission. Bid documents were developed by staff and were downloaded electronically from the Authority's

Procurement website by 35 firms, including those that may have responded to a notice in the New York State *Contract Reporter*. Two proposals were received and evaluated, as further set forth in the Award Recommendation documents. Staff recommends the award of a contract to Milieu, the lower-priced bidder, which is qualified to provide such services, meets the bid requirements and has provided satisfactory service under an existing contract for such work. Furthermore, Milieu's designated project personnel have the most extensive experience with respect to eel passage facilities, ensuring continuity of services with experienced staff. The new contract would become effective on or about April 1, 2015, for an intended term of up to five years, subject to the Trustees' approval, which is hereby requested. Approval is also requested for the total amount expected to be expended for the term of the contract, $455,800.

The contract with **UltraMAP Limited ('UltraMAP') (Q14-5792; PO# TBA)** would provide for the implementation of a ship or other marine vessel traffic monitoring and alerting system and related services for the Y-49 submarine transmission cable in the Long Island Sound, in order to prevent damage caused by anchor strikes to the four 345 kV self-contained fluid-filled cables buried in the seabed. The system is based on developing a virtual protective boundary around the cable field with system rule sets to distinguish between normal ship traffic and vessels exhibiting anchoring maneuvers, triggering automatic message alerts (transmitted through the United States Coast Guard's Automatic Identification System) to the latter group. The UltraMAP system would ensure increased cable integrity and reliable operation, and would prevent an environmental incident due to a cable rupture. Services include developing the monitoring system with virtual protection boundaries and rule sets, transponder equipment, virtual marker buoys that would appear on a ship's electronic display to outline the cable field, technical support on a '24/7' basis, training and historical data collection. Bid documents were developed by staff and were downloaded electronically from the Authority's Procurement website by 25 firms, including those that may have responded to a notice in the New York State *Contract Reporter*. Two proposals were received and evaluated, as further set forth in the Award Recommendation documents. Staff recommends the award of a contract to UltraMAP, the lower-priced evaluated bidder, which is qualified to provide such services and meets the bid requirements. The contract would become effective on or about April 1, 2015, for an intended term of up to five years, subject to the Trustees' approval, which is hereby requested. Approval is also requested for the total amount expected to be expended for the term of the contract, $270,740, including additional funding for independent system testing, additional hardware and rule set development, as needed.

Public, Governmental and Regulatory Affairs

Project Development & Licensing

At the Trustees' meeting of October 15, 2014, staff recommended the award of competitively bid contracts to twelve firms **(Q14-5680)** to provide for consulting services to support Authority goals and initiatives in connection with generation and transmission project evaluation and analysis and Public Service Commission ('PSC') proceedings. The Trustees approved the award of contracts to nine of these firms (**Ecology and Environment Engineering, PC, ESS Group, Inc., Gomez and Sullivan Engineers, DPC, Henningson, Durham & Richardson Architecture and Engineering, PC, Louis Berger & Associates, PC, POWER Engineers Consulting, PC, Tetra Tech, Inc., The Chazen Companies and TRC Environmental Corporation)** and an aggregate total amount of $5 million. (The proposed award to **Burns & McDonnell Consultants, PC** was withdrawn from consideration in October and was adopted at the Trustees' meeting of December 16, 2014.) The proposed awards to the two remaining firms, **ARCADIS of New York, Inc. and CH2M HILL Engineering, PA,** originally included in the aforementioned October Consent Agenda (Item 2c-i), were not adopted by the Trustees because they were unable to attain the required number of votes based on conflicts of interest filed by some of the Board members. Such awards are now resubmitted for the Board's consideration with the intent of achieving the required quorum for adoption. Approval of this request to award contracts to these two firms would enable the Authority to utilize each firm's specialty skills, experience and expertise, as needed. The contracts would become effective on or about April 1, 2015 for an intended term of approximately five years (through November 14, 2019, coterminous with the other ten previously-approved contract awards), subject to the Trustees' approval, which is hereby requested. ARCADIS and CH2M HILL would share in the previously-approved aggregate total. Funds will be allocated as specific projects or tasks are identified. Such contracts will be closely monitored for utilization levels, available approved funding and combined total expenditures.

Contract Extensions and/or Additional Funding:

Economic Development & Energy Efficiency

Energy Efficiency

At their meeting of May 26, 2010, the Trustees approved the award of contracts to four firms, AECOM USA, Inc. (4600002317), Guth-DeConzo Consulting Engineers, PC (4600002273), Wendel Energy Services, LLC (4600002268) and **EYP Architecture & Engineering PC ('EYP') (4600002274)**, to provide for program management and implementation services in connection with the Authority's Statewide Energy Efficiency Program (formerly Energy Services Program), in the aggregate amount of $180 million. The contracts, which were competitively bid, became effective on May 26, 2010, for a term of up to five years. While many of the assigned projects have been completed successfully, a number of projects initiated by program participants have estimated completion dates beyond the approved contract term. The aforementioned contracts with Wendel and Guth-DeConzo will expire in May; the projects assigned under these contracts will be reassigned to newer contracts with these two firms. The contract with AECOM will also expire in May; there are no projects currently assigned to this contract. Work currently in progress under the contract with EYP includes, but is not limited to, projects at three SUNY campuses, two projects for the County of Albany and a project at the Empire State Plaza in Albany. EYP has performed satisfactory work under the existing contract and adequate funding is still available to cover the estimated costs through completion of active project assignments. Due to project delays resulting from a number of factors, including customer delays in milestone approvals relating to funding uncertainties, staff recommends an extension of the contract with EYP for an additional three years and three months in order to bring all assigned projects to completion. A total of $10 million has been allocated to the contract with EYP for such projects; no additional funding above the original allocation will be required for the extended term. No new projects will be assigned to this contract. The Trustees are requested to approve extension of the subject contract with EYP through August 31, 2018, with no additional funding requested. The contract with EYP will be monitored for utilization levels, available approved funding and will continue to be tracked against the approved aggregate total and combined total expenditures. It should be noted that all costs will be recovered by the Authority from program participants.

Operations / Operations Support Services

Power Generation / Support Services

At their meeting of July 29, 2014, the Trustees approved the award of a competitively bid contract to **Dynamic Mechanical Contractors, Inc. ('Dynamic') (4500248068)** to provide for engineering, procurement, installation, start-up, testing and commissioning services comprising the Black-Start Project ('Project') at the Harlem River and Hell Gate Small Clean Power Plants ('SCPPs'), in the amount of $7,536,100. At the request of Consolidated Edison Company of New York, Inc. ('Con Edison'), the Authority agreed to construct/install a 1,000 kW diesel generator and related appurtenances at these two SCPP sites, to enable the SCPPs to provide power to the New York City transmission system in the event of a total blackout. The original award became effective on August 1, 2014, for a term of up to one year. The Project requires Con Edison's involvement and concurrence that the design and construction meet Con Edison's requirements. A one-year extension is now requested to ensure that all stakeholder concerns are addressed and resolved, allowing sufficient time to bring the Project to successful completion. The Trustees are requested to approve extension of the subject contract through July 30, 2016, with no additional funding requested. It should be noted that the Authority will recover the reasonable and prudent costs incurred in constructing such facilities over a reasonable time period, as determined by the New York Independent System Operator.

At their meeting of May 22, 2014, the Trustees approved the award of a competitively bid contract to **O'Connell Electric Company, Inc. ('O'Connell') (4500244404)** to provide for materials, construction/installation, testing and commissioning services comprising the Shunt Reactor Project ('Project') at the Coopers Corners 345 kV Substation (owned and operated by the New York State Electric & Gas Corporation, 'NYSEG'), and funding in the amount of $3.8 million. The Project will eliminate the need for the Authority to perform undesirable switching

operations at Marcy and Blenheim-Gilboa to mitigate high voltages observed at Coopers Corners during light load operating conditions. Due to the need to commence services, the original award became effective on April 17, 2014, for a term of up to one year, in the amount of $3,866,751. Additional funding in the amount of $449,347 was subsequently authorized in accordance with the Authority's Guidelines for Procurement Contracts and EAPs. Due to extended negotiations between the Authority and NYSEG, as well as additional engineering required due to changes submitted after final drawing packages were issued, work did not begin as planned and has been delayed. An extension of eight and one-half months is therefore requested in order to allow sufficient time to complete construction of the Project. The current contract amount is $4,316,098; staff anticipates that no additional funding will be required for the extended term. The Trustees are requested to approve extension of the subject contract through December 31, 2015, with no additional funding requested.

FISCAL INFORMATION

Funds required to support contract services for various Business Units/Departments and Facilities have been included in the 2015 Approved O&M Budget. Funds for subsequent years, where applicable, will be included in the budget submittals for those years. Payment will be made from the Operating Fund.

Funds required to support contract services for capital projects have been included as part of the approved capital expenditures for those projects and will be disbursed from the Capital Fund in accordance with the project's Capital Expenditure Authorization Request.

RECOMMENDATION

The Senior Vice President – Operations Support Services and Chief Engineer, the Senior Vice President – Power Generation, the Vice President – Environment, Health & Safety, the Acting Vice President – Project Management, the Acting Vice President – Procurement, the Vice President and Controller, the Vice President – Engineering, the Vice President – Energy Efficiency, the Assistant General Counsel – HR, Labor Relations & Litigation, the Director – Project Development & Licensing, the Director – Asset & Maintenance Management, the Manager – Research & Development, the Regional Manager – Western New York, the Regional Manager – Northern New York, the Regional Manager – Central New York and the Regional Manager – Southeastern New York recommend that the Trustees approve the award of multiyear procurement (services) contracts to the companies listed in Exhibit '2c i-A' and the extension and/or funding of the procurement (services) contracts listed in Exhibit '2c i-B,' for the purposes and in the amounts discussed within the item and/or listed in the respective exhibits.

For the reasons stated, I recommend the approval of the above-requested action by adoption of the resolution below."

The following resolution, as submitted by the President and Chief Executive Officer, was adopted. Vice Chair Mahoney and Trustee Flynn were recused from the vote as it relates to the companies previously indicated.

RESOLVED, That pursuant to the Guidelines for Procurement Contracts adopted by the Authority, the award and funding of the multiyear procurement services contracts set forth in Exhibit "2c i-A," attached hereto, are hereby approved for the period of time indicated, in the amounts and for the purposes listed therein, as recommended in the foregoing report of the President and Chief Executive Officer; and be it further

RESOLVED, That pursuant to the Guidelines for Procurement Contracts adopted by the Authority, the contracts listed in Exhibit "2c i-B," attached hereto, are hereby approved and extended for the period of time indicated, in the amounts and for the purposes listed therein, as recommended

in the foregoing report of the President and Chief Executive Officer; and be it further

RESOLVED, That the Chairman, the Vice Chair, the President and Chief Executive Officer, the Chief Operating Officer and all other officers of the Authority are, and each of them hereby is, authorized on behalf of the Authority to do any and all things, take any and all actions and execute and deliver any and all agreements, certificates and other documents to effectuate the foregoing resolution, subject to the approval of the form thereof by the Executive Vice President and General Counsel.

ii. Procurement (Services) Contracts –
Law Department Contracts - Awards

The President and Chief Executive Officer submitted the following report:

"SUMMARY

The Trustees are requested to approve the award and funding of the multi-year legal services contracts listed below. The awards are to be for a term of up to five years and the aggregate value of all the contracts is $13 million. The law firms' services will be called upon on an 'as-needed' basis. The recommendations are the culmination of a multi-month Request for Proposals ('RFP') and evaluation process described in more detail below. The intent of the process is to identify law firms to provide legal services to the Authority on an 'as needed' basis. Having multiple firms for legal services contracts allows the Authority flexibility in the ultimate selection of counsel depending on the Authority's needs in various subject matter areas, including general legal services, bond, underwriter and disclosure counsel, and energy counsel.

BACKGROUND

Section 2879 of the Public Authorities Law and the Authority's Guidelines for Procurement Contracts require the Trustees' approval for procurement contracts involving services to be rendered for a period in excess of one year.

The Authority's Expenditure Authorization Procedures ('EAPs') require the Trustees' approval for the award of personal services contracts in excess of $1 million if low bidder, or $500,000 if sole-source or non-low bidder.

The last Law Department RFP for legal services was in 2010.

DISCUSSION

The terms of the contracts will be more than one year; therefore, the Trustees' approval is required. All of the contracts will allow the Authority, in its sole discretion, to terminate services without liability other than paying for acceptable services rendered to the effective date of termination.

The issuance of up to five-year contracts is necessitated by both cost and efficiency considerations. In many cases, fixed prices for the terms of the contracts have been negotiated. Since an assigned legal matter may extend longer than a year and require consistency in service, it is more efficient to award long-term contracts than to rebid annually.

On November 18, 2014, RFP Inquiry No. Q14-5764MR was published in the New York State *Contract Reporter* seeking qualification statements from law firms interested in providing general legal services. On November 19, 2014, RFP Inquiry No. Q14-5765MR was published seeking qualification statements from law firms interested in providing energy counsel services. On November 20, 2014, RFP Inquiry No. Q14-5766MR was published seeking responses from law firms interested in providing bond, underwriter and disclosure counsel services.

An aggregate amount of $6 million is requested for the general legal services contracts, $3 million for the bond, underwriter and disclosure counsel contracts and $4 million for the energy legal services contracts. Aggregate amounts, rather than an amount per contract, are requested as it is not known which legal services will be required. Accordingly, these contracts do not obligate the Authority to a specific level of services or expenditures.

I. General Legal Services Counsel

A total of 22 law firms submitted proposals in response to the RFP for general legal services. This proposal sought information on the firms' ability to provide support in a variety of legal areas (i.e., contracts, real estate management, appropriation, sales or leases; tort liability, labor, employment and employee benefits matters;

federal and/or state regulatory, licensing, environmental, administrative and/or judicial hearings or litigation advice and litigation support) relevant to the business of the Authority. Staff reviewed the qualification statements, taking into account the background and experience of the bidders, expertise and reputation in the various subject matters, hourly rates and billing practices and any past Authority experience with the firm, as well as their minority hiring record and qualification as, or commitment to working with, Minority-Owned Business Enterprises ('MBE') and Women-Owned Business Enterprises ('WBE') (collectively, 'M/WBE'). In addition, the firms' locations were considered; having counsel familiar with local courts in areas of the State where the Authority's facilities and offices are located is relevant, as the Authority may be sued or may choose to sue in local jurisdictions. Finally, there is also a need to have firms with sufficient personnel and resources available to provide legal support in any of the varied specialized areas in which the Authority may require legal advice. Three of the selected 13 general legal services firms are New York State-certified M/WBE firms. Gonzalez Saggio & Harlan LLP and Graves, Horton, Askew & Johns, LLC are New York State-certified MBE firms and Towne, Ryan & Partners, P.C. is a New York State-certified WBE firm. With the exception of Pillsbury Winthrop Shaw Pittman LLP, who is requesting a waiver, all of the other firms indicated a commitment to partner with certified M/WBE firms as part of their work for the Authority. As a result of the review of the proposals, the recommendation is that contracts be entered into with the following firms for general legal services on an 'as needed' basis:

Bleakley Platt & Schmidt, LLP
Bond, Schoeneck & King, PLLC
Carter Ledyard & Milburn LLP
Gibbons PC
Gonzalez Saggio & Harlan LLP
Graves, Horton, Askew & Johns LLC
Hiscock & Barclay LLP
Holland and Knight, LLP
Jackson Lewis P.C.
McCarter & English, LLP
Nixon Peabody LLP
Pillsbury Winthrop Shaw Pittman LLP
Towne, Ryan & Partners P.C.

If approved by the Trustees, the new contracts would become effective on or about May 26, 2015 for a term of up to five years.

II. Bond, Underwriter and Disclosure Counsel

A total of 12 firms responded to the RFP for bond, underwriter, and disclosure counsel services. Authority staff from legal and finance evaluated the written responses, and interviewed several bidders. The evaluation took into consideration a number of factors, including cost; the experience of the bidders with public finance and the power industry; experience representing the Authority and other public entities; written proposal quality; quality of responses to questions during interviews; and status as, or experience with and willingness to team with, M/WBE firms.

Typically, for bond issuances, there are three distinct roles for counsel: bond, disclosure and underwriter counsel representation. Both bond and disclosure counsels represent the interests of the issuers like the Authority. However, it is industry and Authority practice to have separate entities serve in these roles. From time to time, the Authority also consults with bond counsel and disclosure counsel on matters relating to its other municipal finance products, compliance with securities law and related issues. In contrast, underwriter counsel represents the interests of the Authority's underwriters. However, it is common practice for bond issuers like the Authority to recommend law firms for this role. The Authority will finance the services for all three roles, but will only enter into contracts with the bond and disclosure counsel firms.

Of the 12 firms that responded to the RFP, the following 6 firms exhibited exemplary qualifications for providing legal services relating to bond issuances:

Bryant Rabbino LLP
Gonzalez Saggio & Harlan LLP
Hawkins Delafield & Wood LLP
McKenna, Long & Aldridge LLP
Nixon Peabody LLP
Orrick Herrington & Sutcliffe LLP

These firms all have significant experience in public finance, tax issues related to bond matters and public power, and have exposure to numerous high-value bond issuances. In addition, Bryant Rabbino LLP and Gonzalez are New York State-certified MBE firms. All of the firms have indicated a commitment to partner with certified M/WBE firms as part of their work for the Authority. Given the overall quality of services that these firms would be able to provide, and using the criteria previously noted, it is recommended that the following firms be retained to provide bond issuance services on an 'as needed' basis for legal services as follows:

Bond Counsel
Bryant Rabbino LLP
Hawkins Delafield & Wood LLP
Orrick Herrington & Sutcliffe LLP

Underwriter Counsel
Bryant Rabbino LLP
Gonzalez Saggio & Harlan LLP
Nixon Peabody LLP
Orrick Herrington & Sutcliffe LLP

Disclosure Counsel
Hawkins Delafield & Wood LLP
McKenna Long & Aldridge LLP
Nixon Peabody LLP

If approved by the Trustees, the new contracts would become effective on or about May 26, 2015 for a term of up to five years.

III. Energy Services Counsel

A total of 10 law firms submitted proposals in response to the RFP to provide legal services related to energy services matters. These responses were reviewed and evaluated by Authority staff. Staff considered the experience of the bidders with energy services matters, expertise of the firm's attorneys, proposal quality, hourly rates, past Authority experience with the firm and the firm's record of hiring minorities and working with M/WBE law firms. All of the selected firms indicated their commitment to partner with New York State-certified M/WBE firms as part of their work for the Authority when possible. There are several firms identified in the bidders' M/WBE utilization plans that have applied to the Empire State Development for M/WBE certification. As a result of this evaluation, the following firms are recommended to be retained for energy services work:

Bond, Schoeneck & King PLLC
Hiscock & Barclay LLP
Holland & Knight LLP
Van Ness Feldman PC
Stinson Leonard Street LLP

The new contracts would become effective on or about May 26, 2015 for a term of up to five years, subject to the Trustees' approval, which is hereby requested.

FISCAL INFORMATION

Funds required to support contract services are available through the Law Department Outside Counsel budget, which has been included in the 2015 approved O&M Budget, or, in the case of debt issuances, from the proceeds of the sale of the Authority's debt instruments. Funds for subsequent years, where applicable, will be included in the budget submittals for those years, as well as from capital funding (where appropriate) as tasks are assigned. Payment will be made from the Operating Fund.

RECOMMENDATION

The Executive Vice President and General Counsel, the Executive Vice President and Chief Financial Officer and the Acting Vice President – Procurement recommend the Trustees' approval of the award of procurement contracts for a term of up to five years, in an aggregate amount of $13 million, to the law firms referenced in Exhibit '2c ii-A' attached hereto, for the purposes set forth above.

For the reasons stated, I recommend the approval of the above-requested action by adoption of the resolution below."

The following resolution, as submitted by the President and Chief Executive Officer, was adopted. Vice Chair Mahoney and Trustee Flynn were recused from the vote as it relates to the companies previously indicated.

RESOLVED, That pursuant to the Guidelines for Procurement Contracts adopted by the Authority and the Authority's Expenditure Authorization Procedures, approval is hereby granted for the award and funding of the procurement services contracts to the law firms set forth in Exhibit "2c ii-A" attached hereto, for a term of up to five years, in an aggregate amount of $13 million, as recommended in the foregoing report of the President and Chief Executive Officer; and be it further

RESOLVED, That the Chairman, the Vice Chair, the President and Chief Executive Officer, the Chief Operating Officer and all other officers of the Authority are, and each of them hereby is, authorized on behalf of the Authority to do any and all things and take any and all actions and execute and deliver any and all agreements, certificates and other documents to effectuate the foregoing resolution, subject to the approval of the form thereof by the Executive Vice President and General Counsel.

iii. Procurement (Services) Contract – Agreement Between the Authority and New York State Canal Corporation – Flashboard Installation/Removal – Crescent and Vischer Ferry Dams – Award

The President and Chief Executive Officer submitted the following report:

"SUMMARY

The Trustees are requested to approve the award of a three-year single-source contract to the New York State Canal Corporation ('Canals') for an authorized amount of $710,565.81 to install and remove flashboards at the Authority's Vischer Ferry and Crescent Dam sites in the counties of Saratoga, Schenectady and Albany.

BACKGROUND

Section 2879 of the Public Authorities Law and the Authority's Guidelines for Procurement Contracts require the Trustees' approval for procurement contracts involving services to be rendered for a period in excess of one year.

Pursuant to the Federal Energy Regulatory Commission ('FERC') license requirements for the operation of hydroelectric facilities at the Vischer Ferry and Crescent Dams on the Mohawk River, flashboards are installed to raise the pond level to enhance the Erie Canal lock operation and navigation during the boating season. Flashboards are a series of boards installed on a dam to increase the height of the dam and thus the depth of the impounded water. The higher water levels also provide additional head for power generation. The flashboards are customarily installed in the spring and removed in the fall. However, high water events may damage the flashboard pins and/or flashboards, necessitating replacement.

The Authority does not have the equipment or resources needed to install and/or remove the flashboards and must retain a third-party with the requisite capability to perform this work. Since 2000, Canals has been retained to carry out this work. The current contract with Canals has expired and a new contract is required before the navigation season commences.

DISCUSSION

The Canal Corporation manages navigation and the lock system on the Mohawk River and Erie Canal. It has the necessary equipment, including barge cranes, as well as the employee expertise to handle installation and removal of the flashboards. Moreover, Canals has proven itself to be highly reliable and responsive to the Authority's needs during recent high water events. Quick repair and replacement of the flashboards cuts generation losses and facilitates FERC compliance. In contracting for these services with a government entity, the Authority insures verifiable adherence to safety, employment and billing requirements. Due to the foregoing, staff believes that Canals provides unique capabilities and benefits that warrant awarding the contract to Canals on a single-source basis.

The proposed contract, subject to approval by the Trustees, is for three years, with a 'per-event' cost of $77,010.45 in the first year, $78,935.71 in the second year, and $80,909.11 in the third year. In normal years, there are two 'events' -- installation and removal. However, as noted above, when high water damages the flashboards and/or flashboard pins mid-season, replacement of the boards constitutes an additional 'event.' The authorized amount requested has assumed three such events per year over the three-year contract term. The 'per-event' amounts are based on a detailed estimate of costs provided by Canals. Staff has reviewed the estimate of costs, which is in line with the costs charged for the previous several years, and has found them acceptable.

FISCAL INFORMATION

Payment for this service agreement will be made from the Authority's Operating Fund.

RECOMMENDATION

The Regional Manager – Central New York and the Acting Vice President – Procurement recommend that the Trustees approve the award of a three-year single-source contract to the New York State Canal Corporation for an authorized amount of $710,565.81 to install and remove flashboards at the Authority's Vischer Ferry and Crescent Dam sites in the counties of Saratoga, Schenectady and Albany.

For the reasons stated, I recommend the approval of the above-requested action by adoption of the resolution below."

The following resolution, as submitted by the President and Chief Executive Officer, was adopted with Vice Chair Mahoney being recused from the vote.

RESOLVED, That pursuant to the Guidelines for Procurement Contracts adopted by the Authority, the award and funding of the three-year single-source procurement services contract to the New York State Canal Corporation is hereby approved for the period of time indicated, in the amounts and for the purposes listed therein, as recommended in the foregoing report of the President and Chief Executive Officer;

Contract	**Contract Approval**
New York State Canal Corporation	**$710,565.81**

AND BE IT FURTHER RESOLVED, That the Acting Vice President – Procurement, or his designee, be, and hereby is, authorized and directed to take such actions and execute on behalf of the Authority agreements, certificates and other documents to effectuate the foregoing resolution; and be it further

RESOLVED, That the Chairman, the Vice Chair, the President and Chief Executive Officer, the Chief Operating Officer and all other officers of the Authority are, and each of them hereby is, authorized on behalf of the Authority to do any and all things and take any and all actions and execute and deliver any and all agreements, certificates and other documents to effectuate the foregoing resolution, subject to the approval of the form thereof by the Executive Vice President and General Counsel.

iv. Procurement (Services) Contract – NERC CIP Version 5 Physical and Cyber Security Upgrades – Contract Award

The President and Chief Executive Officer submitted the following report:

"SUMMARY

The Trustees are requested to approve the award of a contract in the amount of $21,440,070 to Johnson Controls, Inc. ('JCI') of Amherst, NY for a term of up to two years to engineer, procure and construct systems associated with physical and cyber security upgrades in order to maintain compliance with the North American Electric Reliability Corporation's Critical Infrastructure Protection ('NERC CIP'), Version 5 Standards.

Interim approval in the amount of $250,000 to JCI for commencement of engineering activities in order to meet the compliance date of April 2016 has previously been approved by the Chief Operating Officer.

BACKGROUND

The proposed award to JCI, originally included in the February 26th Consent Agenda, Item 2d iii, was not adopted by the Trustees because they were unable to attain the required number of votes based on conflicts of interest filed by some of the Board members. Therefore, the proposed award to this firm is resubmitted for the Board's consideration with the intent of achieving the required quorum for adoption.

Section 2879 of the New York State Public Authorities Law and the Authority's Guidelines for Procurement Contracts require the Trustees' approval for non-personal services contracts in excess of $3 million and contracts involving services to be rendered for a period in excess of one year.

The NERC CIP Standards provide compliance regulations that stretch across the electric industry. The Authority, as a registered Generator Owner, Generator Operator, Transmission Owner, Purchasing and Selling Entity and Load Serving Entity must comply with all applicable regulatory standards.

A new version (Version 5) of the NERC CIP standards will be in effect as of April 2016 and will influence the compliance process for critical assets under the NERC CIP umbrella. The Authority's program for ensuring compliance with the NERC CIP standards is managed by the Authority's Reliability Standards and Compliance group ('RSC'). Physical and cyber security upgrades for ensuring that the Authority's compliance program meets all the requirements of the Version 5 standards will be addressed by this contract. The upgrades will include physical security enhancements, including cameras, access controls and methods of preventing and detecting intrusion in Authority substations

The contract includes work at the Authority's Generation and Transmission Facilities including Niagara, St. Lawrence, Blenheim-Gilboa, Marcy South East and West Transition Stations, Astoria, Sprain Brook and the Remote Substations (Plattsburgh, Willis, Saranac, Adirondack, Patnode, Duley, Ryan).

DISCUSSION

In order to meet the North American Electric Reliability Corporation's Critical Infrastructure Protection, Version 5 compliance date of April 2016, the project has been structured so that work will be performed simultaneously at the various facilities.

In response to the Authority's Request for Proposal (Q14-5730RH) advertised in the New York State *Contract Reporter* on September 15, 2014, one hundred and forty seven (147) firms downloaded the bid documents. More than ten firms participated in pre-bid walkdowns that were conducted over a period of four weeks at the Authority's facilities throughout NY State.

The bid period was extended from the initial due date of October 14, 2014 to November 5, 2014, at the end of which, one proposal was received on November 5, 2014 as noted below:

Bidder	**Base Price**	**Evaluated Price**
Johnson Controls, Inc. Amherst NY	$17,732,618	$21,440,070

Post-bid clarifications were issued clarifying required quantities and system requirements which resulted in the revised pricing.

EVALUATION SUMMARY

- JCI was the only bidder for this RFP

- JCI is technically qualified to perform this work and has performed adequately on similar installations at various NYPA facilities

- 20% of the value of the base price will be subcontracted to MBE (10%) and WBE(10%) businesses, respectively, in NYS

- All commercial exceptions taken by JCI to the Authority's standard commercial terms and conditions have been resolved

- The Fair Cost Estimate for the Base Price RFP was $15,000,000

As part of the RFP process, some of the firms that did not bid on the contract provided their reason(s) for not bidding. Feedback received was that there was not enough time given to bid; key personnel were busy on other work; and the proprietary nature of the existing equipment. Since the base bid is in line with the fair cost estimate, staff is comfortable with the above award even though only one bid was received.

FISCAL INFORMATION

Payment associated with this project will be made from the Authority's Capital Fund.

RECOMMENDATION

The Senior Vice President and Chief Engineer – Operations Support Services, the Acting Vice President – Project Management, the Vice President – Technical Compliance, the Vice President – Engineering, the Vice President – Transmission, the Acting Vice President – Procurement, and the Project Manager recommend that the Trustees authorize the award of a $21,440,070 contract to Johnson Controls, Inc. of Amherst NY for the North American Electric Reliability Corporation's Critical Infrastructure Protection ('NERC CIP'), Version 5 Physical and Cyber Security Upgrades.

For the reasons stated, I recommend the approval of the above-requested action by adoption of the resolution below."

The following resolution, as submitted by the President and Chief Executive Officer, was adopted with Vice Chair Mahoney being recused from the vote.

RESOLVED, That pursuant to the Guidelines for Procurement Contracts adopted by the Authority and the Authority's Expenditure Authorization procedures, approval is hereby granted to award a contract to Johnson Controls, Inc., in the amount of $21,440,070 for a term of up to two years to engineer, procure and construct all systems for the North American Electric Reliability Corporation's Critical Infrastructure Protection ("NERC CIP") Version 5 Physical and Cyber Security Upgrades, as recommended in the foregoing report of the President and Chief Executive Officer:

Contractor	**Contract Approval**
Johnson Controls, Inc. Amherst, NY (Q14-5730RH)	**$21,440,070**

AND BE IT FURTHER RESOLVED, That the Chairman, the Vice Chair, the President and Chief Executive Officer, the Chief Operating Officer and all other officers of the Authority are, and each of them hereby is, authorized on behalf of the Authority to do any and all things and take any and all actions and execute and deliver any and all agreements, certificates and other documents to effectuate the foregoing resolution, subject to the approval of the form thereof by the Executive Vice President and General Counsel.

NIAGARA

v. Procurement (Construction) Contract – Niagara Power Project Relicensing – Strawberry Island Wetland Restoration Habitat Improvement Project – Contract Award

The President and Chief Executive Officer submitted the following report:

"SUMMARY

The Trustees are requested to approve the award of a construction contract to L.D.C. Construction Co. Inc. of Grand Island, NY for the construction of the Strawberry Island Wetland Restoration Habitat Improvement Project ('HIP'). The contract will be awarded for a base amount of $3,190,925 with an additional $600,000 in funding for optional work that would only be released with prior NYPA authorization. The term of the contract will be three years.

BACKGROUND

Section 2879 of the Public Authorities Law and the Authority's Guidelines for Procurement Contracts require the Trustees' approval for procurement contracts involving services to be rendered for a period in excess of one year. Also, in accordance with the Authority's Expenditure Authorization Procedures, the award of non-personal services or equipment purchase contracts exceeding $3 million require the Trustees' approval.

This project is the construction of approximately eight acres of habitat improvements on Strawberry Island as part of the Strawberry Island Wetland Restoration HIP. Strawberry Island is located in the upper Niagara River near Grand Island, New York. Implementation of this HIP is one of the commitments made in the Niagara Power Project Relicensing Settlement Agreement that resulted in a new 50-year license. Planting to complete the wetland restoration will be handled by a separate contractor under a separate contract.

DISCUSSION

A Request for Quotation ('RFQ') Q14-5795MH for the construction work was publicly noticed in the New York State *Contract Reporter* on December 23, 2014, and the full RFQ was made available at that time on the NYPA Procurement website. A mandatory pre-bid meeting was held at Beaver Island State Park on January 13, 2015.

The RFQ was downloaded by one hundred and one (101) firms. Three bids were received from the following firms: Mark Cerrone, Inc. ('MCI') of Niagara Falls, NY; L.D.C. Construction Co. Inc. ('LDC') of Grand Island, NY; and Ontario Specialty Contracting, Inc. ('OSC') of Buffalo, NY.

Staff from the Authority's Relicensing and Implementation ('R&I') Division, the Environmental Health and Safety Division, and the Procurement Division evaluated the proposals for technical qualifications and pricing. The Authority's review team was assisted in the technical evaluation by staff from Kleinschmidt Associates, who was responsible for the biological design and engineered elements of the HIP, and the Authority's Niagara Compliance Implementation Consultant, Gomez and Sullivan Engineers, who assist the Authority with project management of Niagara Project's relicensing implementation projects.

LDC was the lowest qualified bidder. LDC's overall marine construction experience and successful performance on three river projects for the Niagara Relicensing Implementation supports the selection of that firm. Their proposed approach to the work is detailed, thorough and appropriate, compliant with schedule needs, and responsive to project-specific concerns about unique features of the work site. The company's recent successful completion of the Little Beaver Island wetland restoration HIP, the Motor Island Shoreline Restoration HIP, and the Frog Island HIP for the Authority amply demonstrated its capability to execute large earthwork projects in a marine environment. In addition to operating safely and with appropriate quality control, LDC demonstrated considerable resourcefulness on the Beaver Island project in overcoming challenges that were not of its making, and worked very

well in coordinating overlapping work with a planting contractor, similar to what is planned for the Strawberry Island HIP. LDC took no deviations or exceptions to the commercial or technical specifications, or the Terms and Conditions provided in the RFP.

Therefore, it is recommended that the contract be awarded to LDC. The contract term will be for three (3) years. The contract will be awarded for total of $3,790,925 with a base amount of $3,190,925 and an additional $600,000 in funding for optional work that would only be released with prior NYPA authorization.

FISCAL INFORMATION

Since these expenditures are related to implementing new project commitments in the New License and the Section 401 Water Quality Certificate issued by the New York State Department of Environmental Conservation, payments will be made from the Capital Fund.

RECOMMENDATION

The Senior Vice President – Public and Regulatory Affairs recommends that the Trustees authorize the award of a construction contract for the Strawberry Island Habitat Improvement Project to L.D.C. Construction Co. Inc. in the amount of $3,790,925.

For the reasons stated, I recommend the approval of the above-requested action by adoption of the resolution below."

The following resolution, as submitted by the President and Chief Executive Officer, was unanimously adopted.

RESOLVED, That pursuant to the Guidelines for Procurement Contracts adopted by the Authority and the Authority's Expenditure Authorization Procedures, approval is hereby granted to award a contract to L.D.C. Construction Co., Inc., in the amount of $3,790,925 for a term of three years for the construction of the Strawberry Island Westland Restoration Habitat Improvement Project, as recommended in the foregoing report of the President and Chief Executive Officer;

Contractor	**Contract Approval**
L.D.C. Construction Co. Inc. Grand Island, NY (Q14-5795MH)	**$3,790,925**

AND BE IT FURTHER RESOLVED, That the Chairman, the Vice Chair, the President and Chief Executive Officer, the Chief Operating Officer and all other officers of the Authority are, and each of them hereby is, authorized on behalf of the Authority to do any and all things and take any and all actions and execute and deliver any and all agreements, certificates and other documents to effectuate the foregoing resolution, subject to the approval of the form thereof by the Executive Vice President and General Counsel.

d. Real Estate:

i. Niagara-Adirondack Tie Line – Acquisition of Danger Tree Easement – Town of Cicero, County of Onondaga – Map No. OCI-1449, Parcel No. 1449

The President and Chief Executive Officer submitted the following report:

"SUMMARY

The Trustees are requested to authorize the acquisition of a danger tree easement of approximately 2.2 acres by purchase or eminent domain for the sum of $16,200.00 to remove trees that threaten the continued safe operation of the Niagara-Adirondack Tie Line ('NATL'). The proposed easement, described in Exhibit '2d i-A' will encumber a 50-foot-wide strip of land 1,953 feet in length adjoining the existing permanent right-of-way in the Town of Cicero, Onondaga County.

BACKGROUND

Article 5, Title 1, §1007 of the Public Authorities Law allows for the acquisition of property when the Authority finds it necessary or convenient for it to acquire any real property. The Authority's Expenditure Authorization Procedures require the Trustees' approval of acquisitions or dispositions of fee or easement interests in real property where the per-parcel value exceeds $10,000.00.

The 300-foot-wide NATL right-of way runs from the Niagara Power Project to the Edic Substation near Utica. As the major east-west transmission facility in New York State, it is responsible for transporting as much as 6-8% of the State's total electric load. The rights-of-way for the NATL were acquired in the early 1960s before the Authority established the policy of acquiring danger tree easements contemporaneously with transmission line easements. As no such danger tree easement exists abutting the NATL easement, the Authority has either purchased danger tree temporary permits or permanent easements to remove trees adjacent to the NATL or, in the vast majority of cases, purchased the right to cut individual trees. Acquisition of danger trees has been increasingly difficult as the result of changes in land use, such as suburbanization of areas in the vicinity of the Authority's transmission lines.

Due to the importance of the NATL to the reliability of electric service in New York and the threat presented by danger trees to both the operation of the line and the health and safety of those people living in proximity to the line, it is necessary to acquire permanent tree-cutting rights.

DISCUSSION

During recent aerial and ground surveys of the Niagara-Adirondack Tie Line in support of the Authority's vegetation management program and North American Electric Reliability Corporation's ('NERC') compliance guidelines, a significant number of trees on property owned by Thomas Woznica were identified as being of sufficient height to present a potential danger to the Authority's transmission lines and facilities. Although the Authority had in the past paid Mr. Woznica to acquire a permit to cut individual danger trees on his property, he expressed reluctance in granting another such permit. As negotiations to purchase individual trees came to an impasse, the Authority opted to pursue the acquisition of a permanent easement for danger tree management on an area approximately 50 feet wide by 1,953 feet long along the southern edge of the existing right-of-way so as to avoid the need for future renegotiation with the landowner.

After negotiation with Mr. Woznica and his counsel, the Authority agreed to pay, and Mr. Woznica agreed to accept, the sum of $16,200.00 for the acquisition of these rights affecting 2.2 acres of his property. Staff believes that this is reasonable consideration for the easement based upon comparable per-acre values obtained from the local county clerk's office. The acquisition of a permanent easement will assist the Authority in meeting its vegetation management and NERC compliance obligations and will also obviate the need to repeatedly seek danger tree permits on the same property.

FISCAL INFORMATION

Payment for the property acquisition will be made from the Authority's Operating Fund. The acquisition costs for this property will be in accordance with current Expenditure Authorization Procedures.

RECOMMENDATION

The Vice President – Transmission, the Acting Vice President – Procurement and the Director – Site Purchasing, Materials Management and Real Estate recommend that the Trustees approve the acquisition of a permanent danger tree easement over and upon 2.2 acres of real property located in the Town of Cicero, Onondaga County, from Thomas Woznica for the sum of $16,200.00.

For the reasons stated, I recommend the approval of the above-requested action by adoption of the resolution below."

The following resolution, as submitted by the President and Chief Executive Officer, was unanimously adopted.

RESOLVED, That pursuant to the provisions of Article 5, Title 1 of the Public Authorities Law, the Authority hereby finds it necessary to acquire by purchase or eminent domain the real property shown on the map attached hereto as Exhibit "2d i-A" for the sum of $16,200.00 and hereby finds and determines that such real property is required for a public use and hereby determines that such real property is reasonably necessary for the Niagara-Adirondack Tie Line; and be it further

RESOLVED, that the Acting Vice President – Procurement and the Director – Site Purchasing, Materials Management and Real Estate, or designee, be, and each of them hereby is, authorized and directed to take such actions and execute on behalf of the Authority such deeds and supporting documents as are necessary or desirable to acquire such real property; and be it further

RESOLVED, That the Chairman, the Vice Chair, the President and Chief Executive Officer, the Chief Operating Officer and all other officers of the Authority are, and each of them hereby is, authorized on behalf of the Authority to do any and all things and take any and all actions and execute and deliver any and all agreements, certificates and other documents to effectuate the foregoing resolution, subject to the approval of the form thereof by the Executive Vice President and General Counsel.

ii. Blenheim-Gilboa Power Project – Disposal of 7.40 Acres of Surplus Land – Town of Gilboa, County of Schoharie – Map No. 18-C, Parcel Nos. 522-A and 522-B

The President and Chief Executive Officer submitted the following report:

"SUMMARY

The Trustees are requested to authorize the conveyance of two adjoining parcels of unimproved surplus land, totaling approximately 7.40 acres, located along Mower-Kingsley Road in the Town of Gilboa, Schoharie County, to Paul J. Izzo and Lucille E. Izzo for the sum of $12,000.00. The property to be conveyed is shown on the map attached hereto as Exhibit '2d i-A.'

BACKGROUND

Title 5-A of Article 9 of the Public Authorities Law (the 'Act') and the Authority's Guidelines for the Disposal of Real Property (the 'Guidelines') allow the Authority, with the approval of the Trustees, to dispose of Authority real property by negotiation when the fair market value of such property is less than $15,000.00.

The Authority's Expenditure Authorization Procedures require Trustee approval of acquisitions or dispositions of fee or easement interests in real property where the per-parcel value exceeds $10,000.00.

DISCUSSION

The Authority acquired title to the subject property by appropriation Map No. BG-0002, Parcel No(s) 15 and 16, both filed on July 23, 1969, in conjunction with its construction of the Blenheim-Gilboa Power Project. Lucille Izzo, co-owner of Parcel 522-A, comprising 6.07 acres, is the niece of William Didio. Mr. Didio was the owner at the time of appropriation and retains a life estate on the property. An additional small parcel, Parcel 522-B, comprising 1.33 acres, is also included so that the conveyed parcel has adequate access from Mower-Kingsley Road. It is not reasonable or practicable to convey this small parcel back to the original owners who are no longer adjoining landowners.

The appraised value of the property is $12,000.00. The proposed purchasers have agreed to pay this sum.

The Regional Manager of the Blenheim-Gilboa Power Project has advised that the subject property is not required for Authority operations and is surplus.

FISCAL INFORMATION

Proceeds of such disposition will be deposited into the Authority's Operating Fund.

RECOMMENDATION

The Vice President – Transmission, the Acting Vice President – Procurement and the Director – Site Purchasing, Materials Management and Real Estate recommend that the Trustees approve the sale of 7.40 acres of real property located in the Town of Gilboa, Schoharie County, shown on the map attached hereto as Exhibit '2d i-A,' to Paul J. Izzo and Lucille E. Izzo for the sum of $12,000.00.

For the reasons stated, I recommend the approval of the above-requested action by adoption of the resolution below."

The following resolution, as submitted by the President and Chief Executive Officer, was unanimously adopted.

RESOLVED, That pursuant to the provisions of Article 5, Title 1 of the Public Authorities Law, the Authority hereby finds and determines that title to the real properties shown and described on the maps entitled "Power Authority of the State of New York, Blenheim-Gilboa Pumped Storage Power Project Map No. 18-C, Parcel No's. 522A and 522B" may be transferred to Paul J. Izzo and Lucille E. Izzo for the sum of $12,000.00; and be it further

RESOLVED, that the Acting Vice President – Procurement and the Director – Site Purchasing, Materials Management and Real Estate, or designee, be, and each of them hereby is, authorized and directed to take such actions and execute on behalf of the Authority such deeds and supporting documents as are necessary or desirable to dispose of such real property; and be it further

RESOLVED, That the Chairman, the Vice Chair, the President and Chief Executive Officer, the Chief Operating Officer and all other officers of the Authority are, and each of them hereby is, authorized on behalf of the Authority to do any and all things and take any and all actions and execute and deliver any and all agreements, certificates and other documents to effectuate the foregoing resolution, subject to the approval of the form thereof by the Executive Vice President and General Counsel.

iii. Lease of Office Space – Zero Energy Nanotechnology Building – SUNY College of Nanoscience and Engineering – New York Energy Manager Program

The President and Chief Executive Officer submitted the following report:

"SUMMARY

The Trustees are requested to approve a 10-year lease by the Authority for a 5,600 square-foot space in the Zero Energy Nanotechnology ('ZEN') Building located on the campus of the SUNY College of Nanoscience and Engineering ('CNSE') in Albany, New York to serve as a networks operations center. The proposed lease will be at an initial per square foot rate of $20.00 psf with a 3% annual escalation and will include build-out costs (other than IT build-out) not to exceed $120 psf. The space, managed and leased by Fuller Road Management Corporation ('FRMC'), will house the Authority's New York Energy Manager ('NYEM') Program.

BACKGROUND

The NYEM is an innovative new program developed by the Authority to support thousands of New York State facilities to assist with real time energy management. NYEM is an essential part of the Governor's Build Smart NY initiative and Executive Order 88, which mandates a reduction in energy usage in New York State ('NYS') government buildings by 20 percent by the year 2020. NYEM enables NYPA to provide State agencies and their facility managers with data-driven tools, support, and strategies to drive the advancement in building energy performance necessary to comply with the Governor's energy reduction target.

A critical component of the program is the establishment of a centralized network operations center, equipped with state-of-the-art technology, which meets high standards for security, provides ample options for hosting mid-sized and large customer meetings and training and is centrally located in the Albany area.

The NYEM entered into a lease for a 400 square-feet space at CNSE in May 2014. This lease contemplated expansion into larger space in the adjacent ZEN building which was then under construction. NYEM is growing rapidly and is on target to connect over 750 buildings to its system in real time by the end of 2015. This scaling up necessitates expansion into a larger space that can support a state-of-the-art central energy monitoring center equipped with large display screens capable of streaming live energy use information from sites, offers secure access and controlled environment, and has an onsite high throughput data center to handle the digital data and communication needs.

The Authority's Real Property Expenditure Authorization Procedures require Trustee approval of any lease where the total term is more than ten years or the annual rent is in excess of $100,000.00.

DISCUSSION

CNSE has quickly become the state's technology hub, attracting major tenants such as IBM, Intel and Toshiba, among many others. The CNSE campus offers world class amenities and flexible meeting spaces, including multiple conference rooms and high tech lecture halls. The Authority has already leveraged these assets for various customer and stakeholder meetings. The facility's centralized location in NYS has proven convenient and attractive to NYEM customers, many of which are headquartered in Albany and the surrounding areas.

The building will also house NYS's centralized data center, managed by the Office of General Services ('OGS') and available to all state agencies with supporting infrastructure, including emergency power.

Location of the NYEM in the ZEN building will directly tie into the Authority's energy efficiency initiatives. The ZEN building will be the largest mixed use commercial building in North America achieving zero net energy status with all its energy needs produced on-site from renewables. This provides an excellent marketing opportunity for the Authority to motivate its customer base to save on energy and will enable use of the building as a case study to visitors. The Authority provided energy efficiency expertise to the design of the ZEN building and

plans to test its performance and development of successful energy efficiency technologies for mass market application.

Construction of the new Zen Building is now nearing completion, offering the Authority the opportunity to custom-build to meet specific needs. These aspects make it a natural choice for locating the permanent NYEM space within the ZEN building.

The 5600 square-foot space in the ZEN Building will provide a central hub for the NYEM in a location ideally suited to fulfilling the program's goals and will allow for technological upgrades and staff expansion as the program continues its rapid growth. The proposed rental rate is comparable to that paid by other governmental agencies on the CNSE campus, and with the rate paid by the Authority for the space it currently rents there. The rate is competitive with that charged for similar, premium space in the Capital District.

Staff believes that the site at CNSE provides unique synchronicities and benefits that support entering into a 10-year lease along the general terms as set forth above.

FISCAL INFORMATION

Payment for the rent and associated costs will be made from the Authority's Operating Fund. The acquisition costs for this property will be in accordance with current Expenditure Authorization Procedures.

RECOMMENDATION

The Vice President – Customer Energy Solutions, the Acting Vice President – Procurement and the Director – Site Purchasing, Materials Management and Real Estate recommend that the Trustees approve entering into a 10-year lease by the Authority for 5,600 square-feet of space in the Zero Energy Nanotechnology ('ZEN') building located on the campus of the SUNY College of Nanoscience and Engineering ('CNSE') in Albany, New York to serve as a networks operations center for the Authority's New York Energy Manager Program. The proposed lease will be at an initial per square foot rate of $20.00 psf with a 3% annual escalation and will include build-out costs (other than IT build-out) not to exceed $120 psf.

For the reasons stated, I recommend the approval of the above-requested action by adoption of the resolution below."

The following resolution, as submitted by the President and Chief Executive Officer, was adopted with Trustee Kress being recused from the vote.

RESOLVED, That pursuant to the Authority's Real Property Expenditure Authorization Procedures, the Authority is hereby authorized to enter into a ten-year Lease Agreement between the Authority and Fuller Road Management Corporation, Inc., for an initial per-square-foot rate of $20.00 psf with a 3% annual escalation and will include build-out costs (other than IT build-out) not to exceed $120 psf; and be it further

RESOLVED, That the Acting Vice President – Procurement and the Director – Site Purchasing, Materials Management and Real Estate, or designee, be, and each of them hereby is, authorized to execute any and all other agreements, papers or instruments on behalf of the Authority that may be deemed necessary or desirable to carry out the foregoing, subject to the approval by the Executive Vice President and General Counsel, or his designee; and be it further

RESOLVED, That the Chairman, the Vice Chair, the President and Chief Executive Officer, the Chief Operating Officer and all other officers of the Authority are, and each of them hereby is, authorized on behalf of the Authority to do any and all things and take any and all actions and execute and deliver any and all agreements, certificates and other documents to effectuate the foregoing resolution, subject to the approval of the form thereof by the Executive Vice President and General Counsel.

e. Annual Reports:

i. Annual Review and Approval of Guidelines for the Investment of Funds and 2014 Annual Report on Investment of Authority Funds

The President and Chief Executive Officer submitted the following report:

"SUMMARY

The Trustees are requested to: (1) review and approve the attached 2014 Annual Report on Investment of Authority Funds (Exhibit '2e i-A'), and (2) amend the Authority's Investment Guidelines to include Service-Disabled Veteran-Owned Businesses as qualifying Dealers.

BACKGROUND

Section 2925 of the Public Authorities Law requires the review and approval of an annual report on investments. Pursuant to the statute, the attached report includes Investment Guidelines that set standards for the management and control of the Authority's investments; total investment income; a statement of fees paid for investment management services; the results of an independent audit; a detailed inventory report for each of the Authority's investment portfolios as of December 31, 2014; and a summary of transactions with brokers, dealers and banks. The approved annual report is filed with the State Division of the Budget, with copies to the Office of the State Comptroller, the Senate Finance Committee and the Assembly Ways and Means Committee. The report is also available to the public upon written request.

DISCUSSION

1. Overview of 2014 Annual Report on Investment of Authority Funds

In 2014, the Authority's investment portfolios, exclusive of the separately managed Other Post-Employment Benefits Trust Fund and Nuclear Decommissioning Trust Fund, averaged $1.35 billion with a December 31, 2014 cost of $1.415 billion and market value of $1.416 billion, representing a positive mark-to-market of $446,000. At year-end, $1.373 billion in cash and investments was held in the Authority's Operating Fund with the remainder held in construction funds and restricted funds. The Operating Fund was created by the Authority's General Resolution authorizing Revenue Obligations adopted on February 24, 1998. A number of internal reserves have been established within the Operating Fund, as follows (year-end balances noted in parentheses):

- **Debt Service Reserve ($67 million)** – The Debt Service Reserve is funded monthly to ensure that sufficient amounts are available to pay debt service obligations when due. The Authority's scheduled principal and interest payments presently total approximately $140 - $160 million per year.

- **Energy Hedging/Fuel Reserve ($18 million)** – This Reserve was established to have funds available for use as collateral that may be required to support the Authority's authorized fuel and energy hedging transactions and to maintain funds to match a federal obligation to pay for the processing and final disposition of spent nuclear fuel burned by the Authority when it owned the Indian Point #3 and James A. FitzPatrick nuclear plants. On February 3, 2009, the Trustees approved the temporary transfer to the State of New York ('State') of $215 million held in this Reserve for the spent fuel obligation to assist with the State's budgetary deficits. The temporary asset transfer was completed on February 25, 2009 and, in accordance with the terms and conditions of an Memorandum of Understanding between the NYS Director of the Division of Budget and the Authority, is due to be returned to the Authority no later than September 30, 2017. The December 31, 2014 spent fuel obligation was $217 million.

- **Capital Project Reserve ($871 million)** – This amount is being set aside to partially fund major new investments in energy infrastructure by the Authority. In order to minimize customer costs, maintain the

Authority's financial metrics and maintain ready access to the capital markets, it has been determined that the major investments should be financed with a portion funded by debt and a portion funded by Authority cash or, in effect, its 'equity.' This Reserve has been established to provide this equity. On February 3, 2009, the Trustees approved a temporary transfer of $103 million from the Capital Project Reserve to the State to assist with the State's budgetary deficits and reaffirmed the transfer on July 28, 2009. The temporary asset transfer was completed in September 2009, and in accordance with the terms and conditions of an amended Memorandum of Understanding between the NYS Director of the Division of Budget and the Authority, is scheduled to be returned to the Authority in five annual installments beginning in 2014. The first of these installments of $18 million was received on October 1, 2014. On January 28, 2014, the Authority's Trustees approved the dedication of $800 million presently held in the Capital Project Reserve to meet a portion of the costs of major renewals, replacements, repairs, additions, betterments and other investments associated with the Authority's strategic initiatives, capital plan and energy efficiency investments.

- **Western New York Economic Development Fund ($33 million) –** On March 30, 2012, Governor Cuomo signed into law the Western New York Power Proceeds Allocation Act (the 'Act'), which authorizes net earnings from the sale of unallocated Expansion Power and Replacement Power from the Authority's Niagara power project, as deemed feasible and advisable by the Trustees, to be deposited into the Western New York Economic Development Fund ('WNYEDF'). The net earnings deposited into the WNYEDF will be utilized to fund economic development projects by private businesses, including not-for-profits, which are physically located within New York State and within a thirty-mile radius of the Niagara power project.

- **Operating Reserve ($384 million)** – The Operating Reserve includes a reserve for working capital and emergency repairs to the Authority's projects. The Authority's Trustees have established a minimum reserve amount of $175 million for this purpose and funds cannot be released for 'any lawful corporate purpose' (pursuant to Section 503(1)(e) of the Bond Resolution) unless this minimum reserve level is satisfied. The December 31, 2014 Operating Reserve of $384 million reflects this $175 million minimum, plus the amount staff deems prudent to provide for uncertainties in cash flows and commitments related to certain statewide economic development programs.

In addition to the Operating Fund, as of December 31, 2014, the Authority separately held a total of $59 million in cash and investments from the proceeds of bond and note issuances in its Note Debt Reserve and Construction portfolios. These funds are earmarked for construction projects currently under way, such as the St. Lawrence Life Extension and Modernization Project and improvements pursuant to the Niagara Relicensing Settlement Agreements.

The Authority's portfolios earned approximately $18 million in investment income in 2014, $3 million less than investment income earned in 2013. While the portfolios generated additional income in 2014 on net new cash invested, the prolonged low interest rate environment reduced the earning on maturing securities re-invested in lower yielding instruments. In 2014, the Authority's portfolios had an average yield of 1.30%, exceeding the Authority's targeted performance by 22 basis points (22/100 of 1%). Targeted performance for 2014 was the three-year rolling average yield of the two-year Treasury note with an average added spread of 77 basis points.

As of December 31, 2014, the portfolio was comprised of United States treasury securities (7.4%), government-sponsored agency securities (81.3%), municipal securities (5.7%), mortgages guaranteed by the U. S. government (0.4%) and certificates of deposit and repurchase agreements (5.2%).

Other Post-Employment Benefits Trust ($467 million)

The Authority's Other Post-Employment Benefits Trust ('OPEB Trust') was established in 2007 as authorized by the Authority's Trustees at their December 19, 2006 meeting to provide for medical, prescription drug, life and other long-term care benefits offered by the Authority for retirees and eligible beneficiaries. The OPEB Trust allows for investments in a diversified portfolio of assets, including domestic and international equity securities, domestic and international fixed-income securities, public Real Estate Investment Trusts and a U. S. Treasury Money Market fund. During 2007 and 2008, the Authority deposited a total of $225 million into the OPEB Trust to partially fund its actuarial accrued liability. On October 25, 2011, the Authority's Trustees approved

on-going annual funding of the OPEB Trust in order to strengthen the Authority's financial position. Contributions totaling $100.7 million, which represented the net obligation for the years 2009 through 2014, were made to the OPEB Trust in accordance with the Trustees authorization. The accrued liability as of December 31, 2014 was estimated to be $606 million.

As of December 31, 2014, the OPEB Trust's market value was approximately $467 million, representing an annualized return of 6.92% for 2014. The return performance was attributable to positive returns in domestic equity, real estate and fixed income asset classes with the international equity asset class realizing slightly negative performance in 2014.

Investment management and advisory fees associated with the OPEB Trust Fund totaled $1,659,503 in 2014 and were paid from such Trust Fund. These fees and the firms paid are detailed in Section III (B) of the attached report.

Nuclear Decommissioning Trust ($1.41 billion)

On November 21, 2000, the Authority completed the sale of its Indian Point #3 and James A. FitzPatrick nuclear plants to two subsidiaries of Entergy Corporation pursuant to a purchase-and-sale agreement dated March 28, 2000. In accordance with the Decommissioning Agreements, the Authority retains contractual decommissioning liability until license expiration, a change in the tax status of the fund or any early dismantlement of the plants, at which time the Authority will have the option to terminate its decommissioning responsibility and transfer the plant's fund to the Entergy subsidiary owning the plant. At that time, the Authority will be entitled to be paid an amount equal to the excess of the amount in the fund over the Inflation Adjusted Cost Amount (a fixed estimated decommissioning cost amount adjusted in accordance with the effect of increases and decreases in the U. S. Nuclear Regulatory Commission minimum cost-estimate amounts applicable to the plant), if any. The Authority's decommissioning liability is limited to the lesser of the Inflation Adjusted Cost Amount or the amount of the plant's fund, guaranteeing that no additional cost burdens may be placed on the Authority.

As of December 31, 2014, the Nuclear Decommissioning Trust's ('NDT') market value was approximately $1.41 billion, representing an annualized return of 9.03% for 2014. The return performance was attributable to positive returns in both the domestic equity and core fixed income asset classes in 2014.

Investment management and advisory fees associated with the Nuclear Decommissioning Trust Fund totaled $1,731,264 in 2014 and were paid from such Trust Fund. These fees and the firms paid are detailed in Section III (C) of the attached report.

In connection with its examination of the Authority's financial statements, KPMG LLP ('KPMG') performed tests of the Authority's compliance with certain provisions of the Investment Guidelines, the State Comptroller's Investment Guidelines and Section 2925 of the Public Authorities Law. Based on discussions with KPMG, Staff is of the opinion that KPMG's written report, which will be delivered upon approval of the financial statements by the Board, will state that the Authority complied, in all material respects, with the requirements during the year ended December 31, 2014. Consequently, staff believes the Authority is in compliance with the Investment Guidelines, the State Comptroller's Investment Guidelines and Section 2925 of the Public Authorities Law.

2. Investment Guidelines

The Investment Guidelines and procedures have not been amended since last presented to and approved by the Trustees at their meeting of March 25, 2014. The Guidelines remain fundamentally sound and, with the amendment described below, meet the requirements of the Authority. Furthermore, these Guidelines continue to meet the requirements of Section 2824(1)(e) of the Public Authorities Law, which requires the Authority's Trustees to establish written policies and procedures with respect to investments.

On May 12, 2014, Governor Cuomo signed into law the Service-Disabled Veteran-Owned Business Act, which established a six percent goal for participation on State contracts by Service-Disabled Veteran-Owned Businesses (SDVOB's) in addition to other measures to support these companies. The Authority was selected by

the Division of Service-Disabled Veteran's Business Development to join a pilot group of agencies and authorities that will provide the greatest likelihood of maximizing the utilization of SDVOBs. To qualify as a SDVOB, the owner or owners must have received a compensation rating of ten percent or greater from the United States Department of Veteran Affairs or have incurred an injury while in uniform equivalent to a compensation rating of ten percent or greater from the United States Department of Veterans Affairs. Staff is recommending that the Investment Guidelines be amended to include SDVOB's as qualifying Dealers through which the Authority can purchase and sell authorized investments. The amendment would update Section A.2 of Paragraph V of the Investment Guidelines, dealing with provisions relating to qualifications of banks and dealers.

RECOMMENDATION

The Treasurer recommends that the Trustees approve the attached 2014 Annual Report on Investment of Authority Funds, and the amendment to the Guidelines for the Investment of Funds.

For the reasons stated, I recommend the approval of the above-requested action by adoption of the resolution below."

The following resolution, as submitted by the President and Chief Executive Officer, was unanimously adopted.

RESOLVED, That the 2014 Annual Report on Investment of Authority Funds be, and hereby is, approved; and be it further

RESOLVED, That the Investment Guidelines be amended as follows (new language underlined; deleted language in brackets):

Section A.2 of Paragraph V, Provisions Relating to Qualifications of Dealers and Banks, shall be amended to read as follows:

2. Authorized investments may also be purchased or sold through minority-owned, [and] women-owned and service-disabled veteran-owned firms authorized to transact business in the U.S. government and municipal securities markets. Such qualified firms shall demonstrate the qualities in clauses (a), (b), and (c) of Section V.A.1.

AND BE IT FURTHER RESOLVED, That the Chairman, the Vice Chair, the President and Chief Executive Officer, the Chief Operating Officer and all other officers of the Authority are, and each of them hereby is, authorized on behalf of the Authority to do any and all things, take any and all actions and execute and deliver any and all agreements, certificates and other documents to effectuate the foregoing resolution, subject to the approval of the form thereof by the Executive Vice President and General Counsel.

ii. 2014 Financial Reports Pursuant to Section 2800 of the Public Authorities Law and Regulations of the Office of the State Comptroller

The President and Chief Executive Officer submitted the following report:

"SUMMARY

The Trustees are requested to approve the financial report for the year ended December 31, 2014 and authorize the Corporate Secretary to submit this report to the Governor, legislative leaders, the State Comptroller and the Authorities Budget Office ('ABO') pursuant to Section 2800 of the Public Authorities Law, as amended by the Public Authorities Accountability Act of 2005 ('PAAA'). In accordance with regulations adopted by the Office of the State Comptroller ('OSC'), the Trustees are also requested to approve and authorize posting of a report of actual versus budgeted results for the year 2014 on the Authority's web site.

BACKGROUND

The PAAA reflects the State's commitment to maintaining public confidence in public authorities by ensuring that the essential governance principles of accountability, transparency and integrity are followed at all times. To facilitate these objectives, the PAAA established an independent ABO that monitors and evaluates the compliance of State authorities with the requirements of the PAAA. The PAAA amended Section 2800 of the Public Authorities Law to require that financial reports submitted by a State authority under Section 2800 be certified by the chief executive officer and chief financial officer and approved by the authority's board.

Following rulemaking proceedings undertaken pursuant to the State Administrative Procedure Act, OSC implemented regulations on March 29, 2006 that address the preparation of annual budgets and related reporting requirements by 'covered' public authorities, including the Authority. These regulations establish various procedural and substantive requirements relating to the budgets and require the chief financial officer to report publicly not later than 90 days after the close of each fiscal year on actual versus budgeted results.

DISCUSSION

The Trustees are requested to approve the required financial report for the year ended December 31, 2014 (Exhibit '2e ii-A') and authorize the Corporate Secretary to submit this report to the Governor, legislative leaders, the State Comptroller and the ABO pursuant to Section 2800 of the Public Authorities Law. This report was reviewed by the Audit Committee at its meeting of March 26, 2015. The Trustees are also requested to approve a report of actual versus budgeted results for the year 2014 (Exhibit '2e ii-B') and authorize posting it on the Authority's website.

FISCAL INFORMATION

There is no anticipated fiscal impact.

RECOMMENDATION

The Vice President and Controller recommends that the Trustees approve and authorize submittal of the attached reports (Exhibits '2e ii-A' and '2e ii-B') as discussed herein. The Audit Committee reviewed the financial report for the year ended December 31, 2014 at their meeting earlier today and is also recommending its approval.

For the reasons stated, I recommend the approval of the above-requested action by adoption of the resolution below."

The following resolution, as submitted by the President and Chief Executive Officer, was unanimously adopted.

WHEREAS, pursuant to Section 2800(1) of the Public Authorities Law, the Authority is required to annually submit to the Governor, the Chairman and Ranking Minority Member of the Senate Finance Committee, the Chairman and Ranking Minority Member of the Assembly Ways and Means Committee, the State Comptroller and the Authorities Budget Office, within 90 days after the end of its fiscal year, a complete and detailed report or reports setting forth certain information regarding, among other things, certain financial information; and

WHEREAS, pursuant to Section 2800(3), financial information submitted under Section 2800 shall be approved by the Authority's Board of Trustees and shall be certified in writing by the Chief Executive Officer and the Chief Financial Officer of the Authority that based on the officer's knowledge the information provided therein (a) is accurate, correct and does not contain any untrue statement of material fact; (b) does not omit any material fact which, if omitted, would cause the financial statements to be misleading in light of the circumstances under which such statements are made and (c) fairly presents in all material respects the financial condition and results of operations of the Authority as of, and for, the periods presented in the financial statements; and

WHEREAS, on the date hereof, the Chief Executive Officer and Chief Financial Officer have so certified as to the financial information contained within the attached reports for the fiscal year ending December 31, 2014;

NOW THEREFORE BE IT RESOLVED, That pursuant to Section 2800 of the Public Authorities Law, the financial reports attached hereto are adopted and the Corporate Secretary be, and hereby is, authorized to submit to the Governor, the Chairman and Ranking Minority Member of the Senate Finance Committee, the Chairman and Ranking Minority Member of the Assembly Ways and Means Committee, the State Comptroller, and the Authorities Budget Office the attached financial report for the year ending 2014 in accordance with the foregoing report of the President and Chief Executive Officer; and be it further

RESOLVED, That pursuant to 2 NYCRR Part 203, the attached report of actual vs. budgeted results for the year 2014 is approved in accordance with the foregoing report of the President and Chief Executive Officer; and the Corporate Secretary is authorized to post the report on the Authority's website; and be it further

RESOLVED, That the Chairman, the Vice Chair, the President and Chief Executive Officer, the Chief Operating Officer, the Executive Vice President and Chief Financial

Officer and all other officers of the Authority are, and each of them hereby is, authorized on behalf of the Authority to do any and all things and take any and all actions and execute and deliver any and all agreements, certificates and other documents to effectuate the foregoing resolution, subject to the approval of the form thereof by the Executive Vice President and General Counsel.

iii. Annual Review and Approval of Guidelines and Procedures for the Disposal of Real Property, Guidelines and Procedures for the Acquisition of Real Property and Annual Reports for the Disposal and Acquisition of Real Property

The President and Chief Executive Officer submitted the following report:

"SUMMARY

The Trustees are requested to review and approve the following, which comply with the requirements of the Public Authorities Accountability Act of 2005 ('PAAA') as amended by the Public Authorities Reform Act, Chapter 506 of the Laws of 2009: (1) 2015 Guidelines and Procedures for the Disposal of Real Property ('Real Property Disposal Guidelines') for transfers of land or interests in land; and (2) 2015 Guidelines and Procedures for the Acquisition of Real Property ('Real Property Acquisition Guidelines'). The Guidelines are set forth in Exhibits '2e iii-A' and '2e iii-B,' respectively, attached hereto. In addition, the Trustees are also requested to review and approve the 2014 Annual Report of the Disposal of Real Property > $15,000 set forth in Exhibit '2e iii-C,' the 2014 Annual Report of the Acquisition of Real Property > $15,000 set forth in Exhibit '2e iii-D,' the 2014 Annual Report of all Real Property Disposals set forth in Exhibit '2e iii-E,' and the Overview of Real Property Holdings set forth in Exhibit '2e iii-F,' attached hereto.

BACKGROUND

On January 13, 2006, the PAAA was enacted to codify model governance principles for New York State's public authorities to further accountability and transparency. The PAAA was subsequently amended by the Public Authorities Reform Act (Chapter 506 of the Laws of 2009) which Governor Paterson signed into law on December 11, 2009. Among its provisions, the PAAA established rules for the disposal and acquisition of real property owned by public authorities. In addition to requiring each authority to draft and annually review and approve guidelines consistent with the legislation, each authority must also prepare an annual report of all real property of such authority having an estimated fair market value in excess of fifteen thousand dollars that the authority acquires or disposes of during such period. The report shall contain the price received or paid by the authority and the name of the purchaser or seller for all such property sold or bought by the authority during such period.

DISCUSSION

The 2015 Real Property Disposal Guidelines and the 2015 Real Property Acquisition Guidelines set forth the methodology detailing the Authority's policy regarding the use, award, monitoring and reporting of contracts for the disposal and acquisition of real property and designate a Contracting Officer responsible for the Authority's compliance with, and enforcement of, such Guidelines. At their meeting of March 25, 2014, the Trustees reviewed and approved the Authority's 2014 Real Property Disposal Guidelines and 2014 Real Property Acquisition Guidelines. With the exception of updating the exhibits' date, there are no changes to the 2014 Real Property Disposal Guidelines and the 2014 Real Property Acquisition Guidelines.

The Real Property Disposal Report lists the real property disposal transactions conducted during the reporting period having an estimated fair market value in excess of $15,000, including a description of the property, the purchaser's name and the price received by the Authority, as required by New York Public Authorities Law §2800. The Real Property Acquisition Report lists the real property acquisition transactions conducted during the reporting period having an estimated fair market value in excess of $15,000, including a description of the property, the seller's name and the price received by the Authority, as required by New York Public Authorities Law §2800. During this reporting period there were no acquisitions of real property with an estimated fair market value in excess of $15,000.00. During this reporting period there were two (2) disposals of real property with an estimated fair market value in excess of $15,000.00. The 2014 Report of all Real Property Disposals lists all real property disposal transactions conducted during the reporting period regardless of value and the Overview of Real Property Holdings sets forth the Authority's real property inventory.

These acquisitions and dispositions were among those reviewed and approved by the Authority's Governance Committee at their meeting of March 26, 2015. The Trustees are now requested to review and approve the Authority's 2014 Annual Reports of the Disposal of Real Property, the Authority's 2014 Annual Report of the Acquisition of Real Property and the Overview of Real Property Holdings.

The 2015 Real Property Disposal Guidelines and the 2015 Real Property Acquisition Guidelines, if approved, will be posted on the Authority's internet website. On or before the 31st day of March, the Real Property Disposal Guidelines, the Real Property Acquisition Guidelines and the corresponding 2014 Annual Reports, as reviewed and approved by the Trustees, will be filed with the appropriate State entities. The 2014 Annual Reports will also be posted on the Authority's internet website.

FISCAL INFORMATION

There will be no financial impact on the Authority.

RECOMMENDATION

The Acting Vice President – Procurement and the Director of Site Purchasing, Materials Management and Real Estate recommend that the Trustees approve the amended Guidelines and Procedures for the Disposal of Real Property, the amended Guidelines and Procedures for the Acquisition of Real Property, the 2014 Annual Report of the Disposal of Real Property > $15,000, the 2014 Annual Report of the Acquisition of Real Property > $15,000, the 2014 Annual Report of all Real Property Disposals and the Overview of Real Property Holdings, as set forth in the attached Exhibits.

For the reasons stated, I recommend the approval of the above-requested action by adoption of the resolution below."

The following resolution, as submitted by the President and Chief Executive Officer, was unanimously adopted.

RESOLVED, That pursuant to the provisions of the Public Authorities Accountability Act of 2005, as amended by the Public Authorities Reform Act, Chapter 506 of the Laws of 2009, the Authority hereby reviews and approves the 2015 Guidelines and Procedures for the Disposal of Real Property and the 2015 Guidelines and Procedures for the Acquisition of Real Property as set forth in Exhibits "2e iii-A" and "2e iii-B," respectively, attached hereto; and be it further

RESOLVED, That pursuant to the provisions of the Public Authorities Accountability Act of 2005, as amended by the Public Authorities Reform Act, Chapter 506 of the Laws of 2009, the Authority hereby reviews and approves the 2014 Annual Reports of Disposal of Real Property, the 2014 Annual Report of Acquisitions of Real Property and the Overview of Real Property Holdings, as set forth in Exhibits "2e iii-C," "2e iii-D," "2e iii- E," and "2e iii-F," respectively, attached hereto; and be it further

RESOLVED, That Authority staff may take any and all steps necessary or convenient to implement such Guidelines; and be it further

RESOLVED, That the Chairman, the Vice Chair, the President and Chief Executive Officer, the Chief Operating Officer and all other officers of the Authority are, and each of them hereby is, authorized on behalf of the Authority to do any and all things and take any and all actions and execute and deliver any and all agreements, certificates and other documents to effectuate the foregoing resolution, subject to the approval of the form thereof by the Executive Vice President and General Counsel.

iv. Annual Review and Approval of Guidelines and Procedures for and Annual Report of the Disposal of Personal Property

The President and Chief Executive Officer submitted the following report:

"SUMMARY

The Trustees are requested to review and approve the Guidelines and Procedures for the Disposal of Authority Personal Property ('Personal Property Guidelines'), which address the disposal of Authority-owned materials, tools, equipment and vehicles with a value in excess of $5,000, in compliance with Public Authorities Law §2896, enacted as part of the Public Authorities Accountability Act of 2005 ('PAAA') and amended by the Public Authorities Reform Act of 2009 ('PARA'). The Personal Property Guidelines are attached hereto as Exhibit '2e iv-A.' The Trustees are also requested to review and approve the 2014 Annual Report of the Disposal of Personal Property, attached hereto as Exhibit '2e iv-A-1.'

BACKGROUND

On January 13, 2006, the PAAA was enacted to codify model governance principles for New York State's public authorities to further accountability and transparency. Among its provisions, the PAAA, and as later amended by PARA, established requirements for the disposal of public authority personal property. The law also required each authority to draft guidelines consistent with the legislation dealing with these issues, to review and approve such guidelines annually and to prepare an annual report of the disposal of personal property (including the full description, name of the purchaser and price received for all such property disposed of by the authority during such period). Such Guidelines were initially approved by the Trustees at their meeting of March 28, 2006 and have been amended as deemed advisable and necessary, and reviewed and approved annually since that date, most recently on March 25, 2014.

DISCUSSION

The Personal Property Guidelines set forth the methodology detailing the Authority's policy regarding the use, award, monitoring and reporting of the disposal of personal property and designate a Contracting Officer responsible for the Authority's compliance with, and enforcement of, such Guidelines.

Staff has reviewed the Personal Property Guidelines and recommends no substantive changes. Two minor changes were made to the Guidelines to provide for future policy revisions and titular or organizational changes in the Authority, as set forth in the redlined copy attached hereto as Exhibit '2e iv-A.'

Upon annual review and approval by the Trustees, the Guidelines and corresponding Annual Report will be filed on or before the 31st day of March with the State Comptroller, the Director of the Division of the Budget, the Commissioner of General Services, the State Legislature and the Authorities Budget Office and posted on the Authority's internet website, in compliance with applicable law and the Guidelines.

FISCAL INFORMATION

There will be no financial impact on the Authority.

RECOMMENDATION

The Executive Vice President and Chief Financial Officer and the Acting Vice President – Procurement recommend that the Trustees approve the Guidelines and Procedures for the Disposal of Authority Personal Property for the disposition of Authority-owned materials, tools, equipment, and vehicles with a value in excess of $5,000, and the corresponding 2014 Annual Report of the Disposal of Personal Property, as set forth in Exhibits '2e iv-A' and '2e iv-A-1,' respectively.

For the reasons stated, I recommend the approval of the above-requested action by adoption of the resolution below."

The following resolution, as submitted by the President and Chief Executive Officer, was unanimously adopted.

RESOLVED, That pursuant to the provisions of the Public Authorities Law, the Authority hereby reviews and approves the Guidelines and Procedures for the Disposal of Authority Personal Property, as set forth in Exhibit "2e iv-A" and attached hereto; and be it further

RESOLVED, That pursuant to the provisions of the Public Authorities Law, the Authority hereby reviews and approves the 2014 Annual Report for the Disposal of Personal Property, as set forth in Exhibit "2e iv-A-1" and attached hereto; and be it further

RESOLVED, That the Chairman, the Vice Chair, the President and Chief Executive Officer, the Chief Operating Officer and all other officers of the Authority are, and each of them hereby is, authorized on behalf of the Authority to do any and all things, take any and all actions and execute and deliver any and all agreements, certificates and other documents to effectuate the foregoing resolution, subject to the approval of the form thereof by the Executive Vice President and General Counsel.

v. Annual Report of Procurement Contracts, Guidelines for Procurement Contracts and Annual Review of Open Procurement Service Contracts

The President and Chief Executive Officer submitted the following report:

"SUMMARY

The Trustees are requested to approve the 2014 Annual Report of Procurement Contracts ('Annual Report') (Exhibit '2e v-A-3') and the Guidelines for Procurement Contracts ('Guidelines') (Exhibit '2e v-A-2') and to review open service contracts exceeding one year that were active in 2014 as detailed in the Annual Report (Exhibit '2e v-A-3'). An Executive Summary is set forth in Exhibit '2e v-A-1.'

BACKGROUND

Section 2879 of the Public Authorities Law ('PAL') governs the administration and award of procurement contracts equal to or greater than $5,000. Section 2879 of the PAL requires public authorities to adopt comprehensive guidelines detailing their operative policy and instructions concerning the use, awarding, monitoring and reporting of procurement contracts. The Authority's Guidelines were adopted by the Trustees at their meeting of October 31, 1989 and were implemented as of January 1, 1990. The Guidelines have been amended as deemed advisable and necessary, and reviewed and approved annually by the Board since that date, most recently on March 25, 2014.

Section 2879 of the PAL also requires authorities to review and approve such guidelines annually and to file a report regarding procurement contracts with the Director of the Division of the Budget, the Department of Audit and Control, the Department of Economic Development, the Senate Finance Committee, the Assembly Ways and Means Committee and the Authorities Budget Office. The Annual Report must include a copy of the Authority's current Guidelines, details concerning any changes to the Guidelines during the year and particular information concerning procurement contracts. For each procurement contract included in the report, the following information must be identified:

> *[A] listing of all procurement contracts entered into [by the Authority], all contracts entered into with New York State business enterprises and the subject matter and value thereof, all contracts entered into with certified minority or women-owned business enterprises and the subject matter and value thereof, all referrals made and all penalties imposed pursuant to section three hundred sixteen of the executive law, all contracts entered into with foreign business enterprises, and the subject matter and value thereof, the selection process used to select such contractors, all procurement contracts which were exempt from the publication requirements of article four-C of the economic development law, the basis for any such exemption and the status of existing procurement contracts.*

Lastly, §2879 of the PAL requires an annual review by the Trustees of open service contracts exceeding one year. Those long-term open service contracts exceeding one year and awarded after January 1, 1990 are also included in the Annual Report.

DISCUSSION

The 2014 Annual Report is attached for the Trustees' review and approval (Exhibit '2e v-A-3'). The Annual Report reflects activity for all procurement contracts equal to or greater than $5,000, as identified by the Authority's SAP computer system, that were open, closed or awarded in 2014, including contracts awarded since January 1, 1990 that were completed in 2014 or were extended into 2015 or beyond. In addition, fossil fuels transactions reported by the Fuels Planning and Operations group and financial-related services reported by Corporate Finance (of the Energy Resource Management and Business Services Business Units, respectively), are included in the Annual Report of Procurement Contracts. All additional information required by the statute is also included. The Trustees are requested to approve the attached Annual Report pursuant to § 2879 of the PAL

prior to submittal thereof to the Director of the Division of the Budget, the Department of Audit and Control, the Department of Economic Development, the Senate Finance Committee, the Assembly Ways and Means Committee and the Authorities Budget Office.

A copy of the Guidelines effective March 31, 2015 (Exhibit '2e v-A-2') is attached to the Annual Report. These Guidelines are amended in accordance with Article 4-C of the Economic Development Law and also with provisions of State Finance Law §163, as well as with the Service-Disabled Veteran-Owned Business Act, and as further set forth in Exhibit '2e v-A-1.'

The Guidelines generally describe the Authority's process for soliciting proposals and awarding contracts. Topics detailed in the Guidelines include solicitation requirements, evaluation criteria, contract award process, contract provisions, change orders, Minority- and Women-owned Business Enterprise ('MWBE') requirements, employment of former officers and reporting requirements.

RECOMMENDATION

The Executive Vice President and Chief Financial Officer and the Acting Vice President – Procurement recommend that the Trustees approve the 2014 Annual Report of Procurement Contracts, the Guidelines for Procurement Contracts and the review of open service contracts as attached hereto in Exhibits '2e v-A-1' through '2e v-A-3.'

For the reasons stated, I recommend the approval of the above-requested action by adoption of the resolution below.

The following resolution, as submitted by the President and Chief Executive Officer, was unanimously adopted.

RESOLVED, That pursuant to §2879 of the Public Authorities Law and the Authority's Procurement Guidelines, the Annual Report of Procurement Contracts, as listed in Exhibit "2e v-A-3," and the Guidelines for the use, awarding, monitoring and reporting of Procurement Contracts (Exhibit "2e v-A-2"), as amended and attached hereto, be, and hereby are, approved; and be it further

RESOLVED, That the open service contracts exceeding one year be, and hereby are, reviewed and approved; and be it further

RESOLVED, That the Chairman, the Vice Chair, the President and Chief Executive Officer, the Chief Operating Officer and all other officers of the Authority are, and each of them hereby is, authorized on behalf of the Authority to do any and all things, take any and all actions and execute and deliver any and all agreements, certificates and other documents to effectuate the foregoing resolution, subject to the approval of the form thereof by the Executive Vice President and General Counsel.

vi. Annual Review and Approval of Certain Authority Policies

The President and Chief Executive Officer submitted the following report:

"SUMMARY

The Trustees are requested to approve certain Authority policies as required by Section 2824 of the Public Authorities Law and Section 2 of Article II of the Authority's By-laws.

The Trustees are also requested to delegate to the President and Chief Executive Officer the authority to modify these policies, as necessary, except in the event that any powers, duties or obligations of the Trustees would be affected by such modification.

BACKGROUND AND DISCUSSION

Section 2824 of the Public Authorities Law requires the Authority's Trustees to, among other things, establish policies regarding the payment of salary, compensation and reimbursements to, and establish rules for the time and attendance of, the chief executive and senior management; and Section 2 of the Authority's By-laws requires the Authority's Trustees to review and approve annually the policies and procedures governing: (i) the salary, (ii) compensation, (iii) benefits and (iv) time and attendance of the chief executive and senior management.

The Authority's policies relating to salary, compensation, benefits and time and attendance of its employees, inclusive of the chief executive and all senior management, are attached as Exhibits '2e vi-A' through '2e vi-N' and respectively entitled:

A. Recruitment and Job Posting (EP 1.2); last revised 12/01/14;
B. Transfer or Re-Employment in Public Service (EP 1.9), last revised 3/29/12
C. Salary Administration Policy (EP 2.1); last revised 3/1/14;
D. Salaried Non-Exempt and Facility-Based Exempt Overtime (EP 2.4), last revised 6/17/14;
E. Employee Benefits Eligibility (EP 3.1), last revised 3/29/12;
F. Vacation (EP 3.2), last revised 3/29/12;
G. FMLA (EP 3.3), last revised 1/10/14;
H. Leaves of Absence (EP 3.4), last revised 7/29/13
I. Educational Assistance Program (EP 3.6), last revised 12/18/12
J. Relocation Benefits for New and Transferred Employees (EP 3.8); last revised 1/1/10;
K. Sick Time (EP 3.9), last revised 8/5/14;
L. Attendance & Flexible Hours (EP 4.6), last revised 3/01/14;
M. Reimbursement of Employee Meal Costs (CP 1.5), last revised 3/31/12;
N. Travel (CP2-1); last revised 3/21/13

RECOMMENDATION

It is recommended that the Trustees approve the Authority's policies related to salary, compensation, benefits and time and attendance, which are applicable to all Authority employees, including the chief executive and senior management. It is further recommended that the Trustees delegate to the President and Chief Executive Officer the authority to modify these policies, as necessary, except in the event that any powers, duties or obligations of the Trustees would be affected by such modification.

For the reasons stated, I recommend the approval of the above-requested action by adoption of the resolution below."

The following resolution, as submitted by the President and Chief Executive Officer, was unanimously adopted.

RESOLVED, That pursuant to Section 2824 of the Public Authorities Law and Section 2 of Article II of the Authority's By-laws, the below-listed policies of the Authority relating to salary, compensation, benefits and time and attendance of its employees, including the chief executive and senior management, are hereby approved:

- **A. Recruitment and Job Posting (EP 1.2), last revised 12/01/14;**
- **B. Transfer or Re-Employment in Public Service (EP 1.9), last revised 3/29/12;**
- **C. Salary Administration Policy (EP 2.1), last revised 3/1/14;**
- **D. Salaried Non-Exempt and Facility-Based Exempt Overtime (EP 2.4), last revised 6/17/14;**
- **E. Employee Benefits Eligibility (EP 3.1), last revised 3/29/12;**
- **F. Vacation (EP 3.2), last revised 3/29/12;**
- **G. FMLA (EP 3.3), last revised 1/10/14;**
- **H. Leaves of Absence (EP 3.4), last revised 7/29/13;**
- **I. Educational Assistance Program (EP 3.6), last revised 12/18/12;**
- **J. Relocation Benefits for New and Transferred Employees (EP 3.8); last revised 1/1/10;**
- **K. Sick Time (EP 3.9), last revised 8/5/14;**
- **L. Attendance & Flexible Hours (EP 4.6), last revised 3/01/14;**
- **M. Reimbursement of Employee Meal Costs (CP 1.5), last revised 3/31/12;**
- **N. Travel (CP2-1); last revised 3/21/13.**

AND BE IT FURTHER RESOLVED, That the President and Chief Executive Officer is authorized to modify the foregoing policies, as necessary, except in the event that any powers, duties or obligations of the Trustees would be affected by such modification; and be it further

RESOLVED, That the Chairman, the Vice Chair, the President and Chief Executive Officer, the Chief Operating Officer and all other officers of the Authority are, and each of them hereby is, authorized on behalf of the Authority to do any and all things and take any and all actions and execute and deliver any and all agreements, certificates and other documents to effectuate the foregoing resolution, subject to the approval of the form thereof by the Executive Vice President and General Counsel.

vii. New York Power Authority's 2015 Strategic Plan

The President and Chief Executive Officer submitted the following report:

"SUMMARY

The Trustees are presented with the Authority's proposed 2015 Strategic Plan for adoption, as set forth in Exhibit '2e vii-A' attached hereto.

BACKGROUND

The Authority's By-Laws state that 'the Trustees shall annually review a strategic plan developed by the Executive Management Committee under the supervision of the Strategic Planning and Energy Policy Committee, which shall become the basis for the development of departmental plans, the annual budget and the capital expenditure plan' (By-Laws, Article VII – Fiscal Management , Section 2 – Strategic Plan). In addition, Public Authorities Law § 2824-a requires state authorities to develop and adopt a mission statement.

The Authority has for many years annually reviewed, and updated as necessary, its mission statement and strategic plan. In late 2013, the Authority drafted a new strategic plan containing a revised mission statement and six strategic initiatives to advance alignment with the changing needs of our customers and to support the future energy structure of New York. In 2014, the Authority developed comprehensive business plans for each of the six strategic initiatives.

DISCUSSION

In 2013, Authority staff began an examination of its corporate strategy in the context of a rapidly changing energy environment. This effort has continued across three phases, each lasting several months.

- In mid-2013, Authority staff met with external stakeholders representing customers, energy leaders, government officials, financial organizations and universities to discuss customer requirements, key trends and opportunities in the energy industry.

- In late 2013, a thirty-two member strategy team convened several times to formulate a strategic vision for how the Authority would proceed as the energy industry continues its evolution from a traditional, centralized model to a more innovative, customer-centric model. Recognizing three key drivers (1) customer value, (2) stewardship for the future, and (3) flexibility of infrastructure and organizations, the strategy team defined six strategic initiatives to further the Authority's efforts to achieve its strategic vision.

- In 2014, a comprehensive business plan was constructed for each of the six strategic initiatives outlining the business case and implementation plan for each.

Throughout the strategy development, discussions were held with the Trustees to share findings and review the proposed direction to ensure that it is consistent with the Board's vision for the Authority. New mission and vision statements for the Authority, drafted by the strategy team, were approved by the Trustees in March 2014.

The 2015 Mission Statement and Strategic Plan (Exhibit '2e vii-A') contains no substantive changes from the strategic vision defined in 2014 and continues to serve to inspire and provide direction as the Authority embraces an evolving energy industry in New York State.

RECOMMENDATION

The Executive Vice President and Chief Financial Officer recommends that the Trustees adopt the 2015 Strategic Plan presented herein.

For the reasons stated, I recommend the approval of the above-requested action by adoption of the resolution below."

The following resolution, as submitted by the President and Chief Executive Officer, was unanimously adopted.

> **RESOLVED, That the Trustees hereby acknowledge that they have read, understand and adopt the Authority's 2015 Strategic Plan attached hereto as Exhibit "2e vii-A" as discussed in the foregoing report of the President and Chief Executive Officer; and be it further**
>
> **RESOLVED, That the Chairman, the Vice Chair, the President and Chief Executive Officer, the Chief Operating Officer and all other officers of the Authority are, and each of them hereby is, authorized on behalf of the Authority to do any and all things and take any and all actions and execute and deliver any and all agreements, certificates and other documents to effectuate the foregoing resolution, subject to the approval of the form thereof by the Executive Vice President and General Counsel.**

viii. 2014 Annual Board of Directors Evaluation Pursuant to Sections 2800 and 2824 of the Public Authorities Law and Guidance of the Authorities Budget Office

The President and Chief Executive Officer submitted the following report:

"SUMMARY

The Trustees are requested to approve the annual Board of Directors evaluation for 2014 and authorize the Corporate Secretary to submit this report to the Governor, legislative leaders, the State Comptroller and the Authorities Budget Office ('ABO') pursuant to Section 2800 of the Public Authorities Law, as amended by the Public Authorities Accountability Act of 2005 ('PAAA'), within 90 days of the close of the Authority's fiscal year.

BACKGROUND

The 2009 Public Authorities Reform Act requires that the board of every state and local public authority conduct an annual evaluation of its performance. Board member comments are protected from disclosure under Article 6 of the Public Officers Law, but the results of the assessment are to be provided to the ABO.

To the extent that the results of this evaluation demonstrate the need for the board to improve its performance, amend its practices or procedures, or clarify its expectations of board members, the board is expected to implement suitable corrective actions immediately.

DISCUSSION

The Trustees are requested to approve the 2014 Annual Board Evaluation (Exhibit '2e viii-A') and authorize the Corporate Secretary to submit this report to the Governor, legislative leaders, the State Comptroller and the Authorities Budget Office ('ABO') pursuant to Section 2800 of the Public Authorities Law, as amended by the Public Authorities Accountability Act of 2005 ('PAAA'), within 90 days of the close of the Authority's fiscal year. This report was reviewed by the Governance Committee at its meeting of March 26, 2015. The Trustees are also requested to approve the summary of the 2014 evaluation and authorize the Corporate Secretary to submit this evaluation summary to the Governor, legislative leaders, the State Comptroller and the Authorities Budget Office ('ABO') pursuant to Section 2800 of the Public Authorities Law, as amended by the Public Authorities Accountability Act of 2005 ('PAAA'), within 90 days of the close of the Authority's fiscal year.

FISCAL INFORMATION

There is no anticipated fiscal impact.

RECOMMENDATION

The Governance Committee recommends that the Trustees approve and authorize submittal of the attached 2014 evaluation summary (Exhibit '2e viii-A') as discussed herein.

For the reasons stated, I recommend the approval of the above-requested action by adoption of the resolution below."

The following resolution, as submitted by the President and Chief Executive Officer, was unanimously adopted.

WHEREAS, pursuant to Sections 2800(1)(a)(15) and 2800(2)(a)(15) and Section 2824(7) of the Public Authorities Law and Guidance of the Authorities Budge Office, the Authority is required to annually submit the Board of Directors Evaluation to the Governor, the Chairman and

Ranking Minority Member of the Senate Finance Committee, the Chairman and Ranking Minority Member of the Assembly Ways and Means Committee, the State Comptroller and the Authorities Budget Office, within 90 days after the end of its fiscal year;

NOW THEREFORE BE IT RESOLVED, That pursuant to Sections 2800(1)(a)(15) and 2800(2)(a)(15) and Section 2824(7) of the Public Authorities Law and Guidance of the Authorities Budge Office, the 2014 Annual Board Member Evaluation Summary is hereby adopted and the Corporate Secretary is hereby authorized to submit to the Governor, the Chairman and Ranking Minority Member of the Senate Finance Committee, the Chairman and Ranking Minority Member of the Assembly Ways and Means Committee, the State Comptroller, and the Authorities Budget Office the attached 2014 Board Evaluation Summary; and be it further

RESOLVED, That the Chairman, the Vice Chair, the President and Chief Executive Officer, the Chief Operating Officer, the Executive Vice President and Chief Financial Officer and all other officers of the Authority are, and each of them hereby is, authorized on behalf of the Authority to do any and all things and take any and all actions and execute and deliver any and all agreements, certificates and other documents to effectuate the foregoing resolution, subject to the approval of the form thereof by the Executive Vice President and General Counsel.

DISCUSSION AGENDA:

3. Staff Reports

a. Report of the President and Chief Executive Officer

President Quiniones provided the following report on the Authority's performance for February 2015 (Exhibit "3a-A"):

"Performance Scorecard

NYPA's February Performance Scorecard continues to reflect an overall strong performance. The Authority has exceeded its targets in the areas of generation and transmission, finance, energy services and environmental responsibility as of February.

There is a change in the reporting frequency of the Debt Coverage Ratio as of this month. The Authority is now reporting the coverage ratio on a monthly basis, while previously it was reported on a quarterly basis. The Workforce measure will continue to be reported on a quarterly basis, with the first 2015 update due at the end of the first quarter.

The DART Rate remained above the target in February. There were three DART incidents this month, for a total of five YTD. NYPA continues to monitor this measure closely and will continue its diligence in embedding a safety-minded culture throughout the organization.

Prior to this meeting, staff sent the Trustees a benchmarking of where the Authority stands with its safety statistics. While NYPA sets a very aggressive stretch target for its safety measure, it is doing relatively well compared to its peers in the industry."

In response to a question from Trustee Foster, President Quiniones said, the "Energy Services –MMBTU's Saved" measure on the Performance Scorecard is higher than the target because of the timing of completion of the projects related to that measure.

"NYC & Westchester Governmental Customers - Rate Decrease

The Power Authority's partnership with its New York City and Westchester Governmental Customers stretches back more than 35 years. Every year the Authority provides over 9 million MWH of electricity annually to its New York City and Westchester Governmental Customers. This amounted to $1.47 billion is sales in 2014. The savings to these customers amount to $480 million when compared to what they would have to spend if they were standard Consolidated Edison Company of New York Customers.

The approval of the electric rate decrease for municipalities, schools and public agencies in New York City and Westchester County as noted in items 2b i & ii on the Consent Agenda, represents a cut of $2.5 million, or 1.8 percent, for the fixed cost component of the rates for NYPA's Governmental Customers in New York City and more than $500,000, or 17.5 percent, for its Governmental Customers in Westchester County. The fixed costs have decreased noticeably since the end of 2010. Over the last five years, fixed costs have decreased by 15% for the New York City Governmental Customers."

Responding to a question from Chairman Koelmel, President Quiniones said if the New York City customers such as MTA, NYC Housing Authority and Port Authority, were buying their electricity supply from Con Edison under a standard tariff arrangement, they would have paid $480 million more for electricity in 2014.

In response to a comment from Trustee Foster, President Quiniones said, in an initiative to assist Con Edison during a financial distress in the 70's, the Authority entered into a global agreement among the state, city and Con Edison; in return, the Authority would serve the New York City Governmental Customers at cost. In response to further questioning from Trustee Foster, President Quiniones said that the agreement with Con Edison will be expiring in 2017 and NYPA is currently in discussions with Con Edison in this regard.

*"**<u>Strategic Plan: Select Accomplishments</u>***

One year ago, the Board of Trustees approved NYPA's Strategic Plan, which was described in detail in a document entitled, "NYPA Strategic Vision 2014-2019." The plan articulated the Authority's vision for the future electric grid in New York State, one that is much more intelligent, resilient, and interconnected than the grid today. That vision also foresees an electric market that uses rapidly evolving technology to empower consumers, businesses and governments with more choices of energy products and services to fit their needs. The Strategic Vision also articulated NYPA's role and mission in bringing about that future, describes the goal it has set for itself, and lays out six strategic initiatives by which it will achieve those goals.

Since that plan was released, the Authority has made considerable progress in getting on this bold, new course by creating business plans for each initiative and building the necessary infrastructure it needs to build on. NYPA has done that, while, at the same time, driving progress on several major projects and programs, especially within its Customer Energy Solutions, Smart Generation and Transmission, and

Process Excellence initiatives. These include the Five Cities Master Plan program staff presented to the Board at the meeting in February, and the advanced smart-grid lab that NYPA is developing in conjunction with SUNY Polytechnic. It also includes the first phase of process improvement projects that will help to improve customer service and control NYPA's costs.

In order to effectively manage the implementation of the plan, the Authority has established a Project Management Office staffed with skilled, experienced personnel who will assist the business units to implement the six strategic initiatives and report regularly on its progress against our goals."

In response to a question from Trustee Foster, Mr. Lurie said the "Project Management Office" will be staffed initially by two or three employees and will include a senior staff with experience in strategic planning and a Data Analyst.

"<u>Strategic Plan: 2015 Refresh Objectives</u>

This year, the Authority will be going through a process with its senior management team of "refreshing" the 2014 Plan in a number of ways. First, we will assess the status of implementation of each of the six initiatives compared to NYPA's business plans and re-allocate resources, as necessary, and accelerate activities, if necessary. Second, we will evaluate changes to the external business environment, including factors such as technology, competition, consumer preferences and regulations, in order to determine what Plan changes are necessary. Third, we will identify key strategic risks and barriers to the successful execution of the Plan. These could include future challenges such as difficulty in attracting and retaining skilled employees, changes in the pace or direction of technology improvements, and the progress we must make in preparing the organization and culture for the accelerating changes ahead. And finally, we will agree on the metrics we will use to assess and manage our performance against the Plan—holding ourselves accountable to the Board and the public.

<u>Risk Management: moving toward best practice</u>

The Authority continues to search for a new Chief Risk Officer, and that search is beginning to bear some fruit. However, NYPA has not let that vacancy slow down the critical work to bring its management of risk toward leading practice. Specifically, executive management has three major work streams that are designed to ensure the integration of risk into NYPA's strategic planning process and ensure appropriate delineation and alignment of its risk and control functions. The alignment of NYPA's various risk and control activities

including compliance, internal audit and enterprise risk management and controls is under way, with completion of the first phase expected in April. To ensure the desired outcomes are realized, we are forming a standing committee to be sponsored by Robert Lurie and led by the Chief Risk Officer, to coordinate NYPA's risk and control activities.

A leading practice in the area of risk is the development of risk appetite statements to clarify and communicate the amount and type of risk an organization is willing to take in order to meet its strategic objectives. The ultimate goal of the statements for NYPA will be to:

1. ***Establish consistent boundaries, or risk tolerance, within which decision-makers may take appropriate risks;***
2. ***Link business decisions to strategy; and***
3. ***Enhance accountability by creating a means to report on risks in comparison to established parameters.***

The Authority's Executive Risk Management Committee initiated this process earlier this month, and this will be further developed and finalized during the strategic planning off-site sessions in April.

Finally, the Executive Risk Management Committee has embarked on a top-down process to identify, assess, respond to and monitor NYPA's strategic enterprise risks. The identification process was initiated this month through a facilitated strategic risk identification exercise. Similar to the risk appetite statements, these risks will be reviewed and vetted during the April Strategic Planning process. We will then utilize our Enterprise Risk Management process to assess the risks in more detail, assign responsibility for developing responses, and monitor and report on these risks on an ongoing basis.

President Quiniones ended by saying the Board, and, in particular, the Audit Committee will be kept informed about the progress and results of each of these endeavors."

In response to a question from Chairman Koelmel and comment from Trustee Foster, President Quiniones said staff will expand the Performance Scorecard by creating a dashboard for the Authority's six strategic initiatives and the Enterprise Risk Management so that the Trustees can see how they are moving ahead and, going forward, be able to engage in dialogue related to the progress. Also, earnings and cash flow will be included in the Financial metric.

b. Report of the Chief Operating Officer

Mr. Bradford Van Auken, Senior Vice President and Chief Engineer of Operations Support Services, provided highlights of the Chief Operating Officer's report to the Trustees. (Exhibit "3b-A")

Performance Summary

The annual Generation Market Readiness was above projection at 99.48%; the target for the year is 99.4%. Even though Operations is meeting the annual target, it was slightly below its monthly metric due to icing conditions that occurred on the St. Lawrence River upstream from the Plant. The Authority is required to reduce flows due to the extreme icing conditions experienced as a result of the cold winter.

Transmission Reliability

Transmission Reliability remained above target for the month at 98.86%, as well as for the year-to-date which is above the target of 98.94%.

There were no significant unplanned events for the month of February.

Environmental

For the month of February, there were two reportable environmental incidents in the Southeast New York (SENY) region. One was due to a refrigerant leak on the Authority's 500 MW Combustion Turbine, as well as a SPDES exceedance due to a filtering system that malfunctioned.

As part of the Authority's SPDES permit, the Authority is required to remove the Chlorine in the NYC Drinking Water system before it can release it back into the environment. The system malfunctioned and this caused the Authority to exceed its allowance. The malfunction has since been repaired.

To date, the Authority has had three environmental incidents. The Authority is on target for the year; the goal is for 32 or less events for the year.

Safety

There were three Days Away Restricted and Transferred "DART" reportable events for the month, two at the upstate facilities which were related to slips and falls, and one in the White Plains Office. Four of the five reportable incidents for the year have been as a result of slips and falls. The Authority recognizes the need to address this concern and plans to raise awareness in this area through more targeted training.

In response to a question from Trustee Foster, President Quiniones said if an employee receives medical assistance as a result of an incident, it is a recordable incident and affects the DART Rate.

Generation

As previously reported to the Board in October last year, fatigue cracking was discovered on the rotor rim support of the Authority's BG Unit #3. The unit has been out of service on a "forced outage." A team comprising staff from Project Management, Plant Operations, and Engineering are diligently working to repair the cracks as soon as possible. The target is to return the unit to service by June 1st of this year.

The Lewiston Pump Generation Plant (LPGP LEM Unit #7) was successfully returned to service on March 20th. This is the third of the 12-Unit LEM Program to be completed. The program to upgrade the remaining nine units will continue through 2020.

Responding to a question from Trustee Nicandri, Mr. Van Auken said all four of the Units at B-G were replaced during the LEM Program. However, that part of the unit was not identified as part of the replacement program. Some deformation in the material was discovered over the last couple of years and a program for repair procedures was developed to address the issue in anticipation that a problem could develop. This procedure was in place when the cracks were discovered. In response to further questioning from Trustee Nicandri, Mr. Van Auken said staff did a detailed inspection of the other three units and did not find any additional cracking; however, they are prepared to do the repairs if cracks appear on those units.

Safety

At the last Board meeting, members requested staff to provide a report of where NYPA stands in terms of safety in comparison to the industry. Below are some of the highlights of the response provided to the Board:

> *"OSHA has two standards for safety performance metrics. As the name indicates, the Recordable Incident Rate ("RIR") captures the number of recordable safety incidents in a given year regardless of the injured employee's ability to return to work. The other measure is the Days Away Restricted Transferred, or DART Rate, which uses a formula to yield an index number that provides deeper insight into events that have, as the acronym states, lost time events, transfers and restricted duty. The Authority has used the RIR, but recently adopted the DART rate for a more meaningful reflection of the severity of safety incidents. NYPA's 2015 DART target is 0.78; this month its 2.05.*
>
> *The American Public Power Association provided safety data for both DART and RIR incidents from seven member public power utilities of similar workforce size and total annual work hours for 2011-2013. For both the RIR and DART, a lower score correlates to better performance.*

For the three-year comparison of the DART rate, the Authority ranked as either the second or third in the industry of the 8 total surveyed utilities.

The Safety of the Authority's employees at work and at home is its number one goal. The Authority's Safety record remains a model for the industry, yet it strives to continuously improve its safety record and provide year-round training and awareness to its employees."

Operations Strategic Initiatives

The Operations Department is in the process of establishing a dedicated team to drive forward and manage the implementation of the two Strategic initiatives that fall within Operations' responsibility, Smart Generation &Transmission and Asset Management. This dedicated team will spearhead and implement these initiatives in the same fashion the Authority would, with any of its large-scale capital programs, following the same discipline, accountability, and Project Management Principles.

As part of succession planning and talent development, Operations have looked internally to some of its brightest and best employees to manage these critical initiatives, which, in turn, mean that it will have a natural cascading effect, and create critical internal opportunities for other staff. Operations will be working diligently to fill these critical positions.

c. Report of the Chief Financial Officer

Mr. Robert Lurie presented highlights of the Chief Financial Officer's report to the Trustees (Exhibit "3c-A").

"Net Income – February 2015

The Authority's Net Income for February was well below budget ($23 million vs $37 million). Year to date, Net Income is $10 million compared to the budget of $44 million. This drop in Net Income was due primarily to lower-than-expected revenues from excess power the Authority sells into the merchant market.

Net Income for February was well below budget, continuing the trend we saw in January. Year-to-date, the Authority's Net Income is $10 million, compared to the budget of $44 million. As in January, the drop was due primarily to lower-than-expected sales revenue from sales of excess power sold into the merchant market. Unlike in January, however, the shortfall was not due to falling energy prices but to falling sales volumes. This was due to reduced hydro flows as a result of precipitation in the Great Lakes that was about 50% of normal this winter, plus a lack of any ice thaw because of the extreme and persistent cold. Consequently, if we break down the variance in the Authority's merchant sales revenue between volume reductions and price reductions, that volume had almost twice the effect of changes in price (See Exhibit "3c-A").

Unexpectedly Severe Price Declines

While capacity price forecasts have remained relatively unchanged, the Authnority experienced a precipitous drop in natural gas prices, which has led to lower wholesale electricity prices. This drop has been a change of more than two standard deviations, or above the 90th percentile, of historical price variations. And since February, spot market prices held steady for a while then continued the downward trend.

Hydro Generation

Regarding forecast hydro volume changes, some of the shortfall had to do with ice formation that did not thaw as much as is typical. That, in a sense, means that more water is stored upstream in the form of ice, and will eventually make its way downstream to the Authority's hydro plants. However, this is expected to take a while and that water may not show up until 2016 and 2017.

Year-End Projections

Staff has revised the Authority's 2015 year-end forecast based on these factors. The original budget was for Net Income of $206 million, and it is expected that the Authority will lose $66 million due to reduced hydro volumes, and a like amount due to reduced energy prices. This results in a new forecast of $73 million of Net Income for 2015. This figure is NET of the Authority's budgeted voluntary contribution to the state.

While this is certainly a large reduction from the Authority's budgeted Net Income, it is important to keep this new forecast in context. First, the $73 million forecast is "roughly the same as the average Net Income results over the last 10 years."

Also, even at this lower number for Net Income, the Authority's cash flow is still very strong, as shown in its debt service coverage ratio, which is based on cash, not accrual, figures. The Authority's reduced forecast would still result in a coverage ratio of 2.9 times debt service, and would still be above its target for the year of at least 2.7x, and well above the minimum level the Board set by policy of 2.0x.

Net Income

Net income for the two months ended February 28, 2015 was $9.9 million, which was $34.4 million lower than budgeted due primarily to a lower net margin on sales ($53.7 million), partially offset by lower "other operating" expenses ($8.3 million), lower "operations and maintenance" expenses ($7.8 million) and higher non-operating income ($5.1 million).

Margins on market-based sales were lower than budgeted primarily at Niagara ($33.9 million), St. Lawrence ($7 million) and the SCPP's ($6.8 million) due to lower energy revenues resulting from significantly lower prices, and the impact of 17% lower Niagara production caused by low precipitation and less than normal ice thaw.

Other operating and operations and maintenance expenses reflected early-year timing differences. Non-operating income included a mark-to-market gain on the Authority's investment portfolio ($3.0 million) due to lower-than-expected market interest rates.

As authorized by the Trustees in February 2015, the Authority made a $42 million voluntary contribution to the Empire State Development Corporation in support of the Open for Business economic development initiative. This payment was included in the January budget."

In response to a comment from Trustee Nicandri, Chairman Koelmel said, and Mr. Lurie agreed, the funding to the state is included in the budget.

In response to a question from Vice Chair Mahoney, Mr. Lurie said the Authority does not have a formal process to keep the Budget Office apprised of its Net Income projections; however, he had discussions with the Budget Office staff during the state budgeting process to make them aware of the Authority's financial capabilities and outlook. He said it should be noted that the discussions took place in December/January and the Authority's outlook looked better at that point.

In response to a question from Chairman Koelmel regarding the drop in Net Income, Mr. Lurie said that the Authority may need to: 1) more tightly manage its operating expenses; 2) explore ways to cut expenses; 3) look at how the Authority can manage risks; and 4) consider hedging strategies and if the Authority wants to pay the cost of those hedges.

In response to further questioning from Chairman Koelmel, Mr. Lurie said the bulk of the Authority's sales are from excess power sold into the market and this occurs during the winter. Looking ahead to the winter of 2016, the market forecasts a return to higher levels of prices.

In response to a question from Trustee Foster, Mr. Lurie said the Authority's revised budget of $73 million is based on where prices are today and the assumption that those prices will remain until the end of the fiscal year. Mr. Lurie said the Authority cannot mitigate the factors that drive the hydro flows – icing conditions, precipitation, temperatures and a variety of other risk factors. However, the Authority has adopted a plan to partially hedge the energy prices and will be implementing that. Also, the Authority is forecasting Net Income in 2016 to be over $200 million.

In response to a question from Chairman Koelmel, Mr. Lurie said based on water levels in the great lakes, the Authority is assuming that hydro flows will be above normal for the next few years and have built that into its operating forecast for the period 2019-2020.

In response to further questioning from Chairman Koelmel, Mr. Lurie said based on stress tests on energy prices and volumes which the Authority conducts when preparing its budget, the Authority's net income is above zero. This is only a small portion of the Authority's overall business, the bulk of which is from revenues it receives from selling power to its contracted customers and this is extremely stable. He said the net income is

from the excess revenues earned when the plant generates more than its customers need and the Authority sells that excess power into the wholesale market

In response to still further questioning from Chairman Koelmel, Mr. Lurie said the net income or excess cash flow that the Authority would earn over its expenses typically goes first to capital expenses and then into the Authority's reserves. Even at these low levels of net income, the Authority's excess cash flow will still be sufficient to pay for all of its capital programs; the Authority's free cash flow is still around zero, and its large reserves, along with future income, are more than sufficient to fund its long-term capital and strategic initiatives.

In response to another question from Chairman Koelmel, Mr. Lurie said the Authority will explore opportunities for savings within its operating budget and also ways to prevent such a drop in its Net Income in the future. He added that the Authority's contracted revenue business will be increasing in profitability to the point where it will support its day to day operations business. And, by holding expenses constant, and, with the natural inflation that is built into the Authority's rates, it will be able to function with this variation.

In response to a question from Trustee Nicandri, President Quiniones said because of regulations, most of the utilities in New York do not own generators any longer and are therefore not exposed to the risks associated with the volatility of the wholesale market. However, the private companies that own generators experienced financial stress because of this volatility.

In response to a question from Chairman Koelmel, Mr. Lurie said the Authority was rated AA by the rating agencies because it has the ability to withstand fluctuations such as the drop in its net income. He said the Authority's cash flow will be sufficient to pay for all of its capital programs. Its large reserves, along with future income, will be more than adequate to fund its long-term capital and strategic initiatives. Therefore, even at the low levels of net income, the Authority's cash flow will be sufficient to pay all of its cash flow programs that it expects to spend this year.

In response to a question from Chairman Koelmel, Mr. Lurie said prior to the May 19th meeting, staff will provide the Board with an analysis of the ramifications of the drop in the net income; NYPA's response to the drop in net income; and NYPA's net income projections. Staff will also include a "Financial dashboard" in its next report to the Board.

4. Finance Matters

a. Release of Funds in Support of the Western New York Power Proceeds Allocation Act

The President and Chief Executive Officer submitted the following report:

"SUMMARY

The Trustees are requested to approve the release of funds into the Western New York Economic Development Fund ('WNYEDF') representing the net earnings from unallocated Expansion Power and Replacement Power sold into the wholesale energy market for the period through December 31, 2015 as set forth in Chapter 58 of the Laws of 2012.

BACKGROUND

On March 30, 2012, Governor Cuomo signed into law the Western New York Power Proceeds Allocation Act (the 'Act') which authorizes the Authority, as deemed feasible and advisable by the Trustees, to deposit into the WNYEDF net earnings from the sale of unallocated Expansion Power and Replacement Power from the Authority's Niagara power project. The Act repealed Chapter 436 of the Laws of 2010, which had amended the Public Authorities Law and the Economic Development Law, to create a somewhat similar program authorizing unallocated Expansion Power and Replacement Power to be utilized for WNYEDF benefits.

The effective date for calculating the net earnings is August 30, 2010, the original effective date of Chapter 436 of the Laws of 2010. Net earnings are defined as 'the aggregate excess of revenues received by the power authority of the state of New York from the sale of expansion and replacement power and energy produced at the Niagara project that was sold in the wholesale energy market over what revenues would have been received had such energy been sold on a firm basis to an eligible expansion power or replacement power customer under the applicable tariff or contract.'

The net earnings deposited into the WNYEDF will be utilized to fund economic development projects ('eligible projects') by private businesses, including not-for-profits, which are physically located within New York State and within a thirty-mile radius of the Niagara power project. Eligible projects are to support the growth of business in the state and thereby lead to increased tax revenues and job creation or retention. Eligible projects may include capital investment in buildings, equipment and associated infrastructure; research and development that benefits New York State; support for tourism and marketing and advertising for Western New York State tourism and business; and energy related projects as authorized under §1005(17) of Public Authorities Law.

The Act also established the Western New York Power Proceeds Allocation Board ('Allocation Board') which consists of five members appointed by the Governor. The Allocation Board's responsibilities include establishing written procedures for reviewing applications and making recommendations to the Authority for the allocation of fund benefits to eligible projects. In reviewing applications for benefits, the Allocation Board shall employ the same criteria used for determining eligibility for Expansion, Replacement and Preservation Power allocations as provided in §1005 of Public Authorities Law including, but not limited to, the number of jobs and type of jobs created as measured by wage and benefit levels; business' long-term commitment to the region; amount of capital investment; and impact on competitiveness in the region. Upon recommendation of the Allocation Board, the Authority shall award fund benefits to an applicant, provided however, that upon a showing of good cause, the Authority shall have the discretion as to whether to adopt the Allocation Board's recommendation, or to award benefits in a different amount or on different terms and conditions.

DISCUSSION

The Authority is requested, from time to time, to provide financial support to the State or for various other State programs. Any such transfer of funds must (1) be authorized by the Legislature; (2) be approved by the Trustees 'as feasible and advisable,' (3) satisfy the requirements of the Authority's General Resolution Authorizing

Revenue Obligations dated February 24, 1998, as amended and supplemented ('Bond Resolution') and (4) as set forth in the Trustees' Policy Statement dated May 24, 2011, a debt service coverage ratio of 2.0 shall be used as a reference point in considering any such payments or transfers.

The Bond Resolution's requirements to withdraw monies 'free and clear of the lien and pledge created by the Bond Resolution' are such that withdrawals (a) must be for a 'lawful corporate purpose as determined by the Authority,' and (b) the Authority must determine, taking into account, among other considerations, anticipated future receipt of revenues or other moneys constituting part of the Trust Estate, that the funds to be so withdrawn are not needed for (i) payment of reasonable and necessary operating expenses, (ii) an Operating Fund reserve for working capital, emergency repairs or replacements, major renewals or for retirement from service, decommissioning or disposal of facilities, (iii) payment of, or accumulation of a reserve for payment of, interest and principal on senior debt or (iv) payment of interest and principal on subordinate debt.

The Trustees have already authorized the release of up to $50 million in net earnings from the Operating Fund to the WNYEDF representing the then-estimated net earnings from inception through December 31, 2014. Actual net earnings deposited into the WNYEDF through this period totaled $38.512 million.

Staff is seeking authorization to deposit into the WNYEDF all additional net earnings through December 31, 2015 up to a total of $8 million. While it is estimated that approximately $5.5 million in net earnings will be generated based upon current levels of unused Expansion Power and Replacement Power and presently projected wholesale energy prices, the recommendation for up to $8 million reflects the potential volatility in market prices. If authorized by the Trustees, such net earnings would be deposited into the WNYEDF on at least a quarterly basis.

Staff has reviewed the effects of the transfer of up to $8 million into the WNYEDF on the Authority's projected financial position and reserve requirements. In addition, in accordance with the Board's Policy Statement, staff calculated the impact of this transfer on the Authority's debt service coverage ratio and determined it would not fall below the 2.0 reference point level. Given the current financial condition of the Authority, its estimated future revenues, operating expenses, debt service and reserve requirements, staff is of the view that it will be feasible for the Authority to make the deposit of up to $8 million at this time.

FISCAL INFORMATION

Since the passage of the initial legislation related to the WNYEDF (Chapter 436 of the Laws of 2010), the Authority has been accruing for this liability on a monthly basis. Provisions for the Authority's fiscal year 2015 deposits for this program were also included in the 2015 Operating Forecast approved by the Trustees in December 2014.

Staff has determined that sufficient funds are available to provide up to an additional $8 million in support for WNYEDF benefits for the period ending December 31, 2015 and that such Authority funds are not needed for any of the purposes specified in Section 503(1)(a)-(c) of the Authority's Bond Resolution. Net earnings to be deposited into the WNYEDF for periods beyond December 31, 2015 will be requested of the Trustees at a later date.

RECOMMENDATION

The Treasurer recommends that the Trustees affirm the deposit of up to $8 million into the Western New York Economic Development Fund is feasible and advisable and to authorize such deposit through December 31, 2015.

For the reasons stated, I recommend the approval of the above-requested action by adoption of the resolution below."

The following resolution, as submitted by the President and Chief Executive Officer, was unanimously adopted.

RESOLVED, That the Trustees hereby authorize the release of up to $8 million from the Operating Fund to the Western New York Economic Development Fund as authorized by Chapter 58 of the Laws of 2012 and as discussed in the foregoing report of the President and Chief Executive Officer; and be it further

RESOLVED, That the amount of up to $8 million to be used for the Western New York Economic Development Fund benefits described in the foregoing resolution is not needed for any of the purposes specified in Section 503(1)(a)-(c) of the Authority's General Resolution Authorizing Revenue Obligations, as amended and supplemented; and be it further

RESOLVED, That as a condition to making the releases specified in the foregoing resolutions, on the day of such payment the Treasurer or the Deputy Treasurer shall certify that such monies are not then needed for any of the purposes specified in Section 503(1)(a)-(c) of the Authority's General Resolution Authorizing Revenue Obligations, as amended and supplemented; and be it further

RESOLVED, That the Chairman, the Vice Chair, the President and Chief Executive Officer, the Chief Operating Officer, the Executive Vice President and General Counsel, the Executive Vice President and Chief Financial Officer, the Corporate Secretary, the Treasurer and all other officers of the Authority be, and each of them hereby is, authorized and directed, for and in the name and on behalf of the Authority, to do any and all things and take any and all actions and execute and deliver any and all certificates, agreements and other documents that they, or any of them, may deem necessary or advisable to effectuate the foregoing resolutions, subject to approval as to the form thereof by the Executive Vice President and General Counsel.

b. Contribution of Funds to the State Treasury

The President and Chief Executive Officer submitted the following report:

"SUMMARY

The Trustees are requested to authorize the release of $23 million in funds to the State's general fund as authorized by legislation approving the 2014-15 Budget of the State of New York (Chapter 55 of the Laws of 2014).

BACKGROUND

The Authority is requested, from time to time, to make financial contributions and transfers of funds to the State or to otherwise provide financial support for various State programs. Any such contribution or transfer of funds must (1) be authorized by the law; (2) be approved by the Trustees 'as feasible and advisable;' and (3) satisfy the requirements of the Authority's General Resolution Authorizing Revenue Obligations dated February 24, 1998, as amended and supplemented ('Bond Resolution'). In addition, as set forth in the Trustees' Policy Statement dated May 24, 2011, a debt service coverage ratio of 2.0 is to be used as a reference point in considering any such payments or transfers.

The Bond Resolution's requirements to withdraw monies 'free and clear of the lien and pledge created by the [Bond] Resolution' are such that (a) withdrawals must be for a 'lawful corporate purpose as determined by the Authority,' and (b) the Authority must determine, taking into account among other considerations anticipated future receipt of revenues or other moneys constituting part of the Trust Estate, that the funds to be so withdrawn are not needed for (i) payment of reasonable and necessary operating expenses, (ii) an Operating Fund reserve for working capital, emergency repairs or replacements, major renewals or for retirement from service, decommissioning or disposal of facilities, (iii) payment of, or accumulation of a reserve for payment of, interest and principal on senior debt or (iv) payment of interest and principal on subordinate debt.

DISCUSSION

The State's fiscal year ('SFY') 2014-15 Budget legislation authorizes the Authority as deemed 'feasible and advisable by its trustees' to provide up to $90 million in contributions to the State's general fund, or as otherwise directed in writing by the State's director of the budget, whereupon such funds 'will be utilized to support energy-related initiatives of the state or for economic development purposes.' In addition, the Budget legislation specified that up to $25 million is to be considered for payment by June 30, 2014 and with the remainder of any such contribution considered for payment by March 31, 2015. The legislation specifies that such economic development purposes may include, but shall not be limited to, efforts to attract and expand business investment and job creation in New York state through the Open for Business program, provided that in the event any contributed funds are used by a state agency or public authority for the purpose of advertising and promoting the benefits of the START-UP NY program, no less than sixty percent of the contributed funds used for such purpose shall be used for advertising and promotion outside the state of New York.

In May 2014 and February 2015, the Trustees approved, and the Authority transferred $25 million and $42 million respectively to ESD in furtherance of ESD's Statewide economic development initiatives. With regard to the remaining amount contemplated in the SFY 2014-15 Budget ($23 million), staff made no recommendation at the time, but indicated that it would return to the Board with a recommendation as to that amount based on the financial circumstances of the Authority at the time such contribution is to be considered for payment. New York State Division of Budget representatives have indicated that the State's fiscal plan anticipates a contribution in the amount $23 million from the Authority at this time which will be utilized to support economic development initiatives of the state.

Staff has reviewed the effects of the release of $23 million in State contributions on the Authority's expected financial position and reserve requirements. In addition, in accordance with the Board's Policy Statement, staff calculated the impact of these transfer amounts on the Authority's debt service coverage ratio and determined it

would not fall below the 2.0 reference point level. Given the current financial condition of the Authority, its estimated future revenues, operating expenses, debt service and reserve requirements, staff is of the view that it will be feasible for the Authority to release $23 million at this time.

FISCAL INFORMATION

Staff has determined that sufficient funds are available in the Operating Fund to transfer $23 million in contributions and that such Authority funds are not needed for any of the purposes specified in Section 503(1)(a)-(c) of the Authority's Bond Resolution. Such transfer pursuant to the SFY 2014-15 Budget legislation was anticipated and is within the amount reflected in the Power Authority's 2015 Operating Budget approved by the Trustees at their December 16, 2014 meeting.

RECOMMENDATION

The Treasurer recommends that the Trustees affirm that the transfer to the State's general fund of $23 million is feasible and advisable and authorize such payment.

For the reasons stated, I recommend the approval of the above-requested action by adoption of the resolution below.

Mr. Brian McElroy provided highlights of staff's recommendation to the Trustees. In response to a question from Chairman Koelmel, Mr. McElroy said the $8 million is from 2015 net earnings and is included in the $73 million Net Income forecast as well as the 2015 Operating Budget, therefore, it is appropriate to make this allocation. In response to further questioning from Chairman Koelmel, Mr. Lurie opined that, given the previous discussions regarding the Net Income, it is "feasible" and "advisable" that the Board award staff's recommendation.

The following resolution, as submitted by the President and Chief Executive Officer, was unanimously adopted.

RESOLVED, That the Trustees hereby authorize a payment to the State's general fund in the amount of $23 million from the Operating Fund as authorized by Chapter 55 of the Laws of 2014 as discussed in the foregoing report of the President and Chief Executive Officer; and be it further

RESOLVED, That the amount of $23 million to be used for the contributions to the State's general fund described in the foregoing resolution is not needed for any of the purposes specified in Section 503(1)(a)-(c) of the Authority's General Resolution Authorizing Revenue Obligations, as amended and supplemented; and be it further

RESOLVED, That as a condition to making the payments specified in the foregoing resolution, on the day of such payments, the Treasurer or the Deputy Treasurer shall certify that such monies are not then needed for any of the purposes specified in Section 503(1)(a)-(c) of the Authority's General Resolution Authorizing Revenue Obligations, as amended and supplemented; and be it further

RESOLVED, That the Chairman, the Vice Chair, the President and Chief Executive Officer, the Chief Operating Officer, the Executive Vice President and General Counsel, the Executive Vice President and Chief Financial Officer, the Corporate Secretary, the Treasurer and all other officers of the Authority be, and each of them hereby is, authorized and directed, for and in the name and on behalf of the Authority, to do any and all things and take any and all actions and execute and deliver any and all certificates, agreements and other documents that they, or any of them, may deem necessary or advisable to effectuate the foregoing resolution, subject to approval as to the form thereof by the Executive Vice President and General Counsel.

c. St. Lawrence/FDR Project Relicensing Agreement – Ten-Year Review with Local Government Task Force – Contract Award

The President and Chief Executive Officer submitted the following report:

"SUMMARY

The New York Power Authority ('NYPA') staff has concluded a tentative agreement with the Local Government Task Force ('LGTF') on a comprehensive, multi-year proposal valued at more than $100 million to the North Country. It includes a $30 million discount in electric rates for businesses and dairy farms in St. Lawrence, Jefferson and Franklin counties between now and 2018; the implementation of the Northern New York Power Proceeds legislation (value of over $60 million); and more than $15 million to support energy efficiency projects, construct new recreational facilities, and provide other benefits to the local communities and the North Country economy.

The Trustees are requested to authorize staff to take actions identified by the ten-year review of the St. Lawrence Relicensing Settlement Agreement to provide benefits to the North Country, to authorize additional expenditures in the amount of $45.1 million to support that effort and to approve the award of a consulting contract to McKinsey & Co., Inc. ('McKinsey') to study the desirability and means of attracting industry to the LGTF communities and the North Country.

The study work McKinsey will perform can help support the application of the North Country Regional Economic Development Council to the recently announced Upstate Revitalization Fund competition. Interim approval for this contract was needed so that the work would be timely available to support this effort. Interim approval was provided in an amount up to $500,000 by the Chief Executive Officer in early March, 2015.

BACKGROUND

Section 2879 of the Public Authorities Law and the Authority's Guidelines for Procurement Contracts require the Trustees' approval for procurement contracts involving services to be rendered for a period in excess of one year. The Authority's Expenditure Authorization Procedure requires the Trustees' approval for personal services contracts in excess of $3 million.

In 2003, the St Lawrence Project was issued a new license by the Federal Energy Regulatory Commission ('FERC'). There were several Settlement Agreements that supported the new license including one with the LGTF, which is composed of representatives from the municipalities and school districts bordering the Project. The LGTF Relicensing Settlement Agreement ('RSA') provides that 'the Parties shall conduct a review of this agreement every ten years commencing in 2013' to consider 'issues not anticipated at the time of relicensing.' The potential issues mentioned in the agreement included but were not limited to:

- Federal or State environmental prescriptions affecting the Project
- Economic impact of loss of major industrial customers in the Project Area
- Economic impact on the communities of any decrease in the local power allocation
- Economic effect of resolving Mohawk land claims
- Comparative Review of costs and benefits provided to local communities by other NYPA facilities
- Unanticipated capital outlays for the Project
- Lower or higher average water flows
- General economic condition of the Project

With the closing of the General Motors plant and Alcoa's East Plant, as well as the loss of many other businesses, the economy of the North Country has been in decline. The LGTF made arguments that NYPA should significantly increase the benefits it already provides as part of the relicensing settlement.

NYPA is not required by the RSA, or by any statute or law, to provide additional benefits to the LGTF communities. However, these proposed actions will aid the ailing economy of the North Country.

DISCUSSION

Since December 2013, the LGTF and NYPA staff met extensively and completed the review of the St. Lawrence Relicensing Settlement Agreement. As part of the review process, NYPA staff and the LGTF compiled a list of NYPA actions that could be taken to benefit the North Country, described in detail in Exhibit '4c-A' and summarized below. These actions, including the Northern New York Power Proceeds Act, are expected to provide over $100 million in value to the North Country economy. NYPA staff requested and has received a letter from the LGTF agreeing that the review process has been completed and that the LGTF will work with NYPA to implement these commitments. The boards of the municipalities and school districts that comprise the LGTF have ratified the end of the review and the proposed actions listed in Exhibit '4c-A.'

Economic Development Strategic Marketing Study

NYPA will fund an economic development, strategic marketing and global search study ('EDSMS') for the LGTF communities for a period of up to five years. NYPA staff is proposing to use McKinsey and Company to assist the LGTF communities' local government, economic development, business, and civic leaders in a national and international marketing study and search effort to identify and secure the development of business and industry in the LGTF communities. McKinsey is uniquely qualified to perform such work as exemplified by their outstanding contribution to the success of the Buffalo Billion program. McKinsey will also develop studies that will assist the North Country Regional Economic Development Council ('NCREDC') in preparing their application to Upstate Revitalization Fund competition, to enhance the likelihood of success, which would result in an award of $500 million to be used toward attracting industry to the North Country. McKinsey's authorization to perform this work will be up to five million dollars ($5,000,000).

If approved, NYPA will utilize an existing contract with McKinsey held by the NYS Division of Budgets which explicitly allows for other NYS government entities to use the contact (Contract C000410, as amended). The scope of services under this contract is consistent with the work NYPA is proposing, above. NYPA's Guidelines for Procurement Contracts recognize that the use of such contracts is appropriate where a reasonable potential for benefits to the Authority is present.

This contract is expected to end on August 9, 2016. By that time McKinsey will have finalized the EDSMS, performed preparatory work for the Global Search process and completed its work with NCREDC. NYPA's commitment to the Global Search will extend over three years past this date. Staff will return to the Trustees for approval of a new contract and additional funding to cover this period. This will provide an opportunity for NYPA and the LGTF to assess how the process is advancing and whether a course correction is needed.

Temporary Reduction in Electricity Costs for North Country Farms and Businesses

This proposal is similar to the Temporary North Country Stimulus Plan approved by the Trustees on May 19, 2009.

NYPA will work with the upstate utilities (National Grid and NYSEG), as was done in the past, to construct a financial pathway to flow dollars from NYPA to the businesses and active dairy farms in the targeted Preservation Power region through reductions in the local utility's monthly electric bill. The funds to support this program are generated by the net margins produced by the sale of hydropower into the wholesale energy market. The temporary curtailment at Alcoa East has led to unused power which is sold into the wholesale energy market. As with the prior plan, this commitment would be limited to ten million dollars ($10,000,000) per year. This commitment will run for three years or until Alcoa East returns to service, whichever is earlier. The total authorization requested for this program is $30 million ($30,000,000).

Build Smart NY Program

NYPA shall initiate a Build Smart NY energy efficiency and renewable energy savings program and grant fund for eligible governmental and school buildings in the LGTF communities in an amount up to one million five hundred thousand dollars ($1,500,000). NYPA will fund energy audits, as needed, and other appropriate studies and actions for each eligible building participating in the energy efficiency and renewable energy savings program from the $1.5 million grant fund.

Recreational Assessment Study and Implementation

Article 415 of the FERC license for the St. Lawrence/FDR Project requires that every twelfth year following license issuance (starting in 2015), NYPA shall prepare and file with FERC a Recreational Use Report ('RUR') assessing whether the recreation facilities at the Project are sufficient to meet the recreational demand. As part of the review, NYPA will hire an independent Recreational Facility Planner before the formal recreational assessment is conducted to develop a suite of proposed recreational improvements that are consistent with the interests expressed by the LGTF. NYPA staff and LGTF will work with the consultant to develop a list of mutually acceptable projects. NYPA will incorporate the list into the results of the 2015 RUR and into a proposal to FERC to modify the Project Recreational Plan. The RUR and the application to modify the Project Recreation Plan will be filed with FERC. NYPA will implement the plan after FERC's approval. NYPA will commit up to $7.5 million ($7,500,000) for this work.

Shoreline Stabilization

Article 401 of the FERC license for the St. Lawrence/FDR Project requires that NYPA develop a Shoreline Stabilization Plan to stabilize the eroding shorelines within the Project boundary and that NYPA provide up to $125,000 annually for adjoining landowners to perform small stabilization projects. As part of the review, NYPA will continue the Adjacent Landowner Shoreline Stabilization Program ('ALSP'), without imposing limitation on the length or cost of any individual project. NYPA shall fund the ALSP to a level of $1.75 million ($1,750,000) until the next ten-year review. Approximately $1 million ($1,000,000) of this funding will come from unused monies currently allocated for shoreline stabilization pursuant to the current FERC-approved shoreline stabilization plan. In return for NYPA continuing the ALSP, the LGTF will support NYPA in any application or filing made with FERC intended to terminate any obligation under the terms of Article 401 of the FERC license and existing implementation plan other than the continuation of the ALSP program.

High Water Flow Adjustment

Under the RSA, NYPA agreed that for any year in which the generation at the St. Lawrence/FDR Project exceeded 7.0 million MWh, a High River Flow Adjustment ('HRFA') would be paid to the LGTF based upon the amount of generation in excess of 7.0 MWh. There was a dispute regarding how to calculate the HRFA. NYPA will pay $286,000 to the LGTF for monies due under the terms of the RSA using the LGTF calculation and apply LGTF's calculation methodology for determining the amount of High River Flow Adjustment payments, going forward.

Additional North Country Benefits

After the completion of NYPA's current on-going study and evaluation of emergency response requirements at each of NYPA's existing projects, NYPA will consider providing the LGTF communities funding and support that is appropriate and consistent with the results of the aforementioned study for emergency response services they provide NYPA. The level of funding for the capital improvements and operations will be determined by the study.

NYPA will evaluate the changes to the Iroquois Dam road located in Waddington so as to allow use and passage by emergency vehicles and authorized users of the adjoining lands and complete all agreed to and necessary improvements to the emergency access road for the community located on Wilson Hill Island.

NYPA will work with the LGTF communities to identify roads that are used predominately to service and access NYPA facilities. NYPA will provide annual support for the maintenance of the identified roads.

NYPA will work with the LGTF, local fishing clubs and the state and federal regulatory agencies to evaluate the potential for improving the walleye fishery in Lake St. Lawrence by establishing imprinted walleye stock in the upstream tributaries of the St. Lawrence River to enhance walleye spawning.

Summary of Actions

The implementation of these actions will be completed over the next ten years. The estimated cost of these actions is $45.1 million. If additional funding is required, NYPA staff will request additional authorization from the Trustees.

With the Trustee's approval, NYPA staff will work with the LGTF negotiating team to memorialize these commitments between the parties, and then move forward with implementation.

FISCAL INFORMATION

Payments associated with this project will be made from the Authority's Capital Fund and Operating Funds, as appropriate.

RECOMMENDATION

The Senior Vice President – Public Affairs and Business Development recommends that the Trustees authorize staff to memorialize the commitments in a form consistent with Exhibit '4c-A' and to authorize the expenditure of $45.1 million to move forward with the implementation of the commitments with the Local Government Task Force and to approve the award of a contract with McKinsey and Company for the aforementioned services.

For the reasons stated, I recommend the approval of the above-requested action by adoption of the resolution below."

Mr. Mark Slade provided highlights of staff's recommendation to the Trustees. In response to a question from Trustee Foster, Mr. Slade said there have been supplemental events that have occurred in the North Country, e.g. the closure of the GM plant and the curtailment at ALCOA, that are within the scope of the list of items recommended in the Trustee item. Also, the funds being requested will be spent over several years.

Trustee Nicandri added that the communities that are involved border the Project. The Authority's project boundary and the properties that it owns have been extracted from those communities on the American side of the St. Lawrence River, and, as a result, that area does not lend itself to private development and this hampers the economic opportunities that are available to those communities. He also said the review is not a renegotiation of the license agreement, and this was a contention with the Local Government Task Force. He continued that the economic depression in that area goes back to 2003. And the recommendation before the Board is within the Authority's mission statement and also what its license allows it to do.

In response to further questioning from Trustee Foster, Trustee Nicandri said under the license renewal another review is required in ten years and President Quiniones added that the Authority has an agreement with the Local Government Task Force; therefore, there is no need for a dispute resolution. Also, the 10-year review is consistent with the License Agreement with the Authority.

In response to a question from Chairman Koelmel, President Quiniones said the firm McKinsey & Co., Inc. will conduct a global search in order to attract companies to invest in the North Country based on the available low-cost power and state economic development programs. Trustee Nicandri added that, in 2003, as part of the relicensing, the area was awarded an allocation of 20 MW of hydroelectric power for economic development; to his knowledge, no one has applied for any of that power. So, in order to market that power, the area is in need of a study that can identify the assets of the area and what industries and companies match what they have to offer.

The following resolution, as submitted by the President and Chief Executive Officer, was adopted with Vice Chair Mahoney being recused from the vote.

RESOLVED, That the commitments set out in Exhibit "4c-A" are approved and are to be memorialized in a final document to be developed by NYPA staff and the Local Government Task Force negotiating team, as recommended in the foregoing report of the President and Chief Executive Officer ; and be it further

RESOLVED, That pursuant to the Guidelines for Procurement Contracts adopted by the Authority and the Authority's Expenditure Authorization Procedures, approval is hereby granted to award a contract to McKinsey & Co. Inc. in the amount of $5,000,000 for the development of the Economic Development, Strategic Marketing Study, and Global Search on behalf of the Local Government Task Force Communities, and for providing studies relating to attracting industry to the North Country which will assist the North Country Regional Economic Development Council in competing in the Upstate Revitalization Fund competition as recommended in the foregoing memorandum of the President and Chief Executive Officer;

RESOLVED, That expenditures in the amount of $45.1 million are hereby approved for the purpose of implementing the commitments set forth in Exhibit "4c-A"; and be it further

RESOLVED, That as a condition of making available the amounts specified in the foregoing resolution, the Executive Vice President and Chief Financial Officer or the Treasurer shall certify that such monies are not needed for any of the

purposes specified in Section 503(1)(a)-(c) of the Authority's General Resolution Authorizing Revenue Obligations, as amended and supplemented; and be it further

RESOLVED, That the Chairman, the Vice Chair, the President and Chief Executive Officer, the Chief Operating Officer and all other officers of the Authority are, and each of them hereby is, authorized on behalf of the Authority to do any and all things and take any and all actions and execute and deliver any and all agreements, certificates and other documents to effectuate the foregoing resolution, subject to the approval of the form thereof by the Executive Vice President and General Counsel.

5. Power Allocations

a. Recharge New York Power Allocations

The President and Chief Executive Officer submitted the following report:

"SUMMARY

The Trustees are requested to:

1. Approve allocations of Recharge New York ('RNY') Power available for 'retention' purposes to the businesses listed in Exhibit '5a-A;' and

2. Approve allocations of RNY Power available for 'expansion' purposes to the businesses listed in Exhibit '5a-B.'

These actions have been recommended and/or approved by the Economic Development Power Allocation Board ('EDPAB') at its March 23, 2015 meeting.

BACKGROUND

On April 14, 2011, Governor Andrew M. Cuomo signed into law the RNY Power Program as part of Chapter 60 (Part CC) of the Laws of 2011 ('Chapter 60'). The program makes available 910 megawatts ('MW') of 'RNY Power,' 50% of which will be provided by the Authority's hydropower resources and 50% of which will be procured by the Authority from other sources. RNY Power contracts can be for a term of up to seven years in exchange for job and capital investment commitments.

RNY Power is available to businesses and not-for-profit corporations for job retention and business expansion and attraction purposes. Specifically, Chapter 60 provides that at least 350 MW of RNY Power shall be dedicated to facilities in the service territories served by the New York State Electric and Gas, National Grid and Rochester Gas and Electric utility companies; at least 200 MW of RNY Power shall be dedicated to the purpose of attracting new businesses and encouraging expansion of existing businesses statewide; and up to 100 MW shall be dedicated for eligible not-for-profit corporations and eligible small businesses statewide.

Under the statute, 'eligible applicant' is defined to mean an eligible business, eligible small business, or eligible not-for-profit corporation, however, an eligible applicant shall not include retail businesses as defined by EDPAB, including, without limitation, sports venues, gaming or entertainment-related establishments or places of overnight accommodations. At its meeting on April 24, 2012, EDPAB defined a retail business as a business that is primarily used in making retail sales of goods or services to customers who personally visit such facilities to obtain goods or services, consistent with the rules previously promulgated by EDPAB for implementation of the Authority's Economic Development Power program.

Prior to entering into a contract with an eligible applicant for the sale of RNY Power, and prior to the provision of electric service relating to a RNY Power allocation, the Authority must offer each eligible applicant that has received an award of RNY Power the option to decline to purchase the RNY Market Power component of such award. If the applicant declines to purchase the RNY Market Power component from the Authority, the Authority has no responsibility for supplying RNY Market Power component of the award.

RNY, as the new economic development power program unrelated to the previous Power for Jobs ('PFJ') and Energy Cost Savings Benefit ('ECSB') programs, required customers participating in such programs on its sunset date on June 30, 2012, to apply for RNY in order to be considered for a RNY Power allocation. All RNY applications are considered solely on their merits under the criteria established by the RNY legislation.

PFJ and ECSB customers who submitted applications prior to June 30, 2012 and who did not receive a RNY Power allocation were considered for the transitional electricity discount ('TED'). Pursuant to section 188-a

of the economic development law, the Authority is authorized, as deemed feasible and advisable by the Trustees, to provide such TED as recommended by EDPAB. The amount of the TED for the period of July 1, 2012 through June 30, 2014 shall be equivalent to 66% of the unit (per kilowatt-hour) value of the savings received by the applicant under the PFJ or ECSB during the 12 months ending on December 31, 2010. The amount of the TED for the period July 1, 2014 through June 30, 2016 shall be equivalent to 33% of the unit (per kilowatt-hour) value of the savings received by the applicant under the PFJ or ECSB during the 12 months ending on December 31, 2010.

As part of Governor Andrew M. Cuomo's initiative to foster business activity and streamline economic development, applications for all statewide economic development programs, including the RNY Power Program, have been incorporated into a single on-line Consolidated Funding Application ('CFA') marking a fundamental shift in how State economic development resources are marketed and allocated. Beginning in September 2011, the CFA was available to applicants. The CFA continues to serve as an efficient and effective tool to streamline and expedite the State's efforts to generate sustainable economic growth and employment opportunities. All applications that are considered for an RNY Power allocation are submitted through the CFA process.

Applications for RNY Power are subject to a competitive evaluation process and are evaluated based on the following criteria set forth in the statutes providing for the RNY Power Program (the 'RNY Statutes'):

'(i) the significance of the cost of electricity to the applicant's overall cost of doing business, and the impact that a recharge New York power allocation will have on the applicant's operating costs;

(ii) the extent to which a recharge New York power allocation will result in new capital investment in the state by the applicant;

(iii) the extent to which a recharge New York power allocation is consistent with any regional economic development council strategies and priorities;

(iv) the type and cost of buildings, equipment and facilities to be constructed, enlarged or installed if the applicant were to receive an allocation;

(v) the applicant's payroll, salaries, benefits and number of jobs at the facility for which a recharge New York power allocation is requested;

(vi) the number of jobs that will be created or retained within the state in relation to the requested recharge New York power allocation, and the extent to which the applicant will agree to commit to creating or retaining such jobs as a condition to receiving a recharge New York power allocation;

(vii) whether the applicant, due to the cost of electricity, is at risk of closing or curtailing facilities or operations in the state, relocating facilities or operations out of the state, or losing a significant number of jobs in the state, in the absence of a recharge New York power allocation;

(viii) the significance of the applicant's facility that would receive the recharge New York power allocation to the economy of the area in which such facility is located;

(ix) the extent to which the applicant has invested in energy efficiency measures, will agree to participate in or perform energy audits of its facilities, will agree to participate in energy efficiency programs of the authority, or will commit to implement or otherwise make tangible investments in energy efficiency measures as a condition to receiving a recharge New York power allocation;

(x) whether the applicant receives a hydroelectric power allocation or benefits supported by the sale of hydroelectric power under another program administered in whole or in part by the authority;

(xi) the extent to which a recharge New York power allocation will result in an advantage for an applicant in relation to the applicant's competitors within the state; and

(xii) in addition to the foregoing criteria, in the case of a not-for-profit corporation, whether the applicant provides critical services or substantial benefits to the local community in which the facility for which the allocation is requested is located.'

Based on the evaluation of these criteria, the applications were scored and ranked. Evaluations also considered scores provided by the relevant Regional Economic Development Council under the third and eighth criteria.

In arriving at recommendations for RNY Power for EDPAB's consideration, staff, among other things, attempted to maximize the economic benefits of low cost NYPA hydropower, the critical state asset at the core of the RNY Power Program, while attempting to ensure that each recipient receives a meaningful RNY Power allocation.

Business applicants with relatively high scores were recommended for allocations of retention RNY Power of 50% of the requested amount or average historic demand, whichever was lower. These allocations were capped at 10 MW for any recommended allocation. Not-for-profit corporation applicants that scored relatively high were recommended for allocations of 33% of the requested amount or average historic demand, whichever was lower. These allocations were capped at 5 MW. Applicants currently receiving hydropower allocations under other Authority power programs were recommended for allocations of RNY Power of 25% of the requested amount, subject to the caps as stated above.

RNY Power allocations have been awarded by the Trustees on ten prior occasions spanning from April 2012 through December 2014. There is currently 43 MW of unallocated RNY Power of the 710 MW block made available for business 'retention' purposes. Of that 710 MW retention block, 100 MW was set aside for not-for-profit corporations and small businesses, of which 2.4 MW is available to allocate to such entities. Lastly, there is 105.8 MW of unallocated RNY Power of the 200 MW block made available for business 'expansion' purposes. These figures reflect Trustee actions on RNY Power applications taken prior to any actions the Trustees take today.

DISCUSSION

1. Retention-Based RNY Power Allocations – Action Item

The Trustees are asked to address applications submitted via the CFA process for RNY Power retention-based allocations. Consistent with the evaluation process as described above, EDPAB recommended at its March 23, 2015 meeting that RNY Power retention allocations be awarded to the businesses listed in Exhibit '5a-A.' Each business has committed to create or retain jobs in New York State and to make capital investments in exchange for the recommended RNY Power allocations.

The RNY Power 'retention' allocations identified in Exhibit '5a-A' are each recommended for a term of seven years unless otherwise indicated. An allocation recommended by EDPAB qualifies the subject applicant to enter into a contract with the Authority for the purchase of the RNY Power. The Authority's standard RNY Power contract template, approved by the Trustees at their March 27, 2012 meeting, contains provisions addressing such things as effective periodic audits of the recipient of an allocation for the purpose of determining contract and program compliance, and for the partial or complete withdrawal of an allocation if the recipient fails to maintain mutually agreed upon commitments, relating to among other things, employment levels, power utilization, and capital investments. In addition, there is a requirement that a recipient of an allocation perform an energy efficiency audit at its facility not less than once during the first five years of the term of the allocation.

As noted in Exhibit '5a-A,' some of these applicants are also being recommended for an expansion-based allocation, having satisfied the criteria for both components of the RNY Power Program.

2. Expansion-Based RNY Power Allocations – Action Item

The Trustees are also asked to address applications submitted for RNY Power expansion-based allocations via the CFA process which request allocations from the 200 MW block of RNY Power dedicated by statute for 'for-

profit' businesses that propose to expand existing businesses or create new business in the State. These applications sought a RNY Power allocation for either (i) expansion only, in the case of a new business or facility, or (ii) expansion *and* retention, in the case of an existing business. EDPAB recommended at its March 23, 2015 meeting that RNY Power expansion-based allocations be made to the businesses listed in Exhibit '5a-B.' Each such allocation would be for a term of seven years unless otherwise indicated.

As with the evaluation process used for the retention recommendations described above, applications for the expansion-based RNY Power were scored based on the statutory criteria, albeit with a focus on information regarding each applicants' specific project to expand or create their new facility or business (*e.g.*, the expansion project's cost, associated job creation, and new electric load due to the expansion).

The respective amounts of the expansion-related allocations listed in Exhibit '5a-B' are largely intended to provide approximately 70% of the individual expansion projects' estimated new electric load. Because these projects have estimated new electric load amounts, and to ensure that an applicant's overestimation of the amount needed would not cause that applicant to receive a higher proportion of RNY Power to new load, the allocations in Exhibit '5a-B' are recommended based on an 'up to' amount basis. Each of these applicants would be required to, among other commitments, add the new electric load as stated in its application, and would be allowed to use up to the amount of their RNY Power allocation in the same proportion of the RNY Power allocation to requested load as stated in Exhibit '5a-B.' The contracts for these allocations would also contain the standard provisions previously summarized in the last paragraph of Section 1 above.

3. Ineligibility Determination – Informational Item

In the process of reviewing the current round of applications for RNY Power, EDPAB determined that the applicants listed on Exhibit '5a-C' seek RNY Power for a project that is ineligible to receive RNY Power for the reasons explained in Exhibit '5a-C.' No action by the Trustees is required on these applications.

4. Applications Not Considered or Not Recommended – Informational Item

EDPAB determined as of the date of its meeting not to consider the first five applications for RNY Power allocations for one or more of the following reasons: (i) the application was withdrawn; or (ii) the applicant was not responsive to outreach, leaving the application currently incomplete. EDPAB also determined to not recommend the sixth applicant listed on Exhibit '5a-D' for a RNY Power allocation on the grounds that the applicant is served by a municipal electric utility which is not in a position to accept and account for RNY Power to individual customers, and the applicant already enjoys competitive electric rates by virtue of the utility's receipt of low-cost NYPA hydropower. No action by the Trustees is required on these applications.

RECOMMENDATION

The Manager, Business Power Allocations and Compliance recommends that the Trustees: (1) approve the allocations of RNY Power for retention purposes to the businesses listed in Exhibit '5a-A' as indicated therein; (2) approve the allocations of RNY Power for expansion purposes to the businesses listed in Exhibit '5a-B' as indicated therein.

For the reasons stated, I recommend the approval of the above-requested action by adoption of the resolution below."

The following resolution, as submitted by the President and Chief Executive Officer, was unanimously adopted.

WHEREAS, the Economic Development Power Allocation Board ("EDPAB") has recommended that the Authority award Recharge New York ("RNY") Power allocations for retention purposes to the applicants listed in Exhibit "5a-A" in the amounts indicated; and

WHEREAS, EDPAB has recommended that the Authority award RNY Power allocations for expansion purposes to the applicants listed in Exhibit "5a-B" in the amounts indicated; and

NOW THEREFORE BE IT RESOLVED, That the Authority hereby authorizes the allocations of RNY Power for retention purposes to the applicants listed on Exhibit "5a-A" in accordance with the terms described in the attached report of the President and Chief Executive Officer; and be it further

RESOLVED, That the Authority hereby authorizes the allocations of RNY Power for expansion purposes to the applicants listed on Exhibit "5a-B" in accordance with the terms described in the attached report of the President and Chief Executive Officer; and be it further

RESOLVED, That the Chairman, the Vice Chair, the President and Chief Executive Officer, the Chief Operating Officer and all other officers of the Authority are, and each of them hereby is, authorized on behalf of the Authority to do any and all things, take any and all actions and execute and deliver any and all agreements, certificates and other documents to effectuate the foregoing resolution, subject to the approval of the form thereof by the Executive Vice President and General Counsel.

b. Western New York Hydropower Allocation

The President and Chief Executive Officer submitted the following report:

"SUMMARY

The Trustees are requested to approve an allocation of 1,000 kW of Replacement Power ('RP') to Pride Pak Canada Ltd. ('Pride Pak') in support of an expansion project at 1 Bernzomatic Drive, Medina, NY (Orleans County), as further described herein and in Exhibits '5b-A' and '5b-A-1.' The allocation would support capital expansion totaling $18.23 million and the creation of 163 jobs in Western New York ('WNY').

The Trustees are also requested to authorize a public hearing pursuant to Public Authorities Law ('PAL') §1009 on the proposed direct sale contract for Pride Pak, the current form of which is attached as Exhibit '5b-B.'

BACKGROUND

Under PAL §1005(13), the Authority may contract to allocate 250 megawatts ('MW') of firm hydroelectric power as Expansion Power ('EP') and up to 445 MW of RP to businesses in the State located within 30 miles of the Niagara Power Project, provided that the amount of power allocated to businesses in Chautauqua County on January 1, 1987 shall continue to be allocated in such county.

Each application for an allocation of EP and RP must be evaluated under criteria that include, but need not be limited to, those set forth in PAL §1005(13)(a) which details general eligibility requirements. Among the factors to be considered when evaluating a request for an allocation of hydropower are the number of jobs created as a result of the allocation; the business' long-term commitment to the region as evidenced by the current and/or planned capital investment in the business' facilities in the region; the ratio of the number of jobs to be created to the amount of power requested; the types of jobs to be created, as measured by wage and benefit levels, security and stability of employment, and the type and cost of buildings, equipment and facilities to be constructed, enlarged or installed.

The Authority works closely with business associations, local distribution companies and economic development entities to garner support for the projects to be recommended for allocations of Authority hydropower. Discussions routinely occur with National Grid, Empire State Development ('ESD'), the Buffalo Niagara Enterprise and Niagara County Center for Economic Development ('NCCED') and Erie County Industrial Development Agency ('ECIDA') to coordinate other economic development incentives that may help bring economic development to New York State. Staff confers with these entities to help maximize the value of hydropower to improve the economy of WNY and the State of New York. Each organization has expressed support for today's recommended allocations.

DISCUSSION

Background

At this time, 9,795 kW of unallocated EP and 30,213 kW of unallocated RP is available to be awarded to businesses under the criteria set forth in PAL §1005(13)(a).

Pride Pak

Founded in 1984, Pride Pak currently operates as Canada's largest fresh fruit and vegetable processor from a facility in Mississauga, Ontario.

Pride Pak has been asked to produce organic fruit and vegetable products for the Wegmans grocery chain. Pride Pak has submitted an application requesting 1,000 kW as part of a plan to invest $18.23 million to locate a fruit processing facility in Medina (Orleans County) in the former 180,000-square-foot Bernzomatic building to support this new demand.

Once renovation is complete, Pride Pak will start using 50,000 square-feet of space with two production lines (beginning approximately in January 2016) to process organic leafy products for Wegmans. Most of the vegetables will be purchased from local farmers within WNY. Pride Pak plans to hire 163 employees during its first three years of operation and expects to eventually use all the space available within the building.

Pride Pak is also considering locating this facility in Pottsville, Pennsylvania, as Wegmans has its distribution center there and has projected significant growth in the state. With that in mind, New York State is looking to support the expansion here with a $1.3 million incentive under the Empire State Development Corp's Excelsior Program, as well as local support from Orleans County Economic Development Agency.

The job creation ratio for the proposed allocation of 1,000 kW is 163 new jobs per MW. This ratio is above the historic average of 27 new jobs per MW based on allocations made over the past four years. The total project investment of $18.23 million would result in a capital investment ratio of $18.23 million per MW. This ratio is below the four-year historic average of $24.1 million per MW.

Staff recommends an allocation of 1,000 kW of RP be awarded to Pride Pak in support of an investment of $18.23 million and the creation of 163 new jobs at a renovated facility in Medina as further detailed in Exhibits '5b-A' and '5b-A-1.'

Contract Information

The Authority is in the process of discussing a proposed hydropower sales contract with Pride Pak and anticipates receiving approval of a contract substantially similar to the form attached as Exhibit '5b-B.' Accordingly, the Trustees are requested to authorize a public hearing pursuant to PAL §1009 on the contract form attached as Exhibit '5b-B.'

As required by PAL §1009, when the Authority believes it has reached agreement with its prospective co-party on a contract for the sale of EP or RP, it will transmit the proposed form of contract to the Governor and other elected officials, and hold a public hearing on the contract. At least 30-days' notice of the hearing must be given by publication once in each week during such period in each of six selected newspapers. Following the public hearing, the form of contract may be modified, if advisable. Staff will report to the Board of Trustees on the public hearing and the proposed contract at a later time and make additional recommendations regarding the proposed contract.

Upon approval of the final proposed contract by the Authority, the Authority must 'report' the proposed contract, along with its recommendations and the public hearing records, to the Governor and other elected officials. Upon approval by the Governor, the Authority may execute the contract.

The general form of the proposed contract is consistent with recently-approved contracts for the sale of EP and RP. Some pertinent provisions of the proposed form of contract include the provision for direct billing of all production charges (i.e., demand and energy) as well as all New York Independent System Operator, Inc. ('NYISO') charges, plus taxes or any other required assessments, all as set forth in the Authority's Service Tariff No. WNY-1. The proposed form of contract would also include (i) commercially reasonable provisions relating to financial security to reflect a direct billing arrangement between the Authority and its EP/RP customers, and (ii) provisions authorizing data transfers and addressing other utility-driven requirements which are necessary for efficient program implementation. Such provisions have been used in other Authority contract forms, including the Authority's Recharge New York Power Program contracts.

The provision of electric service for all hydropower allocations are subject to enforceable employment and usage commitments. The standard contract form includes annual job reporting requirements and a job compliance threshold of 90%. Should actual jobs reported by any company receiving a hydropower allocation fall below the compliance threshold, the Authority has the right to reduce the allocation on a pro-rata basis as provided for in the contract.

The recommended allocations would be sold pursuant to the Authority's Service Tariff No. WNY-1, which applies to all allocations of EP and RP. Transmission and delivery service would be provided by National Grid or New York State Electric & Gas Corporation in accordance with its Public Service Commission-filed service tariffs.

RECOMMENDATION

The Vice President – Marketing recommends that the Trustees approve a 1,000 kW allocation of Replacement Power to Pride Pak Canada Ltd., as further described herein and in Exhibits '5b-A,' and '5b-A-1.'

For the reasons stated, I recommend the approval of the above-requested action by adoption of the resolution below."

The following resolution, as submitted by the President and Chief Executive Officer, was unanimously adopted.

RESOLVED, That a 1,000 kilowatt allocation of Replacement Power to Pride Pak Canada Ltd., ('Pride Pak') as detailed in the foregoing report of the President and Chief Executive Officer and Exhibits "5b-A" and "5b-A-1" be, and hereby is, approved; and be it further

RESOLVED, That the Trustees hereby authorize a public hearing pursuant to Public Authorities Law ("PAL") §1009 on the terms of the proposed form of direct sale contract for the sale of Replacement Power finally negotiated with Pride Pak (the "Contract"), the current form of which is attached as Exhibit "5b-B," subject to rates previously approved by the Trustees; and be it further

RESOLVED, That the Corporate Secretary be, and hereby is, authorized to transmit a copy of the proposed Contract to the Governor, the Speaker of the Assembly, the Minority Leader of the Assembly, the Chairman of the Assembly Ways and Means Committee, the Temporary President of the Senate, the Minority Leader of the Senate and the Chairman of the Senate Finance Committee pursuant to PAL §1009; and be it further

RESOLVED, That in connection with the proposed Contract, the Corporate Secretary be, and hereby is, authorized to arrange for the publication of a notice of public hearing in six newspapers throughout the State, all done in accordance with the provisions of PAL §1009; and be it further

RESOLVED, That the Chairman, the Vice Chair, the President and Chief Executive Officer, the Chief Operating Officer and all other officers of the Authority are, and each of them hereby is, authorized on behalf of the Authority to do any and all things, take any and all actions and execute and deliver any and all agreements, certificates and other documents to effectuate the foregoing resolution, subject to the approval of the form thereof by the Executive Vice President and General Counsel.

6. Board Resolution – Joanne M. Mahoney

Chairman Koelmel presented the Board Resolution to Vice Chair Mahoney (Exhibit "6-A"). He said Vice Chair Mahoney's many contributions to the Board have been outstanding and greatly valued. He also wished her success in her new endeavor and opportunity with the Thruway Authority. He ended by saying on behalf of the Board of Trustees, President Quiniones and the entire organization he wanted to thank her for all she has done to contribute to their success and to wish her the very best.

In response, Vice Chair Mahoney thanked the Board saying that she will take the skills she learned regarding how this Board operates to the Thruway Authority. She said she appreciates the level of professionalism with which this organization is run and plans to take some of those "best practices" to the Thruway Authority. On a personal note, she said she has made good friends at NYPA and appreciated the time spent educating her on all things Power Authority and how to run an authority here in the state of New York.

Upon motion made and seconded, the following resolution was unanimously adopted by the Trustees:

> **Whereas, Joanne M. Mahoney's aspirational vision during a time of transformational change in the electric utility industry has inspired and emboldened the New York Power Authority Board of Trustees and its employees as they chart a course for the future; and**
>
> **Whereas, Ms. Mahoney has distinguished herself as the quintessential public servant, adhering to the highest code of personal and professional ethics that have informed her unequaled success as the first woman elected County Executive for Onondaga County, her appointment as NYPA Trustee and subsequent appointment to the position of Vice Chairman; and**
>
> **Whereas, Ms. Mahoney's transfer of her vast knowledge of fiscal and budgetary policy as a member of the Governance Committee, was pivotal in influencing the actions by Standard & Poor's to upgrade NYPA's long-term credit rating and to raise the rating of NYPA's already highly regarded short-term debt, is a testament to her brilliant stewardship of NYPA resources; and**
>
> **Whereas, Ms. Mahoney's imprimatur provided inspiration and guidance from concept to completion, in articulating the 2014 – 2019 Strategic Vision, positioning NYPA to optimize its strengths, and to adapt and add to its current capabilities in helping to make New York a leader in forging the new power industry; and**
>
> **Whereas, Ms. Mahoney's conviction that protection of the environment is every New Yorker's responsibility and that our children and future generations have a right to a cleaner, greener, and sustainable future has inspired communities; and**
>
> **Whereas, Ms. Mahoney, as a staunch defender of New York State's unparalleled position as a national and global leader in energy technology**

and innovation, and ever mindful of the resulting potential for significant economic development, has been an agent of change in laying the foundation for the State's emerging energy economy; and

Whereas, Ms. Mahoney has led by example, placing principles above personalities by "reaching across the aisle" to find commonality rather than difference, helping to reinforce that good government can change people's lives for the better; and

Whereas, Ms. Mahoney leaves a legacy of true statesmanship, inclusivity and respect for all;

Now Therefore Be It Resolved, That the Trustees of the Power Authority of the State of New York convey their deepest gratitude, admiration and appreciation to Joanne M. Mahoney for her service to NYPA and that they wish her, her husband, Marc, and their four sons, a happy, healthy and rewarding future.

7. Motion to Conduct an Executive Session

Mr. Chairman, I move that the Authority conduct an executive session pursuant to the Public Officers Law of the State of New York section §105 to discuss an ongoing investigation, contract negotiations, labor negotiations, and matters leading to the promotion or demotion of a particular person. Upon motion made and seconded an Executive Session was held.

8. Motion to Resume Meeting in Open Session

Mr. Chairman, I move to resume the meeting in Open Session. Upon motion made and seconded, the meeting resumed in Open Session.

9. Next Meeting

The next meeting of the Trustees will be held on **May 19, 2015 at the Clarence D. Rappleyea Building, White Plains, New York,** unless otherwise designated by the Chairman with the concurrence of the Trustees.

Closing

Upon motion made and seconded, the meeting was adjourned by the Chairman at approximately 1:10 p.m.

Karen Delince
Corporate Secretary

EXHIBITS

For

March 26, 2015

Regular Meeting

Minutes

Exhibit A
March 26, 2015

AUDIT COMMITTEE CHARTER

A. PURPOSE

The Audit Committee ("Committee") oversees the work of the Internal Audit Department and monitors all internal audits and external audits performed by the Independent Auditor and other external agencies as well as management's corrective action and implementation plans to all audit findings.

The responsibilities of the Committee are to: recommend to the Board of Trustees the hiring of a certified independent accounting firm for the New York Power Authority ("Authority"), establish the compensation to be paid to the accounting firm and provide direct oversight of the performance of the independent audit conducted by the accounting firm hired for such purposes; provide direct oversight of the Internal Audit Department, and perform such other responsibilities as the Trustees may assign it.

B. MEBERSHIP AND ORGANIZATION

(1) Committee Composition

The Committee will be comprised of at least three, but not exceeding five, independent members of the Board of Trustees, who possess the necessary skills to understand the duties and functions of the Committee and be familiar with corporate finance and accounting. Committee members and the Committee Chair will be selected by a vote of the Board of Trustees.

The Board may vote to designate one alternate member, who meets all the Committee member requirements, to serve on the Committee (a) in the absence of a regular Committee member at a meeting, (b) upon recusal or abstention of a regular Committee member from an action, or (c) in case of a vacancy on the Committee until such time as the Board of Trustees selects a replacement Committee member.

Committee members are prohibited from being an employee of the Authority or an immediate family member of an employee of the Authority. In addition, Committee members shall not engage in any private business transactions with the Authority or receive compensation from any private entity that has material business relationships with the Authority, or be an immediate family member of an individual that engages in private business transactions with the Authority or receives compensation from an entity that has material business relationships with the Authority.

(2) Term

Committee members will serve for a period of five years subject to their term of office under the Public Authorities Law § 1003. Committee members may be reelected to serve for additional periods of five years subject to their term of office. A Committee member may resign his or her position on the Committee while continuing to serve as a Trustee. In the event of a vacancy on the Committee due to death, resignation or otherwise, a successor will be selected to serve in the manner and for the term described above.

(3) Removal

A Committee member may be removed if he or she is removed as Trustee for cause, subject to Public Authorities Law § 2827, or is no longer eligible to serve as a Committee member.

(4) Meetings and Quorum

The quorum of the Committee is a majority of the number of regular Committee members selected by the Board of Trustees. For a quorum, the alternate Committee member is counted.

A majority vote of all Committee members present is required to take action on a matter within its authority. The alternate Committee member is counted and votes only when serving on the Committee as specified in Section B (1).

The Committee shall hold regularly scheduled meetings at least three times per year. A Committee member may call a special meeting of the Committee individually, or upon the request of the Authority's Present and Chief Executive Officer ("CEO"), Chief Operating Officer ("COO"), Executive Vice President and General Counsel ("GC"), Executive Vice President and Chief Financial Officer ("CFO"), Chief Risk Officer ("CRO"), Controller, or Chief Audit Executive of the Internal Audit Department ("CAE"). The notice of meeting need not state the purpose for which the meeting has been called. In order to transact business, a quorum must be present.

In addition, the Committee: (1) will meet at least three times a year with the CAE for the purpose of reviewing audit activities, audit findings, management's response, remediation action plans, and providing the CAE with an opportunity to discuss items and topics of relevance with the Committee; (2) will meet at least twice a year with the Authority's independent auditors to discuss the audit work plans, objectives, results and recommendations; and (3) may meet independently with the Authority's CEO, COO, GC, CFO, CRO, Controller, or CAE on matters or issues and items within the Committee's purview as it deems necessary. These meetings may be held as part of a regular or special meeting at the Committee's discretion.

An agenda will be prepared and distributed to each Committee member prior to each meeting and minutes to be prepared in accordance with the New York Open Meetings Law**.** Minutes of the meeting should include, at a minimum:

a. Date; location; time meeting was called to order and to adjourn; and if other Board committee (e.g., Finance Committee, etc.) is meeting simultaneously
b. Title and name of attendees; public comments period; title and name of public speakers
c. Approval of the official proceedings of the previous month's Committee meeting
d. Pre-Approval of audit and on-auditing services as appropriate, all auditing services and non-audit services to be performed by independent auditors will be presented to and pre-approved by the Committee:
    1. External Audit Approach Plans: Independent auditor presents approach/service plan; which is to be submitted to the Committee electronically
    2. Internal Audit Activity Report: CAE provides overview of Internal Audit activities
    3. Risk Management: CRO provides an overview of Risk Management activities
e. Follow-up items including communications to the Committee of the current status of selected open issues, concerns, or matters previously brought to the Committee's attention or requested by the Committee
f. Status of audit activities, as appropriate; representatives of the certified independent accounting firm or agency management will discuss with the Committee significant audit findings/issues, the status of on-going audits, and the actions taken by agency management to implement audit recommendations

g. Copies of handouts or materials presented to the Committee

Any meeting of the Committee may be conducted by video conferencing. To the extent permitted by law, the Committee may hold meetings or portions of meetings in executive session.

C. FUNCTIONS AND POWERS

The Committee has the following responsibilities:

(1) General Powers

The Committee may call upon the resources of the Authority to assist the Committee in the discharge of its oversight functions. Such assistance may include the assignment of Authority employees to assist the Committee, and the retention of external advisors to the requirements of the Public Authorities Law and the Authority's Expenditure Authorization Procedures. The Committee may communicate directly with the CEO.

The Committee may direct any Authority employee to make oral or written reports to the Committee on issues and items within the Committee's purview.

The Committee may direct the Authority's internal auditors to conduct special audits of items and issues of concern to the Committee.

(2) Accounting, Financial Reporting, and Oversight of Independent Accountants and Controller

The Committee will seek to enhance the integrity, quality, reliability and accuracy of the Authority's financial statements and accompanying notes, and will oversee the relationship with eh Authority's independent accountants. To accomplish these objectives, the Committee will:

a. Provide advice to the Trustees on this selection, engagement, compensation, evaluation and discharge of the independent accountants.

b. Review and discuss as necessary the Authority's financial statements including any material changes in accounting principles and practices with the independent accountants, the Controller, or members of Authority management.

c. Review and approve the Authority's annual audited financial statements (including the independent accountants' associated management letter).

d. Oversee the establishment of procedures for the effective receipt and treatment of (i) complaints regarding auditing, internal auditing and accounting matters, and (ii) the confidential submission of concerns raised by whistleblowers and other persons regarding accounting or auditing practices.

e. Review at least annually the scope, objectives and results of the independent auditors' examination of the annual financial statements and accompanying notes, and report to the Trustees on the Committee's findings.

f. Assure the independence of the independent accountants by approving any non-audit work for the Authority and examining the independent auditor's relationship with the Authority.

g. Report to the Trustees on any matters relevant to the audit process or independent accountant communications, and make such recommendations as the Committee deems appropriate.

(3) <u>Risk Management, Internal Controls and Oversight of the Internal Audit Department</u>

The Committee will seek to enhance the Authority's risk management infrastructure, and ensure timely and effective identification and mitigation of critical business risks. To accomplish these objectives, the Committee will:

a. Have authority over appointment, dismissal, compensation and performance reviews of the CAE. The CAE will report directly to the Committee.

b. Review the charter, activities, staffing and organizational structure of the Internal Audit Department with management and the CAE**.**

c. Ensure that the Internal Audit Department is organizationally independent from Authority operations.

d. Provide oversight of the Internal Audit Department and the Internal Audit Department's resources and activities to facilitate the Internal Audit Department's improvement of internal controls.

e. Review Internal Audit reports and recommendations of the CAE. This review will include a discussion of significant risks reported in the Internal Audit reports, and an assessment of the responsiveness and timeliness of management's follow-up activities pertaining to the same.

f. Require the CAE to attend any meeting of the Committee and to prepare and deliver such reports as the Committee requests.

g. Provide guidance to the Authority's CRO and enterprise risk management program on critical business objectives, risks and philosophy and tolerance for risk mitigation, and establish requirements for the CRO to report to the Committee.

h. Report at least annually to the Board of Trustees on matters relating to the internal audit function and the enterprise risk management program, and make such recommendations as the Committee deems appropriate.

i. Present periodic reporting to the Board of how the Committee has discharged its duties and met its responsibilities, and regularly report activities, issues and recommendations.

j. Review the Committee's charter annually, reassess its adequacy, and recommend any proposed changes to the Board.

k. Conduct an annual self-evaluation of performance, including its effectiveness and compliance with the charter.

March 26, 2015~~February 23, 2010~~

AUDIT COMMITTEE CHARTER

A. PURPOSE

~~The purpose of the Audit Committee ("Committee") is~~The Audit Committee ("Committee") oversees the work of the Internal Audit Department and monitors all internal audits and external audits performed by the Independent Auditor and other external agencies as well as management's corrective action and implementation plans to all audit findings.

The responsibilities of the Committee are to: recommend to the Board of Trustees the hiring of a certified independent accounting firm for the New York Power Authority~~;~~ ("Authority"), establish the compensation to be paid to the accounting firm~~;~~ and provide direct oversight of the performance of the independent audit conducted by the accounting firm hired for such purposes; provide direct oversight of the ~~internal audit function;~~Internal Audit Department, and perform such other responsibilities as the Trustees ~~shall~~ may assign ~~to~~ it.

~~B. MEMBERSHIP~~

B. MEBERSHIP AND ORGANIZATION

(1) ~~(1)~~ Committee Composition

~~The~~ Committee ~~shall~~will be comprised of at least three, but not exceeding five, independent members of the Board of Trustees, who ~~shall~~ possess the necessary skills to understand the duties and functions of the Committee and be familiar with corporate finance and accounting. Committee members and the Committee Chair ~~shall~~will be selected by a vote of the Board of Trustees.

~~(2)~~ The Board may vote to designate one alternate member, who meets all the Committee member requirements, to serve on the Committee (a) in the absence of a regular Committee member at a meeting, (b) upon recusal or abstention of a regular Committee member from an action, or (c) in case of a vacancy on the Committee until such time as the Board of Trustees selects a replacement Committee member.

Committee members are prohibited from being an employee of the Authority or an immediate family member of an employee of the Authority. In addition, ~~Term~~

~~The~~ Committee members shall not engage in any private business transactions with the Authority or receive compensation from any private entity that has material business relationships with the Authority, or be an immediate family member of an individual that engages in private business transactions with the Authority or receives compensation from an entity that has material business relationships with the Authority.

(2) Term

Committee members will ~~-~~serve for a period of five years subject to their term of office under the Public Authorities Law § 1003. Committee members may be reelected to serve for additional periods of five years subject to their term of office. ~~-~~A Committee member may resign his or her position on the Committee while continuing to serve as a Trustee. In the event of a vacancy on the Committee due to death, resignation or otherwise, a successor will be selected to serve in the manner and for the term described above.

(3) ~~(3)~~ **Removal**

~~———~~A Committee member may be removed if he or she is removed as ~~a~~ Trustee for cause, subject to Public Authorities Law § 2827, or is no longer eligible to serve as a Committee member.

(4) ~~(4)~~ **Meetings and Quorum**

~~———~~The quorum of the Committee is a majority of the number of regular Committee members selected by the Board of Trustees. For a quorum, the alternate Committee member is counted.

A majority vote of all Committee members present is required to take action on a matter within its authority. The alternate Committee member is counted and votes only when serving on the Committee as specified in Section B (1).

The Committee shall hold regularly scheduled meetings at least three times per year. A Committee member may call a special meeting of the Committee individually, or upon the request of the Authority's ~~President~~Present and Chief Executive Officer~~,~~ ("CEO"), Chief Operating Officer~~,~~ ("COO"), Executive Vice President and General Counsel~~, Chief Risk Officer,~~ ("GC"), Executive Vice President and Chief Financial Officer~~,~~ ("CFO"), Chief Risk Officer ("CRO"), Controller, or ~~head~~Chief Audit Executive of the ~~Office of~~ Internal Audit ~~("OIA").~~ Department ("CAE"). The notice of meeting need not state the purpose for which the meeting has been called. In order to transact business, a quorum must be present.

In addition, the Committee: (1) ~~shall~~will meet at least three times a year with the ~~head of the OIA~~CAE for the purpose of reviewing audit activities, audit findings, management's ~~responses, remedial~~response, remediation action plans, and providing the ~~OIA~~CAE with an opportunity to discuss items and topics of ~~relevant to~~relevance with the ~~Audit~~ Committee; (2) ~~shall~~will meet at least twice a year with the Authority's independent ~~accountants~~auditors to discuss the audit work plans, objectives, results and recommendations; and (3) may meet independently with the Authority's ~~President and Chief Executive Officer, Chief Operating Officer, Executive Vice President and General Counsel, Chief Risk Officer, Chief Financial Officer~~CEO, COO, GC, CFO, CRO, Controller, or ~~head of the OIA~~CAE on matters or issues and items within the Committee's purview as it deems necessary. ~~–~~These meetings may be held as part of a regular or special meeting ~~in~~at the Committee's discretion.

~~———~~An agenda ~~shall~~will be prepared and distributed to each Committee member prior to each meeting and minutes ~~shall~~to be prepared in accordance with the New York Open Meetings

Law. ~~A majority of those present, but no less than two~~Minutes of the meeting should include, at a minimum:

a. Date; location; time meeting was called to order and to adjourn; and if other Board committee (e.g., Finance Committee~~ members, at a regular or special~~, etc.) is meeting simultaneously

b. Title and name of attendees; public comments period; title and name of public speakers

c. Approval of the official proceedings of the previous month's Committee ~~shall constitute a quorum for the purposes of conducting the business~~meeting

d. Pre-Approval of audit and on-auditing services as appropriate, all auditing services and non-audit services to be performed by independent auditors will be presented to and pre-approved by the Committee:

1. External Audit Approach Plans: Independent auditor presents approach/service plan; which is to be submitted to the Committee electronically
2. Internal Audit Activity Report: CAE provides overview of Internal Audit activities
3. Risk Management: CRO provides an overview of Risk Management activities

e. Follow-up items including communications to the Committee ~~and receiving reports.~~of the current status of selected open issues, concerns, or matters previously brought to the Committee's attention or requested by the Committee

f. Status of audit activities, as appropriate; representatives of the certified independent accounting firm or agency management will discuss with the Committee significant audit findings/issues, the status of on-going audits, and the actions taken by agency management to implement audit recommendations

g. Copies of handouts or materials presented to the Committee

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C. ~~C.~~ FUNCTIONS AND POWERS

The Committee ~~shall have~~has the following responsibilities:

(1) ~~(1)~~ General Powers

The Committee may call upon the resources of the Authority to assist the Committee in the discharge of its oversight functions. Such assistance may include the assignment of Authority employees to assist the Committee, and the retention of external advisors ~~subject~~ to the requirements of the Public Authorities Law and the Authority's Expenditure Authorization Procedures. The Committee may communicate directly with the CEO.

The Committee may direct any Authority employee to make oral or written reports to the Committee on issues and items within the Committee's purview.

The Committee may direct the Authority's internal auditors to conduct special audits of items and issues of concern to the Committee.

(2) ~~(2)~~ **Accounting, Financial Reporting, and Oversight of Independent Accountants and Controller**

~~The~~ The Committee ~~shall~~will seek to enhance the integrity, quality, reliability and accuracy of the Authority's financial statements and accompanying notes, and ~~shall~~will oversee the relationship with ~~the~~eh Authority's independent accountants. To accomplish ~~this objective~~these objectives, the Committee ~~shall~~will:

a. Provide advice to the Trustees on ~~the~~this selection, engagement, compensation, evaluation and discharge of the independent accountants.

b. Review and discuss as necessary the Authority's financial statements including any material changes in accounting principles and practices with the independent accountants, the Controller, or members of Authority management.

c. Review and approve the Authority's annual audited financial statements (including the independent accountants' associated management letter).

d. Oversee the establishment of procedures for the effective receipt and treatment of (i) complaints regarding auditing, internal auditing and accounting matters, and (ii) the confidential submission of concerns raised by whistleblowers and other persons regarding accounting or auditing practices.

e. Review at least annually the scope, objectives and results of the independent ~~accountants'~~ auditors' examination of the annual financial statements and accompanying notes, and report to the Trustees on the Committee's findings.

f. Assure the independence of the independent accountants by approving any non-audit work for the Authority and examining the ~~accountant's~~independent auditor's relationship with the Authority.

g. Report to the Trustees on any matters relevant to the audit process or independent accountant communications, and make such recommendations as the Committee deems appropriate.

~~(3)~~

(3) Risk Management, Internal Controls and Oversight of the ~~OIA~~ Internal Audit Department

The Committee ~~shall~~will seek to enhance the Authority's risk management infrastructure, and ensure timely and effective identification and mitigation of critical business risks. To accomplish these objectives, the Committee ~~shall~~will:

Have authority over~~Have authority over~~

a. ~~Review and approve the~~ appointment, ~~evaluation and removal~~dismissal, compensation and performance reviews of the CAE. The CAE will report directly to the Committee.

~~a.~~b. Review ~~head~~with management and the CAE, the charter, activities, staffing and organizational structure of the ~~OIA.~~ Internal Audit Department with management and the CAE.

c. Ensure that the Internal Audit Department is organizationally independent from Authority operations.

~~b.~~d. Provide oversight of the ~~OIA~~Internal Audit Department and the ~~OIA's~~Internal Audit Department's resources and activities to facilitate the ~~OIA's~~Internal Audit Department's improvement of internal controls.

e. Review Internal Audit reports and recommendations of the CAE. This review will include a discussion of significant risks reported in the Internal Audit reports, and an assessment of the responsiveness and timeliness of management's follow-up activities pertaining to the same.

~~c.~~f. Require the ~~head of the OIA~~CAE to attend any meeting of the Committee and to prepare and deliver such reports as the Committee requests.

~~d.~~g. Provide guidance to the Authority's ~~Chief Risk Officer~~CRO and enterprise risk management program on critical business objectives, risks and philosophy and tolerance for risk mitigation, and establish requirements for the ~~Chief Risk Officer~~CRO to report to the Committee.

h. Report at least annually to the Board of Trustees on matters relating to the internal audit function and the enterprise ~~-wide~~ risk management ~~infrastructure~~program, and make such recommendations as the Committee deems appropriate.

i. Present periodic reporting to the Board of how the Committee has discharged its duties and met its responsibilities, and regularly report activities, issues and recommendations.

j. Review the Committee's charter annually, reassess its adequacy, and recommend any proposed changes to the Board.

k. Conduct an annual self-evaluation of performance, including its effectiveness and compliance with the charter.

~~e.~~

Decrease in New York City Governmental Customer Fixed Cost Component – Notice of Adoption

Exhibit "A"
Customer Comments

COUCH WHITE
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February 23, 2015

VIA E-MAIL AND OVERNIGHT DELIVERY

Ms. Karen Delince
Corporate Secretary
New York Power Authority
123 Main Street, 11-P
White Plains, New York 10601

Re: SAPA No. PAS-01-15-00012-P – Rates for the Sale of Power and Energy

Dear Ms. Delince:

Enclosed please find the Comments of the City of New York on the New York Power Authority's proposal to increase the Fixed Costs component of the production rates for its New York City Governmental Customers for 2015. These comments are submitted in response to the notice published in the New York State Register on January 7, 2015.

If you have any questions regarding these comments, please feel free to contact me.

Sincerely,

COUCH WHITE, LLP

Kevin M. Lang

Enclosure
cc: Hon. Stacey Cumberbatch, Commissioner, DCAS
Ms. Sally Renfro, Chief of Staff, DCAS
Ms. Ozgem Ornektekin, Deputy Commissioner – Energy Management, DCAS
Suzanne M. Lynn, Esq., General Counsel, DCAS
Ms. Susan Cohen, Assistant Commissioner – Energy Budget, Supply & Reporting, DCAS
Gail Rubin, Esq., Chief, Affirmative Litigation, NYC Law Department
Mr. Anthony Fiore, Interim Director of Energy Regulatory Policy, NYC Office of Sustainability

POWER AUTHORITY
OF THE STATE OF NEW YORK

Rates for the Sale of Power and Energy	**SAPA No. PAS-01-15-00012-P**

COMMENTS OF THE CITY OF NEW YORK

February 23, 2015

COUCH WHITE, LLP
540 BROADWAY
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PRELIMINARY STATEMENT

The City of New York ("City") has reviewed the fixed cost portion of the projected 2015 Cost-of-Service for the New York City Governmental Customers ("NYCGCs"), as provided to it by the staff of the New York Power Authority ("NYPA"). The City respectfully requests that NYPA's Board of Trustees reduce the fixed costs by $11.2 million related to explicitly-identified items discussed herein, and that it make additional reductions to fixed cost items that are excessive but for which the City does not have enough information to quantify the size of the reductions. The City also respectfully requests that the Board of Trustees extend the recovery period for fixed-rate debt service for the 500 MW unit to match its service life, which is consistent with the manner in which most utility capital costs are recovered. The City estimates that this adjustment would further reduce the fixed costs by approximately $20 million. In total, the adjustments discussed herein would reduce the fixed costs by more than 20% from the $140.9 million level set forth in the preliminary 2015 Cost-of-Service, and by almost 4% on a total cost basis.

In addition to the adjustments discussed in these comments, the City believes that there are many other opportunities for NYPA to reduce the level of the Cost-of-Service while still covering the costs it incurs to serve the NYCGCs. A comprehensive audit will provide a plan for NYPA to do so. Similar comprehensive audits of regulated utilities by the New York State Public Service Commission ("PSC") have resulted in hundreds of millions of dollars of savings for the utilities' customers. The audits have also resulted in improvements and increasing efficiencies in the manner in which the utilities procure goods and services, plan and undertake construction activities, and operate their businesses. Accordingly, the City respectfully recommends that the Board of Trustees engage an independent consultant to conduct a full review of NYPA's costs and processes via a management audit.

The Long-Term Agreement between the City and NYPA envisioned an annual process for setting the Cost-of-Service in which the City's concerns would be fairly and reasonably considered, and adjustments would be made as appropriate. Since 2005, the City has engaged in earnest reviews of the Cost-of-Service and in extensive discussions with NYPA Staff to understand the justification and rationale for the fixed cost items and cost levels. Where warranted, the City has raised concerns regarding the cost items in formal comments to the Board of Trustees. Despite the City's efforts, most of the City's concerns are generally not addressed and most of the costs that it has questioned have remained unchanged. From this perspective alone, the City looks forward to a future process that is more transparent and provides a better opportunity for setting rates that are fair and reasonable.

PROCEDURAL SETTING

In 2014, with the concurrence from the NYCGCs, NYPA modified the process for development of the 2015 Cost-of-Service. A "*Preliminary Staff Report – New York City Governmental Customers Annual Planning and Pricing Process Analysis, Including: Preliminary 2015 Cost-of-Service (Base Variable Cost)*" was provided to the NYCGCs on July 7, 2014. As its name implies, that Report addressed only the variable cost aspects of the 2015 Cost-of-Service. It was used to assist NYPA and the NYCGCs in formulating their procurement plans for energy, capacity, and ancillary services for 2015.

On October 23, 2014, NYPA shared with the NYCGCs some of the figures that comprise the fixed cost portion of the 2015 Cost-of-Service. That information was updated on November 21, 2014. Then, on December 19, 2014, NYPA provided a "*Staff Report New York City Governmental Customers Annual Planning and Pricing Process Analysis, Including the Preliminary 2015 Cost-of-Service*" ("Preliminary Report") to the NYCGCs. Discovery on the

fixed cost information began shortly after the figures were provided in late October and continued through the end of January.

Additionally, NYPA revised the presentation of certain fixed cost information to match other publicly available NYPA documents, such as its Operating Budget. These changes allowed the City to directly compare the Cost-of-Service information to the budget information presented to the Board of Trustees. Overall, this approach was an improvement to the process compared to prior years.

On December 16, 2014, the Board of Trustees approved a 2015 Operating Budget for NYPA and issuance of the Preliminary Report. A Notice of Proposed Rulemaking related to the 2015 Cost-of-Service was published in the January 7, 2015 edition of the New York State Register, making the deadline for public comments February 23, 2015.

Pursuant to the notice and the procedure set forth in the Long-Term Agreement between the City and NYPA, the City submits these comments on the fixed cost portion of the 2015 Cost-of-Service.

COMMENTS

POINT I

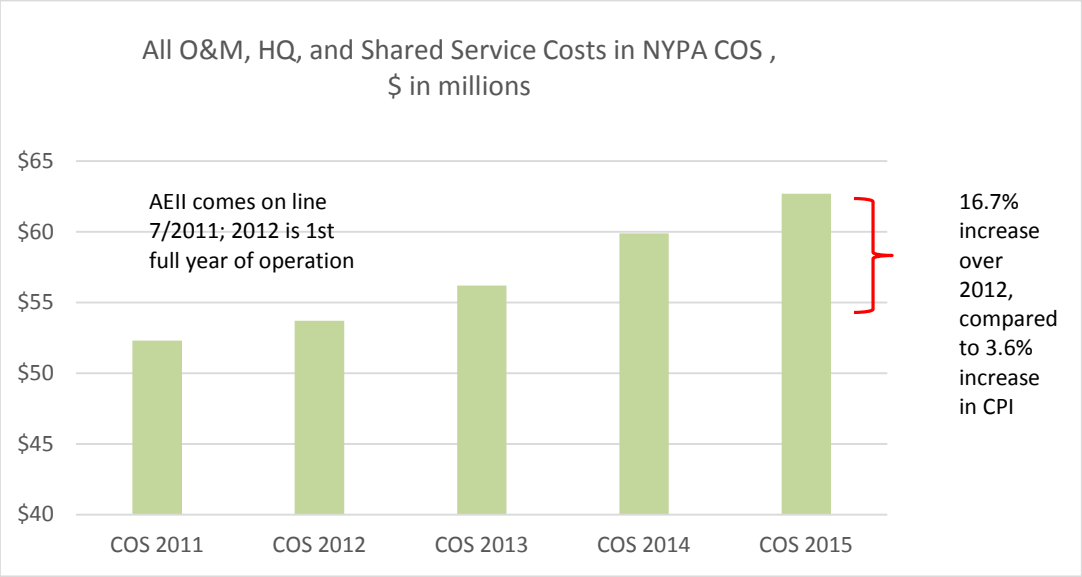
THE RATE OF INCREASE OF THE FIXED COSTS IS EXCESSIVE AND UNREASONABLE

Over the past few years, the City and other NYCGCs have had productive discussions with NYPA Staff regarding the management of the NYCGCs' supply portfolio and risk mitigation/hedging activities. These efforts, combined with lower natural gas and energy prices, have led to significant declines in the variable cost portion of the NYCGCs' Cost-of-Service. However, the same cannot be said for the portion of fixed costs of the NYCGCs' Cost-of-Service under the direct control of NYPA. As shown in Table 1, that part of NYPA's fixed costs have

grown considerably over the past five years – more than 4.5 times greater than the Consumer Price Index over the same period.

Table 1

Growth in Fixed Costs for NYCGCs



The information provided by NYPA over the past few months does not support or justify these rate increases. Indeed, in many instances, the information primarily raises more questions than it answers. Although not a large dollar amount, a glaring example of the deficiency of the process pertains to the charge for demand side management. NYPA offered multiple justifications for this charge, but none have merit. The City believes that there are many items included in the Cost-of-Service that similarly cannot be justified as incurred to serve the NYCGCs. The inclusion of such items has inappropriately inflated the Cost-of-Service.

A. NYPA Staff And The Board Of Trustees Are Not Properly Determining The Reasonableness Of The Fixed Costs

Each year, the City submits comments to the Board of Trustees raising concerns with the level of fixed costs included in the Cost-of-Service. The analysis of the City's arguments, as set forth in the minutes from the Board of Trustees meetings at which the comments are considered, often only tangentially respond to the specific issues raised. In many instances, the analysis contains only a statement of the overall benefits provided by NYPA, or a comparison to the rates the NYCGCs would otherwise pay as full-service customers of Consolidated Edison Company of New York, Inc., rather than a response to the City's concerns or a justification of the disputed cost item. The failure to properly consider and address the City's concerns diminishes the validity of the Cost-of-Service process, and it demonstrates that the current structure must be substantially revised.

The Cost-of-Service process is akin to a regulated utility ratemaking process but with one substantial difference. In a rate case, a utility develops its cost projections for the rate year(s) at issue, presents those costs and the corresponding rates to the PSC and interested parties, there is a review process of the costs, and the PSC makes a final decision as to the level of the costs to be recovered from the utility's customers. Here, the intent of the Cost-of-Service process, as set forth in the Long-Term Agreement, is the same.[1]

NYPA is required to develop its cost projections for the subsequent year, present its costs to the NYCGCs, allow for review of and comment on the costs, and the Board of Trustees makes the final decision. The one substantial difference in this process is that the same NYPA Staff

[1] *See, e.g.*, Section II.B.1.a of the Long-Term Agreement, which provides, in part "[f]ixed costs will include only those costs justified on the basis of cost causation principles, shall be consistent with accepted regulatory COS methodologies, and can be changed only through a rate case filing in accordance with SAPA."

who initially develop the costs also review the City's comments and recommend what the final costs should be.[2]

In both the rate case and the Cost-of-Service process, the development of the cost projections and rates are performed on a bottoms-up basis. That is, costs are developed on a line-item basis, then grouped into different categories, then summed to determine the total revenue requirement. Thus, in determining the final Cost-of-Service, the relevant consideration is whether the costs are properly justified, reasonable, and appropriate.

Turning to the overall level of fixed costs proposed for 2015, the City respectfully submits that the continual year-over-year increases, at more than four times the rate consumer prices are increasing in general, for the non-debt-service portion of fixed costs, is not reasonable or justifiable. The excessive costs charged to the NYCGCs include the following.

B. Personnel Costs Are Excessive

Figure 2 of the Preliminary Report includes a cost of $4.1 million in site payroll for the "Project" category "SENY," which NYPA has explained means personnel who are assigned to work on SENY matters.[3] In total, there are 30 full-time equivalent positions assigned to "SENY." Although the City asked for details regarding what the assigned individuals do, such information was never provided. The NYCGCs are also charged $1.0 million for consultants and contracted services for "SENY." In addition, the NYCGCs are charged $17.8 million as an allocated share of NYPA's headquarters costs, which costs presumably include some allocation

[2] While the final decision is made by the Board of Trustees, the minutes from the Board meetings demonstrate that the Board defers completely to NYPA Staff on this matter. *See, e.g.*, Minutes of the Regular Meeting of the Power Authority of the State of New York, dated January 28, 2014 ("2014 Minutes").

[3] Of this amount, $3.9 million is charged to the NYCGCs.

of NYPA headquarters staff who provide services to the NYCGCs,[4] and $2.1 million for site payroll, consultants, and NYPA headquarters staff for the Project category "AEII." Managing the non-plant aspects of a supply portfolio and performing customer service and billing and accounting functions, without need to read meters, should not require 30 full-time equivalents plus an unstated number of allocated headquarters staff, consultants, and contractors.

Figure 2 of the Preliminary Report also includes a cost of $4.5 million in site payroll plus $1.7 million for consultants and contracted services for four small hydroelectric facilities ("small hydros").[5] In total, there are 31 full-time equivalent people assigned to the small hydros. NYPA has confirmed that none of the four small hydros are staffed on a full-time basis. While there are both routine and non-recurring maintenance activities required at each hydro, NYPA has also explained that an unspecified percentage of such tasks are performed by consultants and contractors. Therefore, it defies credulity that NYPA needs 31 people to partially maintain four small hydros on a periodic basis. As with the SENY category, NYPA has not provided any information to the NYCGCs to justify the reasonableness of these labor and consultant costs.

C. Greater Assessment And Scrutiny Of Capital Investments In The Small Hydros Are Needed

The concerns with the excessive labor costs for the small hydros are exacerbated by the fact that such facilities cost the NYCGCs more to operate each year than the revenues they produce. Last year, the City disputed the reasonableness of spending hundreds of thousands of dollars to rebuild the Jarvis facility, a project that continues into 2015. In response, NYPA Staff

[4] As noted in footnote 1, the Long-Term Agreement requires the fixed costs to be limited to those costs incurred by NYPA to provide service to the NYCGCs. In the event the headquarters cost allocation does not reflect staff costs incurred to serve the NYCGCs, the inclusion of such costs is improper.

[5] The small hydros include Ashokan (4.75 MW), Crescent (9.95 MW), Jarvis (9.0 MW), and Vischer Ferry (9.95 MW).

acknowledged that the facilities are not economic, but that it has a responsibility to maintain the facilities as part of the NYCGCs' supply portfolio.[6]

Even though NYPA recognizes that the small hydros are uneconomic, it has never approached the NYCGCs to determine whether they want NYPA to continue to maintain the facilities. Such a discussion should occur, and all of the costs and benefits of the small hydros should be evaluated, before further capital investments are made in these facilities.

To ensure that there is no confusion about the City's position on the Jarvis rebuild, the City renews its comments from last year. As happened last year, this year NYPA again failed to justify why it needs to reverse engineer the equipment in order to fabricate replacements or why it could not procure replacement parts from the original equipment manufacturer. Further, rebuilding a turbine is not a routine maintenance item; based on the description provided by NYPA, this work appears to be a major capital investment in the plant. As such, the costs of the work should be amortized over time.

Last year, NYPA Staff responded to the City's comments by claiming that "NYPA follows standard accounting and utility practice when determining whether an expenditure is a 'capital' or 'maintenance' expense."[7] That assertion was not correct. In New York and elsewhere, utilities follow the Uniform System of Accounts; NYPA does not. Under the Uniform System of Accounts, the reconstruction of the Jarvis facility's equipment would not be classified as a maintenance expense. For these reasons, NYPA is not following standard utility accounting practices.

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[6] 2014 Minutes, pp. 41-42. The comment about the value of the entire portfolio in no way justifies continuation of these uneconomic units. Indeed, the portfolio would be more valuable without them.

[7] 2014 Minutes, p. 42.

For 2015, the City notes as well that there is a project to repaint and, as necessary, replace the tainter gates at the Crescent facility.[8] While the repainting is properly considered a maintenance expense, the replacement of the gate is not – that would be a capital investment under the Uniform System of Accounts. NYPA did not provide a breakdown of the costs for each aspect of this project, so the City cannot identify the amount by which the 2015 Cost-of-Service should be reduced. For both the Jarvis and Crescent projects, the Board of Trustees should direct NYPA Staff to determine and make the requisite adjustments to the fixed costs.

More importantly, before the Board of Trustees approves either project, it should require NYPA Staff to prepare and present cost-benefit analyses for the projects. The decision to proceed should not occur until the analyses are completed, the broader evaluation discussed above is conducted, and the NYCGCs are given the opportunity to provide meaningful input into the matter.

D. The Interest Rates Charged To The NYCGCs Are Not Consistent With The Requirements Of The Long-Term Agreement

The interest rates NYPA charges to the NYCGCs are excessive and inappropriate. These include alleged "lost opportunity" costs and the variable debt service expenses, including the commercial paper interest rate. According to NYPA's "*2015-2018 Approved Budget and Financial Plan*," its assumed interest rate on commercial paper in 2015 is only 0.75% (and only 1.00% for 2016). However, NYPA is charging the NYCGCs between 4.5% and 6.5% for commercial paper debt service expense.

Last year, the City objected to these interest rates charged by NYPA. In response, NYPA Staff asserted that such rates were essentially locked-in when the Long-Term Agreement was

[8] *See* "2015 Non-Recurring Tasks" document, provided by NYPA on November 21, 2014, p. 2.

executed in 2005. NYPA Staff offered no citation to any provision in the Long-Term Agreement or other factual basis to support that assertion. The City submits that NYPA Staff's position is without merit as there is no contractual or factual basis for it. The Long-Term Agreement did not freeze short-term variable interest rates at their 2005 levels. In fact, NYPA Staff's position is inconsistent with the express terms of the Long-Term Agreement – when NYPA's commercial paper interest rate is only 0.75%, inclusion of interest rates that are above NYPA's actual rate cannot be costs "reasonably incurred to provide service to the [NYCGCs]," as required by Section II.B.1.a of the Long-Term Agreement.

The City acknowledges that NYPA uses a variety of debt instruments, and their interest rates vary and can be higher than the commercial paper rate. Accordingly, the City has recalculated the fixed costs using interest rates of 2% and 3% for those items for which interest was included, other than fixed debt instruments. The result is a reduction in the overall fixed costs of $6.02 million at the 2% rate and $4.00 million at the 3% rate. For 2015, the City therefore asserts that the fixed costs should be reduced by $6 million, but not less than $4 million, to reflect the actual costs that will incurred by NYPA in 2015.

E. The Use Of Consultants And Contractors Should Be Reduced

Absent clear justification, the increase in the use of consultants and contracted services is unjustified and should be rejected. The City has raised numerous concerns over the past few years regarding these cost categories. For 2015, the costs for these two categories have increased dramatically. Compared to 2014, the costs for contracted services are higher by more than 35% and the cost for consultants is higher by almost 82%. Compared to the average over the prior four years, the increases are about 46% and 66%, respectively. In the same manner that Con

Edison, other utilities, and many businesses in New York and across the nation have become more efficient and reduced spending, so too must NYPA.

Moreover, NYPA's attempted justification for the use of contractors and consultants was inadequate. For example, NYPA stated that it retains both contractors and consultants to perform recurring maintenance work.[9] However, NYPA did not provide any explanation as to why it could not perform such maintenance work with the 31 full-time equivalents assigned to the small hydros or the 1,075 NYPA employees assigned to operations, and it did not explain why it needs both contractors and consultants to perform maintenance work. Over $8 million is included in the fixed costs for these tasks, but there is nothing in the Preliminary Report, discovery responses, or other information provided by NYPA to the NYCGCs to justify this level of spending.

More broadly, NYPA has not justified the overall expenses for these cost categories, or why the level of expenses has increased so substantially.[10] Because the City was not given a complete breakdown of these costs, it was not able to properly review the composition of these cost items and cannot offer comments on the merits of any particular consultant or contractor expense. Given the lack of justification, at a minimum, the overall costs should be reduced to be in line with prior years. Doing so results in a reduction in contracted services costs of $4 million and in consultant costs of $800,000.

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9 NYPA responses to NYC IRs 29a and 30b.

10 For example, in response to a request by the City for justification of the contracted services expenses, NYPA did not provide a complete explanation for the increase in those expenses. NYPA response to NYC IR 29a. A mere reference to a "majority" of the increase is unduly vague as 51% could constitute a majority of expense, leaving 49% of the increase unjustified. Because NYPA provided no allocation of the expenses to different projects or facilities, the response is insufficient to satisfy the justification requirement of the Long-Term Agreement.

It is possible that deeper reductions would be appropriate. The City urges the Board of Trustees to carefully examine these costs before approving the 2015 Cost-of-Service.

F. Vehicle-Related Costs Are Unjustified And Excessive

In order to better understand why the fixed costs are increasing at such a high level, the City this year attempted to engage in a fuller assessment of capital costs recovered from the NYCGCs than it had in past years. NYPA explained that it segregates the capital investments for the 500 MW unit into five categories – other, vehicle, forklift, spare transformers, and summer 2008 outage. While most of the capital costs are in the "other" category, costs in the "vehicle" category stood out. The documentation provided by NYPA in support of its response to NYC IR 14 reveals that since 2006, NYPA has purchased 17 vehicles other than forklifts for the 500 MW unit and Poletti.[11] Considering that Poletti ceased operating in 2008, presumably NYPA is not continuing to make capital investments in a closed facility (if it is, that raises a separate set of concerns). Inasmuch as the 500 MW unit is a single, fixed location facility, 17 vehicles to operate that site seems excessive.

As noted above, the Long-Term Agreement requires that the fixed costs in the Cost-of-Service must encompass only those costs reasonably needed to provide service to the NYCGCs. NYPA has not justified the need for 17 vehicles at the 500 MW unit. Therefore, these costs, which amount to over $777,000, should be removed from the Cost-of-Service, or the amount included should be substantially reduced to encompass only one or two vehicles.[12]

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[11] The inventory includes four cars, six trucks, six sport utility vehicles, and one van.

[12] Because the cost of the vehicles is capitalized and recovered over five year periods, the Cost-of-Service reflects only a portion of the total capital cost. The Board of Trustees should direct NYPA Staff to calculate the total amount charged to the NYCGCs in the 2015 Cost-of-Service and remove that amount from the fixed costs. Going forward, these capital costs should be removed from the development of the fixed costs altogether.

G. The Black Start Charges Must Be Reviewed

The same documentation discussed above also shows that the NYCGCs have been charged a total of $726,785 for black start equipment at the 500 MW unit since 2008. These charges are curious because the 500 MW unit does not possess black start capability.

If the 500 MW unit has such capability, it should have been participating in the NYISO's black start program and receiving revenues under the NYISO's Rate Schedule 5. However, none of the figures that comprise the 2015 Cost-of-Service (or any of the other Costs-of-Service since 2008) show any black start revenues as being received from the NYISO. Moreover, the City has been very active in discussions at the NYISO regarding the black start program in New York City, and one of the program participants' complaints has been that none of the recent entrants, including the 500 MW unit, have black start capability. NYPA has also participated in these discussions, but it never refuted these complaints or otherwise asserted that the 500 MW unit has black start capability.

Unless NYPA can demonstrate that these charges relate definitely to near-term black start capability at the 500 MW unit, the costs should be removed.[13]

H. The Treatment Of Spare Parts Is Inconsistent

Over the past few years, the City has objected to the inclusion of a charge in the fixed costs related to obsolete materials and supplies acquired for, but never used at, Poletti. NYPA claimed that it was carrying the costs of such parts and supplies on its books, that the NYCGCs would be charged such costs when the parts were placed into service, and that the NYCGCs

[13] As with the vehicle costs, the carrying charges should be subtracted from the 2015 Cost-of-Service, and the capital costs should be removed from the development of future years' Costs-of-Service.

therefore should compensate NYPA for the costs of such parts. Implicit in that explanation is that the NYCGCs were not being charged when NYPA acquired the inventory.

However, at the 500 MW unit, it appears that NYPA is not following the same procedure. As noted above, one of the categories of capital costs charged to the NYCGCs pertains to spare transformers. Since 2008, NYPA has incurred capital costs of over $4.5 million for these presumably (as the category name indicates) spare parts. These costs are being depreciated over either 21 or 20 years, with the annual carrying charge included in the fixed costs.

NYPA has not provided any justification for the disparate treatment of these spare parts compared to the spare parts from Poletti, and the City cannot discern any reason for the inconsistent approaches. Therefore, the carrying costs for the spare transformers should be removed from the 2015 Cost-of-Service, and those capital costs should be removed from the development of future years' Costs-of-Service. Conversely, if the treatment of the costs of these spare parts is consistent with NYPA's accounting practices, then NYPA has been double-charging the NYCGCs for the Poletti materials and supplies inventory, and all historical and current charges for such inventory must be reversed and the NYCGCs credited for those improper charges.

POINT II

NYPA SHOULD EXTEND THE RECOVERY PERIOD FOR THE 500 MW UNIT'S FIXED RATE DEBT SERVICE EXPENSE

For the last two years, the City has asked the Board of Trustees to improve the economics of the 500 MW unit by restructuring the unit's debt service expense to more closely reflect the expected life of the unit. The City appreciates that the Board favorably considered a part of this request and extended the recovery period for the variable rate debt service expense. That action helped, but additional adjustments are warranted.

As noted above, NYPA Staff has asserted that it adheres to standard utility accounting practices. Under standard utility accounting practices, the capital investment in a facility is recovered over its entire life, commencing with the date it commences operation. Here, NYPA will recover much of its investment in a power plant over of period of up to 17 years even though its life span is expected to be 30 years or more. This expedited recovery is demonstrated by the schedules in the Preliminary Report; other information suggests that NYPA will recover its entire investment over this condensed time period.[14]

In response to the City's previous comments on this subject, neither NYPA Staff nor the Board of Trustees has offered any reason why recovery over a compressed time period of about one-half of the 500 MW unit's expected service life is reasonable or required. This year as well, the Preliminary Report contains no explanation as to the reason for the expedited recovery.

The economics of the 500 MW unit would be enhanced if the fixed rate debt service expense is restructured to match the life of the plant. The detailed justification for doing so was provided by the City in the December 17, 2012 comments submitted jointly with the other NYCGCs on the 2013 Cost-of-Service. That justification remains equally relevant this year, and in the interests of brevity, it is incorporated herein by reference.[15]

NYPA Staff's analysis of this request last year was limited to a statement that the request would increase NYPA's risks.[16] NYPA Staff did not provide any details regarding the extent to

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[14] The 500 MW unit commenced commercial operations in 2006. One ratings agency, Moody's, has reported that NYPA will retire its debt related to the 500 MW unit by 2017. *See* "Moody's affirms P-1 short-term rating on the New York State Power Authority's commercial paper notes," dated December 6, 2013, p. 2. Based on information in the Preliminary Report, the City estimates that NYPA will have recovered more than 75% of the original cost by 2017 – an amount that is far larger than what any regulated utility in New York would have recovered over the same time period.

[15] Copies of the relevant pages from the 2012 comments are included in Appendix A.

[16] 2014 Minutes, p. 43.

which it believed NYPA's risks would increase, nor did it provide any justification for the accelerated cost recovery that now exists.

Regardless of what happens after the Long-Term Agreement expires in 2017, NYPA will continue to own the 500 MW unit, and it can bid the plant's output into the NYISO's wholesale markets. NYPA will receive energy, capacity, and ancillary service revenues for that output. Thus, NYPA's risk will be no greater than the risk experienced by other suppliers in the NYISO's in-City wholesale markets, and any claim that NYPA would be unable to recover its costs would be baseless.

Indeed, NYPA Staff's claim is disproven by an examination of the market prices it would receive for the sale of the 500 MW unit's output. The City conducted an analysis of the potential impacts of its proposal, and whether NYPA would experience any real risk of not recovering its investment in the 500 MW unit. That analysis revealed that NYPA would need capacity revenues of between $4/kW-month and $8/kW-month to fully recover its costs over the expected life of the 500 MW unit. The winter spot market price for in-City installed capacity is above $8/kW-month, and the summer prices have been more than double the $8/kW-month level. Even with new supply projects that are proposed for New York City, future clearing prices are not projected to decline to levels that would prevent NYPA from recovering its costs. Thus, the facts demonstrate that there is little to no risk of NYPA not recovering its costs if the City's requested relief were to be granted.

In contrast, the savings to the NYCGCs associated with matching the debt service to the service life of the 500 MW unit would yield annual savings to the Cost-of-Service of approximately $20 million per year. This value estimate was derived largely from the difference between the interest rates applicable to the existing Series C 2007 and Series A 2011 bonds and

the interest rates available to NYPA at present. Based on prior discovery responses, the City understands that the outstanding bonds cannot be refinanced, and the City is not proposing that they be refinanced. However, NYPA has multiple available methods of extending the investment recovery period without adversely impacting these fixed debt instruments. Put another way, there is no reason why NYPA needs to tie the charges to the NYCGCs for cost recovery for the 500 MW unit directly to the costs NYPA incurs under these two bond issuances.

With the exceptionally low interest rate market, a defeasance would be particularly worthwhile as it would result in substantial interest rate savings. To develop its savings estimate, the City assumed that the defeasance would be funded by a new municipal bond issuance with the proceeds invested in U.S. Treasury securities. As those securities mature, they would produce annual cash flows sufficient to pay the annual debt service on the existing bonds. The Cost-of-Service savings are derived from the difference in the annual debt service on the new issuance and the debt service on the Series C and A bonds.

The Long-Term Agreement does not require the NYCGCs to shield NYPA from all risk or to fully compensate NYPA for all of its capital investments within the term of the Agreement, and it is unreasonable for NYPA to inflate the fixed cost portion of the Cost-of-Service to eliminate all of its risk and to so expeditiously recover those investments. For all of the foregoing reasons, the City respectfully requests that, consistent with standard utility accounting principles, the Board of Trustees extend the recovery period for the fixed rate debt service expense to no less than 30 years and reduce the 2015 Cost-of-Service by $20 million.

POINT III

THE DSM CHARGE SHOULD BE ELIMINATED

While the City does not usually file Comments objecting to smaller fixed cost items, the circumstances surrounding the Demand Side Management ("DSM") charge warrant specific mention. Since at least 2007, and possibly earlier, one of the items of "Other Expense" charged to the NYCGCs was a DSM charge of either $400,000 or $500,000. In the 2007 Cost-of-Service, the DSM charge was included in Figure 11. Since 2008, it has been included on Figure 5.

The City believed that at one time, DSM charges derived from NYPA's Peak Load program costs. This year, the City inquired about the purpose of this charge. NYPA provided two different sets of answers, neither of which is meritorious.[17] NYPA's current claim is that the costs are an allocation of costs for renewable energy and clean energy project charges (neither of which can be considered DSM-related). In support of this claim, NYPA provided an itemization of past projects; the largest in 2014 was Build Smart New York, which is for state buildings. Most of the projects on the list are location-specific and should be paid for by the customers who directly benefit from them.

Section II.B.1.a of the Long Term Agreement provides that the fixed costs "must be justified as reasonably incurred to provide service to the [NYCGCs]." Clearly, the costs of renewable energy projects undertaken for municipalities and other NYPA customers outside of the New York City area could not have been incurred to provide service to the NYCGCs, nor can they be considered DSM costs. As such, even under NYPA's new rationale, the costs are not properly included in the NYCGCs' Cost-of-Service.

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[17] *See* NYPA response to NYC IR 17b, provided December 10, 2014 and January 5, 2015, and Action Items for Jan. 7, 2015 COS Meeting – update 013015, response to NYC IR 17.

Accordingly, the Board of Trustees should (i) remove the $400,000 DSM charge from the 2015 Cost-of-Service, and (ii) provide a credit to the NYCGCs equal to the total DSM charge included in past years' Costs-of-Service.

POINT IV

RESEARCH AND DEVELOPMENT COSTS SHOULD NOT BE ALLOCATED VIA LABOR RATIOS

As part of its review of the fixed costs, the City inquired about the research and development ("R&D") programs that comprise the $8.8 million amount included on Figure 3 of the Preliminary Report. NYPA explained that the R&D costs generally comprise three categories – membership dues to the Electric Power Research Institute, payments to the New York State Energy Research and Development Authority, and R&D projects undertaken by NYPA – and are allocated amongst its operating organizations on a labor ratio basis.[18] NYPA also provided a brief summary of the general types of its R&D projects.[19]

A review of this summary reveals that it is improper to allocate the R&D costs on a labor ratio basis. The summary makes clear that the R&D projects are specifically related to individual operating organizations and can easily be allocated to those organizations. Moreover, a labor ratio-based allocation is inconsistent with the requirement in the Long-Term Agreement that the fixed costs must be "reasonably incurred to provide service to the [NYCGCs]." Many of the R&D projects have no direct relationship to NYPA's responsibilities under the Long-Term Agreement. For those projects, NYPA therefore has no valid basis to allocate their costs, in whole or in part, to the NYCGCs.

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[18] NYPA response to NYC IR 22b and 22d.

[19] Action Items for Jan. 7, 2015 COS Meeting – update 013015, response to NYC IR 22b.

Because NYPA did not provide the costs associated with each project or type of project, the City cannot provide a calculation of the amount it is being overcharged. Inasmuch as NYPA Staff has, or should have, such information, the Board of Trustees should direct NYPA Staff to revise the allocation to be consistent with the Long-Term Agreement and adjust the fixed costs correspondingly.

POINT V

POLETTI DECOMMISSIONING COSTS CONTINUE TO BE A CONCERN

In prior years, the City has submitted extensive comments regarding the decommissioning costs for Poletti. For the most part, NYPA Staff and the Board of Trustees have disregarded these comments. Last year, NYPA Staff advised the Board of Trustees that the decommissioning budget was $47 million, and some "slight movement" was to be expected.[20] In response to the City's claim that the decommissioning charge for the 500 MW unit was too high and unsubstantiated, NYPA Staff observed that the decommissioning estimate was developed, reviewed, and adjusted by NYPA's Cost Estimation group, "which has decades of valuation experience with NYPA generation and transmission assets."[21]

In 2014, NYPA advised the NYCGCs that the Poletti decommissioning costs were escalating substantially, that it had already exceeded its contingency budget by $2.7 million, and that it was adding another $11.3 million of costs to the project.[22] While the City recognizes that some changes to pre-established plans can arise when those plans are executed, the magnitude of the changes appear to support the City's continued assertions that NYPA did not properly or

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[20] 2014 Minutes, p. 44.

[21] *Id.*

[22] "*Poletti Project Decommissioning Cost Update*," provided on November 21, 2014.

prudently plan the decommissioning of Poletti, and that had it prepared a complete decommissioning plan ***before*** commencing the work, at least some of these additional costs could have been avoided. Further, given the decades of experience of the group responsible for developing the decommissioning costs, it is reasonable to assume that the group should have been better able to forecast the decommissioning costs and needs and more accurately plan the decommissioning process.

The City was not given sufficient information to perform a proper engineering review of the initial plans, the reason for the changes, or the reasonableness of NYPA's assumptions. Whether and to what extent the additional charges could have been avoided is not known. Therefore, under the circumstances, the City believes that NYPA should accept some of the responsibility for these changes and absorb a portion of the cost overruns. An equal division of those additional costs would be fair, resulting in a reduction in the decommissioning costs of $8 million.[23]

POINT VI

THE AMOUNTS CHARGED FOR DEPRECIATION SHOULD BE REVIEWED

As part of its review of the fixed costs this year, the City examined into the manner in which NYPA recovers its capital investments. The City now has a better understanding of NYPA's approach, but its review raised a few questions that warrant further analysis by NYPA.

First, as a result of the City's questions, NYPA reviewed its capital additional summary for Poletti and the 500 MW unit. That review resulted in a series of adjustments. In explaining

[23] Inasmuch as NYPA charges the NYCGCs a fixed amount each year for the decommissioning expense, this adjustment would reduce the total amount owed, but not necessarily the annual charge.

this matter to the NYCGCs, NYPA asserted that it had been undercollecting its depreciation expenses.[24] However, the information supporting this statement, provided in the fourth sheet of the same document, indicates that NYPA was slightly overcollecting its depreciation expenses. The City could not reconcile the information provided and respectfully requests that the Board of Trustees direct NYPA Staff to review its calculations and ensure that the NYCGCs are not overcharged.

Second, the City requested information regarding the difference between minor additions and capital additions. NYPA explained that additions under $750,000 are considered "minor" and additions over that amount are considered "capital."[25] However, the City's review of the documentation provided by NYPA revealed that this definition is not consistently applied.[26] The City could not discern the reason for the differences between the definitional distinction and the actual treatment of some investments (summing the costs of projects that spanned multiple years provided only a partial explanation). Because of the differences in the manner in which minor and capital additions are recovered, it is important that the definition be properly applied. Accordingly, the City respectfully requests that the Board of Trustees direct NYPA Staff to review this matter and ensure that the NYCGCs are not overcharged.

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[24] *See* "Capital Recovery Charges," first sheet, provided by NYPA on January 16, 2015 (the document was entitled "Explanations.xlsx").

[25] NYPA response to NYC IR 14.

[26] *See, e.g.*, "Customer Additions Customer Template," sheets "Capital Additions" and "Minor Additions," provided by NYPA on January 16, 2015.

POINT VII

NYPA SHOULD ENGAGE IN A COMPREHENSIVE REVIEW AND AUDIT OF ITS MANAGEMENT AND OPERATIONS

Under Public Service Law §66(19), the PSC is required to perform a comprehensive audit of the management and operations of each of the major electric and gas utilities at least once every five years. That law also requires the PSC to review the utilities' compliance with the recommendations from the audits every time the utilities seek new rates.

The PSC ceased performing such audits in the early 1990s, and utility rates rose dramatically over the next 15 or so years. Utility costs similarly grew, and many questions were raised about the efficiency of the utilities' procurement and construction practices and operations. Starting in 2008, the PSC resumed performing audits under Public Service Law §66(19). The results have been striking.

The first audit performed was of Con Edison.[27] The audit revealed extensive inefficiencies, mismanagement and poor management, sub-standard procurement practices, and other problems. The PSC directed Con Edison to implement the many recommendations in the audit. When the utility did so, it was able to reduce its capital spending and operations and maintenance expenses by hundreds of millions of dollars. These savings inured directly to the utility's customers. In part because of the audit, Con Edison's rates have been essentially flat since 2012.

In contrast, as shown in Table 1, the portion of NYPA's fixed costs excluding debt service for the NYCGCs have increased each year since 2012, in total by 16.7%. The Consumer Price Index over the same time period has grown by only 3.6%. As discussed herein, the

[27] *See* PSC Case 08-M-0152, Comprehensive Management Audit of Consolidated Edison Company of New York, Inc.

information provided by NYPA in support of the proposed 2015 fixed costs suggests many of the same problems and inefficiencies identified in the Con Edison Management Audit

The City recognizes that NYPA is not governed by Public Service Law §66(19) and is under no legal obligation to perform a management or operational audit. But, there is no prohibition under NYPA's enabling legislation in the Public Authorities Law against such audits, and the value of the utility audits performed to date are indisputable.

NYPA and its Board of Trustees should embrace the concept of a management audit, and they should commission one as soon as possible.[28] And like the audits performed by the PSC, it is essential that the audit be independent, and that the auditors be given the ability to review any and all records and access to all personnel and contractors. Given NYPA's public responsibilities and customer base, it should want to become more efficient and lower its operating costs.

The likely savings from the audit would more than justify the cost of the audit. Moreover, the audit would give the City, NYCGCs, and other NYPA customers confidence that the costs levied on them are reasonable and legitimate. For all of the foregoing reasons, the City respectfully urges the Board of Trustees to direct the performance of a management audit of NYPA's practices and operations.

[28] The audits NYPA performs now, using both internal and external resources, are not the same as a management/operational audit, and they do not serve the same, or similar purposes.

CONCLUSION

The City respectfully requests that the NYPA Board of Trustees adjust the level of fixed costs for the 2015 Cost-of-Service and adopt other changes in accordance with the discussion and recommendations set forth herein.

Respectfully submitted,

Dated: February 23, 2015
Albany, New York

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APPENDIX A

POWER AUTHORITY
OF THE STATE OF NEW YORK

Rates for the Sale of Power and Energy	**SAPA No. PAS-41-12-00009-P**

COMMENTS OF THE CITY OF NEW YORK, METROPOLITAN TRANSPORTATION AUTHORITY, NEW YORK CITY HOUSING AUTHORITY, NEW YORK STATE OFFICE OF GENERAL SERVICES, AND PORT AUTHORITY OF NEW YORK AND NEW JERSEY

December 17, 2012

COUCH WHITE, LLP
540 BROADWAY
ALBANY, NEW YORK 12207
Telephone: (518) 426-4600
Telecopier: (518) 426-0376

Table 1 500 MW Facility Actual/Projected Annual Net Costs/(Benefits) ($ Millions)			
Year	Revenues & Capacity Value	Fuel & Fixed Costs	Net Cost/(Benefit)
2010 (Actual)	(227.4)	232.8	5.4
2011 (Actual)	(209.7)	229.1	19.4
2012 (Projected)	(177.1)	230.2	53.1
2013 (Projected)	(203.9)	214.9	11.0

The Board should not be satisfied with the recent financial performance of the 500 MW facility. The NYCGCs have carefully reviewed the information in the Preliminary Report, and other information provided by NYPA over the past few years, to determine changes that could be made to improve the economics of the 500 MW facility. Aside from operational changes,[4] the NYCGCs have determined that one critical change – extending the debt repayment terms to the projected service life of the 500 MW facility – is not only consistent with industry standard but would materially benefit the economic performance of the facility.

2. The Board Should Adjust The Debt Service Repayment Terms Of The 500 MW Facility To Match Its Projected Service Life

One of the most common depreciation methods used throughout the industry, and by NYPA, for calculating depreciation expense is the straight-line depreciation method. In support of its recent request to the Federal Energy Regulatory Commission ("FERC") for an

[4] The NYCGCs continue to believe that cycling the plant to better follow market demand would improve the economics of the facility substantially.

increase in its transmission revenue requirement,[5] NYPA submitted sworn direct testimony of Thomas A. Davis, its Vice President of Financial Planning & Budgets. At page 12, line 7 of his testimony, Mr. Davis stated without qualification or limitation that "NYPA uses the straight line method of depreciation expense."

It is a generally accepted principle of utility ratemaking that when using the straight-line depreciation method, an asset's capital costs are amortized over the asset's projected service life. *See, e.g.*, Bonbright, *et al.*, Principles of Public Utility Rates, 2d Ed., Public Utilities Reports, Inc., 1988, pp. 276-277; Phillips, The Regulation of Public Utilities, Public Utilities Reports, Inc., 1993, pp. 271-272; *Federal Power Commn. v. Memphis Light, Gas & Water Div.*, 411 U.S. 458, fn 1 (1973) ("Under the straight-line method, the depreciation allowance for an asset remains equal over its useful life"); *Lindheimer v. Ill. Bell Tel. Co.*, 292 U.S. 151, 168 (1934) (Under the straight-line method of depreciation, "the annual depreciation charge is obtained by dividing the estimated service value by the number of years of estimated service life"); *R.J. Reynolds Tobacco Co. v. City of New York Dept. of Finance*, 237 A.D.2d 6, 7-8 (1st Dep't 1997) ("straight-line depreciation correlates with a pro rata depreciation over the useful life of the asset; the deduction is calculated by an equation that divides the asset's value, after a reduction for a postulated salvage value, by its useful life").

While NYPA appears to adhere to this principle for purposes of its transmission revenue requirement, as demonstrated by Mr. Davis' testimony and work papers, it is not doing so when calculating the recovery of the 500 MW facility debt service costs from the NYCGCs under the LTAs. Rather, for the NYCGCs, NYPA uses an accelerated depreciation approach, depreciating the 500 MW facility over a much shorter period of time. Figure 5B of the

[5] *New York Independent System Operator, Inc.*, Docket No. ER12-2317, "NYPA Revised Transmission Revenue Requirement" (dated July 27, 2012).

Preliminary Report indicates that the 500 MW facility has a projected service life of at least 25 years, with an "estimated retirement date" of May 1, 2030. However, Figures 4B through 4E of the Preliminary Report reveal that NYPA is recovering its debt service expense for the 500 MW facility from the NYCGCs over substantially shorter periods, ranging from 16 to 20 years. The last bond matures in 2025, well short of the asserted retirement date of the facility.

When questioned about this matter, NYPA claimed that it takes "a conservative approach with respect to the structure (tenor) of debt issued to support major generation and transmission assets."[6] The NYCGCs calculate that if NYPA acted in accordance with generally accepted depreciation principles, as upheld by the United States Supreme Court and New York courts, the debt service costs associated with the 500 MW facility would decrease by between $31.5 million and $37.7 million in 2013, depending on the service life used (discussed below).[7] Further, the use of straight line depreciation over an asset's entire service life – the approach NYPA uses for its transmission assets – assures intergeneration equity (*i.e.*, that future customers pay their fair share of the debt service costs associated with the 500 MW facility).[8] Accordingly, the NYCGCs strongly urge the Board to direct NYPA to revise the accounting methodology for recovery of the debt service expense associated with the 500 MW facility to apply straight-line depreciation over the entire projected service life associated with the facility.

As the Board considers this matter, the NYCGCs also request that the Board extend the projected service life, and the amortization period, applicable to the 500 MW facility

---

[6] NYPA Response to City Request 31a, dated July 26, 2012.

[7] While the NYCGCs continue to disagree with NYPA's imposition of a lost opportunity cost rate on unrecovered costs over time, the NYCGCs included a 3.5 percent opportunity cost rate in its straight line depreciation calculation.

[8] NYPA's assertion that its approach results in interest rate savings is irrelevant because of intergeneration equity issue. Even with such savings, the NYCGCs are being subjected to more than their fair share of the costs of the 500 MW facility.

from 25 years to a minimum of 30 years. Such an extension is consistent with generally accepted practices within the electric industry and NYPA's submissions in its FERC transmission rate case.

The NYCGCs have researched publicly available information on projected service lives of natural gas-fired combined cycle generating facilities, similar to the 500 MW facility, and found no support for use of a 25-year service life. Rather, service lives of 30 to 40 years for such facilities are commonly used for many purposes (including depreciation). For example, in its development of the demand curves for the capacity markets, the New York Independent System Operator, Inc.'s expert consultants used a 30-year capital recovery period for all of the technologies it considered, including a Frame 7F unit.[9]

Moreover, a 30-year service life for the 500 MW facility is consistent with what NYPA presented in its pending FERC transmission rate case. Specifically, the information set forth in WP-2 to Mr. Davis' sworn testimony to the FERC indicates that NYPA is using a 30-year service life for the following accounts: boiler plant equipment (acct. 312), turbo generator units (acct. 314), fuel holders, producers, and accessory equipment (acct. 342), accessory electric equipment (acct. 345), and miscellaneous power plant equipment (acct. 346). In establishing the NYCGCs' Cost-of-Service, the NYCGCs are not aware of any legitimate reason for using different service lives for the same asset. The NYCGCs respectfully urge the Board to adopt the use of the same 30-year service life, and amortization period, for the 500 MW facility that NYPA has presented in its FERC transmission rate proceeding.

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9 *New York Independent System Operator, Inc.*, Docket No. ER11-2224, NYISO Tariff Filing, dated November 30, 2010, Attachment 2 – "Independent Study to Establish Parameters of the ICAP Demand Curve for the New York Independent System Operator", dated November 15, 2010, p. 59.

Decrease in New York City Governmental Customer Fixed Cost Component – Notice of Adoption

Exhibit "B"
NYPA Response Package

EXHIBIT "B"

2015 Operation & Maintenance Budget Explanation Package

On December 16, 2014, the Trustees approved the 2015 Operating & Maintenance Budget of $446.6 million ($473.9 million total, less the AEII lease payment of $27.4 million), which is broken out in the worksheet "2015 Trustee Approved Budget". $47.4 million of the overall $473.9 million in O&M expenses are for the Authority's new Strategic Initiatives, of which $13.5 million are recoverable from various cost of services at the authority. Of the $13.5 million, $897.4 thousand are allocated to the SENY customers, which is calculated as follows:

1) **"2015 Strategic Investments" breaks out the recoverable Strategic Initiatives vs. those classified as startup expenses.**

2) **"2015 Budget-COS" allocates the $13.5 million in recoverable Strategic Initiative costs to their appropriate line items within the O&M budget, and retains a separate line item for the remaining Startup Expenses (blue highlights). Highlighted in yellow are the direct O&M expenses attributable to SENY.**

3) **"Strategic Investments - NYC LTA" details the steps of how we go from the $13.5 million in total recoverable costs from the Strategic Initiatives to $897.4 thousand assigned to SENY.**

"Figure 2 Backup" and "Figure 3 Backup" show SENY's portion of Direct and Indirect O&M expenses:

"Figure 2 backup" totals the direct O&M expenses attributable to SENY from the "2015 Budget-COS" tab (yellow highlights), adjusted for the New York City customer portion of the overall SENY expenses and the Wood Group contracted costs.

"Figure 3 Backup" is a summary of the Headquarter Expenses and Research & Development costs from "2015 Budget-COS" (green highlights), and shows the calculation of the New York City customer portion by market segment/generator. These numbers are inclusive of the Strategic Initiatives.

Trustee Approved: 2015 O & M Budget by Organizational Area ($,000)

	2015 Budget
EXECUTIVE OFFICE	
Executive	1,417.6
Law	8,068.2
Internal Audit	4,750.1
Public & Regulatory Affairs	10,513.4
Office Total	**24,749.2**
BUSINESS SERVICES	
EVP Business Services	719.8
Budgets & Business Controls	2,005.0
Controller	5,590.5
Finance	1,091.2
Financial Planning	1,497.1
Treasury	1,189.1
Risk Management	3,079.6
Procurement	4,887.1
Strategic Management	1,054.0
Research & Development	1,268.9
Office Total	**22,382.4**
ENTERPRISE SHARED SERVICES	
SVP Enterprise Shared Services	267.6
Corporate Support Services	8,591.5
Fleet Management	1,317.6
Information Technology	27,831.4
Human Resources	5,970.5
Office Total	**43,978.7**

	2015 Budget
OPERATIONS	
Operations Headquarters	24,729.9
Blenheim-Gilboa	22,014.2
500MW	33,990.7
Flynn	18,456.5
SENY	5,194.8
SCPP	21,762.0
Niagara	61,891.3
St. Lawrence	34,152.2
Small Hydros	6,978.0
Transmission Lines	66,365.5
Astoria Energy II O&M	1,179.7
Office Total	**296,714.9**
Recharge New York	***1,733.9***
Research & Development	***8,775.2***
TOTAL before Lease/Invest.	**399,162.3**
Strategic Investment	**47,393.7**
Total before Lease	**446,556.0**
Astoria Energy II Lease	**27,420.0**
Grand Total	**473,976.0**

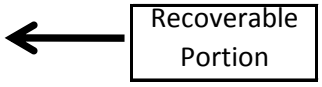
ENERGY MARKETING & BUSINESS DEVELOPMENT	
Energy Efficiency	0.0
Economic Development	598.0
Marketing Analysis & Administration	230.0
Customer Energy Solutions	0.0
Office Total	**828.0**

2015 Strategic Investments

Investments Recoverable in Various Cost of Services

($,000)

Smart Generation & Transmission	$	9,250
Asset Mangement	$	3,400
Workforce Planning	$	632
Knowedge Management	$	151
Process Excellence	$	100
Subtotal	$	13,533



Startup Expenses for Strategic Investments

Customer Energy Solutions	$	25,511
Smart Generation & Transmission	$	8,350
Subtotal	$	33,861
Total	$	47,394

Preliminary COS Approved: 2015 O & M Budget by Organizational Area ($,000)

	2015 Budget
EXECUTIVE OFFICE	
Executive	1,417.6
Law	8,068.2
Internal Audit	4,750.1
Public & Regulatory Affairs	10,513.4
Office Total	**24,749.2**
BUSINESS SERVICES	
EVP Business Services	719.8
Budgets & Business Controls	2,005.0
Controller	5,590.5
Finance	1,091.2
Financial Planning	1,497.1
Treasury	1,189.1
Risk Management	3,079.6
Procurement	4,887.1
Strategic Management	3,154.0
Research & Development	1,268.9
Office Total	**24,482.4**
ENTERPRISE SHARED SERVICES	
SVP Enterprise Shared Services	267.6
Corporate Support Services	8,591.5
Fleet Management	1,317.6
Information Technology	27,831.4
Human Resources	6,753.5
Office Total	**44,761.7**
ENERGY MARKETING & BUSINESS DEVELOPMENT	
Energy Efficiency	0.0
Economic Development	598.0
Marketing Analysis & Administration	230.0
Customer Energy Solutions	0.0
Office Total	**828.0**

	2015 Budget
OPERATIONS	
Operations Headquarters	**28,029.9**
Blenheim-Gilboa	22,364.2
500MW	33,990.7
Flynn	18,456.5
SENY	5,194.8
SCPP	21,762.0
Niagara	61,891.3
St. Lawrence	34,152.2
Small Hydros	6,978.0
Transmission Lines	73,365.5
Astoria Energy II O&M	1,179.7
Office Total	**307,364.9**
Recharge New York	**1,733.9**
Research & Development	**8,775.2**
TOTAL before Lease/Invest.	**412,695.3**
Strategic Investment	**33,860.7**
Astoria Energy II Lease	**27,420.0**
Grand Total	**473,976.0**

$3.3 million added for Strategic Investments recovery.

$350,000 added Strategic Investments recovery.

$7.0 million added Strategic Investments recovery.

$2.1 million added for Strategic Investment recovery.

Startup Expenses. Non-recoverable in various cost of service.

$783,000 added for Strategic Investment recovery.

Strategic Investments Recoverable in Various COS

($ millions)	
Business Services - Strategic Management	$2.1
Enterprise Shared Services - Human Resources	$0.8
Operation Headquarters	$3.3
Operation - Blenheim-Gilboa	$0.4
Operations - Transmission	$7.0
Total	**$13.5**

NYC LTA Customer Shared Services Expenses for Strategic Investments

($,000)

		Strategic Investments Recoverable in Various COS			
		Business Services - Strategic Management	$	2,100.0	
		Enterprise Shared Services - Human Resources	$	783.0	
		Operation Headquarters	$	3,300.0	Not recoverable from SENY
		Operation - Blenheim-Gilboa	*$*	*350.0*	
		Operations - Transmission	*$*	*7,000.0*	Not recoverable from SENY
		Total	**$**	**13,533.0**	
		Strategic Investments Recoverable from SENY COS			
		Business Services - Strategic Management	$	2,100.0	
		Enterprise Shared Services - Human Resources	$	783.0	
		Operations Headquarters	$	3,300.0	
		Total	**$**	**6,183.0**	
Capacity or Capacity Based OH Ratio	**Labor Ratio**	**Total NYC LTA Customer share for Strategic Investments**			
82.84%	0.55%	Ashokan	$	28.17	
82.84%	2.72%	Small Hydro	$	139.31	
	0.70%	AEII	$	43.28	
95.42%	2.95%	SENY	$	174.05	
	8.29%	500 MW	$	512.57	
		Total	**$**	**897.4**	

Figure 2 - Direct Operations & Maintenance Expense
($, million)

Ln. #	O&M by Project	Total SENY	500MW CCU	AEII	Total Small Hydro	NYC Gov't Customer SENY	NYC Gov't Customer Small Hydro	2015 Preliminary NYC Gov't Customer TOTALS
						95.42%	82.84%	
(1)	**Total O&M**	**5.2**	**34.0**	**1.2**	**7.0**	**5.0**	**5.8**	**45.9**
(2)	**Wood Group Contracted Cost**		**(6.9)**					**(6.9)**
(3)	**Amortized 500MW CCU Long Term Service Agreement**		**6.7**					**6.7**
(4)	**Amortized Tropical Storm Irene Expense - Three Years**						**0.4**	**0.4**
(5)								
(6)	**Total 2015 O&M**	**5.2**	**33.8**	**1.2**	**7.0**	**5.0**	**6.1**	**46.0**

2015 COS Figure 3 Backup

($,000)

Headquarters Budget

Executive Office	$	24,749.2
Business Services	$	24,482.4
Enterprised Shared Services	$	44,761.7
Energy Marketing & Business Development	$	828.0
Operations Headquarters	$	28,029.9
Total Headquarters	**$**	**122,851.2**
Research & Development	**$**	**8,775.2**

Capacity or Capacity Based OH Ratio	Labor Ratio	Total NYC LTA Customer Share (including Strategic Investments)	Headquarters	Research & Development
82.84%	0.55%	Ashokan	$ 559.7	$ 39.98
82.84%	2.72%	Small Hydro	$ 2,768.0	$ 197.72
	0.70%	AEII	$ 860.0	$ 61.43
95.42%	2.95%	SENY	$ 3,458.2	$ 247.02
	8.29%	500 MW	$ 10,184.4	$ 727.46
		Total	**$ 17,830.3**	**$ 1,273.6**

2015 SENY COST OF SERVICE

EXPLANATION OF MAJOR CHANGES

Operations & Maintenance

O&M costs for 2015 are $ 2.4 million above 2014. The following is detailed below:

	2014	2015	Inc/(Dec)
500 MW Combined Cycle	32.6	34.0	1.4
Small Hydros	6.5	7.0	0.5
SENY Directly Assigned	4.8	5.2	0.4
Astoria Energy II NYPA Support	1.2	1.2	(0.1)

- o The major increases are due to Outages and Non-recurring work.
  - ○ A list and explanation of the non-recurring projects is attached.

- **500 MW Plant**
  - o Increase of $1.4 million is due to Outage and non-recurring work for Control Room redesign and 500MW Simulator.
- **Small Hydros**
  - o Increase is due to repaint and repair to Crescent Tainter Gate & Jarvis Unit #1 rebuild.
- **SENY direct assigned**
  - o Increase is due to the Risk Cloud Implementation.

Shared Services

The table below provides the overall NYPA Headquarters, R&D and Allocation to Capital budgets ($millions) for 2014 and 2015.

	2014	2015	Inc/(Dec)
Headquarters	110.8	122.9	12.1
R&D	8.8	8.8	(0.0)
Allocation to Capital	(18.1)	(17.5)	0.6

The following describes the major factors in year to year changes.

- **Headquarters**

	$ amounts
2014 Headquarters	**110.8**
Strategic Initiatives NYPA has commenced work on several strategic initiatives that will strengthen NYPA's operations going forward. The O&M costs for these initiatives will be concentrated in 2015 and 2016. Below is an explanation of the initiatives impacting current business with significant 2015 planned expenditures. Workforce Planning - To provide succession planning for key NYPA individuals so as not to disrupt current business processes, and to improve the quality and management of NYPA workforce resources to meet future business needs. Smart G & T - To improve on NY State Energy grid by incorporating smart-grid technology across the Generation and Transmission Infrastructure. Asset Management - Refinement of the systems, tools and processes that are used to manage NYPA production and transmission assets.	6.8
HCM & E-Source Cloud Solutions The current trend in the IT world is to migrate software from locally installed products on user hardware to a cloud based system where the software developer owns/controls the hardware, software and operating environment (generally from a centralized location). One of the outcomes is that expenditures for software installatin that were previously capitalized are now accounted as expense (O&M). For 2015, NYPA plans to implement an HR cloud solution (HCM) that consolidates and integrates several HR functions. Also, in the Procurement area an e-sourcing solution (Ariba) is planned to improve and enhance the purchasing process.	1.5
Risk Cloud Based upon new customer requirements, a new risk system implementation is planned for 2015. The most likely scenario is that the solution will be cloud based, thus O&M. The estimate represents the SENY customer's direct share of the project's cost.	0.6
E&Y Full Year NYPA has engaged Ernst & Young to assist in the restructuring of the NYPA audit function. This is a non-recurring expense.	2.7

- **Allocation to Capital**

  o The decrease is due to less planned capital in 2015. Projects with major recudtions include Lewiston Pump Generator Plant, Crescent and Vischer Ferry Life Extension & Modernization Projects.

- **Escalation/ Other** 0.5

2015 Headquarters	**122.9**

2015 O&M BUDGET FOR SENY COST OF SERVICE SUMMARY
($000's)

	2014 Budget	2015 Budget		Increase/ (Decrease)	%
Figure 2 O&M Data					
500 MW before Wood Group Amort.	$32,623.8	$33,990.7		$1,366.9	4.2
Small Hydros	$6,469.6	$6,978.0	(1)	$508.4	7.9
SENY	$4,802.2	$5,194.8		$392.6	8.2
Astoria Energy II NYPA Support	$1,229.9	$1,179.7		($50.2)	(4.1)
Figure 2 O&M Total	$45,125.5	$47,343.3		$2,217.7	4.9
Total	**$45,125.5**	**$47,343.3**		**$2,217.7**	**4.9**

(1) $ amount is prior to NYC Government Customer and Westchester Customer allocations.

2015 O&M BUDGET FOR SENY COST OF SERVICE
Functional Area Breakdown
($000's)

		2014 Budget	2015 Budget	Increase/ (Decrease)	%
500 MW					
	Recurring	$22,166.2	$20,899.8	($1,266.4)	(5.7)
	Non-Recurring	$2,782.6	$4,230.9	$1,448.3	52.0
	Wood Group-Outage	$7,175.0	$6,941.7	($233.3)	(3.3)
	Outage	$500.0	$1,918.3	$1,418.3	283.7
500MW Total		**$32,623.8**	**$33,990.7**	**$1,366.9**	**4.2**
Small Hydros					
	Recurring	$5,546.7	$5,614.5	$67.8	1.2
	Non-Recurring	$922.9	$1,363.6	$440.7	47.7
Small Hydro Total		**$6,469.6**	**$6,978.0**	**$508.4**	**7.9**
SENY					
	Recurring	$4,727.2	$4,463.8	($263.4)	(5.6)
	Non-Recurring	$75.0	$731.0	$656.0	874.7
SENY Total		**$4,802.2**	**$5,194.8**	**$392.6**	**8.2**
Astoria Energy II					
	Recurring	$1,229.9	$1,179.7	($50.2)	(4.1)
Astoria Energy II Total		**$1,229.9**	**$1,179.7**	**($50.2)**	**(4.1)**
TOTAL					
	Recurring	$33,670.0	$32,157.8	($1,512.2)	(4.5)
	Non-Recurring	$3,780.5	$6,325.4	$2,544.9	67.3
	Wood Group-Outage	$7,175.0	$6,941.7	($233.3)	(3.3)
	Outage	$500.0	$1,918.3	$1,418.3	283.7
TOTAL		**$45,125.5**	**$47,343.3**	**$2,217.7**	**4.9**

2015 SENY COST OF SERVICE BUDGET DATA

TOTAL WITH WOOD OUTAGE COST		500MW COMBINED CYCLE	Small Hydro	ASTORIA ENERGY II	SENY	Total
(1)	Total Site Payroll	11,296,306	4,541,353	964,507	4,050,123	**20,852,289**
(2)	Direct Purchases	2,261,547	363,603	150	1,100	**2,626,400**
(3)	Stores Issues	687,863	82,554	75	150	**770,642**
(4)	Fees & Dues	73,079	13,174	3,049	28,634	**117,936**
(5)	Office & Station Expense	851,564	198,518	17,854	73,605	**1,141,542**
(6)	Contract Services	17,360,346	1,508,784	155,968	792,100	**19,817,198**
(7)	Consultants	1,459,975	270,049	38,100	249,125	**2,017,250**
(8)	Other Expense	0	0	0	0	**0**
(9)	**Total O&M**	**33,990,679**	**6,978,035**	**1,179,704**	**5,194,837**	**47,343,255**

WOOD OUTAGE COST		500MW COMBINED CYCLE	Small Hydro	ASTORIA ENERGY II	SENY	Total
(1)	Total Site Payroll					**0**
(2)	Direct Purchases					**0**
(3)	Store Issues					**0**
(4)	Fees & Dues					**0**
(5)	Office & Station Expense					**0**
(6)	Contracted Services	6,941,737				**6,941,737**
(7)	Consultants					**0**
(8)	Other Expenses					**0**
(9)	Total O&M	**6,941,737**	**0**	**0**	**0**	**6,941,737**

TOTAL LESS WOOD OUTAGE COST		500MW COMBINED CYCLE	Small Hydro	ASTORIA ENERGY II	SENY	Total
(1)	Total Site Payroll	11,296,306	4,541,353	964,507	4,050,123	**20,852,289**
(2)	Direct Purchases	2,261,547	363,603	150	1,100	**2,626,400**
(3)	Store Issues	687,863	82,554	75	150	**770,642**
(4)	Fees & Dues	73,079	13,174	3,049	28,634	**117,936**
(5)	Office & Station Expense	851,564	198,518	17,854	73,605	**1,141,542**
(6)	Contracted Services	10,418,609	1,508,784	155,968	792,100	**12,875,461**
(7)	Consultants	1,459,975	270,049	38,100	249,125	**2,017,250**
(8)	Other Expenses	0	0	0	0	**0**
(9)	Total O&M	**27,048,942**	**6,978,035**	**1,179,704**	**5,194,837**	**40,401,518**

Headquarters Budget	**122,851,239**
R&D Budget	**8,775,214**
Total allocation to Capital	**(17,488,227)**

	HQ Budget	R&D Budget	Allocation to Capital	%
500MW	$10,184,371	$727,464	($1,449,774)	8.29%
Ashokan	$675,685	$48,264	($96,185)	0.55%
Jarvis	$687,967	$49,141	($97,934)	0.56%
Crescent	$1,363,652	$97,405	($194,119)	1.11%
Vischer Ferry	$1,289,941	$92,140	($183,626)	1.05%
Astoria Energy II	$899,386	$61,426	($122,418)	0.70%
SENY	$3,624,115	$258,868	($515,903)	2.95%

2015 O&M BUDGET FOR SENY COST OF SERVICE
Non-Recurring/WBS Detail
($000's)

500MW

WBS Code	WBS Description	2014 Budget	2015 Budget	Increase/ (Decrease)
QN-NR-1-BN	500MW VALVE UPGRADE PROGRAM	$395.8	$0.0	($395.8)
QN-NR-1-CN	UPGRADE CHILLER SAFETY VALVES	$160.9	$0.0	($160.9)
QN-NR-1-CO	500MW CABLE TRAY EVALUATION	$350.0	$0.0	($350.0)
QN-NR-1-CQ	500 MW FUEL GAS SUPPLY STUDY	$159.3	$650.7	$491.5
QN-NR-1-CS	500 MW AUXILLARY COOLING TOWER FAN VARIABLE FREQUENCY DRIVE	$227.7	$0.0	($227.7)
QN-NR-1-CT	500 MW CONTROL ROOM REDESIGN	$232.0	$1,327.7	$1,095.6
QN-NR-1-CW	500 MW GAS COMPRESSOR OVERHAULS	$9.6	$0.0	($9.6)
QN-NR-1-CY	500 MW ELEVATOR MODIFICATION AT ADMINISTRATION BUILDING	$327.1	$0.0	($327.1)
QN-NR-1-CZ	500 MW AIR COOLED CONDENSER UPGRADES	$54.6	$328.9	$274.4
QN-NR-1-DA	500 MW NOISE REDUCTION IMPROVEMENTS	$47.8	$253.4	$205.7
QN-NR-1-DD	500 MW INTERNAL COATING OF DEMINERALIZATION WATER STORAGE TANK	$509.6	$0.0	($509.6)
QN-NR-1-DE	500 MW CHANGEOUT R-22 REFRIGERANT	$105.6	$0.0	($105.6)
QN-NR-2-CC	500MW "AS BUILT " DRAWINGS	$0.0	$156.9	$156.9
QN-NR-2-CG	CATHODIC PROTECTION SYSTEM EVALUATION	$164.4	$430.3	$265.9
QN-NR-2-NB	SENY/ASTORIA ROOF REPLACEMENT	$38.2	$0.0	($38.2)
QN-NR-1-DJ	500MW PLANT RQUIPMENT FREEZE PROTECTION	$0.0	$257.9	$257.9
QN-NR-1-DM	SENY ARC FLASH STUDY	$0.0	$100.0	$100.0
QN-NR-1-DN	500 MW SIMULATOR	$0.0	$725.0	$725.0
	Total Non-Recurring - 500MW	**$2,782.6**	**$4,230.9**	**$1,448.3**

Small Hydros

WBS Code	WBS Description	2014 Budget	2015 Budget	Increase/ (Decrease)
SC-NR-2-LK	CRESCENT TAINTER GATE PAINT/CONCRETE RP	$134.4	$822.3	$688.0
SC-NR-2-TB	CRESCENT FISH GUIDANCE SYSTEM	$434.2	$111.8	($322.4)
SC-NR-2-LJ	CRESCENT SERVICE WATER MODIFICATIONS	$0.0	$76.9	$76.9
SC-NR-2-VD	CRESCENT UNITS 1 & 2 LEM ASSESSMENT	$0.0	$42.7	$42.7
HK-NR-2-HF	REBUILD JARVIS UNIT #2	$339.4	$0.0	($339.4)
HK-NR-2-HG	REBUILD JARVIS UNIT #1	$15.0	$190.4	$175.4
VF-NR-2-LI	VISCHER FERRY UNITS 1 & 2 LEM ASSESSMENT	$0.0	$42.7	$42.7
VF-NR-2-LJ	VISCHER FERRY SERVICE WATER MODIFICATION	$0.0	$76.9	$76.9
	Total Non-Recurring - Small Hydros	**$922.9**	**$1,363.6**	**$440.7**

SENY

WBS Code	WBS Description	2014 Budget	2015 Budget	Increase/ (Decrease)
SY-OS-1-AA	GOVERNMENT LOAD RESEARCH ANALYTICAL REPORTING	$75.0	$150.0	$75.0
MPC-CHG-CI-SY-01-0001	RISK CLOUD IMPLEMENTATION	$0.0	$581.0	$581.0
	Total Non-Recurring - Poletti	**$75.0**	**$731.0**	**$656.0**
	Total Non-Recurring	**$3,780.5**	**$6,325.4**	**$2,544.9**

2015 Non-Recurring Tasks
(000's)

500MW

500 MW CONTROL ROOM REDESIGN $ 1,327.7

The 500 MW control room requires a re-design and upgrade to segregate access to the control room and to eliminate entering through an alternate entrance. At this time there are non-essential personnel entering the control room. A better layout of the control room and consoles is necessary. The project will be implemented in 2015 with completion scheduled for 2016.

500 MW FUEL GAS SUPPLY STUDY $ 650.7

It was identified by Site Operations that the generator Fuel Gas Supply system has inadequacies to dampen pressure disturbances and oscillations. This project entails investigation of the root cause of these inadequacies and to determine the best course of action.

500 MW AIR COOLED CONDENSER UPGRADES $ 328.9

Long-term reliability to the accelerometers/fans will be improved by engineering upgrades to trend the maintenance of the Fan Gear Boxes to predict and schedule needed work for the fans and gear boxes. The upgrades at a minimum would be to install accelerometers at least 2 per gear box to trend gear box vibration and install analog pressure indication for the lube oil pumps. The readings would be fed to the MARK VI (ICS) for indication, trending and alarm.

500 MW NOISE REDUCTION IMPROVEMENTS $ 253.4

The chiller separator and flash tank are located near the main entrance to the plant. Entrants are exposed to sudden and extreme noise conditions when these systems automatically and randomly release pressure into the room . A sound barrier is required to dampen the noise in this highly utilized entrance and passage way into the plant. The installation of a wall will serve as a sound barrier and allow for safe access to the plant.

500MW PLANT EQUIPMENT FREEZE PROTECTION $ 257.9

The 500 MW plant has been faced with challenges relating to freezing of various plant equipment. Some equipment identified includes: Steam Jet Air Ejector, Condensate Pumps, Condensate Makeup Equipment, Demineralized Water Trailers, Gas Performance Heaters, Boiler Feed Pumps, and the HP & IP Drum Level Transmitter Panels and other plant equipment. In 2015 Engineering will make an assessment of the listed equipment and will make an evaluation as to the needed modifications to the equipment. Engineering will develop scope of services for the required corrective measures for an A/E to design.

ARC FLASH STUDY $ 100.0

An arc flash study will be conducted in 2015 to assess the conditions present at our 500 MW facility. As a result of the study if any significant changes are identified, the site will implement the recommended changes to mitigate exposure to arc flash hazards and ensure safe conditions.

500 MW "BUILT AS" DRAWINGS $ 156.9

The 500MW Metering and Relaying preliminary drawings were completed. Based upon system modifications and priority the drawings need to be brought up to the current configuration. This request is for funds to created up to date "As Built" drawings.

CATHODIC PROTECTION SYSTEM EVALUATION $ 430.3

An evaluation of the Cathodic Protections System in necessary to determine if the system requires modification to avoid failure. A properly operating Cathodic Protection system is a regulatory requirement of both the NYSDEC and the FDNY. It is also necessary to protect the numerous underground piping and piles utilized at the facility. Failure of the underground ferrous structures at the 500 MW facility could potentially have a major adverse effect on the reliability of the plant.

500 MW SIMULATOR $ 725.0

The purpose of this project is to procure and develop an operations simulator for the SENY combined cycle plant. The simulator will provide real world systems operation training for new and experienced plant operators in real time by mirroring the plant control system. Because the simulator will be an exact duplicate of the actual plant with regards to system control schemes, it can also be used by engineering staff to test proposed changes prior to implementing them on the actual plant. The simulator will emulate normal and casualty plant operations that will provide experience for operators above and beyond what can be accomplished in a standard classroom format. The use of the equipment would greatly enhance training for operators and technicians, and would provide a training tool that currently doesn't exist for plant specific advanced and casualty training for senior operators.

Total-500MW $ 4,230.9

2015 Non-Recurring Tasks
(000's)

SENY

RISK CLOUD IMPLEMENTATION $ 581.0

This software is needed to serve as the repository for all energy commodity forward price curves and the transactions NYPA enters to manage its energy commodity market risk. The software will also be used to quantify energy commodity market risk as well as the associated default risk to the counterparties for risk hedging transactions and track the collateral requirements and establish the official valuation of those hedging transactions.

GOVERNMENT LOAD RESEARCH ANALYTICAL REPORTING $ 150.0

This funding represents consulting support for ongoing analysis and reporting of the Government Customer Load Research Program.

Total-SENY $ 731.0

Small Hydros

CRESCENT TAINTER GATE PAINT/CONCRETE REPLACEMENT $ 822.3

This project entails the evaluation and replacement of the tainter gates as necessary. The Tainter Gate Inspection Report recommended that NYPA schedule the tainter gate to be re-painted and recommended that the freeze thaw deterioration and delamination in the vertical concrete side pier faces be repaired. This project will evaluate and repair the necessary components as recommended in the report.

CRESCENT FISH GUIDANCE SYSTEM $ 111.8

The sound deterrent system which helps blueback herring continue their migration downriver will be evaluated. A new study will be conducted in 2015. If the sound field is not what is anticipated, then the system will be enhanced to achieve the required sound levels.

CRESCENT and VISCHER FERRY UNITS 1 & 2 LEM ASSESSMENT $ 85.3

This project request entails the life extension and modernization (LEM) projects of NYPA Crescent and Vischer Ferry generating units 1 and 2 inclusive of associated turbine-generator equipment, low & medium voltage electrical switchgear and associated balance-of-plant equipment.

CRESCENT and VISCHER FERRY SERVICE WATER MODIFICATION $ 153.7

This project entails the refurbishment and modification of Crescent and Vischer Ferry service water systems pertinent to continued reliable operation of the small hydro generating units. Immediate repairs to existing equipment infrastructure, pumps and piping will be addressed in 2015 with further engineering to commence in 2016 to determine if the application of a closed cooling water system is feasible to the sites.

REBUILD JARVIS UNIT #1 $ 190.4

After failure of Jarvis Unit#2 an assessment was performed on Jarvis Unit #1 to mitigate any further failures, As a result of this assessment, it was determined that Jarvis Unit#1 must undergo an overhaul and rebuild. The unit will continue to be evaluated to determine the extent of repairs required. The purchase of the new parts and the repair of the existing parts would take place in 2015. The assembly, alignment and unit start-up would take place in the last half of 2015.

Total-Small Hydros $ 1,363.6

Total SENY Non-Recurring $ 6,325.4

Labor Ratios

What are Labor Ratios & How are they Used?

- Labor ratios are used for allocating certain corporate expenses to facilities and operating lines of business.
- In the NYC Cost of Service, labor ratios are used to allocate NYPA's shared services, which include:
  - Headquarters
  - Research & Development
  - Allocation to Capital
  - Other Post Employment Benefits (OPEB)

Why are Labor Ratios being used?

- Results of Litigation: The Village of Bergen, NY vs. NYPA [Note 1]
  - The Litigants contested the Authority's functionalization and allocation of indirect overhead cost based on capacity rather than on labor cost for preference power rates.
  - They argued that the methodology did not comply with NYPA's statutory mandate to set the "lowest possible rate".
  - The courts ruled in favor of the plaintiffs, requiring the use of labor ratios to allocate indirect overhead cost.
  - Since that ruling, NYPA has consistently applied this methodology in allocating overhead cost to its operating facilities.

Note 1: *Village of Bergen, et al. v. Power Authority of the State of New York,* 284 A.D.2d 976, 726 N.Y.S.2d 902 (4th Dep't), *reargument denied,* 731 N.Y.S.2d 136 (4th Dep't), *lv. to app. denied,* 97 N.Y.2d 606,738 N.Y.S.2d 289 (2001) (*Bergen*).

How are Labor Ratios Derived?

- Table 1-Calculation of Labor Ratios - Summary Table
  - Shows budgeted labor cost assigned to each NYPA Facility.
  - The Labor cost are shown in dollars and as percent of total labor $.
    - *The percent of total labor are the labor ratios.*
- The three components of each facilities' labor costs used to derive Table 1are:
  1. Facility Labor costs planned with Work Breakdown Structure (WBS) (Table 2)
     - WBS is a line-item breakdown structure to track project expenditure including wages and benefits.
  2. Facility Labor costs that are planned without a WBS (Table 4).
  3. Headquarter costs that are assigned directly to a facility (Table 6).

Labor Costs = Payroll, benefits, and employee travel & living expenses

How are Labor Ratios Derived (Cont.)?: Table 2

- During the Budget Process, once a project is deemed to be wholly for the benefit of a single NYPA facility, the labor associated with that project is assigned to that facility.
- Each task is then given a WBS code that can be tracked, and is specific to that facility.
- Those labor costs tracked under a WBS code are then combined by facility in Table 2.

How are Labor Ratios Derived (Cont.)?: Table 3 & Table 4

- Table 3
  - Unlike the single-facility projects from Table 2, there are other projects undertaken at NYPA which benefit multiple NYPA facilities, and therefore require a splitting of labor costs.
  - An annual study determines how the cost center expense in Table 3 should be split.
  - For example, the labor cost for security expenses (N131) at the Niagara facility are split between the Niagara Facility and Transmission by an 88%/12% split because security forces patrol both the generating facility and the transmission substation, due to their geographic proximity.
- Table 4
  - The percentages from Table 3 are then used to split the specific labor cost assigned to each facility.

How are Labor Ratios Derived (Cont.)?: Table 5 and Table 6

- Table 5
  - Some Headquarter costs are assigned directly to facilities.
  - An annual study determines how the cost center expense in Table 5 should be assigned to a NYPA facility.
  - For example, the labor costs for Fuel Operations (H511) expense are split by the following % because our fuels group works to procure gas and oil for all of our fossil plants:
    - 500 MW= 38% ,Astoria Energy II = 41%, Flynn =11%, and Small Clean Power plants (SCPPS) = 10%
- Table 6
  - The percentages from Table 5 are then used to split the specific headquarter labor cost assigned to each NYPA facility.

Steps in Developing Labor Ratios

- Determine the total site and headquarter labor cost for each facility on Table 1-Calculation of Labor Ratios- Summary Table.
  - Equals the sum of:
    - Labor costs assigned a WBS (Table 2)
    - Facility labor costs without a WBS (Table 4)
    - Headquarter costs assigned directly to a facility (Table 6)
- Determine percent of total labor for each facility
  - Divide total labor costs for each facility by total NYPA labor costs to calculate the labor ratio for each facility.

2015 Preliminary COS – Labor Ratios Used

- 500 MW CCU= 8.29%
- Astoria Energy II = 0.70%
- Small Hydro = 3.27%
- SENY = 2.95%

- Total = 15.21%

2015 NYPA LABOR RATIO CALCULATION

Labor ratios are used to allocate HQ, R&D and Allocation to Capital costs to the operating facilities. The labor ratios are derived from the planned labor and standard rate cost elements budgeted to each facility. There are three components of each facilities' labor cost.

- **Labor costs planned with a WBS (Work Breakdown Structure) that identifies the facility on which the work is being performed (Table 2)**
- **Facility labor costs that are planned without a WBS code, and are assigned according to cost center specific allocation percentages (Tables 3 & 4)**
- **Labor for directly assigned HQ cost centers that are assessed according to the specific cost center allocation percentages (Tables 5 & 6)**

Table 1 provides the calculation of the total labor support for each facility and the associated percentages of total labor on the last row.

Table 2 shows the payroll and standard rate costs planned on a WBS that is identified with each of the facilities.

Table 3 and Table 4 are associated with facility labor costs that are not assigned a WBS. These costs are assigned to facilities on a cost center basis according to allocation percentages for each cost center. Table 3 contains the allocation percentages for each cost center. Table 4 contains the calculated dollars based upon the total labor without a WBS for each facility cost center (last column of Table 4) and the percentages on Table 3.

Table 5 and Table 6 are associated with labor costs for HQ cost centers that are directly assigned to facilities. These costs are assigned to facilities on a cost center basis according to allocation percentages for each cost center. Table 5 contains the allocation percentages for each cost center. Table 6 contains the calculated dollars based upon the total labor without a WBS for each HQ cost center that is directly assigned (last column of Table 6) and the percentages on Table 5.

TABLE 1

CALCULATION OF LABOR RATIOS - Summary Table

	Blenheim-Gilboa	St. Lawrence	Niagara	Astoria Energy II	Flynn	Small Hydro Facilities	SCPPs	500MW	Transmission	Recharge NY	SENY	Total
Table 2 Total - Labor Assigned a WBS	$ 9,937,829	$ 14,869,030	$ 23,187,207	$ 0	$ 3,105,299	$ 3,373,304	$ 875,479	$ 7,331,546	$ 22,962,282	$ 0	$ 0	$ 85,641,975
Table 4 Total - Facility Labor without a WBS	$ 3,217,568	$ 1,907,830	$ 7,198,122	$ 0	$ 1,410,603	$ 830,778	$ 1,835,684	$ 2,575,717	$ 10,051,321	$ 0	$ 0	$ 29,027,623
Table 6 Total - Labor from HQ Directly Assigned Cost Centers	$ 1,059,492	$ 3,739,257	$ 5,715,430	$ 970,205	$ 576,726	$ 336,909	$ 680,246	$ 1,611,979	$ 4,472,275	$ 969,632	$ 4,092,253	$ 24,224,404
Total	$ 14,214,890	$ 20,516,117	$ 36,100,759	$ 970,205	$ 5,092,628	$ 4,540,991	$ 3,391,409	$ 11,519,242	$ 37,485,878	$ 969,632	$ 4,092,253	$ 138,894,002
% of Total Labor	10.23%	14.77%	25.99%	0.70%	3.67%	3.27%	2.44%	8.29%	26.99%	0.70%	2.95%	100.00%

2015 NYPA LABOR RATIO CALCULATION

TABLE 2

LABOR ASSIGNED A WBS CODE

Cost Element		Blenheim-Gilboa	St. Lawrence	Niagara	Astoria	Flynn	Small Hydro	SCPP	500MW	Transmission	Recharge NY	SENY	TOTAL
611100	Straight Time-A	$ 2,560,208	$ 3,337,074	$ 4,574,335	$ 0	$ 1,560,465	$ 975,887	$ 544,227	$ 2,344,746	$ 4,610,235	$ 0	$ 0	$ 20,507,175
612100	Overtime Pay-A	$ 114,500	$ 101,700	$ 7,000	$ 0	$ 635,496	$ 2,000	$ 0	$ 265,034	$ 76,100	$ 0	$ 0	$ 1,201,830
621100	Straight Time-H	$ 3,313,428	$ 5,638,958	$ 9,229,261	$ 0	$ 1,669	$ 1,116,525	$ 9,388	$ 1,724,375	$ 9,058,774	$ 0	$ 0	$ 30,092,378
622100	Overtime Pay-H	$ 586,200	$ 668,000	$ 1,445,500	$ 0	$ 0	$ 73,800	$ 0	$ 536,812	$ 1,240,200	$ 0	$ 0	$ 4,550,512
622200	Shift Premium-H	$ 20,940	$ 45,089	$ 44,145	$ 0	$ 0	$ 5,315	$ 0	$ 129,554	$ 37,252	$ 0	$ 0	$ 282,294
626100	Temporary Employee-H	$ 0	$ 0	$ 0	$ 0	$ 0	$ 0	$ 0	$ 0	$ 150,000	$ 0	$ 0	$ 150,000
631000	Benefits-Distributed	$ 3,266,441	$ 4,991,743	$ 7,676,443	$ 0	$ 868,732	$ 1,163,630	$ 307,876	$ 2,262,916	$ 7,601,596	$ 0	$ 0	$ 28,139,377
651700	Employee Fees	$ 3,467	$ 4,354	$ 10,085	$ 0	$ 2,097	$ 1,886	$ 603	$ 2,986	$ 4,415	$ 0	$ 0	$ 29,895
661100	Travel & Living	$ 53,299	$ 48,769	$ 83,265	$ 0	$ 16,508	$ 24,134	$ 6,501	$ 40,564	$ 105,664	$ 0	$ 0	$ 378,704
661300	Meal Allowance	$ 8,592	$ 25,008	$ 77,142	$ 0	$ 14,469	$ 4,997	$ 3,887	$ 12,449	$ 61,772	$ 0	$ 0	$ 208,316
661400	Other Business Meals	$ 3,146	$ 145	$ 8,572	$ 0	$ 601	$ 837	$ 166	$ 5,261	$ 1,371	$ 0	$ 0	$ 20,098
663100	Books & Publications	$ 1,600	$ 273	$ 2,950	$ 0	$ 0	$ 543	$ 0	$ 67	$ 2,593	$ 0	$ 0	$ 8,025
667100	Office Supplies	$ 3,409	$ 3,934	$ 23,171	$ 0	$ 3,919	$ 1,372	$ 1,311	$ 3,556	$ 7,085	$ 0	$ 0	$ 47,756
669600	Miscellaneous Office Expense	$ 2,599	$ 3,983	$ 5,339	$ 0	$ 1,342	$ 2,379	$ 1,521	$ 3,227	$ 5,225	$ 0	$ 0	$ 25,615
Overall Result		$9,937,829	$14,869,030	$23,187,207	$0	$3,105,299	$3,373,304	$875,479	$7,331,546	$22,962,282	$0	$0	$85,641,975

2015 NYPA LABOR RATIO CALCULATION

TABLE 3

ALLOCATION PERCENTAGES FOR FACILITY COST CENTERS

Cost Center	Desc	Blenheim-Gilboa	St. Lawrence	Niagara	Astoria Energy II	Flynn	Small Hydro	SCPP	500MW	Transmission	Recharge NY	SENY	TOTAL
B111	Site Administration	74%	0%	0%	0%	0%	14%	0%	0%	13%	0%	0%	100%
B112	Financial Services BG	74%	0%	0%	0%	0%	14%	0%	0%	13%	0%	0%	100%
B113	Human Resources - BG	74%	0%	0%	0%	0%	14%	0%	0%	13%	0%	0%	100%
B114	Purchasing-BG	74%	0%	0%	0%	0%	14%	0%	0%	13%	0%	0%	100%
B116	Environmental	74%	0%	0%	0%	0%	14%	0%	0%	13%	0%	0%	100%
B120	Warehouse-BG	74%	0%	0%	0%	0%	14%	0%	0%	13%	0%	0%	100%
B130	Safety	74%	0%	0%	0%	0%	14%	0%	0%	13%	0%	0%	100%
B131	Security	74%	0%	0%	0%	0%	14%	0%	0%	13%	0%	0%	100%
B210	Control Room Ops	68%	0%	0%	0%	0%	12%	0%	0%	21%	0%	0%	100%
B320	Mechanical Maint	85%	0%	0%	0%	0%	13%	0%	0%	2%	0%	0%	100%
B330	Electrical Maint	62%	0%	0%	0%	0%	21%	0%	0%	17%	0%	0%	100%
B360	Vehicle Maintenance BG	74%	0%	0%	0%	0%	14%	0%	0%	13%	0%	0%	100%
B400	Instrument & Control	73%	0%	0%	0%	0%	14%	0%	0%	12%	0%	0%	100%
B530	Design & Drafting	93%	0%	0%	0%	0%	4%	0%	0%	3%	0%	0%	100%
B540	MRM	74%	0%	0%	0%	0%	14%	0%	0%	13%	0%	0%	100%
B551	Engineering	74%	0%	0%	0%	0%	14%	0%	0%	13%	0%	0%	100%
C111	Site Administration	0%	0%	0%	0%	0%	3%	0%	0%	97%	0%	0%	100%
C112	Financial Services CLK	0%	0%	0%	0%	0%	3%	0%	0%	97%	0%	0%	100%
C113	Human Resources - Clark	0%	0%	0%	0%	0%	3%	0%	0%	97%	0%	0%	100%
C114	Purchasing-CLARK	0%	0%	0%	0%	0%	3%	0%	0%	97%	0%	0%	100%
C116	Right of way Maint.	0%	0%	0%	0%	0%	3%	0%	0%	97%	0%	0%	100%
C120	Warehouse-CLARK	0%	0%	0%	0%	0%	3%	0%	0%	97%	0%	0%	100%
C130	Safety	0%	0%	0%	0%	0%	3%	0%	0%	97%	0%	0%	100%
C131	Security	0%	0%	0%	0%	0%	3%	0%	0%	97%	0%	0%	100%
C210	Control Room Ops	0%	0%	0%	0%	0%	13%	0%	0%	87%	0%	0%	100%
C320	Mechanical Maint	0%	0%	0%	0%	0%	6%	0%	0%	94%	0%	0%	100%
C330	Electrical Maint	0%	0%	0%	0%	0%	5%	0%	0%	95%	0%	0%	100%
C340	Line Maintenance	0%	0%	0%	0%	0%	1%	0%	0%	99%	0%	0%	100%
C360	Vehicle Maintenance Clark	0%	0%	0%	0%	0%	3%	0%	0%	97%	0%	0%	100%
C400	Instrument & Control	0%	0%	0%	0%	0%	7%	0%	0%	93%	0%	0%	100%
C540	MRM	0%	0%	0%	0%	0%	3%	0%	0%	97%	0%	0%	100%
F111	Site Administration	0%	0%	0%	0%	81%	0%	19%	0%	0%	0%	0%	100%
F210	Control Room Ops	0%	0%	0%	0%	88%	0%	12%	0%	0%	0%	0%	100%
F320	Mechanical Maint	0%	0%	0%	0%	73%	0%	27%	0%	0%	0%	0%	100%
F400	Instrument & Control	0%	0%	0%	0%	68%	0%	32%	0%	0%	0%	0%	100%
N111	Site Administration	0%	0%	86%	0%	0%	0%	0%	0%	12%	0%	0%	98%
N112	Financial Services NIA	0%	0%	86%	0%	0%	0%	0%	0%	12%	0%	0%	98%
N113	Human Resources - NIA	0%	0%	86%	0%	0%	0%	0%	0%	12%	0%	0%	98%
N114	Purchasing-NIA	0%	0%	86%	0%	0%	0%	0%	0%	12%	0%	0%	98%
N116	Environmental	0%	0%	86%	0%	0%	0%	0%	0%	12%	0%	0%	98%
N120	Warehouse-NIA	0%	0%	86%	0%	0%	0%	0%	0%	12%	0%	0%	98%
N130	Safety	0%	0%	88%	0%	0%	0%	0%	0%	12%	0%	0%	100%
N131	Security	0%	0%	88%	0%	0%	0%	0%	0%	12%	0%	0%	100%
N210	Control Room Ops	0%	0%	86%	0%	0%	0%	0%	0%	14%	0%	0%	100%
N310	General Maint	0%	0%	95%	0%	0%	0%	0%	0%	5%	0%	0%	100%
N320	Mech Maint RMPP	0%	0%	99%	0%	0%	0%	0%	0%	1%	0%	0%	100%
N321	Mech Maint LPGP	0%	0%	99%	0%	0%	0%	0%	0%	1%	0%	0%	100%
N330	Elec Maint RMPP	0%	0%	89%	0%	0%	0%	0%	0%	11%	0%	0%	100%
N331	Elec Maint LPGP	0%	0%	96%	0%	0%	0%	0%	0%	4%	0%	0%	100%
N332	Switchyard Maint	0%	0%	27%	0%	0%	0%	0%	0%	73%	0%	0%	100%
N360	Vehicle Maintenance Niagara	0%	0%	88%	0%	0%	0%	0%	0%	12%	0%	0%	100%
N400	Instrument & Control	0%	0%	75%	0%	0%	0%	0%	0%	25%	0%	0%	100%
N530	Design & Drafting	0%	0%	94%	0%	0%	0%	0%	0%	6%	0%	0%	100%
N540	MRM	0%	0%	88%	0%	0%	0%	0%	0%	12%	0%	0%	100%
Q111	500MW Site Administration	0%	0%	0%	0%	10%	0%	45%	45%	0%	0%	0%	100%
Q112	Financial Services 500MW	0%	0%	0%	0%	25%	0%	25%	50%	0%	0%	0%	100%
Q114	500mw Purchasing	0%	0%	0%	0%	25%	0%	25%	50%	0%	0%	0%	100%
Q120	500mw Warehouse	0%	0%	0%	0%	25%	0%	25%	50%	0%	0%	0%	100%
Q131	Security	0%	0%	0%	0%	5%	0%	25%	70%	0%	0%	0%	100%
Q211	500mw Control Room Ops	0%	0%	0%	0%	0%	0%	0%	100%	0%	0%	0%	100%
S111	Site Administration	0%	56%	0%	0%	0%	0%	0%	0%	39%	0%	0%	95%
S112	Financial Services STL	0%	56%	0%	0%	0%	0%	0%	0%	39%	0%	0%	95%
S113	Human Resources - STL	0%	56%	0%	0%	0%	0%	0%	0%	39%	0%	0%	95%
S114	Purchasing-STL	0%	56%	0%	0%	0%	0%	0%	0%	39%	0%	0%	95%
S116	Environmental	0%	56%	0%	0%	0%	0%	0%	0%	39%	0%	0%	95%
S120	Warehouse-STL	0%	56%	0%	0%	0%	0%	0%	0%	39%	0%	0%	95%
S130	Safety	0%	56%	0%	0%	0%	0%	0%	0%	39%	0%	0%	95%
S131	Security	0%	56%	0%	0%	0%	0%	0%	0%	39%	0%	0%	95%
S210	Control Room Ops	0%	48%	0%	0%	0%	0%	0%	0%	52%	0%	0%	100%
S310	General Maint	0%	85%	0%	0%	0%	0%	0%	0%	15%	0%	0%	100%
S320	Mech Maintenance	0%	87%	0%	0%	0%	0%	0%	0%	13%	0%	0%	100%
S330	Elect Maintenance	0%	47%	0%	0%	0%	0%	0%	0%	53%	0%	0%	100%
S360	Vehicle Maintenance STL	0%	59%	0%	0%	0%	0%	0%	0%	41%	0%	0%	100%
S400	Instrument & Control	0%	33%	0%	0%	0%	0%	0%	0%	67%	0%	0%	100%
S530	Design & Drafting	0%	47%	0%	0%	0%	0%	0%	0%	53%	0%	0%	100%
S540	MRM	0%	59%	0%	0%	0%	0%	0%	0%	41%	0%	0%	100%
S550	Life Extension	0%	59%	0%	0%	0%	0%	0%	0%	41%	0%	0%	100%

2015 NYPA LABOR RATIO CALCULATION

TABLE 4

ALLOCATION OF FACILITY LABOR WITHOUT A WBS

Cost Center	Desc	Blenheim-Gilboa	St. Lawrence	Niagara	Astoria Energy II	Flynn	Hydro	SCPP	500MW Combined Cycle	Transmission	Recharge NY	SENY	TOTAL
B111	Site Administration	$391,116	$0	$0	$0	$0	$72,449	$0	$0	$66,751	$0		$530,316
B112	Financial Services BG	$252,463	$0	$0	$0	$0	$46,766	$0	$0	$43,087	$0		$342,316
B113	Human Resources - BG	$185,366	$0	$0	$0	$0	$34,337	$0	$0	$31,636	$0		$251,339
B114	Purchasing-BG	$283,539	$0	$0	$0	$0	$52,522	$0	$0	$48,391	$0		$384,452
B116	Environmental	$79,693	$0	$0	$0	$0	$14,762	$0	$0	$13,601	$0		$108,056
B120	Warehouse-BG	$305,602	$0	$0	$0	$0	$56,609	$0	$0	$52,156	$0		$414,367
B130	Safety	$111,369	$0	$0	$0	$0	$20,630	$0	$0	$19,007	$0		$151,006
B131	Security	$1,184,996	$0	$0	$0	$0	$219,506	$0	$0	$202,240	$0		$1,606,742
B360	Vehicle Maintenance BG	$191,332	$0	$0	$0	$0	$35,442	$0	$0	$32,654	$0		$259,427
B400	Instrument & Control	$232,092	$0	$0	$0	$0	$45,115	$0	$0	$38,878	$0		$316,085
C111	Site Administration	$0	$0	$0	$0	$0	$19,293	$0	$0	$632,314	$0		$651,607
C112	Financial Services CLK	$0	$0	$0	$0	$0	$10,818	$0	$0	$354,564	$0		$365,382
C113	Human Resources - Clark	$0	$0	$0	$0	$0	$3,957	$0	$0	$129,692	$0		$133,649
C114	Purchasing-CLARK	$0	$0	$0	$0	$0	$18,386	$0	$0	$602,592	$0		$620,978
C116	Right of way Maint.	$0	$0	$0	$0	$0	$17,823	$0	$0	$584,156	$0		$601,979
C120	Warehouse-CLARK	$0	$0	$0	$0	$0	$9,428	$0	$0	$308,990	$0		$318,417
C130	Safety	$0	$0	$0	$0	$0	$4,443	$0	$0	$145,604	$0		$150,047
C131	Security	$0	$0	$0	$0	$0	$44,910	$0	$0	$1,471,911	$0		$1,516,820
C320	Mechanical Maint	$0	$0	$0	$0	$0	$30,197	$0	$0	$454,696	$0		$484,893
C330	Electrical Maint	$0	$0	$0	$0	$0	$5,301	$0	$0	$104,435	$0		$109,736
C340	Line Maintenance	$0	$0	$0	$0	$0	$2,101	$0	$0	$379,504	$0		$381,606
C360	Vehicle Maintenance Clark	$0	$0	$0	$0	$0	$11,995	$0	$0	$393,144	$0		$405,139
C400	Instrument & Control	$0	$0	$0	$0	$0	$8,128	$0	$0	$116,623	$0		$124,751
C540	MRM	$0	$0	$0	$0	$0	$45,860	$0	$0	$1,564,145	$0		$1,610,005
F111	Site Administration	$0	$0	$0	$0	$894,207	$0	$215,078	$0	$0	$0		$1,109,285
N111	Site Administration	$0	$0	$747,381	$0	$0	$0	$0	$0	$106,702	$0		$854,083
N112	Financial Services NIA	$0	$0	$487,925	$0	$0	$0	$0	$0	$69,660	$0		$557,585
N113	Human Resources - NIA	$0	$0	$117,068	$0	$0	$0	$0	$0	$16,714	$0		$133,782
N114	Purchasing-NIA	$0	$0	$488,743	$0	$0	$0	$0	$0	$69,777	$0		$558,520
N116	Environmental	$0	$0	$116,393	$0	$0	$0	$0	$0	$16,617	$0		$133,010
N120	Warehouse-NIA	$0	$0	$801,086	$0	$0	$0	$0	$0	$114,369	$0		$915,454
N130	Safety	$0	$0	$253,990	$0	$0	$0	$0	$0	$36,262	$0		$290,252
N131	Security	$0	$0	$2,765,387	$0	$0	$0	$0	$0	$394,807	$0		$3,160,194
N210	Control Room Ops	$0	$0	$150,342	$0	$0	$0	$0	$0	$24,658	$0		$175,000
N310	General Maint	$0	$0	$11,431	$0	$0	$0	$0	$0	$569	$0		$12,000
N320	Mech Maint RMPP	$0	$0	$184,798	$0	$0	$0	$0	$0	$2,029	$0		$186,827
N321	Mech Maint LPGP	$0	$0	$3,943	$0	$0	$0	$0	$0	$57	$0		$4,000
N330	Elec Maint RMPP	$0	$0	$12,458	$0	$0	$0	$0	$0	$1,542	$0		$14,000
N331	Elec Maint LPGP	$0	$0	$3,844	$0	$0	$0	$0	$0	$156	$0		$4,000
N332	Switchyard Maint	$0	$0	$2,420	$0	$0	$0	$0	$0	$6,580	$0		$9,000
N360	Vehicle Maintenance Niagara	$0	$0	$701,608	$0	$0	$0	$0	$0	$100,167	$0		$801,775
N400	Instrument & Control	$0	$0	$18,677	$0	$0	$0	$0	$0	$6,323	$0		$25,000
N540	MRM	$0	$0	$330,626	$0	$0	$0	$0	$0	$47,203	$0		$377,829
Q111	500MW Site Administration	$0	$0	$0	$0	$216,002	$0	$996,845	$1,004,828	$0	$0		$2,217,675
Q112	Financial Services 500MW	$0	$0	$0	$0	$64,494	$0	$64,494	$128,988	$0	$0		$257,977
Q114	500mw Purchasing	$0	$0	$0	$0	$103,355	$0	$103,355	$206,710	$0	$0		$413,420
Q120	500mw Warehouse	$0	$0	$0	$0	$51,703	$0	$51,703	$103,406	$0	$0		$206,812
Q131	Security	$0	$0	$0	$0	$80,842	$0	$404,209	$1,131,784	$0	$0		$1,616,835
S111	Site Administration	$0	$330,568	$0	$0	$0	$0	$0	$0	$228,027	$0		$558,594
S112	Financial Services STL	$0	$198,784	$0	$0	$0	$0	$0	$0	$137,122	$0		$335,905
S113	Human Resources - STL	$0	$84,462	$0	$0	$0	$0	$0	$0	$58,262	$0		$142,724
S114	Purchasing-STL	$0	$258,196	$0	$0	$0	$0	$0	$0	$178,104	$0		$436,300
S116	Environmental	$0	$84,653	$0	$0	$0	$0	$0	$0	$58,394	$0		$143,047
S120	Warehouse-STL	$0	$253,408	$0	$0	$0	$0	$0	$0	$174,802	$0		$428,210
S130	Safety	$0	$37,018	$0	$0	$0	$0	$0	$0	$25,535	$0		$62,553
S131	Security	$0	$33,732	$0	$0	$0	$0	$0	$0	$23,268	$0		$57,000
S320	Mech Maintenance	$0	$128,370	$0	$0	$0	$0	$0	$0	$18,885	$0		$147,256
S360	Vehicle Maintenance STL	$0	$388,840	$0	$0	$0	$0	$0	$0	$268,223	$0		$657,063
S540	MRM	$0	$109,800	$0	$0	$0	$0	$0	$0	$75,740	$0		$185,540
Overall Result		$3,217,568	$1,907,830	$7,198,122	$0	$1,410,603	$830,778	$1,835,684	$2,575,717	$10,051,321	$0	$0	$29,027,623

2015 NYPA LABOR RATIO CALCULATION

TABLE 5

ALLOCATION PERCENTAGES FOR HQ DIRECTLY ASSIGNED COST CENTERS

	Desc	Blenheim-Gilboa	St. Lawrence	Niagara	Astoria	Flynn	Hydro	SCPP	500MW	Transmission	Recharge NY	SENY	TOTAL
H116	Community Rel BG	100.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	100.00%
H118	SENY Public & Governmental Affairs	0.00%	0.00%	0.00%	0.00%	10.00%	0.00%	10.00%	40.00%	0.00%	0.00%	40.00%	100.00%
H119	Community Rel CEC	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	100.00%	0.00%	0.00%	100.00%
H120	Community Rel Nia	0.00%	0.00%	100.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	100.00%
H121	Community Rel StL	0.00%	100.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	100.00%
H218	Corp Finance	0.00%	12.50%	12.50%	0.00%	0.00%	0.00%	0.00%	0.00%	25.00%	0.00%	25.00%	75.00%
H402	Governmental & Wholesale Billing	0.00%	2.00%	16.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	24.00%	58.00%	100.00%
H403	Key Accounts	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	100.00%	100.00%
H404	Customer Load Forecasting	0.00%	33.00%	33.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	34.00%	100.00%
H405	Business,Muni,Coop,Mktng & Economic Dev	0.00%	50.00%	50.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	100.00%
H406	Business Power Allocation & Compliance	0.00%	20.00%	60.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	20.00%	0.00%	100.00%
H407	Pricing & Energy Market Analysis	0.00%	5.00%	15.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	30.00%	50.00%	100.00%
H408	Market Analysis & Administration	0.00%	33.00%	33.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	34.00%	100.00%
H410	Power Contracts	0.00%	33.00%	33.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	34.00%	100.00%
H412	Risk Management	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	5.00%	25.00%	30.00%
H502	Admin & Tech Suppt	6.36%	10.00%	20.00%	0.00%	1.25%	1.00%	0.39%	6.00%	55.00%	0.00%	0.00%	100.00%
H503	System Operations	4.07%	10.00%	20.00%	0.00%	1.00%	2.10%	0.77%	1.99%	52.07%	0.00%	8.00%	100.00%
H504	Scheduling & Settlement	5.00%	21.00%	50.00%	2.50%	0.10%	5.00%	0.70%	2.50%	0.20%	8.00%	5.00%	100.00%
H506	Operations Planning	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	100.00%	0.00%	0.00%	100.00%
H507	Transmission Plang	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	100.00%	0.00%	0.00%	100.00%
H510	Energy Resource Management	19.00%	14.00%	19.00%	5.00%	12.00%	3.00%	11.00%	17.00%	0.00%	0.00%	0.00%	100.00%
H511	Fuel Operations	0.00%	0.00%	0.00%	41.00%	11.00%	0.00%	10.00%	38.00%	0.00%	0.00%	0.00%	100.00%
H513	Resource Planning & Project Analysis	7.20%	9.10%	28.40%	12.80%	0.00%	7.40%	8.80%	11.40%	0.00%	1.90%	13.00%	100.00%

2015 NYPA LABOR RATIO CALCULATION

TABLE 6

ALLOCATION OF LABOR FOR HQ DIRECTLY ASSIGNED COST CENTERS

Cost center	Blenheim-Gilboa	St. Lawrence	Niagara	Astoria	Flynn	Hydro	SCPP	500MW Combined Cycle	Transmission	Recharge NY	SENY	TOTAL
H116	$ 216,299	$ 0	$ 0	$ 0	$ 0	$ 0	$ 0	$ 0	$ 0	$ 0	$ 0	$ 216,299
H118	$ 0	$ 0	$ 0	$ 0	$ 73,482	$ 0	$ 73,482	$ 293,929	$ 0	$ 0	$ 293,929	$ 734,822
H119	$ 0	$ 0	$ 0	$ 0	$ 0	$ 0	$ 0	$ 0	$ 117,079	$ 0	$ 0	$ 117,079
H120	$ 0	$ 0	$ 657,958	$ 0	$ 0	$ 0	$ 0	$ 0	$ 0	$ 0	$ 0	$ 657,958
H121	$ 0	$ 576,819	$ 0	$ 0	$ 0	$ 0	$ 0	$ 0	$ 0	$ 0	$ 0	$ 576,819
H218	$ 0	$ 83,523	$ 83,523	$ 0	$ 0	$ 0	$ 0	$ 0	$ 167,046	$ 0	$ 167,046	$ 501,138
H402	$ 0	$ 25,079	$ 200,635	$ 0	$ 0	$ 0	$ 0	$ 0	$ 0	$ 300,953	$ 727,303	$ 1,253,970
H403	$ 0	$ 0	$ 0	$ 0	$ 0	$ 0	$ 0	$ 0	$ 0	$ 0	$ 858,683	$ 858,683
H404	$ 0	$ 239,596	$ 239,596	$ 0	$ 0	$ 0	$ 0	$ 0	$ 0	$ 0	$ 246,857	$ 726,050
H405	$ 0	$ 970,018	$ 970,018	$ 0	$ 0	$ 0	$ 0	$ 0	$ 0	$ 0	$ 0	$ 1,940,035
H406	$ 0	$ 214,600	$ 643,801	$ 0	$ 0	$ 0	$ 0	$ 0	$ 0	$ 214,600	$ 0	$ 1,073,001
H407	$ 0	$ 35,614	$ 106,841	$ 0	$ 0	$ 0	$ 0	$ 0	$ 0	$ 213,682	$ 356,136	$ 712,273
H408	$ 0	$ 248,225	$ 248,225	$ 0	$ 0	$ 0	$ 0	$ 0	$ 0	$ 0	$ 255,747	$ 752,196
H410	$ 0	$ 181,648	$ 181,648	$ 0	$ 0	$ 0	$ 0	$ 0	$ 0	$ 0	$ 187,152	$ 550,447
H412	$ 0	$ 0	$ 0	$ 0	$ 0	$ 0	$ 0	$ 0	$ 0	$ 98,421	$ 492,106	$ 590,527
H502	$ 60,087	$ 94,476	$ 188,951	$ 0	$ 11,809	$ 9,448	$ 3,685	$ 56,685	$ 519,617	$ 0	$ 0	$ 944,757
H503	$ 110,878	$ 272,429	$ 544,857	$ 0	$ 27,243	$ 57,210	$ 20,977	$ 54,213	$ 1,418,536	$ 0	$ 217,943	$ 2,724,287
H504	$ 68,567	$ 287,981	$ 685,669	$ 34,283	$ 1,371	$ 68,567	$ 9,599	$ 34,283	$ 2,743	$ 109,707	$ 68,567	$ 1,371,337
H506	$ 0	$ 0	$ 0	$ 0	$ 0	$ 0	$ 0	$ 0	$ 1,182,580	$ 0	$ 0	$ 1,182,580
H507	$ 0	$ 0	$ 0	$ 0	$ 0	$ 0	$ 0	$ 0	$ 1,064,675	$ 0	$ 0	$ 1,064,675
H510	$ 481,380	$ 354,701	$ 481,380	$ 126,679	$ 304,030	$ 76,007	$ 278,694	$ 430,709	$ 0	$ 0	$ 0	$ 2,533,581
H511	$ 0	$ 0	$ 0	$ 591,855	$ 158,790	$ 0	$ 144,355	$ 548,548	$ 0	$ 0	$ 0	$ 1,443,549
H513	$ 122,280	$ 154,549	$ 482,328	$ 217,387	$ 0	$ 125,677	$ 149,454	$ 193,611	$ 0	$ 32,268	$ 220,784	$ 1,698,339
TOTAL	**$ 1,059,492**	**$ 3,739,257**	**$ 5,715,430**	**$ 970,205**	**$ 576,726**	**$ 336,909**	**$ 680,246**	**$ 1,611,979**	**$ 4,472,275**	**$ 969,632**	**$ 4,092,253**	**$ 24,224,404**

Decrease in New York City Governmental Customer Fixed Cost Component – Notice of Adoption

Exhibit "C"
Hatch Acres Deconstruction Budget Estimate

Summary

	Budgets	Decomissioning	Capital		Decomissioning	Capital	O&M
Planning (Incl Tank Farm, not Demin Building)	**7,500,000**						
Delete ACM Abatement portion out of Planning, 2.6M Abatement captured in demo costs		-425,000					
Re-assisgn New Utilities/Control Room to Capital			-2,650,000				
Delete study for surrounding structures. Captured in 2.4M				-100,000			
Delete new control room Study				-750,000			
Delete Law & Regualtion, Captured in 2.4M				-100,000			
Delete underground utility study _ part of 2.4M design				-500,000			
Revised Planning Total					**$ 2,975,000**	**$ 2,650,000**	
Construction /Demo (Incl Tank Farm, not Demin Building)	**59,511,000**						
Deduct O&M items				-63,300			
Delete cost for Capital Work & Re-assign			-2,660,133				
Add In Site SuperVision from Task 4.		2,049,788	-200,212				
Add in FO Yrad increase for esaclation		1,350,000					
Add in Construction Indirects included in Site Eng & PM costs for both Capitla & Demo Work Task 4.		8,905,191	-869,809				
Revised Demo Total					**$ 68,022,525**	**$ 3,730,154**	**$ 63,300**
New Control Center& Utilities	**7,000,000**						
Re-assisgn Capital Work and update per Aecom $			1,519,846			**$ 2,589,867**	
Site Engineering, Proj. Mangement, etc.	**13,100,000**						
Delete Site Supervision & add to demo& capital		-2,049,788	-200,212				
Delete Construction Indirect costs & Re-assign to Constr'n/Demo & Capital Demo Costs							
Insurance/Taxes/Other (6.1%)		-3,370,763	-329237				
Facilities and Utilities (1.23%)		-660,487	-64513				
Construction Support Services(1.4%)		-774,364	-75636				
Delete Equipment Costs (7.4%) & re-assign to Demo & Capital		-4,099,576	-400424				
Revised Site Eng. & CM Total					**$ 1,075,000**	**$ 325,000**	
Contingency	**$13,066,665**						
Adjust from 25% to 15% & re-assign Captial Work					**$ 10,810,879**	**$ 1,394,253**	**$ 9,495**
Salvage Value					-5000000		
Demin Plant Demo							
Demolition	$ 4,103,812						
Abatement	$ 917,415						
Contingency 25%	$ 1,013,284						
Site Eng & PM	$ 180,000						
Revised Demin Total					**$ 6,214,510**		
O&M Tasks- during Plant Shutdown							
Insurance							**$ 778,365**
Station Service	= MW * non station service rate*12 months						
lighting							
heat for the blowers							
Fire Protection Inspections/up keep							
Maintain Sewer pumps?							
Maintain/Inspection Telecommunications							
Snow Removal & Clearing							
					DeCommissioning	**Captial**	**O&M**
Grand Totals					**$ 84,097,914**	**$ 10,689,274**	**$ 72,795**

Task 1. Planning

Major Tasks Included in the planning activities are as follows:	Demolition Demo	Demolition Environmental	Capital	Delete	Comments
1. Asbestos abatement program (assessment and removal).Estimate based on the removal of 1,200 cubic yards of asbestos that is primarily pipe insulation.	$0	75000		$425,000	Asbestos Assessment should be in Planning, Removal to be in Constr/Demo. Move 425K to demo #. Captured in $2.6M demo portion. Delete entirely
2. Hazardous Waste Study	$0	50000			All haz mat included ACM. Add 75k from aboev item
3. Assessment of existing services to remain after controlled dismantling.	$0		$100,000		Part of new systems to be installed
4. Study to determine the impact of the controlled dismantling on adjacent structures.	$50,000			100000	Reduce by $100K assume results of findings are coordianetd in Design & Drawing item subtask 6.-2.5M
5. A study to assess the various alternatives relating to the continued operation of the control room.	$0			$ 750,000	9/18 meeting discussed keeping 50%. Can this total value be removed and assumed captured in subtask 6 - $2.5M
6. Design work related to the rerouting of existing systems and facilities to be maintained after the controlled dismantling.	$0		$2,500,000		This value seems extremely high. Gas for boiler will already be designed. Assume this task perfromed by outside vendors. What is value be for drawings and specifications
7. Possible sale of used equipment prior to the controlled dismantling.	$200,000				This is salvage Value Report. Can this be reduced to half.
8. Preparation of a tender specification for the controlled dismantling.	$2,400,000				Complete design, drawings& specs, include assessments here for underground studies, etc. Design of Oil tank demo captured
9. Study to identify all laws and regulations pertinent to the controlled dismantling.				100,000	Captured in 2.4M
10. Study to identify all underground services that will be cut and or capped below finished grade. The study would also determine what action is necessary prior to cutting and or capping the underground services.	$0			500000	Shouldn't this be part of subtask 8. $2.4Million to complete drawings and specs and complete design with a portion potentially aslo captured in subtask 6. for relocation of utlities.
11. A photographic and video record of adjacent structures prior to the controlled dismantling.	$50,000				
12. Installation of monitoring devices prior to the controlled dismantling.	$100,000				
13. Preparation of a budget and schedule.	$50,000		$50,000		split between capital and demo funds
Total	**$2,850,000**	**$125,000**	**$2,650,000**	**$1,875,000**	**$7,500,000**

2010 tasks - planned for performance in 2010

Task 2. Demolition
POLETTI DECONSTRUCTION BUDGET ESTIMATE

Description	QTY	Unit	Labor Unit	Labor Hrs	Labor $$	APPARENT CRAFT RATE (HATCH)	SUGGESTED CRAFT RATE (AECOM)	AECOM Suggested Labor $$	Mat'l Unit	Matl Cost	Subcontr.	Total Cost	13% P&O	Hatch Final9% 2010 Escaltion	Aecom Total Cost (Labor & Mat'l)	AECOM 13%p&O	AECOM inc.P&O and Escalation 2010	2010 tasks	Demo	Demo-Enviro	Demo - FO Yard	O&M	Capital	Comments/Questions for Estimators
Close Wall at Elev. Walkway	1	ls	3,500	3,500	292,810	83.66	94.50	330,750.00	55,000	55,000		347,810	393,025	428,398	385,750	435,898	$457,692		$ 457,692					Can this be capitalized:no
Construct Matl Salvage Area	1	ls						0.00		0	250,000	250,000	282,500	307,925	250,000	282,500	$296,625		$ 296,625					
Construct New Contr Room Install Equip., Connect, Test	1	ls	9,500	9,500	821,750	86.50	99.00	940,500.00	325,000	325,000		1,146,750	1,295,828	1,412,452	1,265,500	1,430,015	$1,501,516						$ 1,501,516	
Cut/Cap Yard Piping	1	ls	2,000	2,000	175,640	87.82	105.00	210,000.00	5,000	5,000		180,640	204,123	222,494	215,000	242,950	$255,098				$ 255,098			
Cut/Remove above gradeConcrete, Plant and Yard	1	ls	32,000	32,000	2,575,680	80.49	94.50	3,024,000.00	0	0		2,575,680	2,910,518	3,172,465	3,024,000	3,417,120	$3,587,976		$ 3,587,976					
Cut/Remove Boiler/Duct, etc.	1	ls	48,000	48,000	4,234,080	88.21	105.00	5,040,000.00	0	0		4,234,080	4,784,510	5,215,116	5,040,000	5,695,200	$5,979,960		$ 5,979,960					
Cut/Remove BOP Piping	1	ea	22,000	22,000	1,932,040	87.82	105.00	2,310,000.00	0	0		1,932,040	2,183,205	2,379,694	2,310,000	2,610,300	$2,740,815		$ 2,740,815					
Cut/Remove Cable Tray	1	ls	4,000	4,000	358,960	89.74	99.00	396,000.00	0	0		358,960	405,625	442,131	396,000	447,480	$469,854		$ 469,854					
Cut/Remove Conden. Tanks	1	ls	5,500	5,500	479,875	87.25	105.00	577,500.00	0	0		479,875	542,259	591,062	577,500	652,575	$685,204		$ 685,204					
Cut/Remove Condensers	1	ls	11,000	11,000	959,750	87.25	105.00	1,155,000.00	0	0		959,750	1,084,518	1,182,124	1,155,000	1,305,150	$1,370,408		$ 1,370,408					
Cut/Remove Conduit	1	ls	12,000	12,000	1,076,880	89.74	99.00	1,188,000.00	0	0		1,076,880	1,216,874	1,326,393	1,188,000	1,342,440	$1,409,562		$ 1,409,562					
Cut/Remove Critical Piping	1	ls	16,000	16,000	1,405,120	87.82	105.00	1,680,000.00	0	0		1,405,120	1,587,786	1,730,686	1,680,000	1,898,400	$1,993,320		$ 1,993,320					
Cut/Remove Electrical Cable	1	ls	9,000	9,000	807,660	89.74	99.00	891,000.00	0	0		807,660	912,656	994,795	891,000	1,006,830	$1,057,172		$ 1,057,172					
Cut/Remove FD/ID/AD Fans	1	ls	12,000	12,000	1,047,000	87.25	105.00	1,260,000.00	0	0		1,047,000	1,183,110	1,289,590	1,260,000	1,423,800	$1,494,990		$ 1,494,990					
Cut/Remove FO Stg. Tanks	1	ls	12,000	12,000	1,199,280	99.94	105.00	1,260,000.00	0	0		1,199,280	1,355,186	1,477,153	1,260,000	1,423,800	$1,494,990				$ 1,494,990			Burns & Roe carries total demo # of $477K for 2 tanks; Hatch has total for all as $2.7M. Assume with esaclation, and ACM to increase 4.1M total,
Cut/Remove Grating/HR, etc.	1	ls	12,000	12,000	1,199,280	99.94	94.50	1,134,000.00	0	0		1,199,280	1,355,186	1,477,153	1,134,000	1,281,420	$1,345,491		$ 1,345,491					
Cut/Remove Precipitators	1	ls	18,000	18,000	1,570,500	87.25	105.00	1,890,000.00	0	0		1,570,500	1,774,665	1,934,385	1,890,000	2,135,700	$2,242,485		$ 2,242,485					
Cut/Remove Steel Stacks	1	ls	4,000	4,000	352,840	88.21	105.00	420,000.00	0	0		352,840	398,709	434,593	420,000	474,600	$498,330		$ 498,330					
Cut/Remove Structural Steel	1	ls	53,000	53,000	5,296,820	99.94	94.50	5,008,500.00	0	0		5,296,820	5,985,407	6,524,093	5,008,500	5,659,605	$5,942,585		$ 5,942,585					
Cut/Remove/Cap Circ Wtr Pg	1	ls	4,000	4,000	351,280	87.82	105.00	420,000.00	5,000	5,000		356,280	402,596	438,830	425,000	480,250	$504,263		$ 504,263					
Dism/Remove Turbine, etc.	1	ls	16,000	16,000	1,396,000	87.25	105.00	1,680,000.00	0	0		1,396,000	1,577,480	1,719,453	1,680,000	1,898,400	$1,993,320		$ 1,993,320					
Dismantle Exist Contr Room and Reroute electric	1	ls	12,000	12,000	1,076,880	89.74	99.00	1,188,000.00	250,000	250,000		1,326,880	1,499,374	1,634,318	1,438,000	1,624,940	$1,706,187		$ 853,094				$ 853,094	
Disposal of Glycol	1	ls	80	80	5,172	64.65	94.50	7,560.00	0	0	25,000	30,172	34,094	37,163	32,560	36,793	$38,632					$ 38,632		part of O&M
Disposal of Non-Salvaged Non-Hazardous Materials	900	load	16	14,400	1,008,000	70.00	94.50	1,360,800.00	0	0	540,000	1,548,000	1,749,240	1,906,672	1,900,800	2,147,904	$2,255,299		$ 2,255,299					
Dispose/Recycle Batteries	1	ls	80	80	5,172	64.65	94.50	7,560.00	0	0		5,172	5,844	6,370	7,560	8,543	$8,970					$ 8,970		part of O&M
Dispose/Recycle Waste Oil	1	ls	140	140	8,982	64.16	94.50	13,230.00	0	0		8,982	10,149	11,063	13,230	14,950	$15,697					$ 15,697		
Make Safe FO Storage Tanks	1	ls						0.00		0	600,000	600,000	678,000	739,020	600,000	678,000	$711,900				$ 711,900			Burns & Roe,2001 carries $355k/1500 barrels/2tank disposed.No acm. Escalation total $4.1M
Material Salvage Operations	1	ls	42,000	42,000	3,422,160	81.48	94.50	3,969,000.00	0	0		3,422,160	3,867,041	4,215,074	3,969,000	4,484,970	$4,709,219		$ 4,709,219					define
Relocate Fire Pumps/Piping	1	ls						0.00		0	75,000	75,000	84,750	92,378	75,000	84,750	$88,988						$ 88,988	
Remove BO Transf. Yd Equip	1	ls	2,400	2,400	215,376	89.74	105.00	252,000.00	0	0		215,376	243,375	265,279	252,000	284,760	$298,998				$ 298,998			Burns & Roe carries total demo # of $477K for 2 tanks; Hatch has total for all as $2.7M. Assume with esaclation, ACM, total 4.1M
Remove Boiler Feed Pumps	1	ls	2,000	2,000	174,500	87.25	105.00	210,000.00	0	0		174,500	197,185	214,932	210,000	237,300	$249,165		$ 249,165					
Remove BOP Mech. Equip.	1	ls	12,000	12,000	1,047,000	87.25	105.00	1,260,000.00	0	0		1,047,000	1,183,110	1,289,590	1,260,000	1,423,800	$1,494,990		$ 1,494,990					
Remove Brick	1	ls	3,600	3,600	283,788	78.83	94.50	340,200.00	0	0		283,788	320,680	349,542	340,200	384,426	$403,647		$ 403,647					
Remove Bridge Crane	1	ls	800	800	69,800	87.25	105.00	84,000.00	0	0		69,800	78,874	85,973	84,000	94,920	$99,666		$ 99,666					
Remove Doors/Windows,etc	1	ls	5,500	5,500	141,955	25.81	94.50	519,750.00	0	0		141,955	160,409	174,846	519,750	587,318	$616,683		$ 616,683					
Remove Electrical Equip.	1	ls	8,000	8,000	717,920	89.74	105.00	840,000.00	0	0		717,920	811,250	884,262	840,000	949,200	$996,660		$ 996,660					
Remove Elev. Walkway	1	ls	2,000	2,000	167,320	83.66	94.50	189,000.00	0	0		167,320	189,072	206,088	189,000	213,570	$224,249		$ 224,249					
Remove HP/LP FW Heaters	1	ls	1,600	1,600	139,600	87.25	105.00	168,000.00	0	0		139,600	157,748	171,945	168,000	189,840	$199,332		$ 199,332					
Remove Main/Aux. Transfmrs	1	ls	3,200	3,200	287,168	89.74	105.00	336,000.00	0	0		287,168	324,500	353,705	336,000	379,680	$398,664		$ 398,664					
Remove Masonry	1	ls	3,000	3,000	253,260	84.42	94.50	283,500.00	0	0		253,260	286,184	311,940	283,500	320,355	$336,373		$ 336,373					
Remove Non-Haz Insulation	1	ls	4,500	4,500	364,905	81.09	99.00	445,500.00	0	0		364,905	412,343	449,453	445,500	503,415	$528,586		$ 528,586					
Remove Reboiler	1	ls	1,500	1,500	130,875	87.25	105.00	157,500.00	0	0		130,875	147,889	161,199	157,500	177,975	$186,874		$ 186,874					
Remove Roofing	1	ls	3,000	3,000	235,440	78.48	94.50	283,500.00	0	0		235,440	266,047	289,991	283,500	320,355	$336,373		$ 336,373					
Remove Water T'ment Equip.	1	ls	1,200	1,200	104,700	87.25	105.00	126,000.00	0	0		104,700	118,311	128,959	126,000	142,380	$149,499		$ 149,499					
Remove/Disp. Asbestos Insu	1	ls	19,000	19,000	1,540,710	81.09	99.00	1,881,000.00	0	0	360,000	1,900,710	2,147,802	2,341,105	2,241,000	2,532,330	$2,658,947			$ 2,658,947				$425K from Planning phase is capture within this number
Remove/Strip Bldg. Siding	1	ls	14,000	14,000	1,171,240	83.66	94.50	1,323,000.00	0	0		1,171,240	1,323,501	1,442,616	1,323,000	1,494,990	$1,569,740		$ 1,569,740					
Repair/Modify Wall at Unit # 5	1	ls	8,000	8,000	675,360	84.42	94.50	756,000.00	140,000	140,000		815,360	921,357	1,004,279	896,000	1,012,480	$1,063,104		$ 1,063,104					Can this be capitalized
Reroute gas line to Admin.	1	ls	1,500	1,500	131,730	87.82	105.00	157,500.00	25,000	25,000		156,730	177,105	193,044	182,500	206,225	$216,536						$ 216,536	
Site Restoration	1	ls	4,000	4,000	325,920	81.48	94.50	378,000.00	150,000	150,000		475,920	537,790	586,191	528,000	596,640	$626,472		$ 626,472					Can this be capitalized
FO Yard Escalation & ACM based on Tully $																					$ 1,350,000			
TOTAL DIRECT COSTS				475,000	41,238,178				955,000	955,000	1,850,000	44,043,178	49,768,791	$ 54,247,982	50,156,850	56,677,241	59,511,103		$ 51,367,738	$ 2,658,947	$ 4,110,986	$ 63,300	$ 2,660,133	$ 60,861,103

Task 3. New Control Room

	Aecom Value		
New Control Center/ Utility Relocations	$	2,589,867	**AECOM believes the number is too large** **Assume $5.25M Hatch accounst for 2.6M in demo #: increase by 2.6M**
Utilities for relocation			
Natural Gas Suppy	hatch capture	$	216,536
Electrical Supply	hatch capture	$	853,094
Relay Protection			
Fire Protection	hatch capture	$	88,988
Potable water			
Underground drainage			
Sanitary Sewer Pumps			
Communicatiosn & Controls	hatch capture	$	1,501,516
		$	2,660,133

Task 4. Site Engineering

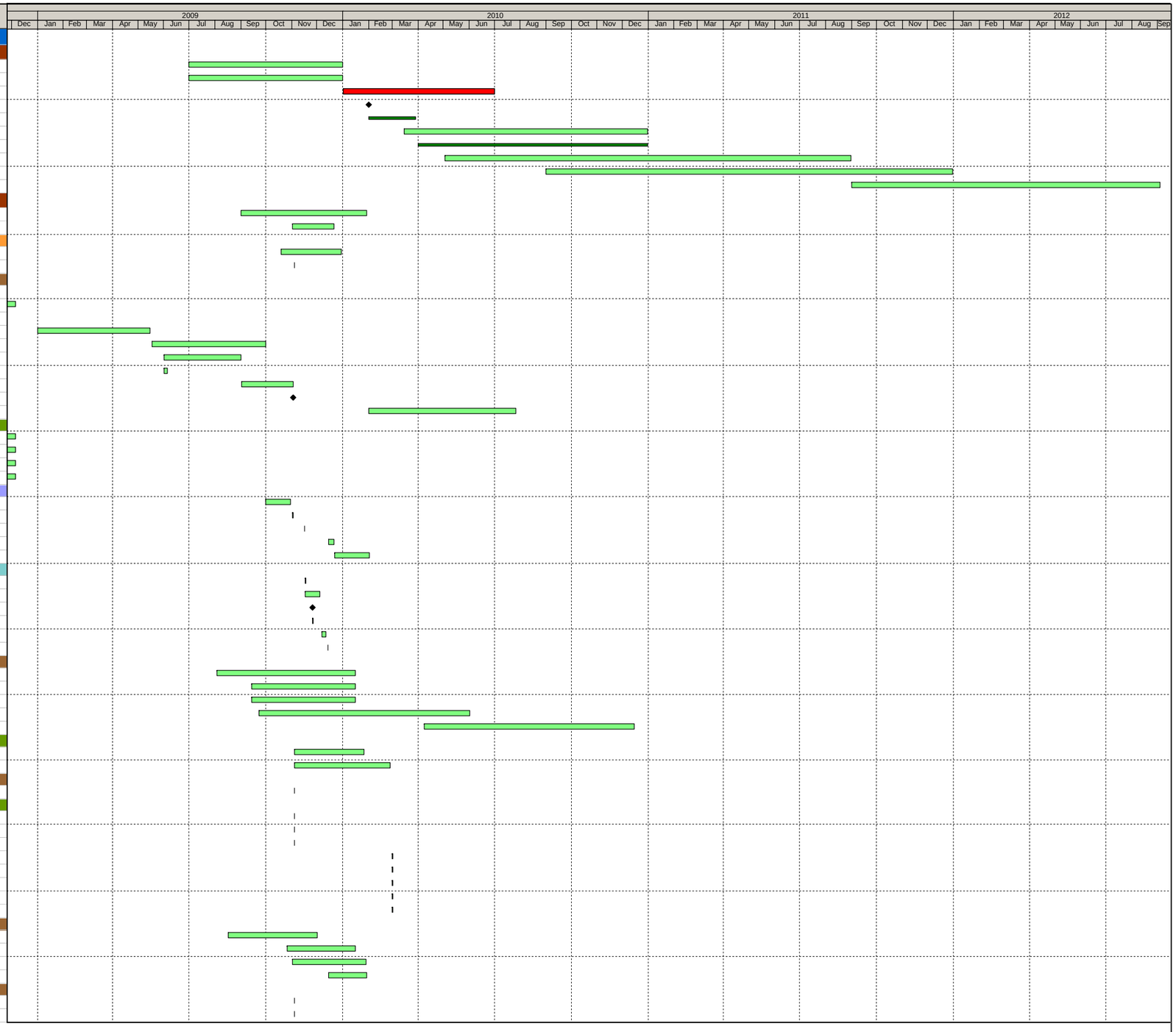
	Hatch Est.	Const'nSoft Costs	Hard costs	Decomissioning	Capital	
Site Engineering	500,000	500,000		350,000	150,000	**
Project Management	575,000	575,000		400,000	175,000	**
Site Supervision	2,250,000		2,250,000	2,049,788	200,212	*
Construction Indirect						
Insurance/Taxes/Other	3,700,000		3,700,000	3,370,763	329,237	
Facilities and Utilities	725,000		725,000	660,487	64,513	
Construction Support Services	850,000		850,000	774,364	75,636	
Total Construction Indirect						*
Construction Equipment Costs	4,500,000		4,500,000	4,099,576	400,424	*
Total	**13,100,000**	**1,075,000**	**12,025,000**			

* Part of contractors costs.
** Owners costs.

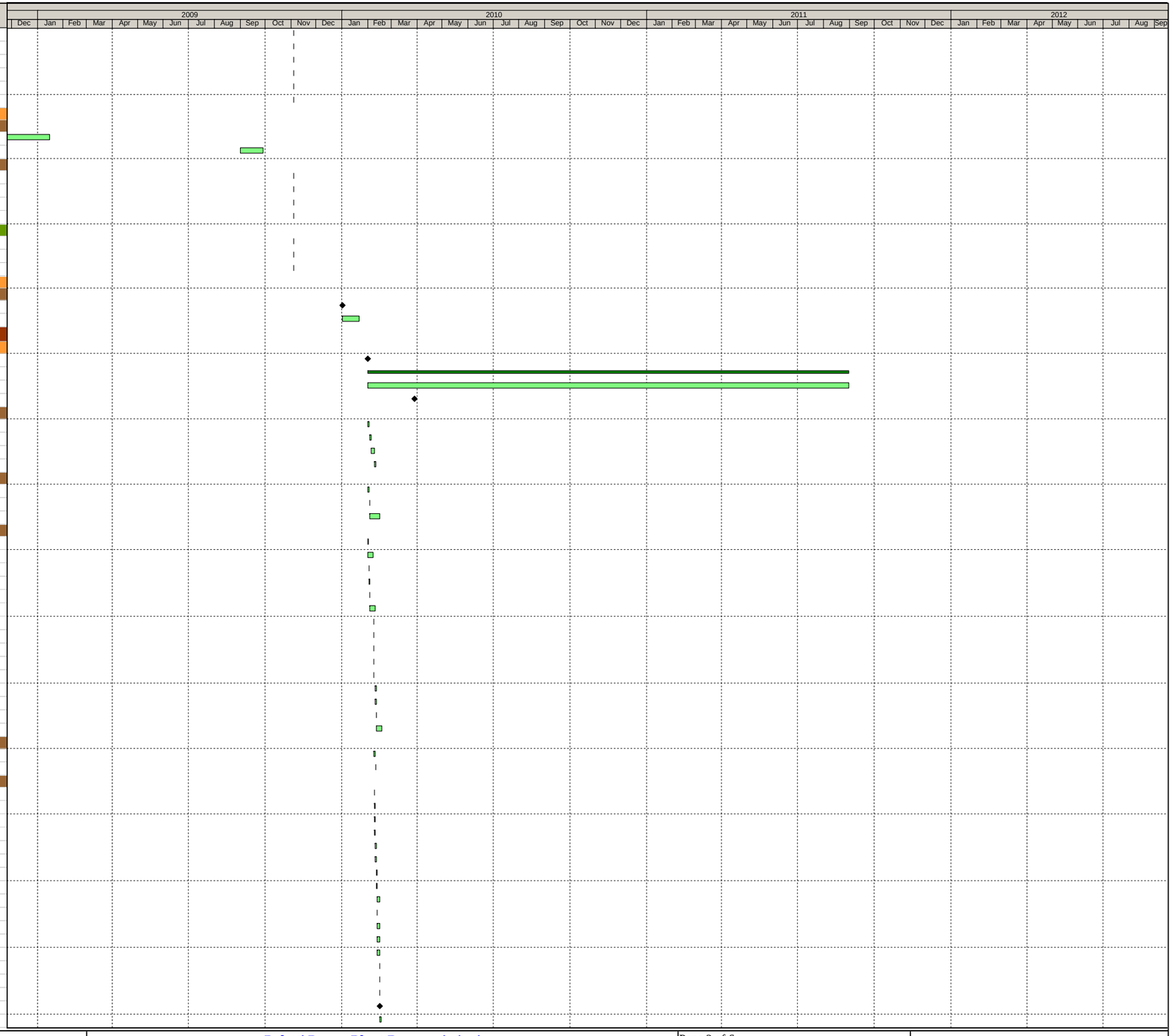
Decrease in New York City Governmental Customer Fixed Cost Component – Notice of Adoption

Exhibit "D"
Aecom's Poletti Decommissioning Plan

Responsibil...	Activity ID	Activity Name	Orig Dur	Rem Dur	Act Dur	Early Start	Early Finish
Poletti Power Plant Decommissioning - IPS - Update 3							
Poletti Major Activities							
AL	M1000	Define Project Tasks	132.00	132.00	0.00	01-Jul-09*	31-Dec-09
AL	M1010	Environmental & Safety Evaluation	132.00	132.00	0.00	01-Jul-09*	31-Dec-09
AL	M1020	Relocation of Critical Infrastructure: Design & Engineer	130.00	130.00	0.00	01-Jan-10*	01-Jul-10
TT	M1030	Poletti Decommissioning Date (Shutdown)	0.00	0.00	0.00	01-Feb-10	
TT	M1040	Enviro & Safety Stabilization (Shutdown)	40.19	40.19	0.00	01-Feb-10	29-Mar-10
AL	M1050	Deconstruction: Design & Engineer	210.00	210.00	0.00	15-Mar-10	31-Dec-10
TT/AL	M1070	Controlled disassembly (Selective Deconstruction)	196.60	196.60	0.00	01-Apr-10	31-Dec-10
AL	M1090	Firewatch	348.00	348.00	0.00	03-May-10*	31-Aug-11
AL	M1060	Relocation of Critical Infrastructure: Construction	348.00	348.00	0.00	01-Sep-10	30-Dec-11
AL	M1080	Deconstruction	263.00	263.00	0.00	01-Sep-11*	03-Sep-12
Poletti Pre-Decommissioning							
	1B	Develop & Finalize Shutdown Schedule	109.00	109.00	0.00	01-Sep-09*	29-Jan-10
	110	Provide environmental specifications & drawings	36.00	36.00	0.00	02-Nov-09*	21-Dec-09
Program Planning							
	PrP1000	Pre-demo & Staff Task Assessment	53.00	53.00	0.00	19-Oct-09*	30-Dec-09
	PrP1010	Establish Lay-Down/Temp Storage for Salvage Material	1.00	1.00	0.00	04-Nov-09*	04-Nov-09
Admin/PM Process							
	PM1070	Issue Draft IPS	0.00	0.00	0.00		24-Nov-08
	PM1080	NYPA Review IPS Draft	10.00	10.00	0.00	24-Nov-08	05-Dec-08
	PM1090	AECOM Issue Final IPS	0.00	0.00	0.00		05-Dec-08
	PM1030	Prepare Budgets	97.00	97.00	0.00	01-Jan-09*	15-May-09
	PM1040	Establish WBS#	98.00	98.00	0.00	18-May-09	30-Sep-09
	PM1000	Stakeholder Division of Responsibility	67.00	67.00	0.00	01-Jun-09*	01-Sep-09
	PM1050	Get PM Workorder	5.00	5.00	0.00	01-Jun-09	05-Jun-09
	PM1010	Establish Outline Deliverable Dates	44.00	44.00	0.00	02-Sep-09	02-Nov-09
	PM1020	Issue Project Overview Statement (POS)	0.00	0.00	0.00		02-Nov-09
	PM1060	Kickoff Bi-Weekly Meetings	126.00	126.00	0.00	01-Feb-10	26-Jul-10
Scope of Work (SOW)							
	SOW1000	Define SOW for System Relocation	10.00	10.00	0.00	24-Nov-08	05-Dec-08
	SOW1010	Define SOW for Selective Decommissioning	10.00	10.00	0.00	24-Nov-08	05-Dec-08
	SOW1020	Define SOW for Support Buildings/Structures to Remain	10.00	10.00	0.00	24-Nov-08	05-Dec-08
	SOW1030	Define SOW for Site Kept Equipment	10.00	10.00	0.00	24-Nov-08	05-Dec-08
Salvage Assessment FMV							
	SA1000	RFP for Salvage Consultant	22.00	22.00	0.00	01-Oct-09*	30-Oct-09
	SA1010	Sap Request/Release to Procurement	1.00	1.00	0.00	02-Nov-09	02-Nov-09
	SA1020	Post Advertisement to NYS Contract Reporter for CP	1.00	1.00	0.00	16-Nov-09	16-Nov-09
	SA1030	Award FMV	5.00	5.00	0.00	15-Dec-09	21-Dec-09
	SA1040	Perform Salvage Value Assessment	30.00	30.00	0.00	22-Dec-09	01-Feb-10
Proposal Period FMV							
	PP1000	Proposer Review Documents	1.00	1.00	0.00	17-Nov-09	17-Nov-09
	PP1030	CP Proposal Due	14.00	14.00	0.00	17-Nov-09	04-Dec-09
	PP1010	Proposal Questions Due	0.00	0.00	0.00		25-Nov-09
	PP1020	Addenda (If Required)	1.00	1.00	0.00	26-Nov-09	26-Nov-09
	PP1040	NYPA Review Proposals	5.00	5.00	0.00	07-Dec-09	11-Dec-09
	PP1050	Short List	1.00	1.00	0.00	14-Dec-09	14-Dec-09
Legal Agreements							
	L1020	O&M Agreement - Modify/Revoke Q35	120.00	120.00	0.00	03-Aug-09*	15-Jan-10
	L1000	Service Agreement - Deliver of Power & Energy	90.00	90.00	0.00	14-Sep-09*	15-Jan-10
	L1010	Planning & Supply Agreement	90.00	90.00	0.00	14-Sep-09	15-Jan-10
	L1030	Acquisition Agreement	180.00	180.00	0.00	23-Sep-09*	01-Jun-10
	L1040	Plant Closing Agreement	180.00	180.00	0.00	08-Apr-10*	15-Dec-10
New Agreements							
	NA1000	Aux Steam	60.00	60.00	0.00	04-Nov-09	26-Jan-10
	NA1010	Aux Power with Con Ed	83.00	83.00	0.00	04-Nov-09*	26-Feb-10
Assest Management							
	AM1000	Retirement of Assets	1.00	1.00	0.00	04-Nov-09*	04-Nov-09
Establish Site Kept Equipment							
	SK1050	Vehicles & Heavy Equipment	1.00	1.00	0.00	04-Nov-09	04-Nov-09
	SK1060	Tools	1.00	1.00	0.00	04-Nov-09	04-Nov-09
	SK1070	Office Furniture & Equipment	1.00	1.00	0.00	04-Nov-09	04-Nov-09
	SK1000	Fans	1.00	1.00	0.00	01-Mar-10*	01-Mar-10
	SK1010	Pumps	1.00	1.00	0.00	01-Mar-10	01-Mar-10
	SK1020	Valves	1.00	1.00	0.00	01-Mar-10	01-Mar-10
	SK1030	Compressors	1.00	1.00	0.00	01-Mar-10	01-Mar-10
	SK1040	Electrical Equipment	1.00	1.00	0.00	01-Mar-10	01-Mar-10
Fuels & ERM							
	ERM1000	FOY - Sale/Transfer	77.00	77.00	0.00	17-Aug-09*	01-Dec-09
	ERM1020	Station Service - Extend/Modify/Revoke	60.00	60.00	0.00	26-Oct-09*	15-Jan-10
	ERM1030	Admin Building Power	64.00	64.00	0.00	02-Nov-09*	28-Jan-10
	ERM1010	Force/Economical Burn	34.00	34.00	0.00	15-Dec-09*	29-Jan-10
Public Notifications							
	PN1000	EPA	1.00	1.00	0.00	04-Nov-09*	04-Nov-09
	PN1010	DEC	1.00	1.00	0.00	04-Nov-09	04-Nov-09

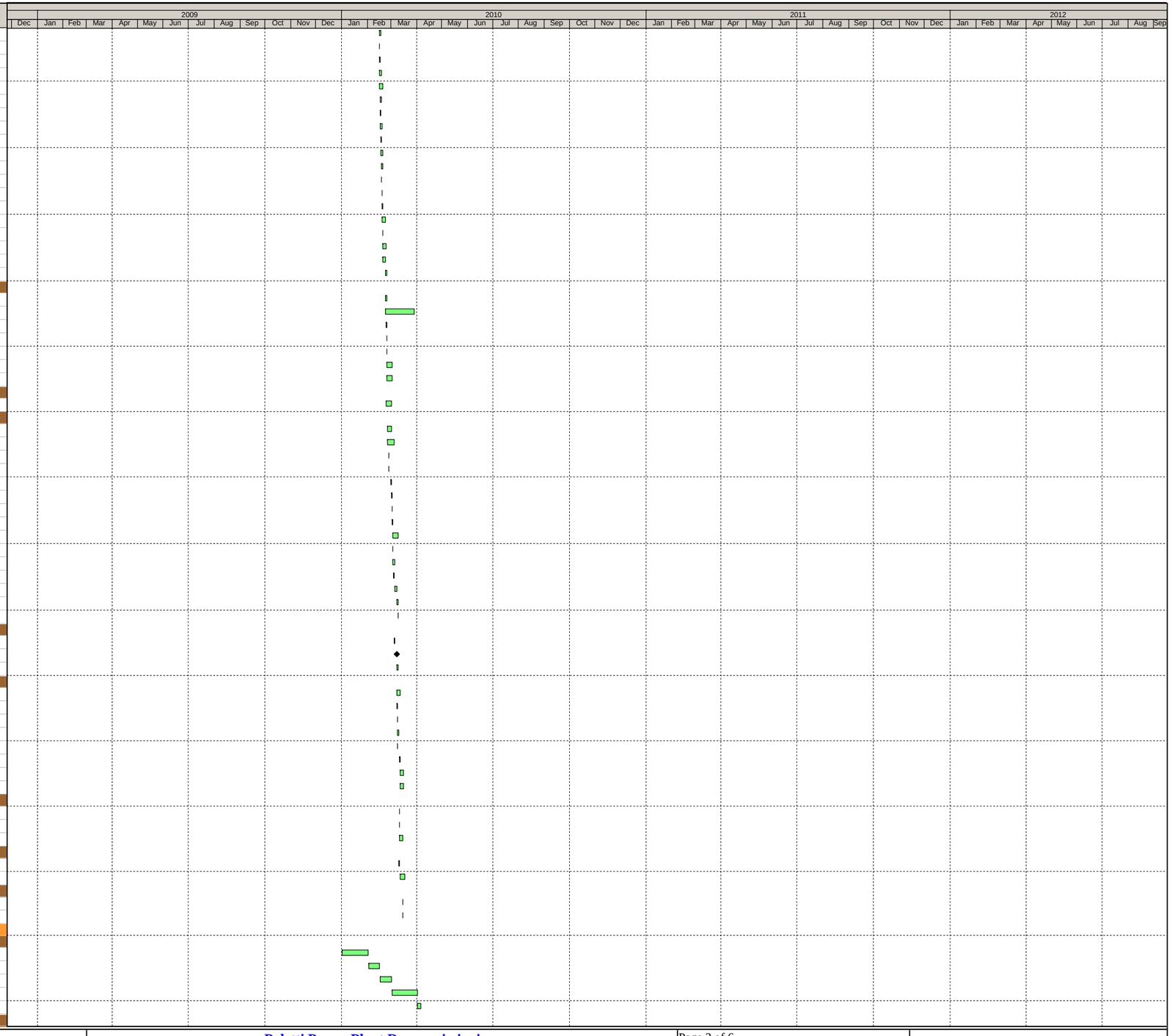


Responsibil...	Activity ID	Activity Name	Orig Dur	Rem Dur	Act Dur	Early Start	Early Finish
	PN1020	DEP	1.00	1.00	0.00	04-Nov-09	04-Nov-09
	PN1030	FDNY	1.00	1.00	0.00	04-Nov-09	04-Nov-09
	PN1040	Con ED	1.00	1.00	0.00	04-Nov-09	04-Nov-09
	PN1050	Uspower	1.00	1.00	0.00	04-Nov-09	04-Nov-09
	PN1060	NYISO	1.00	1.00	0.00	04-Nov-09	04-Nov-09
	PN1070	FAA - Stack Lights	1.00	1.00	0.00	04-Nov-09	04-Nov-09
Environmental & Safety Evaluation							
Data & Drawing Collection							
	DD1010	Drawings (M,E,P,S,A)	39.00	39.00	0.00	24-Nov-08	15-Jan-09
	DD1000	Haz/Mat Reports	20.00	20.00	0.00	01-Sep-09*	28-Sep-09
Environmental & Health Safety							
	EH1000	SPDES Permit-Modify/Expire	1.00	1.00	0.00	04-Nov-09*	04-Nov-09
	EH1010	Title V Modification	1.00	1.00	0.00	04-Nov-09	04-Nov-09
	EH1020	Bulk Fuel Storage/UST	1.00	1.00	0.00	04-Nov-09	04-Nov-09
	EH1030	Chemical Storage/Handling	1.00	1.00	0.00	04-Nov-09	04-Nov-09
Haz/Mat Assessment							
	HM1000	Mercury & PCBs	1.00	1.00	0.00	04-Nov-09	04-Nov-09
	HM1010	Lead	1.00	1.00	0.00	04-Nov-09	04-Nov-09
	HM1020	Asbestos	1.00	1.00	0.00	04-Nov-09	04-Nov-09
Relocation of Critical Infrastructure: Construction (System Relocation)							
Gas Line							
AL	GL100	Award Gasline relocation contract	0.00	0.00	0.00	01-Jan-10*	
AL	GL110	Construction/Testing/Commissioning	15.00	15.00	0.00	01-Jan-10	21-Jan-10
Poletti Decommissioning							
Enviro & Safety Stabilization (Shutdown)							
TT	90	Poletti Decommissioning Date (Shutdown)	0.00	0.00	0.00	01-Feb-10*	
TT	100	Environmental Compliance	413.00	413.00	0.00	01-Feb-10	31-Aug-11
TT	140	Lock out/safety compliance	413.00	413.00	0.00	01-Feb-10	31-Aug-11
	150	Poletti Decommissioning (Shutdown) Complete	0.00	0.00	0.00		29-Mar-10*
Regenerative Feedwater Heating System							
	5	62, 63, 64 & 65 Feedwater Heaters - North and South Sets	2.00	2.00	0.00	01-Feb-10	02-Feb-10
	75	Drain 62, 63, 64 & 65 Feedwater Heaters - North and South Sets	2.00	2.00	0.00	03-Feb-10	04-Feb-10
	6	66 and 67 Feedwater Heaters - North and South Sets	2.00	2.00	0.00	05-Feb-10	08-Feb-10
	86	Drain 66 and 67 Feedwater Heaters - North and South Sets	2.00	2.00	0.00	09-Feb-10	10-Feb-10
Fuel Oil Auxiliaries and Consensate Tank							
	2	Fuel Oil Meters	2.00	2.00	0.00	01-Feb-10	02-Feb-10
	3	Waste Oil Injection Pump	0.13	0.13	0.00	03-Feb-10	03-Feb-10
	93	Drain Waste Oil Injection Pump	8.00	8.00	0.00	03-Feb-10	15-Feb-10
Steam Turbine/Generator							
	13	Static Uninterruptible Power Supply Units (Static Inverters)	1.00	1.00	0.00	01-Feb-10	01-Feb-10
	95	T/G Cooling-off Period	18.00	18.00	0.00	01-Feb-10	07-Feb-10
	9	Twin Tower Hydrogen Drier	0.25	0.25	0.00	02-Feb-10	02-Feb-10
	10	Main Turn Lube Oil Coolers	1.00	1.00	0.00	02-Feb-10	03-Feb-10
	11	61 and/or 62 EH Fluid Pumps	0.25	0.25	0.00	03-Feb-10	03-Feb-10
	21	Drain 61 and/or 62 EH Fluid Pumps	4.00	4.00	0.00	03-Feb-10	09-Feb-10
	16	Lube Oil Transfer Pump	0.06	0.06	0.00	08-Feb-10	08-Feb-10
	17	Main Turb and Boiler Feed Pump Turb Lube Oil Conditioning System	0.13	0.13	0.00	08-Feb-10	08-Feb-10
	14	61 Generator Lead Cooling Fans	0.13	0.13	0.00	08-Feb-10	08-Feb-10
	14A	62 Generator Lead Cooling Fans	0.13	0.13	0.00	08-Feb-10	08-Feb-10
	15A	62 Isophase Bus Cooling Fans	0.13	0.13	0.00	08-Feb-10	08-Feb-10
	8	Hydrogen Bulk Storage Supply System	2.00	2.00	0.00	09-Feb-10	11-Feb-10
	12	Main Lube Oil System & Seal Oil System	2.00	2.00	0.00	09-Feb-10	11-Feb-10
	15	61 Isophase Bus Cooling Fans	0.13	0.13	0.00	11-Feb-10	11-Feb-10
	102	Drain Main Lube Oil System & Seal Oil System	4.00	4.00	0.00	11-Feb-10	17-Feb-10
Turbine Driven Boiler Feed Pumps							
	19	North or South Boiler Feed Pump	2.00	2.00	0.00	08-Feb-10	09-Feb-10
	20	North and/or South Boiler Feed Pump Lube Oil Purifiers	0.25	0.25	0.00	10-Feb-10	10-Feb-10
Auxiliary Water Systems							
	32	61 and/or 62 Vacuum Priming Pumps	0.25	0.25	0.00	09-Feb-10	09-Feb-10
	34A	63 or 64 Traveling Screens	0.50	0.50	0.00	09-Feb-10	09-Feb-10
	22	61 Stator Water Pump	0.25	0.25	0.00	09-Feb-10	09-Feb-10
	41	61, 62 or 63 Seal Water Injection Pumps	0.25	0.25	0.00	09-Feb-10	09-Feb-10
	42	Drain 61 Stator Water Pump	2.00	2.00	0.00	09-Feb-10	11-Feb-10
	80	Drain 61, 62 or 63 Seal Water Injection Pumps	2.00	2.00	0.00	09-Feb-10	11-Feb-10
	28	61 & 62 Circulating Water Pumps	1.00	1.00	0.00	11-Feb-10	12-Feb-10
	40	P6-1 and/or P6-2 Chilled Water Pumps	0.88	0.88	0.00	11-Feb-10	12-Feb-10
	27	61 Condenser Vacuum Pumps	1.00	1.00	0.00	12-Feb-10	15-Feb-10
	29	61 Screenwash Pumps	0.50	0.50	0.00	12-Feb-10	12-Feb-10
	31	61 and/or 62 Salt water Service Pumps	1.00	1.00	0.00	12-Feb-10	15-Feb-10
	33	61 and/or 62 Heater Drain Pumps	1.00	1.00	0.00	12-Feb-10	15-Feb-10
	26	61 Condensate Pumps	0.88	0.88	0.00	12-Feb-10	15-Feb-10
	34	61 or 62 Traveling Screens	0.50	0.50	0.00	15-Feb-10	15-Feb-10
	35	Saltwater Service Rotary Strainer	0.50	0.50	0.00	15-Feb-10	15-Feb-10
	36	61/62 Saltwater Service Pump VSD	0.50	0.50	0.00	15-Feb-10	15-Feb-10
	37	Closed Cooling Water Pumps	0.00	0.00	0.00		15-Feb-10
	49	Drain 61 and/or 62 Salt water Service Pumps	2.00	2.00	0.00	15-Feb-10	17-Feb-10

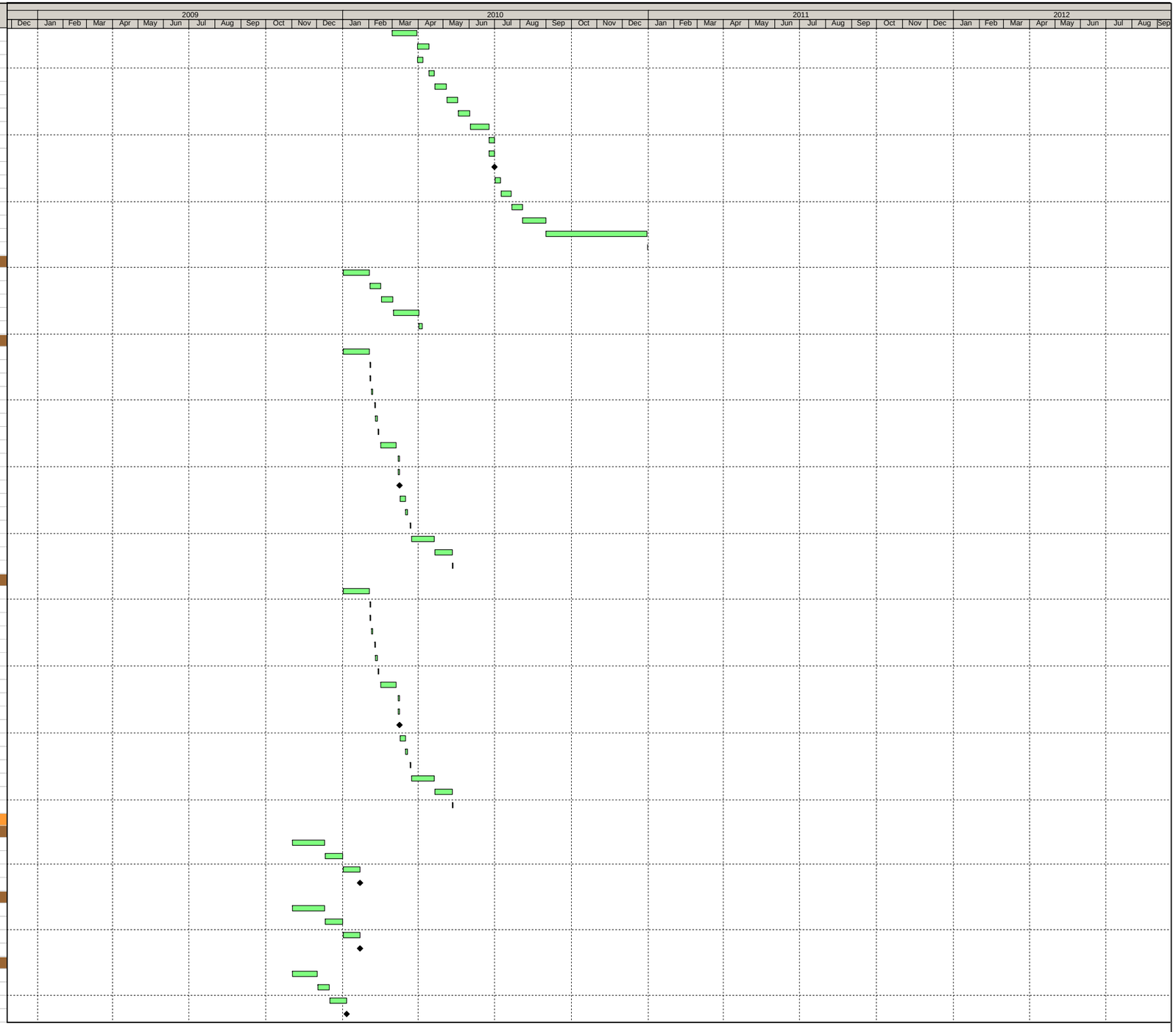


Remaining Level of Effort
Remaining Work
Longest Path
Critical Remaining Work
Actual Level of Effort
Mllestone 2
Actual Work

Responsibil...	Activity ID	Activity Name	Orig Dur	Rem Dur	Act Dur	Early Start	Early Finish
	104	Drain 61 Condensate Pumps	2.00	2.00	0.00	15-Feb-10	17-Feb-10
	39	Skimming Pump	0.06	0.06	0.00	15-Feb-10	15-Feb-10
	26A	62 Condensate Pumps	0.88	0.88	0.00	15-Feb-10	16-Feb-10
	120	Drain 61/62 Saltwater Service Pump VSD	2.00	2.00	0.00	15-Feb-10	17-Feb-10
	99	Drain Skimming Pump	4.00	4.00	0.00	15-Feb-10	19-Feb-10
	27A	62 Condenser Vacuum Pumps	1.00	1.00	0.00	16-Feb-10	17-Feb-10
	29A	62 Screenwash Pumps	0.50	0.50	0.00	16-Feb-10	17-Feb-10
	26A10	Drain 62 Condensate Pumps	2.00	2.00	0.00	16-Feb-10	18-Feb-10
	30	61 & 62 Air Heater Wash Pumps	0.25	0.25	0.00	17-Feb-10	17-Feb-10
	29A10	Drain 62 Screenwash Pumps	2.00	2.00	0.00	17-Feb-10	19-Feb-10
	130	Drain 61 & 62 Air Heater Wash Pumps	2.00	2.00	0.00	17-Feb-10	19-Feb-10
	38	Condensate Transfer Pump	0.25	0.25	0.00	17-Feb-10	17-Feb-10
	25	61 & 62 Stator Pumps	0.25	0.25	0.00	18-Feb-10	18-Feb-10
	78	Drain Condensate Transfer Pump	2.00	2.00	0.00	18-Feb-10	19-Feb-10
	94	Drain 61 & 62 Stator Pumps	2.00	2.00	0.00	18-Feb-10	22-Feb-10
	24	61/62 Exciter Cooler Booster Pumps	0.25	0.25	0.00	19-Feb-10	19-Feb-10
	83	Drain 61/62 Exciter Cooler Booster Pumps	2.00	2.00	0.00	19-Feb-10	23-Feb-10
	23	62 Stator Water Pump	0.25	0.25	0.00	19-Feb-10	22-Feb-10
	84	Drain 62 Stator Water Pump	2.00	2.00	0.00	22-Feb-10	24-Feb-10
Fuel Oil System							
	44	P-10A, P-10B, or P-10C Fuel Oil Forwarding Pumps	2.00	2.00	0.00	22-Feb-10	24-Feb-10
	50	Main Boiler - Fuel Hold Off/Steam Cleaning/Pigging	25.00	25.00	0.00	22-Feb-10	29-Mar-10
	45	Fuel Oil Yard Condensate Return Pumps	0.50	0.50	0.00	23-Feb-10	24-Feb-10
	46	Fuel Oil Yard Instrument Air Compressor	0.06	0.06	0.00	24-Feb-10	24-Feb-10
	47	Fuel Oil Yard Service Air Compressor	0.06	0.06	0.00	24-Feb-10	24-Feb-10
	43	61 and/or 62 Fuel Oil Heater & Drain Cooler	4.00	4.00	0.00	24-Feb-10	02-Mar-10
	48	P-11A or P-11B Fuel Oil Transfer Pumps	4.00	4.00	0.00	24-Feb-10	02-Mar-10
Fuel Gas System							
	70	Clean Gas Lines	5.00	5.00	0.00	23-Feb-10	01-Mar-10
Main Boiler Air & Gas Flow							
	52	Main Boiler Burner Pair	3.00	3.00	0.00	25-Feb-10	01-Mar-10
	55	Main Boiler - Fan Hold Off	6.00	6.00	0.00	25-Feb-10	04-Mar-10
	53	61 and/or 62 ID Fan	0.50	0.50	0.00	26-Feb-10	26-Feb-10
	54	61 and/or 62 FD Fan	0.50	0.50	0.00	26-Feb-10	26-Feb-10
	56	61 and/or 62 AD Fan	0.50	0.50	0.00	01-Mar-10	01-Mar-10
	58	61 ID ASD Fan and/or Motor	1.00	1.00	0.00	01-Mar-10	02-Mar-10
	62	61 and/or 62 APH Sootblowers	1.00	1.00	0.00	02-Mar-10	02-Mar-10
	59	63 ID ASD Fan and/or Motor	1.00	1.00	0.00	02-Mar-10	03-Mar-10
	60	Any Main Boiler Sootblower	5.00	5.00	0.00	03-Mar-10	09-Mar-10
	65A	62 Station Air Compressor	1.00	1.00	0.00	03-Mar-10	03-Mar-10
	64	61 & 62 Instrument Air Compressors	2.00	2.00	0.00	03-Mar-10	05-Mar-10
	57	61 and/or 62 Lungstrom Rotary Air Heaters	1.00	1.00	0.00	04-Mar-10	04-Mar-10
	66	61 Instrument Air Compressor	1.00	1.00	0.00	05-Mar-10	08-Mar-10
	65	61 Station Air Compressor	1.00	1.00	0.00	08-Mar-10	09-Mar-10
	63	Air Preheater Wash Transfer Pump	0.50	0.50	0.00	09-Mar-10	09-Mar-10
Heat Exchanger System							
	68	61 and/or 62 Air Preheater Hot Water Coil Heat Exchangers	1.00	1.00	0.00	05-Mar-10	05-Mar-10
	69	61 and/or 62 Closed Cooling Water Heat Exchanger	0.00	0.00	0.00	08-Mar-10	
	89	Drain 61 and/or 62 Closed Cooling Water Heat Exchanger	2.00	2.00	0.00	08-Mar-10	09-Mar-10
Auxiliary Systems							
	71	Caustic, Phosphate & Ammonia Pumps	4.50	4.50	0.00	08-Mar-10	12-Mar-10
	72	61 and/or 62 Deaerator Heater make-up Pump	1.00	1.00	0.00	08-Mar-10	08-Mar-10
	73	Auxiliary Boiler Forced Draft Fan	0.13	0.13	0.00	09-Mar-10	09-Mar-10
	92	Drain 61 and/or 62 Deaerator Heater make-up Pump	2.00	2.00	0.00	09-Mar-10	10-Mar-10
	74	Auxiliary Boiler	0.13	0.13	0.00	09-Mar-10	09-Mar-10
	71A	Hydrazine Pumps	1.50	1.50	0.00	11-Mar-10	12-Mar-10
	91	Drain Caustic, Phosphate & Ammonia Pumps	2.00	2.00	0.00	12-Mar-10	16-Mar-10
	71A10	Drain Hydrazine Pumps	2.00	2.00	0.00	12-Mar-10	16-Mar-10
Desuperheater and Accessories							
	76	Reboiler	0.50	0.50	0.00	11-Mar-10	11-Mar-10
	77	Reboiler Feed Pump	0.50	0.50	0.00	11-Mar-10	11-Mar-10
	87	Drain Reboiler Feed Pump	2.00	2.00	0.00	11-Mar-10	15-Mar-10
Transformers							
	79	No. 61 or 62 Air Blast Breaker Air Compressors	2.00	2.00	0.00	10-Mar-10	11-Mar-10
	109	Drain No. 61 or 62 Air Blast Breaker Air Compressors	4.00	4.00	0.00	12-Mar-10	17-Mar-10
Plant HVAC Systems							
	81	Water Chillers 6-1, 2, & 3	0.13	0.13	0.00	15-Mar-10	15-Mar-10
	82	HTHW Vent Heating System	0.13	0.13	0.00	15-Mar-10	15-Mar-10
Relocation of Critical Infrastructure: Construction (System Relocation)							
Eng. Temp. Relocation Kensico RTU							
FP	RTU130	Conceptual design (site walk down)	22.00	22.00	0.00	01-Jan-10	01-Feb-10
FP	RTU160	Comments incorporated Concept accepted	10.00	10.00	0.00	02-Feb-10	15-Feb-10
FP	RTU180	Determine implementation strategy/plan (contracting schedule)	10.00	10.00	0.00	16-Feb-10	01-Mar-10
FP	RTU230	Construction	23.00	23.00	0.00	02-Mar-10	01-Apr-10
FP	RTU240	Testing/Commissioning	2.00	2.00	0.00	02-Apr-10	05-Apr-10
Eng. Fire Protectin Modifications							



Responsibil...	Activity ID	Activity Name	Orig Dur	Rem Dur	Act Dur	Early Start	Early Finish
WS	FP250	Conceptual design (site walk down)	22.00	22.00	0.00	01-Mar-10*	30-Mar-10
WS	FP260	Conceptual - Permitting - Environmental Review	10.00	10.00	0.00	31-Mar-10	13-Apr-10
WS	FP265	Conceptual - Code Compliance Review	5.00	5.00	0.00	31-Mar-10	06-Apr-10
WS	FP270	Inter-discipline review	5.00	5.00	0.00	14-Apr-10	20-Apr-10
WS	FP280	Comments incorporated Concept accepted	10.00	10.00	0.00	21-Apr-10	04-May-10
WS	FP290	Collect as-built data	10.00	10.00	0.00	05-May-10	18-May-10
WS	FP300	Determine implementation strategy/plan (contracting schedule)	10.00	10.00	0.00	19-May-10	01-Jun-10
WS	FP310	Prepare technical package construction dwgs & specs	17.00	17.00	0.00	02-Jun-10	24-Jun-10
WS	FP312	Technical Pkg - Permitting - Environmental Review	5.00	5.00	0.00	25-Jun-10	01-Jul-10
WS	FP315	Technical Pkg - Code Compliance Review	5.00	5.00	0.00	25-Jun-10	01-Jul-10
WS	FP317	Issue Construction Drawings	0.00	0.00	0.00		01-Jul-10
WS	FP319	Issue Construction Permit	5.00	5.00	0.00	02-Jul-10	08-Jul-10
WS	FP320	Develop budgetary cost & estimated schedule	9.00	9.00	0.00	09-Jul-10	21-Jul-10
WS	FP330	Prepare bid package if necessary	9.00	9.00	0.00	22-Jul-10	03-Aug-10
WS	FP340	Bid evaluate and award (if needed)	20.00	20.00	0.00	04-Aug-10	31-Aug-10
WS	FP350	Construction	87.00	87.00	0.00	01-Sep-10	30-Dec-10
WS	FP360	Testing/Commissioning	1.00	1.00	0.00	31-Dec-10	31-Dec-10
Eng. Temp Relocation of Y-49 Controls							
FP	Y49-370	Conceptual design (site walk down)	22.00	22.00	0.00	01-Jan-10	01-Feb-10
FP	Y49-400	Comments incorporated Concept accepted	10.00	10.00	0.00	02-Feb-10	15-Feb-10
FP	Y49-420	Determine implementation strategy/plan (contracting schedule)	10.00	10.00	0.00	16-Feb-10	01-Mar-10
FP	Y49-470	Construction	23.00	23.00	0.00	02-Mar-10	01-Apr-10
FP	Y49-480	Testing/Commissioning	2.00	2.00	0.00	02-Apr-10	05-Apr-10
Eng. Electrical Feed for Sewer ejection pits							
CK	SE850	Conceptual design (site walk down)	22.00	22.00	0.00	01-Jan-10	01-Feb-10
CK	SE860	Conceptual - Permitting - Environmental Review	2.00	2.00	0.00	02-Feb-10	03-Feb-10
CK	SE865	Conceptual - Code Compliance Review	2.00	2.00	0.00	02-Feb-10	03-Feb-10
CK	SE870	Inter-discipline review	2.00	2.00	0.00	04-Feb-10	05-Feb-10
CK	SE880	Comments incorporated Concept accepted	1.00	1.00	0.00	08-Feb-10	08-Feb-10
CK	SE890	Collect as-built data	3.00	3.00	0.00	09-Feb-10	11-Feb-10
CK	SE900	Determine implementation strategy/plan (contracting schedule)	1.00	1.00	0.00	12-Feb-10	12-Feb-10
CK	SE910	Prepare technical package construction dwgs & specs	15.00	15.00	0.00	15-Feb-10	05-Mar-10
CK	SE912	Technical Pkg - Permitting - Environmental Review	2.00	2.00	0.00	08-Mar-10	09-Mar-10
CK	SE915	Technical Pkg - Code Compliance Review	2.00	2.00	0.00	08-Mar-10	09-Mar-10
CK	SE917	Issue Construction Drawings	0.00	0.00	0.00		09-Mar-10
CK	SE919	Issue Construction Permit	5.00	5.00	0.00	10-Mar-10	16-Mar-10
CK	SE920	Develop budgetary cost & estimated schedule	3.00	3.00	0.00	17-Mar-10	19-Mar-10
CK	SE930	Prepare bid package if necessary	2.00	2.00	0.00	22-Mar-10	23-Mar-10
CK	SE940	Bid evaluate and award (if needed)	20.00	20.00	0.00	24-Mar-10	20-Apr-10
CK	SE950	Construction	15.00	15.00	0.00	21-Apr-10	11-May-10
CK	SE960	Testing/Commissioning	1.00	1.00	0.00	12-May-10	12-May-10
Electric Feed for the Fuel Oil Yard							
CK	AB490	Conceptual design (site walk down)	22.00	22.00	0.00	01-Jan-10	01-Feb-10
CK	AB500	Conceptual - Permitting - Environmental Review	2.00	2.00	0.00	02-Feb-10	03-Feb-10
CK	AB505	Conceptual - Code Compliance Review	2.00	2.00	0.00	02-Feb-10	03-Feb-10
CK	AB510	Inter-discipline review	2.00	2.00	0.00	04-Feb-10	05-Feb-10
CK	AB520	Comments incorporated Concept accepted	1.00	1.00	0.00	08-Feb-10	08-Feb-10
CK	AB530	Collect as-built data	3.00	3.00	0.00	09-Feb-10	11-Feb-10
CK	AB540	Determine implementation strategy/plan (contracting schedule)	1.00	1.00	0.00	12-Feb-10	12-Feb-10
CK	AB550	Prepare technical package construction dwgs & specs	15.00	15.00	0.00	15-Feb-10	05-Mar-10
CK	AB552	Technical Pkg - Permitting - Environmental Review	2.00	2.00	0.00	08-Mar-10	09-Mar-10
CK	AB555	Technical Pkg - Code Compliance Review	2.00	2.00	0.00	08-Mar-10	09-Mar-10
CK	AB557	Issue Construction Drawings	0.00	0.00	0.00		09-Mar-10
CK	AB559	Issue Construction Permit	5.00	5.00	0.00	10-Mar-10	16-Mar-10
CK	AB560	Develop budgetary cost & estimated schedule	3.00	3.00	0.00	17-Mar-10	19-Mar-10
CK	AB570	Prepare bid package if necessary	2.00	2.00	0.00	22-Mar-10	23-Mar-10
CK	AB580	Bid evaluate and award (if needed)	20.00	20.00	0.00	24-Mar-10	20-Apr-10
CK	AB590	Construction	15.00	15.00	0.00	21-Apr-10	11-May-10
CK	AB600	Testing/Commissioning	1.00	1.00	0.00	12-May-10	12-May-10
HOP Process (Lock Out Tag Out)							
Fuel Oil Auxiliaries & Condensate							
LP	1A20	Prepare Fuel Oil Auxiliaries and Condensate HOP Draft	29.00	29.00	0.00	02-Nov-09*	10-Dec-09
JN	1A270	AECOM Review of Fuel Oil Auxiliaries and Condensate HOP Draft	15.00	15.00	0.00	11-Dec-09	31-Dec-09
TT	1A280	Mgt Review of Fuel Oil Auxiliaries and Condensate HOP Draft	15.00	15.00	0.00	01-Jan-10	21-Jan-10
TT	1A600	Issue Fuel Oil Auxiliaries and Condensate HOP	0.00	0.00	0.00		21-Jan-10
Regenerative Feedwater Heating System							
LP	1A50	Prepare Regenerative Feedwater Heating System HOP Draft	29.00	29.00	0.00	02-Nov-09*	10-Dec-09
JN	1A300	AECOM Review of Regenerative Feedwater Heating System HOP D...	15.00	15.00	0.00	11-Dec-09	31-Dec-09
TT	1A310	Mgt Review of Regenerative Feedwater Heating System HOP Draft	15.00	15.00	0.00	01-Jan-10	21-Jan-10
TT	1A650	Issue Regenerative Feedwater Heating System HOP	0.00	0.00	0.00		21-Jan-10
Steam Turbine/Generator							
LP	1A60	Prepare Steam Turbine/Generator HOP Draft	22.00	22.00	0.00	02-Nov-09*	01-Dec-09
JN	1A320	AECOM Review of Steam Turbine/Generator HOP Draft	10.00	10.00	0.00	02-Dec-09	15-Dec-09
TT	1A340	Mgt Review of Steam Turbine/Generator HOP Draft	15.00	15.00	0.00	16-Dec-09	05-Jan-10
TT	1A660	Issue Steam Turbine/Generator HOP	0.00	0.00	0.00		05-Jan-10



Remaining Level of Effort
Remaining Work
Longest Path
Critical Remaining Work
Actual Level of Effort
MIlestone 2
Actual Work

Poletti Power Plant Decommissioning
Overall Program IPS
In Progress - Data Date: 24-Nov-08

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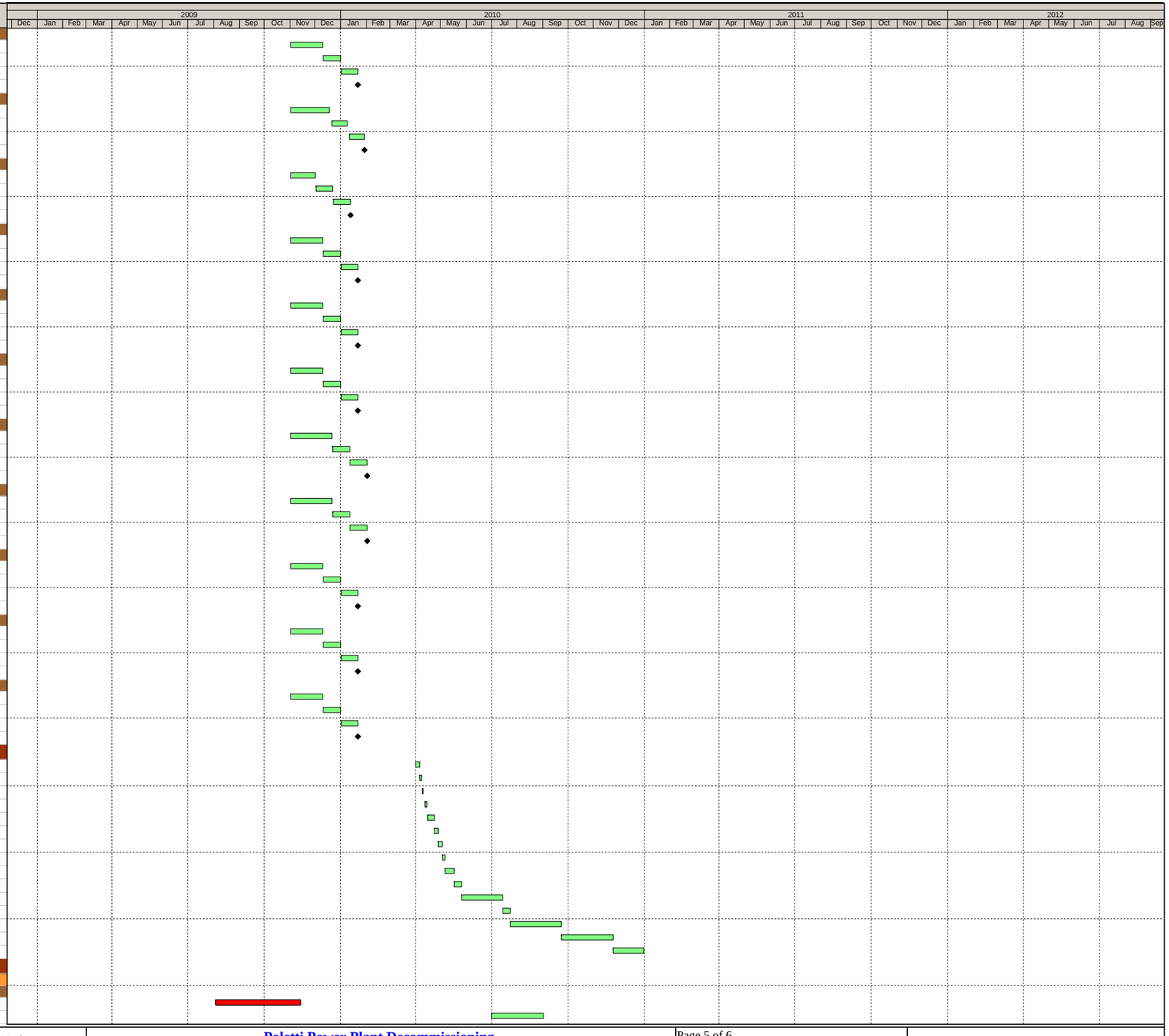
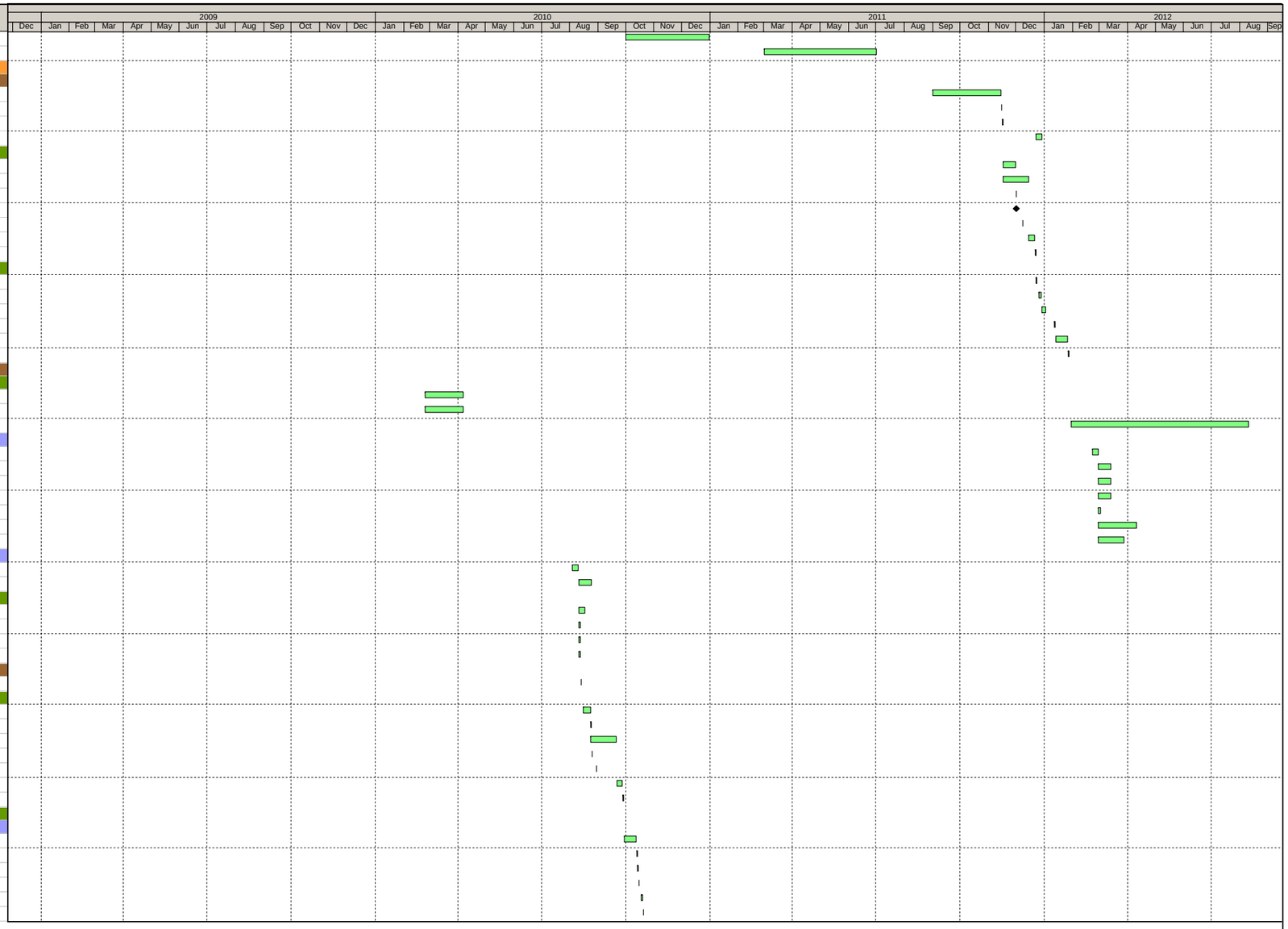
Completion Date: 03-Sep-12
Print Date/Time: 08-Jan-10 - 15:18

Responsibil...	Activity ID	Activity Name	Orig Dur	Rem Dur	Act Dur	Early Start	Early Finish
Turbine Driven Boiler Feed Pumps							
LP	1A70	Prepare Turbine Driven Boiler Feed Pumps HOP Draft	29.00	29.00	0.00	02-Nov-09*	10-Dec-09
JN	1A200	AECOM Review of Turbine Driven Boiler Feed Pumps HOP Draft	15.00	15.00	0.00	11-Dec-09	31-Dec-09
TT	1A220	Mgt Review of Turbine Driven Boiler Feed Pumps HOP Draft	15.00	15.00	0.00	01-Jan-10	21-Jan-10
TT	1A680	Issue Turbine Driven Boiler Feed Pumps HOP	0.00	0.00	0.00		21-Jan-10
Auxiliary Water Systems							
LP	1A80	Prepare Auxiliary Water Systems HOP Draft	35.00	35.00	0.00	02-Nov-09*	18-Dec-09
JN	1A360	AECOM Review of Auxiliary Water Systems HOP Draft	15.00	15.00	0.00	21-Dec-09	08-Jan-10
TT	1A370	Mgt Review of Auxiliary Water Systems HOP Draft	15.00	15.00	0.00	11-Jan-10	29-Jan-10
TT	1A570	Issue Auxiliary Water Systems HOP	0.00	0.00	0.00		29-Jan-10
Fuel Oil System							
AS	1A90	Prepare Fuel Oil System HOP Draft	22.00	22.00	0.00	02-Nov-09*	01-Dec-09
JN	1A390	AECOM Review of Fuel Oil System HOP Draft	15.00	15.00	0.00	02-Dec-09	22-Dec-09
TT	1A400	Mgt Review of Fuel Oil System HOP Draft	15.00	15.00	0.00	23-Dec-09	12-Jan-10
TT	1A610	Issue Fuel Oil System HOP	0.00	0.00	0.00		12-Jan-10
Fuel Gas System							
AS	1A100	Prepare Fuel Gas System HOP Draft	29.00	29.00	0.00	02-Nov-09*	10-Dec-09
JN	1A420	AECOM Review of Fuel Gas System HOP Draft	15.00	15.00	0.00	11-Dec-09	31-Dec-09
TT	1A430	Mgt Review of Fuel Gas System HOP Draft	15.00	15.00	0.00	01-Jan-10	21-Jan-10
TT	1A590	Issue Fuel Gas System HOP	0.00	0.00	0.00		21-Jan-10
Main Boiler Air & Gas Flow							
AS	1A110	Prepare Main Boiler Air & Gas Flow HOP Draft	29.00	29.00	0.00	02-Nov-09*	10-Dec-09
JN	1A450	AECOM Review of Main Boiler Air & Gas Flow HOP Draft	15.00	15.00	0.00	11-Dec-09	31-Dec-09
TT	1A460	Mgt Review of Main Boiler Air & Gas Flow HOP Draft	15.00	15.00	0.00	01-Jan-10	21-Jan-10
TT	1A630	Issue Main Boiler Air & Gas Flow HOP	0.00	0.00	0.00		21-Jan-10
Heat Exchanger System							
AS	1A120	Prepare Heat Exchanger System HOP Draft	29.00	29.00	0.00	02-Nov-09*	10-Dec-09
JN	1A480	AECOM Review of Heat Exchanger System HOP Draft	15.00	15.00	0.00	11-Dec-09	31-Dec-09
TT	1A490	Mgt Review of Heat Exchanger System HOP Draft	15.00	15.00	0.00	01-Jan-10	21-Jan-10
TT	1A620	Issue Heat Exchanger System HOP	0.00	0.00	0.00		21-Jan-10
Auxiliary Systems							
AS	1A130	Prepare Auxiliary Systems HOP Draft	36.00	36.00	0.00	02-Nov-09*	21-Dec-09
JN	1A180	AECOM Review of Auxiliary Systems HOP Draft	15.00	15.00	0.00	22-Dec-09	11-Jan-10
TT	1A190	Mgt Review of Auxiliary Systems HOP Draft	15.00	15.00	0.00	12-Jan-10	01-Feb-10
TT	1A560	Issue Auxiliary Systems HOP	0.00	0.00	0.00		01-Feb-10
Desuperheater & Accessories							
AS	1A140	Prepare Desuperheater and Accessories HOP Draft	36.00	36.00	0.00	02-Nov-09*	21-Dec-09
JN	1A510	AECOM Review of Desuperheater and Accessories HOP Draft	15.00	15.00	0.00	22-Dec-09	11-Jan-10
TT	1A520	Mgt Review of Desuperheater and Accessories HOP Draft	15.00	15.00	0.00	12-Jan-10	01-Feb-10
TT	1A580	Issue Desuperheater and Accessories HOP	0.00	0.00	0.00		01-Feb-10
Transformers							
AS	1A150	Prepare Transformers HOP Draft	29.00	29.00	0.00	02-Nov-09*	10-Dec-09
JN	1A250	AECOM Review of Transformers HOP Draft	15.00	15.00	0.00	11-Dec-09	31-Dec-09
TT	1A240	Mgt Review of Transformers HOP Draft	15.00	15.00	0.00	01-Jan-10	21-Jan-10
TT	1A670	Issue Transformers HOP	0.00	0.00	0.00		21-Jan-10
Plant HVAC Systems							
LP	1A160	Prepare Plant HVAC Systems HOP Draft	29.00	29.00	0.00	02-Nov-09*	10-Dec-09
JN	1A540	AECOM Review of Plant HVAC Systems HOP Draft	15.00	15.00	0.00	11-Dec-09	31-Dec-09
TT	1A550	Mgt Review of Plant HVAC Systems HOP Draft	15.00	15.00	0.00	01-Jan-10	21-Jan-10
TT	1A640	Issue Plant HVAC Systems HOP	0.00	0.00	0.00		21-Jan-10
Bulk Gas Systems - Hydrogen, Nitrogen & CO2							
LP	1A690	Prepare Bulk Gas System HOP Draft	29.00	29.00	0.00	02-Nov-09*	10-Dec-09
JN	1A710	AECOM Review of Prepare Bulk Gas System HOP Draft	15.00	15.00	0.00	11-Dec-09	31-Dec-09
TT	1A720	Mgt Review of Prepare Bulk Gas System HOP Draft	15.00	15.00	0.00	01-Jan-10	21-Jan-10
TT	1A730	Issue Prepare Bulk Gas System HOP	0.00	0.00	0.00		21-Jan-10
Poletti Pre-Deconstruction							
AL	D1110	Mercury Containing Devices Remove/Ship	3.00	3.00	0.00	01-Apr-10*	05-Apr-10
AL	D1120	Lead Acid Batteries Remove/Ship	3.00	3.00	0.00	06-Apr-10	08-Apr-10
AL	D1130	Boiler Chemicals Disposal	1.00	1.00	0.00	09-Apr-10	09-Apr-10
AL	D1140	Circuit Boards Remove/Ship	3.00	3.00	0.00	12-Apr-10	14-Apr-10
AL	D1000	Remove Pumps Less Than 300 hp	6.48	6.48	0.00	15-Apr-10	23-Apr-10
AL	D1010	Motors 480v	3.21	3.21	0.00	23-Apr-10	28-Apr-10
AL	D1020	Pumps/Fans with 6900v Motors	2.71	2.71	0.00	28-Apr-10	03-May-10
AL	D1030	6900v Motors	2.71	2.71	0.00	03-May-10	06-May-10
AL	D1040	Motor Operators (Valves)	7.14	7.14	0.00	06-May-10	17-May-10
AL	D1050	Air Operators (Valves	7.14	7.14	0.00	17-May-10	26-May-10
AL	D1060	Instrumentation	35.71	35.71	0.00	26-May-10	15-Jul-10
AL	D1070	Skids	6.43	6.43	0.00	15-Jul-10	23-Jul-10
AL	D1080	Remove Cable Tray/Cables	43.64	43.64	0.00	23-Jul-10	23-Sep-10
AL	D1090	NAB Insulation	44.64	44.64	0.00	23-Sep-10	24-Nov-10
AL	D1100	Titanium Condenser Tubes	26.79	26.79	0.00	24-Nov-10	31-Dec-10
Poletti Deconstruction							
Component Deconstruction							
Fuel Oil Tanks (Deconstruction)							
	FO1000	Condense	75.00	75.00	0.00	03-Aug-09*	13-Nov-09
	FO1010	Operation Stripping	45.00	45.00	0.00	01-Jul-10	01-Sep-10



Responsibil...	Activity ID	Activity Name	Orig Dur	Rem Dur	Act Dur	Early Start	Early Finish
	FO1020	Cleaning	66.00	66.00	0.00	01-Oct-10	31-Dec-10
	FO1030	Demolition	89.00	89.00	0.00	01-Mar-11	01-Jul-11
Deconstruction: Design & Engineer							
Hire design & Planning-Demolition							
	HD1000	RFP for Engineering Consultant - Demo drwgs & Specs	53.00	53.00	0.00	01-Sep-11*	14-Nov-11
	HD1010	SAP Req/Release to Procurement	1.00	1.00	0.00	15-Nov-11	15-Nov-11
	HD1020	Post Advertisement to NYS Contract Reporter for CP	1.00	1.00	0.00	16-Nov-11	16-Nov-11
	HD1030	Recommend Award - Demo Engineer	5.00	5.00	0.00	23-Dec-11	29-Dec-11
Proposal Period Demo Engineering							
	DE1000	Proposer Review Documents	10.00	10.00	0.00	17-Nov-11	30-Nov-11
	DE1040	Proposal Due	20.00	20.00	0.00	17-Nov-11	14-Dec-11
	DE1010	Pre-Proposal Meeting	1.00	1.00	0.00	01-Dec-11	01-Dec-11
	DE1020	Proposal Questions Due	0.00	0.00	0.00		01-Dec-11
	DE1030	Addenda (If Required)	1.00	1.00	0.00	08-Dec-11	08-Dec-11
	DE1050	NYPA Review Proposals	5.00	5.00	0.00	15-Dec-11	21-Dec-11
	DE1060	Short List	1.00	1.00	0.00	22-Dec-11	22-Dec-11
Award Phase => than $3M or > 1 Year							
	AP1000	Prepare Trustee Documents	1.00	1.00	0.00	23-Dec-11	23-Dec-11
	AP1010	1st Draft to SO for Pres. Review	3.00	3.00	0.00	26-Dec-11	28-Dec-11
	AP1020	Final Draft to SO for Pres. Review	3.00	3.00	0.00	29-Dec-11	02-Jan-12
	AP1030	Pres. Review Mtg - Executive Management Committee	1.00	1.00	0.00	12-Jan-12	12-Jan-12
	AP1040	Final Materials for Trustee Submission	10.00	10.00	0.00	13-Jan-12	26-Jan-12
	AP1050	Trustee Presentation & Approval	1.00	1.00	0.00	27-Jan-12	27-Jan-12
Prepare Demolition Package							
Drawing & Specification - Demolition							
	DS1010	Review/Study Communications - Admin, 500MW, New Structure	30.00	30.00	0.00	24-Feb-10*	06-Apr-10
	DS1020	Review Cooling Water Inlets - Remove, Block	30.00	30.00	0.00	24-Feb-10	06-Apr-10
	DS1000	Bi-Weekly Project Status/Stakeholder Review	140.00	140.00	0.00	30-Jan-12	10-Aug-12
90% Drawings & Specs - Demolition							
	90D1000	Site Review	5.00	5.00	0.00	22-Feb-12*	28-Feb-12
	90D1010	Fair Cost Estimate & Bid Sheet	10.00	10.00	0.00	29-Feb-12	13-Mar-12
	90D1020	Discipline Scope of Work Items	10.00	10.00	0.00	29-Feb-12	13-Mar-12
	90D1030	Division 1 & SGCs	10.00	10.00	0.00	29-Feb-12	13-Mar-12
	90D1040	Prep Req	3.00	3.00	0.00	29-Feb-12	02-Mar-12
	90D1050	Code Compliance/Permit Review	30.00	30.00	0.00	29-Feb-12	10-Apr-12
	90D1060	Hire Construction Inspector	20.00	20.00	0.00	29-Feb-12	27-Mar-12
100% Drawings & Spec							
	100D1000	NYPA Final Review	5.00	5.00	0.00	04-Aug-10*	10-Aug-10
	100D1010	Submit Construction Permit	10.00	10.00	0.00	11-Aug-10	24-Aug-10
Release to Procurement for Demo							
	RP1000	Finalize & Compile RFP (Div 1+ Drwgs 7 Specs)	5.00	5.00	0.00	11-Aug-10	17-Aug-10
	RP1010	Bid List	2.00	2.00	0.00	11-Aug-10	12-Aug-10
	RP1020	Prep PMA	2.00	2.00	0.00	11-Aug-10	12-Aug-10
	RP1030	Saq Req	2.00	2.00	0.00	11-Aug-10	12-Aug-10
Bid Evaluation/Award							
	BA1000	Post Advertisement to NYS Contract Reporter for CP	1.00	1.00	0.00	13-Aug-10	13-Aug-10
Proposal Period							
	PR1000	Proposer Review Documents	6.00	6.00	0.00	16-Aug-10	23-Aug-10
	PR1010	Pre-Proposal Meeting	1.00	1.00	0.00	24-Aug-10	24-Aug-10
	PR1040	Proposal Due	20.00	20.00	0.00	24-Aug-10	20-Sep-10
	PR1020	Proposal Questions Due	1.00	1.00	0.00	25-Aug-10	25-Aug-10
	PR1030	Addenda (If Required)	1.00	1.00	0.00	30-Aug-10	30-Aug-10
	PR1050	NYPA Review Proposals	5.00	5.00	0.00	21-Sep-10	27-Sep-10
	PR1060	Short List	1.00	1.00	0.00	28-Sep-10	28-Sep-10
Award Phase							
If > than $3M or > 1 Year							
	IF1000	Prepare Trustee Documents	10.00	10.00	0.00	29-Sep-10	12-Oct-10
	IF1010	1st Draft to SO for Pres. Review	1.00	1.00	0.00	13-Oct-10	13-Oct-10
	IF1020	Final Draft to SO for Pres. Review	1.00	1.00	0.00	14-Oct-10	14-Oct-10
	IF1030	Pres. Review Mtg - Executive Management Committee	1.00	1.00	0.00	15-Oct-10	15-Oct-10
	IF1040	Final Materials for Trustee Submission	2.00	2.00	0.00	18-Oct-10	19-Oct-10
	IF1050	Trustee Presentation & Approval	1.00	1.00	0.00	20-Oct-10	20-Oct-10



Decrease in New York City Governmental Customer Fixed Cost Component – Notice of Adoption

Exhibit "E"
Poletti Decommissioning Plan – Broad Scope

PROJECT OVERVIEW STATEMENT	Project Name: Poletti Decommissioning	Project No: 459	Project Manager: A. E. Luongo

Project Description:

The Poletti Decommissiong Project entails the demolition of the Demin Plant, the Demolition of the FOY including tank stripping and enviro cleaning, and Shutdown and demolition of the Poletti plant.

On August 3, 2009, NYPA issued a written notice to the PSC that the 825MW plant would cease operation on January 31, 2010.

Project Justification: Safety/ Regulatory

As part of the approval to construct the new 500MW Combined Cycle Power Plant, NYPA entered into a stipulation agreement (the Stipulation) with NYC, other NYS agencies and public interest groups that required the Poletti Plant to cease operation by January 31, 2008 depending on the annual generating capacity and the projected peak demand for NYC. The Stipulation has provisions for Poletti to continue generation through January 31, 2010. There are no mechanisms in place which allow for continued generation beyond that date. NYPA has evaluated different lay-up and decommissioning options but the plan for 2010-2013 will be complete decommissioning and demolition.

Goals:

Comply with the Stipulation and cease generation on January 31, 2010.
Environmental and Safety stabilization of the power plant during first 90 days post cease of generation to reduce NYPA liability.
Salvage of Valuable Assets.
Amend all emissions, discharge and operation agreements and permits.
Utilize NYPA staff to perform pre-demolition work and prepare for final demolition.
Relocate critical infrastructure
Design demolition to grade.

Schedule:

Task	Dur	Planned Start	Planned Finish
Define Project Tasks	6 mo	Jul 1,'09	Dec. 31 '09
Environmental & Safety Evaluation	3 mo	Jul 1 '09	Dec 1 '09
Relocation of Critical Infrastructure: Design & Engineer	6 mo	Jan 1 '10	Apr 1-Jun 1'10
Poletti Decommissioning Date	1D	Feb 1 '10	Feb 1 '10
Shutdown- Enviro & Safety Stabilization	3 mo	Feb 1 '10	Apr -May 1 '10
Deconstruction: Design & Engineer	8 mo	Mar 1 '10	Jan 1 '11
Relocation of Critical Infrastructure: Construction	10 mo	Sept 1 '10	Dec 31 '11
Pre-Demolition &Selective disassembly	8 mo	Apr -May '10	Dec '10- Jul '11
Deconstruction	12 mo	Sept '11 - April '12	Dec '12- Apr '14

Assumptions:

1. Planning Phase: All stakeholders are outlining their responsibilities and planning resource allocation as appropriate to maintain the proposed schedule.
2. Site Operations will performing a safety and environmental assessment, system by system, to assure the plant is left in a "safe" condition immediately (within 90 days) of shutdown
3. Power demand will be reduced to only lighting, ventilation and minimal receptacle power through deconstruction.
4. Gas supply will be immediately shutdown (within 30-60 days) of decommissioning. Alternate gas supply for the Admin Building boiler shall be designed and installed targeting Feb/Mar. 1, 2010 completion.
5. The Administration Building will remain on site and is not scheduled for future demolition.
6. Engineering is reviewing other buildings/structures that may required demolition once Poletti Plant is OOS
7. This will be an irreversible shutdown, no lay-up options or protection of equipment for future operation is being planned

Division of Responsibility:

1. ***Project Management*:** Prepare budgets and funding expenditure approvals; Maintain budget and schedule; Manage the planning, design and construction/deconstruction phases of the project. Hire design engineer to prepare technical specifications and drawings for the deconstruction phase of the project. Coordinate with all stakeholders

2. ***Poletti O&M*:** Perform safety & environmental assessment of systems to outline procedures for Operations to perform final LOTO and safe-off of the plant after cessation of generation. Coordinate with PM, Environmental, and Engineering to provide project support, operations knowledge and review during all phases of the project.

3. ***Engineering*:** Prepare technical criteria, scopes of work, specifications and drawings for the utility relocation phase of the project; Provide drawing and specification review of the O/E deconstruction package(s); Provide coordinated plans & specs for the utility relocations and recommend packaging work together; Each engineering discipline will serve as the lead engineer for their work package and will furnish coordinated plans & specs.
    - **Mechanical:** Relocate Admin building gas supply on or before March 1, 2010; Design new fire protection system including but not limited to: removed/relocate interior pumps, new building, new feeds. Coordinate with EE, CE, CC & NYFD. Drain existing fire system. Heat will be required for the building until fire protection no longer needed; Design FOY demo package.

    - **Electrical:** Confirm Poletti Station Service load requirements. Confirm post shutdown power requirements (Security, FOY, Aux blds, other?). Provide drawings & specs for design new feeds for post shutdown power requirement. Recommend new equipment required to support post shutdown power distribution.

    - **Protection & Controls:** Provide technical Plans & specs for modifications, additions, deletions to all relay and protection effect by the Poletti project. Q35 L&M protection will remain in place until AEII work and L&M Feeder relocations begin.
    - Review and provide engineering support for all phases of Decommissioning.

    - **Computer Apps:** Design of relocation for Kensico RTU, Y-49, SCPP. Assist with work packages for temporary relocations to support an un-manned Poletti control room. Produce final packages in conjunction with IT/Network Services for all required remaining circuits. Verify scope required for 500MW controls.

    - **Environmental:** Review all permits (SPDES, Title V, Bulk Fuel Oil) and prepare timeline for revision to permit dates; Provide review and support for modification and/or termination of all environmental permits. Prepare technical scope to handle testing, abatement, disposal and monitoring of ACM, lead, PCB's, chemicals and all hazmat etc. Prepare & File site plan with DEC for FOY decommissioning; Prepare environmental cleaning package for FOY; Coordinate with ME for FOY demo; Recommend future of intake structure & discharge canal ; Coordinate chemical inventory depletion & cleanup; Coordinate and support Enviro shutdown with Operations and waste disposal. Review and support of O/E design drawings for demolition including oil water separator, discharge canal, etc.

    - **Civil Engineering:** Provide support for review of demolition and decommissioning plans and specifications prepared by O/E. Coordinate with stakeholders to provide civil design & review for relocation packages, i.e. new fire pumphouse, discharge canl and intake structure, etc.
    - **Code Compliance**: Provide Support and document review at all stages of engineering. Issue Construction permits for work.

    - ***D&D*:** Prepare design and drawing support for the utility relocation work and as-built documentation post deconstruction. Perform drawing collection for reference documents.
4. **IT/Network Services:** Review, coordination and design support as necessary for data routing, ECC lines and all Poletti circuits. Produce plans and specification as necessary for new infrastructure and relocations. Coordination with vendors (AT&T, Verizon, etc) where necessary to complete work.

5. ***Procurement*:** Provide support for issuance of multiple RFP's, contract administration, Assets retirement, etc throughout the entire project.

6. ***ERM:*** Coordinate with Site on Fuel oil depletion, Notifications as necessary to remove generator from the market. Support PM, Legal, Site in station service agreement review, etc.

7. ***Legal***: Review and modify all operating agreements, permit modifications, purchasing agreements, as necessary to support decommissioning. Provide Legal review of all regulatory and legal matters which may present.
8. ***Asset Management:*** Provide support and coordination for asset retirement.
9. ***Public Relations & Gov't Affairs*:** Provide support and communication as necessary to the public.
10. ***Corporate Communications:*** Provide support and communication as necessary to the public and other agencies.

Stakeholders:

Paul Tartaglia	SENY Site	Brad Van Auken	Engineering
John Canale	Project Management	William Stanton	Mechanical Engineering
Randy Crissman	Business Development	James Sheldon	Electrical Engineering
John Kahabka	Environmental & Health Safety	Robert Knowlton	Civil Engineering
Gerald Goldstein	Legal	Fred Pagano	Protection & Controls
Tom Tyrell	Operations Site	Gerald Mannarino	Computer Applications
Bert Cunningham	Public Relations	Moe Ansari	Design&Drafting
Ira Fine	Corporate Communications	Patricia Leto	Procurement
Gary Schmid	IT/Network Services	William Nadeau	Energy Resource Management
Ed Rider	Asset Management		

Prepared By:	Date:	Approved By:	Date:
Andrea E. Luongo Project Engineer	January 4, 2010	Vice President Project Management	

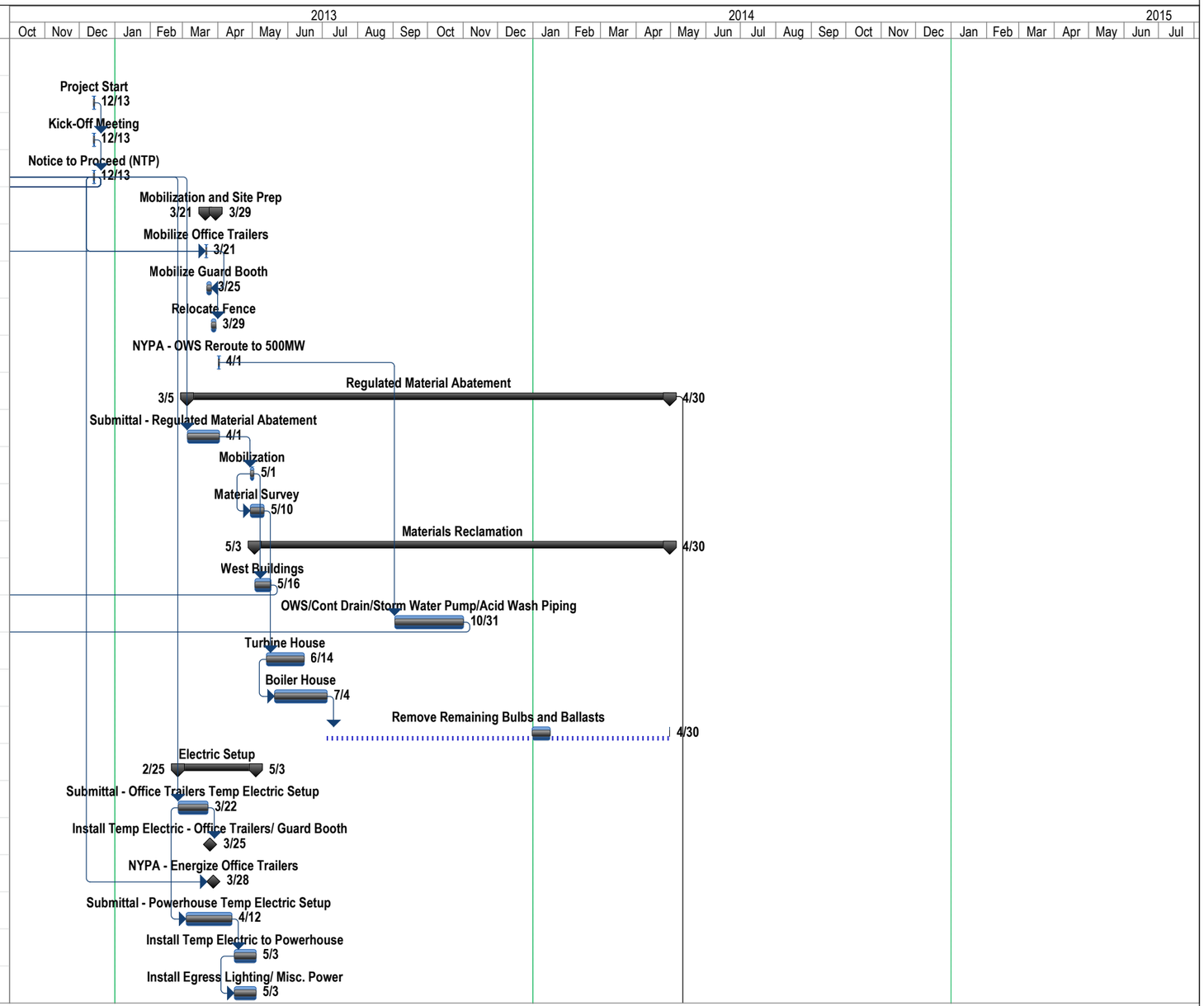
Decrease in New York City Governmental Customer Fixed Cost Component – Notice of Adoption

Exhibit "F"
LVI Poletti Decommissioning Schedule

LVI Poletti Schedule Progress Schedule 10-28-14--.mpp

Data Date : 9/16/2014

ID	Task Name	% Complete	Actual Start	Actual Finish	Duration	Start
1	**1 DEMOLITION_CHARLES POLETTI POWER PLANT**	**89%**	**Thu 12/13/12**	**NA**	**486 days**	**Thu 12/13/12**
2	1.1 Project Start	100%	Thu 12/13/12	Thu 12/13/12	1 day	Thu 12/13/12
3	1.2 Kick-Off Meeting	100%	Thu 12/13/12	Thu 12/13/12	1 day	Thu 12/13/12
4	1.3 Notice to Proceed (NTP)	100%	Thu 12/13/12	Thu 12/13/12	1 day	Thu 12/13/12
5	**1.4 Mobilization and Site Prep**	**100%**	**Thu 3/21/13**	**Fri 3/29/13**	**7 days**	**Thu 3/21/13**
6	1.4.1 Mobilize Office Trailers	100%	Thu 3/21/13	Thu 3/21/13	1 day	Thu 3/21/13
7	1.4.2 Mobilize Guard Booth	100%	Fri 3/22/13	Mon 3/25/13	2 days	Fri 3/22/13
8	1.4.3 Relocate Fence	100%	Tue 3/26/13	Fri 3/29/13	4 days	Tue 3/26/13
9	1.5 NYPA - OWS Reroute to 500MW	100%	Mon 4/1/13	Mon 4/1/13	1 day	Mon 4/1/13
10	**1.6 Regulated Material Abatement**	**100%**	**Tue 3/5/13**	**Wed 4/30/14**	**299.11 days**	**Tue 3/5/13**
11	1.6.1 Submittal - Regulated Material Abatement	100%	Tue 3/5/13	Mon 4/1/13	20 days	Tue 3/5/13
12	1.6.2 Mobilization	100%	Mon 4/29/13	Wed 5/1/13	3 days	Mon 4/29/13
13	1.6.3 Material Survey	100%	Mon 4/29/13	Fri 5/10/13	10 days	Mon 4/29/13
14	**1.6.4 Materials Reclamation**	**100%**	**Fri 5/3/13**	**Wed 4/30/14**	**256.11 days**	**Fri 5/3/13**
15	1.6.4.1 West Buildings	100%	Fri 5/3/13	Thu 5/16/13	10 days	Fri 5/3/13
16	1.6.4.2 OWS/Cont Drain/Storm Water Pump/Acid Wash Piping	100%	Mon 9/2/13	Thu 10/31/13	44 days	Mon 9/2/13
17	1.6.4.3 Turbine House	100%	Mon 5/13/13	Fri 6/14/13	25 days	Mon 5/13/13
18	1.6.4.4 Boiler House	100%	Mon 5/20/13	Thu 7/4/13	35 days	Mon 5/20/13
19	1.6.4.5 Remove Remaining Bulbs and Ballasts	100%	Fri 7/5/13	Wed 4/30/14	11 days	Fri 7/5/13
20	**1.7 Electric Setup**	**100%**	**Mon 2/25/13**	**Fri 5/3/13**	**50 days**	**Mon 2/25/13**
21	1.7.1 Submittal - Office Trailers Temp Electric Setup	100%	Mon 2/25/13	Fri 3/22/13	20 days	Mon 2/25/13
22	1.7.2 Install Temp Electric - Office Trailers/ Guard Booth	100%	Mon 3/25/13	Mon 3/25/13	0 days	Mon 3/25/13
23	1.7.3 NYPA - Energize Office Trailers	100%	Thu 3/28/13	Thu 3/28/13	0 days	Thu 3/28/13
24	1.7.4 Submittal - Powerhouse Temp Electric Setup	100%	Mon 3/4/13	Fri 4/12/13	30 days	Mon 3/4/13
25	1.7.5 Install Temp Electric to Powerhouse	100%	Mon 4/15/13	Fri 5/3/13	15 days	Mon 4/15/13
26	1.7.6 Install Egress Lighting/ Misc. Power	100%	Mon 4/15/13	Fri 5/3/13	15 days	Mon 4/15/13



Project: LVI - Poletti - PROJECT SC
Date: Fri 2/13/15

Task — Milestone — Project Summary — Critical Split
Split — Summary — Critical — Progress

SUBSTANTIAL COMPLETION - all structures down to grade elevation, site work ongoing.
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LVI SERVICES INC.

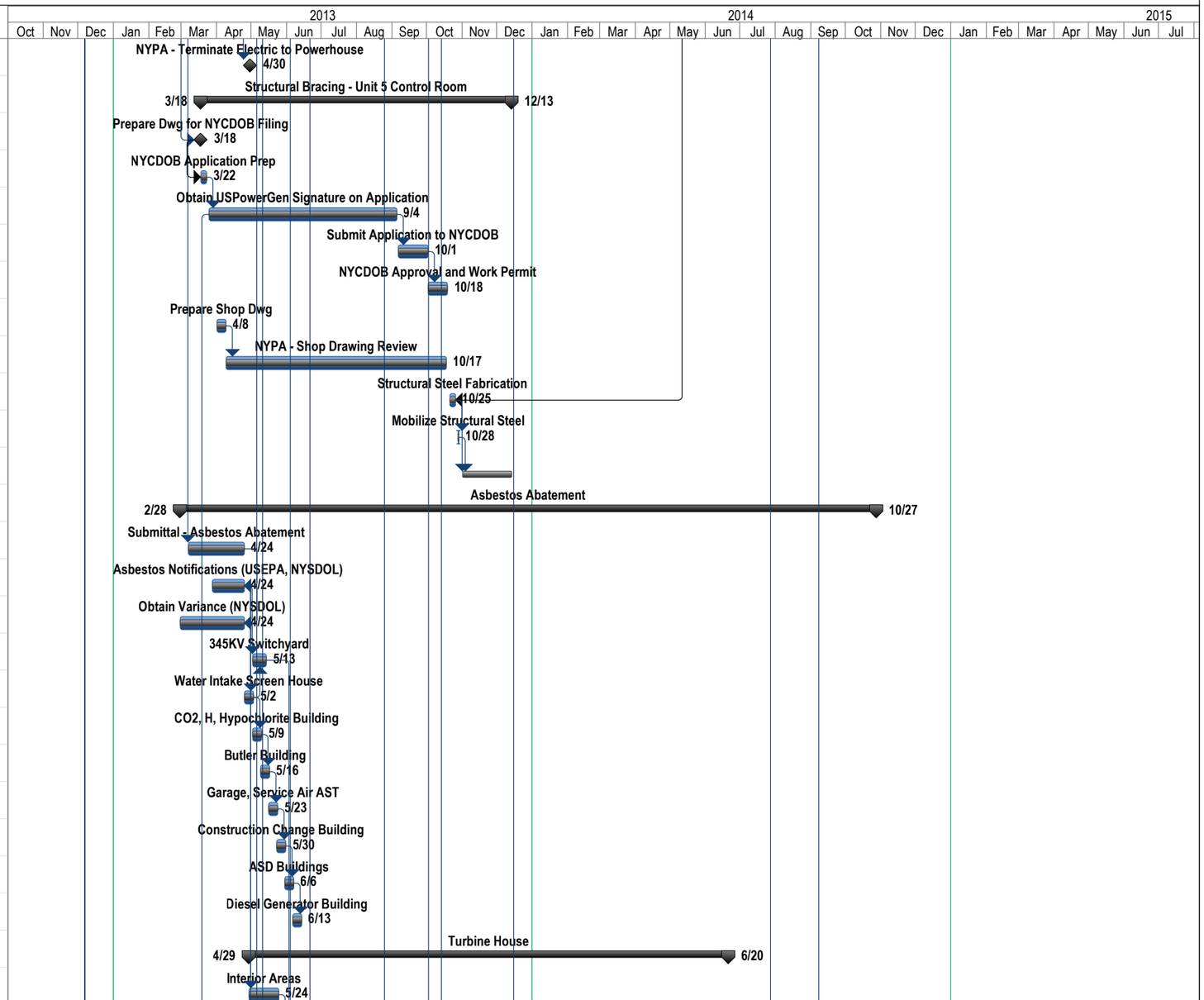
NYPA - CHARLES POLETTI POWER PLANT DECONSTRUCTION

Project Schedule

LVI Poletti Schedule Progress Schedule 10-28-14--.mpp

Data Date : 9/16/2014

ID	Task Name	% Complete	Actual Start	Actual Finish	Duration	Start
27	1.7.7 NYPA - Terminate Electric to Powerhouse	100%	Tue 4/30/13	Tue 4/30/13	0 days	Tue 4/30/13
28	**1.8 Structural Bracing - Unit 5 Control Room**	**100%**	**Mon 3/18/13**	**Fri 12/13/13**	**195 days**	**Mon 3/18/13**
29	1.8.1 Prepare Dwg for NYCDOB Filing	100%	Mon 3/18/13	Mon 3/18/13	0 days	Mon 3/18/13
30	1.8.2 NYCDOB Application Prep	100%	Mon 3/18/13	Fri 3/22/13	5 days	Mon 3/18/13
31	1.8.3 Obtain USPowerGen Signature on Application	100%	Mon 3/25/13	Wed 9/4/13	120 days	Mon 3/25/13
32	1.8.4 Submit Application to NYCDOB	100%	Fri 9/6/13	Tue 10/1/13	18 days	Fri 9/6/13
33	1.8.5 NYCDOB Approval and Work Permit	100%	Wed 10/2/13	Fri 10/18/13	13 days	Wed 10/2/13
34	1.8.6 Prepare Shop Dwg	100%	Mon 4/1/13	Mon 4/8/13	6 days	Mon 4/1/13
35	1.8.7 NYPA - Shop Drawing Review	100%	Tue 4/9/13	Thu 10/17/13	140 days	Tue 4/9/13
36	1.8.8 Structural Steel Fabrication	100%	Mon 10/21/13	Fri 10/25/13	5 days	Mon 10/21/13
37	1.8.9 Mobilize Structural Steel	100%	Mon 10/28/13	Mon 10/28/13	1 day	Mon 10/28/13
38	1.8.10 Install Structural Bracing	100%	Fri 11/1/13	Fri 12/13/13	29 days	Fri 11/1/13
39	**1.9 Asbestos Abatement**	**99%**	**Thu 2/28/13**	**NA**	**431 days**	**Thu 2/28/13**
40	1.9.1 Submittal - Asbestos Abatement	100%	Thu 3/7/13	Wed 4/24/13	35 days	Thu 3/7/13
41	1.9.2 Asbestos Notifications (USEPA, NYSDOL)	100%	Thu 3/28/13	Wed 4/24/13	20 days	Thu 3/28/13
42	1.9.3 Obtain Variance (NYSDOL)	100%	Thu 2/28/13	Wed 4/24/13	40 days	Thu 2/28/13
43	1.9.4 345KV Switchyard	100%	Thu 5/2/13	Mon 5/13/13	8 days	Thu 5/2/13
44	1.9.5 Water Intake Screen House	100%	Thu 4/25/13	Thu 5/2/13	6 days	Thu 4/25/13
45	1.9.6 CO2, H, Hypochlorite Building	100%	Thu 5/2/13	Thu 5/9/13	6 days	Thu 5/2/13
46	1.9.7 Butler Building	100%	Thu 5/9/13	Thu 5/16/13	6 days	Thu 5/9/13
47	1.9.8 Garage, Service Air AST	100%	Thu 5/16/13	Thu 5/23/13	6 days	Thu 5/16/13
48	1.9.9 Construction Change Building	100%	Thu 5/23/13	Thu 5/30/13	6 days	Thu 5/23/13
49	1.9.10 ASD Buildings	100%	Thu 5/30/13	Thu 6/6/13	6 days	Thu 5/30/13
50	1.9.11 Diesel Generator Building	100%	Thu 6/6/13	Thu 6/13/13	6 days	Thu 6/6/13
51	**1.9.12 Turbine House**	**100%**	**Mon 4/29/13**	**Fri 6/20/14**	**298 days**	**Mon 4/29/13**
52	1.9.12.1 Interior Areas	100%	Mon 4/29/13	Fri 5/24/13	20 days	Mon 4/29/13



Project: LVI - Poletti - PROJECT SC
Date: Fri 2/13/15

Task — Milestone — Project Summary — Critical Split
Split — Summary — Critical — Progress

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LVI SERVICES INC.

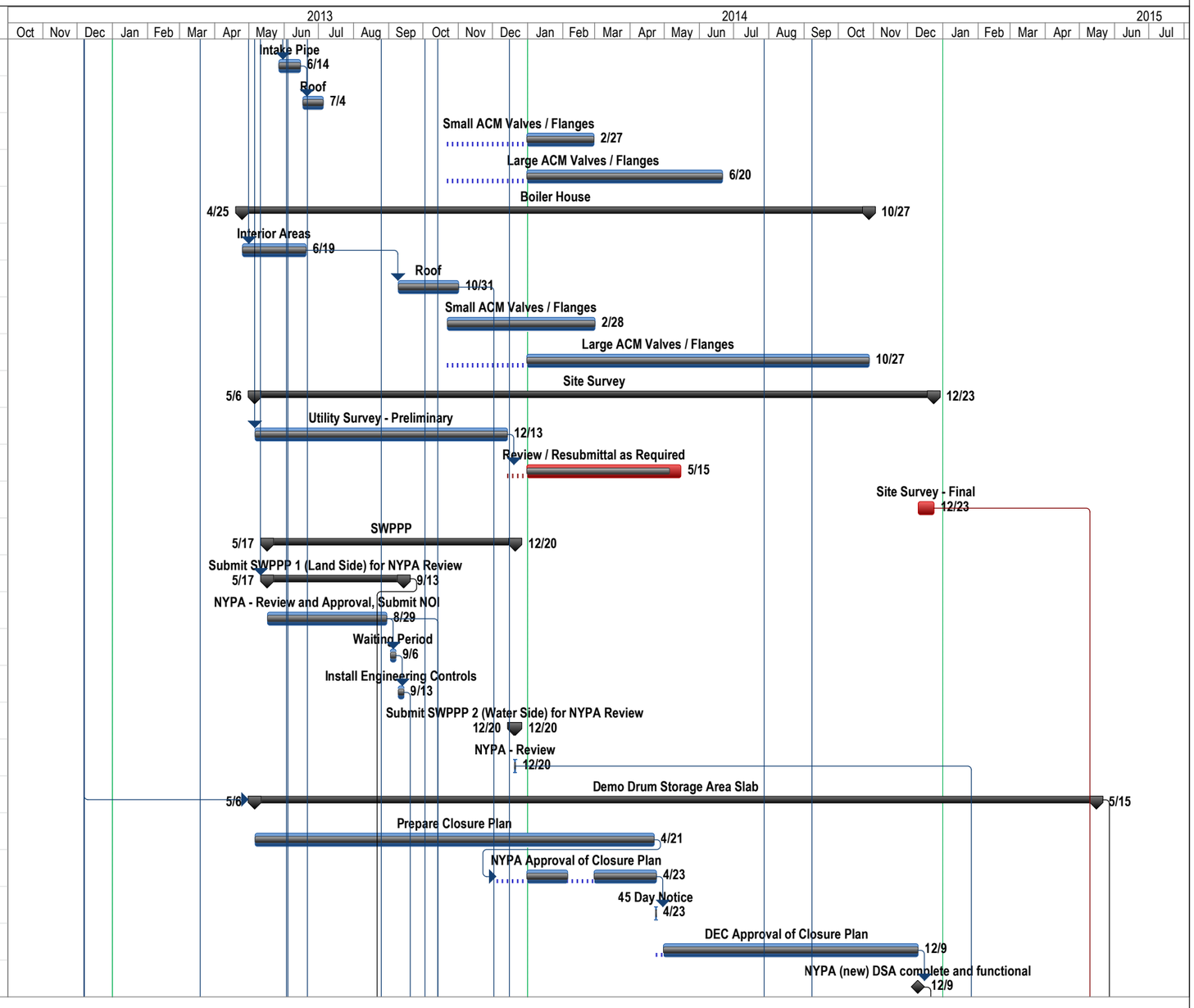
NYPA - CHARLES POLETTI POWER PLANT DECONSTRUCTION

Project Schedule

LVI Poletti Schedule Progress Schedule 10-28-14--.mpp

Data Date : 9/16/2014

ID	Task Name	% Complete	Actual Start	Actual Finish	Duration	Start
53	1.9.12.2 Intake Pipe	100%	Mon 5/27/13	Fri 6/14/13	15 days	Mon 5/27/13
54	1.9.12.3 Roof	100%	Mon 6/17/13	Thu 7/4/13	15 days	Mon 6/17/13
55	1.9.12.4 Small ACM Valves / Flanges	100%	Tue 10/22/13	Thu 2/27/14	42 days	Tue 10/22/13
56	1.9.12.5 Large ACM Valves / Flanges	100%	Tue 10/22/13	Fri 6/20/14	123 days	Tue 10/22/13
57	**1.9.13 Boiler House**	**100%**	**Thu 4/25/13**	**Mon 10/27/14**	**391 days**	**Thu 4/25/13**
58	1.9.13.1 Interior Areas	100%	Thu 4/25/13	Wed 6/19/13	40 days	Thu 4/25/13
59	1.9.13.2 Roof	100%	Mon 9/9/13	Thu 10/31/13	39 days	Mon 9/9/13
60	1.9.13.3 Small ACM Valves / Flanges	100%	Tue 10/22/13	Fri 2/28/14	90 days	Tue 10/22/13
61	1.9.13.4 Large ACM Valves / Flanges	100%	Tue 10/22/13	Mon 10/27/14	214 days	Tue 10/22/13
62	**1.10 Site Survey**	**93%**	**Mon 5/6/13**	**NA**	**423 days**	**Mon 5/6/13**
63	1.10.1 Utility Survey - Preliminary	100%	Mon 5/6/13	Fri 12/13/13	160 days	Mon 5/6/13
64	1.10.2 Review / Resubmittal as Required	92%	Sat 12/14/13	NA	96.25 days	Sat 12/14/13
65	1.10.3 Site Survey - Final	0%	NA	NA	10 days	Wed 12/10/14
66	**1.11 SWPPP**	**100%**	**Fri 5/17/13**	**Fri 12/20/13**	**156 days**	**Fri 5/17/13**
67	**1.11.1 Submit SWPPP 1 (Land Side) for NYPA Review**	**100%**	**Fri 5/17/13**	**Fri 9/13/13**	**88 days**	**Fri 5/17/13**
68	1.11.1.1 NYPA - Review and Approval, Submit NOI	100%	Fri 5/17/13	Thu 8/29/13	76 days	Fri 5/17/13
69	1.11.1.2 Waiting Period	100%	Mon 9/2/13	Fri 9/6/13	5 days	Mon 9/2/13
70	1.11.1.3 Install Engineering Controls	100%	Mon 9/9/13	Fri 9/13/13	5 days	Mon 9/9/13
71	**1.11.2 Submit SWPPP 2 (Water Side) for NYPA Review**	**100%**	**Fri 12/20/13**	**Fri 12/20/13**	**1 day**	**Fri 12/20/13**
72	1.11.2.1 NYPA - Review	100%	Fri 12/20/13	Fri 12/20/13	1 day	Fri 12/20/13
73	**1.12 Demo Drum Storage Area Slab**	**87%**	**Mon 5/6/13**	**NA**	**524 days**	**Mon 5/6/13**
74	1.12.1 Prepare Closure Plan	100%	Mon 5/6/13	Mon 4/21/14	249 days	Mon 5/6/13
75	1.12.2 NYPA Approval of Closure Plan	100%	Wed 12/4/13	Wed 4/23/14	64 days	Wed 12/4/13
76	1.12.3 45 Day Notice	100%	Wed 4/23/14	Wed 4/23/14	1 day	Wed 4/23/14
77	1.12.4 DEC Approval of Closure Plan	100%	Wed 4/23/14	Tue 12/9/14	158 days	Wed 4/23/14
78	1.12.5 NYPA (new) DSA complete and functional	35%	Tue 12/9/14	NA	0 days	Tue 12/9/14



Project: LVI - Poletti - PROJECT SC
Date: Fri 2/13/15

Task — Milestone — Project Summary — Critical Split
Split — Summary — Critical — Progress

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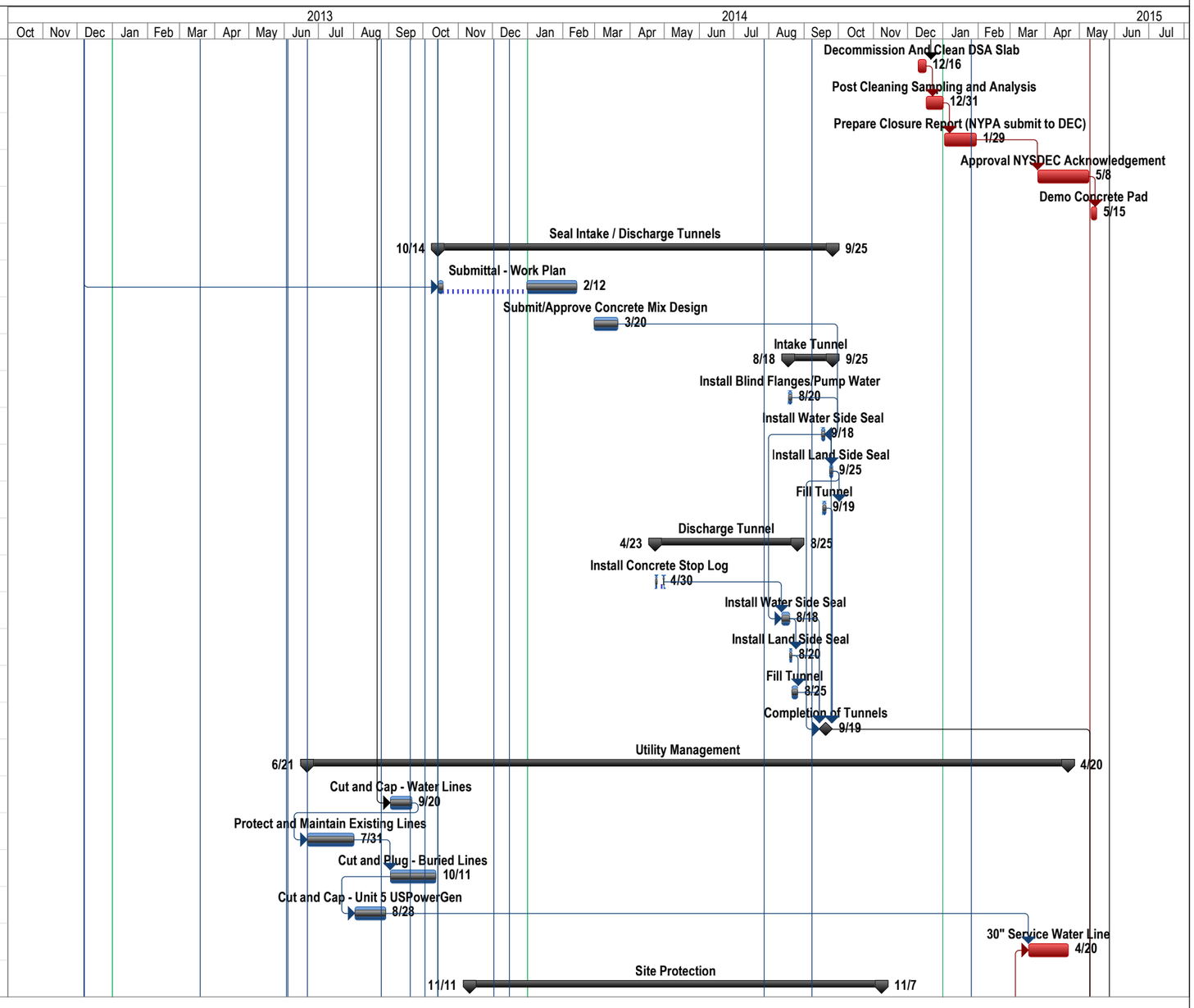
LVI SERVICES INC.
NYPA - CHARLES POLETTI POWER PLANT DECONSTRUCTION
Project Schedule

LVI Poletti Schedule Progress Schedule 10-28-14--.mpp

Data Date : 9/16/2014

ID	Task Name	% Complete	Actual Start	Actual Finish	Duration	Start
79	1.12.6 Decommission And Clean DSA Slab	0%	NA	NA	5 days	Wed 12/10/14
80	1.12.7 Post Cleaning Sampling and Analysis	0%	NA	NA	10 days	Wed 12/17/14
81	1.12.8 Prepare Closure Report (NYPA submit to DEC)	0%	NA	NA	20 days	Fri 1/2/15
82	1.12.9 Approval NYSDEC Acknowledgement	0%	NA	NA	33 days	Wed 3/25/15
83	1.12.10 Demo Concrete Pad	0%	NA	NA	5 days	Mon 5/11/15
84	**1.13 Seal Intake / Discharge Tunnels**	**99%**	**Mon 10/14/13**	**NA**	**245 days**	**Mon 10/14/13**
85	1.13.1 Submittal - Work Plan	100%	Mon 10/14/13	Wed 2/12/14	35.5 days	Mon 10/14/13
86	1.13.2 Submit/Approve Concrete Mix Design	100%	Fri 2/28/14	Thu 3/20/14	15 days	Fri 2/28/14
87	**1.13.3 Intake Tunnel**	**99%**	**Mon 8/18/14**	**NA**	**29 days**	**Mon 8/18/14**
88	1.13.3.1 Install Blind Flanges/Pump Water	100%	Mon 8/18/14	Wed 8/20/14	3 days	Mon 8/18/14
89	1.13.3.2 Install Water Side Seal	100%	Tue 9/16/14	Thu 9/18/14	3 days	Tue 9/16/14
90	1.13.3.3 Install Land Side Seal	100%	Tue 9/23/14	Thu 9/25/14	3 days	Tue 9/23/14
91	1.13.3.4 Fill Tunnel	100%	Wed 9/17/14	Fri 9/19/14	3 days	Wed 9/17/14
92	**1.13.4 Discharge Tunnel**	**99%**	**Wed 4/23/14**	**NA**	**89 days**	**Wed 4/23/14**
93	1.13.4.1 Install Concrete Stop Log	100%	Wed 4/23/14	Wed 4/30/14	2 days	Wed 4/23/14
94	1.13.4.2 Install Water Side Seal	100%	Tue 8/12/14	Mon 8/18/14	5 days	Tue 8/12/14
95	1.13.4.3 Install Land Side Seal	100%	Tue 8/19/14	Wed 8/20/14	2 days	Tue 8/19/14
96	1.13.4.4 Fill Tunnel	100%	Thu 8/21/14	Mon 8/25/14	3 days	Thu 8/21/14
97	1.13.5 Completion of Tunnels	100%	Wed 9/17/14	Fri 9/19/14	0 days	Wed 9/17/14
98	**1.14 Utility Management**	**79%**	**Fri 6/21/13**	**NA**	**471 days**	**Fri 6/21/13**
99	1.14.1 Cut and Cap - Water Lines	100%	Mon 9/2/13	Fri 9/20/13	15 days	Mon 9/2/13
100	1.14.2 Protect and Maintain Existing Lines	100%	Fri 6/21/13	Wed 7/31/13	30 days	Fri 6/21/13
101	1.14.3 Cut and Plug - Buried Lines	100%	Mon 9/2/13	Fri 10/11/13	30 days	Mon 9/2/13
102	1.14.4 Cut and Cap - Unit 5 USPowerGen	100%	Fri 8/2/13	Wed 8/28/13	19 days	Fri 8/2/13
103	1.14.5 30" Service Water Line	0%	NA	NA	25 days	Tue 3/17/15
104	**1.15 Site Protection**	**98%**	**Mon 11/11/13**	**NA**	**256 days**	**Mon 11/11/13**



Project: LVI - Poletti - PROJECT SC
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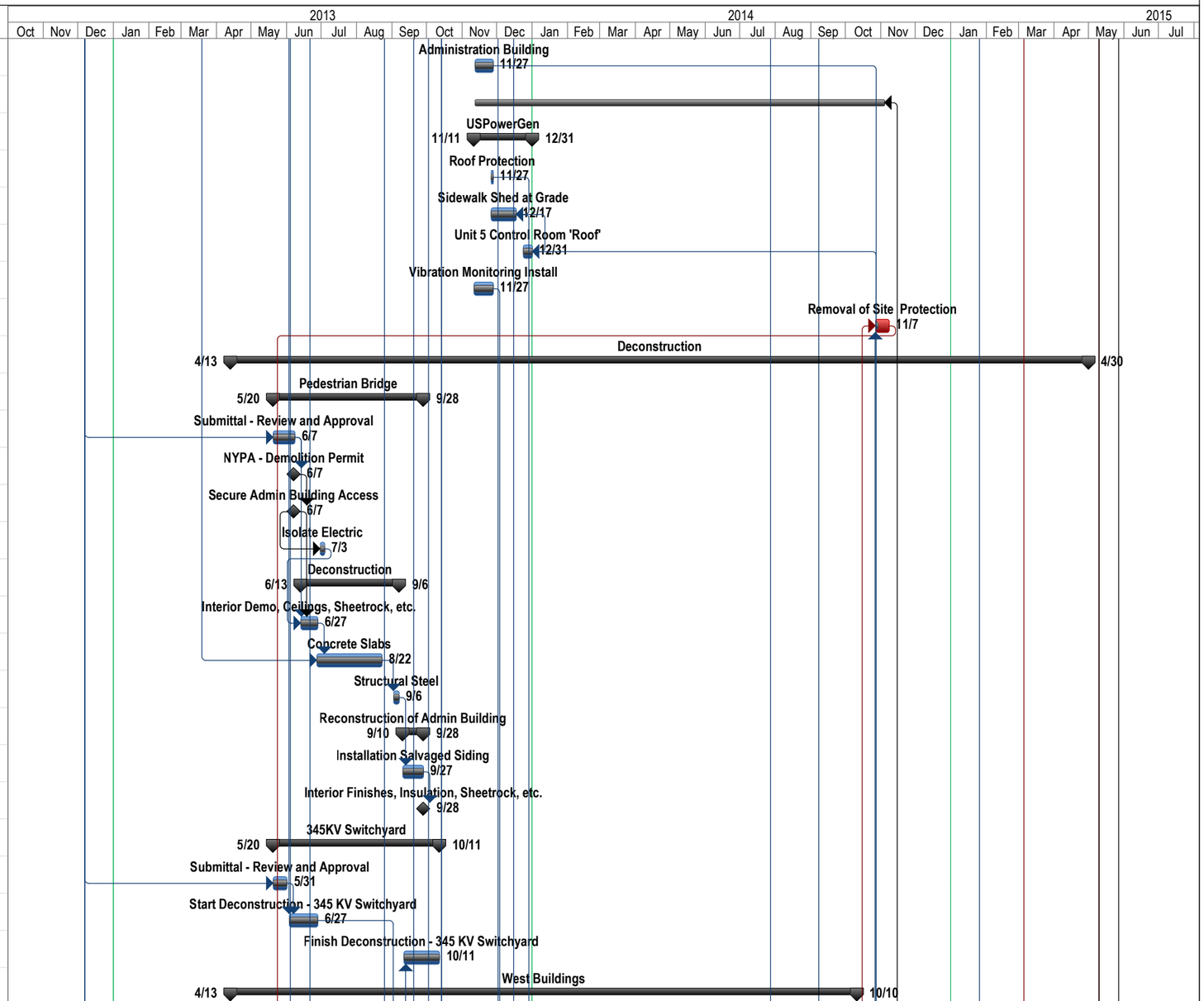
ID	Task Name	% Complete	Actual Start	Actual Finish	Duration	Start
105	1.15.1 Administration Building	100%	Tue 11/12/13	Wed 11/27/13	12 days	Tue 11/12/13
106	1.15.2 Protection of Utilities	100%	Tue 11/12/13	Mon 11/3/14	251 days	Tue 11/12/13
107	**1.15.3 USPowerGen**	**100%**	**Mon 11/11/13**	**Tue 12/31/13**	**34 days**	**Mon 11/11/13**
108	1.15.3.1 Roof Protection	100%	Tue 11/26/13	Wed 11/27/13	2 days	Tue 11/26/13
109	1.15.3.2 Sidewalk Shed at Grade	100%	Tue 11/26/13	Tue 12/17/13	14 days	Tue 11/26/13
110	1.15.3.3 Unit 5 Control Room 'Roof'	100%	Tue 12/24/13	Tue 12/31/13	5 days	Tue 12/24/13
111	1.15.3.4 Vibration Monitoring Install	100%	Mon 11/11/13	Wed 11/27/13	13 days	Mon 11/11/13
112	1.15.4 Removal of Site Protection	25%	Mon 10/27/14	NA	10 days	Mon 10/27/14
113	**1.16 Deconstruction**	**94%**	**Sat 4/13/13**	**NA**	**528 days**	**Sat 4/13/13**
114	**1.16.1 Pedestrian Bridge**	**100%**	**Mon 5/20/13**	**Sat 9/28/13**	**97 days**	**Mon 5/20/13**
115	1.16.1.1 Submittal - Review and Approval	100%	Mon 5/20/13	Fri 6/7/13	15 days	Mon 5/20/13
116	1.16.1.2 NYPA - Demolition Permit	100%	Fri 6/7/13	Fri 6/7/13	0 days	Fri 6/7/13
117	1.16.1.3 Secure Admin Building Access	100%	Fri 6/7/13	Fri 6/7/13	0 days	Fri 6/7/13
118	1.16.1.4 Isolate Electric	100%	Sun 6/30/13	Wed 7/3/13	4 days	Sun 6/30/13
119	**1.16.1.5 Deconstruction**	**100%**	**Thu 6/13/13**	**Fri 9/6/13**	**64 days**	**Thu 6/13/13**
120	1.16.1.5.1 Interior Demo, Ceilings, Sheetrock, etc.	100%	Thu 6/13/13	Thu 6/27/13	11 days	Thu 6/13/13
121	1.16.1.5.2 Concrete Slabs	100%	Thu 6/27/13	Thu 8/22/13	42 days	Thu 6/27/13
122	1.16.1.5.3 Structural Steel	100%	Mon 9/2/13	Fri 9/6/13	5 days	Mon 9/2/13
123	**1.16.1.6 Reconstruction of Admin Building**	**100%**	**Tue 9/10/13**	**Sat 9/28/13**	**14 days**	**Tue 9/10/13**
124	1.16.1.6.1 Installation Salvaged Siding	100%	Tue 9/10/13	Fri 9/27/13	14 days	Tue 9/10/13
125	1.16.1.6.2 Interior Finishes, Insulation, Sheetrock, etc.	100%	Sat 9/28/13	Sat 9/28/13	0 days	Sat 9/28/13
126	**1.16.2 345KV Switchyard**	**100%**	**Mon 5/20/13**	**Fri 10/11/13**	**107 days**	**Mon 5/20/13**
127	1.16.2.1 Submittal - Review and Approval	100%	Mon 5/20/13	Fri 5/31/13	10 days	Mon 5/20/13
128	1.16.2.2 Start Deconstruction - 345 KV Switchyard	100%	Mon 6/3/13	Thu 6/27/13	19 days	Mon 6/3/13
129	1.16.2.3 Finish Deconstruction - 345 KV Switchyard	100%	Wed 9/11/13	Fri 10/11/13	23 days	Wed 9/11/13
130	**1.16.3 West Buildings**	**100%**	**Sat 4/13/13**	**Fri 10/10/14**	**388 days**	**Sat 4/13/13**



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Date: Fri 2/13/15

Task — Milestone — Project Summary — Critical Split
Split — Summary — Critical — Progress

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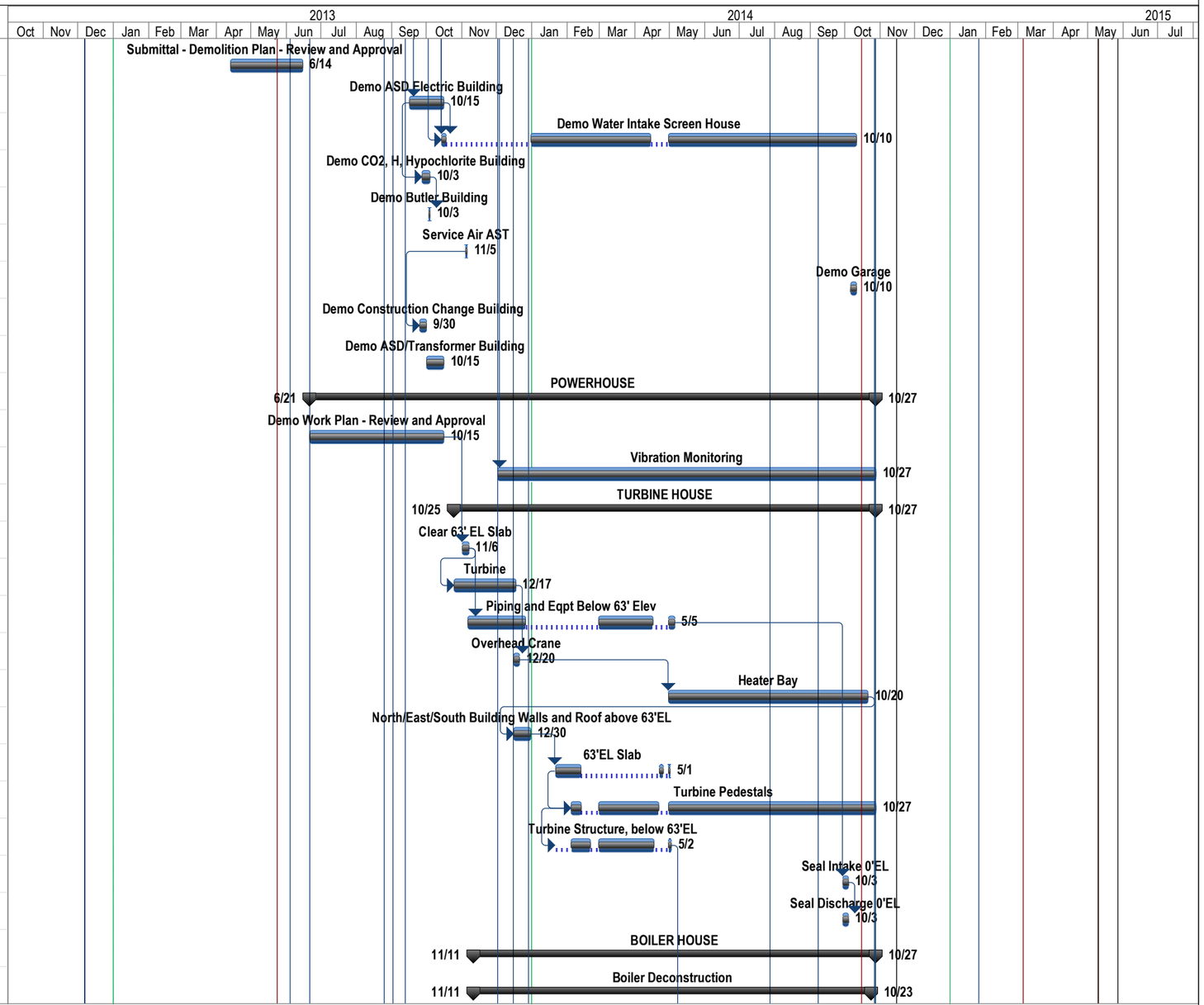
LVI SERVICES INC.
NYPA - CHARLES POLETTI POWER PLANT DECONSTRUCTION
Project Schedule

LVI Poletti Schedule Progress Schedule 10-28-14--.mpp

Data Date : 9/16/2014

ID	Task Name	% Complete	Actual Start	Actual Finish	Duration	Start
131	1.16.3.1 Submittal - Demolition Plan - Review and Approval	100%	Sat 4/13/13	Fri 6/14/13	45 days	Sat 4/13/13
132	1.16.3.2 Demo ASD Electric Building	100%	Mon 9/16/13	Tue 10/15/13	22 days	Mon 9/16/13
133	1.16.3.3 Demo Water Intake Screen House	100%	Mon 10/14/13	Fri 10/10/14	195.4 days	Mon 10/14/13
134	1.16.3.4 Demo CO2, H, Hypochlorite Building	100%	Fri 9/27/13	Thu 10/3/13	5 days	Fri 9/27/13
135	1.16.3.5 Demo Butler Building	100%	Thu 10/3/13	Thu 10/3/13	1 day	Thu 10/3/13
136	1.16.3.6 Service Air AST	100%	Mon 11/4/13	Tue 11/5/13	1.5 days	Mon 11/4/13
137	1.16.3.7 Demo Garage	100%	Mon 10/6/14	Fri 10/10/14	5 days	Mon 10/6/14
138	1.16.3.8 Demo Construction Change Building	100%	Wed 9/25/13	Mon 9/30/13	4 days	Wed 9/25/13
139	1.16.3.9 Demo ASD/Transformer Building	100%	Tue 10/1/13	Tue 10/15/13	11 days	Tue 10/1/13
140	**1.16.4 POWERHOUSE**	**99%**	**Fri 6/21/13**	**NA**	**350 days**	**Fri 6/21/13**
141	1.16.4.1 Demo Work Plan - Review and Approval	100%	Fri 6/21/13	Tue 10/15/13	85 days	Fri 6/21/13
142	1.16.4.2 Vibration Monitoring	100%	Mon 12/2/13	Mon 10/27/14	234 days	Mon 12/2/13
143	**1.16.4.3 TURBINE HOUSE**	**100%**	**Fri 10/25/13**	**Mon 10/27/14**	**258 days**	**Fri 10/25/13**
144	1.16.4.3.1 Clear 63' EL Slab	100%	Fri 11/1/13	Wed 11/6/13	4 days	Fri 11/1/13
145	1.16.4.3.2 Turbine	100%	Fri 10/25/13	Tue 12/17/13	36 days	Fri 10/25/13
146	1.16.4.3.3 Piping and Eqpt Below 63' Elev	100%	Wed 11/6/13	Mon 5/5/14	70.3 days	Wed 11/6/13
147	1.16.4.3.4 Overhead Crane	100%	Mon 12/16/13	Fri 12/20/13	5 days	Mon 12/16/13
148	1.16.4.3.5 Heater Bay	100%	Wed 4/30/14	Mon 10/20/14	124 days	Wed 4/30/14
149	1.16.4.3.6 North/East/South Building Walls and Roof above 63'EL	100%	Mon 12/16/13	Mon 12/30/13	10 days	Mon 12/16/13
150	1.16.4.3.7 63'EL Slab	100%	Tue 1/21/14	Thu 5/1/14	21.25 days	Tue 1/21/14
151	1.16.4.3.8 Turbine Pedestals	100%	Tue 2/4/14	Mon 10/27/14	172.56 days	Tue 2/4/14
152	1.16.4.3.9 Turbine Structure, below 63'EL	100%	Tue 1/21/14	Fri 5/2/14	50 days	Tue 1/21/14
153	1.16.4.3.10 Seal Intake 0'EL	100%	Mon 9/29/14	Fri 10/3/14	5 days	Mon 9/29/14
154	1.16.4.3.11 Seal Discharge 0'EL	100%	Mon 9/29/14	Fri 10/3/14	5 days	Mon 9/29/14
155	**1.16.4.4 BOILER HOUSE**	**99%**	**Mon 11/11/13**	**NA**	**247 days**	**Mon 11/11/13**
156	**1.16.4.4.1 Boiler Deconstruction**	**100%**	**Mon 11/11/13**	**Thu 10/23/14**	**245 days**	**Mon 11/11/13**



Project: LVI - Poletti - PROJECT SC
Date: Fri 2/13/15

Task — Milestone — Project Summary — Critical Split
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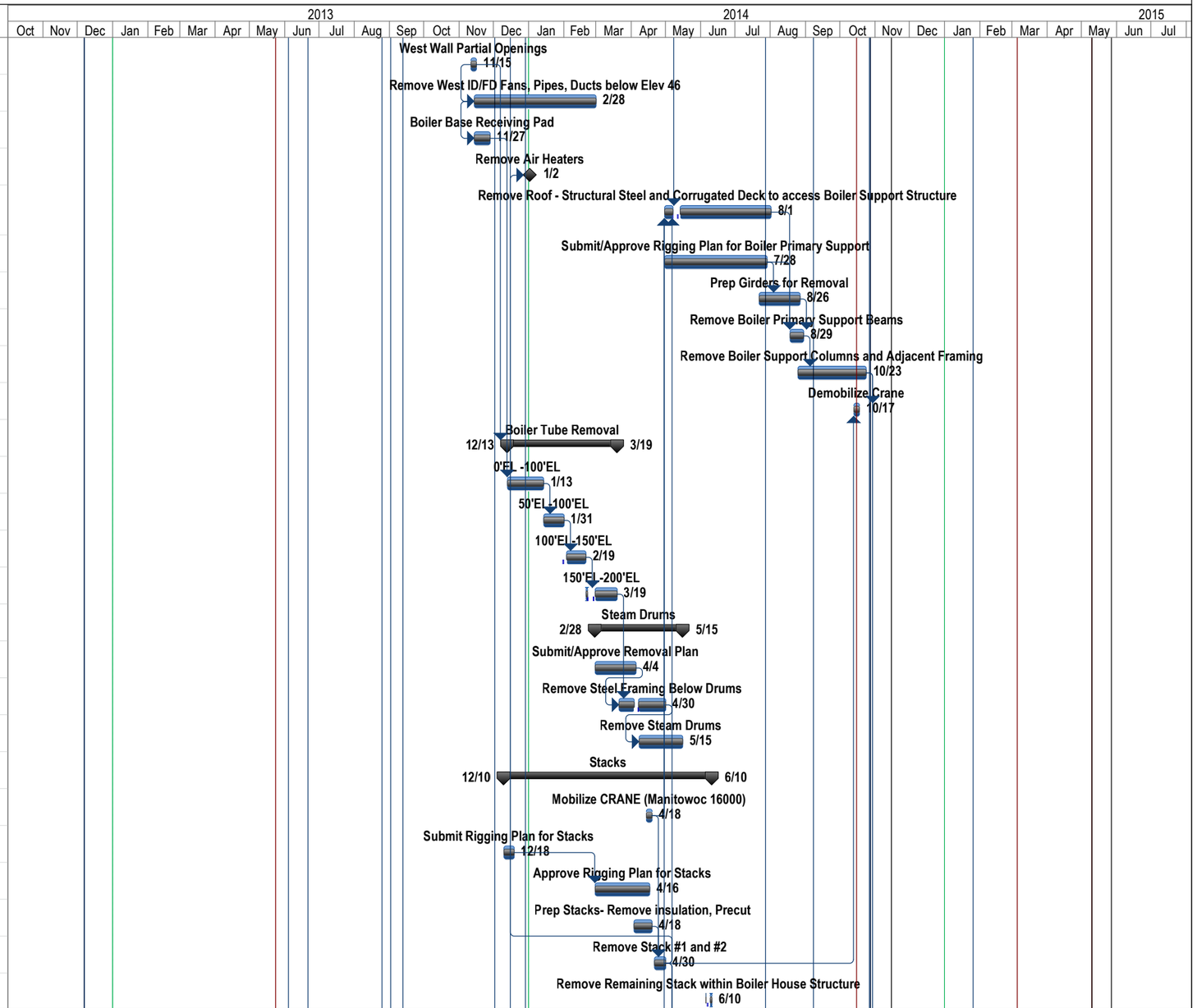
LVI Poletti Schedule Progress Schedule 10-28-14--.mpp

Data Date : 9/16/2014

ID	Task Name	% Complete	Actual Start	Actual Finish	Duration	Start
157	1.16.4.4.1.1 West Wall Partial Openings	100%	Mon 11/11/13	Fri 11/15/13	5 days	Mon 11/11/13
158	1.16.4.4.1.2 Remove West ID/FD Fans, Pipes, Ducts below Elev 46	100%	Thu 11/14/13	Fri 2/28/14	73 days	Thu 11/14/13
159	1.16.4.4.1.3 Boiler Base Receiving Pad	100%	Thu 11/14/13	Wed 11/27/13	10 days	Thu 11/14/13
160	1.16.4.4.1.4 Remove Air Heaters	100%	Thu 1/2/14	Thu 1/2/14	0 days	Thu 1/2/14
161	1.16.4.4.1.5 Remove Roof - Structural Steel and Corrugated Deck to access Boiler Support Structure	100%	Wed 4/30/14	Fri 8/1/14	62 days	Wed 4/30/14
162	1.16.4.4.1.6 Submit/Approve Rigging Plan for Boiler Primary Support	100%	Wed 4/30/14	Mon 7/28/14	64 days	Wed 4/30/14
163	1.16.4.4.1.7 Prep Girders for Removal	100%	Tue 7/22/14	Tue 8/26/14	26 days	Tue 7/22/14
164	1.16.4.4.1.8 Remove Boiler Primary Support Beams	100%	Mon 8/18/14	Fri 8/29/14	10 days	Mon 8/18/14
165	1.16.4.4.1.9 Remove Boiler Support Columns and Adjacent Framing	100%	Mon 8/25/14	Thu 10/23/14	44 days	Mon 8/25/14
166	1.16.4.4.1.10 Demobilize Crane	100%	Mon 10/13/14	Fri 10/17/14	5 days	Mon 10/13/14
167	**1.16.4.4.1.11 Boiler Tube Removal**	**100%**	**Fri 12/13/13**	**Wed 3/19/14**	**66.5 days**	**Fri 12/13/13**
168	1.16.4.4.1.11.1 0'EL -100'EL	100%	Fri 12/13/13	Mon 1/13/14	20 days	Fri 12/13/13
169	1.16.4.4.1.11.2 50'EL-100'EL	100%	Tue 1/14/14	Fri 1/31/14	14 days	Tue 1/14/14
170	1.16.4.4.1.11.3 100'EL-150'EL	100%	Thu 1/30/14	Wed 2/19/14	13 days	Thu 1/30/14
171	1.16.4.4.1.11.4 150'EL-200'EL	100%	Thu 2/20/14	Wed 3/19/14	15 days	Thu 2/20/14
172	**1.16.4.4.2 Steam Drums**	**99%**	**Fri 2/28/14**	**NA**	**55 days**	**Fri 2/28/14**
173	1.16.4.4.2.1 Submit/Approve Removal Plan	100%	Fri 2/28/14	Fri 4/4/14	26 days	Fri 2/28/14
174	1.16.4.4.2.2 Remove Steel Framing Below Drums	100%	Fri 3/21/14	Wed 4/30/14	27.5 days	Fri 3/21/14
175	1.16.4.4.2.3 Remove Steam Drums	100%	Mon 4/7/14	Thu 5/15/14	28.5 days	Mon 4/7/14
176	**1.16.4.4.3 Stacks**	**100%**	**Tue 12/10/13**	**Tue 6/10/14**	**128.95 days**	**Tue 12/10/13**
177	1.16.4.4.3.1 Mobilize CRANE (Manitowoc 16000)	100%	Mon 4/14/14	Fri 4/18/14	5 days	Mon 4/14/14
178	1.16.4.4.3.2 Submit Rigging Plan for Stacks	100%	Tue 12/10/13	Wed 12/18/13	7 days	Tue 12/10/13
179	1.16.4.4.3.3 Approve Rigging Plan for Stacks	100%	Fri 2/28/14	Wed 4/16/14	34 days	Fri 2/28/14
180	1.16.4.4.3.4 Prep Stacks- Remove insulation, Precut	100%	Thu 4/3/14	Fri 4/18/14	12 days	Thu 4/3/14
181	1.16.4.4.3.5 Remove Stack #1 and #2	100%	Mon 4/21/14	Wed 4/30/14	8 days	Mon 4/21/14
182	1.16.4.4.3.6 Remove Remaining Stack within Boiler House Structure	100%	Thu 6/5/14	Tue 6/10/14	2 days	Thu 6/5/14



Project: LVI - Poletti - PROJECT SC
Date: Fri 2/13/15

Task	Milestone		Project Summary	Critical Split	
Split	Summary		Critical	Progress	

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LVI SERVICES INC.

NYPA - CHARLES POLETTI POWER PLANT DECONSTRUCTION

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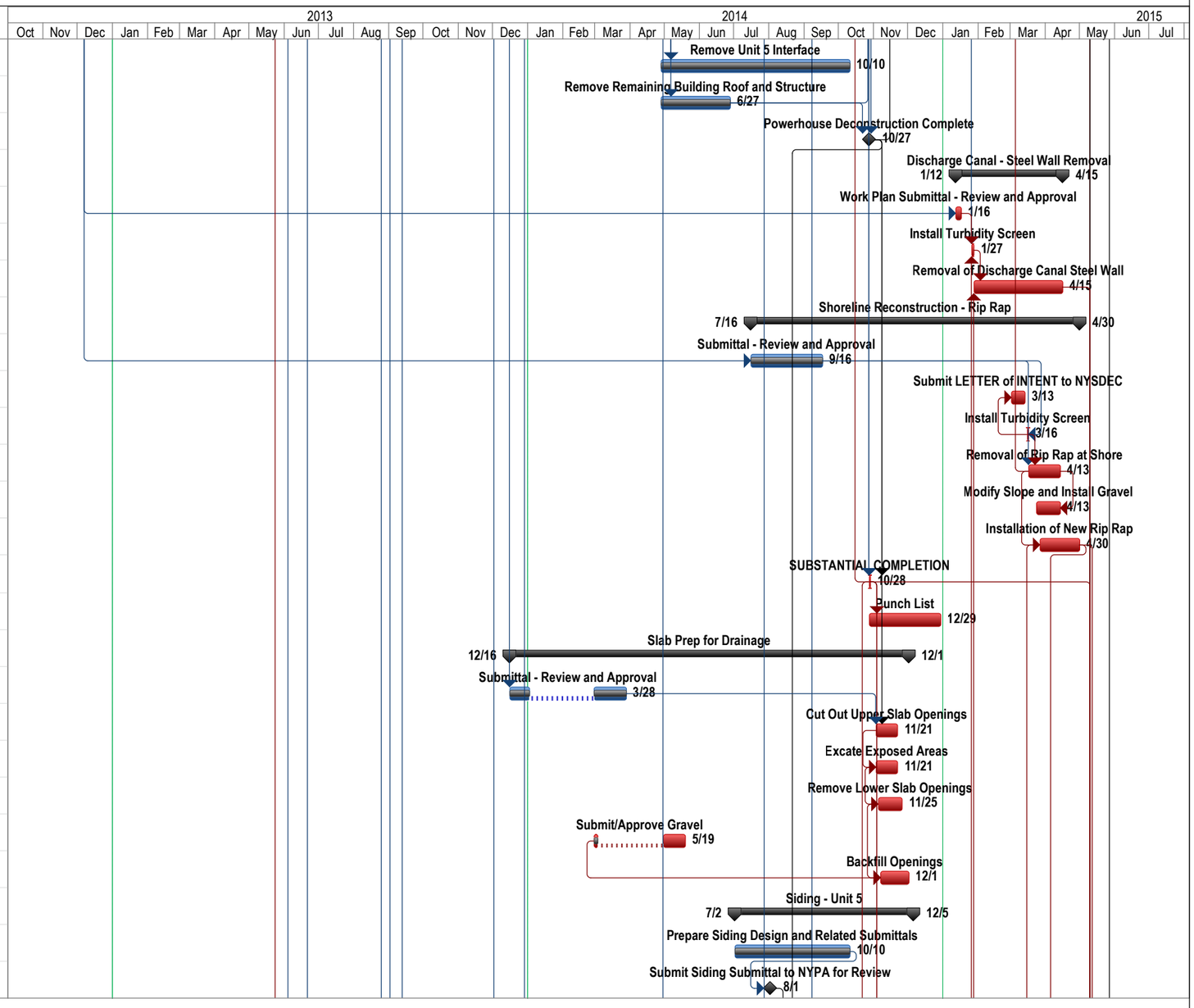
ID	Task Name	% Complete	Actual Start	Actual Finish	Duration	Start
183	1.16.4.4.4 Remove Unit 5 Interface	100%	Mon 4/28/14	Fri 10/10/14	120 days	Mon 4/28/14
184	1.16.4.4.5 Remove Remaining Building Roof and Structure	100%	Mon 4/28/14	Fri 6/27/14	45 days	Mon 4/28/14
185	1.16.4.4.6 Powerhouse Deconstruction Complete	100%	Thu 10/23/14	Mon 10/27/14	0 days	Thu 10/23/14
186	**1.16.5 Discharge Canal - Steel Wall Removal**	**0%**	**NA**	**NA**	**68 days**	**Mon 1/12/15**
187	1.16.5.1 Work Plan Submittal - Review and Approval	0%	NA	NA	5 days	Mon 1/12/15
188	1.16.5.2 Install Turbidity Screen	0%	NA	NA	2 days	Mon 1/26/15
189	1.16.5.3 Removal of Discharge Canal Steel Wall	0%	NA	NA	56 days	Wed 1/28/15
190	**1.16.6 Shoreline Reconstruction - Rip Rap**	**39%**	**Wed 7/16/14**	**NA**	**203 days**	**Wed 7/16/14**
191	1.16.6.1 Submittal - Review and Approval	100%	Wed 7/16/14	Tue 9/16/14	45 days	Wed 7/16/14
192	1.16.6.2 Submit LETTER of INTENT to NYSDEC	0%	NA	NA	10 days	Mon 3/2/15
193	1.16.6.3 Install Turbidity Screen	0%	NA	NA	1 day	Mon 3/16/15
194	1.16.6.4 Removal of Rip Rap at Shore	0%	NA	NA	20 days	Tue 3/17/15
195	1.16.6.5 Modify Slope and Install Gravel	0%	NA	NA	15 days	Tue 3/24/15
196	1.16.6.6 Installation of New Rip Rap	0%	NA	NA	25 days	Fri 3/27/15
197	**1.17 SUBSTANTIAL COMPLETION**	0%	NA	NA	1 day	Tue 10/28/14
198	1.18 Punch List	0%	NA	NA	42 days	Tue 10/28/14
199	**1.19 Slab Prep for Drainage**	**31%**	**Mon 12/16/13**	**NA**	**247 days**	**Mon 12/16/13**
200	1.19.1 Submittal - Review and Approval	100%	Mon 12/16/13	Fri 3/28/14	32 days	Mon 12/16/13
201	1.19.2 Cut Out Upper Slab Openings	0%	NA	NA	15 days	Mon 11/3/14
202	1.19.3 Excate Exposed Areas	0%	NA	NA	15 days	Mon 11/3/14
203	1.19.4 Remove Lower Slab Openings	0%	NA	NA	15 days	Wed 11/5/14
204	1.19.5 Submit/Approve Gravel	10%	Fri 2/28/14	NA	15 days	Fri 2/28/14
205	1.19.6 Backfill Openings	0%	NA	NA	15 days	Fri 11/7/14
206	**1.20 Siding - Unit 5**	**87%**	**Wed 7/2/14**	**NA**	**111 days**	**Wed 7/2/14**
207	1.20.1 Prepare Siding Design and Related Submittals	100%	Wed 7/2/14	Fri 10/10/14	73 days	Wed 7/2/14
208	1.20.2 Submit Siding Submittal to NYPA for Review	100%	Fri 8/1/14	Fri 8/1/14	0 days	Fri 8/1/14



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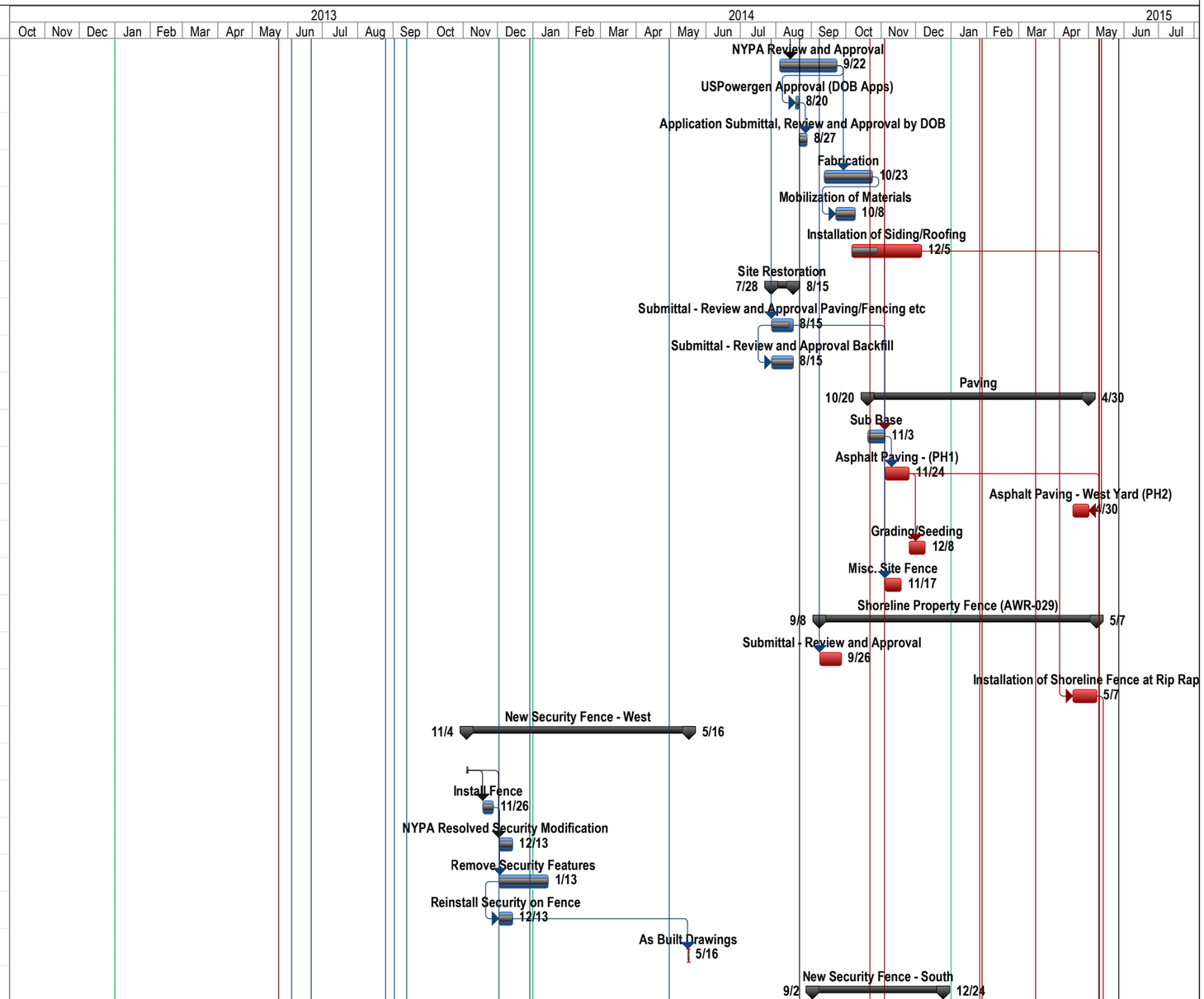
209	1.20.3 NYPA Review and Approval	100%	Mon 8/4/14	Mon 9/22/14	36 days	Mon 8/4/14
210	1.20.4 USPowergen Approval (DOB Apps)	100%	Mon 8/18/14	Wed 8/20/14	3 days	Mon 8/18/14
211	1.20.5 Application Submittal, Review and Approval by DOB	100%	Thu 8/21/14	Wed 8/27/14	5 days	Thu 8/21/14
212	1.20.6 Fabrication	100%	Fri 9/12/14	Thu 10/23/14	30 days	Fri 9/12/14
213	1.20.7 Mobilization of Materials	100%	Mon 9/22/14	Wed 10/8/14	13 days	Mon 9/22/14
214	1.20.8 Installation of Siding/Roofing	39%	Mon 10/6/14	NA	43 days	Mon 10/6/14
215	**1.21 Site Restoration**	**88%**	**Mon 7/28/14**	**NA**	**15 days**	**Mon 7/28/14**
216	1.21.1 Submittal - Review and Approval Paving/Fencing etc	75%	Mon 7/28/14	NA	15 days	Mon 7/28/14
217	1.21.2 Submittal - Review and Approval Backfill	100%	Mon 7/28/14	Fri 8/15/14	15 days	Mon 7/28/14
218	**1.22 Paving**	**20%**	**Mon 10/20/14**	**NA**	**135 days**	**Mon 10/20/14**
219	1.22.1 Sub Base	100%	Mon 10/20/14	Mon 11/3/14	11 days	Mon 10/20/14
220	1.22.2 Asphalt Paving - (PH1)	0%	NA	NA	15 days	Tue 11/4/14
221	1.22.3 Asphalt Paving - West Yard (PH2)	0%	NA	NA	10 days	Fri 4/17/15
222	1.22.4 Grading/Seeding	0%	NA	NA	8 days	Tue 11/25/14
223	1.22.5 Misc. Site Fence	0%	NA	NA	10 days	Tue 11/4/14
224	**1.23 Shoreline Property Fence (AWR-029)**	**0%**	**NA**	**NA**	**170 days**	**Mon 9/8/14**
225	1.23.1 Submittal - Review and Approval	0%	NA	NA	15 days	Mon 9/8/14
226	1.23.2 Installation of Shoreline Fence at Rip Rap	0%	NA	NA	15 days	Fri 4/17/15
227	**1.24 New Security Fence - West**	**99%**	**Mon 11/4/13**	**NA**	**136 days**	**Mon 11/4/13**
228	1.24.1 Submit Fence Location Sketch	100%	Mon 11/4/13	Mon 11/4/13	1 day	Mon 11/4/13
229	1.24.2 Install Fence	100%	Mon 11/18/13	Tue 11/26/13	7 days	Mon 11/18/13
230	1.24.3 NYPA Resolved Security Modification	100%	Mon 12/2/13	Fri 12/13/13	10 days	Mon 12/2/13
231	1.24.4 Remove Security Features	100%	Mon 12/2/13	Mon 1/13/14	29 days	Mon 12/2/13
232	1.24.5 Reinstall Security on Fence	100%	Mon 12/2/13	Fri 12/13/13	10 days	Mon 12/2/13
233	1.24.6 As Built Drawings	90%	Fri 5/16/14	NA	1 day	Fri 5/16/14
234	**1.25 New Security Fence - South**	**0%**	**NA**	**NA**	**80 days**	**Tue 9/2/14**



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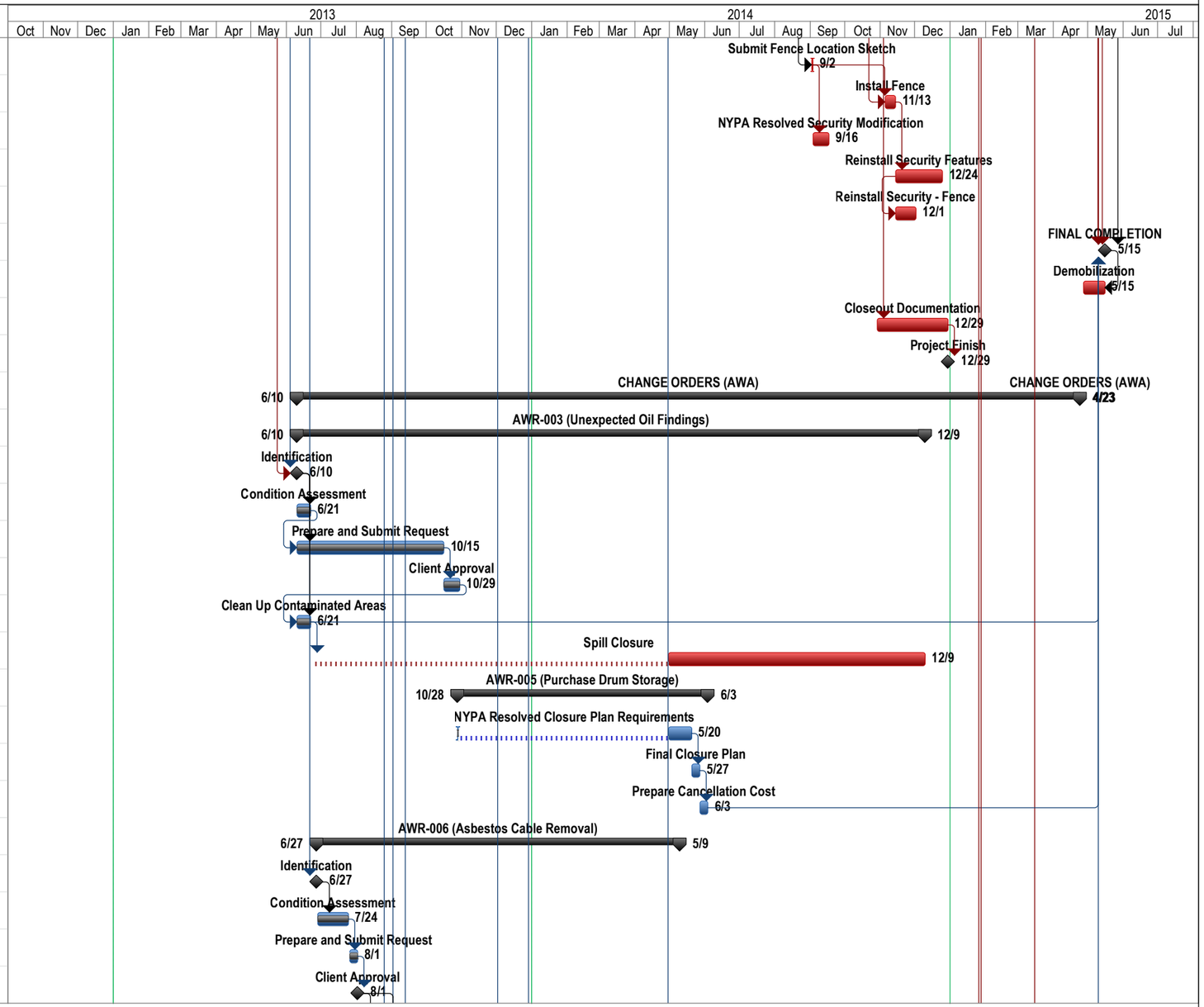
235	1.25.1 Submit Fence Location Sketch	0%	NA	NA	1 day	Tue 9/2/14
236	1.25.2 Install Fence	0%	NA	NA	7 days	Wed 11/5/14
237	1.25.3 NYPA Resolved Security Modification	0%	NA	NA	10 days	Wed 9/3/14
238	1.25.4 Reinstall Security Features	0%	NA	NA	27 days	Fri 11/14/14
239	1.25.5 Reinstall Security - Fence	0%	NA	NA	10 days	Fri 11/14/14
240	**1.26 FINAL COMPLETION**	0%	NA	NA	0 days	Fri 5/15/15
241	1.27 Demobilization	0%	NA	NA	15 days	Mon 4/27/15
242	1.28 Closeout Documentation	0%	NA	NA	41 days	Wed 10/29/14
243	1.29 Project Finish	0%	NA	NA	0 days	Mon 12/29/14
244	**2 CHANGE ORDERS (AWA)**	**56%**	**Mon 6/10/13**	**NA**	**483 days**	**Mon 6/10/13**
245	**2.1 AWR-003 (Unexpected Oil Findings)**	**44%**	**Mon 6/10/13**	**NA**	**388 days**	**Mon 6/10/13**
246	2.1.1 Identification	100%	Mon 6/10/13	Mon 6/10/13	0 days	Mon 6/10/13
247	2.1.2 Condition Assessment	100%	Mon 6/10/13	Fri 6/21/13	10 days	Mon 6/10/13
248	2.1.3 Prepare and Submit Request	100%	Mon 6/10/13	Tue 10/15/13	94 days	Mon 6/10/13
249	2.1.4 Client Approval	100%	Wed 10/16/13	Tue 10/29/13	10 days	Wed 10/16/13
250	2.1.5 Clean Up Contaminated Areas	100%	Mon 6/10/13	Fri 6/21/13	10 days	Mon 6/10/13
251	2.1.6 Spill Closure	0%	Mon 6/24/13	NA	158 days	Mon 6/24/13
252	~~**2.2 AWR-005 (Purchase Drum Storage)**~~	**3%**	**Mon 10/28/13**	**NA**	**152.25 days**	**Mon 10/28/13**
253	~~2.2.1 NYPA Resolved Closure Plan Requirements~~	5%	Mon 10/28/13	NA	15 days	Mon 10/28/13
254	~~2.2.2 Final Closure Plan~~	0%	NA	NA	5 days	Tue 5/20/14
255	~~2.2.3 Prepare Cancellation Cost~~	0%	NA	NA	5 days	Tue 5/27/14
256	**2.3 AWR-006 (Asbestos Cable Removal)**	**95%**	**Thu 6/27/13**	**NA**	**225 days**	**Thu 6/27/13**
257	2.3.1 Identification	100%	Thu 6/27/13	Thu 6/27/13	0 days	Thu 6/27/13
258	2.3.2 Condition Assessment	100%	Fri 6/28/13	Wed 7/24/13	20 days	Fri 6/28/13
259	2.3.3 Prepare and Submit Request	100%	Fri 7/26/13	Thu 8/1/13	5 days	Fri 7/26/13
260	2.3.4 Client Approval	100%	Thu 8/1/13	Thu 8/1/13	0 days	Thu 8/1/13



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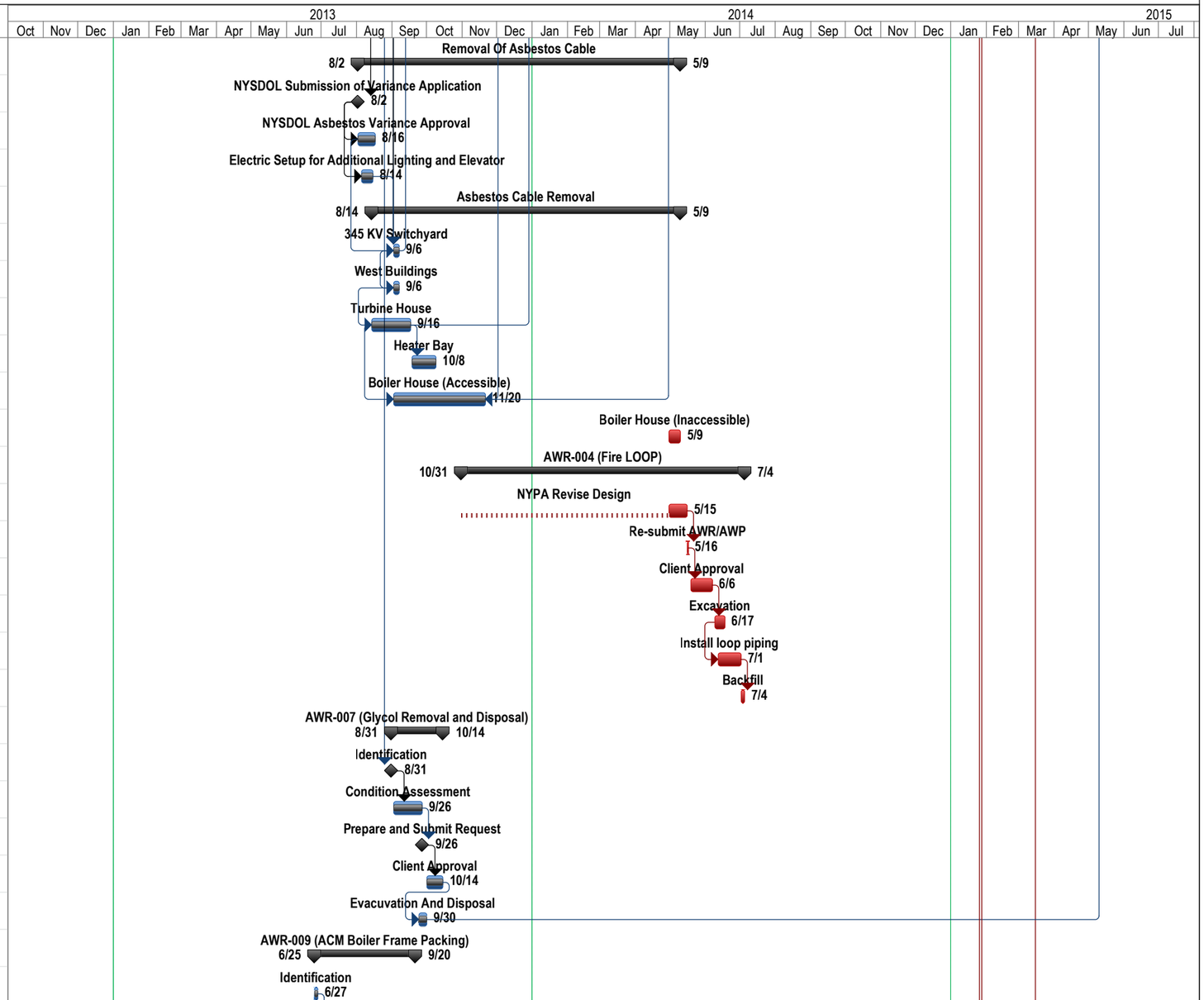
261	**2.3.5 Removal Of Asbestos Cable**	**94%**	**Fri 8/2/13**	**NA**	**198 days**	**Fri 8/2/13**
262	2.3.5.1 NYSDOL Submission of Variance Application	100%	Fri 8/2/13	Fri 8/2/13	0 days	Fri 8/2/13
263	2.3.5.2 NYSDOL Asbestos Variance Approval	100%	Fri 8/2/13	Fri 8/16/13	11 days	Fri 8/2/13
264	2.3.5.3 Electric Setup for Additional Lighting and Elevator	100%	Mon 8/5/13	Wed 8/14/13	8 days	Mon 8/5/13
265	**2.3.5.4 Asbestos Cable Removal**	**93%**	**Wed 8/14/13**	**NA**	**190 days**	**Wed 8/14/13**
266	2.3.5.4.1 345 KV Switchyard	100%	Mon 9/2/13	Fri 9/6/13	5 days	Mon 9/2/13
267	2.3.5.4.2 West Buildings	100%	Mon 9/2/13	Fri 9/6/13	5 days	Mon 9/2/13
268	2.3.5.4.3 Turbine House	100%	Wed 8/14/13	Mon 9/16/13	25 days	Wed 8/14/13
269	2.3.5.4.4 Heater Bay	100%	Wed 9/18/13	Tue 10/8/13	15 days	Wed 9/18/13
270	2.3.5.4.5 Boiler House (Accessible)	100%	Mon 9/2/13	Wed 11/20/13	58 days	Mon 9/2/13
271	2.3.5.4.6 Boiler House (Inaccessible)	0%	NA	NA	8 days	Wed 4/30/14
272	~~**2.4 AWR-004 (Fire LOOP)**~~	**0%**	**Thu 10/31/13**	**NA**	**173 days**	**Thu 10/31/13**
273	~~2.4.1 NYPA Revise Design~~	0%	Thu 10/31/13	NA	12 days	Thu 10/31/13
274	~~2.4.2 Re-submit AWR/AWP~~	0%	NA	NA	1 day	Fri 5/16/14
275	~~2.4.3 Client Approval~~	0%	NA	NA	15 days	Mon 5/19/14
276	~~2.4.4 Excavation~~	0%	NA	NA	7 days	Mon 6/9/14
277	~~2.4.5 Install loop piping~~	0%	NA	NA	14 days	Thu 6/12/14
278	~~2.4.6 Backfill~~	0%	NA	NA	3 days	Wed 7/2/14
279	**2.5 AWR-007 (Glycol Removal and Disposal)**	**100%**	**Sat 8/31/13**	**Mon 10/14/13**	**32 days**	**Sat 8/31/13**
280	2.5.1 Identification	100%	Sat 8/31/13	Sat 8/31/13	0 days	Sat 8/31/13
281	2.5.2 Condition Assessment	100%	Mon 9/2/13	Thu 9/26/13	19 days	Mon 9/2/13
282	2.5.3 Prepare and Submit Request	100%	Thu 9/26/13	Thu 9/26/13	0 days	Thu 9/26/13
283	2.5.4 Client Approval	100%	Tue 10/1/13	Mon 10/14/13	10 days	Tue 10/1/13
284	2.5.5 Evacuvation And Disposal	100%	Tue 9/24/13	Mon 9/30/13	5 days	Tue 9/24/13
285	**2.6 AWR-009 (ACM Boiler Frame Packing)**	**100%**	**Tue 6/25/13**	**Fri 9/20/13**	**66 days**	**Tue 6/25/13**
286	2.6.1 Identification	100%	Tue 6/25/13	Thu 6/27/13	3 days	Tue 6/25/13



Project: LVI - Poletti - PROJECT SC
Date: Fri 2/13/15

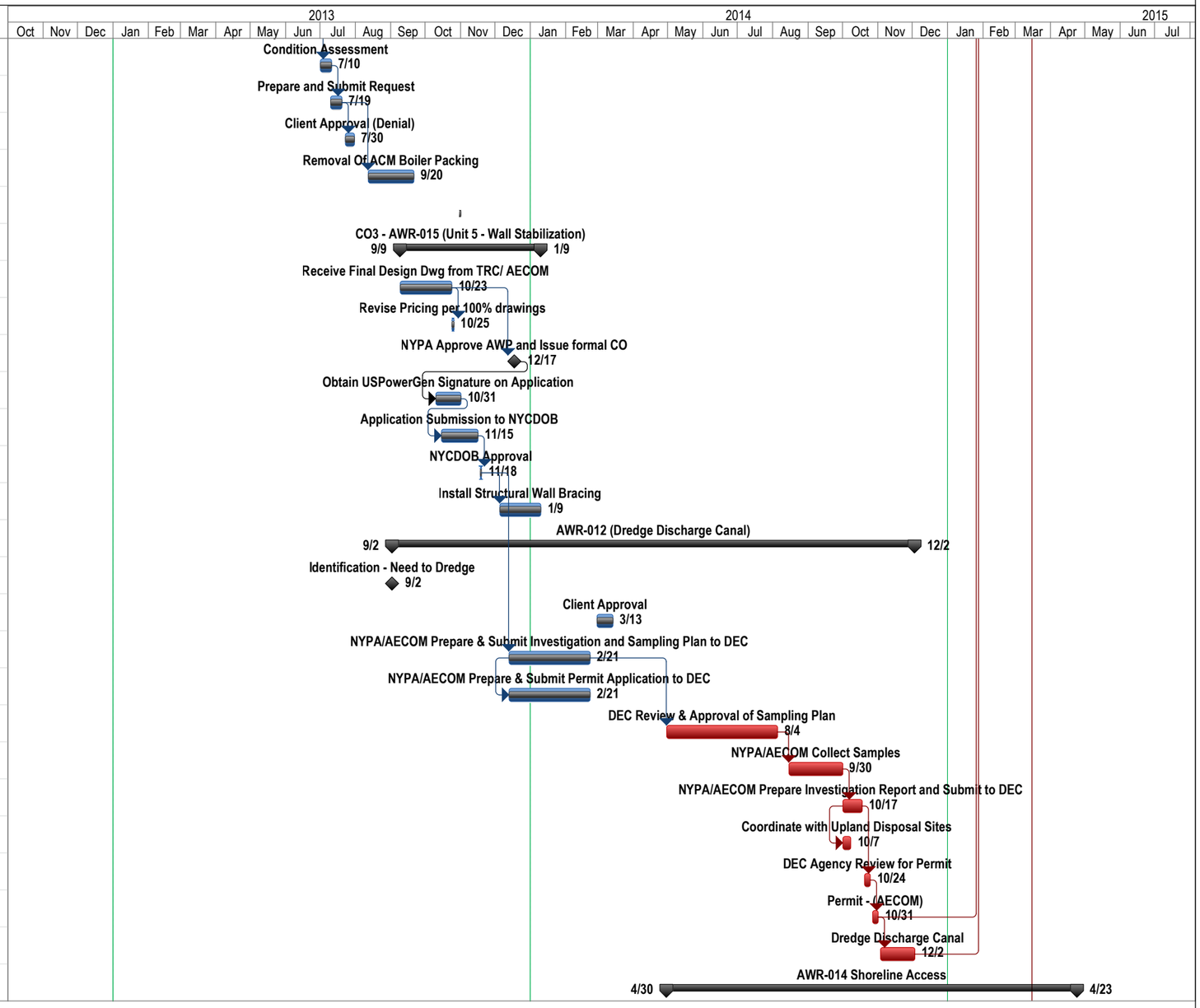
Task — Milestone — Project Summary — Critical Split
Split — Summary — Critical — Progress

SUBSTANTIAL COMPLETION - all structures down to grade elevation, site work ongoing.
FINAL COMPLETION - site work complete, closeout documents in process.

LVI Poletti Schedule Progress Schedule 10-28-14--.mpp

Data Date : 9/16/2014

ID	Task Name	% Complete	Actual Start	Actual Finish	Duration	Start
287	2.6.2 Condition Assessment	100%	Mon 7/1/13	Wed 7/10/13	8 days	Mon 7/1/13
288	2.6.3 Prepare and Submit Request	100%	Wed 7/10/13	Fri 7/19/13	8 days	Wed 7/10/13
289	2.6.4 Client Approval (Denial)	100%	Tue 7/23/13	Tue 7/30/13	6 days	Tue 7/23/13
290	2.6.5 Removal Of ACM Boiler Packing	100%	Mon 8/12/13	Fri 9/20/13	31 days	Mon 8/12/13
291	**2.7 AWR-010 (Time Lapse Camera)**	100%	Thu 10/31/13	Thu 10/31/13	1 day	Thu 10/31/13
292	**2.8 CO3 - AWR-015 (Unit 5 - Wall Stabilization)**	**100%**	**Mon 9/9/13**	**Thu 1/9/14**	**85 days**	**Mon 9/9/13**
293	2.8.1 Receive Final Design Dwg from TRC/ AECOM	100%	Mon 9/9/13	Wed 10/23/13	33 days	Mon 9/9/13
294	2.8.2 Revise Pricing per 100% drawings	100%	Thu 10/24/13	Fri 10/25/13	2 days	Thu 10/24/13
295	2.8.3 NYPA Approve AWP and Issue formal CO	100%	Tue 12/17/13	Tue 12/17/13	0 days	Tue 12/17/13
296	2.8.4 Obtain USPowerGen Signature on Application	100%	Thu 10/10/13	Thu 10/31/13	16 days	Thu 10/10/13
297	2.8.5 Application Submission to NYCDOB	100%	Tue 10/15/13	Fri 11/15/13	24 days	Tue 10/15/13
298	2.8.6 NYCDOB Approval	100%	Mon 11/18/13	Mon 11/18/13	1 day	Mon 11/18/13
299	2.8.7 Install Structural Wall Bracing	100%	Thu 12/5/13	Thu 1/9/14	24 days	Thu 12/5/13
300	**2.9 AWR-012 (Dredge Discharge Canal)**	**42%**	**Mon 9/2/13**	**NA**	**321 days**	**Mon 9/2/13**
301	2.9.1 Identification - Need to Dredge	100%	Mon 9/2/13	Mon 9/2/13	0 days	Mon 9/2/13
302	2.9.2 Client Approval	100%	Fri 2/28/14	Thu 3/13/14	10 days	Fri 2/28/14
303	2.9.3 NYPA/AECOM Prepare & Submit Investigation and Sampling Plan to DEC	100%	Fri 12/13/13	Fri 2/21/14	49 days	Fri 12/13/13
304	2.9.4 NYPA/AECOM Prepare & Submit Permit Application to DEC	100%	Fri 12/13/13	Fri 2/21/14	49 days	Fri 12/13/13
305	2.9.5 DEC Review & Approval of Sampling Plan	0%	NA	NA	69 days	Wed 4/30/14
306	2.9.6 NYPA/AECOM Collect Samples	0%	NA	NA	33 days	Fri 8/15/14
307	2.9.7 NYPA/AECOM Prepare Investigation Report and Submit to DEC	0%	NA	NA	13 days	Wed 10/1/14
308	2.9.8 Coordinate with Upland Disposal Sites	0%	NA	NA	5 days	Wed 10/1/14
309	2.9.9 DEC Agency Review for Permit	0%	NA	NA	5 days	Mon 10/20/14
310	2.9.10 Permit - (AECOM)	0%	NA	NA	5 days	Mon 10/27/14
311	2.9.11 Dredge Discharge Canal	0%	NA	NA	20 days	Mon 11/3/14
312	~~**2.10 AWR-014 Shoreline Access**~~	**0%**	**NA**	**NA**	**253 days**	**Wed 4/30/14**



Project: LVI - Poletti - PROJECT SC
Date: Fri 2/13/15

Task — Milestone — Project Summary — Critical Split
Split — Summary — Critical — Progress

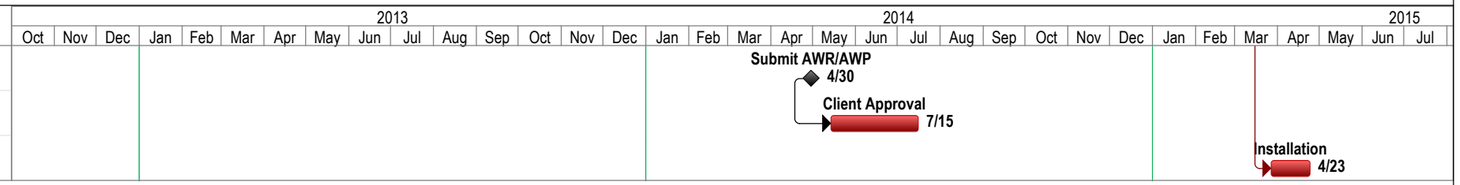
SUBSTANTIAL COMPLETION - all structures down to grade elevation, site work ongoing.
FINAL COMPLETION - site work complete, closeout documents in process.

LVI SERVICES INC.
NYPA - CHARLES POLETTI POWER PLANT DECONSTRUCTION
Project Schedule

LVI Poletti Schedule Progress Schedule 10-28-14--.mpp

Data Date : 9/16/2014

ID	Task Name	% Complete	Actual Start	Actual Finish	Duration	Start
313	~~2.10.1 Submit AWR/AWP~~	0%	NA	NA	0 days	Wed 4/30/14
314	~~2.10.2 Client Approval~~	0%	NA	NA	45 days	Wed 5/14/14
315	~~2.10.3 Installation~~	0%	NA	NA	20 days	Fri 3/27/15



Project: LVI - Poletti - PROJECT SC
Date: Fri 2/13/15

Task | Milestone | Project Summary | Critical Split
Split | Summary | Critical | Progress

SUBSTANTIAL COMPLETION - all structures down to grade elevation, site work ongoing.
FINAL COMPLETION - site work complete, closeout documents in process.

LVI SERVICES INC.

NYPA - CHARLES POLETTI POWER PLANT DECONSTRUCTION

Project Schedule

Decrease in New York City Governmental Customer Fixed Cost Component – Notice of Adoption

Exhibit "G"
Poletti Project Decommissioning Cost Update

Poletti Project Decommissioning Cost Update

The following is a status and cost update on the Poletti decommissioning project.

The decommissioning work is broken down into three major activities ─ Demineralization Plant Demolition, Fuel Oil Yard Demolition, and the Poletti Plant Deconstruction. The estimated total cost for completion of these activities was $47.3 million. This included a contingency estimate and a salvage value estimate [*see attached Table 1*]. The Demineralization work was completed in 2011 and the Fuel Oil Yard work is expected to be complete by June 2015. The Poletti Power Plant will be deconstructed to grade by December 2014, and final site restoration and demobilization of the program will be completed in late spring 2015.

As a result of unforeseen events requiring change orders the $4.7M contingency budget has been exceeded by approximately $2.7M and the Total Poletti Program cost is now estimated at about $50M. During the Poletti Plant Deconstruction phase and the Fuel Oil Yard Demolition Phase, four major occurrences account for this overage as described below:

(1) Asbestos containing material found in electrical wiring while demolishing the 345 kV transmission switchyard. These were live wires in the transmission switchyard and on other energized systems and therefore could not be tested until they were de-energized. Once tested, they proved to contain asbestos. This accounted for $3.1M in unexpected costs.

(2) The need for a change in the wall bracing scope at the connected Astoria Unit 5 unit, to meet NYC building codes. While NYPA's Poletti plant was in service, the common wall with US PowerGen was an internal structure. With the demolition of the plant that wall now became exterior, and subject to updated NYC wind loading restrictions, which required additional bracing. In the agreement between NYPA and US PowerGen, whichever party initiated changes to the common wall(s) was required to bring said wall up to code for the remaining tenant. This accounted for $1.5M in unexpected costs.

(3) The New York City Fire Department ("FDNY") rejected the proposed plan to install duct iron piping for the above ground sections of fire suppression system piping. This piping was being re-routed and supported due to the dike wall demolition. After a long collaborative effort, which included additional designs, excavation work and numerous meetings between the FDNY and the engineer, contractor, permitting and industry code experts over the course of 12 months; the FDNY approved a new design and the contractor is procuring material and will proceed with the work. This accounted for $1.7M in unexpected costs.

(4) The discovery of oil-filled electrical components located at the 345 kV transmission switchyard. This accounted for $0.62M in unexpected costs.

In addition to these four major items many smaller change orders and work requirements for additional design, permitting and oversight fees in the total $0.5 have been required.

[The revised cost estimate is delineated in Table 2].

It has also been determined that two major out-of-scope work activities are needed: 1) the demolition of the support structures for the Water Intake Screen House ("Screen House") and 2) the dredging of the water discharge canal.

1) <u>Screen House Support Structure Demolition</u>

The Screen House and all of its support structures were installed as part of the Poletti Power Project. It is an approximately 3,000 square foot, one-story steel frame building, with a concrete floor slab and a steel–frame roofing system. The Screen House was installed to deliver condenser cooling water and service water to the project. The Screen House building demolition began in September 2013 and was demolished to grade (i.e., to the level of the structure's concrete floor slab) by March 2014. The remaining concrete floor slab and the Screen House support structures, located on the shore of and over the East River, are approximately 80 feet long, 100 feet wide, and 50 feet deep. The structure is comprised mainly of three (3) components:

1. A service platform that is supported by 24 steel H-piles.
2. A transition block supported by columns and H-piles extending from a mat slab that sits on bedrock.
3. A platform structure that has a six-foot mat slab on bedrock with three-foot diameter support columns extending from the mat slab.

Demolition of the Screen House below grade (underwater) was not deemed necessary at the time the original scope of work was established. However, once the building and equipment were removed, it was determined that the supporting structures were deteriorating and weakening due to various factors and therefore must be removed as part of the Poletti Plant Deconstruction work. It will also be necessary to perform associated shoreline restoration, to maintain consistency with the remainder of the project.

Had the below grade demolition been included in the original scope, the additional monies that would have been required would be essentially the same as the amounts represented in these updated cost figures.

2) <u>Water Discharge Canal Dredging</u>

As part of the deconstruction of the Poletti Power Plant, the steel bulkhead (sheet wall) that created the discharge canal was to be removed, returning this flow area back to the East River. The New York State

Department of Environmental Conservation ("NYSDEC") and the US Army Corps of Engineers ("USACE"), the respective permitting agencies, require that before removal of the bulkhead, NYPA remove accumulated sediment from the discharge canal.

Approximately 1,255 cubic yards of sediment have accumulated behind the bulkhead that must be removed under NYSDEC and USACE regulations. The sediment removal will allow the affected area of the East River to be restored to its previous tidal flooding level. The sediment will be disposed of in accordance with all NYSDEC guidelines.

Out-of-Scope Costs

The estimated costs for both projects total $11.3 million, broken down as follows (*and further shown on Table 3*):

$8.00 million Screen House Support Structure Deconstruction
$1.60 million Canal Dredging & Shoreline Work
$1.70 million Construction Oversight & Contingency

Total Budget Estimate: $11.30 Million

The scope of work for the two projects requires approximately 36 months for assessment, design, permitting, bid and award, and demolition. The canal dredging and sheet wall removal will primarily be undertaken in 2015, while the demolition phase of the Screen House support structure and associated shoreline restoration work will take place during late 2016 into 2017. As a result of the in-scope change orders and out-of-scope requirements, it is estimated that the overall decommissioning expense will total $61.4 million rather than the previous estimate of $47.3 million. Despite the increased cost estimate produced by the additional but necessary work, the Authority will be able to maintain the $1.79 million annual asset retirement obligation charge that became effective with the 2013 rate year. However, it will be necessary to extend that charge through 2019, an additional two years beyond the planned termination at the conclusion of the 2017 rate year. We have attached a revised cost of service ***Figure 5A – Other Expense – Poletti Site Demolition and Restoration*** showing the amended amortization schedule.

As mentioned in both the 2013 and 2014 Final Staff Reports, the annual charge will continue to be revisited and adjusted as the decommissioning project continues. Upon its completion the recovery will be trued up or down in order to guarantee that the customer pays no more than the actual decommissioning costs.

Estimated In-Scope Poletti Decommissioning Expenses

(sent in response to NYC Customer data request #37 to
2014 Preliminary COS)

Table 1	Poletti Cumulative Expenditures through Year End 2014 as previously supplied to NYISO Customers		
	2012	2013	2014
Expenditures			
Demin. Plant Demolition	($2.1)	($2.1)	($2.1)
Fuel Oil Yard Demolition	($5.4)	($12.4)	($12.4)
Poletti Plant Demolition	($7.1)	($21.50)	($35.9)
Poletti CM & EHS Oversight/ Air Monitoring		($1.97)	($3.0)
Asset Recovery	$1.3	$6.1	$11.0
Contingency(15%)			($4.5)
Cumulative Expenditures	($13.3)	($31.8)	($46.9)

Updated In-Scope Poletti Decommissioning Expenses

Table 2	Poletti Cumulative Expenditures through Year End 2014 Update to NYISO Customers		
	2012	2013	2014
Expenditures			
Demin. Plant Demolition	($2.1)	($2.1)	($2.1)
Fuel Oil Yard Demolition	($5.4)	($12.5)	($12.9)
Poletti Plant Demolition	($7.2)	($15.6)	($38.6)
Poletti CM & EHS Oversight/ Air Montoring.		($1.7)	($4.6)
Asset Recovery	$1.3	$4.2	$11.0
Contingency(15%)			$0.0
Cumulative Expenditures	($13.4)	($27.7)	($47.2)

Out of Scope Poletti Decommissioning Expenses

Table 3	Estimated Poletti Decommissioning Expenditures for Out-of-Scope Work		
	2015	2016	2017
Expenditures			
Screen House Support Structure Demolition	($2.0)	($3.0)	($8.0)
Dredging & Shoreline Work	($1.3)	($1.6)	($1.6)
Poletti CM & EHS Oversight/ Air Monitoring.	($0.3)	($0.4)	($0.7)
Contingency (7.5%)	($0.2)	($0.3)	($1.0)
Cumulative Expenditures	($3.8)	($5.3)	($11.3)

Decrease in New York City Governmental Customer Fixed Cost Component – Notice of Adoption

Exhibit "H"

Current 2014 and Proposed 2015 Customer Production Rates

NEW YORK CITY GOVERNMENTAL CUSTOMERS

EXHIBIT "H"

Service Tariff No. 100 Rate Comparison (Current vs. Proposed)

	Demand ($/kW)		ENERGY (¢/kWh)											
			SUMMER		SUMMER ON PEAK		SUMMER OFF PEAK		WINTER		WINTER ON PEAK		WINTER OFF PEAK	
Service Classification	2014	2015	2014	2015	2014	2015	2014	2015	2014	2015	2014	2015	2014	2015
SC 62 Conventional	$0.00	$0.00	7.516	6.576					7.032	6.067				
SC 65 Conventional	$8.67	$9.55	5.887	4.685					5.413	4.185				
SC 66 Conventional	$0.00	$0.00	5.908	5.119					5.908	5.119				
SC 68 Conventional	$15.68	$15.83	5.651	4.589					5.167	4.081				
SC 68 TOD	$14.22	$17.29			6.249	5.884	4.135	3.696			5.040	4.787	4.211	3.634
SC 69 Conventional	$11.86	$12.77	5.773	4.679					5.290	4.171				
SC 69 TOD	$12.93	$12.60			6.918	5.779	4.839	3.637			5.730	4.705	4.914	3.576
SC 69 KIAC TOD	$12.93	$12.60			5.403	4.506	3.324	2.364			4.214	3.432	3.399	2.304
SC 80 Conventional	$1.77	$1.84	5.326	4.589					5.326	4.589				
SC 82 Conventional	$10.56	$9.82	5.999	4.698					5.515	4.189				
SC 85 Conventional	$13.36	$14.13	5.817	4.626					5.347	4.132				
SC 91 Conventional	$10.11	$9.94	6.031	4.844					5.548	4.335				
SC 91 TOD	$13.64	$13.98			6.920	5.940	4.806	3.752			5.711	4.843	4.882	3.690
SC 93 Conventional	$8.02	$6.32	6.299	4.943					5.821	4.439				
SC 98 Conventional	$4.82	$4.17	6.435	2.566					5.953	2.058				
SC 98 TOD	$10.13	$11.02			6.898	5.870	4.820	3.728			5.710	4.796	4.895	3.667

Service Tariff No. 100 Demand Standby Rate Comparison (Current vs. Proposed)

	CONTRACT STANDBY DEMAND ($/KW)				AS-USED DAILY DEMAND ($/kW-day)			
	Low Tension		High Tension		Low Tension		High Tension	
Service Classification	2014	2015	2014	2015	2014	2015	2014	2015
SC 65 Conventional	$0.260	$0.286	$0.250	$0.271	$0.276	$0.305	$0.265	$0.288
SC 68 Conventional	$0.470	$0.475	$0.443	$0.441	$0.500	$0.505	$0.471	$0.469
SC 68 TOD	$0.427	$0.519	$0.402	$0.481	$0.453	$0.551	$0.427	$0.512
SC 69 Conventional	$0.356	$0.383	$0.336	$0.356	$0.378	$0.407	$0.357	$0.379
SC 69 TOD	$0.388	$0.378	$0.372	$0.358	$0.412	$0.402	$0.395	$0.381
SC 80 Conventional	$0.053	$0.055	$0.050	$0.051	$0.056	$0.059	$0.053	$0.055
SC 82 Conventional	$0.317	$0.294	$0.298	$0.273	$0.337	$0.313	$0.317	$0.290
SC 85 Conventional	$0.401	$0.424	$0.389	$0.406	$0.426	$0.451	$0.413	$0.432
SC 91 Conventional	$0.303	$0.298	$0.286	$0.277	$0.322	$0.317	$0.304	$0.294
SC 91 TOD	$0.409	$0.419	$0.386	$0.389	$0.435	$0.446	$0.410	$0.414
SC 93 Conventional	$0.241	$0.190	$0.229	$0.178	$0.256	$0.202	$0.244	$0.189
SC 98 Conventional	$0.145	$0.125	$0.136	$0.116	$0.154	$0.133	$0.145	$0.124
SC 98 TOD	$0.304	$0.331	$0.291	$0.314	$0.323	$0.351	$0.310	$0.333

WESTCHESTER GOVERNMENTAL CUSTOMERS

EXHIBIT "A"

Service Tariff No. 200 Rate Comparison (Current vs. Proposed)

	Demand ($/kW)		ENERGY (¢/kWh)											
			SUMMER		SUMMER ON PEAK		SUMMER OFF PEAK		WINTER		WINTER ON PEAK		WINTER OFF PEAK	
Service Classification	2014	2015	2014	2015	2014	2015	2014	2015	2014	2015	2014	2015	2014	2015
SC 62 Conventional	$0.00	$0.00	6.034	5.187					5.550	4.678				
SC 66 Conventional	$0.00	$0.00	5.081	4.513					5.081	4.513				
SC 68 Conventional	$2.26	$1.90	6.611	5.975					6.127	5.466				
SC 69 Conventional	$1.79	$1.49	6.829	5.971					6.347	5.463				
SC 69 TOD	$2.58	$2.09			7.440	6.834	5.361	4.692			6.251	5.760	5.436	4.631
SC 82 Conventional	$2.26	$1.90	6.611	5.975					6.127	5.466				

Service Tariff No. 200 Demand Standby Rate Comparison (Current vs. Proposed)

	CONTRACT STANDBY DEMAND ($/KW)				AS-USED DAILY DEMAND ($/KW-day)			
	Low Tension		High Tension		Low Tension		High Tension	
Service Class	2014	2015	2014	2015	2014	2015	2014	2015
SC 68 Conventional	$0.068	$0.057	$0.064	$0.053	$0.072	$0.061	$0.068	$0.056
SC 69 Conventional	$0.054	$0.045	$0.051	$0.042	$0.057	$0.047	$0.054	$0.044
SC 69 TOD	$0.077	$0.063	$0.074	$0.059	$0.082	$0.067	$0.079	$0.063
SC 82 Conventional	$0.068	$0.057	$0.064	$0.053	$0.072	$0.061	$0.068	$0.056

Procurement (Services) and Other Contracts – Awards
(For Description of Contracts See "Discussion")

EXHIBIT "A"
March 26, 2015

Bus Unit/ Plant Site	Company Contract #	Start of Contract	Description of Contract	Closing Date	Award Basis[1] Contract Type[2]	Compensation Limit	Amount Expended To Date	Authorized Expenditures For Life Of Contract
BUSINESS SERVICES - RESEARCH & TECH DEV	**EDM INTER-NATIONAL, INC.** Fort Collins, CO (PO# TBA)	04/01/15 (on or about)	Provide for maintenance, onsite repair, cellular communication service and GridWatch web data hosting for sagometers installed on two Authority transmission corridors	03/31/20	S/S	*Note: represents total for up to 5-year term		**$141,250***
BUSINESS SERVICES - RESEARCH & TECH DEV	**THE VALLEY GROUP, INC.** (a Nexans Company) Bethel, CT (PO# TBA)	04/01/15 (on or about)	Provide Dynamic Line Rating system main-tenance and support services	03/31/20	S/S	*Note: represents total for up to 5-year term		**$210,000***
ECONOMIC DEVELOPMENT & ENERGY EFFICIENCY - ENERGY EFFICIENCY	**Q14-5789; 2 awards:** **1. SOLAR LIBERTY ENERGY SYSTEMS, INC.** Williamsville, NY **2. STANDARD SOLAR, INC.** Rockville, MD (PO#s TBA)	04/01/15 (on or about)	Provide for all supervision, labor, materials and equip-ment and perform all actions required to F/D/I and make operational solar photovoltaic systems (Phase 1: NYC Schools)	12/31/16	B/C	*Note: represents currently estimated aggregate total for Phase 1 for up to 21-month term (to be allocated from previously approved funding). **All costs will be recovered by the Authority.**		**$11,856,000***
ECONOMIC DEVELOPMENT & ENERGY EFFICIENCY - ENERGY EFFICIENCY	**WENDEL ENERGY SERVICES, LLC** Amherst, NY (HQ) (**Q14-5668**; PO# TBA)	04/01/15 (on or about)	Provide for Statewide Energy Efficiency Pro-gram services	10/14/19 (coterminous with ten other contracts for such services, approved by the Trustees on 10/15/14 + one on 2/26/15)	B/P	*Note: included in the previously-approved aggregate total of $300 million for eleven other contracts for such services, over a 5-year term		**$ ***

♦ M / WBE: New York State-certified Minority / Women-owned Business Enterprise (indicated by the ♦ symbol after the Company Name)
1 Award Basis: B= Competitive Bid; S= Sole Source; Si= Single Source; C= Competitive Search
2 Contract Type: P= Personal Service; S= (Non-Personal) Service; C= Construction; E= Equipment; N= Non-Procurement; A= Architectural & Engineering Service; L= Legal Service

Procurement (Services) and Other Contracts – Awards
(For Description of Contracts See "Discussion")

EXHIBIT "A"
March 26, 2015

Bus Unit/ Plant Site	Company Contract #	Start of Contract	Description of Contract	Closing Date	Award Basis[1] Contract Type[2]	Compensation Limit	Amount Expended To Date	Authorized Expenditures For Life Of Contract
LAW	**WHITEMAN OSTERMAN & HANNA LLP** Albany, NY (PO# TBA)	04/01/15 (on or about)	Provide for the continuation of legal services in connection with certain ongoing matters, as needed	03/31/19 (includes initial 3-year award + 1-yr option to extend)	Si/L	*Note: represents total for up to 4-year term		**$400,000***
OPERATIONS - POWER GEN - SCPPs	**Q14-5747; 2 awards:**		Provide for on-call general maintenance support services for the Small Clean Power Plants	03/01/20	B/S			**$5,000,000***
	1. ACME INDUSTRIAL INC. Staten Island, NY **(4600002911)**	03/02/15				$150,000		
	2. BOILERMATIC WELDING INDUSTRIES INC. Medford, NY **(4600002912)**	"				$150,000 *Note: represents aggregate total for up to 5-year term		
OPERATIONS - POWER GEN - SENY REGION	**Q15-5799; 2 awards:**	04/01/15 (on or about)	Provide for switchyard maintenance services for the SENY Region plants and other facilities	03/31/20	B/S	*Note: represents aggregate total for up to 5-year term		**$10,000,000***
	1. AMERICAN ELECTRICAL TESTING CO., INC. Canton, MA							
	2. EPS TECHNOLOGY, INC. Wallingford, CT (PO#s TBA)							
OPERATIONS - POWER GEN - STL	**CASELLA WASTE SERVICES** Potsdam, NY (6000154472; PO# TBA)	04/01/15 (on or about)	Provide for refuse removal and disposal services for the St. Lawrence/FDR Project	03/31/18	B/S	*Note: represents total for up to 3-year term		**$400,000***

♦ M / WBE: **New York State-certified Minority / Women-owned Business Enterprise (indicated by the ♦ symbol after the Company Name)**
1 Award Basis: **B= Competitive Bid; S= Sole Source; Si= Single Source; C= Competitive Search**
2 Contract Type: **P= Personal Service; S= (Non-Personal) Service; C= Construction; E= Equipment; N= Non-Procurement; A= Architectural & Engineering Service; L= Legal Service**

Procurement (Services) and Other Contracts – Awards
(For Description of Contracts See "Discussion")

EXHIBIT "A"
March 26, 2015

Bus Unit/ Plant Site	Company Contract #	Start of Contract	Description of Contract	Closing Date	Award Basis[1] Contract Type[2]	Compensation Limit	Amount Expended To Date	Authorized Expenditures For Life Of Contract
OPERATIONS - POWER GEN - SCPPs	**CIRCUIT BREAKER SALES NE, INC.** Seymour, CT (**Q15-5800**; PO# TBA)	04/01/15 (on or about)	Provide for maintenance services for breakers and associated electrical components at the Small Clean Power Plants	03/31/20	B/S	*Note: represents aggregate total for up to 5-year term		**$1,000,000***
OPERATIONS SUPPORT SERVICES - EH&S	**Q14-5608; 2 awards:** **1. CLEAN HARBORS ENVIRONMENTAL SERVICES, INC.** Twinsburg, OH **2. TCI OF NY, LLC** Coeymans, NY (PO#s TBA)	04/01/15 (on or about)	Provide for turnkey field services, transportation, recycling and disposal of oil-filled electrical equipment from Authority facilities statewide	03/31/20	B/S	*Note: represents total for up to 5-year term		**$1,000,000***
OPERATIONS - POWER GEN - SENY REGION	**Q14-5755; 2 awards:** **1. DAY & ZIMMERMANN (D&Z)** Lancaster, PA **2. FRESH MEADOW POWER, LLC** Fresh Meadows, NY (PO#s TBA)	04/01/15 (on or about)	Provide for on-call general maintenance support services for the SENY Region plants and other facilities	03/31/20	B/S	*Note: represents aggregate total for up to 5-year term		**$30,000,000***
OPERATIONS SUPPORT SERVICES - EH&S	**MILIEU INC.** La Prairie, Quebec CANADA (**Q14-5770;** PO# TBA)	04/01/15 (on or about)	Provide for the operation, maintenance and monitoring of the eel passage facility at STL	03/31/20	B/S	*Note: represents total for up to 5-year term		**$455,800***

♦ M / WBE: New York State-certified Minority / Women-owned Business Enterprise (indicated by the ♦ symbol after the Company Name)
1 Award Basis: B= Competitive Bid; S= Sole Source; Si= Single Source; C= Competitive Search
2 Contract Type: P= Personal Service; S= (Non-Personal) Service; C= Construction; E= Equipment; N= Non-Procurement; A= Architectural & Engineering Service; L= Legal Service

Procurement (Services) and Other Contracts – Awards
(For Description of Contracts See "Discussion")

EXHIBIT "A"
March 26, 2015

Bus Unit/ Plant Site	Company Contract #	Start of Contract	Description of Contract	Closing Date	Award Basis[1] Contract Type[2]	Compensation Limit	Amount Expended To Date	Authorized Expenditures For Life Of Contract
OPERATIONS SUPPORT SERVICES - ASSET & MAINT MGMT	**ULTRAMAP LIMITED** Newcastle upon Tyne ENGLAND (**Q14-5792;** PO# TBA)	04/01/15 (on or about)	Provide for marine vessel traffic monitoring and alerting system and services for the Y-49 cable in Long Island Sound	03/31/20	B/S	*Note: represents total for up to 5-year term		**$270,740***
PUBLIC, GOV. & REGULATORY AFFAIRS - PROJECT DEV. & LICENSING	**Q14-5680; 2 awards:** **1. ARCADIS OF NEW YORK, INC.** White Plains, NY **2. CH2M HILL ENGINEERING, PA** Englewood, CO (HQ) New York, NY (Branch Office) (PO#s TBA)	04/01/15 (on or about)	Provide for consulting services for licensing and environmental permitting tasks	11/14/19 (coterminous with nine other contracts for such services, approved by the Trustees on 10/15/14 + one on 12/16/14)	B/P	*Note: included in the previously-approved aggregate total of $5 million for ten other contracts for such services, for a term of up to 5 years		**$ ***

♦ M / WBE: New York State-certified Minority / Women-owned Business Enterprise (indicated by the ♦ symbol after the Company Name)
1 Award Basis: B= Competitive Bid; S= Sole Source; Si= Single Source; C= Competitive Search
2 Contract Type: P= Personal Service; S= (Non-Personal) Service; C= Construction; E= Equipment; N= Non-Procurement; A= Architectural & Engineering Service; L= Legal Service

Procurement (Services) Contracts – Extensions and/or Additional Funding
(For Description of Contracts See "Discussion")

EXHIBIT "B"
March 26, 2015

Plant Site/ Bus. Unit	Company Contract #	Start of Contract	Description of Contract	Closing Date	Award Basis[1] Contract Type[2]	Compensation Limit	Amount Expended To Date	Authorized Expenditures For Life Of Contract
ECONOMIC DEVELOPMENT & ENERGY EFFICIENCY - ENERGY EFFICIENCY	**EYP ARCHITECTURE & ENGINEERING PC** Albany, NY **4600002274**	05/26/10	Provide for program management and implementation services for the Statewide Energy Efficiency Program	08/31/18	B/C	$10,000,000 ("Target Value") *Note: represents original allocated amount to EYP from approved aggregate total of $180 million for contracts with four firms; **NO additional funding requested**	$777,531 ("Released Amt")	**$10,000,000***
OPERATIONS SUPP SERV - PROJ MGMT	**DYNAMIC MECHANICAL CONTRACTORS, INC.** Hackensack, NJ **4500248068**	08/01/14	Provide for Black-Start Project services at the Harlem River and Hell Gate SCPPs	07/30/16	B/C	$7,536,100 *Note: represents originally approved amount; **NO additional funding requested**	$1,068,292	**$7,536,100***
OPERATIONS-SUPP SERV - PROJ MGMT	**O'CONNELL ELECTRIC COMPANY, INC.** Victor, NY **4500244404**	04/17/14	Provide for Shunt Reactor Project services at the Coopers Corners Substation	12/31/15	B/C	$4,316,098 *Note: includes originally approved amount of $3.8 million (actual award amount $3,866,751) + an additional $449,347 authorized in accordance with the EAPs; **NO additional funding requested**	$1,315,768	**$4,316,098***

♦ M / WBE: **New York State-certified Minority / Women-owned Business Enterprise (indicated by the ♦ symbol after the Company Name)**
1 Award Basis: **B= Competitive Bid; C= Competitive Search; S= Sole Source; Si = Single Source**
2 Contract Type: **P= Personal Service; S= (Non-Personal) Service; C= Construction; E= Equipment; N= Non-Procurement; L= Legal Service**

March 26, 2015
Exhibit "A"

I. General Services Counsel RFP Q14-5764MR

Bleakley Platt & Schmidt LLP
One North Lexington Ave.
White Plains, New York 10602

Bond, Schoeneck & King PLLC
1010 Franklin Avenue, Suite 200
Garden City, New York 11530

Carter Ledyard & Milburn LLP
2 Wall Street
New York, NY 10005

Gibbons P.C.
One Pennsylvania Plaza, 37th Floor
New York, New York 10119

Gonzalez Saggio & Harlan LLP
292 Madison Avenue, 19th Floor
New York, New York 10017

Graves, Horton, Askew & Johns LLC
1750 K St., NW, Suite 200
Washington, DC 20006

Hiscock & Barclay LLP
One Park Place
300 South State Street
Syracuse, New York 13202

Holland and Knight LLP
31 West 52nd Street
New York, NY 10019

Jackson Lewis P.C.
44 South Broadway, 14th Floor
White Plains, New York 10601

McCarter & English LLP
245 Park Avenue, 27th Floor
New York, New York 10167

Nixon Peabody LLP
677 Broadway, 10th Floor
Albany, NY 12207

Pillsbury Winthrop Shaw Pittman LLP
1200 Seventeenth Street, NW
Washington, DC 20036

Towne, Ryan & Partners P.C.
450 Karner Road
Albany, New York 12205

II. Bond, Underwriter and Disclosure Counsel RFP Q14-5766MR

Bryant & Rabbino LLP
1180 Avenue of the Americas
New York, NY 10036

Gonzalez Saggio & Harlan LLP [1]
292 Madison Avenue, 19th Floor
New York, New York 10017

Hawkins Delafield & Wood LLP
One Chase Manhattan Plaza
New York, NY 10005

McKenna Long & Aldridge LLP
3030 Peachtree Street NE
Atlanta, GA 30308

Nixon Peabody LLP
677 Broadway, 10th Floor
Albany, NY 12207

Orrick Herrington & Sutcliffe LLP
666 Fifth Avenue
New York, NY 10103

[1] As underwriter's counsel, this firm will not enter into a contract directly with the Authority.

III. Energy Services Counsel RFP Q14-5765MR

Bond, Schoeneck & King PLLC
1010 Franklin Avenue, Suite 200
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300 South State Street
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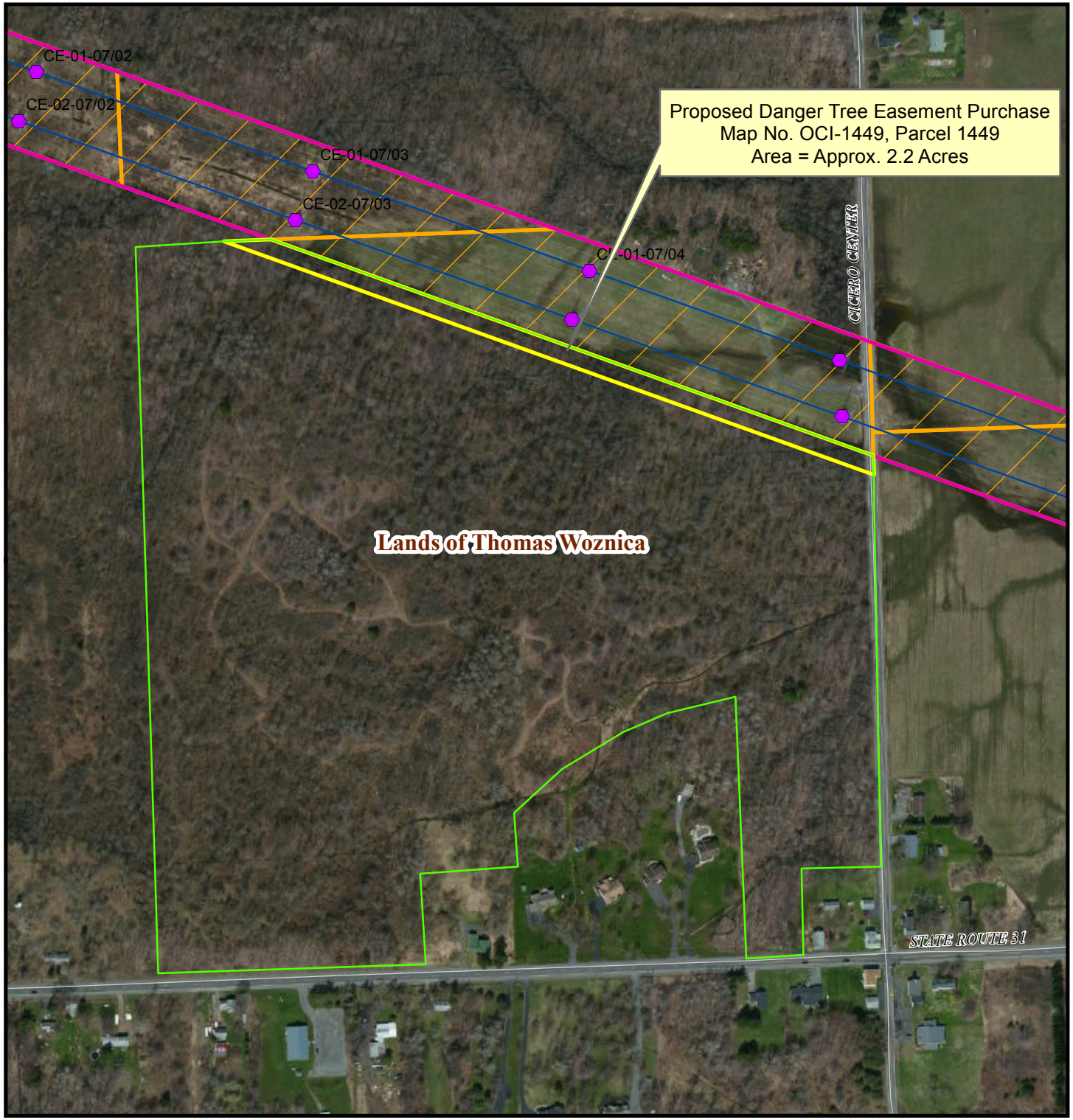
Holland & Knight LLP
31 West 52nd Street
New York, NY 10019

Stinson Leonard Street LLP
1775 Pennsylvania Avenue NW, Suite 800
Washington, DC 20006

Van Ness Feldman LLP
1050 Thomas Jefferson Street NW, 7th floor
Washington, DC 20007

New York Power Authority
Niagara-Adirondack Tie Line
Exhibit Illustrating Proposed Danger Tree Easement
Woznica Property
Town of Cicero
Onondaga County



Legend

- Parcel_1449
- Maintained ROW
- Center Lines
- Primary Highways
- Other Roads
- Easements to NYPA
- Towers (All)

NOTE: Area and configuration of proposed parcel 1449 is subject to change pending field survey.

N
W E
S

1 inch = 400 feet

0 100 200 400 600 800 Feet

POWER AUTHORITY OF THE STATE OF NEW YORK
BLENHEIM–GILBOA PUMPED STORAGE POWER PROJECT

SHEET 1 OF 2 SHEETS

COUNTY OF SCHOHARIE
TOWN OF GILBOA
MAP NO. 18C
PARCEL NO's. 522A, 522B

SURVEY AND DESCRIPTION PREPARED UNDER THE DIRECTION OF THE POWER AUTHORITY OF THE STATE OF NEW YORK.

ALTERATION OR ADDITION TO A SURVEY MAP BEARING THE SEAL OF A LICENSED LAND SURVEYOR IS A VIOLATION OF SECTION 7209, SUBDIVISION 2 OF THE NEW YORK STATE EDUCATION LAW.

CC–D L. MAP 2, PARCEL No's. 15 & 16

NOTES

North orientation and bearing base are referenced to the Blenheim–Gilboa Pumped Storage Power Project Local Coordinate System.

THE PEOPLE OF THE STATE OF NEW YORK (POWER AUTHORITY OF THE STATE OF NEW YORK – OWNER)

P/O MAP 2
PARCEL No.15

N 86° 04' 51" E 303.87'

S 05° 21' 37" E 545.73'

JAMES STOLTENBORG (REPUTED OWNER)

PARCEL No. 522A
AREA = 57,935 +/– SQ.FT.
1.33 +/– ACRES

ADAM & KRYSTYNA GERLAK (REPUTED OWNERS)

P.O.B.
PARCEL 522A

MAP No. 17C PARCEL No. 521

P/O MAP 2
PARCEL No.15

MAP 2

PARCEL No.15

PARCEL No.16

MAP 2

MOWER – KINGSLEY ROAD (TOWN OF GILBOA REPUTED OWNER)

N 06° 00' 00" E 286.26'

S 16° 54' 21" W 206.67'

WILLIAM DIDIO (REPUTED OWNER)
MAP No. 16C
PARCEL No. 520

COURSES AND DISTANCES 1–6
FOR PARCEL 522A

1.	N 43° 53' 59" W	37.98'
2.	N 03° 49' 32" W	72.49'
3.	△ = 46° 35' 48"	
	R = 337.89'	
	L = 274.79'	
4.	N 50° 25' 20" W	215.25'
5.	N 06° 00' 00" E	17.34'
6.	N 50° 25' 20" W	48.04'

COURSES AND DISTANCES 7–12
FOR PARCEL 522B

7.	S 50° 25' 20" E	48.05'
8.	N 06° 00' 00" E	49.38'
9.	S 50° 25' 20" E	201.98'
10.	△ = 46° 35' 48"	
	R = 317.89'	
	L = 258.53'	
11.	S 03° 49' 32" E	48.72'
12.	N 43° 53' 59" W	85.65'

PARCEL No. 522B
AREA = 264,409 +/– SQ.FT.
6.07 +/– ACRES

S 54° 15' 53" W 384.92'

MAP No. 3C
PARCEL No. 502

THE PEOPLE OF THE STATE OF NEW YORK (POWER AUTHORITY OF THE STATE OF NEW YORK – OWNER)

△ = 117° 55' 56"
R = 473.00'
L = 973.58'

MAP No. SG 334
PARCEL No. 334 (P.E.)

NEW SCOTLAND TRANSMISSION LINE RIGHT – OF – WAY

MAP No. SG 100
PARCEL No. 100(3) (PE)

P/O MAP 2
PARCEL No.16

S 85° 56' 37" W 705.71'

S 56° 04' 04" E 243.07'

P.O.B.
PARCEL 522B

N 25° 50' 17" W 334.83'

LUCILLE & PAUL IZZO (REPUTED OWNERS)

GILBOA

BAR SCALE
100 0 50 100 200
1 inch = 100 ft.

CONTINUED ON SHEET 2 OF 2

PEOPLE OF THE STATE OF NEW YORK
ACTING BY AND THROUGH
POWER AUTHORITY OF THE STATE OF NEW YORK
PARCELS TO BE CONVEYED
TO
LUCILLE & PAUL IZZO AND WILLIAM DIDIO

PARCEL NO. 522A

AREA = 57,935 +/– Sq. Ft. (1.33 +/– Acres)

All that piece or parcel of property hereinafter designated as Parcel No. 522A, being in the Town of Gilboa, County of Schoharie, State of New York, as shown on the accompanying map and described as follows:

Beginning on the common division line between lands of The People of the State of New York (owner) on the north, lands of Adam & Krystyna Gerlak (reputed owners) on the east and lands of William Didio (reputed owner) on the south,

thence along said common division between The People of the State of New York (owner) and William Didio (reputed owner), N 43°53'59" W, 37.98 feet to a point on the common division line between lands of The People of the State of New York (owner) on the east and other lands of William Didio (reputed owner) on the west;

thence along said division line the following five (5) courses and distances:

1. N 03°49'32" W, 72.49 feet to a point;
2. on a tangent curve to the left, having a central angle of 46°35'48", a radius of 337.89 feet, and a length of 274.79 feet to a point;
3. N 50°25'20" W, 215.25 feet to a point;
4. N 06°00'00" E, 17.34 feet to a point; and
5. N 50°25'20" W, 48.04 feet to a point on the easterly bounds of Mower–Kingsley Road (Town of Gilboa–reputed owner);

thence through the aforementioned lands of The People of the State of New York (owner) N 86°04'51" E, 303.87 feet to a point on the common division line between lands of said People of the State of New York (owner) on the west and lands of James Stoltenborg (reputed owner) on the east;

thence along said division line and continuing along the lands of said Adam & Krystyna Gerlak (reputed owners),
S 05°21'37" E, 545.73 feet to the place of beginning, being 57,935 +/– Sq. Ft. (1.33 +/– Acres).

All bearings referred to the Blenheim–Gilboa Pumped Storage Power Project Local Coordinate System.

PARCEL NO. 522B

AREA = 264,409 +/– Sq. Ft. (6.07 +/– Acres)

All that piece or parcel of property hereinafter designated as Parcel No. 522B, being in the Town of Gilboa, County of Schoharie, State of New York, as shown on the accompanying map and described as follows:

Beginning at a point on the southerly boundary of Mower–Kingsley Road (Town of Gilboa–reputed owner) at a common corner between the lands of The People of the State of New York (owner) on the east and lands of Lucille & Paul Izzo (reputed owners) on the west;

thence along said southerly and easterly boundary of Mower–Kingsley Road the following three (3) courses and distances:

1. S 56°04'04" E, 243.07 feet to a point;
2. on a tangent curve to the left, having a central angle of 117°55'56", a radius of 473.00 feet, and a length of 973.58 feet to a point; and
3. N 06°00'00" E, 286.26 feet to a point at a common division line between lands of The People of the State of New York (owner) on the south and lands of William Didio (reputed owner) on the north;

thence along said division line the following five (5) courses and distances:

1. S 50°25'20" E, 48.05 feet to a point;
2. N 06°00'00" E, 49.38 feet to a point;
3. S 50°25'20" E, 201.98 feet to a point;
4. on a tangent curve to the right, having a central angle of 46°35'48", a radius of 317.89 feet, and a length of 258.53 feet to a point; and
5. S 03°49'32" E, 48.72 feet to a point on the common division line between The People of the State of New York (owner) on north and other lands of William Didio (reputed owner) on the south,

thence along said division line N 43°53'59" W, 85.65 feet to a point at the most northerly corner thereof;

thence continuing along said division line and along the common division line between the lands of The People of the State of New York (owner) on the north and lands of Lucille & Paul Izzo (reputed owners) on the south the following four (4) courses and distances:

1. S 16°54'21" W, 206.67 feet to a point;
2. S 54°15'53" W, 384.92 feet to a point;
3. S 85°56'37" W, 705.71 feet to a point; and
4. N 25°50'17" W, 334.83 feet to the place of beginning, being 264,409 +/– Sq. Ft. (6.07 +/– Acres).

All bearings referred to the Blenheim–Gilboa Pumped Storage Power Project Local Coordinate System.

Said Parcel No. 522A, being a portion of the following Power Authority of the State of New York, Blenheim – Gilboa Pumped Storage Power Project Maps and Parcels.

Map No.	Parcel No.	Acquisition	Date	Notice of Appropriation
2	15	Appropriation	7/23/69	L. 338 P. 499

Said Parcel No. 522B, being a portion of the following Power Authority of the State of New York, Blenheim – Gilboa Pumped Storage Power Project Maps and Parcels.

Map No.	Parcel No.	Acquisition	Date	Notice of Appropriation
2	15	Appropriation	7/23/69	L. 338 P. 499
2	16	Appropriation	7/23/69	L. 338 P. 499

I hereby certify that this is an accurate description and map made from accurate surveys and records in the files of the Power Authority of the State of New York.

Date

By: _____
JOHN G. WINGFIELD N.Y.S.L.S. NO. 49842
MANAGER, SURVEYING, MAPPING, AND GIS
POWER AUTHORITY OF THE STATE OF NEW YORK

Exhibit "A"
March 26, 2015

2014 Annual Report on
Investment of Authority Funds

Table of Contents

Section I	Guidelines for the Investment of Funds	
Section II	Explanation of the Investment Guidelines	
Section III	A.	Investment Income Record
	B.	Fees Paid for Other Post-Employment Benefits Trust Fund
	C.	Fees Paid for Nuclear Decommissioning Trust Fund
	D.	Results of the Annual Independent Audit
Section IV	Inventory of Investments Held on December 31, 2014	
Section V	Summary of Dealers and Banks from Which Securities Were Purchased and Sold	

Section I

New York Power Authority
Guidelines for the Investment of Funds

I. General

These Guidelines for the Investment of Funds (the "Guidelines") are intended to effectuate the applicable provisions of the General Resolution Authorizing Revenue Obligations, adopted February 24, 1998 (the "Resolution"), the lien and pledge of which covers all accounts and funds of the Authority and that governs the Authority's existing policies and procedures concerning the investment of funds as contained in these Guidelines. In a conflict between the Guidelines and the Resolution, the latter shall prevail. In addition, these Guidelines are intended to effectuate the provisions of Section 2925 of the New York State Public Authorities Law.

II. Responsibility for Investments

The Treasurer and Deputy Treasurer have the responsibility for the investment of Authority funds under the general supervision of the Executive Vice President and Chief Financial Officer. The Treasurer shall ensure that an operating manual is maintained that provides a detailed description of procedures for maintaining records of investment transactions and related information.

III. Investment Goals

The Treasurer and Deputy Treasurer are responsible for maximizing the yield on investments consistent with requirements for safety, liquidity and minimization of risk. Monies will not be invested for terms in excess of the projected use of funds.

IV. Authorized Investments

A. Monies in funds established pursuant to the Resolution shall be invested in Authorized Investments or Authorized Certificates of Deposit, defined as follows:

"Authorized Investments" shall mean:

1. Direct obligations of or obligations guaranteed by the United States of America or the State of New York;

2. Bonds, debentures, notes or other obligations issued or guaranteed by any of the following: Federal National Mortgage Association (including Participation Certificates), Government National Mortgage Association,

Federal Financing Bank, Federal Home Loan Mortgage Corporation and Federal Home Loan Banks, Federal Housing Administration, Federal Farm Credit Banks Funding Corporation, Federal Farm Credit Banks, Federal Intermediate Credit Banks, Federal Banks for Cooperatives, Federal Land Banks or any other agency controlled or supervised by and acting as an instrumentality of the United States government;

3. Obligations of any state of the United States of America or any political subdivision thereof or any agency, instrumentality or local government unit of any such state or political subdivision that shall be rated at the time of the investment in any of the three highest long-term Rating Categories, as such term is defined in the Resolution, or the highest short-term Rating Category by a Rating Agency, as such term is defined in the Resolution.

4. Public Housing Bonds issued by Public Housing Authorities and fully secured as to the payment of both principal and interest by a pledge of annual contributions under an Annual Contributions Contract with the United States of America; or Project Notes issued by Local Public Agencies, in each case, fully secured as to the payment of both principal and interest by a requisition or payment agreement with the United States of America; provided that such Bonds or Notes are guaranteed by the United States of America.

"Authorized Certificate of Deposit" shall mean a certificate of deposit authorized by the Resolution as an "Authorized Investment."

B. The Authority, as an issuer of tax-exempt obligations, must not engage in any arbitrage practice prohibited by the arbitrage regulations promulgated under the Internal Revenue Code. In no event shall Authority funds be invested in a manner that would violate the provisions of such arbitrage regulations.

V. Provisions Relating to Qualifications of Dealers and Banks

A.1. The purchase and/or sale of Authorized Investments shall be transacted only through banks, trust companies or national banking associations (herein collectively termed "Banks") that are members of the Federal Reserve System and government security dealers (herein termed "Dealers"), which are Banks and Dealers reporting to, trading with and recognized as primary dealers by the Federal Reserve Bank of New York. A list of authorized Banks and Dealers shall be maintained. Banks and Dealers shall have demonstrated an ability to:

a) offer superior rates or prices on the types and amounts of securities required;
b) provide a high degree of attention to the Authority's investment objectives; and
c) execute trades in a timely and accurate manner.

A.2. Authorized Investments may also be purchased or sold through minority-owned, women-owned, and service-disabled veteran owned firms authorized to transact business in the U.S. government and municipal securities markets. Such qualified firms shall demonstrate the qualities detailed in clauses (a), (b) and (c) of Section V.A.1.

A.3.A. Municipal securities qualifying as Authorized Investments may also be purchased or sold through any municipal bond dealer registered in the State of New York that demonstrates the qualities detailed in clauses (a), (b) and (c) of Section V.A.1.

B. Authorized Certificates of Deposit and time deposits ("Time Deposits") shall be purchased directly from Banks that:

(1) are members of the Federal Reserve System transacting business in the State of New York;
(2) have capital and surplus aggregating at least $50 million; and
(3) demonstrate all the qualities detailed in clauses (a), (b) and (c) of Section V.A.1.

C. Authorized Investments purchased by the Authority or collateral securing its investments shall be deposited only with custodians designated by the Authority. Such custodians shall be Banks that are members of the Federal Reserve System transacting business in the State of New York.

D. The Authority shall file with each qualified dealer a letter agreement that designates the (1) type of authorized investments, (2) Authority employees who are authorized to transact business and (3) delivery instructions for the safekeeping of investments.

E. The Authority shall enter into a written contract with any (1) Dealer from which Authorized Investments are purchased subject to a repurchase agreement and (2) Bank from which Authorized Certificates of Deposit are purchased.

VI. **General Policies Governing Investment Transactions**

A. Competitive quotations or negotiated prices shall be obtained except in the purchase of government securities at their initial auction or upon initial offering. A minimum of three quotes shall be obtained and documented from Dealers and/ or Banks, except as indicated above, and the most favorable quote accepted. The Treasurer or Deputy Treasurer may waive this requirement on a single-transaction basis only if warranted by market conditions and documented in writing.

B. Authorized Investments purchased shall be either delivered to the Authority's designated custodian or, in the case of securities held in a book-entry account maintained at the Federal Reserve Bank of New York or the Depository Trust

Company, recorded in the Authority's name or in the name of a nominee agent or custodian designated by the Authority on the books of the Federal Reserve Bank of New York or the Depository Trust Company. Payment shall be made to the Dealer or Bank only upon receipt by the Authority's custodian of (1) the securities or (2) in the case of securities held in a book-entry account, written advice or wire confirmation from the Federal Reserve Bank of New York or the Depository Trust Company that the necessary book entry has been made.

C. Each purchase or sale of Authorized Investments or Authorized Certificates of Deposit shall be authorized by the Treasurer or Deputy Treasurer. Investment orders may be placed by Authority employees as designated by the Treasurer. The custodian shall have standing instructions to send a transaction advice to the Authority's Controller for purposes of comparison with internal records. The Controller shall advise the Treasurer of any variances, and the Treasurer shall ensure appropriate corrections are provided.

VII. Policies Concerning Certain Types of Investment Diversification Standards Required

A. Authorized Certificates of Deposit and Time Deposits

1. Authorized Certificates of Deposit and Time Deposits shall be purchased directly from a Bank in the primary market.

2. Authorized Certificates of Deposit and Time Deposits shall be continuously secured/collateralized by Authorized Investments defined in subsection (1) or (2) of Section IV.A., having a market value (exclusive of accrued interest) at all times at least equal to the principal amount of such Certificates of Deposit or Time Deposits. Such Authorized Investments shall be segregated in a separate custodian account on behalf of the Authority. Collateral pledged for Certificates of Deposit or Time Deposits held as investments shall be market valued (marked to market) not less than once per week.

3. Investments in Authorized Certificates of Deposit or Time Deposits shall not exceed 25% of the Authority's invested funds. The par value of Authorized Certificates of Deposit purchased from any one Bank shall not exceed $25 million.

B. Repurchase Agreements

The Authority may from time to time elect to enter into arrangements for the purchase and resale of Authorized Investments (known as “Repurchase Agreements”). This type of investment transaction shall be used only when there is no other viable, short-term investment alternative.

1. A Repurchase Agreement shall be transacted only with a Dealer or Bank qualified to sell Authorized Investments to the Authority that is recognized by the Federal Reserve Bank as a primary dealer.

2. Authorized Investments purchased subject to a Repurchase Agreement shall be marked to market daily to ensure their value equals or exceeds the purchase price.

3. A Repurchase Agreement shall be limited to a maximum fixed term of five business days. Payment for the purchased securities shall be made against delivery to the Authority's designated custodian (which shall not be a party to the transaction as seller or seller's agent) or, in the case of securities held in a book-entry account maintained at the Federal Reserve Bank of New York or the Depository Trust Company, written advice that the securities are recorded in the Authority's name or in the name of a nominee, agent or custodian designated by the Authority on the books of the Federal Reserve Bank or the Depository Trust Company.

4. No more than $50 million of Authorized Investments shall be purchased under a Repurchase Agreement with any one Dealer or Bank. This requirement may be waived by the Executive Vice President and Chief Financial Officer on a single- transaction basis only if warranted by special circumstances and documented in writing.

5. The aggregate amount invested in Repurchase Agreements may not exceed the greater of 5% of the investment portfolio or $100 million. The Executive Vice President and Chief Financial Officer may waive this requirement on a single-transaction basis only if warranted by cash-flow requirements and documented in writing.

6. The Authority may not enter into arrangements (known as Reverse Repurchase Agreements) for the purpose of borrowing monies by pledging Authorized Investments owned by the Authority.

VIII. Review

These Guidelines and any proposed amendments shall be submitted for Trustee review and approval at least once a year.

In addition to the Authority's periodic review, the Authority's independent auditors, in connection with their examination of the Authority, shall perform an annual audit of the investment portfolio, review investment procedures and prepare a report, the results of which will be made available to the Trustees.

IX. Reports

A. The Treasurer shall submit an investment report to the Trustees, at least quarterly. Such report shall contain a (1) detailed description of each investment; (2) summary of the dealers and banks from which such securities were purchased and (3) a list of fees, commissions or other charges, if any, paid to advisors or other entities rendering investment services.

B. The Treasurer shall submit an annual report for approval by the Trustees. In addition to the information provided quarterly, the Annual Report shall include (i) a copy of the Guidelines; (ii) an explanation of the Guidelines and any amendments thereto since the last annual report; (iii) the results of an annual independent audit of investment inventory and procedures and (iv) a record of income earned on invested funds. The approved report shall be submitted to the State Division of the Budget with copies distributed to the Office of the State Comptroller, the Senate Finance Committee and the Assembly Ways and Means Committee. Copies shall be made available to the public upon written reasonable request.

C. Any waivers that occurred during the prior month shall be reported to the Executive Vice President and Chief Financial Officer.

X. Miscellaneous

A. These Guidelines are intended for guidance of officers and employees of the Authority only, and nothing contained herein is intended or shall be construed to confer upon any person, firm or corporation any right, remedy, claim or benefit under, or by reason of, any requirement or provision thereof.

B. Nothing contained in these Guidelines shall be deemed to alter, affect the validity of, modify the terms of or impair any contract, agreement or investment of funds made or entered into in violation of, or without compliance with, the provisions of these Guidelines.

C. No provisions in these Guidelines shall be the basis of any claim against any Trustee, officer or employee of the Authority in his or her individual or official capacity or against the Authority itself.

Section II

EXPLANATION OF INVESTMENT GUIDELINES

Section II Responsibility for Investments

Establishes responsibility for the Investment of Authority Funds and limits the number of individuals authorized to place investment orders.

Section III Investment Goal

Establishes the policy that earning a reasonable return on investments must be consistent with standards set for minimization of risk and availability of funds when needed.

Section IV Authorized Investments

Details the types of investments the Authority can undertake as prescribed in Section 101 of the Resolution.

This section also requires that investments made in each of the Funds established under the Resolution be invested for a term commensurate with cash-flow expectations and that such investments not violate the arbitrage regulations of the Internal Revenue Code.

Section V Provisions Relating to Qualifications of Dealers and Banks

Establishes criteria for the selection of banks and dealers from which the Authority may buy or sell investments. Business is transacted with firms that have demonstrated financial strength and a high degree of reliability with respect to servicing the Authority's needs. This section also directs that custody of Authority investments be maintained by banks that are members of the Federal Reserve System transacting business in the State of New York.

This section also addresses the subject of contracts with banks and dealers for the purchase or sale of Authorized Investments. The Authority has written Letters of Agreement with authorized dealers that specify the types of securities in which the Authority may invest and identify those Authority individuals authorized to give instructions related to the purchase and sale of securities. In addition, the Authority shall have a written form of agreement for use in repurchase transactions with any authorized dealer with which the Authority may transact this type of investment.

Section VI General Policies Governing Investment Transactions

Requires that the Authority solicit no less than three bids for the purchase or sale of securities in order to ensure the most favorable rate except when securities are purchased at their initial auction, upon new issue or through negotiated prices.

Requires that the Authority or its custodian, prior to payment, take possession of such securities, or in the case of book-entry securities, obtain written advice or wire confirmation that transfer or ownership has been recorded.

Establishes authorized employees to approve the purchase or sale of securities.

Establishes control procedures whereby the Controller shall compare the custodian's confirmation to Authority records.

Section VII Policy Concerning Certain Types of Investment Diversification Standards Required

Establishes a policy concerning the purchase of Authorized Certificates of Deposit and Time Deposits intended to minimize the risk associated with such transactions. Authorized Certificates of Deposit or Time Deposits may be purchased directly from a bank that is a member of the Federal Reserve System transacting business in the State of New York. Such deposits shall be continuously secured by Authorized Investments as outlined in subsection (1) or (2) of Section IV.A. This collateral shall be regularly priced to current market to assure the Authority's security interest is continuously protected. Aggregate holdings of Authorized Certificates of Deposit shall not exceed 25% of the Authority's total investment. Authorized Certificates of Deposit purchased from any one bank shall not exceed $25 million.

Establishes a policy intended to minimize the risk associated with arrangements for the purchase and resale of Authorized Investments known as Repurchase Agreements (“Repos”). Repos purchased from any one qualified dealer or bank shall not exceed $50 million and shall be limited to a maximum fixed term of five business days. Aggregate investments in Repos shall not exceed the greater of 5% of the Authority's total investments or $100 million. All securities purchased under the terms of a Repo shall be held in safekeeping by a designated custodian for the Authority. Such securities shall be priced to market on a daily basis to assure the Authority's security interest. Reverse Repurchase Agreements are not authorized transactions.

Section VIII Review

Establishes policy requiring review of the Guidelines at least once a year. Requires an annual audit by the Authority's independent auditors of the Authority's investment portfolio and compliance with the guidelines established by the Authority and the State Comptroller.

Section IX Reports

Establishes policy requiring submission of reports to the Authority's Trustees concerning the management and performance of the Authority's portfolio.

This Section also requires that an annual report be submitted for approval by the Authority's Trustees. Copies of the approved report shall be sent to the State Division of the Budget, Office of the State Comptroller, Senate Finance Committee and Assembly Way and Means Committee.

Section III

A. Investment Income Record

During 2014, the Authority's investment portfolio averaged approximately $1.35 billion and earned approximately $18.3 million.

The earnings, by fund, were as follows (dollars in millions):

Operating Fund	$18.0
Capital (Construction Funds)	0.3
Total	**$ 18.3**

The 2014 investment income was $3 million less than investment income earned in 2013. Higher yielding securities matured and rolled off the portfolio and the proceeds from matured securities and investment of new cash flows were invested in lower yielding securities throughout the year.

B. Fees Paid for Other Post-Employment Benefits Trust Fund Investment/Advisory Services

$150,050	Bradford & Marzec, LLC
$159,329	CenterSquare Investment Management
$113,651	Fiduciary Management, Inc.
$234,754	GAMCO Asset Management Inc.
$347,866	Lazard Asset Management
$ 48,250	New Amsterdam
$ 42,814	PFM Asset Management, LLC (Advisory Services)
$ 38,453	State Street Global Advisors
$136,156	Thomas White International
$225,449	WCM Investment Management
$162,731	Wells Capital Management Inc.
$1,659,503	Total

Fees were paid from the OPEB Trust Fund.

C. Fees Paid for Nuclear Decommissioning Trust Fund Investment/Advisory Services

$237,652	Bradford & Marzec, LLC
$252,292	Garcia Hamilton & Associates, L.P.
$459,707	JPMorgan Investment Management, Inc.
$133,081	Mellon Capital Management
$132,187	PFM Asset Management, LLC (Advisory Services)
$263,803	Prudential Investment Management, Inc.
$252,542	Schroder Investment Management North America Inc.
$1,731,264	Total

Fees were paid from the Nuclear Decommissioning Trust Fund.

D. Results of the Annual Independent Audit

In connection with its examination of the Authority's financial statements, KPMG LLP ("KPMG") performed tests of the Authority's compliance with certain provisions of the Investment Guidelines, the State Comptroller's Investment Guidelines and Section 2925 of the Public Authorities Law. Based on discussions with KPMG, Staff is of the opinion that KPMG's written report, which will be delivered upon approval of the financial statements by the Board, will state that the Authority complied, in all material respects, with the requirements during the year ended December 31, 2014. Consequently, staff believes the Authority is in compliance with the Investment Guidelines, the State Comptroller's Investment Guidelines and Section 2925 of the Public Authorities Law.

NEW YORK POWER AUTHORITY "Section IV"

INVENTORY REPORT BY PORTFOLIO AND SECURITY TYPE 3/26/2015

HOLDINGS AS OF: 12/31/14

PORTFOLIO / SECURITY	SECURITY DESCRIPTION	PAR AMOUNT	MATURITY DATE	COUPON RATE	YIELD TO MATURITY	PRINCIPAL COST	INTEREST PURCHASED	TOTAL COST
PORTFOLIO: NIAGARA TAX EXEMPT								
MUNICIPAL								
60636WGB5	MO HWY TRAN 1/21	400,000.00	5/1/2015	0.030	0.070	400,000.00	0.00	400,000.00
20774LBN4	CONT HEALTH & EDU 10/24	2,500,000.00	7/1/2033	0.030	0.050	2,500,000.00	0.00	2,500,000.00
Subtotal:	MUNICIPAL	2,900,000.00			0.053	2,900,000.00	0.00	2,900,000.00
Subtotal: PORTFOLIO: NIAGARA TAX EXEMPT		**2,900,000.00**			**0.053**	**2,900,000.00**	**0.00**	**2,900,000.00**
PORTFOLIO: NIAGARA TAXABLE								
FANNIE MAE								
313588GM1	Fannie Mae	6,500,000.00	6/5/2015		0.122	6,496,295.00	0.00	6,496,295.00
Subtotal:	FANNIE MAE	6,500,000.00			0.122	6,496,295.00	0.00	6,496,295.00
Subtotal: PORTFOLIO: NIAGARA TAXABLE		**6,500,000.00**			**0.122**	**6,496,295.00**	**0.00**	**6,496,295.00**
PORTFOLIO: NOTE DEBT RESERVE								
FANNIE MAE								
3135G0ES8	Fannie Mae	6,065,000.00	11/15/2016	1.375	0.669	6,210,786.22	0.00	6,210,786.22
3135G0PP2	Fannie Mae	4,883,000.00	9/20/2017	1.000	1.010	4,881,193.29	0.00	4,881,193.29
3135G0TG8	Fannie Mae	3,920,000.00	2/8/2018	0.875	1.094	3,892,128.80	6574.17	3,898,702.97
Subtotal:	FANNIE MAE	14,868,000.00			0.893	14,984,108.31	6574.17	14,990,682.48
FREDDIE MAC								
3137EADD8	Freddie Mac	505,000.00	4/17/2015	0.500	0.598	503,454.70	0.00	503,454.70
Subtotal:	FREDDIE MAC	505,000.00			0.598	503,454.70	0.00	503,454.70
MUNICIPAL								
57582PTY6	MASS ST	4,155,000.00	7/1/2015	3.250	0.730	4,503,396.75	0.00	4,503,396.75
Subtotal:	MUNICIPAL	4,155,000.00			0.730	4,503,396.75	0.00	4,503,396.75
Subtotal: PORTFOLIO: NOTE DEBT RESERVE		**19,528,000.00**			**0.851**	**19,990,959.76**	**6574.17**	**19,997,533.93**
PORTFOLIO: OPERATING WESTERN NY ECONOMIC DEVELOPMENT FUND								
FEDERAL HOME LOAN								
313384BM0	FED'L HOME LOAN DISCOUNT	10,415,000.00	2/5/2015		0.046	10,413,698.13	0.00	10,413,698.13
313384ET2	FED'L HOME LOAN DISCOUNT	25,230,000.00	4/24/2015		0.091	25,218,772.65	0.00	25,218,772.65
Subtotal:	FEDERAL HOME LOAN	35,645,000.00			0.078	35,632,470.78	0.00	35,632,470.78
Subtotal: PORTFOLIO: OPERATING WESTERN NY ECONOMIC DEVELOPMENT FUND		**35,645,000.00**			**0.078**	**35,632,470.78**	**0.00**	**35,632,470.78**

PORTFOLIO: OPERATING LINKED DEPOSIT PROGRAM

CERTIFICATES OF DEPOSIT								
	M & T TRUST CO.	70,254.00	1/2/2015		0.000	70,254.00	0.00	70,254.00
	M & T TRUST CO.	40,820.00	1/16/2015		0.000	40,820.00	0.00	40,820.00
	The Bank of Castile	55,557.00	1/16/2015		0.000	55,557.00	0.00	55,557.00
	M & T TRUST CO.	140,410.00	1/30/2015		0.000	140,410.00	0.00	140,410.00
	M & T TRUST CO.	75,000.00	2/4/2015		0.000	75,000.00	0.00	75,000.00
	M & T TRUST CO.	76,000.00	2/20/2015		0.000	76,000.00	0.00	76,000.00
	M & T TRUST CO.	100,000.00	2/20/2015		0.000	100,000.00	0.00	100,000.00
	NBT Bank	37,442.00	2/23/2015		0.000	37,442.00	0.00	37,442.00
	M & T TRUST CO.	80,000.00	2/26/2015		0.000	80,000.00	0.00	80,000.00
	M & T Bank	790,500.00	3/17/2015		0.000	790,500.00	0.00	790,500.00
	M & T Bank	146,783.00	4/17/2015		0.000	146,783.00	0.00	146,783.00
	NBT BANK	48,796.00	4/23/2015		0.000	48,796.00	0.00	48,796.00
	M & T Bank	165,000.00	5/5/2015		0.000	165,000.00	0.00	165,000.00
	FIRST NIAGARA BANK	31,241.00	5/8/2015		0.000	31,241.00	0.00	31,241.00
	EVANS BANK, N.A.	19,301.00	5/22/2015		0.000	19,301.00	0.00	19,301.00
	TIOGA STATE BANK	85,896.00	6/12/2015		0.000	85,896.00	0.00	85,896.00
	M & T TRUST CO.	24,000.00	7/10/2015		0.000	24,000.00	0.00	24,000.00
	NBT BANK, N.A.	13,278.00	7/10/2015		0.000	13,278.00	0.00	13,278.00
	NBT BANK	173,433.00	7/23/2015		0.000	173,433.00	0.00	173,433.00
	FIRST NIAGARA BANK	313,142.00	7/23/2015		0.000	313,142.00	0.00	313,142.00
	M&T TRUST CO	62,000.00	7/23/2015		0.000	62,000.00	0.00	62,000.00
	Chemung Canal Trust Company	79,115.00	8/28/2015		0.000	79,115.00	0.00	79,115.00
	First Niagara Bank	162,160.00	9/11/2015		0.000	162,160.00	0.00	162,160.00
	M & T TRUST CO.	43,000.00	9/18/2015		0.000	43,000.00	0.00	43,000.00
	M & T TRUST CO.	54,000.00	9/18/2015		0.000	54,000.00	0.00	54,000.00
	M & T TRUST CO.	89,000.00	9/25/2015		0.000	89,000.00	0.00	89,000.00
	NBT BANK, N.A.	38,573.00	10/16/2015		0.000	38,573.00	0.00	38,573.00
	FIRST NIAGARA BANK	38,309.00	11/6/2015		0.000	38,309.00	0.00	38,309.00
	NBT Bank	129,444.00	12/4/2015		0.000	129,444.00	0.00	129,444.00
	M & T TRUST CO.	12,000.00	12/4/2015		0.000	12,000.00	0.00	12,000.00
	NBT Bank	25,769.00	12/10/2015		0.000	25,769.00	0.00	25,769.00
Subtotal:	CERTIFICATES OF DEPOSIT	3,220,223.00			0.000	3,220,223.00	0.00	3,220,223.00
Subtotal: PORTFOLIO: OPERATING LINKED DEPOSIT PROGRAM		**3,220,223.00**			**0.000**	**3,220,223.00**	**0.00**	**3,220,223.00**

PORTFOLIO: OPERATING FUND

FEDERAL FARM CREDIT								
31331KNL0	Farm Credit	11,000,000.00	6/10/2015	1.38	1.361	11,005,830.00	0.00	11,005,830.00
31331J2S1	Farm Credit	10,000,000.00	11/16/2015	1.50	2.260	9,650,800.00	0.00	9,650,800.00
31331X3J9	FFCB	17,000,000.00	9/13/2016	5.13	4.308	17,987,510.00	0.00	17,987,510.00
31331YSH4	FFCB	22,625,000.00	1/5/2017	4.25	4.198	22,712,490.00	0.00	22,712,490.00
31331YE97	FFCB	5,000,000.00	4/17/2017	4.25	4.671	4,848,150.00	0.00	4,848,150.00
Subtotal:	FEDERAL FARM CREDIT	65,625,000.00			3.492	66,204,780.00	0.00	66,204,780.00
FEDERAL HOME LOAN								
313372ZR8	Home Loan	20,000,000.00	3/16/2015	1.80	1.945	19,890,000.00	0.00	19,890,000.00
3133XWNB1	Home Loan	10,000,000.00	6/12/2015	2.88	0.398	10,667,230.00	0.00	10,667,230.00
313379KX6	Home Loan	20,000,000.00	8/18/2015	0.59	0.590	20,000,000.00	0.00	20,000,000.00
313371NW2	Home Loan	7,000,000.00	12/11/2015	1.38	0.405	7,132,090.00	0.00	7,132,090.00
3130A2WR3	Home Loan	30,000,000.00	2/26/2016	0.38	0.383	29,998,800.00	950.00	29,999,750.00
313373SZ6	Home Loan	20,000,000.00	6/10/2016	2.13	0.782	21,058,000.00	0.00	21,058,000.00
313379AF6	Home Loan	15,000,000.00	7/15/2016	1.00	0.831	15,105,000.00	0.00	15,105,000.00
313379DT3	Home Loan	20,000,000.00	6/8/2018	1.25	0.897	20,349,687.80	0.00	20,349,687.80
313383CU3	Home Loan	25,000,000.00	8/15/2018	1.00	1.000	25,000,000.00	0.00	25,000,000.00
313379EE5	Home Loan	25,000,000.00	6/14/2019	1.63	1.831	24,754,750.00	0.00	24,754,750.00
Subtotal:	FEDERAL HOME LOAN	192,000,000.00			0.968	193,955,557.80	950.00	193,956,507.80
FANNIE MAE								

3135G0KM4	Fannie Mae	5,000,000.00	5/27/2015	0.500	0.527	4,996,000.00	0.00	4,996,000.00
31398AU34	Fannie Mae	25,000,000.00	7/28/2015	2.375	2.091	25,304,500.00	0.00	25,304,500.00
31398A4M1	Fannie Mae	10,000,000.00	10/26/2015	1.625	1.685	9,970,900.00	0.00	9,970,900.00
31398A4M1	Fannie Mae	20,000,000.00	10/26/2015	1.625	2.305	19,382,400.00	0.00	19,382,400.00
31398A4M1	Fannie Mae	15,000,000.00	10/26/2015	1.625	2.313	14,531,550.00	0.00	14,531,550.00
31398A4M1	Fannie Mae	15,000,000.00	10/26/2015	1.625	0.934	15,388,500.00	0.00	15,388,500.00
3136G0L74	Fannie Mae	16,085,000.00	1/5/2016	0.500	0.453	16,109,545.71	0.00	16,109,545.71
3135G0AL7	Fannie Mae	20,000,000.00	3/15/2016	2.250	1.334	20,784,000.00	0.00	20,784,000.00
3135G0BA0	Fannie Mae	10,000,000.00	4/11/2016	2.375	1.132	10,547,400.00	0.00	10,547,400.00
3135G0CM3	Fannie Mae	20,000,000.00	9/28/2016	1.250	1.348	19,908,400.00	0.00	19,908,400.00
3135G0CM3	Fannie Mae	15,000,000.00	9/28/2016	1.250	1.184	15,045,450.00	0.00	15,045,450.00
3135G0ES8	Fannie Mae	20,000,000.00	11/15/2016	1.375	1.381	19,994,200.00	0.00	19,994,200.00
3135G0ES8	Fannie Mae	10,000,000.00	11/15/2016	1.375	1.320	10,026,200.00	0.00	10,026,200.00
3135G0ES8	Fannie Mae	20,000,000.00	11/15/2016	1.375	1.226	20,140,600.00	0.00	20,140,600.00
3135G0JA2	Fannie Mae	10,000,000.00	4/27/2017	1.125	1.137	9,994,100.00	0.00	9,994,100.00
3135G0JA2	Fannie Mae	15,000,000.00	4/27/2017	1.125	0.691	15,293,400.00	0.00	15,293,400.00
31359MEL3	Fannie Mae	5,000,000.00	6/1/2017		0.841	4,809,975.00	0.00	4,809,975.00
31359MEL3	Fannie Mae	5,000,000.00	6/1/2017		0.841	4,810,085.00	0.00	4,810,085.00
3135G0MZ3	Fannie Mae	25,000,000.00	8/28/2017	0.875	0.918	24,946,500.00	0.00	24,946,500.00
3135G0PQ0	Fannie Mae	25,000,000.00	10/26/2017	0.875	0.804	25,083,750.00	0.00	25,083,750.00
3135G0RT2	Fannie Mae	25,000,000.00	12/20/2017	0.875	1.111	24,793,750.00	0.00	24,793,750.00
3135G0TG8	Fannie Mae	15,000,000.00	2/8/2018	0.875	0.814	15,042,900.00	0.00	15,042,900.00
3135G0TG8	Fannie Mae	20,000,000.00	2/8/2018	0.875	1.323	19,687,800.00	0.00	19,687,800.00
3135G0WJ8	Fannie Mae	10,000,000.00	5/21/2018	0.875	0.918	9,978,600.00	0.00	9,978,600.00
3135G0YM9	Fannie Mae	25,000,000.00	9/18/2018	1.875	1.848	25,032,500.00	0.00	25,032,500.00
3135G0YM9	Fannie Mae	20,000,000.00	9/18/2018	1.875	1.967	19,912,600.00	0.00	19,912,600.00
3135G0YT4	Fannie Mae	25,000,000.00	11/27/2018	1.625	1.703	24,904,250.00	0.00	24,904,250.00
3135G0YT4	Fannie Mae	10,000,000.00	11/27/2018	1.625	1.703	9,968,740.00	0.00	9,968,740.00
3135G0ZY2	Fannie Mae	10,000,000.00	11/26/2019	1.750	1.836	9,958,700.00	0.00	9,958,700.00
Subtotal:	FANNIE MAE	466,085,000.00			1.361	466,347,295.71	0.00	466,347,295.71
FREDDIE MAC								
3137EACH0	Freddie Mac	15,000,000.00	2/9/2015	2.88	1.887	15,570,600.00	0.00	15,570,600.00
3137EACM9	Freddie Mac	20,000,000.00	9/10/2015	1.75	1.433	20,253,000.00	0.00	20,253,000.00
3137EACT4	Freddie Mac	20,000,000.00	5/27/2016	2.50	1.176	21,197,400.00	0.00	21,197,400.00
3137EACW7	Freddie Mac	20,000,000.00	8/25/2016	2.00	1.048	20,817,000.00	0.00	20,817,000.00
3137EADCO	Freddie Mac	20,000,000.00	3/8/2017	1.00	1.101	19,901,400.00	0.00	19,901,400.00
3137EADF3	Freddie Mac	30,000,000.00	5/12/2017	1.25	0.747	30,708,900.00	0.00	30,708,900.00
3137EADH9	Freddie Mac	15,000,000.00	6/29/2017	1.00	0.801	15,141,000.00	0.00	15,141,000.00
3137EADJ5	Freddie Mac	25,000,000.00	7/28/2017	1.00	0.803	25,235,250.00	0.00	25,235,250.00
3137EADL0	Freddie Mac	15,000,000.00	9/29/2017	1.00	0.832	15,125,055.00	0.00	15,125,055.00
3134G3G38	Freddie Mac	25,000,000.00	11/15/2017	2.00	0.895	26,413,750.00	0.00	26,413,750.00
3137EADN6	Freddie Mac	15,000,000.00	1/12/2018	0.75	0.757	14,995,050.00	0.00	14,995,050.00
3137EADP1	Freddie Mac	25,000,000.00	3/7/2018	0.88	0.919	24,946,250.00	0.00	24,946,250.00
3134G42P2	Freddie Mac	25,000,000.00	5/15/2018	0.75	0.820	24,913,000.00	0.00	24,913,000.00
3137EADG1	Freddie Mac	30,000,000.00	5/30/2019	1.75	1.630	30,169,260.00	0.00	30,169,260.00
3137EADM8	Freddie Mac	20,000,000.00	10/2/2019	1.25	1.888	19,394,580.00	694.44	19,395,274.44
3137EADR7	Freddie Mac	15,000,000.00	5/1/2020	1.38	1.818	14,663,550.00	34375.00	14,697,925.00
Subtotal:	FREDDIE MAC	335,000,000.00			1.139	339,445,045.00	35069.44	339,480,114.44
MORTGAGE								
36225BM21	GNMA POOL #781277	326,956.12	12/15/2028	7.000	6.757	336,662.63	0.00	336,662.63
38375KQM7	GNMA POOL 2007-35	3,755,577.74	8/20/2036	6.000	6.083	3,743,841.56	0.00	3,743,841.56
31393C4K6	FHR 2003-66 KA	575,250.39	1/25/2033	3.500	3.501	578,126.64	0.00	578,126.64
Subtotal:	MORTGAGE	4,657,784.25			5.811	4,658,630.83	0.00	4,658,630.83

MUNICIPAL								
73358TR78	PORT AUTH NY AND NJ	5,000,000.00	9/15/2015	4.500	4.716	4,932,750.00	0.00	4,932,750.00
6055803H0	MISSISSIPPI BOND	4,535,000.00	10/1/2015	5.250	4.660	4,693,180.80	0.00	4,693,180.80
64966JAW6	NYC G O	3,000,000.00	12/1/2015	3.17	1.500	3,192,210.00	0.00	3,192,210.00
57563EAF5	MASS TRANS	3,500,000.00	1/1/2016	2.06	2.058	3,500,000.00	0.00	3,500,000.00
20772GE20	CT ST GEN OBLIG	10,000,000.00	3/15/2016	4.550	4.550	10,000,000.00	0.00	10,000,000.00
73358TR86	PORT AUTH NY/ NJ	2,900,000.00	9/15/2016	4.750	5.140	2,824,165.00	0.00	2,824,165.00
64971QQV8	NYC TFA	3,950,000.00	11/1/2016	1.55	1.616	3,937,597.00	0.00	3,937,597.00
387892UX8	GRANT CITY WASH PUB UTIL	1,780,000.00	1/1/2017	5.150	4.736	1,809,370.00	0.00	1,809,370.00
914437QY7	UNIV OF MASS- TXBL	10,000,000.00	11/1/2017	1.31	1.311	10,000,000.00	0.00	10,000,000.00
631663MZ1	Nassau County NY Interim Fin Auth	8,311,000.00	11/15/2017	1.45	1.454	8,311,000.00	0.00	8,311,000.00
57582PM98	MASS COMMWLTH	10,000,000.00	4/1/2018	1.00	1.123	9,940,800.00	0.00	9,940,800.00
64966KPZ0	NYC G O	6,935,000.00	3/1/2019	1.45	2.512	6,562,729.20	0.00	6,562,729.20
6775212J4	OHIO G O	5,000,000.00	11/1/2019	2.55	2.550	4,999,900.00	0.00	4,999,900.00
Subtotal:	MUNICIPAL	74,911,000.00			2.646	74,703,702.00	0.00	74,703,702.00
PROJECT LOAN								
158995MV9	PROJ LN-USGI 2028	25,149.71	6/1/2015	6.930	6.596	25,935.64	0.00	25,935.64
159996VD6	PROJ LN-REILLY #46	669.75	12/1/2018	6.860	6.950	667.24	0.00	667.24
31342*E99	PL HS 10806 MORISANIA	212,045.44	4/1/2020	8.950	9.074	212,045.44	0.00	212,045.44
Subtotal:	PROJECT LOAN	237,864.90			8.806	238,648.32	0.00	238,648.32
REPURCHASE AGREEMENT								
	GN REPO O/N	50,000,000.00	1/2/2015	0.05	0.051	50,000,000.00	0.00	50,000,000.00
	GN REPO O/N	20,000,000.00	1/5/2015	0.06	0.061	20,000,000.00	0.00	20,000,000.00
Subtotal:	REPURCHASE AGREEMENT	70,000,000.00			0.054	70,000,000.00	0.00	70,000,000.00
TREASURY NOTE								
912828WA4	Treasury Note	20,000,000.00	10/15/2016	0.63	0.576	20,023,400.00	0.00	20,023,400.00
912828A59	Treasury Note	30,000,000.00	12/15/2016	0.63	0.738	29,912,109.38	0.00	29,912,109.38
912828SC5	Treasury Note	25,000,000.00	1/31/2017	0.88	0.748	25,087,890.63	0.00	25,087,890.63
912828SJ0	Treasury Note	30,000,000.00	2/28/2017	0.88	0.849	30,022,265.63	0.00	30,022,265.63
Subtotal:	TREASURY NOTE	105,000,000.00			0.741	105,045,665.64	0.00	105,045,665.64
Subtotal: PORTFOLIO: OPERATING FUND		**1,313,516,649.15**			**1.325**	**1,320,599,325.30**	**36,019.44**	**1,320,635,344.74**
PORTFOLIO: ST LAWRENCE SPEC								
FREDDIE MAC								
313396BN2	FMCDN	2,860,000.00	2/6/2015		0.152	2,855,650.42	0.00	2,855,650.42
Subtotal:	FREDDIE MAC	2,860,000.00			0.152	2,855,650.42	0.00	2,855,650.42
Subtotal: PORTFOLIO: ST. LAWRENCE SPEC		**2,860,000.00**			**0.152**	**2,855,650.42**	**0.00**	**2,855,650.42**
PORTFOLIO: ST. LAWRENCE CONSTRUCTION								
FANNIE MAE								
313588JK2	Fannie Mae	5,700,000.00	7/21/2015		0.137	5,695,361.63	0.00	5,695,361.63
Subtotal:	FANNIE MAE	5,700,000.00			0.137	5,695,361.63	0.00	5,695,361.63
FEDERAL HOME LOAN								
313384DN6	FED'L HOME LOAN DISCOUNT	5,200,000.00	3/26/2015		0.076	5,198,851.67	0.00	5,198,851.67
Subtotal:	FEDERAL HOME LOAN	5,200,000.00			0.076	5,198,851.67	0.00	5,198,851.67
FREDDIE MAC								
313396FG3	Freddie Mac	12,650,000.00	5/7/2015		0.071	12,645,006.76	0.00	12,645,006.76
Subtotal:	FREDDIE MAC	12,650,000.00			0.071	12,645,006.76	0.00	12,645,006.76
Subtotal: PORTFOLIO: ST. LAWRENCE CONSTRUCTION		**23,550,000.00**			**0.088**	**23,539,220.06**	**0.00**	**23,539,220.06**

GRAND TOTAL	**1,407,719,872.15**	**1.252**	**1,415,234,144.32**	**42,593.61**	**1,415,276,737.93**

Exhibit "A - Section V"
March 26, 2015

POWER AUTHORITY OF THE STATE OF NEW YORK
Summary of Bids/Offers Solicited for the Purchase and Sale of Securities
For the Year Ended December 31, 2014

Brokers	Bids/Offers Accepted	Bids/Offers Solicited	Total Cost Purchases/Sales	CDs and Repurchase Agreements	Total Transactions
Bank of America Securities	30	119	101,655,724.02	738,000,000	$ 839,655,724
Barclays Capital Inc.	12	98	150,582,010.23		$ 150,582,010
Blaylock Robert Van LLC	5	9	66,715,963.06		$ 66,715,963
CastleOak Securities L.P.	7	12	111,807,721.81		$ 111,807,722
Citigroup Global Markets	8	15	49,214,702.99		$ 49,214,703
J.P. Morgan Securities Inc.	1	1	20,951,050.36		$ 20,951,050
Key Bank	32	32	-	3,275,852	$ 3,275,852
Loop Capital Markets LLC	9	21	63,377,316.12		$ 63,377,316
MFR	0	1	-		$ -
Mizuho Securities USA Inc.	81	105	100,161,978.64	3,257,920,000	$ 3,358,081,979
Muriel Siebert & Co.	2	3	51,480,064.10		$ 51,480,064
Ramirez & Co.	1	1	10,000,000.00		$ 10,000,000
RBC Capital Markets	18	57	11,360,233.42	708,203,750	$ 719,563,983
Grand Total	**206**	**474**	**$ 737,306,765**	**$ 4,707,399,602**	**$ 5,444,706,367**

Exhibit A

NEW YORK POWER AUTHORITY

Financial Statements

December 31, 2014 and 2013

NEW YORK POWER AUTHORITY

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Management Report

Management is responsible for the preparation, integrity and objectivity of the financial statements of the Power Authority of the State of New York (the Authority), as well as all other information contained in the Annual Report. The financial statements have been prepared in conformity with U.S. generally accepted accounting principles and, in some cases, reflect amounts based on the best estimates and judgments of management, giving due consideration to materiality. Financial information contained in the Annual Report is consistent with the financial statements.

The Authority maintains a system of internal controls to provide reasonable assurance that transactions are executed in accordance with management's authorization, that financial statements are prepared in accordance with U.S. generally accepted accounting principles and that the assets of the Authority are properly safeguarded. The system of internal controls is documented, evaluated and tested on a continuing basis. No internal control system can provide absolute assurance that errors and irregularities will not occur due to the inherent limitations of the effectiveness of internal controls; however, management strives to maintain a balance, recognizing that the cost of such system should not exceed the benefits derived.

The Authority maintains an internal auditing program to independently assess the effectiveness of internal controls and to report findings and recommend possible improvements to management. This program includes a comprehensive assessment of internal controls as well as testing of all key controls to ensure that the system is functioning as intended. Additionally, as part of its audit of the Authority's financial statements, KPMG LLP, the Authority's independent auditors, considers internal controls over financial reporting as a basis for designing audit procedures that are appropriate in the circumstances, but not for purpose of expressing an opinion on the effectiveness of the Authority's internal controls over financial reporting. Management has considered the recommendations of its internal auditors, the Office of the State Comptroller (OSC), and the independent auditors concerning the system of internal controls and has taken actions that it believed to be cost-effective in the circumstances to respond appropriately to these recommendations. Based on its structure and related processes, management believes that, as of December 31, 2014, the Authority's system of internal controls provides reasonable assurance as to the integrity and reliability of the financial statements, the protection of assets from unauthorized use or disposition and the prevention and detection of fraudulent financial reporting.

The members of the Authority's Board of Trustees, appointed by the Governor, by and with the advice and consent of the Senate, are not employees of the Authority. The Trustees' Audit Committee meets with the Authority's management, its Sr. Vice President of Internal Audit and its independent auditors periodically, throughout the year, to discuss internal controls and accounting matters, the Authority's financial statements, the scope and results of the audit by the independent auditors and the periodic audits by the OSC, and the audit programs of the Authority's internal auditing department. The independent auditors, the Sr. Vice President of Internal Audit and the Vice President & Chief Ethics and Compliance Officer have direct access to the Audit Committee.

Robert F. Lurie
Executive Vice President and Chief Financial Officer

March 26, 2015

KPMG LLP
515 Broadway
Albany, NY 12207-2974

Independent Auditors' Report

The Board of Trustees
Power Authority of the State of New York:

Report on the Financial Statements

We have audited the accompanying financial statements of the Power Authority of the State of New York (the Authority), which comprise the statements of net position as of December 31, 2014 and 2013, and the related statements of revenues, expenses, and changes in net position, and cash flows for the years then ended, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with U.S. generally accepted accounting principles; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express an opinion on these financial statements based on our audits. We conducted our audits in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditors' judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion on the Financial Statements

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of the Authority as of December 31, 2014 and 2013, and the changes in net position, and cash flows for the years then ended in accordance with U.S. generally accepted accounting principles.

KPMG LLP is a Delaware limited liability partnership,
the U.S. member firm of KPMG International Cooperative
("KPMG International"), a Swiss entity.

Other Matters

Required Supplementary Information

U.S. generally accepted accounting principles require that the information in the Management's Discussion and Analysis and Required Supplementary Information sections be presented to supplement the basic financial statements. Such information, although not a part of the basic financial statements, is required by the Governmental Accounting Standards Board who considers it to be an essential part of financial reporting for placing the basic financial statements in an appropriate operational, economic, or historical context. We have applied certain limited procedures to the required supplementary information in accordance with auditing standards generally accepted in the United States of America, which consisted of inquiries of management about the methods of preparing the information and comparing the information for consistency with management's responses to our inquiries, the basic financial statements, and other knowledge we obtained during our audits of the basic financial statements. We do not express an opinion or provide any assurance on the information because the limited procedures do not provide us with sufficient evidence to express an opinion or provide any assurance.

Other Reporting Required by *Government Auditing Standards*

In accordance with *Government Auditing Standards*, we have also issued our report dated March 26, 2015 on our consideration of the Authority's internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements and other matters. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on the internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the Authority's internal control over financial reporting and compliance.

KPMG LLP

New York, New York
March 26, 2015

Overview of the Financial Statements

This report consists of three parts: management's discussion and analysis, the basic financial statements, and the notes to the financial statements.

The financial statements provide summary information about the New York Power Authority's (the Authority) overall financial condition. The notes provide explanation and more details about the contents of the financial statements.

The Authority is considered a special-purpose government entity engaged in business-type activities and follows financial reporting for enterprise funds. The Authority's financial statements are prepared in accordance with generally accepted accounting principles (GAAP) as prescribed by the Governmental Accounting Standards Board (GASB). Under the criteria set forth in GASB Statement No. 14, *The Financial Reporting Entity*, as amended by Governmental Accounting Standard (GAS) No. 39, *Determining Whether Certain Organizations Are Component Units* and GAS No. 61, *The Financial Reporting Entity: Omnibus--an amendment of GASB Statements No. 14 and No. 34*, the Authority considers its relationship to the State to be that of a related organization.

Forward Looking Statements

The statements in this management's discussion and analysis (MD&A) that are not purely historical facts are forward-looking statements based on current expectations of future events. Such forward-looking statements are necessarily based on various assumptions and estimates and are inherently subject to various risks and uncertainties, including, but not limited to, risks and uncertainties relating to the possible invalidity of the underlying assumptions and estimates and possible changes to or development in various important factors. Accordingly, actual results may vary from those we presently expect and such variations may be material. We therefore caution against placing undue reliance on the forward-looking statements contained in this MD&A. All forward-looking statements included in this MD&A are made only as of the date of this MD&A and we assume no obligation to update any such forward-looking statements as a result of new information, future events or other factors.

Summary of Revenues, Expenses and Changes in Net Position

The following is a summary of the Authority's financial information for 2014, 2013, and 2012:

	2014	2013	2012	2014 vs. 2013 favorable (unfavorable)	2013 vs. 2012 favorable (unfavorable)
	(In millions, except percentages)				
Operating revenues	$ 3,175	$ 3,030	$ 2,673	5%	13%
Operating expenses:					
Purchased power	996	934	744	(7)	(26)
Fuel oil & gas	361	324	228	(11)	(42)
Wheeling	614	603	598	(2)	(1)
Operations and maintenance	562	566	558	1	(1)
Depreciation	232	228	226	(2)	(1)
Total operating expenses	2,765	2,655	2,354	(4)	(13)
Operating income	410	375	319	9	18
Nonoperating revenues	115	90	120	28	(25)
Nonoperating expenses	253	237	264	7	10
Net income	272	228	175	19	30
Contributed capital	—	21	—		
Change in net position	272	249	175		
Net position – beginning	3,719	3,470	3,295		
Net position – ending	$ 3,991	$ 3,719	$ 3,470		

The following summarizes the Authority's financial performance for the years 2014 and 2013:

The Authority had net income of $272 million for the year ended December 31, 2014 compared to $228 million in 2013. The current year increase of $44 million included higher operating income of $35 million and higher nonoperating revenues of $25 million; partially offset by higher nonoperating expenses of $16 million. Operating income was higher primarily due to higher production at Niagara and higher prices on market-based sales of energy into the NYISO market. Severe winter weather conditions caused a significant spike in market energy prices in early 2014. Large increases in purchased power and fuel expenses from year to year were substantially offset by the recovery of such costs through operating revenues. Nonoperating revenue was higher primarily due to insurance reimbursements received in the current year and a lower unrealized loss on fixed income securities in the Authority's investment portfolio. Nonoperating expenses were higher in 2014 due to higher voluntary contributions to New York State ($25 million) partially offset by a lower interest expense.

Net position increased in 2014 due to positive net income of $272 million.

The Authority had net income of $228 million for the year ended December 31, 2013 compared to $175 million in 2012. The increase of $53 million in net income included higher operating income of $56 million and lower nonoperating expenses of $27 million; partially offset by lower nonoperating revenue of $30 million. Operating income was higher primarily due to higher prices on market-based sales of capacity into the NYISO market. Capacity prices were higher primarily due to the retirement and mothballing of power plants owned by other generators in N.Y. State. Large increases in purchased power and fuel expenses from year to year were substantially offset by the recovery of such costs through operating revenues. Nonoperating expenses were lower in 2013 due to lower voluntary contributions to New York State ($20 million) combined with lower interest expenses. Nonoperating revenue was lower primarily due to a higher unrealized loss on fixed income securities in the Authority's investment portfolio due to higher market interest rates in 2013.

Net position increased by $249 million in 2013 due to positive net income of $228 million and $21 million of contributed capital related to wind farm assets (see note 5 of the notes to the financial statements).

Operating Revenues

Operating revenues of $3,175 million in 2014 were $145 million or 5% higher than the $3,030 million in 2013, primarily due to a higher volume of market energy and capacity sales and higher prices on those sales.

Purchased Power and Fuel

Purchased power costs increased by 7% in 2014 to $996 million from $934 million in 2013, primarily due to higher prices ($133 million) and volumes ($10 million) of energy purchases and a full year of payments for HTP ($30 million). These additional costs were offset by lower Entergy costs ($64 million) as a result of the expiration of the contract in 2013 and lower capacity purchases in 2014 ($42 million). Fuel costs were $37 million (11%) higher during 2014, primarily due to higher prices ($46 million) offset by a lower volume ($9 million). The average price of fuel consumed was higher in 2014 compared to 2013 due to increased fuel prices during the winter months attributable to severe weather conditions.

Operations and Maintenance (O&M)

O&M expenses decreased by $4 million, or 1%, in 2014 to $562 million, primarily due to a decline in the Recharge NY Power Program residential consumer discount program expense partially offset by the NYS-Upstate fuel reserve initiative payment.

Nonoperating Revenues

For 2014, nonoperating revenues increased by $25 million, or 28%, primarily due to lower unrealized loss on fixed income securities in the Authority's investment portfolio as result of market interest rate fluctuations and an insurance reimbursement received in 2014 for claims on transformer failures. Nonoperating revenues for 2014 and 2013 include income recognition of $71 million and $72 million, respectively, resulting from a value-sharing agreement relating to the nuclear power plants sold by the Authority to subsidiaries of Entergy Corporation in 2000. See note 10(a) "Nuclear Plant Divestiture," of notes to the financial statements, for additional information.

Nonoperating Expenses

For 2014, nonoperating expenses increased by $16 million, or 7%, primarily due to higher voluntary contributions (from $65 million in 2013 to $90 million in 2014) to New York State partially offset by a lower interest expense resulting from lower interest rates.

Cash Flows

Cash flows from operating activities for 2014 ($512 million) were essentially unchanged from the prior year ($513 million).

Net Generation

Net generation for 2014 was 28.7 million megawatt-hours (MWh), a 3% increase from the level generated in 2013. Net generation from the Niagara and St. Lawrence plants in 2014 (20.7 million MWh) was 5% higher than 2013 (19.7 million MWh) due to higher water flows. The higher water flows occurred primarily in the second half of 2014 due to melting of snow pack. During 2014, net hydro generation was approximately 103% of long-term average and above 2013, which was 97% of long-term average. Combined net generation of the fossil fuel plants for 2014 was 8.02 million MWh, or 3% lower than 2013 (8.26 million MWh), with a 0.2 million MWh decrease attributable to the Small Clean Power Plants (SCPP).

Summary of Statements of Net Position

The following is a summary of the Authority's statements of net position for 2014, 2013, and 2012:

	2014	2013	2012	2014 vs. 2013	2013 vs. 2012
	(In millions, except percentages)				
Current assets	$ 1,925	$ 1,824	$ 1,875	6 %	(3) %
Capital assets	4,731	4,771	4,819	(1)	(1)
Other noncurrent assets	2,851	2,694	2,320	6	16
Deferred outflows	17	42	107	(60)	(61)
Total assets and deferred outflows	$ 9,524	$ 9,331	$ 9,121	2	2
Current liabilities	$ 927	$ 1,012	$ 1,030	(8)	(2)
Noncurrent liabilities	4,320	4,320	4,621	-	(7)
Total liabilities	5,247	5,332	5,651	(2)	(6)
Deferred inflows	286	280	—	2	-
Net position	3,991	3,719	3,470	7	7
Total liabilities, deferred inflows and net position	$ 9,524	$ 9,331	$ 9,121	2	2

The following summarizes the Authority's statements of net position variances for the years 2014 and 2013:

In 2014, current assets increased by $101 million (6%) to $1,925 million primarily due to an increases in cash resulting from the timing of payments and receipts. Capital assets decreased by $40 million (1%) to $4,731 million, primarily due to the excess of depreciation over additions to plant and construction in progress. Other noncurrent assets increased by $157 million (6%) primarily due to an increase in the nuclear decommissioning fund, transmission line interconnection costs associated with HTP and recoverable costs related to the Astoria capital lease. Deferred outflows decreased by $25 million (60%) primarily due to changes in fair value and settlements of derivative instruments. Current liabilities decreased by $85 million (8%), to $927 million, primarily due to decreases in accounts payable and accrued liabilities ($76 million). Noncurrent liabilities, which were unchanged, included a $115 million increase in the nuclear plant decommissioning obligation reflecting investment earnings of the decommissioning fund (i.e., the Authority's obligation is limited to no more than the amount in the decommissioning fund and therefore the liability increases or decreases to reflect the fair value of the decommissioning fund), partially offset by decreases in long-term debt ($93 million) due to scheduled maturities and payments on capital lease obligations. Deferred inflows reflect a reclassification of $286 million from other noncurrent liabilities to deferred inflows based on a current year review of deferred inflows of resources financial reporting requirements related to costs of removal obligations. The changes in net position for 2014 and 2013 are discussed in the summary of revenues, expenses and changes in net position in this Management's Discussion and Analysis.

In 2013, current assets decreased by $51 million (3%) to $1,824 million primarily due to a decreases in cash resulting from the timing of payments and receipts. Capital assets decreased by $48 million (1%) to $4,771 million, primarily due to the excess of depreciation over additions to plant and construction in progress. Other noncurrent assets increased by $374 million (16%) primarily due to an increase in the nuclear decommissioning fund, transmission line interconnection costs associated with HTP and energy efficiency program work in progress. Deferred outflows decreased by $65 million (61%) primarily due to changes in fair value and settlements of derivative instruments. Current liabilities decreased by $18 million (2%), to $1,012 million, primarily due to increases in short-term debt ($20 million) utilized to finance energy efficiency

projects. Noncurrent liabilities decreased by $301 million (7%) to $4,320 million primarily due to a reclassification of cost of removal obligations ($280 million), the decreases in long-term debt ($96 million), risk management activities – derivatives ($63 million) and Niagara relicensing ($26 million), partially offset by increases in the nuclear plant decommissioning obligation ($114 million). The decrease in long-term debt was due to scheduled maturities. The increase in the nuclear plant decommissioning obligation reflects the increase in investment earnings of the decommissioning fund (i.e., the Authority's obligation is limited to no more than the amount in the decommissioning fund and therefore the liability increases or decreases to reflect the fair value of the decommissioning fund). Deferred inflows reflect a reclassification of $280 million from other noncurrent liabilities to deferred inflows to conform to the 2014 presentation. The changes in net position for 2013 and 2012 are discussed in the summary of revenues, expenses and changes in net position in this Management's Discussion and Analysis.

Capital Asset and Long-Term Debt Activity

The Authority currently estimates that it will expend approximately $1,861 million for various capital improvements over the five-year period 2015-2019. The Authority anticipates that these expenditures will be funded using existing construction funds, internally generated funds and additional borrowings. Such additional borrowings are expected to be accomplished through the issuance of commercial paper notes and/or the issuance of long-term fixed rate debt. Projected capital requirements during this period include (in millions):

Smart Grid G & T Implementation	$	274
Plant Modernization Program-LEM (Lewiston Pump Generation Plant)		263
MA1 & MA2 Line - 230 kV Transmission Line		204
Switchyard Modernization Program-LEM (Niagara, St. Lawrence, Blenheim-Gilboa, Clark Energy Cent		199
Information Technology Infrastructure/Initiatives		90
Breaker and Relay Replacement Program (Niagara, St. Lawrence,)		85
RMNPP Upgrade Program		61
High Voltage Initiative		50
Substation Upgrades (Adk, Plattsburgh, Saranac, Willis, Massena)		45
Relicensing And Compliance [Niagara, St. Lawrence, Blenheim-Gilboa)		28
R-22 Inlet Chiller Systems		26
Marcy South Series Compensation		24
STL - New Security and Warehouse Facility		22
St. Lawrence Headgate Automation		20
Install Advanced Hot Gas Path Components		20
Stator Rewind And Restack Project - Phase III (Niagara)		19
Pv-20 Line submarine cable		18
St. Lawrence Generator Step-Up (GSU) Transformer Replacement		18
Small Hydro Facilities-Units Upgrades (Vischer Ferry , Crescent)		16
Governor And Controls Upgrade (RMNPP)		16
765/230 Kv Mult-Unit Autotransformer Replacement (Massena)		15
Implementation of CIP Version 5 Standard Requirements		12
Rotor Modification For Stress Redistribution		12
Flynn Major Outage-New Parts		10
SCPP Black Start (Hellgate & Harlem River)		9
Other (projects less than $9 million)		305
	$	1,861

In addition, the Authority's capital plan includes the provision of approximately $1,016 million in financing for Energy Services and Technology projects to be undertaken by the Authority's governmental customers and other public entities in the State. It should also be noted that due to projects currently under review as well as energy initiatives announced in the

Governor's State of the State address, there is a potential for significant increases in the capital expenditures indicated in the table above. Such additional capital expenditures would be subject to evaluation and Trustee approval.

In December 2012, the Authority's Trustees approved a $726 million Transmission Life Extension and Modernization Program (Transmission LEM Program) on the Authority's Transmission system through 2025. The Transmission LEM Program encompasses transmission assets in the Central, Northern and Western regions of New York and will include work to be done such as upgrades, refurbishments and replacements associated with switchyards and substations, transmission line structures or towers and associated hardware and replacement of the submarine cable on the PV-20 line. Reinvestment in this strategic component of the Authority's overall mission supports the repair, upgrade and/or expansion of the transmission infrastructure. The Authority intends to finance the Transmission LEM Program with internal funds and proceeds from debt obligations to be issued by the Authority. The work on the Transmission LEM Program is underway and is expected to continue through 2025.

The Authority's Trustees approved a $460 million Life Extension and Modernization Program at the Niagara project's Lewiston Pump-Generating Plant, (Lewiston LEM Program) of which $300 million of expenditures have been authorized and $131 million spent as of December 31, 2014. The work to be done includes a major overhaul of the plant's 12 pump turbine generator units. The Lewiston LEM Program will increase pump and turbine efficiency, operating efficiency, and the peaking capacity of the overall Niagara project. The Authority filed an application with the Federal Energy Regulatory Commission (FERC) for a non-capacity license amendment in connection with the program. The amendment was approved with a FERC order issued in 2012. The Authority intends to finance this LEM Program with internal funds and proceeds from debt obligations to be issued by the Authority. The unit work began in late 2012 and is on-going, with the final unit expected to be completed in 2020.

By order issued March 15, 2007, FERC issued the Authority a new 50-year license for the Niagara Project effective September 1, 2007. In doing so, FERC approved six relicensing settlement agreements entered into by the Authority with various public and private entities. In 2007, the Authority estimated that the capital cost associated with the relicensing of the Niagara project would be approximately $495 million. This estimate does not include the value of the power allocations and operation and maintenance expenses associated with several habitat and recreational elements of the settlement agreements. As of December 31, 2014, the balance in the liability associated with the relicensing on the statement of net position is $301 million ($22 million in current and $279 million in other noncurrent liabilities).

The Authority is embarking on several initiatives, which are currently in varying stages of development. These initiatives will enhance the Authority's current operations and expand energy services and include, but are not limited to Smart Generation and Transmission (deployment of advanced technologies that ensure that grid operations become increasingly intelligent), Customer Energy Solutions (development of innovative, cost-effective and resilient energy systems to provide our customers with choices enabling them to achieve their energy goals in new ways) and Asset Management (strengthening investment planning through enhanced use of technology, data, people and processes).

More detailed information about the Authority's capital assets is presented in notes 2 and 5 of the notes to the financial statements.

Capital Structure

	2014	2013	2012
		(In millions)	
Long-term debt, net of current maturities:			
Senior:			
Revenue bonds	$ 902	$ 958	$ 1,012
Adjustable rate tender notes	86	96	106
Subordinated:			
Subordinated Notes, Series 2012 (1)	23	24	24
Commercial paper	44	70	102
Total long-term debt, net of current maturities	1,055	1,148	1,244
Net position	3,991	3,719	3,470
Total capitalization	$ 5,046	$ 4,867	$ 4,714

(1) The Subordinated Notes, Series 2012, which were issued on November 2012, are subordinate to the Series 2003 A Revenue Bonds, the Series 2006 A Revenue Bonds, the Series 2007 A, B, and C Revenue Bonds, the Series 2011 A Revenue Bonds and the Adjustable Rate Tender Notes.

During 2014, long-term debt, net of current maturities, decreased by $107 million, primarily due to scheduled maturities and cash funding of capital expenditures.

Total debt to equity ratio as of December 31, 2014, decreased to .40-to-1 from .46-to-1 as of December 31, 2013 and from 1.25-to-1 in 2004. Total debt as of December 31, 2014 is at its lowest level since December 31, 1975.

Debt Ratings

	Moody's	Standard & Poor's	Fitch
NYPA's underlying credit ratings:			
Senior debt:			
Long-term debt (a)	Aa1	AA	AA
Adjustable rate tender notes	Aa1/VMIG1	AA/A-1+	N/A
Subordinate debt:			
Subordinate Note, Series 2012	N/A	N/A	AA
Commercial paper	P-1	A-l+	F1+

(a) Long term debt includes certain bonds - Series 2007 A, B and C Revenue Bonds, Series 2006 A Revenue Bonds and Series 2003 A Revenue Bonds - which are covered by Municipal bond insurance. In March 2014, S&P upgraded Assured Guaranty Municipal Corp's AA- rating (formerly Financial Security Assurance Inc.) to AA. All other bond insurers' ratings are no longer above the Authority's underlying rating and/or are no longer rated. Consequently, the insured bonds carry the Authority's underlying rating set forth in the table above. The impact of the bond insurers' credit actions on the market value of the Authority's insured bonds was not discernible because of the Authority's strong underlying ratings.

In August 2014, Fitch Ratings affirmed the Authority's senior and subordinate debt ratings and assigned a positive outlook. In November 2014, Standard & Poor's Rating Service upgraded the Authority's long-term senior debt ratings to AA from AA- and upgraded the Authority's short-term subordinate debt ratings to A-1+ from A-1. In November 2014, Moody's

Investor Service, Inc. upgraded the Authority's senior lien revenue bonds to Aa1 from Aa2 and affirmed the Authority's short-term ratings for Commercial Paper Notes and ART Notes at P-1 and VMIG1 respectively.

The Authority has a revolving credit agreement (Agreement) with The Bank of Nova Scotia, which terminates on September 1, 2015, to provide a supporting line of credit for the purpose of repaying, redeeming or purchasing the Adjustable Rate Tender Notes. Under the Agreement, the Authority may borrow up to the outstanding principle of the ART Notes, which at December 31, 2014 was $96 million. The Agreement provides for interest on outstanding borrowings at either (i) the Federal Funds Rate plus a percentage, or (ii) a rate based on the London Interbank Offered Rate (LIBOR) plus a percentage. The Authority expects that it will be able to renew or replace this Agreement as necessary.

In addition, the Authority also has a line of credit under a 2015 revolving credit agreement (the 2015 RCA) with a syndicate of banks, to provide liquidity support for the Series 1-3 CP Notes, under which the Authority may borrow up to $600 million in aggregate principal amount outstanding at any time for certain purposes, including the repayment of the Series 1–3 CP Notes. The 2015 RCA terminates January 15, 2017, unless mutually extended by the banks and the Authority. The 2015 RCA succeeded another revolving credit agreement (the 2011 RCA) in January 2015. No borrowings have been made under the 2015 RCA or the 2011 RCA.

Economic Conditions

Competitive Environment

The Authority's mission is to power the economic growth and competitiveness of New York State by providing customers with low-cost, clean, reliable power and the innovative energy infrastructure and services they value. The Authority's financial performance goal is to have the resources necessary to achieve its mission, to maximize opportunities to serve its customers better and to preserve its strong credit rating.

To maintain its position as a low cost provider of power in a changing environment, the Authority has undertaken and continues to carry out a multifaceted program, including: (a) the upgrade and relicensing of the Niagara and St. Lawrence-FDR projects; (b) long-term supplemental electricity supply agreements with its governmental customers located mainly within the City of New York (NYC Governmental Customers); (c) construction of a 500-megawatt (MW) combined-cycle electric generating plant at the Authority's Poletti plant site (500-MW Plant); (d) a long-term electricity supply contract with Astoria Generating LLC for the purchase of the output of a new 550-MW power plant in Astoria, Queens, which entered into service on July 1, 2011; (e) contracting a 660 MW, seven mile, underground and underwater transmission line connecting into the PJM ISO, which went operational in June 2013; (f) a significant reduction of outstanding debt; and (g) implementation of an enterprise-wide and energy/fuel risk management program. As a component of NYPA's strategic plan, efforts to modernize NYPA's generation and transmission infrastructure are being developed and implemented to increase flexibility and resiliency, and to serve customers' needs in an increasingly changing electric utility marketplace.

The Authority operates in a competitive and sometimes volatile market environment. Volatility in the energy market has impacted the Authority in its role as a buyer and until recent years had resulted in higher costs of purchased power and fuel in its NYC Governmental Customer and other market areas. The NYC Governmental Customer market cost situation is mitigated by the cost-recovery provisions in the long-term supplemental electricity supply agreements and generation from the Authority's 500-MW Plant. The Authority also has implemented a restructuring program for its long-term debt through open-market purchases, early retirements and refundings, which has resulted in cost savings and increased financial flexibility. The Authority can give no assurance that, even with these measures, it will not lose customers in the future as a result of the restructuring of the State's electric utility industry and the emergence of new competitors or increased competition from existing participants.

Through its participation in the NYISO and other commodity markets, the Authority is subject to electric energy price, fuel price and electric capacity price risks that impact the revenue and purchased power streams of its facilities and customer market areas. Such volatility can potentially have adverse effects on the Authority's financial condition. To mitigate downside effects, many of the Authority's customer contracts provide for the complete or partial pass-through of these costs

and to moderate cost impacts to its customers, the Authority hedges market risks through the use of financial instruments and physical contracts. Hedges are transacted by the Authority to mitigate volatility in the cost of energy or related products needed to meet customer needs; to mitigate risk related to the price of energy and related products sold by the Authority; to mitigate risk related to margins (electric sales versus fuel use) where the Authority owns generation or other capacity; and mitigation of geographic cost differentials of energy procured or sold for transmission or transportation to an ultimate location. Commodities to be hedged include, but are not limited to, natural gas, natural gas basis, electric energy, electric capacity and congestion costs associated with the transmission of electricity. Any such actions are taken pursuant to policies and procedures approved by the Authority's Trustees and under the oversight of an Executive Risk Management Committee headed by the Chief Financial Officer.

Rate Actions

Power and energy from the St. Lawrence-FDR and Niagara hydroelectric facilities are sold to municipal electric systems, rural electric cooperatives, industrial and other business customers, certain public bodies, investor-owned utilities, and out-of-state customers, as provided for under state and federal laws. The charges for firm and/or firm peaking power and associated energy sold by the Authority, as applicable, to the fifty-one municipal electric systems and rural electric cooperatives in New York State, two public transportation agencies, three investor-owned utilities for the benefit of rural and domestic customers, and seven out-of-state public customers have been established on the basis of the cost to serve these loads. These charges are among the lowest found throughout the United States. In November 2011, the Authority's Trustees approved a 41-month rate plan providing for certain phased-in increases to these rates which result in effective hydro rate increases of 5.5% on December 1, 2011 and annual increases of approximately 5.5% from May 1, 2012 to May 1, 2014. The rates effective May 1, 2014 are sufficient to recover the costs estimated to be incurred during 2015 and will remain in effect at current levels until further notice.

Expansion and replacement power industrial customers supplied from the Niagara facility and preservation power industrial customers supplied from the St. Lawrence-FDR facility are allocated over 30% of the combined firm contract demand of the plants. Their rates are subject to annual adjustment based on the average of three contractually agreed-upon economic indices reflecting changes in industrial energy prices.

In an order issued January 27, 1999, FERC approved the use of a $165.4 million transmission system revenue requirement in developing rates for use of NYPA's transmission facilities in the NYISO market. FERC also approved, among other things, the imposition of a NYPA Transmission Adjustment Charge ("NTAC") and the NYPA Transmission Service Charges ("TSC") which are the tariff elements established to achieve full recovery of the Authority's annual transmission revenue requirement. In July 2012, the Authority filed for its first requested increase in the revenue requirement with FERC since the implementation of the NYISO. This filing resulted in FERC's October 4, 2013 order accepting an uncontested settlement agreement establishing a new $175.5 million revenue requirement.

Recharge New York Power Program

Chapter 60 (Part CC) of the Laws of 2011 (Chapter 60) established the "Recharge New York Power Program" (RNYPP), administered by the Authority, which has as its central benefit up to 910 MW of low cost power comprised of up to 455 MW of hydropower from the Niagara and St. Lawrence-FDR Projects and up to 455 MW of other power procured by the Authority from other sources. The 910 MW of power is available for allocation as provided by Chapter 60 to eligible new and existing businesses and not-for-profit corporations under contracts of up to seven years. RNYPP was effective beginning July 1, 2012.

The RNYPP replaced two other programs, the Power for Jobs (PFJ) and Energy Cost Savings Benefit (ECSB) Programs, which had extended benefits of low-cost power to certain businesses, small businesses and not-for-profit organizations. Those PFJ and ECSB Program customers who were in substantial compliance with contractual commitments under the PFJ and ECSB Programs and who applied but did not receive RNYPP allocations are eligible to apply for transitional electricity discounts, as provided for in Chapter 60. This transitional electricity discounts program provides for declining levels of discounts through June 30, 2016 when the program terminates, if payment of such discounts is deemed feasible and

advisable by the Authority's Trustees. In June 2012, the Authority's Trustees authorized transitional electricity discount payments of up to $9 million for the year July 1, 2012 – June 30, 2013. As of December 31, 2014, approximately $3.9 million of such discounts have been paid with an additional $1.0 million in payments remaining to be made pursuant to the authorization. On February 26, 2015, the Authority's Trustees approved an additional $8 million to fund anticipated payments for the period from July 1, 2013 to June 30, 2015.

The hydropower used for the RNYPP was power formerly used to provide low-cost electricity to domestic and rural customers of the three private utilities that serve upstate New York. To mitigate the impacts from the redeployment of this hydropower for the RNYPP, Chapter 60 created a "Residential Consumer Discount Program" (RCDP). The RCDP authorizes the Authority, as deemed feasible and advisable by its Trustees, to provide annual funding of $100 million for the first three years following withdrawal of the hydropower from the residential and farm customers, $70 million for the fourth year, $50 million for the fifth year, and $30 million each year thereafter, for the purpose of funding a residential consumer discount program for those customers that had formerly received the hydropower that is utilized in the RNYPP. Chapter 60 further authorizes the Authority, as deemed feasible and advisable by the Trustees, to use revenues from the sales of hydroelectric power, and such other funds of the Authority, as deemed feasible and advisable by the Trustees, to fund the RCDP. The Authority's Trustees have authorized the release of a total $337.5 million through December 2014 in support of the RCDP. The Authority supplemented the market revenues through the use of internal funds, from the August 2011 start of the program through December 31, 2014, totaling cumulatively $110 million. Operations and maintenance expenses included $88 million and $100 million of residential consumer discounts in the years ended December 31, 2014 and 2013. On February 26, 2015, the Authority's Trustees approved up to an additional $63 million to fund the RCDP payments anticipated to be made in 2015.

Western New York Power Proceeds Allocation Act

The Authority participates in the Western New York Power Proceeds Act (WNYPPA) created by Chapter 58 (Part GG) of the Laws of 2012 (Chapter 58), The WNYPPA authorizes the Authority, as deemed feasible and advisable by the Trustees, to deposit net earnings from the sale of unallocated Expansion Power and Replacement Power from the Authority's Niagara project into an account administered by the Authority known as the Western New York Economic Development Fund (Fund). Net earnings are defined as any excess revenues earned from such power sold into the wholesale market over the revenues that would have been received had the power been sold at the Expansion Power and Replacement Power rates. Proceeds from the Fund may be used to support eligible projects undertaken within a 30-mile radius of the Niagara power project that satisfy applicable criteria. The Authority's Trustees have approved the release of up to $50 million in net earnings, calculated for the period August 30, 2010 through December 31, 2014 as provided in the legislation, for deposit into the Fund. As of December 31, 2014, $38 million has been deposited into the Fund. As of December 31, 2014, the Authority has approved awards of Fund money totaling approximately $21 million to businesses that have proposed eligible projects and has made payments totaling $5 million to such businesses. Payment of these awards is contingent upon the execution of acceptable contracts between the Authority and individual awardees.

Northern New York Power Proceeds Allocation Act

Chapter 545 of the Laws of 2014 enacted the "Northern New York Power Proceeds Act" (NNYPPA). The NNYPPA authorizes the Authority, as deemed feasible and advisable by the Trustees, to deposit "net earnings" from the sale of unallocated St. Lawrence County Economic Development Power (SLCEDP) by the Authority in the wholesale energy market into an account the Authority would administer known as the Northern New York Economic Development Fund (NNY Fund), and to make awards to eligible applicants that propose eligible projects that satisfy applicable criteria. The NNYPPA also establishes a five-member Northern New York Power Allocations Board appointed by the Governor to review applications seeking NNY Fund benefits and to make recommendations to the Authority concerning benefits awards.

SLCEDP consists of up to 20 MW of hydropower from the Authority's St. Lawrence-FDR Power Project which the Authority has made available for sale to the Town of Massena Electric Department ("MED") for MED to sub-allocate for economic development purposes in accordance with a contract between the parties entered into in 2012 (Authority-MED Contract). The NNYPPA defines "net earnings" as the aggregate excess of revenues received by the Authority from the sale

of energy associated with SLCEDP by the Authority in the wholesale energy market over what revenues would have been received had such energy been sold to MED on a firm basis under the terms of the Authority-MED contract. For the first 5 years after enactment, the amount of SLCEDP the Authority could use to generate net earnings may not exceed the lesser of 20 MW or the amount of SLCEDP that has not been allocated by the Authority pursuant to the Authority-MED contract. Thereafter, the amount of SLCEDP that the Authority could use for such purpose may not exceed the lesser of 10 MW or the amount of SLCEDP that has not been allocated. On February 26, 2015, the Authority's Trustees approved the release of funds, of up to $3 million, into the Northern New York Economic Development Fund representing "net earnings" from the sale of unallocated SLCEDP into the wholesale energy market for the period December 29, 2014 through December 31, 2015.

New York State Budget and Other Matters

The Authority is requested, from time to time, to make financial contributions or transfers of funds to the State. Any such contribution or transfer of funds must (i) be authorized by law (typically, legislation enacted in connection with the State budget), and (ii) satisfy the requirements of the Bond Resolution. The Bond Resolution requirements to withdraw moneys "free and clear of the lien and pledge created by the (Bond) Resolution" are as follows: (1) such withdrawal must be for a "lawful corporate purpose as determined by the Authority," and (2) the Authority must determine "taking into account, among other considerations, anticipated future receipt of Revenues or other moneys constituting part of the Trust Estate, that the funds to be so withdrawn are not needed" for (a) payment of reasonable and necessary operating expenses, (b) an Operating Fund reserve for working capital, emergency repairs or replacements, major renewals, or for retirement from service, decommissioning or disposal of facilities, (c) payment of, or accumulation of a reserve for payment of, interest and principal on senior debt, or (d) payment of interest and principal on subordinate debt.

In May 2011, the Authority's Trustees adopted a policy statement (Policy Statement) which relates to, among other things, voluntary contributions, transfers, or other payments to the State by the Authority after that date. The Policy Statement provides, among other things, that in deciding whether to make such contributions, transfers, or payments, the Authority shall use as a reference point the maintenance of a debt service coverage ratio of at least 2.0, in addition to making the other determinations required by the Bond Resolution. The Policy Statement may at any time be modified or eliminated at the discretion of the Authority's Trustees.

Legislation enacted into law, as part of the 2000-2001 State budget, as amended up to the present time, has authorized the Authority as deemed feasible and advisable by the trustees, to make a series of voluntary contributions into the State treasury in connection with the PFJ Program and for other purposes as well. The PFJ Program, which had been extended to June 30, 2012, has ended and was replaced by the RNYPP, as discussed above in note 11(a) "Recharge New York Power Program" of the notes to the financial statements. Cumulatively through December 31, 2012, the Authority has made voluntary contributions to the State totaling $475 million in connection with the ended PFJ Program.

In 2014 and 2013, the Authority made $90 million and $65 million, respectively, in contributions to the State that are not related to the PFJ Program and which were recorded as nonoperating expenses in the year ended December 31, 2014 and 2013 statements of revenues, expenses and changes in net position. These contributions were authorized by the Authority's Trustees and were consistent with the related State fiscal year budgets. The 2014 contributions totaling $90 million were transferred directly to ESD in furtherance of ESD's statewide economic development initiatives. The 2013 contributions of $65 million include $45 million that was paid to Empire State Development (ESD) to support the New York State Open for Business economic development initiative in lieu of the voluntary contributions to the State's General Fund for the State fiscal year 2013-2014. Cumulatively, between January 2008 and December 31, 2014, the Authority has made voluntary contributions to the State totaling $582 million unrelated to the PFJ program. The Authority made a contribution of $42 million to ESD on February 26, 2015 with an additional $23 million to be considered for payment by March 31, 2015.

The Governor's Executive Budget proposed for State Fiscal Year 2015-2016 contains language authorizing the Authority, as deemed feasible and advisable by its Trustees, to (i) make a contribution to the State treasury to the credit of the General Fund, or as otherwise directed in writing by the Director of the Budget, in an amount of up to $90 million for the State fiscal year commencing April 1, 2015, the proceeds of which will be utilized for to support energy-related initiatives of the State

or for economic development purposes, and (ii) transfer up to $25 million of any such contribution by June 30, 2015 and the remainder of any such contribution by March 31, 2016.

Temporary Asset Transfers

In addition to the authorization for voluntary contributions, as a result of budget legislation enacted in February 2009, the Authority was requested to provide temporary asset transfers to the State of funds held in reserves. Pursuant to the terms of a Memorandum of Understanding dated February 2009 (MOU) between the State, acting by and through the State's Director of Budget, and the Authority, the Authority agreed to transfer approximately $215 million associated with its Spent Nuclear Fuel Reserves (Asset B) by March 27, 2009. The Spent Nuclear Fuel Reserves are funds that had been set aside for payment to the federal government sometime in the future when the federal government accepts the spent nuclear fuel for permanent storage (see note10(b) "Nuclear Fuel Disposal". The MOU provides for the return of these funds to the Authority, subject to appropriation by the State Legislature and the other conditions described below, at the earlier of the Authority's payment obligation related to the transfer and disposal of the spent nuclear fuel or September 30, 2017. Further, the MOU provides for the Authority to transfer within 180 days of the enactment of the 2009-2010 State budget $103 million of funds set aside for future construction projects (Asset A), which amounts would be returned to the Authority, subject to appropriation by the State Legislature and the other conditions described below, at the earlier of when required for operating, capital or debt service obligations of the Authority or September 30, 2014. In February 2009, the Authority's Trustees authorized the execution of the MOU relating to the temporary transfers of Asset B ($215 million) and Asset A ($103 million) and such transfers were made in March 2009 and September 2009, respectively, following Trustee approval.

The MOU provides that the obligation of the State to return all or a portion of an amount equal to the moneys transferred by the Authority to the State is subject to annual appropriation by the State Legislature. Further, the MOU provides that as a condition to any such appropriation for the return of the moneys earlier than September 30, 2017 for the Spent Nuclear Fuel Reserves and earlier than September 30, 2014 for the construction projects, the Authority must certify that the monies available to the Authority are not sufficient to satisfy the purposes for which the reserves, which are the source of the funds for the transfer, were established.

In lieu of interest payments, the State has waived certain future payments from the Authority to the State. The waived payments include the Authority's obligation to pay until September 30, 2017 the amounts to which the State is entitled under a governmental cost recovery process for the costs of central governmental services. These payments would have been approximately $5 million per year based on current estimates but the waiver is limited to a maximum of $45 million in the aggregate during the period. Further, the obligation to make payments in support of certain State park properties and for the upkeep of State lands adjacent to the Niagara and St. Lawrence power plants is waived from April 1, 2011 to March 31, 2017. These payments would have been approximately $8 million per year but the waiver would be limited to a maximum of $43 million for the period. The present value of the waivers approximates the present value of the forgone interest income.

On April 24, 2014, the Authority and the State executed an Amendment to the MOU which provides that the State shall, subject to appropriation by the State Legislature, return the $103 million (Asset A) in five installments in the following amounts and by no later than September 30 of each of the following State fiscal years: (1) $18 million for State Fiscal Year 2014-2015; (2) $21 million for State Fiscal Year 2015-2016; (3) $21 million for State Fiscal Year 2016-2017; (4) $21 million for State Fiscal Year 2017-2018; and (5) $22 million for State Fiscal Year 2018-2019. By its terms, the Amendment to the MOU became effective when it was approved and ratified by the Authority's Board of Trustees on July 29, 2014. The Authority received the first $18 million installment on October 1, 2014. The Assets A and B transfers are reported in miscellaneous receivable and other ($21 million at December 31, 2014) and in other noncurrent assets ($279 million and $318 million at December 31, 2014 and December 31, 2013, respectively) in the statements of net position.

New York Energy Highway

In January 2012, the Governor of New York announced the New York Energy Highway initiative, which is envisioned as a public-private partnership to upgrade and modernize the State's electric power system. The Governor formed a task force comprised of various State officials to oversee implementation of the initiative (Task Force) which is co-chaired by the Authority's President and Chief Executive Officer. In April 2012, the Task Force issued a request for information seeking ideas and proposals in furtherance of the initiative. Approximately 85 organizations responded to the Task Force's request for information and the responses included a large number of different generation and transmission project proposals. Based on the response of all these organizations, the Energy Highway Task Force issued an action plan in October 2012. The resulting Energy Highway Blueprint, calling for public and private investments in the State's energy system of about $5.7 billion over the next five to 10 years, proposed 13 specific actions, divided among four major categories: Expand and Strengthen the System, Accelerate Construction and Repair, Support Clean Energy and Technology Innovation.

In November 2012, the New York Public Service Commission (NYPSC) announced new proceedings addressing various actions described in the Blueprint including (i) the initiation of electric transmission upgrades to move excess power from upstate to downstate ("AC Transmission"), (ii) the creation of a contingency plan to prepare for a large generator retirement ("Generation Retirement Contingency Plan") and (iii) the expansion of natural gas delivery to homeowners and businesses in New York State.

In response to the request for information and the Generation Retirement Contingency Plan and AC Transmission proceedings, the New York Transmission Owners (NYTOs), comprised of the State's largest private utilities, the Long Island Power Authority (LIPA), and the Authority, indicated that they were exploring the creation of a new Statewide transmission entity (NY Transco) to pursue development, construction, operation, and ownership of new transmission projects. The NYTOs proposed to the Task Force and to the NYPSC several transmission projects that could be undertaken by a NY Transco entity. Participation of the Authority in the NY Transco would be contingent on the enactment of legislation by the State that enables the Authority to participate. As of the 2014 legislative session, which ended in June 2014, such enabling legislation has not been passed. On November 24, 2014, affiliates of the NYTOs formed a transmission entity (Four-Party Transco) that does not include LIPA or the Authority but would permit their participation should the necessary enabling legislation be passed.

In its November 4, 2013 Generation Retirement Contingency Plan Order, the NYPSC selected three transmission projects (TOTS projects) to be built by Consolidated Edison, New York State Electric and Gas (NYSEG) and the Authority. The NYPSC also requested that the NYTOs seek FERC approval for the three TOTS projects. On December 4, 2014, the NYTOs on behalf of themselves and the Four-Party Transco filed applications at FERC to permit the transfer of certain transmission assets to the Four-Party Transco. The Four-Party Transco also filed an application for cost allocation and recovery for five projects, including the three TOTS projects. On January 16, 2015, the Authority filed at FERC in opposition of the cost allocation methodology proposed by the Four-Party Transco. The Authority is co-developing one of the TOTS projects with NYSEG and plans to make a filing at FERC to recover the costs of its portion of that project.

Build Smart NY Initiative

On December 28, 2012, the Governor of New York issued Executive Order No. 88 (EO 88) directing state agencies collectively to reduce energy consumption in state-owned and managed buildings by 20 percent within seven years – an initiative designed to produce significant savings for New York taxpayers, generate jobs, and significantly reduce greenhouse gas emissions. To meet this initiative, the Governor launched Build Smart NY, a plan to strategically implement EO 88 by accelerating priority improvements in energy performance. The Authority has offered to provide $450 million in low-cost financing for this initiative for state owned buildings and an additional $350 million for towns and municipalities. Such low-cost financing would be funded by proceeds of the Authority's commercial paper or another form of debt. The Authority's costs of financing would be recovered from the energy efficiency customers in this program. In addition, as provided for in EO 88, the Authority has established a central management and implementation team to carry out the Build Smart NY plan. In 2014, the Authority has in aggregate provided approximately $150 million in financing for energy efficiency projects at State agencies and authorities covered by EO 88.

Energy Efficiency Market Acceleration Program

In June 2012, the Authority's Trustees authorized up to $30 million in funding over five years for an energy efficiency market acceleration program involving energy efficiency research, demonstration projects, and market development. As of December 31, 2014, the Authority's Trustees have approved the award of contracts with a cumulative value of up to approximately $26 million.

Contacting the Authority

This financial report is designed to provide our customers and other interested parties with a general overview of the Authority's finances. If you have any questions about this report or need additional financial information, contact the New York Power Authority, 123 Main Street, White Plains, New York 10601-3107.

NEW YORK POWER AUTHORITY

Statements of Net Position

(In millions)

	December 31, 2014	December 31, 2013
Assets and Deferred Outflows		
Current Assets:		
Cash and cash equivalents	$ 78	$ 8
Investment in securities	1,258	1,287
Receivables - customers	188	238
Materials and supplies, at average cost:		
Plant and general	91	88
Fuel	49	22
Miscellaneous receivables and other	261	181
Total current assets	1,925	1,824
Noncurrent Assets:		
Restricted funds:		
Cash and cash equivalents	18	18
Investment in securities	1,486	1,365
Total restricted assets	1,504	1,383
Capital funds:		
Cash and cash equivalents	1	7
Investment in securities	36	43
Total capital funds	37	50
Capital Assets:		
Capital assets not being depreciated	421	379
Capital assets, net of accumulated depreciation	4,310	4,392
Total capital assets	4,731	4,771
Other Noncurrent Assets:		
Receivable - New York State	279	318
Notes receivable - nuclear plant sale	–	19
Other long-term assets	1,031	924
Total other noncurrent assets	1,310	1,261
Total noncurrent assets	7,582	7,465
Total assets	9,507	9,289
Deferred outflows:		
Accumulated decrease in fair value of hedging derivatives	17	42
Total assets and deferred outflows	$ 9,524	$ 9,331

(Continued)

NEW YORK POWER AUTHORITY

Statements of Net Position

(In millions)

Liabilities, Deferred Inflows and Net Position	December 31, 2014	December 31, 2013
Current liabilities:		
Accounts payable and accrued liabilities	$ 334	$ 410
Short-term debt	466	452
Long-term debt due within one year	90	93
Capital lease obligation due within one year	16	12
Risk management activities - derivatives	21	45
Total current liabilities	927	1,012
Noncurrent liabilities:		
Long-term debt:		
Senior:		
Revenue bonds	902	958
Adjustable rate tender notes	86	96
Subordinated:		
Subordinated Notes, Series 2012	23	24
Commercial paper	44	70
Total long-term debt	1,055	1,148
Other noncurrent liabilities:		
Capital lease obligation	1,189	1,205
Liability to decommission divested nuclear facilities	1,415	1,300
Disposal of spent nuclear fuel	217	216
Relicensing	279	277
Risk management activities - derivatives	16	24
Other long-term liabilities	149	150
Total other noncurrent liabilities	3,265	3,172
Total noncurrent liabilities	4,320	4,320
Total liabilities	5,247	5,332
Deferred inflows:		
Cost of removal obligation	286	280
Net position:		
Net investment in capital assets	1,992	1,949
Restricted	25	24
Unrestricted	1,974	1,746
Total net position	3,991	3,719
Total liabilities, deferred inflows and net position	$ 9,524	$ 9,331

See accompanying notes to the financial statements.

NEW YORK POWER AUTHORITY

Statements of Revenues, Expenses and Changes in Net Position

(In millions)

	Year Ended December 31,	
	2014	2013
Operating revenues:		
Power sales	$ 2,396	$ 2,264
Transmission charges	165	163
Wheeling charges	614	603
Total operating revenues	3,175	3,030
Operating Expenses:		
Purchased power	996	934
Fuel oil and gas	361	324
Wheeling	614	603
Operations	442	451
Maintenance	120	115
Depreciation	232	228
Total operating expenses	2,765	2,655
Operating income	410	375
Nonoperating revenues and expenses:		
Nonoperating revenues:		
Investment income	21	5
Other	94	85
Total nonoperating revenues	115	90
Nonoperating expenses		
Contribution to New York State	90	65
Interest on long-term debt	59	63
Interest - other	116	119
Interest capitalized	(9)	(7)
Amortization of debt premium	(3)	(3)
Total nonoperating expenses	253	237
Net income before contributed capital	272	228
Contributed capital – Wind farm transmission assets	–	21
Change in net position	272	249
Net position, January 1	3,719	3,470
Net position, December 31	$ 3,991	$ 3,719

See accompanying notes to the financial statements.

NEW YORK POWER AUTHORITY

Statements of Cash Flows

(In millions)

	Year Ended December 31,	
	2014	2013
Cash flows from operating activities:		
Received from customers for the sale of power, transmission and wheeling	$ 3,143	$ 2,972
Disbursements for:		
Purchased power	(981)	(950)
Fuel, oil and gas	(419)	(325)
Wheeling of power by other utilities	(616)	(605)
Operations and maintenance	(615)	(579)
Net cash provided by operating activities	512	513
Cash flows from capital and related financing activities:		
Gross additions to capital assets	(186)	(165)
Repayment of notes	(10)	(10)
Repayment of bonds	(51)	(49)
Repayment of commercial paper	(32)	(33)
Earnings received on construction fund investments	–	1
Interest paid, net	(58)	(63)
Net cash used in capital and related financing activities	(337)	(319)
Cash flows from noncapital–related financing activities:		
Energy conservation program payments received from participants	109	119
Energy conservation program costs	(185)	(208)
Issuance of commercial paper	139	143
Repayment of commercial paper	(124)	(122)
Interest paid on commercial paper	(3)	(4)
Transmission line interconnection costs	(73)	(173)
Contributions to OPEB trust fund	(17)	(22)
Contributions to New York State	(90)	(65)
Payment received from New York State	18	–
Payments received from value sharing agreement	72	72
Payments received from notes receivable	20	20
Payment for fuel reserve – NYS initiative	(10)	–
NYISO collateral	(14)	–
Net cash used in noncapital-related financing activities	(158)	(240)
Cash flows from investing activities:		
Earnings received on investments	21	23
Purchase of investment securities	(5,297)	(5,802)
Sale of investment securities	5,323	5,760
Net cash provided by (used in) investing activities	47	(19)
Net increase (decrease) in cash	64	(65)
Cash and cash equivalents, January 1	33	98
Cash and cash equivalents, December 31	$ 97	$ 33
Reconciliation to net cash provided by operating activities:		
Operating income	$ 410	$ 375
Adjustments to reconcile operating income to net cash provided by operating activities:		
Change in assets, deferred outflows, liabilities and deferred inflows:		
Provision for depreciation	232	228
Net increase in prepayments and other	(20)	(1)
Net increase in receivables and inventory	(57)	(78)
Net decrease in accounts payable and accrued liabilities	(53)	(11)
Net cash provided by operating activities	$ 512	$ 513

See accompanying notes to the financial statements.

(1) General

The Power Authority of the State of New York (the Authority), doing business as The New York Power Authority, is a corporate municipal instrumentality and political subdivision of the State of New York (State) created in 1931 by Title 1 of Article 5 of the Public Authorities Law, Chapter 43-A of the Consolidated Laws of the State, as amended (Power Authority Act or Act).

The Authority's mission is to power the economic growth and competitiveness of New York State by providing customers with low-cost, clean, reliable power and the innovative energy infrastructure and services they value. The Authority's financial performance goal is to have the resources necessary to achieve its mission, to maximize opportunities to serve its customers better and to preserve its strong credit rating.

The Authority is authorized by the Power Authority Act to help provide a continuous and adequate supply of dependable electricity to the people of the State. The Authority generates, transmits and sells electricity principally at wholesale. The Authority's primary customers are municipal and investor-owned utilities, rural electric cooperatives, high load factor industries and other businesses located throughout New York State, various public corporations located in Southeastern New York within the metropolitan area of New York City (SENY Governmental Customers), and certain out-of-state customers.

To provide electric service, the Authority owns and operates five major generating facilities, eleven small gas-fired electric generating facilities, and four small hydroelectric facilities in addition to a number of transmission lines, including major 765-kV and 345-kV transmission facilities. The Authority's five major generating facilities consist of two large hydroelectric facilities (Niagara and St. Lawrence-FDR), a large pumped-storage hydroelectric facility (Blenheim-Gilboa), the combined cycle electric generating plant located in Queens, New York (500-MW Plant) and the Richard M. Flynn combined cycle plant located on Long Island (Flynn). To provide additional electric generation capacity to the Authority's NYC Governmental Customers, the Authority entered into a long-term electricity supply agreement with Astoria Energy II LLC in 2008 for the purchase of the output of an Astoria, Queens based natural-gas fueled 550-MW generating plant, which entered service in the summer of 2011.

The Authority acts through a Board of Trustees. The Authority's Trustees are appointed by the Governor of the State of New York, with the advice and consent of the State Senate. The Authority is a fiscally independent public corporation that does not receive State funds or tax revenues or credits. It generally finances construction of new projects through a combination of internally generated funds and sales of bonds and notes to investors and pays related debt service with revenues from the generation and transmission of electricity. Accordingly, the financial condition of the Authority is not controlled by or dependent on the State or any political subdivision of the State. Under the criteria set forth in Governmental Accounting Standards Board (GASB) the Authority considers its relationship to the State to be that of a related organization.

Income of the Authority and properties acquired by it for its projects are exempt from taxation. However, the Authority is authorized by the Act to enter into agreements to make payments in lieu of taxes with respect to property acquired for any project where such payments are based solely on the value of the real property without regard to any improvement thereon by the Authority and where no bonds to pay any costs of such project were issued prior to January 1, 1972.

The ''Public Authorities Accountability Act of 2005'' (''PAAA'') was signed into law in January 2006 and its various provisions address public authority reporting, governance, budgeting, oversight, and auditing matters, among other things. Additional public authority reforms were made by Chapter 506 of the Laws of 2009 (Chapter 506) which took effect on March 1, 2010. For example, Chapter 506 provided for (i) the creation of an "Authorities Budget Office" to provide oversight and other functions regarding public authorities, including the Authority; (ii) enhanced reporting requirements for public authorities, including the Authority; (iii) additional governance responsibilities for the boards of public authorities, including the Authority; (iv) New York State Comptroller review and approval of certain contracts of public authorities, including the Authority; (v) restrictions on property disposal by public authorities, including the Authority; and (vi) State Senate approval of certain authorities' chief executive officers, including the Authority.

(2) Summary of Significant Accounting Policies

The Authority's significant accounting policies include the following:

(a) Basis of Reporting

The Authority complies with all applicable pronouncements of the Governmental Accounting Standards Board (GASB). In accordance with GAS No. 62, *Codification of Accounting and Financial Reporting Guidance Contained in Pre-November 30, 1989 FASB and AICPA Pronouncements,* the Authority applies all authoritative pronouncements applicable to nongovernmental entities (i.e., Accounting Standards Codification (ASC) of the Financial Accounting Standards Board) that do not conflict with GASB pronouncements. The operations of the Authority are presented as an enterprise fund following the accrual basis of accounting in order to recognize the flow of economic resources. Accordingly, revenues are recognized in the period in which they are earned and expenses are recognized in the period in which they are incurred.

(b) Regulatory Accounting

The Authority's Board of Trustees has broad rate setting authority for its power sales agreements with customers. The sale of transmission service over the Authority's facilities is provided pursuant to New York Independent System Operator (NYISO) tariffs and under contracts that pre-dated existence of the NYISO. The Authority files its transmission system revenue requirement with the Federal Energy Regulatory Commission (FERC) for inclusion in the NYISO's open access tariff.

The Authority accounts for the financial effects of the rate regulated portion of its operations in accordance with the provisions of ASC Topic 980, *Regulated Operations.* These provisions recognize the economic ability of regulators, through the ratemaking process, to create future economic benefits and obligations affecting rate-regulated entities. Accordingly, the Authority records these future economic benefits and obligations as regulatory assets and regulatory liabilities, respectively. Regulatory assets represent probable future revenues associated with previously incurred costs that are expected to be recovered from customers. Regulatory liabilities represent amounts that are collected from customers through the ratemaking process associated with costs to be incurred in future periods. Based on the action of the Board of Trustees, the Authority believes the future collection of the costs held over through regulatory assets is probable. For regulatory assets and liabilities see note 2(l) "Other Long-Term Assets" of the notes to the financial statements.

(c) Estimates

The preparation of financial statements in conformity with generally accepted accounting principles requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenues and expenses during the reporting period. Actual results could differ from those estimates.

(d) Capital Assets

Capital assets are recorded at original cost and consist of amounts expended for labor, materials, services and indirect costs to license, construct, acquire, complete and place in operation the projects of the Authority. Interest on amounts borrowed to finance construction of the Authority's projects is charged to the project prior to completion. Borrowed funds for a specific construction project are deposited in a capital fund account. Earnings on fund investments are held in this fund to be used for construction. Earnings on unexpended funds are credited to the cost of the related project (construction work in progress) until completion of that project. Construction work in progress costs are reduced by revenues received for power produced (net of expenditures incurred in operating the projects) prior to the date of completion. The costs of current repairs are charged to operating expense, and renewals and betterments are capitalized. The cost of capital assets retired less salvage is charged to accumulated depreciation. Depreciation of capital assets is generally provided on a straight-line basis over the estimated lives of the various classes of capital assets.

The related depreciation provisions at December 31, 2014 and 2013 expressed as a percentage of average depreciable capital assets on an annual basis are:

	Average depreciation rate	
	2014	2013
Type of plant:		
Production:		
Hydro	2.0%	2.0%
Gas turbine/combined cycle	3.2	3.2
Transmission	2.4	2.5
General	3.5	3.6
	2.8%	2.8%

(e) Asset Retirement and Cost of Removal Obligations

The Authority applies the applicable provisions of ASC Topic 410, *Asset Retirement and Environmental Obligations*, which requires an entity to record a liability at fair value to recognize legal obligations for asset retirements in the period incurred and to capitalize the cost by increasing the carrying amount of the related long-lived asset. The Authority determined that it had legal liabilities for the retirement of certain Small Clean Power Plants (SCPPs) in New York City and, accordingly, has recorded a liability for the retirement of this asset. In connection with these legal obligations, the Authority has also recognized a liability for the remediation of certain contaminated soils discovered during the construction process.

ASC Topic 410 does not apply to asset retirement obligations involving pollution remediation obligations that are within the scope of GAS No. 49, *Accounting and Financial Reporting for Pollution Remediation Obligations*. The Authority applies GAS No. 49 which, upon the occurrence of any one of five specified obligating events, requires an entity to estimate the components of expected pollution remediation outlays and determine whether outlays for those components should be accrued as a liability or, if appropriate, capitalized when goods and services are acquired. The Authority had no liabilities recorded related to GAS No. 49 at December 31, 2014 or 2013.

In addition to asset retirement obligations, the Authority has other cost of removal obligations that are being collected from customers and accounted for under the provisions of ASC Topic 980. During 2014, the Authority continued to review the financial reporting requirements of deferred inflows of resources and has determined that certain regulatory liabilities for cost of removal more closely reflected the criterion for deferred inflows of resources. Accordingly, the Authority reclassified $286 million and $280 million at December 31, 2014 and 2013, respectively, from other noncurrent liabilities to deferred inflows of resources. These reclassifications had no effect on net income and changes in net position or cash flows.

Asset retirement obligations (ARO) amounts included in other noncurrent liabilities and cost of removal obligation amounts included in deferred inflows are as follows:

	ARO amounts	Cost of removal obligation
	(In millions)	
Balance – December 31, 2013	$ 52	$ 280
Depreciation Expense	–	6
Balance – December 31, 2014	52	286

(f) Long Lived Assets

The Authority applies GAS No. 42, *Accounting and Financial Reporting for Impairment of Capital Assets and for Insurance Recoveries*, which states that asset impairments are generally recognized only when the service utility of an asset is reduced or physically impaired.

GAS No. 42 states that asset impairment is a significant, unexpected decline in the service utility of a capital asset. The service utility of a capital asset is the usable capacity that at acquisition was expected to be used to provide service, as distinguished from the level of utilization which is the portion of the usable capacity currently being used. Decreases in utilization and existence of or increases in surplus capacity that are not associated with a decline in service utility are not considered to be impairments.

(g) Cash, Cash Equivalents and Investments

Cash includes cash and cash equivalents and short-term investments with maturities, when purchased, of three months or less. The Authority accounts for investments at their fair value. Fair value is determined using quoted market prices. Investment income includes changes in the fair value of these investments. Realized and unrealized gains and losses on investments are recognized as investment income in accordance with GAS No. 31, *Accounting and Financial Reporting for Certain Investments and for External Investment Pools*.

(h) Derivative Instruments

The Authority uses financial derivative instruments to manage the impact of interest rate, energy and capacity price and fuel cost changes on its earnings and cash flows. The Authority recognizes the fair value of all financial derivative instruments as either an asset or liability on its statements of net position with the offsetting gains or losses recognized in earnings or deferred charges. The Authority applies GAS No. 53, *Accounting and Financial Reporting for Derivative Instruments*, which establishes accounting and reporting requirements for derivative instruments (see note 8 “Risk Management and Hedging Activities” of the notes to the financial statements).

(i) Accounts Receivable

Accounts receivable are classified as current assets and are reported net of an allowance for uncollectible amounts.

(j) Materials and Supply Inventory

Material and supplies are valued at weighted average cost and are charged to expense during the period in which the material or supplies are used.

(k) Debt Refinancing Charges

Debt refinancing charges, representing the difference between the reacquisition price and the net carrying value of the debt refinanced, are amortized using the interest method over the life of the new debt or the old debt, whichever is shorter, in accordance with GAS No. 23, *Accounting and Financial Reporting for Refundings of Debt Reported by Proprietary Activities.*

(l) Other Long- Term Assets

Other long-term assets at December 31, 2014 and 2013 consist of the following:

	December 31, 2014	December 31, 2013
Other long-term assets:		
Regulatory assets (a):		
Recoverable electricity supply market costs	$ 183	$ 132
Risk management activities	20	27
Other regulatory assets	32	26
Total regulatory assets	235	185
Energy efficiency program costs (b)	215	253
Other long-term receivables	245	223
Transmission line interconnection costs	233	190
Other	103	73
Total other long-term assets	$ 1,031	$ 924

(a) Regulatory assets reflect previously incurred costs that are expected to be recovered from customers through the ratemaking process.

(b) Energy efficiency program costs will be recovered from certain customers through the terms of contracts.

(m) Compensated Absences

The Authority accrues the cost of unused sick leave which is payable upon the retirement of its employees. The Authority has accrued $33 million and $30 million at December 31, 2014 and 2013 in other non-current liabilities on the statements of net position. The current year's cost is accounted for as a current operating expense in the statements of revenues, expenses, and changes in net position.

(n) Net Position

Net Position represents the difference between assets plus deferred outflows and liabilities plus deferred inflows and is classified into three components:

a. Net investment in capital assets – This consists of capital assets, net of depreciation reduced by related outstanding debt and accounts. This indicates that these assets are not accessible for other purposes.

b. Restricted – This represents restricted assets reduced by related liabilities and deferred inflows of resources that are not accessible for general use because their use is subject to restrictions enforceable by third parties.

c. Unrestricted – This represents the net amount of assets, deferred outflows of resources, liabilities and deferred inflows of resources that are not included in the components noted above and that are available for general use.

(o) New York Independent System Operator (NYISO)

The Authority is a member and a customer of the New York Independent System Operator (NYISO). The NYISO schedules the use of the bulk transmission system in the State, which normally includes all the Authority's transmission facilities, and collects ancillary services, losses and congestion fees from customers. In addition, the Authority dispatches power from its generating facilities in conjunction with the NYISO. The NYISO coordinates the reliable dispatch of power and operates a market for the sale of electricity and ancillary services within the State.

Based upon the Authority's scheduled customer power needs and available electricity generated by the Authority's operating assets, the Authority buys and sells energy in an electricity market operated by the NYISO. A significant amount of the Authority's energy and capacity revenues result from sales of the Authority's generation into the NYISO market. A significant amount of the Authority's operating expenses consist of various NYISO purchased power charges in combination with generation related fuel expenses.

(p) Operating Revenues

The customers served by the Authority and the rates paid by such customers vary with the NYPA facilities designated to serve such loads. These customers are served under contracts and tariffs approved by the Trustees.

The principal operating revenues are generated from the sale, transmission, and wheeling of power. Revenues are recorded when power is delivered or service is provided. Customers' meters are read, and bills are rendered, monthly. Wheeling charges are for costs the Authority incurred for the transmission and/or delivery of power and energy to customers over transmission lines owned by other utilities. Sales to the Authority's five (5) largest customers operating in the State accounted for approximately 48% and 47% of the Authority's operating revenues in 2014 and 2013, respectively.

In addition to contractual sales to customers, the Authority also sells power into an electricity market operated by the NYISO. These sales are affected by market prices and are not subject to rate regulation by the Authority's Board of Trustees or other regulatory bodies. Accordingly, the Authority does not apply the provisions of ASC Topic 980 to these transactions.

(q) Operating Expenses

The Authority's operating expenses include fuel, operations and maintenance, depreciation, purchased power costs, and other expenses related to the sale of power. Energy costs are charged to expense as incurred.

Purchased power costs include capacity, energy and ancillary service purchases made in the wholesale market on behalf of its customers (except for those made through previously approved purchased power agreements). Wheeling expenses are based on contractual and/or tariff rates of the service provider and are recovered through pass-through provisions in customer contracts.

(r) New Accounting Pronouncements

In 2012, GASB issued Statement of Governmental Accounting Standards No. 68 (Statement No. 68), *Accounting and Financial Reporting for Pensions – an amendment of GASB Statement No. 27*. Statement No. 68 is effective for fiscal years beginning after June 15, 2014. Statement No. 68 requires governments that provide defined benefit pension plans to their employees to recognize their long-term obligation for pension benefits as a liability for the first time and to more comprehensively and comparably measure the annual costs of pension benefits. Statement No. 68 also enhances accountability and transparency through revised and new note disclosures and required supplemental information. In 2013, GASB issued Statement No. 71, *Pension Transition for Contributions Made Subsequent to the Measurement Date*, which is effective for fiscal years beginning after June 15, 2014 and should be applied simultaneously with Statement No. 68. This statement addresses the transition provisions of Statement No. 68, relating to amounts associated with contributions, if any, by a state or local government employer or non-employer contributing entity to a defined benefit pension plan after the measurement date of the government's beginning net pension liability. The Authority is in the process of evaluating the impact of Statement No. 68 and Statement No. 71.

(s) Reclassifications

Certain prior year amounts have been reclassified to conform to the current year's presentation (see note 2 (e) of the notes to the financial statements). These reclassifications had no effect on net income and changes in net position or cash flows.

(3) Bond Resolution

On February 24, 1998, the Authority adopted its "General Resolution Authorizing Revenue Obligations" (as amended and supplemented up to the present time, the Bond Resolution). The Bond Resolution covers all of the Authority's projects, which it defines as any project, facility, system, equipment or material related to or necessary or desirable in connection with the generation, production, transportation, transmission, distribution, delivery, storage, conservation, purchase or use of energy or fuel, whether owned jointly or singly by the Authority, including any output in which the Authority has an interest authorized by the Act or by other applicable State statutory provisions, provided, however, that the term "Project" shall not include any Separately Financed Project as that term is defined in the Bond Resolution. The Authority has covenanted with bondholders under the Bond Resolution that at all times the Authority shall maintain rates, fees or charges, and any contracts entered into by the Authority for the sale, transmission, or distribution of power shall contain rates, fees or charges sufficient together with other monies available therefor (including the anticipated receipt of proceeds of sale of Obligations, as defined in the Bond Resolution, issued under the Bond Resolution or other bonds, notes or other obligations or evidences of indebtedness of the Authority that will be used to pay the principal of Obligations issued under the Bond Resolution in anticipation of such receipt, but not including any anticipated or actual proceeds from the sale of any Project), to meet the financial requirements of the Bond Resolution. Revenues of the Authority (after deductions for operating expenses and reserves, including reserves for working capital, operating expenses or compliance purposes) are applied first to the payment of, or accumulation as a reserve for payment of, interest on and the principal or redemption price of Obligations issued under the Bond Resolution and the payment of Parity Debt issued under the Bond Resolution.

The Bond Resolution also provides for withdrawal for any lawful corporate purpose as determined by the Authority, including but not limited to the retirement of Obligations issued under the Bond Resolution, from amounts in the Operating Fund in excess of the operating expenses, debt service on Obligations and Parity Debt issued under the Bond Resolution, and subordinated debt service requirements. The Authority has periodically reacquired revenue bonds when available at favorable prices.

(4) Cash and Investments

Investment of the Authority's funds is administered in accordance with the applicable provisions of the Bond Resolution and with the Authority's investment guidelines. These guidelines comply with the New York State Comptroller's investment guidelines for public authorities and were adopted pursuant to Section 2925 of the New York Public Authorities Law.

(a) Credit Risk

The Authority's investments are restricted to (a) collateralized certificates of deposit, (b) direct obligations of or obligations guaranteed by the United States of America or the State of New York, (c) obligations issued or guaranteed by certain specified federal agencies and any agency controlled by or supervised by and acting as an instrumentality of the United States government, and (d) obligations of any state or any political subdivision thereof or any agency, instrumentality or local government unit of any such state or political subdivision which is rated in any of the three highest long-term rating categories, or the highest short-term rating category, by nationally recognized rating agencies. The Authority's investments in the debt securities of Federal National Mortgage Association (FNMA), Federal Home Loan Bank (FHLB), Federal Farm Credit Bank (FFCB) and Federal Home Loan Mortgage Corp. (FHLMC) were rated Aaa by Moody's Investors Services (Moody's), AAA by Fitch Ratings (Fitch) and AA+ by Standard & Poor's (S&P).

(b) Interest Rate Risk

Securities that are the subject of repurchase agreements must have a market value at least equal to the cost of the investment. The agreements are limited to a maximum fixed term of five business days and may not exceed the greater of 5% of the investment portfolio or $100 million. The Authority has no other policies limiting investment maturities.

(c) Concentration of Credit Risk

There is no limit on the amount that the Authority may invest in any one issuer; however, investments in authorized certificates of deposit shall not exceed 25% of the Authority's invested funds. At December 31, 2014, the Authority's total investment portfolio of $2,877 million included investments of $515 million (18%), $352 million (12%), $233 million (8%) and $69 million (2%) and $87 million (3%) in securities of FNMA, FHLMC, FHLB and FFCB and other various municipal debt securities, respectively.

At December 31, 2013, the Authority's total investment portfolio of $2,728 million included investments of $553 million (20%), $322 million (12%), $260 million (10%) and $86 million (3%) and $135 million (5%) in securities of FNMA, FHLMC, FHLB and FFCB and other various municipal debt securities, respectively.

(d) Decommissioning Fund

The Decommissioning Trust Fund is managed by external investment portfolio managers. Under the Decommissioning Agreements (see note 10(c) "Nuclear Plant Decommissioning" of notes to the financial statements), the Authority will make no further contributions to the Decommissioning Funds. The Authority's decommissioning responsibility will not exceed the amounts in each of the Decommissioning Funds. Therefore, the Authority's obligation is not affected by various risks which include credit risk, interest rate risk, and concentration of credit risk. In addition, the Decommissioning Trust Fund is not held within the Trust Estate of the Bond Resolution and therefore is administered under separate investment guidelines from those of the Authority or New York State.

(e) Other

All investments are held by designated custodians in the name of the Authority. At December 31, 2014, the Authority had $70 million of investments in repurchase agreements. At December 31, 2013, the Authority had no investments in repurchase agreements. The bank balances at December 31, 2014 and 2013 were $34 million and $43 million, respectively, of which $33 million and $42 million, respectively, were uninsured, but were collateralized by assets held by the bank in the name of the Authority.

Cash and Investments of the Authority at December 31, 2014 and 2013 are as follows:

			Restricted				
December 31, 2014	**Total**	**Total restricted**	**Decommissioning Trust Fund**	**WNYEDF, POCR and CAS projects and other**	**ART note debt reserve**	**Capital fund**	**Unrestricted**
				(In millions)			
Cash and investments:							
Cash and cash equivalents	$ 97	18	—	18	—	1	78
U.S. government:							
Treasury Notes	105	—	—	—	—	—	105
GNMA	4	—	—	—	—	—	4
	109	—	—	—	—	—	109
Other debt securities:							
FNMA	515	34	—	19	15	12	469
FHLMC	352	—	—	—	—	16	336
FHLB	233	33	—	33	—	5	195
FFCB	69	—	—	—	—	—	69
All other	87	4	—	—	4	3	80
	1,256	71	—	52	19	36	1,149
Portfolio Manager	1,415	1,415	1,415	—	—	—	—
Total investments	2,780	1,486	1,415	52	19	36	1,258
Total cash and investments	$ 2,877	1,504	1,415	70	19	37	1,336
Summary of maturities (years):							
0 – 1	$ 409	74	—	70	4	34	301
1 – 5	1,030	15	—	—	15	—	1,015
5 – 10	15	—	—	—	—	—	15
10+	8	—	—	—	—	3	5
Portfolio manager	1,415	1,415	1,415	—	—	—	—
	$ 2,877	1,504	1,415	70	19	37	1,336

Petroleum Overcharge Restitution (POCR) Funds and Clean Air for Schools (CAS) Projects Funds – Legislation enacted into State law from 1995 to 2002, 2007 and 2008 authorized the Authority to utilize petroleum overcharge restitution (POCR) funds and other State funds (Other State Funds), to be made available to the Authority by the State pursuant to the legislation, for a variety of energy-related purposes, with certain funding limitations. The legislation also states that the Authority "shall transfer" equivalent amounts of money to the State prior to dates specified in the legislation. The use of POCR funds is subject to comprehensive Federal regulations and judicial orders, including restrictions on the type of projects that can be financed with POCR funds, the use of funds recovered from such projects and the use of interest and income generated by such funds and projects. Pursuant to the legislation, the Authority is utilizing POCR funds and the Other State Funds to implement various energy services programs that have received all necessary approvals.

The disbursements of the POCR funds and the Other State Funds to the Authority, and the Authority's transfers to the State totaling $60.9 million, took place from 1996 to 2009. The POCR funds are included in restricted funds in the statements of net position. The funds are held in a separate escrow account until they are utilized.

The New York State Clean Water/Clean Air Bond Act of 1996 made available $125 million for Clean Air for Schools Projects (CAS Projects) for elementary, middle and secondary schools, with the Authority authorized

to undertake implementation of the CAS Projects program. The CAS Projects are designed to improve air quality for schools and include, but are not limited to, projects that replace coal-fired furnaces and heating systems with furnaces and systems fueled with oil or gas. As of December 31, 2014, the conversions to the schools have been completed and the Authority is in its program closeout process regarding the CAS projects.

As of December 31, 2014, restricted funds include the POCR fund ($11 million), the CAS Projects fund ($2 million), the Lower Manhattan Energy Independence Initiative fund ($6 million) and the Fish & Wildlife Habitat Enhancement fund related to the Niagara relicensing costs ($14 million), the Western New York Economic Development Fund ($33 million) – see note 11(a) "Recharge New York Power Program" – and other ($4 million).

		Restricted					
December 31, 2013	**Total**	**Total restricted**	**Decommissioning Trust Fund**	**WNYEDF, POCR and CAS projects and other**	**ART note debt reserve**	**Capital fund**	**Unrestricted**
				(In millions)			
Cash and investments:							
Cash and cash equivalents	$ 33	18	—	18	—	7	8
U.S. government:							
Treasury Bills	28	20	—	20	—	8	—
GNMA	11	—	—	—	—	—	11
	39	20	—	20	—	8	11
Other debt securities:							
FNMA	553	36	—	25	11	—	517
FHLMC	322	1	—	—	1	6	315
FHLB	260	4	—	—	4	22	234
FFCB	86	—	—	—	—	—	86
All other	135	4	—	—	4	7	124
	1,356	45	—	25	20	35	1,276
Portfolio Manager	1,300	1,300	1,300	—	—	—	—
Total investments	2,695	1,365	1,300	45	20	43	1,287
Total cash and investments	$ 2,728	1,383	1,300	63	20	50	1,295
Summary of maturities (years):							
0 – 1	$ 333	67	—	63	4	43	223
1 – 5	1,026	16	—	—	16	3	1,007
5 – 10	12	—	—	—	—	—	12
10+	57	—	—	—	—	4	53
Portfolio manager	1,300	1,300	1,300	—	—	—	—
	$ 2,728	1,383	1,300	63	20	50	1,295

As of December 31, 2013, restricted funds include the POCR fund ($11 million), the CAS Projects fund ($2 million), the Lower Manhattan Energy Independence Initiative fund ($7 million) and the Fish & Wildlife Habitat Enhancement fund related to the Niagara relicensing costs ($14 million), the Western New York Economic Development Fund ($25 million) – see note 11(a) "Recharge New York Power Program" – and other ($3 million).

(5) Capital Assets

The following schedule summarizes the capital assets activity of the Authority for the year ended December 31, 2014.

	Beginning balance	Additions	Retirements/ Transfers	Ending balance
	(Amounts in millions)			
Capital assets, not being depreciated:				
Land	$ 160	—	—	160
Construction in progress	219	158	(116)	261
Total capital assets not being depreciated	379	158	(116)	421
Capital assets, being depreciated:				
Production – Hydro	1,898	68	(3)	1,963
Production – Gas turbine/combined cycle	2,419	1	—	2,420
Transmission	1,962	23	—	1,985
General	1,156	52	(4)	1,204
Total capital assets being depreciated	7,435	144	(7)	7,572
Less accumulated depreciation for:				
Production – Hydro	710	33	(3)	740
Production – Gas turbine/combined cycle	778	103	—	881
Transmission	1,089	50	—	1,139
General	466	40	(4)	502
Total accumulated depreciation	3,043	226	(7)	3,262
Net value of capital assets, being depreciated	4,392	(82)	—	4,310
Net value of all capital assets	$ 4,771	76	(116)	4,731

The following schedule summarizes the capital assets activity of the Authority for the year ended December 31, 2013:

	Beginning balance	Additions	Retirements/ Transfers	Ending balance
	(Amounts in millions)			
Capital assets, not being depreciated:				
Land	$ 156	4	—	160
Construction in progress	178	148	(107)	219
Total capital assets not being depreciated	334	152	(107)	379
Capital assets, being depreciated:				
Production – Steam	437	—	(437)	—
Production – Hydro	1,830	72	(4)	1,898
Production – Gas turbine/combined cycle	2,418	1	—	2,419
Transmission	1,928	35	(1)	1,962
General	1,134	23	(1)	1,156
Total capital assets being depreciated	7,747	131	(443)	7,435
Less accumulated depreciation for:				
Production – Steam	436	1	(437)	—
Production – Hydro	684	30	(4)	710
Production – Gas turbine/combined cycle	675	103	—	778
Transmission	1,040	49	—	1,089
General	427	39	—	466
Total accumulated depreciation	3,262	222	(441)	3,043
Net value of capital assets, being depreciated	4,485	(91)	(2)	4,392
Net value of all capital assets	$ 4,819	61	(109)	4,771

Wind Farm Transmission Assets

The Authority has allowed three Wind Farm power facilities to interconnect to its bulk transmission system between the Willis and Plattsburgh 230 kV substations. In 2013, Marble River LLC, the wind farm developers, transferred title to one substation (valued at $21 million) to the Authority in order for the Authority to maintain reliability standards and control of its bulk transmission system. The transfer was accounted for as a capital contribution.

(6) Long-Term Debt

(a) Components

	Amount					Earliest redemption date prior to maturity
	2014	2013	Interest rate	Maturity		
	(In millions)					
Senior debt:						
Revenue Bonds (Tax-Exempt):						
Series 2006 A Revenue Bonds:						
Serial Bonds	$ 88	$ 100	3.80% to 5.0%	11/15/2015 to 2020		11/15/2015
Series 2007 A Revenue Bonds:						
Term Bonds	82	82	4.5% to 5.0%	11/15/2047	**	11/15/2017
Series 2007 C Revenue Bonds:						
Serial Bonds	237	264	4.0% to 5.0%	11/15/2015 to 2021		11/15/2017
Series 2011 A Revenue Bonds:						
Serial Bonds	65	68	3.0% to 5.0%	11/15/2015 to 2031	*	11/15/2021
Term Bonds	39	39	4.0% to 5.0%	11/15/2038	**	11/15/2021
Revenue Bonds (Taxable):						
Series 2003 A Revenue Bonds:						
Term Bonds	180	186	5.230% to 5.749%	11/15/2018 to 2033	**	Any date
Series 2007 B Revenue Bonds:						
Serial Bonds	11	14	5.503% to 5.603%	11/15/2015 to 2017		Any date
Term Bonds	239	239	5.905% to 5.985%	11/15/2037 and 2043	**	Any date
	941	992				
Plus unamortized premium and discount	22	26				
Less deferred refinancing costs	8	9				
	955	1,009				
Less due in one year	53	51				
	$ 902	$ 958				

* $26.4 million due 2022 is non-callable.

** Bonds are subject to sinking fund provisions.

	Amount					Earliest redemption date prior to maturity
	2014	2013	Interest rate	Maturity		
	(In millions)					
Adjustable Rate Tender Notes:						
2016 Notes	$ 21	$ 31	0.15%	3/1/2016	**	Any adjustment date
2020 Notes	75	75	0.15%	3/1/2020		Same as above
	96	106				
Less due in one year	10	10				
	86	96				
Subordinate debt:						
Subordinated Notes, Series 2012	24	24	0.83% to 4.05%	2015 to 2037		N/A
Commercial Paper:						
EMCP (Series 1)	53	62	0.09%	2015 to 2023		
CP (Series 2)	17	40	0.08%	2015		
	94	126				
Less due within one year	27	32				
	67	94				
Total Long-term debt	1,145	1,241				
Less due within one year	90	93				
Long-term debt, net of due in one year	$ 1,055	$ 1,148				

Interest on Series 2003 A and 2007 B Revenue Bonds and Subordinated Notes, Series 2012 is not excluded from gross income for bondholders' Federal income tax purposes.

Senior Debt

As indicated in note 3 of notes to the financial statements, "Bond Resolution," the Authority has pledged future revenues to service the Obligations and Parity Debt (Senior Debt) issued under the Bond Resolution. The total principal and interest remaining to be paid on the Senior Debt is $1.692 billion as of December 31, 2014. Principal and interest paid for 2014 and operating income plus depreciation were $113 million and $642 million, respectively. Principal and interest paid for 2013 and operating income plus depreciation were $112 million and $603 million, respectively.

Senior revenue bonds are subject to redemption prior to maturity in whole or in part as provided in the supplemental resolutions authorizing the issuance of each series of bonds, beginning for each series on the date indicated in the table above, at principal amount or at various redemption prices according to the date of redemption, together with accrued interest to the redemption date.

In prior years, the Authority defeased certain revenue bonds and general purpose bonds by placing the proceeds of new bonds in an irrevocable trust to provide for all future debt service payments on the old bonds. Accordingly, the trust account assets and the liability for the defeased bonds are not included in the Authority's financial statements. As of December 31, 2014 and 2013, there were no bonds outstanding that were considered defeased.

The Adjustable Rate Tender Notes may be tendered to the Authority by the holders on any adjustment date. The rate adjustment dates are March 1 and September 1. The Authority has a revolving credit agreement (Agreement) with The Bank of Nova Scotia, which terminates on September 1, 2015, to provide a supporting line of credit for the purpose of repaying, redeeming or purchasing the Adjustable Rate Tender Notes. Under the Agreement, the Authority may borrow up to the outstanding principle of the ART Notes, which at December 31, 2014 was $96 million. The Agreement provides for interest on outstanding borrowings at either (i) the Federal Funds Rate plus a percentage, or (ii) a rate based on the London Interbank Offered Rate (LIBOR) plus a percentage. As of December 31, 2014 and 2013, there were no outstanding borrowings under this Agreement. The Authority expects that it will be able to renew or replace this Agreement as necessary. In accordance with the Adjustable Rate Tender Note Resolution, a Note Debt Service Reserve account has been established in the amount of $20 million. See note 8 of notes to the financial statements for the Authority's risk management program relating to interest rates.

At December 31, 2014 and 2013, the current market value of the senior debt was approximately $1.187 billion and $1.175 billion, respectively. Market values were obtained from a third party that utilized a matrix-pricing model.

Subordinate Debt:

Subordinate Notes – In November 2012, the Authority's Trustees authorized the issuance of Subordinated Notes, Series 2012 (Subordinated Notes), in a principal amount not to exceed $30 million for the purpose of accelerating the funding for the State Parks Greenway Fund, which was established pursuant to the Niagara Relicensing Settlement entered into by the Authority and the New York State Office of Parks, Recreation & Historic Preservation. The Authority issued the Subordinated Notes on December 18, 2012 in the amount of $25 million. These Subordinated Notes are subordinate to the Series 2003 A Revenue Bonds, the Series 2006 A Revenue Bonds, the Series 2007 A, B, and C Revenue Bonds, the Series 2011 A Revenue Bonds and the Adjustable Rate Tender Notes.

Commercial Paper – Under the Extendible Municipal Commercial Paper (EMCP) Note Resolution, adopted December 17, 2002, and as subsequently amended and restated, the Authority may issue a series of notes, designated EMCP Notes, Series 1, maturing not more than 270 days from the date of issue, up to a maximum amount outstanding at any time of $200 million (EMCP Notes). It is the Authority's intent to remarket the EMCP Notes as they mature with their ultimate retirement to range from 2015 to 2023. The Authority has the option to extend the maturity of the EMCP Notes and would exercise such right in the event there is a failed remarketing. This option serves as a substitute for a liquidity facility for the EMCP Notes.

Under the Commercial Paper Note Resolution adopted June 28, 1994, as subsequently amended and restated, the Authority may issue from time to time a separate series of notes maturing not more than 270 days from the date of issue, up to a maximum amount outstanding at any time of $400 million (Series 1 CP Notes), $450 million (Series 2 CP Notes), $350 million (Series 3 CP Notes) and $220 million (Series 4 CP Notes). See note 7 of the notes to the financial statements for Series 1, and certain Series 2 and Series 3 CP Notes designated as short-term debt. There were no Series 4 CP Notes outstanding at December 31, 2014.

The proceeds of certain Series 2 and 3 Commercial Paper Notes (CP Notes) were used to refund General Purpose Bonds and the proceeds of the EMCP Notes were used to refund Series 2 and 3 CP Notes. CP Notes and EMCP Notes have been used, and may in the future be used, for other corporate purposes. It is the Authority's intention to renew the Series 2 and 3 CP Notes and the EMCP Notes as they mature so that their ultimate maturity dates will range from 2015 to 2023, as indicated in the table above.

The Authority has a line of credit under a 2015 revolving credit agreement, as amended, (the 2015 RCA) with a syndicate of banks, to provide liquidity support for the Series 1-3 CP Notes, under which the Authority may borrow up to $600 million in aggregate principal amount outstanding at any time for certain purposes, including the repayment of the Series 1–3 CP Notes. The 2015 RCA terminates January 15, 2017, unless mutually extended by the banks and the Authority. The 2015 RCA succeeded the 2011 revolving credit agreement (2011 RCA) which expired January 20, 2015. There are no outstanding borrowings under the 2015 RCA or the 2011 RCA.

CP Notes and EMCP Notes are subordinate to the Series 2003 A Revenue Bonds, the Series 2006 A Revenue Bonds, the Series 2007 A, B, and C Revenue Bonds, the Series 2011 A Revenue Bonds and the Adjustable Rate Tender Notes.

Interest on the CP (Series 3) is taxable to holders for Federal income tax purposes.

Maturities and Interest Expense:	**Long-Term Debt** (In millions)				**Capitalized Lease Obligations** (In millions)		
	Principal	**Interest**	**Hedging Derivative Instruments, Net**	**Total**	**Principal**	**Interest**	**Total**
Year:							
2015	$ 90	51	3	144	$ 16	96	112
2016	77	48	2	127	20	94	114
2017	85	45	—	130	25	93	118
2018	85	42	—	127	31	90	121
2019	89	39	—	128	37	88	125
2020 – 2024	234	152	—	386	293	380	673
2025 – 2029	106	119	—	225	550	216	766
2030 – 2034	135	84	—	219	233	15	248
2035 – 2039	87	52	—	139	—	—	—
2040 – 2044	82	29	—	111	—	—	—
2045 – 2049	61	6	—	67	—	—	—
	1,131	667	5	1,803	1,205	1,072	2,277
Plus unamortized bond premium	22	—	—	22	—	—	—
Less deferred refinancing cost	8	—	—	8	—	—	—
	$ 1,145	667	5	1,817	$ 1,205	1,072	2,277

The interest rate used to calculate future interest expense on variable rate debt is the interest rate at December 31, 2014.

(b) Terms by Which Interest Rates Change for Variable Rate Debt

Adjustable Rate Tender Notes

In accordance with the Adjustable Rate Tender Note Resolution adopted April 30, 1985, as amended up to the present time (Note Resolution), the Authority may designate a rate period of different duration, effective on any rate adjustment date. The Authority and the remarketing agent appointed under the Note Resolution determine the rate for each rate period which, in the agent's opinion, is the minimum rate necessary to remarket the notes at par.

CP Notes and EMCP Notes (Long-Term Portion)

The Authority determines the rate for each rate period which is the minimum rate necessary to remarket the notes at par in the Dealer's opinion. If the Authority exercises its option to extend the maturity of the EMCP Notes, the reset rate will be the higher of (SIFMA + E) or F, where SIFMA is the Securities Industry and Financial Markets Association Municipal Swap Index, which is calculated weekly, and where "E" and "F" are fixed percentage rates expressed in basis points (each basis point being 1/100 of one percent) and yields, respectively, that are determined based on the Authority's debt ratings subject to a cap rate of 12%. As of December 31, 2014, the reset rate would have been 7.0%.

(c) Changes in Noncurrent Liabilities

Changes in the Authority's noncurrent liabilities for the year ended December 31, 2014 are comprised of the following:

	Beginning balance	Additions	Maturities/ refundings and other	Ending balance	Due within one year
			(In millions)		
Senior debt:					
Revenue bonds	$ 992	—	51	941	53
Adjustable rate tender notes	106	—	10	96	10
Subtotal	1,098	—	61	1,037	63
Subordinate debt:					
Subordinated Notes, Series 2012	24	—	—	24	1
Commercial paper	102	—	32	70	26
Subtotal	126	—	32	94	27
Net unamortized discounts/ premiums and deferred losses	17	—	3	14	—
Total debt, net of unamortized discounts/ premiums/ deferred losses	$ 1,241	—	96	1,145	90
Other noncurrent liabilities:					
Capitalized lease obligation	$ 1,205	—	16	1,189	16
Nuclear decommissioning	1,300	115	—	1,415	—
Disposal of nuclear fuel	216	1	—	217	—
Relicensing	277	45	43	279	—
Other	174	37	46	165	—
Total other noncurrent liabilities	$ 3,172	198	105	3,265	16

Changes in the Authority's long-term liabilities for the year ended December 31, 2013 are comprised of the following:

	Beginning balance	Additions	Maturities/ refundings and other	Ending balance	Due within one year
			(In millions)		
Senior debt:					
Revenue bonds	$ 1,040	—	48	992	51
Adjustable rate tender bonds	115	—	9	106	10
Subtotal	1,155	—	57	1,098	61
Subordinate debt:					
Subordinated Notes, Series 2012	25	—	1	24	1
Commercial paper	135	—	33	102	31
Subtotal	160	—	34	126	32
Net unamortized discounts/ premiums and deferred losses	20	—	3	17	—
Total debt, net of unamortized discounts/ premiums/ deferred losses	$ 1,335	—	94	1,241	93
Other noncurrent liabilities:					
Capitalized lease obligation	$ 1,217	—	12	1,205	12
Nuclear decommissioning	1,186	114	—	1,300	—
Disposal of nuclear fuel	216	—	—	216	—
Relicensing	303	18	44	277	—
Other	214	38	78	174	—
Total other noncurrent liabilities	$ 3,136	170	134	3,172	12

(7) Short-Term Debt

CP Notes (short-term portion) outstanding was as follows:

	December 31,			
	2014		2013	
	Availability	Outstanding	Availability	Outstanding
	(In millions)			
CP Notes (Series 1)	$ 92	$ 308	$ 53	$ 347
CP Notes (Series 2) (a)	290	143	332	78
CP Notes (Series 3)	335	15	323	27

(a) Availability includes long-term CP Notes (Series 2) of $17 million and $40 million outstanding at December 31, 2014 and 2013, respectively (see note 6 of notes to the financial statements).

Under the Commercial Paper Note Resolution adopted June 28, 1994, as subsequently amended and restated, the Authority may issue from time to time a separate series of notes maturing not more than 270 days from the date of issue, up to a maximum amount outstanding at any time of $400 million (Series 1 CP Notes), $450 million (Series 2 CP Notes), $350 million (Series 3 CP Notes) and $220 million (Series 4 CP Notes). See note 6 “Long-term Debt – Subordinated Debt–Commercial Paper” of notes to the financial statements for Series 2 CP Notes designated as long-term debt. It had been and shall be the intent of the Authority to use the proceeds of the Series 1 CP Notes and certain Series 2 and Series 3 CP Notes to finance the Authority’s current and future energy efficiency programs and for other corporate purposes.

The changes in short-term debt are as follows:

	Beginning balance	Increases	Decreases	Ending balance
	($ in millions)			
Year:				
2014	$ 452	138	124	466
2013	$ 431	143	122	452

(8) Risk Management and Hedging Activities

Overview

The Authority purchases insurance coverage for its operations, and in certain instances, is self-insured. Property insurance protects the various real and personal property owned by the Authority and the property of others while in the care, custody and control of the Authority for which the Authority may be held liable. Liability insurance protects the Authority from third-party liability related to its operations, including general liability, automobile, aircraft, marine and various bonds. Insured losses by the Authority did not exceed coverage for any of the four preceding fiscal years. The Authority self-insures a certain amount of its general liability coverage and the physical damage claims for its owned and leased vehicles. The Authority is also self-insured for portions of its medical, dental and workers’ compensation insurance programs. The Authority pursues subrogation claims as appropriate against any entities that cause damage to its property.

Another aspect of the Authority’s risk management program is to manage risk and related volatility on its earnings and cash flows associated with electric energy prices, fuel prices, electric capacity prices and interest rates.

Through its participation in the NYISO and other commodity markets, the Authority is subject to electric energy price, fuel price and electric capacity price risks that impact the revenue and purchased power streams of its facilities and customer market areas. Such volatility can potentially have adverse effects on the Authority's financial condition. To mitigate potential adverse effects and to moderate cost impacts to its customers (many of the Authority's customer contracts provide for the complete or partial pass-through of these costs), the Authority hedges market risks through the use of financial derivative instruments and/or physical forward contracts. Hedges are transacted by the Authority to mitigate volatility in the cost of energy or related products needed to meet customer needs; to mitigate risk related to the price of energy and related products sold by the Authority; to mitigate risk related to margins (electric sales versus fuel use) where the Authority owns generation or other capacity; and mitigation of geographic cost differentials of energy procured or sold for transmission or transportation to an ultimate location. Commodities to be hedged include, but are not limited to, natural gas, natural gas basis, electric energy, electric capacity and congestion costs associated with the transmission of electricity.

To achieve the Authority's risk management program objectives, the Authority's Trustees have authorized the use of various interest rate, energy, and fuel derivative instruments for hedging purposes that are considered derivatives under GAS No. 53, *Accounting and Financial Reporting for Derivative Instruments* (GAS No. 53).

The fair values of all Authority derivative instruments, as defined by GAS No. 53, are reported in current and noncurrent assets or liabilities on the statements of net position as risk management activities. For designated hedging derivative instruments, changes in the fair values are deferred and classified as deferred outflows or deferred inflows on the statements of net position. In cases where commodity options are used as hedging derivative instruments the change in fair value is applied to interest expense and related commodity revenue or expense in the period incurred. For renewable energy derivative instruments, designated as investment derivative instruments, changes in fair value are deferred as regulatory assets or liabilities, as they are recoverable from customers by contractual agreements. The fair value of interest rate swaps take into consideration the prevailing interest rate environment and the specific terms and conditions of each swap. The fair values were estimated using the zero-coupon discounting method. The fair value for over-the-counter and exchange-traded energy, renewable energy natural gas, natural gas transportation and capacity derivative instruments are determined by the latest end-of-trading-month forward prices over the lifetime of each outstanding derivative instrument using the prices published by Platts or internal pricing models or derived from pricing models for option and/or option-based derivative instruments using the underlying price, time to expiry and observed volatilities based upon Platts published prices and other variables.

Derivative Instruments

The following table shows the fair value of outstanding derivatives instruments for 2014 and 2013:

Derivative instrument description	Fair value balance December 31, 2013	Net change in fair value	Fair value balance December 31, 2014	Type of hedge or transaction	Financial statement classification for changes in fair value	Notional amount December 31, 2014	Volume
			($ in millions)				
Interest rate swaps	$ (9)	$ 4	$ (5)	Cash Flow	Deferred outflow	113.7	USD
Energy/Electric:							
Swaps	(37)	29	(8)	Cash Flow	Deferred outflow	146,829	MWh
Call option	2	(2)	—	Cash Flow	Deferred inflow	—	MWh
Renewable energy swaps	(27)	7	(20)	Investment	Regulatory Asset	545,643	MWh
Energy capacity swaps/futures	—	5	5	Cash Flow	Deferred outflow	(4,500,000)	MWh
Fuel swaps	2	(11)	(9)	Cash Flow	Deferred outflow	5,650,000	MMBtu
Totals	$ (69)	$ 32	$ (37)				

Interest rate swaps – The Authority has outstanding forward interest rate swaps intended to fix rates on long-term obligations initially issued to refinance revenue bonds that were required to be tendered in the year 2002 (the 2002

Swaps). Based upon the terms of these forward interest rate swaps, the Authority would pay interest calculated at a fixed rate of 5.123% to the counterparties through February 15, 2015. In return, the counterparties would pay interest to the Authority based upon the Securities Industry and Financial Markets Association municipal swap index (SIFMA Index) on the established reset dates. The 2002 swaps are scheduled to terminate on February 15, 2015. Net settlement payments were $1.0 million and $2.2 million in 2014 and 2013, respectively.

In addition, the Authority has outstanding a forward interest rate swap intended to fix the interest rates on the Authority's Adjustable Rate Tender Notes (ART Notes) for the period September 1, 2006 to September 1, 2016. Based upon the terms of the forward interest rate swap, the Authority pays interest calculated at a fixed rate of 3.7585% on the outstanding notional amount. In return, the counterparty pays interest to the Authority based upon 67% of the six-month LIBOR established on the reset dates that coincide with the ART Notes interest rate reset dates. Net settlement payments were $3.5 and $3.7 million in 2014 and 2013, respectively.

Energy/Electric swaps – The Authority had outstanding a medium-term forward energy swap intended to fix its exposure for the cost of energy purchases in the NYISO electric market in meeting certain governmental customer load requirements through 2014. Net settlement payments were $19.4 million and $35.7 million in 2014 and 2013, respectively. The Authority also has outstanding short-term forward energy swaps and had options to manage the cost of forecasted purchased power requirements and transmission congestion for certain business customers in 2013, 2014 and 2015. Net settlement receipts were $3.9 million and $0.3 million in 2014 and 2013, respectively.

Renewable energy swaps – The Authority has outstanding long-term forward energy swaps and purchase agreements based upon a portion of the generation of the counterparties' wind-farm-power-generating facilities through 2017. The fixed price ranges from $74 to $75 per MWh and includes the purchase of the related environmental attributes. The intent of the swaps and purchase agreements is to assist certain customers in acquiring and investing in wind power and related environmental attributes to satisfy certain New York State mandates to support renewable energy. Net settlement payments were $4.7 million and $6.7 million in 2014 and 2013, respectively. The Authority anticipates the recovery of any net settlements through specific contractual agreements with customers.

Energy capacity swaps/futures – The Authority sold forward installed capacity swaps and futures intended to mitigate the volatility of market prices for sales into the NYISO markets in 2013, 2014 and 2015. Net settlement payments were $0.5 million in each year of 2014 and 2013.

Fuel swaps and futures –The Authority purchased forward natural gas swaps and natural gas futures intended to mitigate the volatility of market prices for fuel to operate certain electrical generating facilities in 2013, 2014 and 2015 for the benefit of certain of the Authority's customers. Net settlement receipts were $15 million and $1 million in 2014 and 2013, respectively. In connection with the purchase of fuel swaps and futures and for the benefit of the Authority's customers, the Authority purchased natural gas transportation basis swaps to mitigate the volatility of market prices for pipeline transportation to New York City in 2013, 2014 and 2015. There were no settlements in 2014 or 2013.

Other – The Authority from time to time enters into certain derivative instruments that may become ineffective as hedging instruments due to changes in the hedged item. The change in fair value of such derivative instruments is recognized as other nonoperating charges or credits in the statements of revenues, expenses and changes in net position. The fair value of these derivative instruments was insignificant to the Authority's 2014 financial statements.

Counterparty Credit Risk

The Authority's policy regarding the creditworthiness of counterparties for interest rate derivative instruments is defined in the Bond Resolution. The policy requires that such counterparties be rated in at least the third highest rating category for each appropriate rating agency maintaining a rating for qualified swap providers at the time the derivative instrument is executed or have a guarantee from another appropriate entity or an opinion from the rating agencies that the underlying bonds or notes will not be downgraded on the derivative instrument alone. The Authority's Board of Trustees has adopted a Policy for the Use of Interest Rate Exchange Agreements which provides

the overall framework for delegation of authority; allowable interest rate hedging instruments; counterparty qualifications and diversification as well as reporting standards.

The Authority also imposes thresholds, based upon agency-published credit ratings, for unsecured credit that can be extended to counterparties to the Authority's commodity derivative transactions. The thresholds are established in bilateral credit support agreements with counterparties and require collateralization of mark-to-market values in excess of the thresholds. In addition, the Authority regularly monitors each counterparty's market-implied credit ratings and financial ratios and the Authority can restrict transactions with counterparties on the basis of that monitoring, even if the applicable unsecured credit threshold is not exceeded.

Based upon the fair values as of December 31, 2014, the Authority's individual or aggregate exposure to derivative instrument counterparty credit risk is not significant.

Other Considerations

The Authority from time to time may be exposed to any of the following risks:

Basis risk – The Authority is exposed to basis risk on its pay-fixed interest rate swaps since it receives variable-rate payments on these hedging derivative instruments based upon indexes which differ from the actual interest rates the Authority pays on its variable-rate debt. The Authority remarkets its Notes at rates that approximate SIFMA and LIBOR after considering other factors such as the Authority's creditworthiness.

The Authority is exposed to other basis risk in a portion of its electrical commodity-based swaps where the electrical commodity swap payments received are based upon a reference price in a NYISO Market Zone that differs from the Zone in which the hedged electric energy load is forecasted. If the correlation between these Zones' prices should fall, the Authority may incur costs as a result of the hedging derivative instrument's inability to offset the delivery price of the related energy.

Tax risk – The Authority is at risk that a change in Federal tax rates will alter the relationship between the interest rates incurred on its ART Notes and LIBOR Index used in the pay-fixed receive-variable interest rate swap transaction.

Rollover risk – The Authority is exposed to rollover risk on the hedging derivative instrument that terminate prior to the maturity of the Authority's ART Notes, which this derivative instrument hedges. When the derivative instrument terminates the Authority will be re-exposed to the variable interest rate risk being hedged by the derivative instruments. The termination of the interest rate swaps on September 1, 2016 exposes the Authority to rollover risk since the hedged debt matures on March 1, 2020.

Certain electrical commodity-based derivative instruments are based upon projected future customer loads or facility operations. Beyond the terms of these derivative instruments (varying from one month to 48 months) the Authority is subject to the corresponding market volatilities.

Termination risk – The Authority or its counterparties may terminate a derivative instrument agreement if the either party fails to perform under the terms of the agreement. The risk that such termination may occur at a time which may be disadvantageous to the Authority has been mitigated by including certain terms in these agreements by which the counterparty has the right to terminate only as a result of certain events, which includes a payment default by the Authority; other Authority defaults which remain uncured within a defined time-frame after notice; bankruptcy or insolvency of the Authority (or similar events); or a downgrade of the Authority's credit rating below investment grade. If at the time of termination the Authority has a liability position, related to its hedging derivative instruments, the Authority would be liable to the counterparty for a payment equal to the liability, subject to netting arrangements.

Market access risk – The Authority remarkets its CP Notes on a continuous basis and its ART Notes every March 1 and September 1. Should the market experience a disruption or dislocation, the Authority may be unable to remarket its Notes for a period of time. To mitigate this risk, the Authority has entered into liquidity facilities with highly rated banks to provide loans to support both the CP Note and ART Note programs. See note 6 of the notes to the financial statements.

Dodd Frank Act

On July 21, 2010, President Obama signed into law the Dodd-Frank Wall Street Reform and Consumer Protection Act (DF Act) which addresses, among other things, interest rate and energy related commodity swap transactions of the type in which the Authority engages. The requirements and processes are set forth in regulations promulgated by the Commodities Futures Trading Commission (CFTC). Pursuant to CFTC rules thus far, the Authority, as a public entity and electric utility which uses swaps solely to manage its risk, will be exempted from posting collateral beyond that of any existing credit support annexes in support of its open over-the-counter hedge positions. These CFTC rules are not anticipated to have significant impact on the Authority's liquidity and/or future risk mitigation activities. CFTC DF Act rules are still being promulgated, and the Authority will continue to monitor their potential impact on the Authority's liquidity and/or future risk mitigation activities.

(9) Pension Plans, Other Postemployment Benefits, Deferred Compensation and Savings

(a) Pension Plans

The Authority and substantially all of the Authority's employees participate in the New York State and Local Employees' Retirement System (ERS) and the Public Employees' Group Life Insurance Plan (the Plan). These are cost-sharing, multiple-employer defined benefit retirement plans. The ERS and the Plan provide retirement benefits as well as death and disability benefits. Obligations of employers and employees to contribute and benefits to employees are governed by the New York State Retirement and Social Security Law (NYSRSSL). As set forth in the NYSRSSL, the Comptroller of the State of New York (Comptroller) serves as sole trustee and administrative head of the ERS and the Plan. The Comptroller adopts and may amend rules and regulations for the administration and transaction of the business of the ERS and the Plan, and for the custody and control of their funds. The ERS and the Plan issue a publicly available financial report that includes financial statements and required supplementary information. That report may be obtained by writing to the New York State and Local Employees' Retirement System, 110 State Street, Albany, NY 12236.

The ERS is contributory except for employees who joined the ERS on or prior to July 27, 1976. Employees, who joined between July 28, 1976 and December 31, 2009 and have less than ten years of service, contribute 3% of their salary. Employees who joined the ERS on or after January 1, 2010 contribute 3% of their salary during their entire length of service. Employees who joined the ERS on or after April 1, 2012 contribute 3% of their salary through March 31, 2013 and up to 6% thereafter, based on their annual salary, during their entire length of service. Under the authority of the NYSRSSL, the Comptroller shall certify annually the rates expressed as proportions of payroll of members, which shall be used in computing the contributions required to be made by employers.

The Authority is required to contribute at an actuarially determined rate. The required contributions for 2014, 2013 and 2012 were $28 million, $29 million and $27 million, respectively. The Authority's contributions to the ERS were equal to 100% of the required contributions for each year.

A decline in financial markets could adversely impact state pension investment market values, including those of the ERS. If ERS's investment market values are adversely impacted, increases in the annual contributions to ERS in subsequent years may occur. The average contribution rate relative to payroll for the fiscal years ended March 31, 2014 was 19%. The average contribution rates relative to payroll for the fiscal years ended March 31, 2015 and 2016 have been set at approximately 18% and 17%, respectively.

(b) Other Postemployment Benefits (OPEB)

The Authority provides certain health care and life insurance benefits for eligible retired employees and their dependents under a single employer noncontributory (except for certain optional life insurance coverage) health care plan. Employees and/or their dependents become eligible for these benefits when the employee has at least 10 years of service and retires or dies while working at the Authority. Approximately 4,400 participants, including 1,600 current employees and 2,800 retired employees and/or spouses and dependents of retired

employees, were eligible to receive these benefits at December 31, 2014. The Authority's post-retirement health care trust does not issue a stand-alone financial report.

Through 2006, other postemployment benefits (OPEB) provisions were financed on a pay-as-you-go basis and the plan was unfunded. In December 2006, the Authority's Trustees authorized staff to initiate the creation of a trust for OPEB obligations (OPEB Trust), with the trust fund to be held by an independent custodian. Prior to 2009, the Authority funded the OPEB Trust with contributions totaling $225 million. Plan members are not required to contribute to the OPEB Trust. The Authority did not make any contributions to the OPEB Trust in 2010. During 2011, the Authority's Trustees approved ongoing annual funding of the Trust in order to strengthen the Authority's financial position. Contributions of $17 million and $22 million were made to the OPEB Trust in 2014 and 2013, respectively.

The following table shows the components of the Authority's annual OPEB cost for the year, the amount actually contributed to the plan, and changes in the Authority's net OPEB obligation.

	2014	2013	2012
		($ in millions)	
Annual OPEB cost:			
Annual required contribution (ARC):			
Normal cost	$ 13	$ 11	$ 10
Amortization payment	20	31	27
Total	33	42	37
ARC adjustment	10	4	9
Interest on net OPEB obligation	(5)	(5)	(5)
Annual OPEB cost	$ 38	$ 41	$ 41
Net OPEB obligation:			
Net OPEB (asset) obligation at beginning of fiscal year	$ (72)	$ (71)	$ (71)
Annual OPEB cost	38	41	41
Employer contribution:			
Benefit payments for retirees during the year	(22)	(20)	(19)
Trust fund contributions	(17)	(22)	(22)
Total employer contribution	(39)	(42)	(41)
Net OPEB (asset) obligation at end of fiscal year	$ (73)	$ (72)	$ (71)

The net OPEB asset of $73 million, which consists of $14 million current assets and $59 million noncurrent assets, is reported in miscellaneous receivables and other long-term assets, respectively, in the statements of net position at December 31, 2014.

The Authority's annual OPEB cost for 2014 was $38 million, which is reflected as an expense in the statements of revenues, expenses, and changes in net position. The Authority's annual OPEB cost (expense) is calculated based on the annual required contribution of the employer (ARC), an amount actuarially determined in accordance with the parameters of GAS No. 45, *Accounting and Financial Reporting by Employers for Postemployment Benefits Other Than Pensions*. The ARC represents a level of funding that, if paid on an ongoing basis, is projected to cover normal cost each year to amortize any unfunded actuarial liabilities (or funding excess) over a period not to exceed thirty years. As indicated herein, the Authority uses a 20-year amortization period.

Actuarial valuations of an ongoing plan involve estimates of the value of reported amounts and assumptions about the probability of occurrence of events far into the future. Examples include assumptions about future employment, mortality, and the healthcare cost trend. Amounts determined regarding the funded status of the plan and the annual required contributions of the employer are subject to continual revision as actual results are compared with past expectations and new estimates are made about the future. The schedule of funding progress, presented as required supplementary information following the notes to the financial statements, presents multiyear trend information about whether the actuarial value of plan assets is increasing or decreasing over time relative to the actuarial accrued liabilities for benefits. The Authority's most recent actuarial valuation was performed as of January 1, 2014 and resulted in an actuarial accrued liability of $575 million which was funded with assets totaling $422 million indicating that the Authority's retiree health plan was 73% funded as of the valuation date. As of December 31, 2014 and 2013, the balance in the OPEB Trust was $467 million and $422 million, respectively, and the actuarial accrued liability was $606 million and $575 million, respectively, resulting in the retirees' health plan being 77% funded in 2014 and 73% funded in 2013.

Projections of benefits for financial reporting purposes are based on the substantive plan (the plan as understood by the employer and plan members) and include the types of benefits provided at the time of each valuation. The actuarial methods and assumptions used include techniques that are designed to reduce short-term volatility in actuarial accrued liabilities and the actuarial value of assets, consistent with the long-term perspective of the calculations. In the 2014 actuarial valuation, the projected unit credit actuarial cost method was used with benefits attributed to full eligibility. The actuarial assumptions included a 7% investment rate of return (net of administrative expenses) and an annual healthcare cost trend rate of approximately 8% (net of administrative expenses), including inflation, declining approximately 1/4% each year to an ultimate trend rate of approximately 5%. Both the cost trend rate and the ultimate trend rate include a 3% inflation assumption. The Authority amortizes actuarial gains and losses over an open 20-year period while continuing to amortize its initial unfunded accrued liability over a closed 20-year period.

(c) Deferred Compensation and Savings Plans

The Authority offers union employees and salaried employees a deferred compensation plan created in accordance with Internal Revenue Code, Section 457. This plan permits participants to defer a portion of their salaries until future years. Amounts deferred under the plan are not available to employees or beneficiaries until termination, retirement, death or unforeseeable emergency.

The Authority also offers salaried employees a savings plan created in accordance with Internal Revenue Code, Section 401(k). This plan also permits participants to defer a portion of their salaries. The Authority matches contributions of employees up to limits specified in the plan. Such matching annual contributions were approximately $2.6 million and $2.5 million for 2014 and 2013, respectively.

Both the deferred compensation plan and the savings plan have a loan feature.

Independent trustees are responsible for the administration of the 457 and 401(k) plan assets under the direction of a committee of union representatives and nonunion employees and a committee of nonunion employees, respectively. Various investment options are offered to employees in each plan. Employees are responsible for making the investment decisions relating to their savings plans.

(10) Nuclear Plant Divestiture and Related Matters

(a) Nuclear Plant Divestiture

In November 2000, the Authority sold its nuclear plants—Indian Point 3 (IP3) and James A. FitzPatrick (JAF) to two subsidiaries of Entergy Corporation (collectively Entergy or the Entergy Subsidiaries) for cash and noninterest-bearing notes totaling $967 million (subsequently reduced by closing adjustments to $956 million) maturing over a 15-year period. The present value of these payments recorded on the closing date, utilizing a discount rate of 7.5%, was $680 million.

As of December 31, 2014 and 2013, the present value of the notes receivable were:

	2014	2013
	(In millions)	
Notes receivable – nuclear plant sale	$ 19	$ 37
Less due within one year	19	18
	$ —	$ 19

At December 31, 2014 and 2013, the current portion due within one year of this notes receivable is reported in miscellaneous receivables and other in the statements of net position and at December 31, 2013 the long-term portion of this notes receivable is reported in other noncurrent assets in the statements of net position.

As part of the Authority's sale of its nuclear projects to Entergy Subsidiaries in November 2000, the Authority entered into two Value Sharing Agreements (VSAs) with them. These VSAs, as amended, provide for the Entergy Subsidiaries to pay the Authority a set price ($6.59 per MWh for IP3 and $3.91 per MWh for JAF) for all MWhs metered from each plant between 2007 and 2014, with the Authority being entitled to receive annual payments up to a maximum of $72 million. Nonoperating income, in the statements of revenues, expenses, and changes in net position, for the years ended December 31, 2014 and 2013 included $71 million and $72 million, respectively, relating to these agreements. The payments are subject to continued ownership of the facilities by the Entergy Subsidiaries or its affiliates. The final payment under the VSA was received on January 15, 2015 in the amount of $71 million.

If the license for IP3 or JAF is extended, an amount equal to $2.5 million (per plant) per year for a maximum of 20 years, would be paid to the Authority by the relevant Entergy Subsidiary for each year of life extension during which the plant operates. The original licenses for JAF and IP3 expire in 2014 and 2015, respectively. In April 2007, the Nuclear Regulatory Commission (NRC) received a license renewal application (for an additional 20 years) for IP3. On September 9, 2008, the NRC renewed the operating license of JAF for 20 years to October 17, 2034.

(b) Nuclear Fuel Disposal

In accordance with the Nuclear Waste Policy Act of 1982, in June 1983, the Authority entered into a contract with the U.S. Department of Energy (DOE) under which DOE, commencing not later than January 31, 1998, would accept and dispose of spent nuclear fuel. In conjunction with the sale of the nuclear plants, the Authority's contract with the DOE was assigned to Entergy. The Authority remains liable to Entergy for the pre-1983 spent fuel obligation (see note 11(e)) "New York State Budget and Other Matters" relating to a temporary transfer of such funds to the State). As of December 31, 2014 and 2013, the liability to Entergy totaled $217 million.

(c) Nuclear Plant Decommissioning

In connection with the Authority's sale of the nuclear plants, the Authority entered into a Decommissioning Agreement with each of the Entergy Subsidiaries relating to the responsibility for decommissioning the nuclear plants acquired (Decommissioning Agreements). The Decommissioning Agreements deal with the decommissioning funds (Decommissioning Funds), which are currently maintained by the Authority under a master decommissioning trust agreement. Under the Decommissioning Agreements, the Authority will make no further contributions to the Decommissioning Funds.

The Authority retains contractual decommissioning liability for IP3 and JAF until license expiration, a change in the tax status of the fund, or any early dismantlement of the plant, at which time the Authority will have the option of terminating its decommissioning responsibility and transferring the plant's fund to the Entergy Subsidiary owning the plant. At that time, the Authority will be entitled to be paid an amount equal to the excess of the amount in the Fund over the Inflation Adjusted Cost Amount, if any. The Inflation Adjusted Cost Amount for a plant means a fixed estimated decommissioning cost amount adjusted in accordance with the effect of increases and decreases in the NRC minimum cost estimate amounts applicable to the plant. The Authority's decommissioning responsibility is limited to the lesser of the Inflation Adjusted Cost Amount or the amount of the plant's Decommissioning Fund.

Certain provisions of the Decommissioning Agreements provide that if the relevant Entergy Subsidiary purchases, or operates, with the right to decommission, another plant at the IP3 site, then the Inflation Adjusted Cost Amount would decrease by $50 million. In September 2001, a subsidiary of Entergy purchased the Indian Point 1 and Indian Point 2 plants adjacent to IP3.

If the Authority is required to decommission IP3 or JAF pursuant to the relevant Decommissioning Agreement, an affiliate of the Entergy Subsidiaries, Entergy Nuclear, Inc. would be obligated to enter into a fixed price contract with the Authority to decommission the plant, the price being equal to the lower of the Inflation Adjusted Cost Amount or the plant's Decommissioning Fund amount.

Decommissioning Funds of $1,415 million and $1,300 million are included in restricted funds and other noncurrent liabilities in the statements of net position at December 31, 2014 and 2013, respectively.

(11) Commitments and Contingencies

(a) Power Programs

Recharge New York Power Program

Chapter 60 (Part CC) of the Laws of 2011 (Chapter 60) established the "Recharge New York Power Program" (RNYPP), administered by the Authority, which has as its central benefit up to 910 MW of low cost power comprised of up to 455 MW of hydropower from the Niagara and St. Lawrence-FDR Projects and up to 455 MW of other power procured by the Authority from other sources. The 910 MW of power is available for allocation as provided by Chapter 60 to eligible new and existing businesses and not-for-profit corporations under contracts of up to seven years. RNYPP was effective beginning July 1, 2012.

The RNYPP replaced two other programs, the Power for Jobs (PFJ) and Energy Cost Savings Benefit (ECSB) Programs, which had extended benefits of low-cost power to certain businesses, small businesses and not-for-profit organizations. Those PFJ and ECSB Program customers who were in substantial compliance with contractual commitments under the PFJ and ECSB Programs and who applied but did not receive RNYPP allocations are eligible to apply for transitional electricity discounts, as provided for in Chapter 60. This transitional electricity discounts program provides for declining levels of discounts through June 30, 2016 when the program terminates, if payment of such discounts is deemed feasible and advisable by the Authority's Trustees. In June 2012, the Authority's Trustees authorized transitional electricity discount payments of up to $9 million for the year July 1, 2012 – June 30, 2013. As of December 31, 2014, approximately $3.9 million of

such discounts have been paid with an additional $1.0 million in payments remaining to be made pursuant to the authorization. [On February 26, 2015, the Authority's Trustees approved an additional $8 million to fund anticipated payments for the period from July 1, 2013 to June 30, 2015.]

The hydropower used for the RNYPP was power formerly used to provide low-cost electricity to domestic and rural customers of the three private utilities that serve upstate New York. To mitigate the impacts from the redeployment of this hydropower for the RNYPP, Chapter 60 created a "Residential Consumer Discount Program" (RCDP). The RCDP authorizes the Authority, as deemed feasible and advisable by its Trustees, to provide annual funding of $100 million for the first three years following withdrawal of the hydropower from the residential and farm customers, $70 million for the fourth year, $50 million for the fifth year, and $30 million each year thereafter, for the purpose of funding a residential consumer discount program for those customers that had formerly received the hydropower that is utilized in the RNYPP. Chapter 60 further authorizes the Authority, as deemed feasible and advisable by the Trustees, to use revenues from the sales of hydroelectric power, and such other funds of the Authority, as deemed feasible and advisable by the Trustees, to fund the RCDP. The Authority's Trustees have authorized the release of a total $337.5 million through January 2014 in support of the RCDP. The Authority supplemented the market revenues through the use of internal funds, from the August 2011 start of the program through December 31, 2014, totaling cumulatively $110 million. Operations and maintenance expenses included $88 million and $100 million of residential consumer discounts in the years ended December 31, 2014 and 2013. On February 26, 2015, the Authority's Trustees approved up to an additional $63 million to fund the RCDP payments anticipated to be made in 2015.

Western New York Power Proceeds Allocation Act

Effective March 30, 2012, Chapter 58 (Part GG) of the Laws of 2012 (Chapter 58) created the Western New York Power Proceeds Act (WNYPPA). The WNYPPA authorizes the Authority, as deemed feasible and advisable by the Trustees, to deposit net earnings from the sale of unallocated Expansion Power and Replacement Power from the Authority's Niagara project into an account administered by the Authority known as the Western New York Economic Development Fund (Fund). Net earnings are defined as any excess revenues earned from such power sold into the wholesale market over the revenues that would have been received had the power been sold at the Expansion Power and Replacement Power rates. Proceeds from the Fund may be used to support eligible projects undertaken within a 30-mile radius of the Niagara power project that satisfy applicable criteria. Chapter 58 also establishes a five-member Western New York Power Allocations Board, which is appointed by the Governor. Chapter 58 also repealed Chapter 436 of the Laws of 2010 which had created a similar program that could not be effectively implemented.

The Authority's Trustees have approved the release of up to $50 million in net earnings, calculated for the period August 30, 2010 through December 31, 2014 as provided in the legislation, for deposit into the Fund. As of December 31, 2014, $38 million has been deposited into the Fund. As of December 31, 2014, the Authority has approved awards of Fund money totaling approximately $21 million to businesses that have proposed eligible projects and has made payments totaling $5 million to such businesses. Payment of these awards is contingent upon the execution of acceptable contracts between the Authority and individual awardees.

Northern New York Power Proceeds Allocation Act

Chapter 545 of the Laws of 2014 enacted the "Northern New York Power Proceeds Act" (NNYPPA). The NNYPPA authorizes the Authority, as deemed feasible and advisable by the Trustees, to deposit "net earnings" from the sale of unallocated St. Lawrence County Economic Development Power (SLCEDP) by the Authority in the wholesale energy market into an account the Authority would administer known as the Northern New York Economic Development Fund (NNY Fund), and to make awards to eligible applicants that propose eligible projects that satisfy applicable criteria. The NNYPPA also establishes a five-member Northern New York Power Allocations Board appointed by the Governor to review applications seeking NNY Fund benefits and to make recommendations to the Authority concerning benefits awards.

SLCEDP consists of up to 20 MW of hydropower from the Authority's St. Lawrence-FDR Power Project which the Authority has made available for sale to the Town of Massena Electric Department ("MED") for MED to sub-allocate for economic development purposes in accordance with a contract between the parties entered into in 2012 (Authority-MED Contract). The NNYPPA defines "net earnings" as the aggregate excess of revenues received by the Authority from the sale of energy associated with SLCEDP by the Authority in the wholesale energy market over what revenues would have been received had such energy been sold to MED on a firm basis under the terms of the Authority-MED contract. For the first 5 years after enactment, the amount of SLCEDP the Authority could use to generate net earnings may not exceed the lesser of 20 MW or the amount of SLCEDP that has not been allocated by the Authority pursuant to the Authority-MED contract. Thereafter, the amount of SLCEDP that the Authority could use for such purpose may not exceed the lesser of 10 MW or the amount of SLCEDP that has not been allocated.

On February 26, 2015, the Authority's Trustees approved the release of funds, of up to $3 million, into the NNY Fund representing "net earnings" from the sale of unallocated SLCEDP into the wholesale energy market for the period December 29, 2014 through December 31, 2015.

(b) Governmental Customers in the New York City Metropolitan Area

In 2005, the Authority and its eleven NYC Governmental Customers, including the Metropolitan Transportation Authority, the City of New York, the Port Authority of New York and New Jersey (Port Authority), the New York City Housing Authority, and the New York State Office of General Services, entered into long-term supplemental electricity supply agreements (Agreements). Under the Agreements, the NYC Governmental Customers agreed to purchase their electricity from the Authority through December 31, 2017, with the NYC Governmental Customers having the right to terminate service from the Authority at any time on three years' notice and, under certain limited conditions, on one year's notice, provided that they compensate the Authority for any above-market costs associated with certain of the resources used to supply the NYC Governmental Customers.

Under the Agreements, the Authority will modify rates annually through a formal rate case where there is a change in fixed costs to serve the NYC Governmental Customers. Except for the minimum volatility price option, changes in variable costs, which include fuel and purchased power, will be captured through contractual pricing adjustment mechanisms. Under these mechanisms, actual and projected variable costs are reconciled and all or a portion of the variance is either charged or credited to the NYC Governmental Customers. The Authority provides the customers with indicative electricity prices for the following year reflecting market-risk hedging options designated by the NYC Governmental Customers. Such market-risk hedging options include a full cost energy charge adjustment ("ECA") pass-through arrangement relating to fuel, purchased power, and NYISO-related costs (including such an arrangement with some cost hedging) and a sharing option where the customers and the Authority will share in actual cost variations as specified in the Agreements. For 2013 and 2014, the NYC Governmental Customers chose a market-risk hedging price option designated an "ECA with hedging" pricing option whereby actual cost variations in variable costs are passed through to the customers as specified above. Under the Agreements, the Authority committed to finance up to $100 million annually over the term of the Agreements for energy efficiency projects and initiatives at such governmental customers' facilities. Amounts financed may exceed $100 million if mutually agreed to by the customers and the Authority. The costs of such projects are recovered from such customers.

As a result of a Request for Proposals for Long-Term Supply issued in 2005 and subsequent negotiations, in 2011 the Trustees authorized Authority staff to enter into an agreement with Hudson Transmission Partners, LLC (HTP) for the purchase of capacity to meet the long-term requirements of the Authority's NYC Governmental Customers and to improve the transmission infrastructure serving New York City through the transmission rights associated with HTP's planned transmission line (the Line) extending from Bergen County, New Jersey, to Consolidated Edison's West 49th Street substation. Specifically, the Authority executed a Firm Transmission Capacity Purchase Agreement (FTCPA) with HTP which would provide the Authority with 75% of the Line's 660 MW capacity, or 495 MW, for 20 years. The Authority's capacity payment obligations under the FTCPA began upon the Line's commencement of commercial operation, which occurred on June 3, 2013.

Also upon commercial operation, the FTCPA obligates the Authority to reimburse HTP for the cost of interconnection and transmission upgrades in New York and New Jersey associated with the Line and to pay for all remaining upgrade costs as they are incurred. Under the FTCPA, the Authority is obligated to pay the costs of certain interconnection and transmission upgrades associated with the Line, which are estimated to total up to approximately $319 million. As of December 31, 2014, the Authority paid approximately $246 million of such costs related to the interconnection and transmission upgrades.

The Authority is currently in discussions with certain of its NYC Governmental Customers and other third parties regarding partial recovery of the costs of the Line. It is estimated that the revenues derived from the Authority's rights under the FTCPA will not be sufficient to fully cover the Authority's costs under the FTCPA during the initial 20-year term of the FTCPA. Depending on a number of variables, it is estimated that the Authority's under-recovery of costs under the FTCPA could be in the range of approximately $75 million to $90 million per year over the next five years of commercial operation. In April 2013, the Authority entered into a three-year contract with Con Edison Energy, Inc. (CEE), an affiliate of Consolidated Edison Company of New York, Inc. to manage the Authority's transmission capacity on the Line and make economical energy transactions.

In anticipation of the closure of the Authority's Poletti plant in 2010, the Authority, in 2007, issued a nonbinding request for proposals for up to 500 MW of in-city unforced capacity and optional energy to serve the needs of its NYC Governmental Customers. This process, which included approval of the NYC Governmental Customers, resulted in a long-term electricity supply contract in 2008 between the Authority and Astoria Energy II LLC for the purchase of the output of Astoria Energy II, a new 550-MW plant, which was constructed and entered into commercial operation on July 1, 2011 in Astoria, Queens. The costs associated with the contract will be borne by these customers for the life of the Astoria Energy II contract. The Authority is accounting for and reporting this lease transaction as a capital lease in the amount of $1.205 billion as of December 31, 2014, which reflects the present value of the monthly portion of lease payments allocated to real and personal property. The balance of the monthly lease payments represents the portion of the monthly lease payment allocated to operations and maintenance costs which are recorded monthly. Fuel for the plant is provided by the Authority and the costs thereof are being recovered from the NYC Governmental Customers.

The Authority's other Southeastern New York (SENY) Governmental Customers are Westchester County and numerous municipalities, school districts, and other public agencies located in Westchester County (collectively, the "Westchester Governmental Customers"). The Authority has entered a supplemental electricity supply agreement with all 103 Westchester Governmental Customers. Among other things, under the agreement, an energy charge adjustment mechanism is applicable, and customers are allowed to partially terminate service from the Authority on at least two months' notice prior to the start of the NYISO capability periods. Full termination is allowed on at least one year's notice, effective no sooner than January 1 following the one year notice.

(c) Small, Clean Power Plants and 500-MW Plant

To meet capacity deficiencies and ongoing load requirements in the New York City metropolitan area that could also adversely affect the statewide electric pool, the Authority has in operation, the Small, Clean Power Plants (SCPPs), consisting of eleven natural-gas-fueled combustion-turbine electric units, each having a nameplate rating of 47 MW at six sites in New York City and one site in the service region of LIPA.

As a result of the settlement of litigation relating to certain of the SCPPs, the Authority has agreed under the settlement agreement to cease operations at one of the SCPP sites, which houses two units, under certain conditions and if the Mayor of New York City directs such cessation. No such cessation has occurred.

(d) Legal and Related Matters

St. Regis Litigation

In 1982 and again in 1989, several groups of Mohawk Indians, including a Canadian Mohawk tribe, filed lawsuits against the State, the Governor of the State, St. Lawrence and Franklin counties, the St. Lawrence Seaway Development Corporation, the Authority and others, claiming ownership to certain lands in St. Lawrence and Franklin counties and to Barnhart, Long Sault and Croil islands (St. Regis litigation). These islands are within the boundary of the Authority's St. Lawrence-FDR Project and Barnhart Island is the location of significant Project facilities. Settlement discussions were held periodically between 1992 and 1998. In 1998, the Federal government intervened on behalf of all Mohawk plaintiffs.

The parties agreed to a land claim settlement, dated February 1, 2005, which if implemented would include, among other things, the payment by the Authority of $2 million a year for 35 years to the tribal plaintiffs, the provision of up to 9 MW of low cost Authority power for use on the reservation, the transfer of two Authority-owned islands; Long Sault and Croil, and a 215 acre parcel on Massena Point to the tribal plaintiffs, and the tribal plaintiffs withdrawing any judicial challenges to the Authority's new license, as well as any claims to annual fees from the St. Lawrence FDR project.

The legislation required to effectuate the settlement was never enacted and the litigation was reactivated. In November 2006, all defendants moved to dismiss the three Mohawk complaints as well as the United States' complaint based on the lengthy delay in asserting the land claims (i.e., the laches defense).

On September 28, 2012, the U.S. Magistrate recommended dismissal of all land claims brought against the Authority by three St. Regis tribal factions as well as the Federal government. The Magistrate upheld the Authority's laches defense and also recommended dismissal on the same grounds of all claims by the same plaintiffs against the other defendants relating to all but one of the other challenged mainland parcels.

In orders dated July 2013, the Judge assigned to the case accepted the Magistrate's recommendation and granted the Authority judgment on the pleadings. The Judge accepted all but one of the Magistrate's other recommendations, which results in dismissal of all land claims against the other defendants except those relating to two mainland parcels. Barring an appeal by the plaintiffs, all claims against the Authority have been dismissed and the lawsuit against the Authority is concluded.

The State and the St. Regis Mohawk Tribe (Tribe) have been discussing a settlement of the land claims, as well as other issues between the State and the Tribe. On May 28, 2014, the State of New York, the Tribe, St. Lawrence County and the Authority executed a Memorandum of Understanding (St. Regis MOU) that outlined a framework for the possible settlement of all the St. Regis land claims. In the St. Regis MOU, the Authority endorses a negotiated settlement that, among other terms and conditions, would require the Authority to pay the Tribe $2 million a year for 35 years and provide up to 9 MW of its hydropower at preference power rates to serve the needs of the Tribe's Reservation. The St. Regis MOU would require an Act of Congress to forever extinguish all Mohawk land claims prior to such a settlement becoming effective.

Any settlement agreement, including the terms endorsed in the St. Regis MOU, would in the first instance need to be negotiated and agreed upon by all parties to the St. Regis litigation. In addition, on or before a final settlement of the litigation, all parties to the St. Regis litigation would have to agree to a settlement of all outstanding claims, including parties that did not execute the St. Regis MOU, such as the two other Mohawk groups, the federal government and Franklin County. Before any settlement becomes effective and the Authority is obligated to make any payments contemplated by the St. Regis MOU, however, federal and state legislation must be enacted which approves the settlement and extinguishes all Mohawk land claims.

Tropical Storm Irene

In August 2012, the County of Schoharie, eight towns and villages therein, and one school district ("Municipalities") initiated a lawsuit in Schoharie County Supreme Court against the Authority involving the heavy rains and widespread flooding resulting from Tropical Storm Irene's passage through the Northeast in August 2011. The Municipalities essentially alleged that they sustained property damage and lost tax revenues resulting from lowered assessed valuation of taxable real property due to the Authority's negligence in its operations at the Blenheim-Gilboa pumped-storage hydroelectric facility located on the Schoharie Creek in Schoharie County, New York. The Municipalities complaint seeks judgment "in an amount to be determined at trial with respect to each [of the ten plaintiffs] in the sum of at least $5,000,000, plus punitive damages in the sum of at least $5,000,000" as well as attorney fees. As of October 31, 2014, all of the Municipalities have discontinued their lawsuits against the Authority.

In February 2012, a private landowner filed a similar lawsuit in such court on behalf of a park campground and makes nearly the same allegations with the plaintiff seeking at least $5 million in damages, at least $5 million in punitive damages, as well as attorney's fees. In December 2012, the Authority was served with a third lawsuit by five plaintiffs arising out of Tropical Storm Irene and the Authority's operation of its Blenheim-Gilboa Pumped Storage Project. Plaintiffs previously filed timely notices of claim. The five plaintiffs include three individual landowners and two corporations. The three individual landowners own properties located in Schoharie, NY and Central Bridge, NY and are claiming damages in the aggregate amount of $1.55 million. The two corporations also own properties in Schoharie, NY and are claiming damages in the aggregate amount of $1.05 million. On October 27, 2014, the Court granted NYPA's motion to change the place of trial. The Court directed the Clerk of Court to transfer the proceedings to Albany County. Discovery is ongoing in these two remaining actions, which are joined for discovery.

While the Authority cannot presently predict the outcome of this or any related litigation, the Authority believes that it has meritorious defenses and positions with respect thereto. However, adverse decisions of a certain type in the matters discussed above could adversely affect Authority operations and revenues. While the Authority is unable to predict whether and to what extent any lawsuits will be initiated based on notices of claim or similar claims that may be filed in the future, or the outcome of any litigation, the Authority believes that it has meritorious defenses and positions with respect thereto. Conversely, adverse decisions of a certain type in the matters discussed above could adversely affect Authority operations and revenues.

Other Actions or Claims

In January 2014, one of the Sound Cable Project underwater cables was severely impacted by an anchor and /or anchor chain dropped by one or more vessels, causing the entire electrical circuit to fail and the circuit to trip. As a result of the impact to the cable, dielectric fluid was released into Long Island Sound. The Authority estimates it sustained damages of approximately $35 million. The Authority has incurred approximately $23 million for repairs and is recorded in other long-term assets in the statement of net position at December 31, 2014. The Authority believes that it will be able to recover the full amount of its damages through legal proceedings, insurance coverage and contractual obligations.

In addition to the matters described above, other actions or claims against the Authority are pending for the taking of property in connection with its projects, for negligence, for personal injury (including asbestos-related injuries), in contract, and for environmental, employment and other matters. All of such other actions or claims will, in the opinion of the Authority, be disposed of within the amounts of the Authority's insurance coverage, where applicable, or the amount which the Authority has available therefore and without any material adverse effect on the business of the Authority.

(e) New York State Budget and Other Matters

Section 1011 of the Power Authority Act (Act) constitutes a pledge of the State to holders of Authority obligations not to limit or alter the rights vested in the Authority by the Act until such obligations together with the interest thereon are fully met and discharged or unless adequate provision is made by law for the protection of the holders thereof. Bills are periodically introduced into the State Legislature, which propose to limit or restrict the powers, rights and exemption from regulation that the Authority currently possesses under the Act and other applicable law or otherwise would affect the Authority's financial condition or its ability to conduct its business, activities, or operations, in the manner presently conducted or contemplated by the Authority. It is not possible to predict whether any such bills or other bills of a similar type which may be introduced in the future will be enacted.

In addition, from time to time, legislation is enacted into New York law that purports to impose financial and other obligations on the Authority, either individually or along with other public authorities or governmental entities. The applicability of such provisions to the Authority would depend upon, among other things, the nature of the obligations imposed and the applicability of the pledge of the State set forth in Section 1011 of the Act to such provisions. There can be no assurance that in the case of each such provision, the Authority will be immune from the financial obligations imposed by such provision. Examples of such legislation affecting only the Authority include legislation, discussed below and elsewhere herein, relating to the Authority's voluntary contributions to the State, the Authority's temporary transfer of funds to the State, and contributions and transfers to fund temporary and permanent programs administered by the Authority and other State entities.

Budget

The Authority is requested, from time to time, to make financial contributions or transfers of funds to the State. Any such contribution or transfer of funds must (i) be authorized by law (typically, legislation enacted in connection with the State budget), and (ii) satisfy the requirements of the Bond Resolution. The Bond Resolution requirements to withdraw moneys "free and clear of the lien and pledge created by the (Bond) Resolution" are as follows: (1) such withdrawal must be for a "lawful corporate purpose as determined by the Authority," and (2) the Authority must determine "taking into account, among other considerations, anticipated future receipt of Revenues or other moneys constituting part of the Trust Estate, that the funds to be so withdrawn are not needed" for (a) payment of reasonable and necessary operating expenses, (b) an Operating Fund reserve for working capital, emergency repairs or replacements, major renewals, or for retirement from service, decommissioning or disposal of facilities, (c) payment of, or accumulation of a reserve for payment of, interest and principal on senior debt, or (d) payment of interest and principal on subordinate debt.

In May 2011, the Authority's Trustees adopted a policy statement (Policy Statement) which relates to, among other things, voluntary contributions, transfers, or other payments to the State by the Authority after that date. The Policy Statement provides, among other things, that in deciding whether to make such contributions, transfers, or payments, the Authority shall use as a reference point the maintenance of a debt service coverage ratio of at least 2.0, in addition to making the other determinations required by the Bond Resolution. The Policy Statement may at any time be modified or eliminated at the discretion of the Authority's Trustees.

Legislation enacted into law, as part of the 2000-2001 State budget, as amended up to the present time, has authorized the Authority as deemed feasible and advisable by the trustees, to make a series of voluntary contributions into the State treasury in connection with the PFJ Program and for other purposes as well. The PFJ Program, which had been extended to June 30, 2012, has ended and was replaced by the RNYPP, as discussed above in note 11(a) "Recharge New York Power Program" of the notes to the financial statements. Cumulatively through December 31, 2012, the Authority has made voluntary contributions to the State totaling $475 million in connection with the ended PFJ Program.

In 2014 and 2013, the Authority made $90 million and $65 million, respectively, in contributions to the State that are not related to the PFJ Program and which were recorded as nonoperating expenses in the year ended December 31, 2014 and 2013 statements of revenues, expenses and changes in net position. These contributions

were authorized by the Authority's Trustees and were consistent with the related State fiscal year budgets. The 2014 contributions totaling $90 million were transferred directly to ESD in furtherance of ESD's statewide economic development initiatives. The 2013 contributions of $65 million include $45 million that was paid to Empire State Development (ESD) to support the New York State Open for Business economic development initiative in lieu of the voluntary contributions to the State's General Fund for the State fiscal year 2013-2014. Cumulatively, between January 2008 and December 31, 2014, the Authority has made voluntary contributions to the State totaling $582 million unrelated to the PFJ program. The Authority made a contribution of $42 million to ESD on February 26, 2015 with an additional $23 million to be considered for payment by March 31, 2015.

The Governor's Executive Budget proposed for State Fiscal Year 2015-2016 contains language authorizing the Authority, as deemed feasible and advisable by its Trustees, to (i) make a contribution to the State treasury to the credit of the General Fund, or as otherwise directed in writing by the Director of the Budget, in an amount of up to $90 million for the State fiscal year commencing April 1, 2015, the proceeds of which will be utilized to support energy-related initiatives of the State or for economic development purposes, and (ii) transfer up to $25 million of any such contribution by June 30, 2015 and the remainder of any such contribution by March 31, 2016.

Temporary Asset Transfers

In addition to the authorization for voluntary contributions, as a result of budget legislation enacted in February 2009, the Authority was requested to provide temporary asset transfers to the State of funds held in reserves. Pursuant to the terms of a Memorandum of Understanding dated February 2009 (MOU) between the State, acting by and through the State's Director of Budget, and the Authority, the Authority agreed to transfer approximately $215 million associated with its Spent Nuclear Fuel Reserves (Asset B) by March 27, 2009. The Spent Nuclear Fuel Reserves are funds that had been set aside for payment to the federal government sometime in the future when the federal government accepts the spent nuclear fuel for permanent storage (see note10(b) "Nuclear Fuel Disposal". The MOU provides for the return of these funds to the Authority, subject to appropriation by the State Legislature and the other conditions described below, at the earlier of the Authority's payment obligation related to the transfer and disposal of the spent nuclear fuel or September 30, 2017. Further, the MOU provides for the Authority to transfer within 180 days of the enactment of the 2009-2010 State budget $103 million of funds set aside for future construction projects (Asset A), which amounts would be returned to the Authority, subject to appropriation by the State Legislature and the other conditions described below, at the earlier of when required for operating, capital or debt service obligations of the Authority or September 30, 2014. In February 2009, the Authority's Trustees authorized the execution of the MOU relating to the temporary transfers of Asset B ($215 million) and Asset A ($103 million) and such transfers were made in March 2009 and September 2009, respectively, following Trustee approval.

The MOU provides that the obligation of the State to return all or a portion of an amount equal to the moneys transferred by the Authority to the State is subject to annual appropriation by the State Legislature. Further, the MOU provides that as a condition to any such appropriation for the return of the moneys earlier than September 30, 2017 for the Spent Nuclear Fuel Reserves and earlier than September 30, 2014 for the construction projects, the Authority must certify that the monies available to the Authority are not sufficient to satisfy the purposes for which the reserves, which are the source of the funds for the transfer, were established.

In lieu of interest payments, the State has waived certain future payments from the Authority to the State. The waived payments include the Authority's obligation to pay until September 30, 2017 the amounts to which the State is entitled under a governmental cost recovery process for the costs of central governmental services. These payments would have been approximately $5 million per year based on current estimates but the waiver is limited to a maximum of $45 million in the aggregate during the period. Further, the obligation to make payments in support of certain State park properties and for the upkeep of State lands adjacent to the Niagara and St. Lawrence power plants is waived from April 1, 2011 to March 31, 2017. These payments would have been approximately $8 million per year but the waiver would be limited to a maximum of $43 million for the period. The present value of the waivers approximates the present value of the forgone interest income.

On April 24, 2014, the Authority and the State executed an Amendment to the MOU which provides that the State shall, subject to appropriation by the State Legislature, return the $103 million (Asset A) in five installments in the following amounts and by no later than September 30 of each of the following State fiscal years: (1) $18 million for State Fiscal Year 2014-2015; (2) $21 million for State Fiscal Year 2015-2016; (3) $21 million for State Fiscal Year 2016-2017; (4) $21 million for State Fiscal Year 2017-2018; and (5) $22 million for State Fiscal Year 2018-2019. By its terms, the Amendment to the MOU became effective when it was approved and ratified by the Authority's Board of Trustees on July 29, 2014. The Authority received the first $18 million installment on October 1, 2014. The Assets A and B transfers are reported in miscellaneous receivable and other ($21 million at December 31, 2014) and in other noncurrent assets ($279 million and $318 million at December 31, 2014 and December 31, 2013, respectively) in the statements of net position.

New York State-Upstate Fuel Reserve Initiative

In response to significant storm events that damaged fuel terminals and shut down gasoline suppliers and stations, creating gaps in the supply of gasoline for use by first responders and utility repair crews, and hampered rescue and recovery efforts, the State, in 2013, commenced a strategic fuel reserve initiative, consisting of a Downstate Strategic Gasoline Reserve and an Upstate Strategic Fuel Reserve (USFR), which are being administered by the New York State Research and Development Authority (NYSERDA). The Authority supplies power to hundreds of public and private entities throughout Upstate NY, and has an interest in seeing that safe and reliable electric service is restored and maintained in the event of a storm or other emergency, and that first responders and utility crews, including personnel who would perform repair work on Authority and other utility assets that are necessary for the transmission of power to Authority customers, can access fuels needed for rescue, recovery and restoration of utility restoration efforts. Accordingly, in October 2014, the Authority transferred $10 million to NYSERDA to support the USFR initiative.

(f) Relicensing of Niagara

By order issued March 15, 2007, FERC issued the Authority a new 50-year license for the Niagara project effective September 1, 2007. In doing so, FERC approved six relicensing settlement agreements entered into by the Authority with various public and private entities. By decision dated March 13, 2009, the U.S. Court of Appeals for the District of Columbia Circuit denied a petition for review of FERC's order filed by certain entities, thereby concluding all litigation involving FERC's issuance of the new license. In 2007, the Authority estimated that the capital cost associated with the relicensing of the Niagara project would be approximately $495 million. This estimate does not include the value of the power allocations and operation and maintenance expenses associated with several habitat and recreational elements of the settlement agreements. As of December 31, 2014, the balance in the recorded liability associated with the relicensing on the statement of net position is $301 million ($22 million in current and $279 million in other noncurrent liabilities). As of December 31, 2013, the balance in the liability associated with the relicensing on the statement of net position is $277 million ($31 million in current and $246 million in noncurrent liabilities).

In addition to internally generated funds, the Authority issued additional debt obligations in October 2007 to fund, among other things, Niagara relicensing costs. The costs associated with the relicensing of the Niagara project, including the debt issued therefore, were incorporated into the cost-based rates of the project beginning in 2007.

(g) Regional Greenhouse Gas Initiative and Air Pollution Rule

The Regional Greenhouse Gas Initiative (RGGI) is a cooperative effort by Northeastern and Mid-Atlantic states, including New York, to hold carbon dioxide emission levels steady from 2009 to 2014 and then reduce such levels by 2.5% annually in the years 2015 to 2018 for a total 10% reduction. Central to this initiative is the implementation of a multi-state cap-and-trade program with a market-based emissions trading system. The program requires electricity generators to hold carbon dioxide allowances in a compliance account in a quantity that matches their total emissions of carbon dioxide for the compliance period. The Authority's Flynn plant, SCPPs, and 500-MW Plant are subject to the RGGI requirements as is AEII. The Authority has participated in

program auctions commencing in September 2008 and expects to recover RGGI costs through its power sales revenues. For 2014, the number of allowances offered in the auction by RGGI cap and trade program was reduced (from allowances covering 165 million tons of carbon dioxide emissions in 2013 to 91 million tons in 2014), and will continue to decline by 2.5% each year from 2015 through 2020. This reduction may well likely increase the price for carbon dioxide allowances, which NYPA acquires to cover operation of its fossil- fuelled power plants and the AEII plant. The Authority is monitoring federal legislation and proposed programs that would impact RGGI.

During 2011, the Environmental Protection Agency (EPA) issued a series of rulings to establish the Cross-State Air Pollution Rule ("CSAPR"). The CSAPR establishes emission allowance budgets for sulfur dioxide and nitrogen oxides for eastern states, including New York, and requires power plants in those states to hold allowances to cover their emissions. Certain trading of allowances is authorized under the CSAPR. Following decisions by the U.S. Court of Appeals (D.C. Circuit) and the U.S. Supreme Court, the EPA issued an interim final rule on November 21, 2014 to amend the compliance deadline from 2012 and 2013 to 2015 and 2016 for CSAPR's Phase 1 emissions budgets, and from 2014 to 2017 for Phase 2 emissions budgets and assurance provisions. The Authority continues to operate its fossil-fueled plants within the allocated allowances and anticipates that operation of its fossil fueled power plants will not be impacted by CSAPR.

In 2013, President Obama sent a memorandum to EPA on "Power Sector Carbon Pollution Standards" (Presidential Memorandum) as part of the President's Climate Action Plan. The Presidential Memorandum requires the EPA to propose carbon pollution standards for power plants. In 2013, the EPA met the first milestone in the Presidential Memorandum by proposing stringent new carbon pollution standards affecting new large and small gas-fired and coal-fired generating units. On June 2, 2014, the EPA met another milestone by releasing its Clean Power Plant Proposed Rule for existing power plants. The objective of the proposed rule is to cut by 2030 carbon pollution (carbon dioxide emissions) from the power sector by 30% from 2005 levels. Also on June 2, 2014, the EPA proposed related carbon pollution standards for modified and reconstructed power plants. The Authority continues to monitor developments in this area.

(h) Wind and Solar Initiatives

The Long-Island-New York City Offshore Wind Collaborative (Collaborative), which consists of the Authority, Consolidated Edison of New York, and the Long Island Power Authority (LIPA), is evaluating the potential development of between 350 MW and 700 MW of offshore wind. The Collaborative is currently planning the next steps in project evaluation. On September 15, 2011, the Authority, on behalf of the Collaborative, submitted an application to the BOEM for a commercial lease on the Outer Continental Shelf approximately 13 nautical miles off the south shore of Long Island. Pursuant to federal regulations, the federal Bureau of Ocean Energy Management (BOEM) issued a request in January 2013 to determine whether there is competitive interest in wind power development in federal waters off the coast of the Rockaway Peninsula and Long Island. Two potential competitors indicated interest in obtaining a commercial lease for possible offshore wind projects situated in the Collaborative's proposed lease site. At this time, BOEM is currently considering whether competitive interest for the lease site exists. If BOEM determines that competitive interest exists, it may result in an auction to determine an award of the commercial lease site.

In March 2012, the Authority's Trustees authorized up to $30 million in funding over five years for a solar market acceleration program involving solar research, training, and demonstration projects. As of December 31, 2014, the Authority has approved the award of contracts with cumulative value of up to approximately $19 million.

(i) Construction Contracts and Net Operating Leases

Estimated costs to be incurred on outstanding contracts in connection with the Authority's construction programs aggregated approximately $500 million at December 31, 2014.

Noncancelable operating leases primarily include leases on real property (office and warehousing facilities and land) utilized in the Authority's operations. Rental expense for years ended December 31, 2014 and 2013 was $1.6 million and $2 million, respectively. Commitments under noncancelable operating leases are as follows:

	Total	2015	2016	2017	2018	2019	Thereafter
				(In millions)			
Operating leases	$ 1.4	0.5	0.3	0.2	0.2	0.2	—

(j) Other Developments

New York Energy Highway

In January 2012, the Governor of New York announced the New York Energy Highway initiative, which is envisioned as a public-private partnership to upgrade and modernize the State's electric power system. The Governor formed a task force comprised of various State officials to oversee implementation of the initiative (Task Force) which is co-chaired by the Authority's President and Chief Executive Officer. In April 2012, the Task Force issued a request for information seeking ideas and proposals in furtherance of the initiative. Approximately 85 organizations responded to the Task Force's request for information and the responses included a large number of different generation and transmission project proposals. Based on the response of all these organizations, the Energy Highway Task Force issued an action plan in October 2012. The resulting Energy Highway Blueprint, calling for public and private investments in the State's energy system of about $5.7 billion over the next five to 10 years, proposed 13 specific actions, divided among four major categories: Expand and Strengthen the System, Accelerate Construction and Repair, Support Clean Energy and Technology Innovation.

In November 2012, the New York Public Service Commission (NYPSC) announced new proceedings addressing various actions described in the Blueprint including (i) the initiation of electric transmission upgrades to move excess power from upstate to downstate (AC Transmission), (ii) the creation of a contingency plan to prepare for a large generator retirement (Generation Retirement Contingency Plan) and (iii) the expansion of natural gas delivery to homeowners and businesses in New York State.

In response to the request for information and the Generation Retirement Contingency Plan and AC Transmission proceedings, the New York Transmission Owners (NYTOs), comprised of the State's largest private utilities, LIPA, and the Authority, indicated that they were exploring the creation of a new Statewide transmission entity (NY Transco) to pursue development, construction, operation, and ownership of new transmission projects. The NYTOs proposed to the Task Force and to the NYPSC several transmission projects that could be undertaken by a NY Transco entity. Participation of the Authority in the NY Transco would be contingent on the enactment of legislation by the State that enables the Authority to participate. As of the 2014 legislative session, which ended in June 2014, such enabling legislation has not been passed. On November 24, 2014, affiliates of the NYTOs formed a transmission entity (Four-Party Transco) that does not include LIPA or the Authority but would permit their participation should the necessary enabling legislation be passed.

In its November 4, 2013 Generation Retirement Contingency Plan Order, the NYPSC selected three transmission projects (TOTS projects) to be built by Consolidated Edison, New York State Electric and Gas (NYSEG) and the Authority. The NYPSC also requested that the NYTOs seek Federal Energy Regulatory Commission (FERC) approval for the three TOTS projects. On December 4, 2014, the NYTOs on behalf of themselves and the Four-Party Transco filed applications at FERC to permit the transfer of certain transmission

assets to the Four-Party Transco. The Four-Party Transco also filed an application for cost allocation and recovery for five projects, including the three TOTS projects. On January 16, 2015, the Authority filed at FERC in opposition of the cost allocation methodology proposed by the Four-Party Transco. The Authority is co-developing one of the TOTS projects with NYSEG and plans to make a filing at FERC to recover the costs of its portion of that project in the first half of 2015.

Build Smart NY Initiative

On December 28, 2012, the Governor of New York issued Executive Order No. 88 (EO 88) directing state agencies collectively to reduce energy consumption in state-owned and managed buildings by 20 percent within seven years – an initiative designed to produce significant savings for New York taxpayers, generate jobs, and significantly reduce greenhouse gas emissions. To meet this initiative, the Governor launched Build Smart NY, a plan to strategically implement EO 88 by accelerating priority improvements in energy performance. The Authority has offered to provide $450 million in low-cost financing for this initiative for state owned buildings and an additional $350 million for towns and municipalities. Such low-cost financing would be funded by proceeds of the Authority's commercial paper or another form of debt. The Authority's costs of financing would be recovered from the energy efficiency customers in this program. In addition, as provided for in EO 88, the Authority has established a central management and implementation team to carry out the Build Smart NY plan. In 2014, the Authority has in aggregate provided approximately $150 million in financing for energy efficiency projects at State agencies and authorities covered by EO 88.

Energy Efficiency Market Acceleration Program

In June 2012, the Authority's Trustees authorized up to $30 million in funding over five years for an energy efficiency market acceleration program involving energy efficiency research, demonstration projects, and market development. As of December 31, 2014, the Authority's Trustees have approved the award of contracts with a cumulative value of up to approximately $26 million.

REQUIRED SUPPLEMENTARY INFORMATION
(UNAUDITED)

New York Power Authority

Required Supplementary Information

(Unaudited)

Schedule of Funding Progress for the Retiree Health Plan

(In millions)

Actuarial Valuation Date	Actuarial Value of Assets (a)	Actuarial Accrued Liability (AAL)--- Projected Unit Credit Method (b)	Unfunded AAL (UAAL) (b-a)	Funded Ratio (a/b)	Covered Payroll (c)	UAAL as a Percentage of Covered Payroll ((b-a)/c)
January 1, 2014	$ 422	$ 575	$ 153	73%	$ 145	105%
January 1, 2012	283	517	234	55	143	163
January 1, 2010	218	400	182	55	141	129
January 1, 2008*	100	337	237	30	133	178

* During 2007, a trust for the Authority's OPEB obligations was funded with an initial amount of $100 million. This amount is reflected in the table above as of the 1/1/08 Actuarial Valuation Date.

Exhibit B

New York Power Authority
Net Income - Actual vs. Budgeted
For The Year ended December 31, 2014
($ in millions)

	Actual	Budget	Variance Favorable/ (Unfavorable)
Operating Revenues			
Customer	$ 2,088	$ 2,138	$ (51)
NYISO Market Revenues	1,088	786	302
	3,175	**2,924**	**251**
Operating Expenses			
Purchased Power	995	835	(160)
Fuel Consumed - Oil & Gas	361	300	(62)
Wheeling	614	614	0
Operations & Maintenance	555	605	50
Depreciation & Amortization	232	229	(3)
	2,757	**2,582**	**(175)**
Operating Income	**418**	**342**	**76**
Nonoperating Revenues			
Investment Income	43	28	15
Other Income	73	75	(1)
	116	**102**	**14**
Nonoperating Expenses			
Contribution to New York State	90	90	-
Interest and Other Expenses	172	176	4
	262	**266**	**4**
Net Income	**$ 272**	**$ 178**	**$ 94**

Net income for the year ended December 31, 2014 was $272 compared to a budget of $178 resulting in a $94 favorable variance including higher operating income ($251) and higher investment income ($15), significantly offset by higher operating expenses ($175).

Operating income was higher than anticipated primarily due to higher prices on market-based sales of energy into the NYISO market and higher hydro production. Severe winter weather conditions caused a significant spike in market energy prices in early 2014. The impact of lower energy prices due to mild summer weather offset a portion of this positive variance. Operating expenses included higher purchased power and fuel costs, partially offset by lower operations and maintenance expenses. Higher purchased power costs were due primarily to higher prices . Fuel costs exceeded the budget as a result of higher prices and higher generation. Operations and maintenance expenses reflected lower than anticipated costs, due to the timing of Industrial Incentive awards and certain economic development fund and Solar and Energy Efficiency Market Acceleration program expenditures. Investment income included a lower than anticipated mark-to-market loss on the Authority's investment portfolio due to fluctuations in market interest rates.

Exhibit “A”
March 26, 2015

GUIDELINES

AND

PROCEDURES

FOR THE

DISPOSAL OF NEW YORK POWER AUTHORITY

REAL PROPERTY

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GUIDELINES AND PROCEDURES FOR THE DISPOSAL OF NEW YORK POWER AUTHORITY REAL PROPERTY

I. PURPOSE

The purpose of these Guidelines and Procedures for the Disposal of Real Property ("Guidelines"), which comply with Title 5-A, Article 9 of the Public Authorities Law, is to establish the procedures that detail the Authority's policy and instructions regarding the disposal of real property. In addition, the Guidelines designate a Contracting Officer who is responsible for the Authority's compliance with, and enforcement of, the Guidelines.

II. DEFINITIONS

2.1 "Contracting Officer" shall mean the officer or employee of the Authority who shall be appointed by resolution of the Authority's Trustees to be responsible for enforcement of the Guidelines for the Disposal of Real Property. The "Contracting Officer" is hereby designated to be the Vice President – Procurement, or equivalent(s) or designee.

2.2 "Dispose" or "Disposal" shall mean transfer of title or any other beneficial interest in real property in accordance with these Guidelines. Disposal does not include a release of an easement, Permit or Transfer of Jurisdiction.

2.3 "Fair Market Value" shall mean the estimated dollar amount that a willing buyer would pay to a willing seller for the Real Property in an arms-length transaction in the appropriate marketplace and under similar circumstances.

2.4 "Permits" shall mean permits issued by the Authority that grant revocable privileges to use or access real property under the jurisdiction of the Authority. Permits may be issued where the real property is not presently required for Authority purposes, but held for future use in carrying out its corporate purposes. Permits do not transfer a beneficial interest in real property. Permits are revocable, in part to assure availability upon demand of the real property for Authority purposes.

2.5 "Real Property" shall mean real property, including land, tenements and hereditaments owned by the Authority, and any other interest in such real property, to the extent that such interest may be conveyed to another person or entity for any purpose, excluding an interest securing a loan or other financial obligation of another party.

2.6 "Relative" shall mean any person living in the same household as the Authority employee or any person who is a direct descendant of the Authority employee's grandparents or the spouse of such descendant.

2.7 "Transfers of Jurisdiction" shall mean transactions pursuant to Public Lands Law §3(4) and which are between the Authority and other State governmental entities where jurisdiction over Real Property is transferred and reassigned on such terms and conditions as the Authority and the New York State Office of General Services deem proper, but the title to the Real Property remains in the name of the People of the State of New York.

III. COMPLIANCE OVERVIEW

The Public Authorities Accountability Act ("PAAA") requires the Authority to establish policy guidelines to accomplish the following:

3.1 Maintain inventory controls and accountability systems for all Real Property under the Authority's control.

3.2 Periodically inventory Authority Real Property to determine which Real Property shall be disposed of.

3.3 Dispose of Authority Real Property interests in accordance with the PAAA.

3.4 Prepare annual reports of Real Property Disposal transactions.

IV. DUTIES OF THE DIRECTOR OF REAL ESTATE

4.1 The Director of Real Estate or equivalent(s) or designee shall maintain adequate inventory controls and accountability systems for all Real Property under the Authority's control.

4.2 The Director of Real Estate or equivalent(s) or designee shall periodically inventory Authority Real Property to determine which Authority Real Property shall be Disposed of and shall prepare a report identifying such Real Property for Disposal.

4.3 The Director of Real Estate or equivalent(s) or designee shall produce for publishing written reports of such Real Property as set forth in Article VI of these Guidelines.

4.4 The Director of Real Estate or equivalent(s) or designee shall arrange for the Disposal of any Real Property identified for Disposal by the Authority in accordance with these Guidelines and the Authority's Expenditure Authorization Procedures and as soon as reasonably practical under the circumstances.

V. PROCEDURES FOR THE DISPOSITION OF AUTHORITY REAL PROPERTY

5.1 The Authority may Dispose of Real Property for not less than the Fair Market Value of such Real Property by sale, exchange, or transfer, for cash, credit or other property, without warranty, and upon such other terms and conditions as the Contracting Officer deems proper under the provisions of the PAAA and as implemented by these Guidelines. Fair Market Value of the Authority Real Property subject to Disposal shall be established by independent appraisal as appropriate and consistent with the intent of the PAAA. Such appraisal documents shall be included in the record of the Real Property Disposal transaction.

5.2 Except as set forth in Section 5.3 of the Guidelines, any Disposal of Real Property shall only be made after publicly advertising for bids in accordance with the following:

5.2.1 the advertisement for bids shall be made at such time prior to the Disposal or contract, through such methods, and on such terms and conditions as shall permit full and free competition consistent with the value and nature of the Real Property;

5.2.2 all bids shall be publicly disclosed at the time and place stated in the advertisement; and

5.2.3 the award shall be made with reasonable promptness by notice to the responsible bidder whose bid, conforming to the invitation for bids, will be most advantageous to the Authority, price and other factors considered; provided, that all bids may be rejected when it is in the public interest to do so.

5.3.a The Disposal of Authority Real Property may be negotiated or made by public auction without regard to Section 5.2 but subject to obtaining such competition as is feasible under the circumstances, if:

1. the Fair Market Value of the Real Property does not exceed fifteen thousand dollars ($15,000.00); or

2. bid prices after advertising therefore are not reasonable, either as to all or some part of the Real Property, or have not been independently arrived at in open competition; or

3. the Disposal will be to the state or any political subdivision, and the estimated Fair Market Value of the Real Property and other satisfactory terms of Disposal are obtained by negotiation; or

4. under those circumstances permitted by Section 5.3; or

5. such action is otherwise authorized by law.

5.3.b.1 No Real Property owned, leased or otherwise in the control of the Authority may be sold, leased, or otherwise alienated for less than its Fair Market Value except if:

a. the transferee is a government or other public entity, and the terms and conditions of the transfer require that the ownership and use of the Real Property will remain with the government or any other public entity;

b. the purpose of the transfer is within the purpose, mission or governing statute of the Authority; or

c. in the event the Authority seeks to transfer Real Property for less than its Fair Market Value to other than a governmental entity, which Disposal would not be consistent with the Authority's mission, purpose or governing statutes, the Authority shall provide written notification thereof to the Governor, the Speaker of the Assembly, and the Temporary President of the Senate, and such proposed transfer shall be subject to denial by the Governor, the Senate, or the Assembly. Denial by the Governor shall take the form of a signed certification by the Governor. Denial by either House of the Legislature shall take the form of a resolution by such House. The Governor and each House of the Legislature shall take any such action within sixty days of receiving notification of such proposed transfer during the months of January through June, provided that if the Legislature receives notification of a proposed transfer during the months of July through December, the Legislature may take any such action within sixty days of January first of the following year. If no such resolution or certification is performed within sixty days of such notification of the proposed transfer to the Governor, Senate, and Assembly, the Authority may effectuate such transfer.

5.3.b.2. In the event a below Fair Market Value Real Property transfer is proposed, the following information must be provided to the Authority’s Board of Trustees and the public:

a. a full description of the Real Property;

b. an appraisal of the Fair Market Value of the Real Property and any other information establishing the Fair Market Value sought by the Authority's Board of Trustees;

c. a description of the purpose of the transfer, and a reasonable statement of the kind and amount of the benefit to the public resulting from the transfer, including but not limited to the kind, number, location, wages or salaries of jobs created or preserved as required by the transfer, the benefits, if any, to the communities in which the Real Property is situated as are required by the transfer;

d. a statement of the value to be received compared to the Fair Market Value;

e. the names of any private parties participating in the transfer, and if different than the statement required by subparagraph (iv) of this paragraph, a statement of the value to the private party; and

f. the names of other private parties who have made an offer for such Real Property, the value offered, and the purpose for which the Real Property was sought to be used.

5.3.b.3. Before approving the Disposal of any Real Property for less than Fair Market Value, the Authority's Board of Trustees shall consider the information described in Paragraph 5.3.b.2 and make a written determination that there is no reasonable alternative to the proposed below-market transfer that would achieve the same purpose of such transfer.

5.4 An explanatory statement detailing the Disposal by negotiation of Authority Real Property subject to the PAAA as set forth in Section 5.3 shall be made for any Disposal of:

5.4.1 Real Property with a Fair Market Value in excess of one hundred thousand dollars ($100,000.00) except that Real Property Disposed of by lease or exchange shall only be subject to 5.4.2 of this Section 5.4;

5.4.2 Real Property Disposed of by lease if the fair annual rent over the term of the lease is in excess of fifteen thousand dollars ($15,000.00); and

5.4.3 Any Real Property or real and related personal property Disposed of by exchange, regardless of value, or any property any part of the consideration is for Real Property:

5.5 Each explanatory statement prepared in accordance with Section 5.4 above shall be transmitted to the State Comptroller, the Director of the Division of the Budget, the Commissioner of General Services, the State Legislature and the State Authorities Budget Office not less than 90 days in advance of such Disposal, and a copy shall be kept by the Authority.

5.6 In the Authority's discretion, when it shall be deemed advantageous to the Authority and the State, the Authority may enter into an agreement with the Office of the Commissioner of General Services (“OGS”) under which OGS may Dispose of the Authority’s Real Property under terms and conditions agreed to by the Authority and the OGS. In Disposing of any such Real Property of the Authority, the OGS shall be bound by the relevant provisions of the PAAA.

5.7 The Director of Real Estate or equivalent(s) or designee shall provide all relevant documentation to the Environmental Division for the purposes of determining, if applicable, whether the Disposal of Real Property is in compliance with the State Environmental Quality Review Act, and for whether it adheres to the American Society of Testing and Material’s guidelines for Environmental Site Assessments, if applicable.

5.8 No Authority employee who is involved in the award of Authority grants or contracts, may ask any purchaser(s), grantor(s), lessor(s) or officer(s), director(s) or employee(s) of such current or prospective purchaser(s), contractor(s) or grantee(s) to reveal: (a) the political party affiliation of the individual; (b) whether the individual or entity has made campaign contributions to any political party,

elected official, or candidate for elective office; or (c) whether the individual voted for or against any political party, elected official or candidate for elective office.

5.9 No Authority employee may award or decline to award any grant or contract, or recommend, promise or threaten to do so because of a current or prospective grantee's or contractor's: (a) refusal to answer any inquiry prohibited by Section 5.8 above or (b) giving or withholding or neglecting to make any contribution of money, service or any other valuable thing for any political purpose.

5.10 No Authority employee may take part in any contracting decision involving the payment of more than $1,000: (i) to a Relative; or (ii) to any entity in which a Relative owns or controls 10% or more of the stock of such entity (or 1% in the case of a corporation whose stock is regularly traded on an established securities exchange); or serves as an officer, director or partner of that entity. If such situation arises, the employee must advise his or her supervisor of the relationship, and must be recused from any and all discussions or decisions relating to the matter.

VI. AUTHORITY REAL PROPERTY REPORTS

6.1 The Director of Real Estate or equivalent(s) or designee shall publish the following reports in accordance with these Guidelines:

6.1.1 Pursuant to Section 2800 of the Public Authorities Law, the Director of Real Estate shall furnish a report for incorporation in the Authority's annual report which is distributed to the Governor, the Chairman and Ranking Minority Member of the Senate Finance Committee, the Chairman and Ranking Minority Member of the Assembly Ways and Means Committee, the

State Comptroller and the State Authorities Budget Office. This report shall include (a) a listing of all Real Property having an estimated Fair Market Value greater than fifteen thousand dollars ($15,000.00) that the Authority Disposed of during such reporting period and the name of the purchaser of the Real Property and the price paid by the purchaser for the Real Property, and (b) a description of the total amounts of Real Property sold without competitive bidding, including (i) the nature of the Real Property, (ii) the names of the counterparties, and (iii) where the price for the Real Property sold is less than Fair Market Value, a detailed explanation of the justification for making the sale without competitive bidding, and a certification by the Authority's Chief Executive Officer and Chief Financial Officer that they have reviewed the terms of such sale and determined that it complies with applicable law.

6.1.2 Pursuant to Public Authorities Law § 2896(3)(a), the Director of Real Estate or equivalent(s) or designee shall prepare for distribution to the State Comptroller, the Director of the Division of the Budget, the Commissioner of General Services, the State Legislature and the State Authorities Budget Office, an annual report listing all real property of the Authority, which report shall include a list and description of all Real Property Disposed of during the fiscal reporting period. Regarding Disposals, this annual report shall include the price received by the Authority and the name of the purchaser of the Real Property.

6.2 The Authority may be called upon periodically to submit information regarding the Disposal of Real Property to organizations implementing the PAAA or other statutes regulating the Disposal of Real Property.

6.3 The Authority's Governance Committee meets at least three times per year and staff from Business Services – Real Estate or the equivalent(s) may prepare and present ongoing reports regarding the Disposal of Real Property.

VII. APPROVAL OF GUIDELINES BY THE AUTHORITY'S BOARD

7.1 The Guidelines shall be annually reviewed and approved by the Authority's Trustees. On or before the thirty-first day of March in each year, the Authority shall file with the State Comptroller a copy of the most recently reviewed and approved Guidelines, including the name of the Authority's designated

Contracting Officer. At the time of filing such Guidelines with the State Comptroller, the Authority shall also post such Guidelines on its internet website.

Exhibit “B”
March 26, 2015

GUIDELINES

AND

PROCEDURES

FOR THE

ACQUISITION OF REAL PROPERTY

BY THE NEW YORK POWER AUTHORITY

Exhibit “B”
March 26, 2015

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GUIDELINES AND PROCEDURES FOR THE ACQUISITION OF REAL PROPERTY BY THE NEW YORK POWER AUTHORITY

I. PURPOSE

1.1 These Guidelines and Procedures for the Acquisition of Real Property (“Guidelines”), which comply with Title 2, Article 9 of the Public Authorities Law, establish the procedures that detail the Authority’s policy and instructions regarding the acquisition of real property. In addition, the Guidelines designate a contracting officer who is responsible for the Authority’s compliance with, and enforcement of, the Guidelines.

II. DEFINITIONS

2.1 “Contracting Officer” shall mean the officer or employee of the Authority who shall be responsible for enforcement of the Guidelines for the acquisition of real property. The “Contracting Officer” is hereby designated to be the Vice President - Procurement, or the equivalent(s), or designee.

2.2 “Acquisition” or “Acquire” shall mean to obtain title to or any other beneficial interest in real property in accordance with applicable statutes and these Guidelines.

2.3 “Fair Market Value” shall mean the estimated dollar amount that a willing buyer would pay to a willing seller for the Real Property in an arms-length transaction in

the appropriate marketplace and under similar circumstances.

2.4 “Real Property” shall mean real property, including land, tenements and hereditaments owned by the Authority, and any other interest in such real property, to the extent that such interest may be conveyed to another person or entity for any purpose, excluding an interest securing a loan or other financial obligation of another party.

2.5 “Relative” is any person living in the same household as the Authority employee or any person who is a direct descendant of the Authority employee’s grandparents or the spouse of such descendant, as referred to in Article 5 of these Guidelines.

III. COMPLIANCE OVERVIEW

3.1 These Guidelines are being adopted consistent with the Public Authorities Accountability Act (“PAAA”).

3.2. The Authority may Acquire Real Property through purchase, eminent domain, state transfers of jurisdiction, lease and by other legal means.

3.3 The Authority’s New York statutory authority for land acquisition includes, without limitation, the Public Authorities Law, the Real Property Law, the Public Lands Law, the Eminent Domain Procedure Law and the Highway Law, as amended.

IV. DUTIES OF THE DIRECTOR OF REAL ESTATE

4.1 The Director of Real Estate or the equivalent(s) or designee will maintain adequate inventory controls and accountability systems for all Real Property under the Authority’s control.

4.2 Real Property to be Acquired by the Authority will be in support of existing operating and transmission facilities or in support of new initiatives being pursued by the Authority. The Director of Real Estate or the equivalent(s) or designee will, in consultation with the other appropriate Authority staff (by oral or written communication), determine what Lands are necessary or convenient for Acquisition by the Authority.

4.3 The compensation for and the procedure for such Acquisition must be consistent with these Guidelines and the Authority’s Real Estate Expenditure Authorization Procedures as amended.

4.4 The Director of Real Estate or the equivalent(s) or designee will arrange for the transfer or Acquisition of any Real Property identified for Acquisition by the Authority in accordance with these Guidelines and the Authority’s Real Estate Expenditure Authorization Procedures and as soon as reasonably practical under the circumstances.

4.5 The Director of Real Estate or the equivalent(s) or designee will provide all relevant

documentation to the Authority’s Environmental Division to determine whether the Acquisition of Real Property is in compliance with the State Environmental Quality Review Act, and whether it adheres to the American Society of Testing and Material’s Guidelines for Environmental Site Assessments, if applicable.

V. ETHICAL CONSIDERATIONS

5.1 No Authority employee who is involved in the Acquisition of Real Property, may ask any purchaser, grantor, lessor or officers, directors or employees of such current or prospective purchaser, grantor or lessor to reveal: (a) the political party affiliation of the individual; (b) whether the individual or entity has made campaign contributions to any political party, elected official, or candidate for elective office; or (c) whether the individual voted for or against any political party, elected official or candidate for elective office.

5.2 No Authority employee may take part in any Acquisition decision involving the payment of more than $1,000: (i) to a Relative; or (ii) to any entity in which a Relative owns or controls 10% or more of the stock of such entity (or 1% in the case of a corporation whose stock is regularly traded on an established securities exchange); or serves as an officer, director or partner of that entity. If such situation arises, the employee must advise his or her supervisor of the relationship, and must be recused from any and all discussions or decisions relating to the matter.

VI. ACQUISITION REPORTS BY THE AUTHORITY

6.1 The Director of Real Estate or equivalent(s) or designee shall publish the following reports in accordance with these Guidelines:

6.1.1 Pursuant to Section 2800 of the Public Authorities Law, the Director of Real Estate shall furnish a report for incorporation in the Authority's annual report which is distributed to the Governor, the Chairman and Ranking Minority Member of the Senate Finance Committee, the Chairman and Ranking Minority Member of the Assembly Ways and Means Committee, the State Comptroller and the State Authorities Budget Office. This report shall include (a) a listing of all Real Property having an estimated Fair Market Value greater than fifteen thousand dollars ($15,000.00) that the Authority Acquired during such reporting period and the name of the seller of the Real Property and the price paid by the Authority for the Real Property, and (b) a description of the total amounts of Real Property purchased without competitive bidding, including (i) the nature of the Real Property, (ii) the names of the counterparties, and (iii) where the price for the Real Property purchased exceeds Fair Market Value, a detailed explanation of the justification for making the purchase without competitive bidding, and a certification by the Authority's Chief Executive Officer and Chief Financial Officer that they have reviewed the terms of such purchase and determined that it complies with applicable law.

6.1.2 The Authority may be called upon periodically to submit information regarding the Acquisition of Real Property to organizations implementing the PAAA or other statutes regulating the Acquisition of Real Property, such as the Authority Budget Office through the Public Authorities Reporting System ("PARIS").

6.1.3 The Authority's Governance Committee meets at least three times per year and staff from Business Services – Real Estate or the equivalent may, upon request, prepare and present ongoing reports regarding the Acquisition of Real Property.

Exhibit "C"
March 26, 2015

POWER AUTHORITY OF THE STATE OF NEW YORK
2014 ANNUAL REPORT OF DISPOSAL (CONVEYANCE) OF REAL PROPERTY OVER $15,000 IN VALUE

Purchaser's Name	Price Received by the Authority	Parcel Acres	Transfer Date
County of Franklin (Property in Ellenburg, NY)	$1.00 (payment waived)	3.91	3/21/14
Village of Waddington (Property near State Rt. 1125)	$1.00 (payment waived)	2.255	9/4/14

Fee interest conveyed unless otherwise noted.

Exhibit "D"
March 26, 2015

POWER AUTHORITY OF THE STATE OF NEW YORK
2014 ANNUAL REPORT OF ACQUISITIONS OF REAL PROPERTY OVER $15,000 IN VALUE

Seller's Name	Price Paid by the Authority	Acres	Transfer Date
None			

POWER AUTHORITY OF THE STATE OF NEW YORK **Exhibit "E"**

2014 Report of all Real Property Disposals pursuant to Section 2896 of the Public Authorities Law **March 26, 2015**

Nature of the asset/Interest Disposed of	Description	Acres/square feet	Purchaser/Lessee	Price Received by Authority
Lease	Office Space at 123 Main Street, White Plains NY, 61 month term	2441 square feet	Hodogaya Chemical Corp.	$60,414.75 (initial annual rent)
Lease	Office Space at 123 Main Street, White Plains NY, 61 month term	7814 square feet	Berman, Frucco, Gouz, Mitchel & Schub, PC	$187,536 (Initial annual rent)
Transfer of fee interest	Conveyance of unimproved land in the Town of Waddington, NY	0.52 acres	Steven Larue	$1,560.00
Transfer of fee interest	Conveyance of unimproved land in the Town of Waddington, NY	0.22 acres	Richard and Elizabeth Henderson	$550.00
Transfer of fee interest	Conveyance of unimproved land in the Town of Waddington, NY	0.44 acres	Timothy T. Brady	$150.00
Transfer of fee interest	Conveyance of unimproved land in the Town of Waddington, NY	0.75 acres	Timothy T. Brady	$200.00
Transfer of fee interest	Conveyance of unimproved land in the Town of Waddington, NY	0.68 acres	Timothy T. Brady	$300.00
Transfer of fee interest	Conveyance of unimproved land in the Village and Town of Waddington, NY	0.412 acres	Village and Town of Waddington	$1.00
Transfer of fee interest	Conveyance of unimproved land in the Town of Waddington, NY	0.19 acres	Gregory K. Hollis	$300.00
Transfer of fee interest	Conveyance of unimproved land in the Town of Waddington, NY	0.47 acres	Edward and Janice Albert	$1,410.00
Transfer of fee interest	Conveyance of unimproved land in the Village and Town of Waddington, NY	2.255 acres	Village and Town of Waddington	$1.00
Transfer of fee interest	Conveyance of unimproved land in the Town of Louisville, NY	0.18 acres	Dominick Violi	$2,700.00
Transfer of fee interest	Conveyance of Land Improved by a Communications Tower in Ellenburg, NY	3.91 acres	County of Franklin	$1.00

Exhibit "F"
March 26, 2015

POWER AUTHORITY OF THE STATE OF NEW YORK
OVERVIEW OF REAL PROPERTY HOLDINGS
PURSUANT TO SECTION 2896 OF THE PUBLIC AUTHORITIES LAW

NYPA holds lands in support of its electric generating and transmission activities. Some of these lands are designated for recreational use by various licenses and are, in some cases, under the jurisdiction of other state or local municipal entities. However, the Authority has ultimate responsibility for all of its land holdings and with regard to recreational improvements located at its project facilities, must comply with its Federal Energy Regulatory Commission licenses.

NYPA's Real Estate Division maintains an inventory of NYPA's real property. Historically, this inventory consisted of maps, deeds, leases, related hard copy documents, and conventional tabular databases. Since 1998, Real Estate has been incorporating these records into NYPA's Geographic Information System (GIS). The GIS contains the same kinds of tabular information as its antecedents, such as parcel size, title information, permits, etc., but has the additional capability of associating this information with digital maps and aerial photos, thus enabling more efficient management of the Authority's land holdings. The real property data in the GIS is integrated with data from other NYPA divisions (Environmental, Transmission, Engineering, Operations, etc.) so as to provide a common corporate land and infrastructure database.

The tabulation below is an inventory of NYPA's real property interests.

Exhibit "F"
March 26, 2015

NYPA REAL PROPERTY INTERESTS
as of December 31, 2014
Generating Facilities and Administrative Centers

Project	Total Acres	Fee-Owned Acres	Permanent Easements	Surplus Acres	Leased Acres
Ashokan	16		16		
Blenheim-Gilboa	3,046	3,026	21		
Crescent	2,249		2,249		
Flynn	20	16	4		
Jarvis (Hinckley)	4,050	38	4,012		
Kensico	3		3		
In-City Generation (7 sites)	18	16	2		
Niagara	3,827[1]	3,512	312	136[2]	0
Poletti	54	47	7		
Prattsville	117	117		117	
St. Lawrence/F.D.R.	34,198[3]	33,629	569	67	
Vischer Ferry	1,337		1,337		
White Plains Office	2	2			
TOTAL	**48,937**	**40,403**	**8,532**	**320**	**0**

[1] This total includes 121 acres of land along the upper Niagara River held by the Authority pursuant to section 1008 of the Power Authority Act.
[2] This total includes 64 acres in several parcels to be conveyed to various parties pursuant to the Host Community Agreement; 52 acres to be conveyed to the Tuscarora nation pursuant to settlement with same and 20 acres to be conveyed to Niagara University, pursuant to the Good Neighbor Agreement.
[3] This total includes approximately 8,500 acres within and immediately adjacent to the St. Lawrence River held by the Authority pursuant to section 1008 of the Power Authority Act. Approximately 12,000 acres of the 34,198 acre total is land above the mean summer operating level of the reservoir.

TRANSMISSION LINES & SUBSTATIONS[4]

Transmission Line	Voltage (kV)	ROW Segment or Substation	ROW Length in Miles	Fee Acres	Permanent Easement Acres
STL Joint Corridor[5]	Various	JN	2		149
Seaway	13.8	SW	7		30
Moses-Adirondack	230	MA	81		2,511
Moses-Adirondack Third Circuit[6]	None	MATC	81		507
Moses-Willis-Plattsburgh	230				
		MW	35		977
		Willis Substation		10	
		WR	7		174
		Ryan Substation		8	
		RPN	2		55
		Patnode Substation		4	4
		PND	16		440
		Duley Substation		4	
		Big Hill Comm. Site		4	4
		DP	9		251
		Plattsburgh Substation		24	13
Plattsburgh-Saranac	115	PS	8		91
Plattsburgh-Vermont	115	PV	9		83
		PV-20 Transition Station		1	
Massena-Moses/STL	230	MS	6		95
		Massena Substation		109	
Moses/STL-Reynolds	115	MG	6		113

[4] Transmission ROW length does not correspond with circuit length; double circuits within a single ROW are disregarded when calculating ROW length. Transmission facilities within NYPA generating sites do not require additional land rights since NYPA holds fee title; therefore transmission lines or portions thereof situate within said sites are not tabulated herein.

[5] STL Joint Corridor consists of portions of the Seaway, Massena-Moses/STL, Moses-Willis, Alcoa, Moses/STL-Reynolds, and Moses-Adirondack transmission lines. This corridor is managed as a common ROW.

[6] The Moses Adirondack Third Circuit ROW was never built subsequent to acquisition of the ROW. Most of this ROW parallels the ROWs of the Massena-Marcy and Moses-Adirondack transmission lines and is managed as a portion of those facilities.

Transmission Line	Voltage (kV)	ROW Segment or Substation	ROW Length in Miles	Fee Acres	Permanent Easement Acres
Reynolds-General Motors	115	GM	1		7
Massena-Quebec	765	MC	21		623
Massena-Marcy	765				
		UN[7]	82	19	2,349
		US[7]	52	20	1,533
		Clark Energy Center		276	
Marcy-Edic	345	UE	2		48
Fitzpatrick-Edic	345	FE	70		1,274
Fitzpatrick-Scriba	345	FS	1		17
Niagara-Adirondack Tie Line	345				
		NR	69	55	2,535
		RP	16	7	609
		PC	63	8	2,342
		CE	50	19	1,824
Marcy-South	345				
		EF[8]	55	9	1,064
		ES[8]	21		421
		FC	59	10	1,391
		CC	50	22	991
		RF	7		190
Gilboa-New Scotland	345	GN	31		1,503
Gilboa-Leeds	345	GL	36		1,104
Gilboa-Fraser	345	GF	32		1,476
Sound Cable Project	345	SC	27	5	21
Poletti Generator Leads	345	PG	7		9
Ashokan	13.2	AS	2		9
TOTALS			**1,023**	**614**	**26,837[9]**

[7] Generally, transmission line ROW segments run from substation to substation. In the case of Massena-Marcy, the ROW segment is further subdivided into UN and US segments to reflect the division of RE and Transmission maintenance responsibility in the vicinity of National Grid's Adirondack Substation.
[8] Generally, transmission line ROW segments run from substation to substation. In the case of Marcy-South Edic Fraser (EF), the ROW segment is further subdivided into EF and ES segments to reflect the division of RE and Transmission maintenance responsibility in the vicinity of NYS Route 23 in Otsego County.
[9] All acreages listed above are approximate.

Exhibit “A”
March 26, 2015

GUIDELINES

AND

PROCEDURES

FOR THE

DISPOSAL OF NEW YORK POWER AUTHORITY

PERSONAL PROPERTY

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GUIDELINES AND PROCEDURES FOR THE DISPOSAL OF NEW YORK POWER AUTHORITY PERSONAL PROPERTY

I. PURPOSE

These Guidelines and Procedures for the Disposal of Personal Property (hereinafter "Guidelines"), which comply with Title 5-A, Article 9 of the Public Authorities Law, establish the procedures that detail the Authority's policy and instructions regarding the use, award, monitoring and reporting of the disposal of personal property. In addition, the Guidelines designate a Contracting Officer who is responsible for the Authority's compliance with, and enforcement of, the Guidelines.

II. DEFINITIONS

A. "Contracting Officer" shall mean the officer or employee of the Authority who shall be appointed by resolution of the Authority's Board of Trustees to be responsible for the disposition of personal property. The "Contracting Officer" is hereby designated to be the Vice President – Procurement, or equivalent(s). The Authority's Contracting Officer shall not be responsible for compliance for dispositions of the Authority's personal property conducted by another state entity authorized to dispose of the Authority's personal property under the Public Authorities Accountability Act ("PAAA") or as otherwise authorized by law.

B. "Dispose" or "disposal" shall mean transfer of title or any other beneficial interest in personal property in accordance with these Guidelines.

C. "Property" shall mean personal property owned by the Authority with a value in excess of $5,000, and any other interest in such property, to the extent that such interest may be conveyed to another person for any purpose, excluding an interest securing a loan or other financial obligation of another party. For the purposes of these Guidelines, personal property may include, but is not limited to, materials, tools, equipment, or vehicles.

D. "Fair Market Value" shall mean the estimated dollar amount that a willing buyer would pay to a willing seller for the Property in an arms-length transaction in the appropriate marketplace and under similar circumstances. Fair Market Value may be determined by consulting industry-recognized sources, contacting original suppliers, depreciation analysis, appraisals, fair market valuations by public auction or other methods of valuation generally accepted in the industry in which such Property is utilized, as may be approved by the Contracting Officer or authorized designee.

E. "Relative" is defined in Subsection X.G.1 of these Guidelines.

III. OBJECTIVE

The objective of these Guidelines is to identify those Authority personnel responsible for authorizing the disposal of Property owned by the Authority and to ensure that the Authority receives fair and reasonable value for such Property. The transfer or sale of Property shall be accounted for in accordance with the Authority's Corporate Accounting Policy No. CAP 4.3 dated 7/27/11, Revision 3 -- "Accounting for Materials and Supplies Inventory," and any revisions thereto.

IV. TRANSACTIONS NOT COVERED

These Guidelines do not apply to any of the following transactions:

1. Disposal of real property interests;
2. Exchange of Property with other utilities or power plant owners, where such owners will provide an identical or in-kind replacement;
3. Transfer/re-deployment of Property from one Authority facility to another Authority facility.

V. DESIGNATION OF PROPERTY DISPOSAL COORDINATORS

A. The Contracting Officer shall be responsible for the Authority's compliance with, and enforcement of, the Guidelines.

B. The individual responsible for disposal of all Property at the Authority's facilities will be the Director – Site Purchasing & Materials Management ("DSP&MM"). For purposes of Property disposal, the DSP&MM reports directly to the Contracting Officer or authorized designee.

C. The Manager - Purchasing/Warehouse from each facility will be the local Property Disposal Coordinator for his or her facility or location ("Facility PDC"). The Facility PDC reports to the DSP&MM.

D. The Contracting Officer or authorized designee will designate one or more individuals from the White Plains Office Procurement Division to be the Property Disposal Coordinator(s) for the White Plains, Albany and New York corporate offices ("WPO PDC"). For purposes of Property disposal, the WPO

PDC(s) will confer, interface with and report to the Contracting Officer or authorized designee.

E. The Director of Fleet Operations (“DFO”) or equivalent(s) or authorized designee is responsible for the disposal of vehicles and rolling equipment. The DFO reports directly to the Senior Vice President – Enterprise Shared Services or equivalent, or authorized designee.

F. If appropriate, the responsible Facility PDC should confer (by oral or written communication) with the DSP&MM and the WPO PDC(s) should confer (by oral or written communication) with the Contracting Officer or authorized designee to determine if a "centralized" sale of Property, as outlined in Article VII, is appropriate. If agreed, the responsible PDC should arrange for shipment of the Property to be sold from the site to the sale location. If a centralized sale is not appropriate, the responsible PDC should proceed in accordance with the "decentralized" procedures, as outlined in Article VIII.

VI. PROCEDURES FOR DISPOSAL OF AUTHORITY PERSONAL PROPERTY

Except for Disposals pursuant to Article VII, Article XII and Subsection VI.A.4 of these Guidelines, whenever practicable, the responsible PDC shall solicit proposals from qualified bidders, to purchase the Property to be disposed of, and will maintain records of such solicitations. The PDC should use “Attachment A” attached hereto or an appropriate substitute for solicitations under this Article VI.

A. **DISPOSAL METHODS FOR PERSONAL PROPERTY**

1. For the purposes of these Guidelines, Disposal methods may include, but are not limited to: sale (directly to the Buyer, through a third party, negotiation, well-advertised public auction that permits full and free competition consistent with the value and nature of the property or on any other centralized basis that achieves the same level of competition); return to the original equipment manufacturer or to the source; trade-ins or disposals as part of a competitive procurement; or disposal through the New York State Office of General Services ("OGS"). Provided, however, that no disposition of any Property, which because of its unique nature or the unique circumstances of the proposed transaction is not readily valued by reference to an active market for similar Property, shall be made unless an appraisal of the value of such Property has been made by an independent appraiser and included in the record of the transaction. The Authority's Environment, Health and Safety Division should be consulted, on a case-by-case basis, regarding disposal of items that may be considered hazardous waste.

2. Solicitation via telephone, e-mail and/or direct mailings may be used where the estimated Fair Market Value of the Property to be disposed of is greater than $5,000 but does not exceed $15,000. All bids must be submitted in writing on the forms or an appropriate substitute and in the manner prescribed by this procedure and by the date and time (the "Bid

Due Date") included in the solicitation.

3. All Disposals of Authority Property in excess of $15,000 shall be made after publicly advertising for bids except as provided in Subsection 4 below, using the following process:

   a. the appropriate advertisement for bids (Attachment "B" or an appropriate substitute) shall be made at such time prior to the Disposal, through such methods, and on such terms and conditions as shall permit full and free competition consistent with the value and nature of the Property. This may include advertisements in one or more of the following publications, depending on the nature of the Property: local newspapers in the geographic area of the facility where the sale is taking place, trade journals, regional or nationwide publications (if the market for such sale is regional or nationwide), the New York State Contract Reporter, internet services or other communication outlets as appropriate;

   b. all bids must be submitted in writing on the forms or an appropriate substitute and in the manner prescribed by this procedure and by the Bid Due Date included in the solicitation;

   c. all bids shall be publicly disclosed, at the time and place stated in the solicitation or by posting to the Authority's internet website; and

   d. the award shall be made with reasonable promptness by notice to the responsible bidder whose bid, conforms to the bid solicitation and is most advantageous to the Authority, price and other factors

considered; however, any bid may be rejected when in the public interest to do so at the Authority's sole discretion.

4. Disposals of Property may be negotiated or made by public auction without regard to Subsection 3 of this Section, but subject to obtaining such competition as is feasible under the circumstances, if:

   a. the Property involved has qualities separate from the utilitarian purpose of such Property, such as artistic quality, antiquity, historical significance, rarity or other quality of similar effect that would tend to increase its value, or if the Property is to be disposed of in such quantity that, if it were Disposed of under Subsection 3 of this Section, would adversely affect the state or local market for such Property, and the estimated Fair Market Value of such Property and other satisfactory terms of disposal may be obtained by negotiation;

   b. bid prices after advertising therefore are not reasonable, either as to all or part of the Property, or have not been independently arrived at in open competition;

   c. the Disposal will be to the state or any political subdivision, and the estimated Fair Market Value of the Property and other satisfactory terms of Disposal are obtained by negotiation;

   d. under those circumstances permitted by Subsection 6 of this Section;

   e. if the estimated or actual fair market value of the property does not exceed $15,000; or

   f. such action is otherwise authorized by law.

5. An explanatory statement shall be prepared of the circumstances of each Disposal by negotiation of any Property which has an estimated Fair Market Value in excess of fifteen thousand dollars ($15,000). In addition, an explanatory statement shall be prepared of the circumstances of each Disposal by negotiation of any Property disposed of by exchange, regardless of value. Each such statement shall be transmitted to the Commissioner of General Services, the State Legislature, the State Comptroller, the Director of the Division of the Budget and the Authorities Budget Office, not less than ninety days in advance of such Disposal, and a copy thereof shall be preserved in the Authority's files.

6. a. No Property owned or otherwise in the control of the Authority may be disposed or otherwise alienated for less than its Fair Market Value except if:

   (i) the transferee is a government or other public entity, and the terms and conditions of the transfer require that the ownership and use of the Property will remain with the government or any other public entity;

   (ii) the purpose of the transfer is within the purpose, mission or governing statute of the Authority; or

   (iii) in the event the Authority seeks to transfer Property for less than its Fair Market Value to other than a governmental entity, which Disposal would not be consistent with the Authority's mission, purpose or governing statutes, the

Authority shall provide written notification thereof to the Governor, the Speaker of the Assembly, and the Temporary President of the Senate, and such proposed transfer shall be subject to denial by the Governor, the Senate or the Assembly. Denial by the Governor shall take the form of a signed certification by the Governor. Denial by either House of the Legislature shall take the form of a resolution by such House. The Governor and each House of the Legislature shall take any such action within sixty days of receiving notification of such proposed transfer during the months of January through June, provided that if the Legislature receives notification of a proposed transfer during the months of July through December, the Legislature may take any such action within sixty days of January first of the following year. If no such resolution or certification is performed within sixty days of such notification of the proposed transfer to the Governor, Senate and Assembly, the Authority may effectuate such transfer provided, however, that with respect to a below-market transfer by the Authority that is not within the purpose, mission or governing statute of the Authority, if the governing statute provides for the approval of such transfer by the executive and legislative branches of the political subdivision in which the Authority resides, and if the

transfer is of Property obtained by the Authority from that political subdivision, then such approval shall be sufficient to permit the transfer.

b. In the event a below Fair Market Value Property transfer is proposed, the following information must be provided to the Authority's Board of Trustees and the public:

(i) a full description of the Property;

(ii) an appraisal of the Fair Market Value of the Property and any other information establishing the Fair Market Value sought by the Board of Trustees;

(iii) a description of the purpose of the transfer and a reasonable statement of the kind and amount of the benefit to the public resulting from the transfer, including but not limited to the kind, number, location, wages or salaries of jobs created or preserved as required by the transfer, the benefits, if any, to the communities in which the Property is situated as are required by the transfer;

(iv) a statement of the value to be received compared to the Fair Market Value;

(v) the names of any private parties participating in the transfer and, if different than the statement required by subparagraph (iv) of this Subsection, a statement of the value to the private party; and

(vi) the names of other private parties who have made an offer for such Property, the value offered and the purpose for which the Property was sought to be used.

c. Before approving the Disposal of any Property for less than Fair Market Value, the Board of Trustees shall consider the information described in paragraph b of this Subsection and make a written determination that there is no reasonable alternative to the proposed below-market transfer that would achieve the same purpose of such transfer.

7. In cases where a Disposal of Property is made without competitive bidding and where the proposed contract price for the Property disposed of is less than Fair Market Value, a detailed explanation of the justification for making the Disposal without competitive bidding shall be prepared, and a certification shall be signed by the Chief Executive Officer and Chief Financial Officer of the Authority stating that they have reviewed the terms of such Disposal and have determined that it complies with applicable law and these Guidelines.

B. **DISPOSAL OPTIONS IF NO BIDS OR NO ACCEPTABLE BIDS ARE RECEIVED**

The appropriate PDC shall confer with the DSP&MM and the Contracting Officer or authorized designee to decide (i) if re-soliciting is feasible; (ii) if shipment to a third-party contractor for Disposal would result in higher-

priced proposals; (iii) if disposal by other methods would be appropriate; and/or (iv) if the Fair Market Value estimate requires review or adjustment, where:

1. the solicitation pursuant to Section VI.A does not produce any bids to purchase the Property;
2. in the opinion of the Authority, the bids are not arrived at independently;
3. all bids are not reasonable in accordance with Section X.C; or
4. all bids received are less than the Authority’s Fair Market Value estimate.

VII. CENTRALIZED DISPOSAL

A. Subject to the approval of the Contracting Officer or authorized designee and in accordance with Article VI of these Guidelines, in either the initial document authorizing the disposal or through a subsequent communication, Property may be disposed of using any of the following methods:

1. Shipment of the material to a third-party vendor(s), selected by competitive bidding, which, pursuant to these Guidelines, will market the material for sale or dispose of such material in accordance with environmental and any other Authority requirements.
2. Consolidation of such Property at one of the Authority's facilities or an offsite warehouse for the purpose of conducting a sale managed by Authority staff, possibly with the assistance of an outside contractor.

3. Participation in public auctions provided the advertisement for bids through such methods permits full and free competition consistent with the value and nature of the property, as may be conducted through an independent auctioneer, online auction service, or another utility.

VIII. DECENTRALIZED DISPOSAL

A. The Regional Manager, Project Manager, or head of a Department or Division requiring disposal of Property which he or she believes to be surplus, will submit to the responsible PDC a written description of the material, with the original price (if known), and estimate of the Property's Fair Market Value (if available). If practical, a photograph of the material or equipment in question should be provided. Such submission shall be made to the responsible PDC for the location where the Property is located.

B. If the responsible PDC, in conference with either the DSP&MM or the Contracting Officer or authorized designee, as appropriate, determines that other Authority facilities may have an interest in the Property, a notice should be sent to the other Authority facilities advising of its availability and requesting a response within a specified time frame. A record of the notice will be maintained by the responsible PDC. In the event that the responsible PDC and either the DSP&MM or the Contracting Officer or authorized designee, as appropriate, determine there is no interest in such material at other Authority facilities, a written explanation should be prepared by the PDC to that effect and maintained in the file for that transaction.

C. If no response to the notice is received, the responsible PDC will solicit bids for the purchase of such Property in accordance with the procedures described in Article VI.

IX. PARTIES PROHIBITED FROM BIDDING

A. All current and former employees of the Authority and relatives of such employees or third parties acting on behalf of such employees shall not be eligible to bid for the purchase of Authority Property and are prohibited from subsequently acquiring it in any manner. Each bidder will be required, as part of his or her bid, to certify, by signing Attachment “A,” that he or she is not a current or former employee of the Authority, is not related to any current or former employee of the Authority and is not acting on behalf of a current or former employee of the Authority or a relative of any such employee. No bid will be accepted unless accompanied by such certification.

B. The term "related to" as used in paragraph A above means the relationship of spouse, child, parent, sister, brother, grandparent, grandchild, aunt, uncle, cousin, niece, nephew, stepchild, stepparent, stepsister, stepbrother, mother-in-law, father-in-law, sister-in-law, brother-in-law, daughter-in-law or son-in-law.

X. EVALUATION OF PROPOSALS; AWARD OF CONTRACT

A. Following the receipt of proposals for the Property, the responsible PDC shall evaluate the proposals submitted and determine whether the highest of such proposals is reasonable, given the estimated Fair Market Value of the Property.

B. If the responsible PDC determines that the highest bid received is reasonable, the responsible PDC shall recommend to the Responsible Officer(s), as hereinafter defined in Article XI, that such bid be accepted, and upon the written approval of the Responsible Officer(s), the sale shall be made to the person offering such proposal. After obtaining all necessary approvals in accordance with Article XI "Authorization Levels," a Sales Agreement appended hereto ("Attachment C") must be executed by the responsible Authority staff member and by the successful bidder prior to completion of the transaction.

C. If either (a) the responsible PDC determines that the highest bid is not reasonable or (b) the Responsible Officer(s) decline(s) to authorize the sale, the Property will, except as provided in paragraph D below, be retained for future disposal in accordance with these Guidelines. Factors to be considered in determining whether a bid is reasonable include, but are not limited to: adequacy of the estimate of the Fair Market Value, anticipated improved future market conditions, potential for other means of disposal or redeployment, financial viability of the bidder, and condition of the Property.

D. Notwithstanding any determination by the responsible PDC, the Responsible Officer(s), with the review and approval of the Contracting Officer, may direct the sale of the Property to the person or firm submitting the highest bid.

E. No Authority employee who is involved in the award of Authority grants or contracts, may ask any officer, director or employee of such current or prospective contractor or grantee to reveal: (a) the political party affiliation of the individual; (b) whether the individual or entity has made campaign contributions to any political party, elected official, or candidate for elective office; or (c) whether the individual voted for or against any political party, elected official or candidate for elective office.

F. No Authority employee may award or decline to award any grant or contract, or recommend, promise or threaten to do so because of a current or prospective grantee's or contractor's: (a) refusal to answer any inquiry prohibited by Section E above or (b) giving or withholding or neglecting to make any contribution of money, service or any other valuable thing for any political purpose.

G. No Authority employee may take part in any contracting decision involving the payment of $1,000 or more: (i) to a Relative; or (ii) to any entity in which a Relative owns or controls 10% or more of the stock of such entity (or 1% in the case of a corporation whose stock is regularly traded on an established securities exchange); or serves as an officer, director or partner of that entity. If a contracting matter arises relating to this Section G, then the employee must advise his or her supervisor of the relationship, and must be recused from any and all discussions or decisions relating to the matter.

1. For purposes of this Section G, the term "Relative" shall mean any person living in the same household as the Authority employee or any person who is a direct descendant of the Authority employee's grandparents or the spouse of such descendant.

XI. AUTHORIZATION LEVELS AND SIGNING AUTHORITY

A. For the purposes of these Guidelines, the Responsible Officer(s) will in each case review the appropriateness of the Fair Market Value estimate and the recommendation for contract award for disposal of the Property. Responsible Officers are designated as follows:

1. The Board of Trustees, if the Fair Market Value of the Property is greater than $1,000,000 or if the Disposal is for less than Fair Market Value in accordance with paragraph VI.A.6; or
2. The President or the Chief Operating Officer or equivalent(s), if the Fair Market Value of the Property is up to $1,000,000; or
3. The Executive Vice President and Chief Financial Officer or equivalent(s), if the Fair Market Value of the Property is up to $500,000; or
4. For Fleet-related transactions, the Senior Vice President – Enterprise Shared Services or equivalent(s), if the Fair Market Value of the Property is up to $500,000; or
5. The Vice President – Procurement or equivalent(s), if the Fair Market Value of the Property is up to $250,000; or
6. The DSP&MM, if the Fair Market Value of the Property is up to

$50,000; or

7. The responsible PDC, with the prior written approval of either the DSP&MM or the Contracting Officer or authorized designee, as appropriate, if the Fair Market Value of the Property is $5,000 or less.

B. For public auctions or similar centralized disposals, such authorization should be obtained prior to submitting Property to auction based on the estimated Fair Market Value of the Property.

1. For purposes of these Guidelines, the Director - Fleet Operations ("DFO") or equivalent(s) and DSP&MM are authorized to sign Disposal Sales Agreements based upon the provisions of Section XI.A above whereby the DFO is authorized to sign fleet-related sales agreements and the DSP&MM may sign both fleet and non-fleet sales agreements.

C. For decentralized disposals, such authorization should be obtained prior to signing of Sales Agreement or award of contract, in accordance with the Authorization Levels set forth in Section XI.A. Sales Agreements for individual disposal transactions through a decentralized sale should be signed in accordance with the limits set forth in the Authority's Expenditure Authorization Procedures – Attachment C.

D. The foregoing provisions of this section shall not apply to the sale of energy and fuel. Such authorization shall be governed by the transaction authorization limits for energy and energy-related financial transactions, as adopted by the Authority's Board of Trustees.

XII. OTHER METHODS FOR DISPOSAL OF PERSONAL PROPERTY

A. Disposals as Part of a Competitive Procurement

These Guidelines are not intended to restrict disposals as part of a competitive procurement, including trade-ins, where the procurement is competitively bid and awarded in accordance with the Authority's Guidelines for Procurement Contracts and Fair Market Value can be obtained for the Property. Any such proposed disposal must be included as part of the solicitation of bids for the procurement. The solicitation must also include an estimated Fair Market Value of the Property or minimum bid amount. The disposal or trade-in value must be stated in the proposals from bidders. When disposing of Property as part of a competitive procurement, the Authority may consider the cost difference between the accepted proposal and the next lowest responsive proposal as part of the consideration for the disposal of the Property.

B. Return to the Original Equipment Manufacturer ("OEM") or to the Source

For Property with a Fair Market Value of $15,000 or less, return of materials to the OEM or the source is permissible provided that the Authority receives full value for any materials equal to the price paid by the Authority or the estimated Fair Market Value of the Property. In the event a re-stocking fee is charged by the OEM or the source, the DSP&MM or the Contracting Officer or authorized designee, as appropriate, shall be consulted to

determine if such a re-stocking fee is reasonable and if there are other opportunities for sale of such material. Approval of all such returns to the OEM or the source when a re-stocking fee is charged, must be in accordance with the Authorization Levels delineated in Section XI.A.

C. **Disposal through the New York State Office of General Services (OGS)**

The Authority may utilize OGS for Disposal of Authority-owned Property including on-line disposal methods offered by OGS. In addition, in accordance with New York State law, surplus computers and related accessories, surplus office furniture, and other equipment may, with the approval of the Contracting Officer or authorized designee, be transferred to OGS for disposition, in the case of computers and accessories to school districts located near Authority offices or operating facilities, or in the case of office furniture and office equipment, to other state entities. Disposal of these items in this manner represents the best value to New York State in lieu of attempted re-sale of such materials.

XIII. METHODS OF PAYMENT

The proceeds from the sale of Property in the form of cash or a certified check made payable to the Authority must be forwarded to the Authority's Treasurer by the Facility PDCs and to the Authority's Controller's Office by the DSP&MM and WPO PDC(s). In certain cases involving a transfer of Property to other state agencies or authorities, the performance of documented services to the Authority equal to or

greater in value to the Fair Market Value of the Property, will serve as payment for such Property. The authorization limits of Article XI shall apply to such transactions.

XIV. REPORTING REQUIREMENTS

A. The Authority shall publish, not less frequently than annually, a report of all Property disposed of during the reporting period, including the full description, price (if any) received and the name of the purchaser for all such Property disposed of by the Authority during such period. Such report shall be prepared in conjunction with the report required by the Authority's "Guidelines and Procedures for the Disposal of Real Property."

B. Such report, as approved by the Board of Trustees, shall be submitted to the State Comptroller, the Director of the Division of the Budget, the Commissioner of General Services, the State Legislature and the Authorities Budget Office.

C. These Guidelines, as approved by the Trustees, shall be reviewed and approved annually by the Authority's Board of Trustees. On or before the thirty-first day of March in each year, the Authority shall file with the State Comptroller a copy of the Guidelines most recently reviewed and approved by the Board of Trustees, including the name of the Authority's designated Contracting Officer. At the time of filing such Guidelines with the Comptroller, the Authority shall also post such Guidelines on the Authority's internet website and maintain such Guidelines on the website.

D. For disposal by negotiation of Property over $15,000, Property of any value related to the disposal of Real Property by exchange, or Property where part of the consideration received is Real Property, an explanatory statement shall be prepared and submitted to the parties as set forth in Subsection VI.A.5.

E. The Authority's Governance Committee meets at least three times per year. Staff from the Business Services Business Unit, or equivalent(s), prepare and present ongoing reports regarding disposals of personal property and real property.

F. The Authority may be called upon periodically to submit information regarding the Disposal of Personal Property to organizations implementing the PAAA or other statutes regulating the disposal of Property, such as the Authorities Budget Office through the Public Authorities Reporting Information System ("PARIS").

BID SHEET

The following personal property is available for sale "AS IS, WHERE IS" and the Power Authority gives no warranty whatsoever as to its condition.

LUMP SUM BID AMOUNT* $_____

Subject to all terms and conditions set forth on the reverse hereof, the undersigned offers and agrees to purchase the above-described personal property at the bid amount indicated.

Signature	Company Name
Name (Printed)	Street Address
Date	City, State, Zip Code
FAX number	Telephone number

* All sales are subject to New York State Sales Tax and Compensating Use Tax unless the Purchaser furnishes the Authority with an exemption certificate.

PERSONAL PROPERTY SALE
SALE NO. _____
NEW YORK POWER AUTHORITY
(ADDRESS OF PROJECT)
Telephone: ( )_____
FAX: ( )_____

Subject to the terms and conditions stated below, bids will be received on the personal property, either by mail, fax or hand delivery at the (Location) _____ no later than (Date) _____.

The personal property is available for inspection, by appointment, at the (Project) _____. For an appointment, please contact the Property Disposal Coordinator, (Name) _____ at (Telephone no.) _____.

Successful bidders will be required to pay by certified check, on notice from the Authority that the bid has been accepted, and remove the personal property from the Authority's premises within ten (10) calendar days after receipt of notice of award.

Envelopes containing bids submitted by mail should be marked on the outside to indicate that a bid on Sale No. ____ is enclosed.

Current and former employees of the Power Authority or relatives of such employees or third parties acting on behalf of such employees or relatives are ineligible to bid and are prohibited from subsequently acquiring such personal property in any manner.

1. **INSPECTION.** Bidders are invited, urged and cautioned to inspect the personal property being sold prior to submitting a bid. The personal property will be available for inspection at the time and place specified above. In no case will failure to inspect constitute grounds for the withdrawal of a bid after opening.

2. **CONDITION OF PROPERTY**. All personal property listed is offered for sale "AS IS, WHERE IS". The Authority does not in any way warrant the fitness of the personal property for any particular use or its merchantability and disclaims any other representations or warranties, express or implied, including, but not limited to, quality, character, performance or condition of the personal property or any of its component parts, assemblies, or accessories.

3. **CONSIDERATION OF BIDS.** Bids must be submitted in writing on the form provided by the Authority (see reverse side) and shall be submitted on all items listed. The Authority reserves the right to reject any and all bids, to waive technical defects in bids and to award sale of the items as may be in the best interest of the Authority.

4. **PAYMENT.** The Purchaser agrees to pay for the awarded personal property in accordance with the prices quoted in his/her bid. Payment of the full purchase price must be made within the time allowed for removal, and prior to the release of any personal property to the Purchaser.

5. **NEW YORK STATE SALES AND COMPENSATING USE TAX.** All sales will be subject to New York State Sales and Compensating Use Tax unless the Purchaser furnishes the Authority with an exemption certificate.

ADVERTISEMENT FOR PROPOSALS

The following described personal property, shall be sold "AS IS, WHERE IS" by the New York Power Authority ("the Authority").

1. Sealed bids are invited for the above, which will be available for inspection by inquiry at the (Location/Building) at the (Project and Address) between the hours of _____ a.m. to __ p.m. on (Date/s) . Bids must be submitted on the Authority's bid form, which can be obtained by calling (Telephone no.) . No bid will be accepted unless it is on such form. Bids shall be accepted on or before ___ p.m. on (Date) .

2. Current and former employees of the Authority or relatives of such employees or third parties seeking to act on behalf of such employees or relatives shall be ineligible to bid.

3. Successful bidders, on notice from the Authority, shall be required to pay by certified check and shall promptly remove the personal property from the Authority's property.

4. The Authority reserves the right to reject any and all bids.

PERSONAL PROPERTY
SALES AGREEMENT

_____, the Buyer, and the Power Authority of the State of New York ("the Authority"), agree as follows:

1) The personal property identified herein is sold by the Authority and purchased by Buyer "AS IS, WHERE IS" at the price(s) shown, plus any applicable sales tax.

2) **THE AUTHORITY DOES NOT IN ANY WAY WARRANT THE FITNESS OF THE PERSONAL PROPERTY FOR ANY PARTICULAR USE OR ITS MERCHANTABILITY AND DISCLAIMS ANY OTHER REPRESENTATIONS OR WARRANTIES, EXPRESS OR IMPLIED INCLUDING, BUT NOT LIMITED TO, THE QUALITY, CHARACTER, PERFORMANCE, OR CONDITION OF THE PERSONAL PROPERTY OR ANY OF ITS COMPONENT PARTS, ASSEMBLIES, OR ACCESSORIES.**

3) The Bidder warrants that he/she/it is not a current or former Authority employee, is not related to an Authority employee and did not bid on behalf of an Authority employee. Bidder is aware that Authority employees and their family members are precluded from subsequently receiving, or acquiring, in whole or in part, by any manner including gift, sale, loan or lease, the personal property acquired by the Bidder pursuant to this sale. The term "related to" as used in this paragraph means the relationships of spouse, child, parent, sister, brother, grandparent, grandchild, aunt, uncle, cousin, niece, nephew, stepchild, stepparent, stepsister, stepbrother, mother-in-law, father-in-law, sister-in-law, brother-in-law, daughter-in-law, or son-in-law. The Authority reserves the right to invoke any available legal or equitable remedy in the event of a breach by the Bidder of his or her warranty under this paragraph, including but not limited to, rescinding the sale and recovering the property sold and all costs associated with the sale and the rescission of said sale.

4) The Buyer shall indemnify and hold harmless the Authority and all of its officers, agents and employees from any loss, damage, remedial or response cost, liability or expense, on account of damage or contamination to property and injuries, including death, to all persons, including Buyer's employees, or any third parties, arising or in any manner growing out of the sale of any personal property or the performance of any work under this agreement and shall defend at its own expense any suits or other proceedings brought against the Authority and its officers, agents and employees, or any of them, on account thereof, and pay all expenses and satisfy all judgments which may be incurred by or rendered against them or any of them in connection therewith.

5) Except for disposals by public auction, the Buyer shall remove the personal property from the Authority's premises by _____ at Buyer's expense. The Buyer shall make payment upon delivery by certified check payable to the New York Power Authority.

Description of Personal Property:

Selling Price: _____

Executed this _____ day of _____, 20 _____.

Buyer (Print or Type):

_____

_____

_____

Seller:

Power Authority of the State of New York

123 Main Street

White Plains, New York 10601

_____
Authorized Signature

_____
Authorized Signature

_____
Full Name (Printed)

_____
Title

_____
Title

PRIVACY LAW NOTIFICATION

SECTION 94(1)(d) OF THE NEW YORK PUBLIC OFFICERS LAW REQUIRES THIS NOTICE TO BE PROVIDED WHEN COLLECTING PERSONAL INFORMATION FROM POTENTIAL PURCHASERS OF AUTHORITY PROPERTY.

This information is requested pursuant to Article 5, Title I of the Public Authorities Law. The principal purpose for which the information is collected is to assist the Power Authority of the State of New York in the sale of Authority personal property in accordance with Section 96(1) of the Personal Privacy Protection Law, particularly subdivisions (b), (e) and (f).

Failure to provide the requested information may result in ineligibility for participation in a program, sale or benefit provided by the Authority.

This information will be maintained by Fleet Operations, at the Power Authority of the State of New York, Clark Energy Center located at 6520 Glass Factory Road, Marcy, N.Y. 13403, (315) 724-8186 or, when appropriate, by the Procurement Department at the Corporate office or at one of the Authority facilities.

GUIDELINES

AND

PROCEDURES

FOR THE

DISPOSAL OF NEW YORK POWER AUTHORITY

PERSONAL PROPERTY

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GUIDELINES AND PROCEDURES FOR THE DISPOSAL OF NEW YORK POWER AUTHORITY PERSONAL PROPERTY

I. PURPOSE

These Guidelines and Procedures for the Disposal of Personal Property (hereinafter "Guidelines"), which comply with Title 5-A, Article 9 of the Public Authorities Law, establish the procedures that detail the Authority's policy and instructions regarding the use, award, monitoring and reporting of the disposal of personal property. In addition, the Guidelines designate a Contracting Officer who is responsible for the Authority's compliance with, and enforcement of, the Guidelines.

II. DEFINITIONS

A. "Contracting Officer" shall mean the officer or employee of the Authority who shall be appointed by resolution of the Authority's Board of Trustees to be responsible for the disposition of personal property. The "Contracting Officer" is hereby designated to be the Vice President – Procurement, or equivalent(s). The Authority's Contracting Officer shall not be responsible for compliance for dispositions of the Authority's personal property conducted by another state entity authorized to dispose of the Authority's personal property under the Public Authorities Accountability Act ("PAAA") or as otherwise authorized by law.

B. "Dispose" or "disposal" shall mean transfer of title or any other beneficial interest in personal property in accordance with these Guidelines.

C. "Property" shall mean personal property owned by the Authority with a value in excess of $5,000, and any other interest in such property, to the extent that such interest may be conveyed to another person for any purpose, excluding an interest securing a loan or other financial obligation of another party. For the purposes of these Guidelines, personal property may include, but is not limited to, materials, tools, equipment, or vehicles.

D. "Fair Market Value" shall mean the estimated dollar amount that a willing buyer would pay to a willing seller for the Property in an arms-length transaction in the appropriate marketplace and under similar circumstances. Fair Market Value may be determined by consulting industry-recognized sources, contacting original suppliers, depreciation analysis, appraisals, fair market valuations by public auction or other methods of valuation generally accepted in the industry in which such Property is utilized, as may be approved by the Contracting Officer or authorized designee.

E. "Relative" is defined in Subsection X.G.1 of these Guidelines.

III. OBJECTIVE

The objective of these Guidelines is to identify those Authority personnel responsible for authorizing the disposal of Property owned by the Authority and to ensure that the Authority receives fair and reasonable value for such Property. The transfer or sale of Property shall be accounted for in accordance with the Authority's Corporate Accounting Policy No. CAP 4.3 dated 7/27/11, Revision 3 -- "Accounting for Materials and Supplies Inventory~~.~~," and any revisions thereto.

IV. TRANSACTIONS NOT COVERED

These Guidelines do not apply to any of the following transactions:

1. Disposal of real property interests;
2. Exchange of Property with other utilities or power plant owners, where such owners will provide an identical or in-kind replacement;
3. Transfer/re-deployment of Property from one Authority facility to another Authority facility.

V. DESIGNATION OF PROPERTY DISPOSAL COORDINATORS

A. The Contracting Officer shall be responsible for the Authority's compliance with, and enforcement of, the Guidelines.

B. The individual responsible for disposal of all Property at the Authority's facilities will be the Director – Site Purchasing & Materials Management ("DSP&MM"). For purposes of Property disposal, the DSP&MM reports directly to the Contracting Officer or authorized designee.

C. The Manager - Purchasing/Warehouse from each facility will be the local Property Disposal Coordinator for his or her facility or location ("Facility PDC"). The Facility PDC reports to the DSP&MM.

D. The Contracting Officer or authorized designee will designate one or more individuals from the White Plains Office Procurement Division to be the Property Disposal Coordinator(s) for the White Plains, Albany and New York corporate offices ("WPO PDC"). For purposes of Property disposal, the WPO

PDC(s) will confer, interface with and report to the Contracting Officer or authorized designee.

E. The Director of Fleet Operations ("DFO") or equivalent(s) or authorized designee is responsible for the disposal of vehicles and rolling equipment. The DFO reports directly to the Senior Vice President – Enterprise Shared Services or equivalent, or authorized designee.

F. If appropriate, the responsible Facility PDC should confer (by oral or written communication) with the DSP&MM and the WPO PDC(s) should confer (by oral or written communication) with the Contracting Officer or authorized designee to determine if a "centralized" sale of Property, as outlined in Article VII, is appropriate. If agreed, the responsible PDC should arrange for shipment of the Property to be sold from the site to the sale location. If a centralized sale is not appropriate, the responsible PDC should proceed in accordance with the "decentralized" procedures, as outlined in Article VIII.

VI. PROCEDURES FOR DISPOSAL OF AUTHORITY PERSONAL PROPERTY

Except for Disposals pursuant to Article VII, Article XII and Subsection VI.A.4 of these Guidelines, whenever practicable, the responsible PDC shall solicit proposals from qualified bidders, to purchase the Property to be disposed of, and will maintain records of such solicitations. The PDC should use "Attachment A" attached hereto or an appropriate substitute for solicitations under this Article VI.

A. **DISPOSAL METHODS FOR PERSONAL PROPERTY**

1. For the purposes of these Guidelines, Disposal methods may include, but are not limited to: sale (directly to the Buyer, through a third party, negotiation, well-advertised public auction that permits full and free competition consistent with the value and nature of the property or on any other centralized basis that achieves the same level of competition); return to the original equipment manufacturer or to the source; trade-ins or disposals as part of a competitive procurement; or disposal through the New York State Office of General Services ("OGS"). Provided, however, that no disposition of any Property, which because of its unique nature or the unique circumstances of the proposed transaction is not readily valued by reference to an active market for similar Property, shall be made unless an appraisal of the value of such Property has been made by an independent appraiser and included in the record of the transaction. The Authority's Environment, Health and Safety Division should be consulted, on a case-by-case basis, regarding disposal of items that may be considered hazardous waste.

2. Solicitation via telephone, e-mail and/or direct mailings may be used where the estimated Fair Market Value of the Property to be disposed of is greater than $5,000 but does not exceed $15,000. All bids must be submitted in writing on the forms or an appropriate substitute and in the manner prescribed by this procedure and by the date and time (the "Bid

Due Date") included in the solicitation.

3. All Disposals of Authority Property in excess of $15,000 shall be made after publicly advertising for bids except as provided in Subsection 4 below, using the following process:

   a. the appropriate advertisement for bids (Attachment "B" or an appropriate substitute) shall be made at such time prior to the Disposal, through such methods, and on such terms and conditions as shall permit full and free competition consistent with the value and nature of the Property. This may include advertisements in one or more of the following publications, depending on the nature of the Property: local newspapers in the geographic area of the facility where the sale is taking place, trade journals, regional or nationwide publications (if the market for such sale is regional or nationwide), the New York State Contract Reporter, internet services or other communication outlets as appropriate;

   b. all bids must be submitted in writing on the forms or an appropriate substitute and in the manner prescribed by this procedure and by the Bid Due Date included in the solicitation;

   c. all bids shall be publicly disclosed, at the time and place stated in the solicitation or by posting to the Authority's internet website; and

   d. the award shall be made with reasonable promptness by notice to the responsible bidder whose bid, conforms to the bid solicitation and is most advantageous to the Authority, price and other factors

considered; however, any bid may be rejected when in the public interest to do so at the Authority's sole discretion.

4. Disposals of Property may be negotiated or made by public auction without regard to Subsection 3 of this Section, but subject to obtaining such competition as is feasible under the circumstances, if:

   a. the Property involved has qualities separate from the utilitarian purpose of such Property, such as artistic quality, antiquity, historical significance, rarity or other quality of similar effect that would tend to increase its value, or if the Property is to be disposed of in such quantity that, if it were Disposed of under Subsection 3 of this Section, would adversely affect the state or local market for such Property, and the estimated Fair Market Value of such Property and other satisfactory terms of disposal may be obtained by negotiation;

   b. bid prices after advertising therefore are not reasonable, either as to all or part of the Property, or have not been independently arrived at in open competition;

   c. the Disposal will be to the state or any political subdivision, and the estimated Fair Market Value of the Property and other satisfactory terms of Disposal are obtained by negotiation;

   d. under those circumstances permitted by Subsection 6 of this Section;

   e. if the estimated or actual fair market value of the property does not exceed $15,000; or

   f. such action is otherwise authorized by law.

5. An explanatory statement shall be prepared of the circumstances of each Disposal by negotiation of any Property which has an estimated Fair Market Value in excess of fifteen thousand dollars ($15,000). In addition, an explanatory statement shall be prepared of the circumstances of each Disposal by negotiation of any Property disposed of by exchange, regardless of value. Each such statement shall be transmitted to the Commissioner of General Services, the State Legislature, the State Comptroller, the Director of the Division of the Budget and the Authorities Budget Office, not less than ninety days in advance of such Disposal, and a copy thereof shall be preserved in the Authority's files.

6. a. No Property owned or otherwise in the control of the Authority may be disposed or otherwise alienated for less than its Fair Market Value except if:

   (i) the transferee is a government or other public entity, and the terms and conditions of the transfer require that the ownership and use of the Property will remain with the government or any other public entity;

   (ii) the purpose of the transfer is within the purpose, mission or governing statute of the Authority; or

   (iii) in the event the Authority seeks to transfer Property for less than its Fair Market Value to other than a governmental entity, which Disposal would not be consistent with the Authority's mission, purpose or governing statutes, the

Authority shall provide written notification thereof to the Governor, the Speaker of the Assembly, and the Temporary President of the Senate, and such proposed transfer shall be subject to denial by the Governor, the Senate or the Assembly. Denial by the Governor shall take the form of a signed certification by the Governor. Denial by either House of the Legislature shall take the form of a resolution by such House. The Governor and each House of the Legislature shall take any such action within sixty days of receiving notification of such proposed transfer during the months of January through June, provided that if the Legislature receives notification of a proposed transfer during the months of July through December, the Legislature may take any such action within sixty days of January first of the following year. If no such resolution or certification is performed within sixty days of such notification of the proposed transfer to the Governor, Senate and Assembly, the Authority may effectuate such transfer provided, however, that with respect to a below-market transfer by the Authority that is not within the purpose, mission or governing statute of the Authority, if the governing statute provides for the approval of such transfer by the executive and legislative branches of the political subdivision in which the Authority resides, and if the

transfer is of Property obtained by the Authority from that political subdivision, then such approval shall be sufficient to permit the transfer.

b. In the event a below Fair Market Value Property transfer is proposed, the following information must be provided to the Authority's Board of Trustees and the public:

(i) a full description of the Property;

(ii) an appraisal of the Fair Market Value of the Property and any other information establishing the Fair Market Value sought by the Board of Trustees;

(iii) a description of the purpose of the transfer and a reasonable statement of the kind and amount of the benefit to the public resulting from the transfer, including but not limited to the kind, number, location, wages or salaries of jobs created or preserved as required by the transfer, the benefits, if any, to the communities in which the Property is situated as are required by the transfer;

(iv) a statement of the value to be received compared to the Fair Market Value;

(v) the names of any private parties participating in the transfer and, if different than the statement required by subparagraph (iv) of this Subsection, a statement of the value to the private party; and

(vi) the names of other private parties who have made an offer for such Property, the value offered and the purpose for which the Property was sought to be used.

c. Before approving the Disposal of any Property for less than Fair Market Value, the Board of Trustees shall consider the information described in paragraph b of this Subsection and make a written determination that there is no reasonable alternative to the proposed below-market transfer that would achieve the same purpose of such transfer.

7. In cases where a Disposal of Property is made without competitive bidding and where the proposed contract price for the Property disposed of is less than Fair Market Value, a detailed explanation of the justification for making the Disposal without competitive bidding shall be prepared, and a certification shall be signed by the Chief Executive Officer and Chief Financial Officer of the Authority stating that they have reviewed the terms of such Disposal and have determined that it complies with applicable law and these Guidelines.

B. DISPOSAL OPTIONS IF NO BIDS OR NO ACCEPTABLE BIDS ARE RECEIVED

The appropriate PDC shall confer with the DSP&MM and the Contracting Officer or authorized designee to decide (i) if re-soliciting is feasible; (ii) if shipment to a third-party contractor for Disposal would result in higher-

priced proposals; (iii) if disposal by other methods would be appropriate; and/or (iv) if the Fair Market Value estimate requires review or adjustment, where:

1. the solicitation pursuant to Section VI.A does not produce any bids to purchase the Property;
2. in the opinion of the Authority, the bids are not arrived at independently;
3. all bids are not reasonable in accordance with Section X.C; or
4. all bids received are less than the Authority's Fair Market Value estimate.

VII. CENTRALIZED DISPOSAL

A. Subject to the approval of the Contracting Officer or authorized designee and in accordance with Article VI of these Guidelines, in either the initial document authorizing the disposal or through a subsequent communication, Property may be disposed of using any of the following methods:

1. Shipment of the material to a third-party vendor(s), selected by competitive bidding, which, pursuant to these Guidelines, will market the material for sale or dispose of such material in accordance with environmental and any other Authority requirements.
2. Consolidation of such Property at one of the Authority's facilities or an offsite warehouse for the purpose of conducting a sale managed by Authority staff, possibly with the assistance of an outside contractor.

3. Participation in public auctions provided the advertisement for bids through such methods permits full and free competition consistent with the value and nature of the property, as may be conducted through an independent auctioneer, online auction service, or another utility.

VIII. DECENTRALIZED DISPOSAL

A. The Regional Manager, Project Manager, or head of a Department or Division requiring disposal of Property which he or she believes to be surplus, will submit to the responsible PDC a written description of the material, with the original price (if known), and estimate of the Property's Fair Market Value (if available). If practical, a photograph of the material or equipment in question should be provided. Such submission shall be made to the responsible PDC for the location where the Property is located.

B. If the responsible PDC, in conference with either the DSP&MM or the Contracting Officer or authorized designee, as appropriate, determines that other Authority facilities may have an interest in the Property, a notice should be sent to the other Authority facilities advising of its availability and requesting a response within a specified time frame. A record of the notice will be maintained by the responsible PDC. In the event that the responsible PDC and either the DSP&MM or the Contracting Officer or authorized designee, as appropriate, determine there is no interest in such material at other Authority facilities, a written explanation should be prepared by the PDC to that effect and maintained in the file for that transaction.

C. If no response to the notice is received, the responsible PDC will solicit bids for the purchase of such Property in accordance with the procedures described in Article VI.

IX. PARTIES PROHIBITED FROM BIDDING

A. All current and former employees of the Authority and relatives of such employees or third parties acting on behalf of such employees shall not be eligible to bid for the purchase of Authority Property and are prohibited from subsequently acquiring it in any manner. Each bidder will be required, as part of his or her bid, to certify, by signing Attachment "A," that he or she is not a current or former employee of the Authority, is not related to any current or former employee of the Authority and is not acting on behalf of a current or former employee of the Authority or a relative of any such employee. No bid will be accepted unless accompanied by such certification.

B. The term "related to" as used in paragraph A above means the relationship of spouse, child, parent, sister, brother, grandparent, grandchild, aunt, uncle, cousin, niece, nephew, stepchild, stepparent, stepsister, stepbrother, mother-in-law, father-in-law, sister-in-law, brother-in-law, daughter-in-law or son-in-law.

X. EVALUATION OF PROPOSALS; AWARD OF CONTRACT

A. Following the receipt of proposals for the Property, the responsible PDC shall evaluate the proposals submitted and determine whether the highest of such proposals is reasonable, given the estimated Fair Market Value of the Property.

B. If the responsible PDC determines that the highest bid received is reasonable, the responsible PDC shall recommend to the Responsible Officer(s), as hereinafter defined in Article XI, that such bid be accepted, and upon the written approval of the Responsible Officer(s), the sale shall be made to the person offering such proposal. After obtaining all necessary approvals in accordance with Article XI "Authorization Levels," a Sales Agreement appended hereto ("Attachment C") must be executed by the responsible Authority staff member and by the successful bidder prior to completion of the transaction.

C. If either (a) the responsible PDC determines that the highest bid is not reasonable or (b) the Responsible Officer(s) decline(s) to authorize the sale, the Property will, except as provided in paragraph D below, be retained for future disposal in accordance with these Guidelines. Factors to be considered in determining whether a bid is reasonable include, but are not limited to: adequacy of the estimate of the Fair Market Value, anticipated improved future market conditions, potential for other means of disposal or redeployment, financial viability of the bidder, and condition of the Property.

D. Notwithstanding any determination by the responsible PDC, the Responsible Officer(s), with the review and approval of the Contracting Officer, may direct the sale of the Property to the person or firm submitting the highest bid.

E. No Authority employee who is involved in the award of Authority grants or contracts, may ask any officer, director or employee of such current or prospective contractor or grantee to reveal: (a) the political party affiliation of the individual; (b) whether the individual or entity has made campaign contributions to any political party, elected official, or candidate for elective office; or (c) whether the individual voted for or against any political party, elected official or candidate for elective office.

F. No Authority employee may award or decline to award any grant or contract, or recommend, promise or threaten to do so because of a current or prospective grantee's or contractor's: (a) refusal to answer any inquiry prohibited by Section E above or (b) giving or withholding or neglecting to make any contribution of money, service or any other valuable thing for any political purpose.

G. No Authority employee may take part in any contracting decision involving the payment of $1,000 or more: (i) to a Relative; or (ii) to any entity in which a Relative owns or controls 10% or more of the stock of such entity (or 1% in the case of a corporation whose stock is regularly traded on an established securities exchange); or serves as an officer, director or partner of that entity. If a contracting matter arises relating to this Section G, then the employee must advise his or her supervisor of the relationship, and must be recused from any and all discussions or decisions relating to the matter.

1. For purposes of this Section G, the term "Relative" shall mean any person living in the same household as the Authority employee or any person who is a direct descendant of the Authority employee's grandparents or the spouse of such descendant.

XI. AUTHORIZATION LEVELS AND SIGNING AUTHORITY

A. For the purposes of these Guidelines, the Responsible Officer(s) will in each case review the appropriateness of the Fair Market Value estimate and the recommendation for contract award for disposal of the Property. Responsible Officers are designated as follows:

1. The Board of Trustees, if the Fair Market Value of the Property is greater than $1,000,000 or if the Disposal is for less than Fair Market Value in accordance with paragraph VI.A.6; or
2. The President or the Chief Operating Officer or equivalent(s), if the Fair Market Value of the Property is up to $1,000,000; or
3. The Executive Vice President and Chief Financial Officer or equivalent(s), if the Fair Market Value of the Property is up to $500,000; or
4. For Fleet-related transactions, the Senior Vice President – Enterprise Shared Services or equivalent(s), if the Fair Market Value of the Property is up to $500,000; or
5. The Vice President – Procurement or equivalent(s), if the Fair Market Value of the Property is up to $250,000; or
6. The DSP&MM, if the Fair Market Value of the Property is up to

$50,000; or

7. The responsible PDC, with the prior written approval of either the DSP&MM or the Contracting Officer or authorized designee, as appropriate, if the Fair Market Value of the Property is $5,000 or less.

B. For public auctions or similar centralized disposals, such authorization should be obtained prior to submitting Property to auction based on the estimated Fair Market Value of the Property.

1. For purposes of these Guidelines, the Director - Fleet Operations ("DFO") or equivalent(s) and DSP&MM are authorized to sign Disposal Sales Agreements based upon the provisions of Section XI.A above whereby the DFO is authorized to sign fleet-related sales agreements and the DSP&MM may sign both fleet and non-fleet sales agreements.

C. For decentralized disposals, such authorization should be obtained prior to signing of Sales Agreement or award of contract, in accordance with the Authorization Levels set forth in Section XI.A. Sales Agreements for individual disposal transactions through a decentralized sale should be signed in accordance with the limits set forth in the Authority's Expenditure Authorization Procedures – Attachment C.

D. The foregoing provisions of this section shall not apply to the sale of energy and fuel. Such authorization shall be governed by the transaction authorization limits for energy and energy-related financial transactions, as adopted by the Authority's Board of Trustees.

XII. OTHER METHODS FOR DISPOSAL OF PERSONAL PROPERTY

A. Disposals as Part of a Competitive Procurement

These Guidelines are not intended to restrict disposals as part of a competitive procurement, including trade-ins, where the procurement is competitively bid and awarded in accordance with the Authority's Guidelines for Procurement Contracts and Fair Market Value can be obtained for the Property. Any such proposed disposal must be included as part of the solicitation of bids for the procurement. The solicitation must also include an estimated Fair Market Value of the Property or minimum bid amount. The disposal or trade-in value must be stated in the proposals from bidders. When disposing of Property as part of a competitive procurement, the Authority may consider the cost difference between the accepted proposal and the next lowest responsive proposal as part of the consideration for the disposal of the Property.

B. Return to the Original Equipment Manufacturer ("OEM") or to the Source

For Property with a Fair Market Value of $15,000 or less, return of materials to the OEM or the source is permissible provided that the Authority receives full value for any materials equal to the price paid by the Authority or the estimated Fair Market Value of the Property. In the event a re-stocking fee is charged by the OEM or the source, the DSP&MM or the Contracting Officer or authorized designee, as appropriate, shall be consulted to

determine if such a re-stocking fee is reasonable and if there are other opportunities for sale of such material. Approval of all such returns to the OEM or the source when a re-stocking fee is charged, must be in accordance with the Authorization Levels delineated in Section XI.A.

C. **Disposal through the New York State Office of General Services (OGS)**

The Authority may utilize OGS for Disposal of Authority-owned Property including on-line disposal methods offered by OGS. In addition, in accordance with New York State law, surplus computers and related accessories, surplus office furniture, and other equipment may, with the approval of the Contracting Officer or authorized designee, be transferred to OGS for disposition, in the case of computers and accessories to school districts located near Authority offices or operating facilities, or in the case of office furniture and office equipment, to other state entities. Disposal of these items in this manner represents the best value to New York State in lieu of attempted re-sale of such materials.

XIII. METHODS OF PAYMENT

The proceeds from the sale of Property in the form of cash or a certified check made payable to the Authority must be forwarded to the Authority's Treasurer by the Facility PDCs and to the Authority's Controller's Office by the DSP&MM and WPO PDC(s). In certain cases involving a transfer of Property to other state agencies or authorities, the performance of documented services to the Authority equal to or

greater in value to the Fair Market Value of the Property, will serve as payment for such Property. The authorization limits of Article XI shall apply to such transactions.

XIV. REPORTING REQUIREMENTS

A. The Authority shall publish, not less frequently than annually, a report of all Property disposed of during the reporting period, including the full description, price (if any) received and the name of the purchaser for all such Property disposed of by the Authority during such period. Such report shall be prepared in conjunction with the report required by the Authority's "Guidelines and Procedures for the Disposal of Real Property."

B. Such report, as approved by the Board of Trustees, shall be submitted to the State Comptroller, the Director of the Division of the Budget, the Commissioner of General Services, the State Legislature and the Authorities Budget Office.

C. These Guidelines, as approved by the Trustees, shall be reviewed and approved annually by the Authority's Board of Trustees. On or before the thirty-first day of March in each year, the Authority shall file with the State Comptroller a copy of the Guidelines most recently reviewed and approved by the Board of Trustees, including the name of the Authority's designated Contracting Officer. At the time of filing such Guidelines with the Comptroller, the Authority shall also post such Guidelines on the Authority's internet website and maintain such Guidelines on the website.

D. For disposal by negotiation of Property over $15,000, Property of any value related to the disposal of Real Property by exchange, or Property where part of the consideration received is Real Property, an explanatory statement shall be prepared and submitted to the parties as set forth in Subsection VI.A.5.

E. The Authority's Governance Committee meets at least three times per year. Staff from the Business Services Business Unit, or equivalent(s), prepare and present ongoing reports regarding disposals of personal property and real property.

F. The Authority may be called upon periodically to submit information regarding the Disposal of Personal Property to organizations implementing the PAAA or other statutes regulating the disposal of Property, such as the Authorities Budget Office through the Public Authorities Reporting Information System ("PARIS").

BID SHEET

The following personal property is available for sale "AS IS, WHERE IS" and the Power Authority gives no warranty whatsoever as to its condition.

LUMP SUM BID AMOUNT* $_____

Subject to all terms and conditions set forth on the reverse hereof, the undersigned offers and agrees to purchase the above-described personal property at the bid amount indicated.

Signature	Company Name
Name (Printed)	Street Address
Date	City, State, Zip Code
FAX number	Telephone number

* All sales are subject to New York State Sales Tax and Compensating Use Tax unless the Purchaser furnishes the Authority with an exemption certificate.

PERSONAL PROPERTY SALE
SALE NO. _____
NEW YORK POWER AUTHORITY
(ADDRESS OF PROJECT)
Telephone: ( )_____
FAX: ( )_____

Subject to the terms and conditions stated below, bids will be received on the personal property, either by mail, fax or hand delivery at the (Location)_____ no later than (Date)_____.

The personal property is available for inspection, by appointment, at the (Project)_____. For an appointment, please contact the Property Disposal Coordinator, (Name)_____ at (Telephone no.)_____.

Successful bidders will be required to pay by certified check, on notice from the Authority that the bid has been accepted, and remove the personal property from the Authority's premises within ten (10) calendar days after receipt of notice of award.

Envelopes containing bids submitted by mail should be marked on the outside to indicate that a bid on Sale No. ____ is enclosed.

Current and former employees of the Power Authority or relatives of such employees or third parties acting on behalf of such employees or relatives are ineligible to bid and are prohibited from subsequently acquiring such personal property in any manner.

1. **INSPECTION.** Bidders are invited, urged and cautioned to inspect the personal property being sold prior to submitting a bid. The personal property will be available for inspection at the time and place specified above. In no case will failure to inspect constitute grounds for the withdrawal of a bid after opening.

2. **CONDITION OF PROPERTY**. All personal property listed is offered for sale "AS IS, WHERE IS". The Authority does not in any way warrant the fitness of the personal property for any particular use or its merchantability and disclaims any other representations or warranties, express or implied, including, but not limited to, quality, character, performance or condition of the personal property or any of its component parts, assemblies, or accessories.

3. **CONSIDERATION OF BIDS.** Bids must be submitted in writing on the form provided by the Authority (see reverse side) and shall be submitted on all items listed. The Authority reserves the right to reject any and all bids, to waive technical defects in bids and to award sale of the items as may be in the best interest of the Authority.

4. **PAYMENT.** The Purchaser agrees to pay for the awarded personal property in accordance with the prices quoted in his/her bid. Payment of the full purchase price must be made within the time allowed for removal, and prior to the release of any personal property to the Purchaser.

5. **NEW YORK STATE SALES AND COMPENSATING USE TAX.** All sales will be subject to New York State Sales and Compensating Use Tax unless the Purchaser furnishes the Authority with an exemption certificate.

ADVERTISEMENT FOR PROPOSALS

The following described personal property, shall be sold "AS IS, WHERE IS" by the New York Power Authority ("the Authority").

1. Sealed bids are invited for the above, which will be available for inspection by inquiry at the (Location/Building) at the (Project and Address) between the hours of _____ a.m. to __ p.m. on (Date/s) . Bids must be submitted on the Authority's bid form, which can be obtained by calling (Telephone no.) . No bid will be accepted unless it is on such form. Bids shall be accepted on or before ___ p.m. on (Date) .

2. Current and former employees of the Authority or relatives of such employees or third parties seeking to act on behalf of such employees or relatives shall be ineligible to bid.

3. Successful bidders, on notice from the Authority, shall be required to pay by certified check and shall promptly remove the personal property from the Authority's property.

4. The Authority reserves the right to reject any and all bids.

PERSONAL PROPERTY
SALES AGREEMENT

_____, the Buyer, and the Power Authority of the State of New York ("the Authority"), agree as follows:

1) The personal property identified herein is sold by the Authority and purchased by Buyer "AS IS, WHERE IS" at the price(s) shown, plus any applicable sales tax.

2) **THE AUTHORITY DOES NOT IN ANY WAY WARRANT THE FITNESS OF THE PERSONAL PROPERTY FOR ANY PARTICULAR USE OR ITS MERCHANTABILITY AND DISCLAIMS ANY OTHER REPRESENTATIONS OR WARRANTIES, EXPRESS OR IMPLIED INCLUDING, BUT NOT LIMITED TO, THE QUALITY, CHARACTER, PERFORMANCE, OR CONDITION OF THE PERSONAL PROPERTY OR ANY OF ITS COMPONENT PARTS, ASSEMBLIES, OR ACCESSORIES.**

3) The Bidder warrants that he/she/it is not a current or former Authority employee, is not related to an Authority employee and did not bid on behalf of an Authority employee. Bidder is aware that Authority employees and their family members are precluded from subsequently receiving, or acquiring, in whole or in part, by any manner including gift, sale, loan or lease, the personal property acquired by the Bidder pursuant to this sale. The term "related to" as used in this paragraph means the relationships of spouse, child, parent, sister, brother, grandparent, grandchild, aunt, uncle, cousin, niece, nephew, stepchild, stepparent, stepsister, stepbrother, mother-in-law, father-in-law, sister-in-law, brother-in-law, daughter-in-law, or son-in-law. The Authority reserves the right to invoke any available legal or equitable remedy in the event of a breach by the Bidder of his or her warranty under this paragraph, including but not limited to, rescinding the sale and recovering the property sold and all costs associated with the sale and the rescission of said sale.

4) The Buyer shall indemnify and hold harmless the Authority and all of its officers, agents and employees from any loss, damage, remedial or response cost, liability or expense, on account of damage or contamination to property and injuries, including death, to all persons, including Buyer's employees, or any third parties, arising or in any manner growing out of the sale of any personal property or the performance of any work under this agreement and shall defend at its own expense any suits or other proceedings brought against the Authority and its officers, agents and employees, or any of them, on account thereof, and pay all expenses and satisfy all judgments which may be incurred by or rendered against them or any of them in connection therewith.

5) Except for disposals by public auction, the Buyer shall remove the personal property from the Authority's premises by _____ at Buyer's expense. The Buyer shall make payment upon delivery by certified check payable to the New York Power Authority.

Description of Personal Property:

Selling Price: _____

Executed this _____ day of _____, 20 _____.

Buyer (Print or Type):

_____

_____

_____

Seller:

Power Authority of the State of New York

123 Main Street

White Plains, New York 10601

_____
Authorized Signature

_____
Authorized Signature

_____
Full Name (Printed)

_____
Title

_____
Title

PRIVACY LAW NOTIFICATION

SECTION 94(1)(d) OF THE NEW YORK PUBLIC OFFICERS LAW REQUIRES THIS NOTICE TO BE PROVIDED WHEN COLLECTING PERSONAL INFORMATION FROM POTENTIAL PURCHASERS OF AUTHORITY PROPERTY.

This information is requested pursuant to Article 5, Title I of the Public Authorities Law. The principal purpose for which the information is collected is to assist the Power Authority of the State of New York in the sale of Authority personal property in accordance with Section 96(1) of the Personal Privacy Protection Law, particularly subdivisions (b), (e) and (f).

Failure to provide the requested information may result in ineligibility for participation in a program, sale or benefit provided by the Authority.

This information will be maintained by Fleet Operations, at the Power Authority of the State of New York, Clark Energy Center located at 6520 Glass Factory Road, Marcy, N.Y. 13403, (315) 724-8186 or, when appropriate, by the Procurement Department at the Corporate office or at one of the Authority facilities.

POWER AUTHORITY OF THE STATE OF NEW YORK

2014 ANNUAL REPORT OF DISPOSAL OF PERSONAL PROPERTY OVER $5,000

DESCRIPTION	PURCHASER	PRICE RECEIVED by the Authority
SCRAP METALS AT NIAGARA PROJECT	NIAGARA METALS LLC	$ 6,889.29
CINCINNATI PLANER MILL AT CLARK ENERGY CENTER	AMERICAN MACHINERY LIQUIDATORS INC	$ 26,500.00
SCRAP METALS AT NIAGARA PROJECT	NIAGARA METALS LLC	$ 26,082.00
SCRAP METALS AT ST LAWRENCE PROJECT	CASELLA WASTE SERVICES	$ 12,748.80
SCRAP METALS AT NIAGARA PROJECT	NIAGARA METALS LLC	$ 31,252.11
SCRAP METALS AT NIAGARA PROJECT	NIAGARA METALS LLC	$ 27,126.23
SCRAP METALS AT NIAGARA PROJECT	NIAGARA METALS LLC	$ 8,531.55
SCRAP METALS AT NIAGARA PROJECT	NIAGARA METALS LLC	$ 8,089.22

POWER AUTHORITY OF THE STATE OF NEW YORK

2014 ANNUAL REPORT OF DISPOSAL OF PERSONAL PROPERTY OVER $5,000

DESCRIPTION	PURCHASER	PRICE RECEIVED by the Authority
SCRAP METALS AT B-G PROJECT	PHOENIX METAL RECYCLING INC	$ 15,200.00
SCRAP METALS AT CLARK ENERGY CENTER	PHOENIX METAL RECYCLING INC	$ 10,220.00
	SUBTOTAL:	$ 172,639.20

POWER AUTHORITY OF THE STATE OF NEW YORK
2014 ANNUAL REPORT OF DISPOSAL OF PERSONAL PROPERTY OVER $5,000 *
FLEET OPERATIONS

DESCRIPTION	PURCHASER	PRICE RECEIVED by the Authority
1980 NISSAN BF03 FORKLIFT	MOHAWK INDUSTRIAL WERKS LLC	$ 6,050.00
1997 GROVE AMZ50XT	FRANCIS KNOBLOCH	$ 5,940.00
2001 JOHN DEERE TRACTOR	COTRUPE AUTO SALES	$ 9,940.00
2002 JLG 600SC MANLIFT	JEFFREY GETTI	$ 6,340.00
2002 JLG 660SJC MANLIFT	MARTIN GALASSO	$ 16,090.00
2002 JLG 860SJ MANLIFT	STATE LINE AUTO AUCTION INC	$ 25,840.00
2003 JACOBSEN HR5111 MOWER	RONALD CASATELLI	$ 9,340.00
2003 PITTS LOWBOY TRAILER	S & R CONSTRUCTION CO INC	$ 15,590.00
2004 CHEVY TAHOE	MICHAEL PANGALLO	$ 6,360.00
2004 TOYOTA PRIUS	GEORGE JOHNSTON	$ 5,260.00
2005 CHEVY K2500 PICKUP	KERMES PLUMBING	$ 5,460.00
2005 CHEVY K2500 PICKUP	MOHAWK INDUSTRIAL WERKS LLC	$ 7,460.00
2005 FORD ESCAPE	SENECALS	$ 6,560.00
2006 FORD E350 VAN	SENECALS	$ 8,360.00
2006 FORD ESCAPE	PRONTO TRANSPORT INC OF NY	$ 5,110.00
2006 FORD ESCAPE	TARDIBONE AUTO MALL	$ 5,610.00
2006 JEEP CHEROKEE	BRAVO AUTO SALES INC	$ 7,420.00
2006 TRAILEZE TRAILER	BRAD WEAKLEY	$ 6,290.00
2007 CAMOPLAST TROOPER	MOHAWK INDUSTRIAL WERKS LLC	$ 28,840.00
2007 FORD F250 PICKUP	AV8TOR MOTORS	$ 16,060.00
2007 FORD F250 PICKUP	AV8TOR MOTORS	$ 13,360.00

* Includes items where the Sale Price and/or the estimated Fair Market Value exceeded $5,000.

POWER AUTHORITY OF THE STATE OF NEW YORK
2014 ANNUAL REPORT OF DISPOSAL OF PERSONAL PROPERTY OVER $5,000 *
FLEET OPERATIONS

DESCRIPTION	PURCHASER	PRICE RECEIVED by the Authority
2007 FORD F250 PICKUP	AV8TOR MOTORS	$ 14,460.00
2007 FORD F250 PICKUP	AV8TOR MOTORS	$ 13,360.00
2007 FORD F250 PICKUP	SENECALS	$ 14,060.00
2007 FORD F350 PICKUP	L & L SURPLUS OF UTICA INC	$ 14,060.00
2007 FORD F350 PICKUP	PETER JONES	$ 16,760.00
2007 FORD F350 PICKUP	SENECALS	$ 11,560.00
2007 FORD F350 PICKUP	SENECALS	$ 15,860.00
2008 CHEVY AVALANCHE	BRAVO AUTO SALES INC	$ 8,360.00
2008 CHEVY AVALANCHE	THE CAR STORE INC	$ 10,160.00
2008 FORD ESCAPE	BARBARA MCCONNELL	$ 7,960.00
2008 FORD ESCAPE	ISLAND AUTO SALES & SERVICE INC	$ 5,360.00
2008 FORD ESCAPE	ISLAND AUTO SALES & SERVICE INC	$ 5,110.00
2008 FORD F250 PICKUP	AV8TOR MOTORS	$ 13,060.00
2008 FORD F350 PICKUP	AV8TOR MOTORS	$ 15,260.00
2008 FORD F550 PICKUP	GLEASON'S SEPTIC AND DRAIN	$ 14,460.00
2008 FORD F550 UTILITY TRUCK	BUTLER VALLEY SALES	$ 14,860.00
2008 FORD F550 UTILITY TRUCK	BUTLER VALLEY SALES	$ 7,860.00
2011 CHEVY TAHOE	THE CAR STORE INC	$ 11,560.00
	FLEET SUBTOTAL:	**$ 431,410.00**

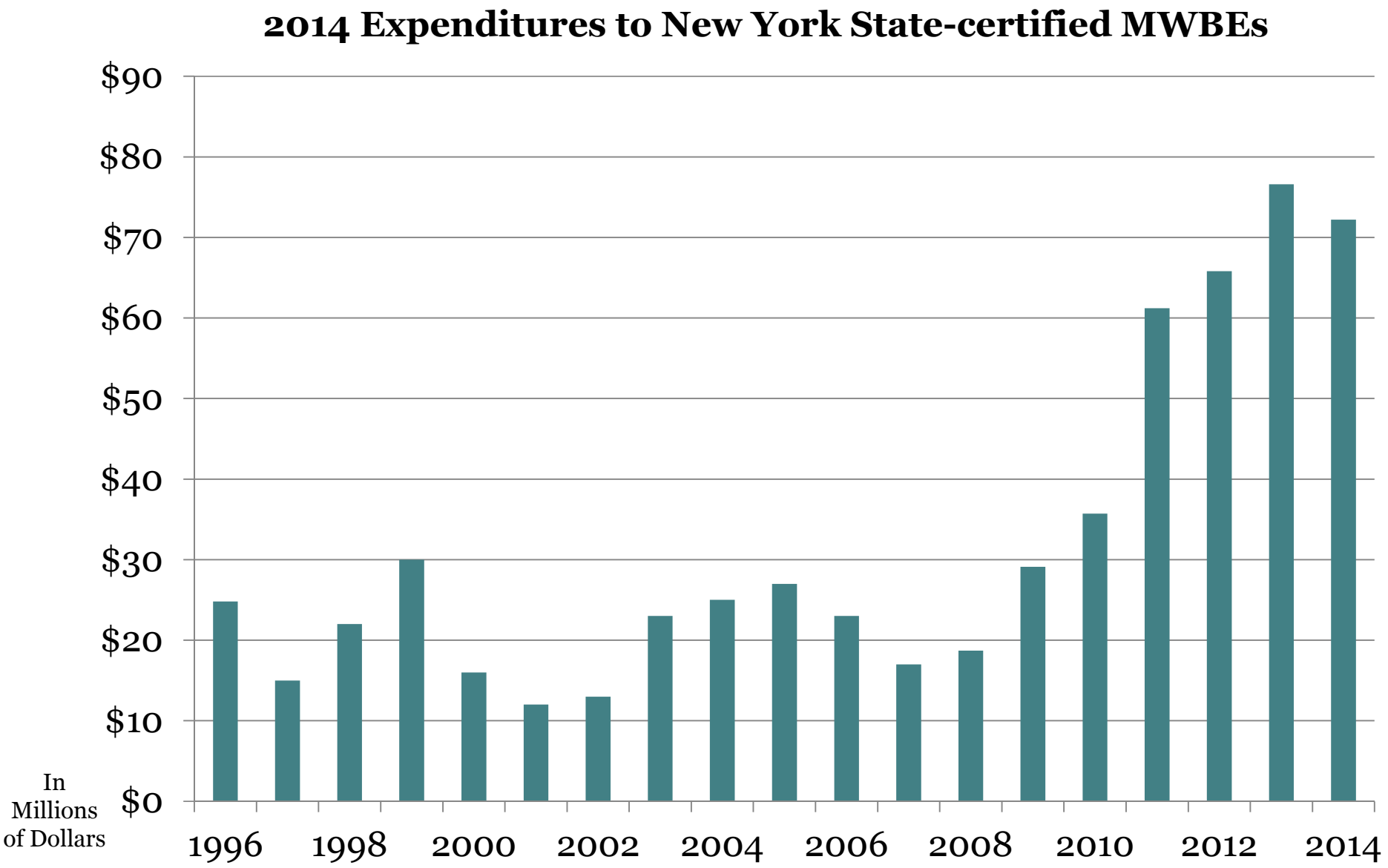
* Includes items where the Sale Price and/or the estimated Fair Market Value exceeded $5,000.

POWER AUTHORITY OF THE STATE OF NEW YORK
2014 ANNUAL REPORT OF DISPOSAL OF PERSONAL PROPERTY OVER $5,000 *
FLEET OPERATIONS

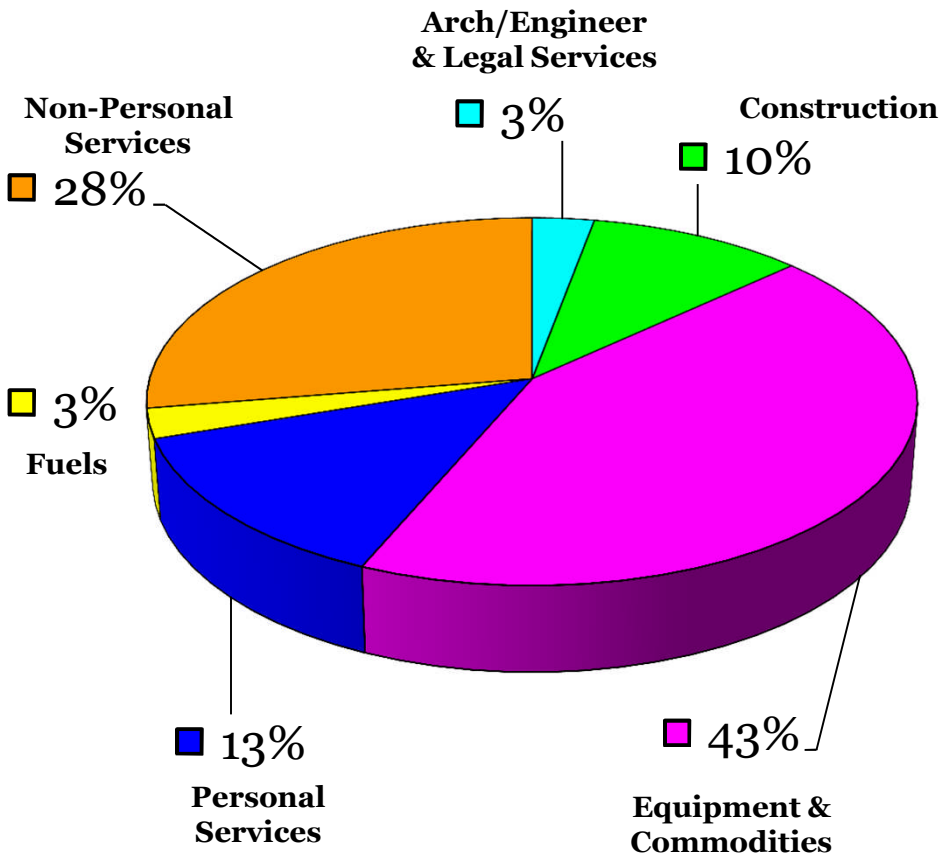
DESCRIPTION	PURCHASER	PRICE RECEIVED by the Authority
TRANSFERRED to NYS OGS w/o charge:		
2004 CHEVY TAHOE	**NYS OGS - FLEET MANAGEMENT**	**N/A**

FLEET SUBTOTAL Page 4:	**$ 431,410.00**
+ SUBTOTAL Page 2:	**$ 172,639.20**
GRAND TOTAL:	**$ 604,049.20**

* Includes items where the Sale Price and/or the estimated Fair Market Value exceeded $5,000.

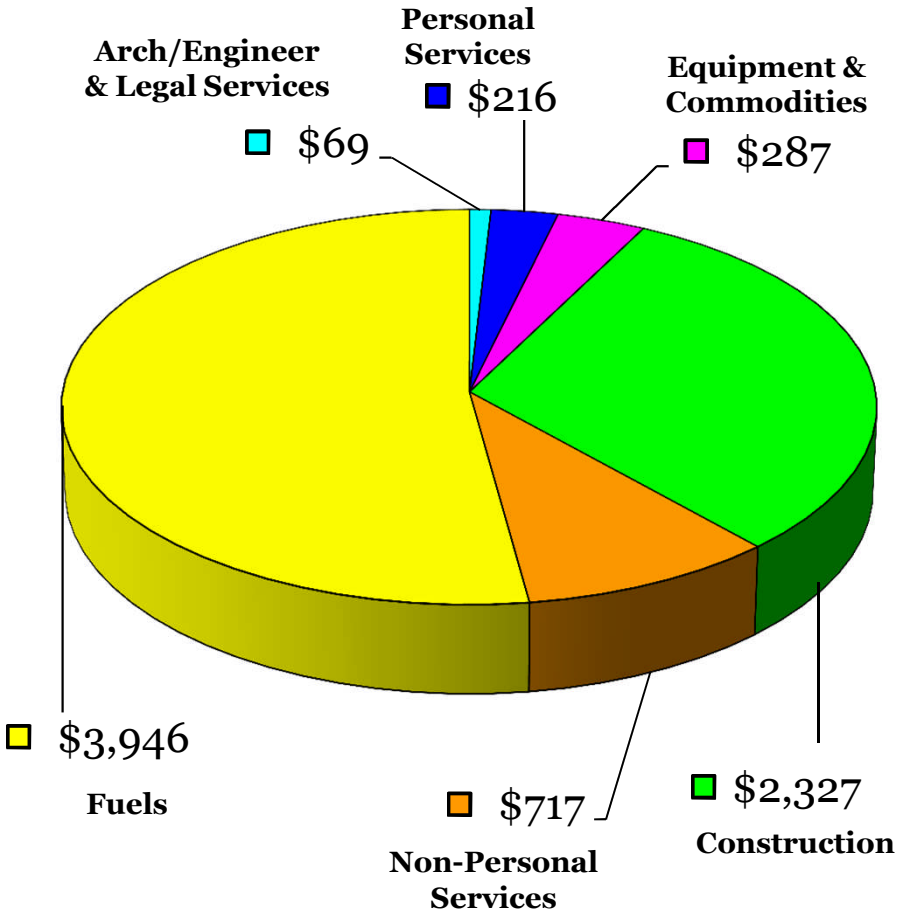

2014 Expenditures to New York State-certified MWBEs
$90
$80
$70
$60
$50
$40
$30
$20
$10
$0
In Millions of Dollars
1996
1998
2000
2002
2004
2006
2008
2010
2012
2014

Number of Contracts



Value of Contracts

*Value in millions

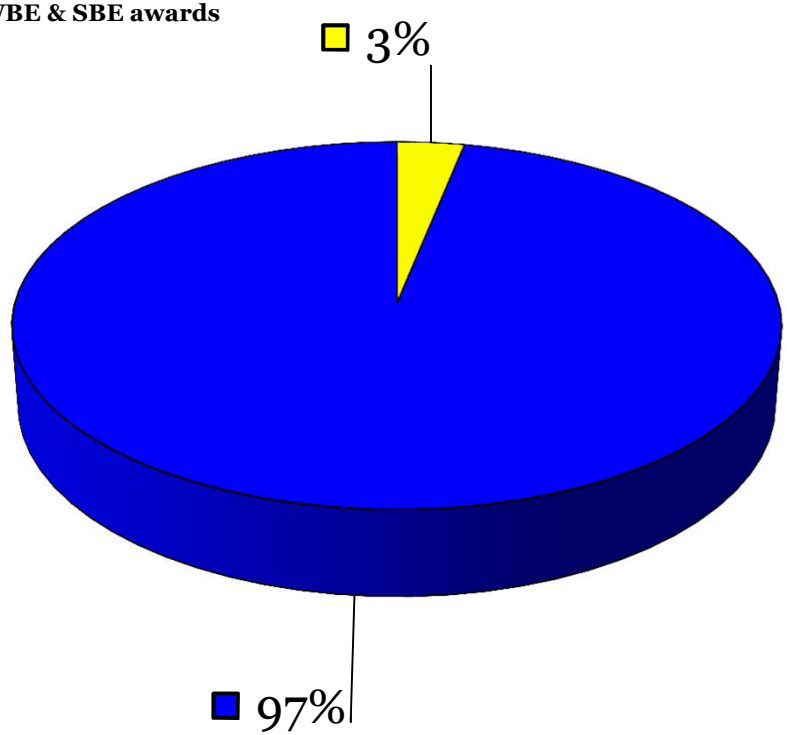


2014 Total Number of Active Contracts: 2,257	2014 Total Value of Active Contracts: $7,562,517,791
Total Number of Contracts Issued in 2014: 1,254	Total Value of Contracts Issued in 2014: $428,485,867
Totals include Fuels and Corporate Finance	

Method of Award

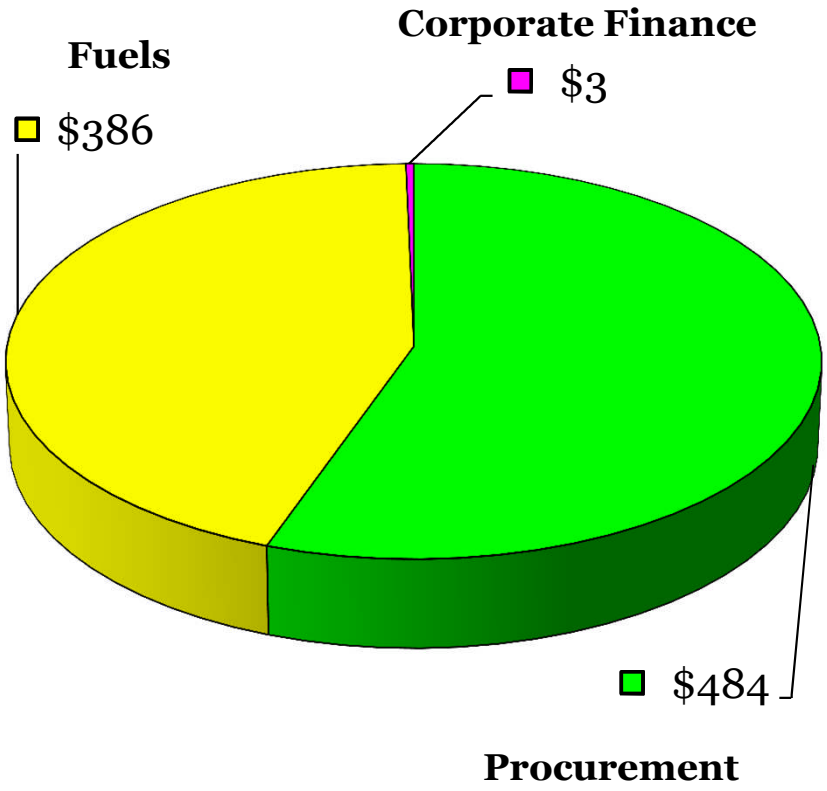
Single/Sole Source

***Includes over $5.9M in MWBE & SBE awards**



Amount Expended - 2014

*Value in millions



2014 Total Value of Active Contracts: $ 7,562,517,791

Total Amount Expended in 2014: $ 873,023,353

Totals include Fuels and Corporate Finance

Exhibit "A-1"
March 26, 2015

POWER AUTHORITY OF THE STATE OF NEW YORK
2014 ANNUAL REPORT OF PROCUREMENT CONTRACTS

EXECUTIVE SUMMARY

The Power Authority of the State of New York (the "Authority") is a corporate municipal instrumentality and political subdivision of the State of New York. The Authority generates, transmits and sells electric power and energy principally at wholesale. The Authority's primary customers are municipal and investor-owned utilities and rural electric cooperatives located throughout New York State, high-load-factor industries and other businesses, various public corporations located within the metropolitan area of New York City and certain out-of-state customers. The Authority does not use tax revenues or State funds or credit. It finances construction of its projects through bond and note sales to private investors and repays the debt holders with proceeds from operations.

The Authority requires the services of outside firms for accounting, engineering, legal, public relations, surveying and other work of a consulting, professional or technical nature to supplement its own staff, as well as to furnish varied goods and services and perform construction work. Many of these contracts are associated with the construction, maintenance and operation of the Authority's electric generating and transmission facilities, transmission lines, as well as for support of Energy Efficiency ("EE") Programs.

PROCUREMENT GUIDELINES (Exhibit "A-2")

In compliance with the applicable provisions of § 2879 of the Public Authorities Law ("PAL"), as amended, the Authority has established comprehensive Guidelines detailing its operative policy and instructions concerning the use, awarding, monitoring and reporting of procurement contracts. The Guidelines describe the Authority's process for soliciting proposals and awarding contracts. Topics detailed in the Guidelines include solicitation requirements, evaluation criteria, contract award process, contract provisions, change orders, Minority- and Women-owned Business Enterprise ("MWBE") requirements, employment of former officers and reporting requirements. These Guidelines, approved by the Authority's Trustees, were initially implemented on January 1, 1990, and have been amended as deemed advisable and necessary, and reviewed and approved by the Board annually since that date, most recently on March 25, 2014.

Staff has reviewed the Procurement Guidelines and recommends several changes to make them more consistent with the law or to improve or clarify the Authority's procurement process. The most significant of such changes pertain to **Solicitation Requirements**, as well as the **Service-Disabled Veteran-Owned Business ("SDVOB") program**, which are highlighted below:

- **NEW YORK STATE *CONTRACT REPORTER* –> INCREASED THE ADVERTISING THRESHOLD from $15,000 to $50,000**, as summarized below and more fully set forth in § 3.H of the Procurement Guidelines, pursuant to Article 4-C of the Economic Development Law and § 163 of the State Finance Law:

  For all Procurement Contracts with a value equal to or greater than $50,000….., the Authority will, prior to soliciting proposals, submit the following information to the Commissioner of the DED to be included on the New York State Contract Reporter website…...

  ……. submittal of a notice / announcement of award for inclusion on the Contract Reporter website is required for Procurement Contracts with a value of $50,000 or more awarded on a sole source or single source basis, including such contracts not exceeding $200,000 awarded to Small Businesses or NYS-certified MWBE firms, or for the purchase of goods and/or technology that are recycled or remanufactured, or for the purchase of food, including milk and milk products that are grown, produced or harvested in New York State, and certain other Procurement Contracts exempt from the general advertising requirement for procurement contract bidding opportunities, in accordance with the afore-referenced law. Such notice shall specify the name of the awardee.

- **VALUE OF PURCHASES REQUIRING SOLICITATION from at least 3 PROVIDERS → INCREASED to under $50,000 – and -- VALUE OF PURCHASES REQUIRING SOLICITATION from at least 5 PROVIDERS → INCREASED to $50,000 or more**, as summarized below and more fully set forth in § 3.A of the Procurement Guidelines:

  ….. a Request for Proposals ("RFP") or Request for Quotations ("RFQ") will be made available to a minimum of three providers and/or firms (if available) for purchases valued under $50,000 and a minimum of five providers and/or firms (if available) for purchases valued at $50,000 and greater, commensurate with the magnitude and nature of the goods and/or services, and the schedule for performance……

- **TELEPHONE SOLICITATION LIMIT → INCREASED to under $50,000; also, all such solicitations shall be documented and made part of the Procurement Record**, as summarized below and more fully set forth in § 3.G of the Procurement Guidelines:

  ….. Telephone solicitation, usually for procurements valued at less than $50,000, may be used where time constraints do not permit issuance of an RFP, where issuance of an RFP is otherwise impracticable or for goods that are catalog items or do not require a detailed bill of materials or specification. All solicitations made by telephone shall be documented and made part of the Procurement Record.

- **SERVICE-DISABLED VETERAN-OWNED BUSINESS ("SDVOB") PROGRAM – NEW STATUTORY REQUIREMENT**, as summarized below and more fully set forth in § 3.I of the Procurement Guidelines, pursuant to the SDVOB Act:

  In order to further increase participation of service-disabled veterans in New York State's contracting opportunities, the Service-Disabled Veteran-Owned Business ("SDVOB") Act was signed into law on May 12, 2014. The SDVOB program provides for eligible Veteran business owners to become certified as a New York State Service-Disabled Veteran-Owned Business. The Division of Service-Disabled Veterans' Business Development within the New York State Office of General Services ("OGS") is responsible for certifying eligible SDVOBs, assisting agencies and authorities in complying with the law, and promoting SDVOB participation in the state's procurement activities. The program is in development; the Authority has been selected to participate in a pilot SDVOB program and is complying with OGS-promulgated procedures and requirements.

It may also be noted that non-substantive and stylistic changes have also been made throughout the document.

These amended Guidelines, as reviewed by the Governance Committee on March 26, 2015, are now presented to the full Board of Trustees for review and approval at their meeting of the same date. The approved Guidelines will become effective on March 31, 2015 and will be posted on the Authority's internet website. On or before the 31st day of March, such Guidelines will also be filed with the Director of the Division of the Budget, the Department of Audit and Control, the Department of Economic Development, the Senate Finance Committee, the Assembly Ways and Means Committee and the Authorities Budget Office.

ACCOMPLISHMENTS

Major Procurement efforts in 2014 included purchase of goods, services and construction work in support of the Authority's operating projects and Headquarters facilities, Life Extension and Modernization ("LEM") Program at Lewiston Pump Generating Plant, Transmission LEM and EE Programs. The Authority's Procurement Department is continuing efforts to enhance the SAP procurement and materials management system, as well as supporting the requirements of the Authority's operations and maintenance work, capital projects, EE Programs and Headquarters operations.

On November 6, 2014 the Procurement Department conducted its first Kaizen event under the direction of the NYS Lean Office to focus on improving business processes. From the event four initiatives were identified for streamlining the procurement bidding process. The outcomes of these initiatives are being tracked by NYPA's Process Excellence team and reported back to NYS. Procurement will continue to evaluate and monitor its bidding process to identify areas of improvement.

A pilot program was instituted at the Niagara Warehouse to implement a barcoding system for the more than 17,000 inventory items at the facility. The program is in its infant stages but is intended to increase inventory controls and efficiencies.

In an effort to improve efficiency and in response to an OSC Audit recommendation, the Real Estate Department designed, tested and implemented an electronic process tracking and recordkeeping system in 2014. The system will significantly enhance the Authority's ability to accurately document its real estate holdings and enhance the department's responsiveness to customers' needs.

Supplier Diversity Program ("SDP")

In New York State's 3rd fiscal quarter, October – December 2014, NYPA awarded $17.4 million or 20.37% of its reportable expenditures to New York State-certified MWBEs. For the calendar year January – December 2014, the Authority awarded $72.2 million or 21.87% of its reportable expenditures to New York State-certified MWBEs. This figure includes both direct contracts and subcontract expenditures and represents another all-time high for MWBE utilization for the Authority. Attachment I illustrates the dollars awarded by the Authority's Supplier Diversity program since 1996.

Reportable expenditures continue to exclude specialty procurements (such as high voltage transformers, circuit breakers, turbine runners, other major electrical generating and mechanical equipment, and commodities such as natural gas), where MWBEs are not available to provide such goods or services.

It should also be noted that during calendar year 2014, Treasury's transactions with four (4) different New York State-certified MWBE financial dealers totaled over $302 million in principal sales and purchases for the Authority.

The Service-Disabled Veteran-Owned Business Act, signed into law by Governor Andrew M. Cuomo on May 12, 2014, allows eligible Veteran business owners to become certified as a New York State SDVOB in order to increase participation in New York State's contracting opportunities. The Authority has been selected to participate in this initiative for New York State. Six percent (6%) SDVOB participation goals are sought annually from those participating in the pilot program.

Credit Card Procurement System

The Credit Card Procurement System (CCPS) is utilized to procure goods and services valued under $5,000. At the end of 2014, the Authority had approximately 125 cardholders in both headquarters and operating facilities. The average value of a single transaction was approximately $605. The average number of credit card transactions was 759 per month, with an average monthly value of $459,257, totaling more than $5.5 million in the report year. The total amount of the rebate received back from JP Morgan Chase based on credit card activity for the year 2014 was $49,518.

2014 ANNUAL REPORT OF PROCUREMENT CONTRACTS (Exhibit "A-3")

The Annual Report includes specific details for procurements of $5,000 or greater awarded since January 1, 1990 that were active in 2014. There were 2,257 such contracts with an estimated value of more than $7.5 billion, which also includes fossil fuel and corporate finance expenditures. Total procurement expenditures in 2014 exceeded $873 million. This amount included more than $385 million for the purchase of fossil fuels and related services.

As illustrated in Attachment II, the following is a breakdown of the total number of active contracts including fuels and corporate finance by contract type:

- 10% of these contracts are for Construction Services;
- 43% are for the purchase of Equipment and Commodities;
- 2% are for Architectural and Engineering Services;
- 1% are for Legal Services;
- 13% are for Personal Service contracts such as professional consulting services;
- 28% are for Non-Personal Service contracts such as maintenance, technicians, contracted personnel, maintenance and repairs;
- 3% are for Fuels.

Attachment III indicates that, based on the total value of the contracts included in the Annual Report, approximately 97% (including fuels and corporate finance) were for competitively bid contracts. In 2014, approximately 3% of contracts were sole/single-source awards which included the purchase of spare parts and services from original equipment manufacturers (OEM), procurements from proprietary sources and procurements required on an emergency basis.

Exhibit "A-2"
March 26, 2015

GUIDELINES FOR PROCUREMENT CONTRACTS

1. PURPOSE

These Guidelines for Procurement Contracts ("Guidelines") set forth the policy of the Authority regarding the solicitation and awarding of procurement contracts. The provisions of Article 4-C of the Economic Development Law, §§ 2879 and 2879-a of the Public Authorities Law, Article 15-A of the Executive Law and §§ 139-j and 139-k of the State Finance Law were considered in developing these Guidelines. Departments and facilities may adopt further procedures to implement these Guidelines.

2. DEFINITIONS

A. "Procurement Contracts" are contracts for the acquisition of goods and/or services in the actual or estimated amount of $5,000 or more. Such goods and/or services are those necessary to support the Authority's White Plains office, facilities, operations and maintenance ("O&M") and capital projects, including but not limited to goods such as office supplies, major electrical equipment, construction and maintenance work and services as more fully described in Section 2.C below.

B. "Non-Procurement Contracts" include contracts for energy with or without environmental attributes included, capacity, ancillary services, transmission, distribution or related services in support of providing service to Authority customers; contracts for differences; financial hedge contracts (including but not limited to swaps, calls, puts or swap options) and credit rating services. In addition, Non-Procurement Contracts include direct placement of advertisements with radio, television, print and electronic media, periodicals, subscriptions, reference materials or professional research tools, written materials, fees or tuition associated with continuing education courses, training courses, conferences, seminars and symposiums, funding agreements, co-funding agreements, grants or memberships in various industry groups, professional societies or similar cooperative associations, or any cooperative projects and procurement activities conducted or sponsored by such organizations in which the Authority participates.

C. "Services Contracts" are Procurement Contracts for services of a consulting, professional or technical nature provided by outside consultants/contractors (individuals, partnerships or firms who are not and do not employ officers or employees of the Authority) for a fee or other compensation. Services Contracts comprise three specific types: Personal Services, Non-Personal Services and Construction. Personal Services include, but are not limited to: accounting, architectural, engineering, financial advisory, legal, public relations, planning,

management consulting, surveying, training (when specifically developed by consultant for the Authority) and construction management. Non-Personal Services include, but are not limited to: skilled or unskilled temporary personnel, including clerical office staff, technicians or engineers working under Authority supervision; maintenance, repairs, and printing services. Construction consists of craft labor and other services utilizing laborers and/or mechanics not otherwise considered Non-Personal Services.

> Note: Use of such services may be appropriate (1) when a consultant/contractor possesses special experience, background or expertise; (2) when there is insufficient Authority staff and retention of a consultant/contractor is more appropriate or economical than hiring additional permanent staff; (3) to provide independent external review or a second opinion; (4) to meet unusual schedule requirements or emergencies or (5) for a combination of these factors.

D. "Goods" include equipment, material and supplies of any kind. Procurement Contracts that include both equipment and services may be classified as "Equipment," where 60% or more of the total projected contract value will be for the purchase of equipment, material or supplies.

E. "Evaluation of Proposals," as further set forth in Section 4 below, includes as evaluating factors the Authority's consideration of a bidder's skill, judgment and business integrity.

F. "Contact" is any oral, written or electronic communication with the Authority under circumstances where a reasonable person would infer that the communication was intended to influence the procurement.

G. "Relative" is any person living in the same household as the Authority employee or any person who is a direct descendant of the Authority employee's grandparents or the spouse of such descendant, as referred to in Subsection 9.E.1 of these Guidelines.

H. "Minority- and Women-owned Business Enterprise" ("MWBE") is defined as any New York State-certified business enterprise at least 51% of which is owned by black persons, Hispanics, Native Americans, Asians, Pacific Islanders and/or women, and as further described in the Authority's Supplier Diversity Program Policy and Procedures and Executive Law Article 15-A, and pursuant to the definition found in Executive Law § 310.

I. "Small Business" is a business that is resident in New York State, is independently owned and operated, not dominant in its field and employs not more than 300 people.

J. "Single Source" is a procurement in which the Authority, upon written findings setting forth material and substantial reasons, may award a contract (or amendment to a contract) to one offerer over another that can supply the goods or services.

K. "Sole Source" is a procurement in which only one offerer is capable of supplying the required goods or services.

3. SOLICITATION REQUIREMENTS

A. Preparation of the solicitation of proposals for Procurement Contracts is the joint responsibility of the White Plains Procurement Department, or the facilities' Procurement Departments, and the initiating department. Except as otherwise authorized by these Guidelines, a Request for Proposals ("RFP") or Request for Quotations ("RFQ") will be made available to a minimum of three providers and/or firms (if available) for purchases valued under $50,000 and a minimum of five providers and/or firms (if available) for purchases valued at $50,000 and greater, commensurate with the magnitude and nature of the goods and/or services, and the schedule for performance. Whenever possible and practicable, notification of the availability of an RFP and RFQ on the Authority's Procurement website should be sent to more than five providers.

B. Prospective bidders on Procurement Contracts may be prequalified by invitation. In such cases, proposals are requested only from those providers and/or firms whose prequalification submittals demonstrate sufficient ability and competence (including, but not limited to, the bidder's skill, judgment and business integrity) to supply the particular goods and/or perform the particular services required.

C. The Authority may withdraw any pending solicitation (including but not limited to RFPs and RFQs) at any time, for cause or no cause. Any person or entity submitting any responsive document to the Authority does so at its own cost or expense and will not be reimbursed by the Authority for the preparation of any responsive document, unless otherwise agreed to in writing and signed by an authorized Authority representative.

D. In order to promote the use of Minority- and Women-owned Business Enterprises ("MWBEs"), the Authority will solicit offers from MWBEs known to have experience in the type of goods and/or services to be provided, regardless of the type of contract. For the purpose of these Guidelines, the definition of a NYS-certified MWBE is in Section 2.H.

To foster increased use of MWBEs, a single proposal may be sought, negotiated and accepted for purchases of goods and/or services not exceeding $200,000, in the aggregate including all amendments, from a NYS-certified MWBE that offers a reasonable price for such goods and/or services. The award of such proposal

requires the written approval of the Vice President of Procurement. Any subsequent alteration to the accepted proposal, including, but not limited to, change orders, amendments, or supplemental terms shall also necessitate the written approval of the Vice President of Procurement. Furthermore, the award of such procurement contracts will be noticed on the *Contract Reporter* website, as further set forth in Section 3.H.

E. It is the policy of New York State to promote the participation of and maximize the opportunities for New York State Business Enterprises and New York State residents in Procurement Contracts. The Authority will endeavor to promote such participation and to comply with the applicable statutory provisions. In furtherance of Public Authorities Law § 2879, the following definitions and actions apply:

1. "New York State Business Enterprise" is a business enterprise, including a sole proprietorship, partnership or corporation that offers for sale or lease or other form of exchange, goods sought by the Authority that are substantially manufactured, produced or assembled in New York State or services, excluding construction services, sought by the Authority that are substantially performed within New York State as further described in Public Authorities Law § 2879.

2. "New York State resident" is a person who maintains a fixed, permanent and principal home in New York State to which such person, whenever temporarily located, always intends to return as further described in Public Authorities Law § 2879.

3. "Foreign Business Enterprise" is a business enterprise, including a sole proprietorship, partnership or corporation, that offers for sale, lease or other form of exchange, goods sought by the Authority that are substantially produced outside New York State, or services other than construction services, sought by the Authority that are substantially performed outside New York State as further described in Public Authorities Law § 2879. For purposes of construction services, Foreign Business Enterprise is a business enterprise, including a sole proprietorship, partnership or corporation, which has its principal place of business outside New York State.

4. "Discriminatory Jurisdiction" is any country, nation, province, state or political subdivision thereof which employs a preference or price distorting mechanism to the detriment of, or otherwise discriminates against, a New York State Business Enterprise in the procurement of goods and/or services by the same or a non-governmental entity influenced by the same.

5. Pursuant to Public Authorities Law § 2879, the Authority shall not enter into a contract with a Foreign Business Enterprise which has its principal place of business in a Discriminatory Jurisdiction contained on the list prepared by the Commissioner of the New York State Department of Economic Development ("DED"). The provisions of this section may be waived by the Authority's President and CEO if the CEO determines in writing that it is in the best interests of the Authority to do so, as further set forth in the above-referenced law.

6. Pursuant to Public Authorities Law § 2879, the Authority will, where feasible, make use of the stock item specification forms of New York State manufacturers, producers and/or assemblers, as made available by the Commissioner of General Services, for any Procurement Contract for the purchase of goods.

F. Goods and/or services may be procured pursuant to Procurement Contracts let by any department, agency, officer, political subdivision or instrumentality of the State (e.g., the New York State Office of General Services ("OGS")) or Federal government (e.g., General Services Administration ("GSA")) or any city or municipality where the White Plains Procurement Department, or facility Procurement Departments, and the initiating department determine that a reasonable potential exists for cost savings or other benefits to the Authority and have approved the specifications and proposed terms and conditions of such contract.

G. Solicitations will include a scope of work that defines the goods required and/or the services to be performed; milestone dates; the Authority's Supplier Diversity Program requirements, if applicable; all other applicable Authority requirements and any special methods or limitations that the Authority chooses to govern the work. Telephone solicitation, usually for procurements valued at less than $50,000, may be used where time constraints do not permit issuance of an RFP, where issuance of an RFP is otherwise impracticable or for goods that are catalog items or do not require a detailed bill of materials or specification. All solicitations made by telephone shall be documented and made part of the Procurement Record.

H. For all Procurement Contracts with a value equal to or greater than $50,000 (except for those contracts noted below), the Authority will, prior to soliciting proposals, submit the following information to the Commissioner of the DED to be included on the New York State *Contract Reporter* website, (www.nyscr.ny.gov) (unless such posting would serve no useful purpose): (1) the Authority's name and address; (2) the solicitation number; (3) a brief description of the goods and/or services sought, the location where goods are to be delivered and/or services provided and the contract term; (4) the address where bids or proposals are to be submitted; (5) the due date for bids or proposals; (6) a description of any eligibility or qualification requirements or preferences; (7) a statement as to whether the

contract requirements may be fulfilled by a subcontracting, joint venture or coproduction arrangement; (8) any other information deemed useful to potential contractors; (9) the name, address, and phone number of the person to be contacted for additional information and (10) a statement as to whether the goods and/or services sought have, in the immediately preceding three-year period, been supplied by a Foreign Business Enterprise. Such information will be submitted to the DED Commissioner in accordance with the schedule set forth by the DED. The due date for bids or proposals will be a minimum of 15 business days after the date of publication of such notice on the *Contract Reporter* website, except where a shorter period is specifically authorized by law. For Procurement Contracts resulting from a Request for Proposal process, the Authority will submit the results of the bid opening, including the names of firms submitting proposals and the name/s of the awardee/s, for inclusion on the *Contract Reporter* website. For all other Procurement Contracts, the name of the awardee will be submitted.

This section 3.H does <u>not</u> apply to (i) Procurement Contracts awarded on an emergency basis as described below in Section 3.M, (ii) Procurement Contracts being rebid or re-solicited for substantially the same goods and/or services, within 45 business days after the original due date, and/or (iii) Procurement Contracts awarded to not-for-profit human services providers. (See Article 4-C, Economic Development Law)

Certain Procurement Contracts may require purchases: (1) on a spot market; (2) needed prior to the time limits for noticing on the Contract Reporter website or that do not lend themselves to the solicitation process. Such purchases are exempted from the noticing requirements of Article 4-C of the Economic Development Law subject to the approval of the Vice President of Procurement, and/or the head of the initiating department that does not complete its procurements through the Procurement Department. From time to time or where appropriate, generic notices may be published on the Contract Reporter website notifying potential bidders of such opportunities and soliciting qualification statements for consideration by the Authority.

Notwithstanding the foregoing, submittal of a notice / announcement of award for inclusion on the *Contract Reporter* website is required for Procurement Contracts with a value of $50,000 or more awarded on a sole source or single source basis, including such contracts not exceeding $200,000 awarded to Small Businesses or NYS-certified MWBE firms, or for the purchase of goods and/or technology that are recycled or remanufactured, or for the purchase of food, including milk and milk products that are grown, produced or harvested in New York State, and certain other Procurement Contracts exempt from the general advertising requirement for procurement contract bidding opportunities, in accordance with the afore-referenced law. Such notice shall specify the name of the awardee.

I. In order to further increase participation of service-disabled veterans in New York State's contracting opportunities, the Service-Disabled Veteran-Owned Business ("SDVOB") Act was signed into law on May 12, 2014. The SDVOB program provides for eligible Veteran business owners to become certified as a New York State Service-Disabled Veteran-Owned Business. The Division of Service-Disabled Veterans' Business Development within the New York State Office of General Services ("OGS") is responsible for certifying eligible SDVOBs, assisting agencies and authorities in complying with the law, and promoting SDVOB participation in the state's procurement activities. The program is in development; the Authority has been selected to participate in a pilot SDVOB program and is complying with OGS-promulgated procedures and requirements.

J. Proposals for certain Services Contracts may also be solicited by competitive search, as follows:

For contracts where the scope of work cannot be well defined or quantified, or where selection requires evaluation of factors such as breadth and depth of experience in a unique or highly specialized field and suitability as an Authority representative, a "competitive search" will be conducted to determine which consultants are most qualified, for reasonable compensation terms, to perform the work. Depending on market conditions, at least five potential sources should be evaluated; if there are fewer than five sources, all sources should be evaluated. The White Plains Procurement Department or the appropriate facility Procurement Department will work with the initiating department to gather information from potential sources, that will include a description of the consultant/firm's qualifications, résumés of key personnel, past experience and proposed billing rates.

K. A Procurement Contract may be awarded on a Sole Source, Single Source, or other non-competitive basis where:

1. Compatibility of equipment, accessories or spare or replacement parts is the paramount consideration.
2. Services are required to extend or complement a prior procurement and it is impracticable or uneconomic to have a source other than the original source continue the work.
3. A sole supplier's item is needed for trial use or testing, or a proprietary item is sought for which there is only one source.
4. Other circumstances or work requirements exist that cause only one source to be available to supply the required goods and/or services.
5. The contract is awarded to a Small Business or to a NYS-certified MWBE firm for purchases not exceeding $200,000, pursuant to Section 3.D.
6. The contract is for the purchase of goods and/or technology that are recycled or remanufactured, in an amount not exceeding $200,000, subject to the approvals stated in Section 3.D.

7. The contract is for the purchase of commodities that are food, including milk and milk products, which are grown, produced or harvested in New York State, in an amount not exceeding $200,000, subject to the approvals stated in Section 3.D.
8. Purchases made on a Sole Source, Single Source, or other non-competitive basis are subject to Public Authorities Law § 2879-a, 2 NYCRR Part 206, entitled "Comptroller Approval of Contracts Made by State Authorities" ("Comptroller Regulations") and the State Authority Contract Manual.

L. Pursuant to Public Authorities Law § 2879-a, the Comptroller Regulations and the State Authority Contract Manual, the Authority may be required to submit certain contracts to the New York State Comptroller for approval that are awarded on a Sole Source, Single Source, or other non-competitive basis for the purchase of goods and/or services in an amount in excess of $1 million, and shall notify the successful bidder therefor. Such contracts or contract amendments shall not be valid and enforceable unless approved by the Comptroller or until 90 days have elapsed from such submission without action by the Comptroller, as further set forth in the referenced law and regulations.

M. Subject to the Authority's Expenditure Authorization Procedures ("EAPs"), and Public Authorities Law § 2879-a, the Comptroller Regulations and the State Authority Contract Manual, a Procurement Contract may be awarded without following the solicitation requirements that ordinarily apply (but using such competitive selection procedures as are practicable under the circumstances) where emergency conditions exist, such as:

1. A threat to the health or safety of the public or Authority employees or workers.
2. Proper functioning of the Authority facilities or construction or operating projects requires adherence to a schedule that does not permit time for an ordinary procurement solicitation.

N. Whenever an initiating department determines that a Procurement Contract should be awarded on a Single Source, Sole Source, or an emergency basis, the head of the department will provide a written statement explaining the reasons therefor to the White Plains Procurement Department or the appropriate facility Procurement Department. The award of such Procurement Contracts, regardless of value, requires the written approval of the Vice President of Procurement, except as noted in Section 3.H.

O. Every potential Sole Source or Single Source contract with a value of $1 million or more must be approved by the President and CEO or the COO prior to processing by the Procurement Department.

P. In furtherance of Public Authorities Law § 2800, when a procurement is made on a non-competitive basis, and the price for goods or services purchased exceeds fair market value, prior to making the purchase, the Business Unit Head of the initiating department shall provide a detailed explanation of the justification for making the purchase and a certification shall be signed by the Chief Executive Officer and Chief Financial Officer of the Authority stating that they have reviewed the terms of such purchase and determined that it complies with applicable law and procurement guidelines. The following definition shall apply: "Fair Market Value" shall mean the estimated dollar amount that a willing buyer would pay to a willing seller for property in an arms-length transaction in the appropriate marketplace and under similar circumstances. Fair market value may be determined by internal appraisals, industry-recognized sources, or other methods of valuation generally accepted in the industry in which such property is utilized, as may be approved by the Vice President of Procurement or authorized designee.

Q. It is the policy of New York State to discourage improper communications intended to influence a governmental procurement. The Authority will endeavor to control such practices and will comply with the applicable statutory provisions. In furtherance of the State Finance Law §§ 139-j and 139-k, the following definitions shall apply:

1. Pursuant to Article 11-B of the State Finance Law a "Procurement Contract" is any contract or other agreement for a commodity, service, technology, public work, construction, revenue contract, the purchase, sale or lease of real property or an acquisition or granting of other interest in real property that is the subject of a governmental procurement. Grants, contracts between the Authority and non-profit organizations pursuant to Article 11-B of the State Finance Law, intergovernmental agreements, railroad and utility force accounts, utility relocation project agreements or orders and eminent domain transactions are not Procurement Contracts.

2. The "Restricted Period" is the period of time commencing with the earliest written notice, advertisement or solicitation of a request for proposal, invitation for bids, or solicitation of proposals, or any other method for soliciting a response from bidders/contractors intending to result in a procurement contract with the Authority and ending with the final contract award.

3. The Authority shall designate a person or persons who may be contacted, with respect to each Authority procurement. The bidders/contractors or persons acting on their behalf, shall only contact the Authority's designated person or persons where a reasonable person would infer that the communication was intended to influence the procurement during the Restricted Period.

R. In furtherance of the Authority's commitment to ensure transparency and accountability of its operations, every member, officer or employee of the Authority who is contacted by a lobbyist is required to make a contemporaneous record of such contact, pursuant to Public Authorities Law § 2987 and as further set forth in the Authority's Corporate Policy regarding this matter.

S. Project Sunlight (Chapter 399, Part A, Section 4 of the Laws of 2011) requires the Authority to record in a database maintained by the New York State Office of General Services certain appearances between the Authority and individuals, firms or other entities (excluding elected officials and representatives of federal, state and local agencies and authorities) relating to the procurement of a contract, with a value of $25,000 or more, for real property, goods or services. Appearances are defined as an interaction through an in-person meeting or a video conference between covered individuals. Appearances related to emergency procurements and disposal of property through public auctions are excluded, as are appearances that take place during the formal "Restricted Period." Covered individuals at the Authority means an individual at the Authority who has the power to exercise discretion or advises someone who exercises discretion. A covered individual outside of the Authority means both "external" (e.g., a lobbyist) and "internal" (e.g., sales representative) representatives of an entity, individuals appearing on behalf of him/herself, advocacy groups or organizations or entities representing the interests or concerns of the organization or entity or of its members. All such appearances must be promptly reported to the Authority's Ethics and Compliance Office for recording in the Project Sunlight database.

4. EVALUATION OF PROPOSALS

A. Proposals will be evaluated using a fair and equitable comparison of all aspects of the proposals against the specifics of the solicitation and against each other, including an analysis of each offer that considers: the quality of the goods and/or the competence of the bidder (including, but not limited to, the bidder's skill, judgment and business integrity), the technical merit of the proposal and the price for which the goods and/or services are to be supplied.

In the event the price submitted by the bidder recommended to be awarded a contract exceeds the cost estimated, where a cost estimate is provided on the solicitation at the time of bidding, the initiating department will prepare a written explanation to be reviewed by the White Plains Procurement Department and/or the appropriate facility Procurement Department and appropriate managers as stipulated in the EAPs. The following options should be considered: (1) rejecting the bids, resoliciting proposals and/or modifying the scope of work; (2) revising the cost estimate and proceeding with the contract award and (3) negotiating with the low bidder(s), as determined by the Vice President of Procurement or equivalent(s)

or designee, to reduce the price quoted. Factors to be considered in reaching the proper course of action include but are not limited to: the effects of a delay on both the schedule and the cost of the specific capital construction project or outage at an operating facility, the magnitude of the contract, available bidders, the ability to attract additional competition if the solicitation is reissued, and the accuracy of the original cost estimate. The recommended course of action and the reasons therefor must be fully documented in a memorandum for consideration by the appropriate level of management prior to approval and placed in the appropriate procurement file.

B. Factors to be considered in evaluating the goods and/or services to be supplied and/or the competence of the bidder are: previous experience (including applicable experience in New York State and evaluations from other clients for whom the bidder has provided goods and/or services); the abilities and experience of the personnel to be assigned to the Authority's work and the ability to provide any needed advanced techniques such as simulation and modeling; and overall, the bidder's skill, judgment and business integrity. The approach proposed in meeting the exact requirements of the scope of work will be given consideration in evaluating the technical merit of the proposal, together with a well-organized task structure, the ability to timely supply the goods and/or perform the proposed services and the ability to meet Supplier Diversity Program goals, if any. The need to purchase the goods from and/or subcontract performance of services to others will be evaluated as to their effects on cost, as well as quality, schedule and overall performance.

C. For Services Contracts (as defined in Section 2.C of these Guidelines), the technical merits of the proposals and the experience and capabilities of the bidders will be the primary factors in determining the individual or firm to be awarded the contract, provided that the price for performing such work is reasonable and competitive.

D. For Procurement Contracts other than Personal Services (as defined in Section 2.C of these Guidelines), the award should generally be made to the lowest-priced firm submitting a proposal that meets the commercial and technical requirements of the bid documents.

E. Pursuant to § 139-k of the State Finance Law, the Authority shall not award a Procurement Contract (as defined in Subsection 3.Q.1 of these Guidelines) to a bidder/contractor who fails to provide timely, accurate and complete responses to inquiries about past determinations of non-responsibility (unless awarding the contract is necessary to protect public property or public health or safety and the bidder/contractor is the only source capable of supplying the required article of procurement within the necessary timeframe.)

A bidder's/contractor's knowing and willful violation of the Authority's policy providing for certain procurement disclosures shall result in a determination of non-responsibility of such bidder/contractor pursuant to State Finance Law §§ 139-j and 139-k only.

More than one determination of non-responsibility due to violations of State Finance Law § 139-k in a four-year period shall render a bidder/contractor ineligible to submit bids for four years from the second determination of non-responsibility.

F. An award to "other than low bidder" can be made only with the approval of appropriate management as stipulated in the EAPs, and should be based on such a proposal providing a clear advantage to the Authority over the lower-priced proposal. Factors justifying an "other than low bidder" award may include, but are not limited to: improved delivery schedules that will reduce outages; longer warranty periods; improved efficiency over the usable life of the equipment; reduced maintenance costs; the bidders' financial resources or the ability to meet or exceed Supplier Diversity Program goals; and overall, the bidder's skill, judgment and business integrity.

G. The specifications set forth in any solicitation prepared under these Guidelines were based upon information available at the time of the preparation of the solicitation. Thus, the Authority may diverge from the specifications of any solicitation if, after review of the proposals responsive to such solicitation, the Authority deems it prudent in light of its experience, the circumstances of the solicitation and/or potential cost savings.

5. RECOMMENDATION OF AWARD

A. A recommendation for approval of a proposed award of a Procurement Contract is usually prepared in the form of a memorandum or e-mail by the department requiring the goods and/or services. The recommendation must include an evaluation of proposals as specified in Article 4 above, as well as proposed specific compensation terms that provide a clear breakdown of cost factors and methods of calculation, including, as applicable:

1. Lump sum and/or unit prices for equipment and construction work.

2. Hourly or daily rates for personnel.

3. Markups for payroll taxes, fringe benefits, overhead and fees, if the proposal is based on reimbursement of actual payroll costs.

4. Terms for reimbursement of direct out-of-pocket expenses, such as travel and living costs, telephone charges, services of others and computer services.

5. Provisions, if any, for bonus/penalty arrangements based on target person-hours and/or target schedule.

B. The recommendation will also review any substantive exceptions to commercial and technical requirements of a price inquiry, RFP, RFQ or bidding documents, including but not limited to payment terms, warranties and bond requirements, if any.

6. AWARD OF CONTRACT

A. Services Contracts to be performed for a period of more than 12 months are approved and reviewed annually by the Trustees. Services Contracts for a period of less than 12 months are approved by authorized designees in accordance with existing EAPs. Extending a contract for services with an initial duration of less than 12 months beyond 12 months will be approved by the Trustees at the request of the initiating department and will be reviewed by the Trustees annually. Extending a contract for services, that has previously been approved by the Trustees, for a cumulative term of more than 12 months requires further Trustees' approval. Extending a contract, previously approved by the Trustees, for 12 months or less ("grace period") requires approval by an authorized designee in accordance with existing EAPs and concurrence by the Vice President of Procurement.

B. For Services Contracts to be performed for a period of more than 12 months that must be awarded prior to the next quarterly Trustees' meeting, the initial contract will be issued for the entire intended term of the contract. Based on its total term and value, such contract must be approved in writing by the appropriate management as set forth in the EAPs. Such contract is subject to the Trustees' approval, at the next quarterly Trustees' meeting. If such approval is not granted, the contract will be terminated immediately.

C. A contract or contract task is deemed to be for services in excess of 12 months where the contract does not specify a definite term and the work will not be completed within 12 months, and any "continuing services" contract with no fixed term that provides for the periodic assignment of specific tasks or particular requests for services. This includes Trustee-approved contracts for architect/ engineering services with the original engineers of operating facilities, as well as the original supplier of steam supply systems or boilers and turbine generating equipment. Each task authorized under such contracts (which may be referred to as a "Change Order," "Purchase Order" or "Task Number") is considered a separate commitment and must be separately approved in accordance with the EAPs.

D. The term of a Personal Services contract is limited to a maximum of five (5) years, including any extensions.

E. When time constraints or emergency conditions require extending an existing contract with an initial duration of less than a year beyond a year, and the cumulative monetary change order value does not exceed the appropriate limit set forth in the EAPs, the Business Unit Head, with the prior concurrence of the Vice President of Procurement or equivalent(s) or designee, may authorize extending such contract, subject to the Trustees ratifying such action as soon as practicable.

F. When the total estimated contract value or the value of the extension exceeds the monetary limits set forth in the EAPs, interim approval by the President and Chief Executive Officer or Chief Operating Officer or equivalent(s) or designee is required, subject to the Trustees ratifying such action as soon as practicable.

G. When time constraints or emergency conditions require immediate commencement of services to be performed for a period of more than one year, and when the contract value exceeds the monetary approval limit for the President and Chief Executive Officer or Chief Operating Officer or equivalent(s), as set forth in the EAPs, the President and Chief Executive Officer or Chief Operating Officer or equivalent(s) or designee, with the prior concurrence of the Vice President of Procurement or equivalent(s) or designee, may authorize in writing the commencement of such services. The initial compensation limitation may not exceed the authorization level for the President and Chief Executive Officer or equivalent(s) or Chief Operating Officer or equivalent(s) as set forth in the EAPs. Such contracts will be subject to the Trustees' approval, which will be solicited at their next scheduled Trustee meeting.

H. The White Plains Procurement Department or the facilities' Procurement Departments prepare the contract for execution by the Authority and the successful bidder. No work by the selected contractor will commence until the contract is executed by both parties, except that mutually signed letters of award or intent may initiate work prior to formal execution. Authority signatories of such letters must be authorized to approve contract awards pursuant to the EAPs.

I. Pursuant to Public Authorities Law § 2879, the Authority shall notify the Commissioner of Economic Development of the award of any Procurement Contract for the purchase of goods and/or services from a Foreign Business Enterprise (as defined in Subsection 3.E.3 of these Guidelines) in an amount equal to or greater than $1 million simultaneously with notifying the successful bidder therefor. The Authority shall not enter into the Procurement Contract for said goods and/or services until at least 15 days have elapsed from the notification of the award, except for a Procurement Contract awarded on an emergency or critical basis. The notification to the Commissioner shall include the name, address, telephone and facsimile number of the Foreign Business Enterprise, the amount of the proposed Procurement Contract and the name of the individual at

the Foreign Business Enterprise or acting on behalf of same who is principally responsible for the proposed Procurement Contract.

7. CONTRACT PROVISIONS

A. The following standard forms of contracts are available from the White Plains Procurement Department: purchase order format (for standard procurements of goods and/or services); furnish-and-deliver format (for major equipment purchases); long form agreements (for consulting services) and maintenance agreement formats; contract work orders (for construction work of small magnitude); construction contracts (for major construction work) and furnish, deliver and install contracts (for specialized, major procurements where single responsibility is required for procurement and installation). These contract forms are intended to govern the purchase of goods and/or performance of services.

Authority departments proposing to initiate a Procurement Contract should review these forms to suggest any modifications and additions that may be required for the particular goods and/or services. Under no circumstances should contract forms be shown to proposed bidders without the prior approval of the Procurement Department, which, along with the facilities' Procurement Departments, is solely responsible for requesting proposals.

B. The following types of provisions setting forth contractor responsibilities are to be contained in the standard forms of Procurement Contracts, except that any provisions listed below that are inapplicable or unnecessary because of the nature or duration of the work to be performed, the location(s) where the work is to be performed or the type of compensation being paid therefor, need not be included. Other provisions may be added as necessary and appropriate.

1. Schedule of Services or Specifications
2. Time of Completion
3. Compensation or Itemized Proposals
4. Relationship of Parties
5. Delays
6. Termination
7. Changes in the Work
8. Claims and Disputes
9. Warranty
10. Insurance
11. Records, Accounts, Inspection and Audit
12. Assignment
13. Notices
14. Indemnification
15. Governing Law

16. Proprietary Nature of Work
17. Testimony
18. Entire Agreement

Contract Attachments

1. Compensation Schedule
2. Schedule of Services or Specifications
3. Appendix "A" (Miscellaneous Statutory Provisions)
4. Appendix "B" (Prompt Payment Provisions)
5. Appendix "C" (Minority- and Women-owned Business Enterprise (MWBE) Participation Goal Requirement)
6. Appendix "D" (Background Security Screening for Authority Contractors)
7. Appendix "E" (Omnibus Procurement Act of 1992 Requirements)
8. Appendix "F" (Computer Aided Design Requirements For New York Power Authority Drawings)
9. Appendix "G" (Equal Employment Opportunities Requirements)
10. Appendix "H" (Tax Law Requirements)
11. Appendix "I" (New York Power Authority (NYPA) North American Electric Reliability Corporation Critical Infrastructure Protection (NERC CIP) Cyber Access And/Or Unescorted Physical Access Training Requirements)
12. Appendix "J" (Bidder/Contractor Compliance with State Finance Law §§ 139-j and 139-k Providing for Certain Procurement Disclosures)
13. Appendix "K" (Additional State and Federal Provisions Required for American Recovery and Reinvestment Act (Pub. L. No. 111-5 Stat. 2009) ("ARRA") Funded Projects) - inactive
14. Appendix "L" (DOE Federal Contract Provisions) - inactive
15. Appendix "M" (Use of Ultra Low Sulfur Diesel Fuel and Best Available Retrofit Technology ("BART") for Heavy Duty Vehicles)
16. Appendix "N" (The New York State Iran Divestment Act of 2012 – Iranian Energy Sector Divestment)
17. Appendix "O" (Encouraging Use of New York State Businesses in Contract Performance)

C. If a vendor (firm, person or other entity) participates in the development or writing of the specifications for a procurement solicitation, such vendor shall not be permitted to bid on such procurement, either as a prime vendor or as a subcontractor at any level. Contracts for evaluation of offers for products or services shall not be awarded to a vendor that would then evaluate its own offers for products or services. The above restrictions shall not apply where:

1. The vendor is the sole source or single source of the product or service;
2. More than one vendor has been involved in preparing the specifications for a procurement proposal; or

3. The originating Authority Business Unit determines in writing that the restrictions are not in the best interests of the Authority. Such originating Business Unit shall obtain the approval of the applicable Business Unit Head or equivalent(s), Vice President of Procurement or equivalent(s) or designee, Assistant General Counsel or equivalent(s) and President and Chief Executive Officer or designee or Chief Operating Officer or equivalent(s) to waive this restriction on a case-by-case basis.

8. CHANGE ORDERS

A. Change Orders to existing contracts are justified in the following cases:

1. To incorporate additional work related to the original scope, to delete work or to otherwise modify the original work scope;

2. To exercise options previously included in the original contract to perform additional work or to extend the contract term;

3. To accommodate emergency conditions, defined in Section 3.M herein, that require the immediate performance of work by a firm already under contract;

4. When rebidding would not be practical or in the best interests of the Authority's customers; and

5. To meet the Authority's Supplier Diversity Program goals in accordance with Executive Law Article 15-A.

B. All Change Orders must be approved in accordance with the Authority's EAPs, and should include specific schedules for completion of work at the earliest possible time.

C. Pursuant to Public Authorities Law § 2879-a, the Comptroller Regulations and the State Authority Contract Manual, the Authority may be required to submit certain Change Orders to the New York State Comptroller for filing or approval where the aggregate value of the contract as amended is in excess of $1 million and the original contract was awarded on the basis of a competitive procurement, but the modification was neither contemplated nor provided for in the solicitation for such competitive procurement.

9. CONTRACTING DECISIONS INVOLVING CURRENT OR FORMER EMPLOYEES

A. Former Authority officers and employees are eligible to be considered for employment as contractors and/or consultants provided that they meet all criteria for contractors and/or consultants generally as specified in these Guidelines; their employment is not barred by New York Public Officers Law § 73(8); they obtain an opinion by the New York State Commission on Public Integrity that such employment is permissible; and upon approval of the President and Chief Executive Officer.

B. Pursuant to the provisions of New York Public Officers Law § 73(8):

1. No Authority officer or employee is eligible, within a period of two years after the termination of Authority service to appear or practice before the Authority or receive compensation for any services rendered on behalf of any person, firm, corporation or association, in relation to any case, proceeding or application or other matter before the Authority.

2. No Authority officer or employee is eligible, at any time after the termination of Authority service, to appear, practice, communicate or otherwise render services before the Authority or any other state agency or receive compensation for any such services rendered on behalf of any person, firm, corporation or other entity in relation to any case, proceeding, application or transaction that such person was directly concerned with and personally participated in during his or her period of service, or which was under his or her active consideration.

C. No Authority employee who is involved in the award of Authority grants or contracts may ask any officer, director or employee of such current or prospective contractor or grantee to reveal: (a) the political party affiliation of the individual; (b) whether the individual or entity has made campaign contributions to any political party, elected official or candidate for elective office or (c) whether the individual voted for or against any political party, elected official or candidate for elective office.

D. No Authority employee may award or decline to award any grant or contract, or recommend, promise or threaten to do so because of a current or prospective grantee's or contractor's: (a) refusal to answer any inquiry prohibited by Section 9.C above or (b) giving or withholding or neglecting to make any contribution of money, service or any other valuable thing for any political purpose.

E. No Authority employee may take part in any contracting decision involving the payment of more than $1,000: (i) to a Relative; or (ii) to any entity in which the Authority employee or a Relative of such Authority employee owns or controls 10% or more of the stock of such entity (or 1% in the case of a corporation whose stock is regularly traded on an established securities exchange); or serves as an

officer, director or partner of that entity. If a contracting matter arises relating to this Section 9.E, then the employee must advise his or her supervisor of the relationship, and must be recused from any and all discussions or decisions relating to the matter.

1. For purposes of this Section 9.E, the term "Relative" is defined in Definitions, Section 2.G of these Guidelines.

10. SUPPLIER DIVERSITY PROGRAM REQUIREMENTS

The Authority strives to continue to foster the development of business opportunities on Authority contracts for MWBEs. Article 15-A of the Executive Law established the NYS Office (now Division) of Minority and Women's Business Development ("DMWBD") that is responsible for developing rules and regulations for implementation of this statute, certifying MWBEs and reviewing and monitoring goal plans, compliance reports and contract provisions to be included in all non-construction contracts for more than $25,000 and construction contracts for more than $100,000. The definition of an MWBE is included in Section 2.H of these Guidelines. The Authority aims to solicit proposals from NYS-certified MWBEs that are qualified to perform the required work. In addition, specific goals may be included in certain contracts for consulting work, construction and procurement of goods and other services requiring the contractor/vendor to subcontract a portion of the work to NYS-certified MWBEs as required by law. Bidders' proposals will include a completed Utilization Plan Form for MWBEs, where required, and such bidders' failure to meet these requirements may be grounds for rejection of the proposal, or cancellation of the contract if a contractor did not make a good faith effort to meet its goals after contract award. MWBE Utilization Plans for Construction contracts valued at more than $100,000 shall also be posted on the Procurement website by the successful vendor within ten business days of contract signing.

Pursuant to § 2879 of the Public Authorities Law and as further set forth in the Authority's Supplier Diversity Program documents, the following guidelines apply:

1. Identify those areas or types of contracts for which MWBEs may best bid so as to promote and assist participation by such enterprises and facilitate a fair share of the awarding of contracts to such enterprises.
2. Provide notice, in addition to any other notice of procurement opportunities required by law, to professional and other organizations that serve MWBEs providing the types of services procured by the Authority.
3. Maintain lists of qualified NYS-certified MWBEs, including professional firms that have expressed an interest in doing business with the Authority and ensuring that such lists are updated regularly. The Authority shall also consult the lists of NYS-certified MWBEs maintained by the DED pursuant to Executive Law Article 15-A.

4. Establish appropriate goals for participation by MWBEs in procurement contracts awarded by the Authority and for the utilization of MWBEs as subcontractors and suppliers by entities having procurement contracts with the Authority. Statewide numerical participation target goals shall be established by the Authority based on the criteria set forth in Public Authorities Law § 2879.

5. Conduct procurements in a manner that will enable the Authority to achieve the maximum feasible portion of the goals established pursuant to Subdivision 4 of this Section and that eliminates barriers to participation by MWBEs in the Authority's procurements.

6. Designate one or more senior staff of the Authority to oversee the Authority's programs established to promote and assist participation by and utilization of NYS-certified MWBEs.

11. PROCUREMENT RECORD AND REPORTING

A. Procurement Record

The White Plains Procurement Department maintains records of Procurement Contracts, including bidders' names, the selection processes used and the status of existing contracts, including goods provided and/or services performed and fees earned, billed and paid. At the facilities, such records will be kept by the facilities' Procurement Departments.

B. Procurement Report

After the end of each calendar year, the Vice President of Procurement or equivalent(s) will prepare and submit an annual report to the Trustees for their approval that will include:

1. A copy of the Guidelines;
2. An explanation of the Guidelines and any amendments thereto since the last annual report;
3. A list of all Procurement Contracts entered into since the last annual report, including all contracts entered into with New York State Business Enterprises and the subject matter and value thereof and all contracts entered into with Foreign Business Enterprises and the subject matter and value thereof;
4. A list of fees, commissions or other charges paid;
5. A description of work performed, the contract number, the date of the contract and its duration, the name, address and NYS-certified MWBE designation of the awardees, the total amount of the contract, the amount spent on the contract during the reporting period and for the term of the

contract to date and the status of open Procurement Contracts during the report year;

6. The type of contract (equipment, services, personal services or construction);
7. The method of awarding the contract (e.g., competitive bidding, Sole Source, Single Source or competitive search);
8. The reasons why any procurements with a value equal to or greater than $50,000 were not noticed in the Contract Reporter;
9. The number of bids received and
10. All referrals made and all penalties imposed, if any, pursuant to § 316 of the Executive Law.

C. Such annual report, as approved by the Trustees, shall be submitted to the New York State Division of the Budget within 90 days of the end of such calendar year, and copies thereof shall be distributed to the New York State Department of Audit and Control, the DED, the New York State Senate Finance Committee, and the New York State Assembly Ways and Means Committee and any other entity as may be required by law. The annual procurement report is posted on the Authority's website and copies shall be made available to the public upon reasonable written request therefor.

D. State Finance Law §§ 139-j and 139-k

1. A statement describing the basis for a determination of a bidder's/contractor's non-responsibility (per State Finance Law §§ 139-j and 139-k only) and the Authority's decision not to award a bidder/contractor the Procurement Contract must be included in the procurement record.

2. The Authority shall notify the New York State Office of General Services of bidders/contractors who have been determined to be non-responsible bidders (per State Finance Law §§ 139-j and 139-k only) or debarred due to violations of § 139-j of the State Finance Law.

3. All forms entitled "Record of Contact" shall be included in the respective procurement record.

4. A statement describing the basis for a termination of a Procurement Contract for providing an intentionally false certification must be included in the procurement record.

E. The Authority may be called upon periodically to submit information regarding the procurement of goods and/or services to organizations implementing the PAAA or other statutes regulating the procurement of goods and services, such as the Authorities Budget Office through the Public Authorities Reporting Information System ("PARIS").

12. THIRD PARTY RIGHTS: VALIDITY OF CONTRACTS

A. These Guidelines are intended for the guidance of officers and employees of the Authority only. Nothing contained herein is intended, nor should it be construed, to confer on any person, firm or corporation any right, remedy, claim or benefit under, or by reason of, any requirement or provision hereof.

B. Nothing contained in these Guidelines alters or affects the validity of, modifies the terms of or impairs any contract or agreement entered into in violation of these Guidelines.

Exhibit "A-2"
March 2~~5~~6, 2014~~5~~

GUIDELINES FOR PROCUREMENT CONTRACTS

1. PURPOSE

These Guidelines for Procurement Contracts ("Guidelines") set forth the policy of the Authority regarding the solicitation and awarding of procurement contracts. The provisions of Article 4-C of the Economic Development Law, §§ 2879 and 2879-a of the Public Authorities Law, Article 15-A of the Executive Law and §§ 139-j and 139-k of the State Finance Law were considered in developing these Guidelines. Departments and facilities may adopt further procedures to implement these Guidelines.

2. DEFINITIONS

A. "Procurement Contracts" are contracts for the acquisition of goods and/or services in the actual or estimated amount of $5,000 or more. Such goods and/or services are those necessary to support the Authority's White Plains office, facilities, operations and maintenance ("O&M") and capital projects, including but not limited to goods such as office supplies, major electrical equipment, construction and maintenance work and services as more fully described in Section 2.C below.

B. "Non-Procurement Contracts" include contracts for energy with or without environmental attributes included, capacity, ancillary services, transmission, distribution or related services in support of providing service to Authority customers; contracts for differences; financial hedge contracts (including but not limited to swaps, calls, puts or swap options) and credit rating services. In addition, Non-Procurement Contracts include direct placement of advertisements with radio, television, print and electronic media, periodicals, subscriptions, reference materials or professional research tools, written materials, fees or tuition associated with continuing education courses, training courses, conferences, seminars and symposiums, funding agreements, co-funding agreements, grants or memberships in various industry groups, professional societies or similar cooperative associations, or any cooperative projects and procurement activities conducted or sponsored by such organizations in which the Authority participates.

C. "Services Contracts" are Procurement Contracts for services of a consulting, professional or technical nature provided by outside consultants/contractors (individuals, partnerships or firms who are not and do not employ officers or employees of the Authority) for a fee or other compensation. Services Contracts comprise three specific types: Personal Services, Non-Personal Services and Construction. Personal Services include, but are not limited to: accounting, architectural, engineering, financial advisory, legal, public relations, planning,

management consulting, surveying, training (when specifically developed by consultant for the Authority) and construction management. Non-Personal Services include, but are not limited to: skilled or unskilled temporary personnel, including clerical office staff, technicians or engineers working under Authority supervision; maintenance, repairs, and printing services. Construction consists of craft labor and other services utilizing laborers and/or mechanics not otherwise considered Non-Personal Services.

Note: Use of such services may be appropriate (1) when a consultant/contractor possesses special experience, background or expertise; (2) when there is insufficient Authority staff and retention of a consultant/contractor is more appropriate or economical than hiring additional permanent staff; (3) to provide independent external review or a second opinion; (4) to meet unusual schedule requirements or emergencies or (5) for a combination of these factors.

D. "Goods" include equipment, material and supplies of any kind. Procurement Contracts that include both equipment and services may be classified as "Equipment," where 60% or more of the total projected contract value will be for the purchase of equipment, material or supplies.

E. "Evaluation of Proposals," as further set forth in Section 4 below, includes as evaluating factors the Authority's consideration of a bidder's skill, judgment and business integrity.

F. "Contact" is any oral, written or electronic communication with the Authority under circumstances where a reasonable person would infer that the communication was intended to influence the procurement.

G. "Relative" is any person living in the same household as the Authority employee or any person who is a direct descendant of the Authority employee's grandparents or the spouse of such descendant, as referred to in Subsection 9.E.1 of these Guidelines.

H. "Minority- and Women-owned Business Enterprise" ("M/WBE") is defined as any New York State-certified business enterprise at least 51% of which is owned by black persons, Hispanics, Native Americans, Asians, Pacific Islanders and/or women, and as further described in the Authority's Supplier Diversity Program Policy and Procedures and Executive Law Article 15-A, and pursuant to the definition found in Executive Law § 310.

I. "Small Business" is a business that is resident in New York State, is independently owned and operated, not dominant in its field and employs not more than 300 people.

J. "Single Source" is a procurement in which the Authority, upon written findings setting forth material and substantial reasons, may award a contract (or amendment to a contract) to one offerer over another that can supply the goods or services.

K. "Sole Source" is a procurement in which only one offerer is capable of supplying the required goods or services.

3. SOLICITATION REQUIREMENTS

A. Preparation of the solicitation of proposals for Procurement Contracts is the joint responsibility of the White Plains Procurement Department, or the facilities' Procurement Departments, and the initiating department. Except as otherwise authorized by these Guidelines, a Request for Proposals ("RFP") or Request for Quotations ("RFQ") will be made available to a minimum of three providers and/or firms (if available) for purchases valued under $~~2~~50,000 and a minimum of five providers and/or firms (if available) for purchases valued at $~~2~~50,000 and greater, commensurate with the magnitude and nature of the goods and/or services, and the schedule for performance. Whenever possible and practicable, notification of the availability of an RFP and RFQ on the Authority's Procurement website should be sent to more than five providers.

B. Prospective bidders on Procurement Contracts may be prequalified by invitation. In such cases, proposals are requested only from those providers and/or firms whose prequalification submittals demonstrate sufficient ability and competence (including, but not limited to, the bidder's skill, judgment and business integrity) to supply the particular goods and/or perform the particular services required.

C. The Authority may withdraw any pending solicitation (including but not limited to RFPs and RFQs) at any time, for cause or no cause. Any person or entity submitting any responsive document to the Authority does so at its own cost or expense and will not be reimbursed by the Authority for the preparation of any responsive document, unless otherwise agreed to in writing and signed by an authorized Authority representative.

D. In order to promote the use of Minority- and Women-owned Business Enterprises ("M~~/~~WBEs"), the Authority will solicit offers from M~~/~~WBEs known to have experience in the type of goods and/or services to be provided, regardless of the type of contract. For the purpose of these Guidelines, the definition of a NYS-certified M~~/~~WBE is in Section 2.H.

To foster increased use of M~~/~~WBEs, a single proposal may be sought, negotiated and accepted for purchases of goods and/or services not exceeding $200,000, in the aggregate including all amendments, from a NYS-certified M~~/~~WBE that offers a reasonable price for such goods and/or services. The award of such proposal

requires the written approval of the Vice President of Procurement. Any subsequent alteration to the accepted proposal, including, but not limited to, change orders, amendments, or supplemental terms shall also necessitate the written approval of the Vice President of Procurement. Furthermore, the award of such procurement contracts will be noticed on the *Contract Reporter* website, as further set forth in Section 3.H.

E. It is the policy of New York State to promote the participation of and maximize the opportunities for New York State Business Enterprises and New York State residents in Procurement Contracts. The Authority will endeavor to promote such participation and to comply with the applicable statutory provisions. In furtherance of Public Authorities Law § 2879, the following definitions and actions apply:

1. "New York State Business Enterprise" is a business enterprise, including a sole proprietorship, partnership or corporation that offers for sale or lease or other form of exchange, goods sought by the Authority that are substantially manufactured, produced or assembled in New York State or services, excluding construction services, sought by the Authority that are substantially performed within New York State as further described in Public Authorities Law § 2879.

2. "New York State resident" is a person who maintains a fixed, permanent and principal home in New York State to which such person, whenever temporarily located, always intends to return as further described in Public Authorities Law § 2879.

3. "Foreign Business Enterprise" is a business enterprise, including a sole proprietorship, partnership or corporation, that offers for sale, lease or other form of exchange, goods sought by the Authority that are substantially produced outside New York State, or services other than construction services, sought by the Authority that are substantially performed outside New York State as further described in Public Authorities Law § 2879. For purposes of construction services, Foreign Business Enterprise is a business enterprise, including a sole proprietorship, partnership or corporation, which has its principal place of business outside New York State.

4. "Discriminatory Jurisdiction" is any country, nation, province, state or political subdivision thereof which employs a preference or price distorting mechanism to the detriment of, or otherwise discriminates against, a New York State Business Enterprise in the procurement of goods and/or services by the same or a non-governmental entity influenced by the same.

5. Pursuant to Public Authorities Law § 2879, the Authority shall not enter into a contract with a Foreign Business Enterprise which has its principal place of business in a Discriminatory Jurisdiction contained on the list prepared by the Commissioner of the New York State Department of Economic Development ("DED"). The provisions of this section may be waived by the Authority's President and CEO if the CEO determines in writing that it is in the best interests of the Authority to do so, as further set forth in the above-referenced law.

6. Pursuant to Public Authorities Law § 2879, the Authority will, where feasible, make use of the stock item specification forms of New York State manufacturers, producers and/or assemblers, as made available by the Commissioner of General Services, for any Procurement Contract for the purchase of goods.

F. Goods and/or services may be procured pursuant to Procurement Contracts let by any department, agency, officer, political subdivision or instrumentality of the State (e.g., the New York State Office of General Services ("OGS")) or Federal government (e.g., General Services Administration ("GSA")) or any city or municipality where the White Plains Procurement Department, or facility Procurement Departments, and the initiating department determine that a reasonable potential exists for cost savings or other benefits to the Authority and have approved the specifications and proposed terms and conditions of such contract.

G. Solicitations will include a scope of work that defines the goods required and/or the services to be performed; milestone dates; the Authority's Supplier Diversity Program requirements, if applicable; all other applicable Authority requirements and any special methods or limitations that the Authority chooses to govern the work. Telephone solicitation, usually for procurements valued at less than $~~2~~50,000 ~~or less~~, may be used where time constraints do not permit issuance of an RFP, where issuance of an RFP is otherwise impracticable or for goods that are catalog items or do not require a detailed bill of materials or specification. All solicitations made by telephone shall be documented and made part of the Procurement Record.

H. For all Procurement Contracts with a value equal to or greater than $~~1~~50,000 (except for those contracts noted below), the Authority will, prior to soliciting proposals, submit the following information to the Commissioner of the DED to be included on the New York State *Contract Reporter* website, (www.nyscr.ny.gov) (unless such posting would serve no useful purpose): (1) the Authority's name and address; (2) the solicitation number; (3) a brief description of the goods and/or services sought, the location where goods are to be delivered and/or services provided and the contract term; (4) the address where bids or proposals are to be submitted; (5) the due date for bids or proposals; (6) a description of any

eligibility or qualification requirements or preferences; (7) a statement as to whether the contract requirements may be fulfilled by a subcontracting, joint venture or coproduction arrangement; (8) any other information deemed useful to potential contractors; (9) the name, address, and phone number of the person to be contacted for additional information and (10) a statement as to whether the goods and/or services sought have, in the immediately preceding three-year period, been supplied by a Foreign Business Enterprise. Such information will be submitted to the DED Commissioner in accordance with the schedule set forth by the DED. The due date for bids or proposals will be a minimum of 15 business days after the date of publication of such notice on the *Contract Reporter* website, except where a shorter period is specifically authorized by law. For Procurement Contracts resulting from a Request for Proposal process, the Authority will submit the results of the bid opening, including the names of firms submitting proposals and the name/s of the awardee/s, for inclusion on the *Contract Reporter* website. For all other Procurement Contracts, the name of the awardee will be submitted.

This section 3.H does not apply to (i) Procurement Contracts awarded on an emergency basis as described below in Section 3.~~L~~M, (ii) Procurement Contracts being rebid or re-solicited for substantially the same goods and/or services, within 45 business days after the original due date, and/or (iii) Procurement Contracts awarded to not-for-profit human services providers. (See Article 4-C, Economic Development Law)

Certain Procurement Contracts may require purchases: (1) on a spot market; (2) needed prior to the time limits for noticing on the Contract Reporter website or that do not lend themselves to the solicitation process. Such purchases are exempted from the noticing requirements of Article 4-C of the Economic Development Law subject to the approval of the Vice President of Procurement, and/or the head of the initiating department that does not complete its procurements through the Procurement Department. From time to time or where appropriate, generic notices may be published on the Contract Reporter website notifying potential bidders of such opportunities and soliciting qualification statements for consideration by the Authority.

Notwithstanding the foregoing, submittal of a notice / announcement of award for inclusion on the *Contract Reporter* website is required for Procurement Contracts with a value of $~~1~~50,000 or more awarded on a sole source or single source basis, including such contracts not exceeding $200,000 awarded to Small Businesses or NYS-certified M~~/~~WBE firms, or for the purchase of goods and/or technology that are recycled or remanufactured, or for the purchase of food, including milk and milk products that are grown, produced or harvested in New York State, and certain other Procurement Contracts exempt from the general advertising requirement for procurement contract bidding opportunities, in accordance with the afore-referenced law. Such notice shall specify the name of the awardee.

I. In order to further increase participation of service-disabled veterans in New York State's contracting opportunities, the Service-Disabled Veteran-Owned Business ("SDVOB") Act was signed into law on May 12, 2014. The SDVOB program provides for eligible Veteran business owners to become certified as a New York State Service-Disabled Veteran-Owned Business. The Division of Service-Disabled Veterans' Business Development within the New York State Office of General Services ("OGS") is responsible for certifying eligible SDVOBs, assisting agencies and authorities in complying with the law, and promoting SDVOB participation in the state's procurement activities. The program is in development; the Authority has been selected to participate in a pilot SDVOB program and is complying with OGS-promulgated procedures and requirements.

~~I.~~J. Proposals for certain Services Contracts may also be solicited by competitive search, as follows:

For contracts where the scope of work cannot be well defined or quantified, or where selection requires evaluation of factors such as breadth and depth of experience in a unique or highly specialized field and suitability as an Authority representative, a "competitive search" will be conducted to determine which consultants are most qualified, for reasonable compensation terms, to perform the work. Depending on market conditions, at least five potential sources should be evaluated; if there are fewer than five sources, all sources should be evaluated. The White Plains Procurement Department or the appropriate facility Procurement Department will work with the initiating department to gather information from potential sources, that will include a description of the consultant/firm's qualifications, résumés of key personnel, past experience and proposed billing rates.

~~J.~~K. A Procurement Contract may be awarded on a Sole Source, Single Source, or other non-competitive basis where:

1. Compatibility of equipment, accessories or spare or replacement parts is the paramount consideration.
2. Services are required to extend or complement a prior procurement and it is impracticable or uneconomic to have a source other than the original source continue the work.
3. A sole supplier's item is needed for trial use or testing, or a proprietary item is sought for which there is only one source.
4. Other circumstances or work requirements exist that cause only one source to be available to supply the required goods and/or services.
5. The contract is awarded to a Small Business or to a NYS-certified M~~/~~WBE firm for purchases not exceeding $200,000, pursuant to Section 3.D.
6. The contract is for the purchase of goods and/or technology that are recycled or remanufactured, in an amount not exceeding $200,000, subject to the approvals stated in Section 3.D.

7. The contract is for the purchase of commodities that are food, including milk and milk products, which are grown, produced or harvested in New York State, in an amount not exceeding $200,000, subject to the approvals stated in Section 3.D.
8. Purchases made on a Sole Source, Single Source, or other non-competitive basis are subject to Public Authorities Law § 2879-a, 2 NYCRR Part 206, entitled "Comptroller Approval of Contracts Made by State Authorities" ("Comptroller Regulations") and the State Authority Contract Manual.

~~K.~~L. Pursuant to Public Authorities Law § 2879-a, the Comptroller Regulations and the State Authority Contract Manual, the Authority may be required to submit certain contracts to the New York State Comptroller for approval that are awarded on a Sole Source, Single Source, or other non-competitive basis for the purchase of goods and/or services in an amount in excess of $1 million, and shall notify the successful bidder therefor. Such contracts or contract amendments shall not be valid and enforceable unless approved by the Comptroller or until 90 days have elapsed from such submission without action by the Comptroller, as further set forth in the referenced law and regulations.

~~L.~~M. Subject to the Authority's Expenditure Authorization Procedures ("EAPs"), and Public Authorities Law § 2879-a, the Comptroller Regulations and the State Authority Contract Manual, a Procurement Contract may be awarded without following the solicitation requirements that ordinarily apply (but using such competitive selection procedures as are practicable under the circumstances) where emergency conditions exist, such as:

1. A threat to the health or safety of the public or Authority employees or workers.
2. Proper functioning of the Authority facilities or construction or operating projects requires adherence to a schedule that does not permit time for an ordinary procurement solicitation.

~~M.~~N. Whenever an initiating department determines that a Procurement Contract should be awarded on a Single Source, Sole Source, or an emergency basis, the head of the department will provide a written statement explaining the reasons therefor to the White Plains Procurement Department or the appropriate facility Procurement Department. The award of such Procurement Contracts, regardless of value, requires the written approval of the Vice President of Procurement, except as noted in Section 3.H.

~~N.~~O. Every potential Sole Source or Single Source contract with a value of $1 million or more must be approved by the President and CEO or the COO prior to processing by the Procurement Department.

~~O.~~P. In furtherance of Public Authorities Law § 2800, when a procurement is made on a non-competitive basis, and the price for goods or services purchased exceeds fair market value, prior to making the purchase, the Business Unit Head of the initiating department shall provide a detailed explanation of the justification for making the purchase and a certification shall be signed by the Chief Executive Officer and Chief Financial Officer of the Authority stating that they have reviewed the terms of such purchase and determined that it complies with applicable law and procurement guidelines. The following definition shall apply: "Fair Market Value" shall mean the estimated dollar amount that a willing buyer would pay to a willing seller for property in an arms-length transaction in the appropriate marketplace and under similar circumstances. Fair market value may be determined by internal appraisals, industry-recognized sources, or other methods of valuation generally accepted in the industry in which such property is utilized, as may be approved by the Vice President of Procurement or authorized designee.

~~P.~~Q. It is the policy of New York State to discourage improper communications intended to influence a governmental procurement. The Authority will endeavor to control such practices and will comply with the applicable statutory provisions. In furtherance of the State Finance Law §§ 139-j and 139-k, the following definitions shall apply:

1. Pursuant to Article 11-B of the State Finance Law a "Procurement Contract" is any contract or other agreement for a commodity, service, technology, public work, construction, revenue contract, the purchase, sale or lease of real property or an acquisition or granting of other interest in real property that is the subject of a governmental procurement. Grants, contracts between the Authority and non-profit organizations pursuant to Article 11-B of the State Finance Law, intergovernmental agreements, railroad and utility force accounts, utility relocation project agreements or orders and eminent domain transactions are not Procurement Contracts.

2. The "Restricted Period" is the period of time commencing with the earliest written notice, advertisement or solicitation of a request for proposal, invitation for bids, or solicitation of proposals, or any other method for soliciting a response from bidders/contractors intending to result in a procurement contract with the Authority and ending with the final contract award.

3. The Authority shall designate a person or persons who may be contacted, with respect to each Authority procurement. The bidders/contractors or persons acting on their behalf, shall only contact the Authority's designated person or persons where a reasonable person would infer that the communication was intended to influence the procurement during the Restricted Period.

~~Q.~~R. In furtherance of the Authority's commitment to ensure transparency and accountability of its operations, every member, officer or employee of the Authority who is contacted by a lobbyist is required to make a contemporaneous record of such contact, pursuant to Public Authorities Law § 2987 and as further set forth in the Authority's Corporate Policy regarding this matter.

~~R.~~S. Project Sunlight (Chapter 399, Part A, Section 4 of the Laws of 2011) requires the Authority to record in a database maintained by the New York State Office of General Services certain appearances between the Authority and individuals, firms or other entities (excluding elected officials and representatives of federal, state and local agencies and authorities) relating to the procurement of a contract, with a value of $25,000 or more, for real property, goods or services. Appearances are defined as an interaction through an in-person meeting or a video conference between covered individuals. Appearances related to emergency procurements and disposal of property through public auctions are excluded, as are appearances that take place during the formal "Restricted Period." Covered individuals at the Authority means an individual at the Authority who has the power to exercise discretion or advises someone who exercises discretion. A covered individual outside of the Authority means both "external" (e.g., a lobbyist) and "internal" (e.g., sales representative) representatives of an entity, individuals appearing on behalf of him/herself, advocacy groups or organizations or entities representing the interests or concerns of the organization or entity or of its members. All such appearances must be promptly reported to the Authority's Ethics and Compliance Office for recording in the Project Sunlight database.

4. EVALUATION OF PROPOSALS

A. Proposals will be evaluated using a fair and equitable comparison of all aspects of the proposals against the specifics of the solicitation and against each other, including an analysis of each offer that considers: the quality of the goods and/or the competence of the bidder (including, but not limited to, the bidder's skill, judgment and business integrity), the technical merit of the proposal and the price for which the goods and/or services are to be supplied.

In the event the price submitted by the bidder recommended to be awarded a contract exceeds the cost estimated, where a cost estimate is provided on the solicitation at the time of bidding, the initiating department will prepare a written explanation to be reviewed by the White Plains Procurement Department and/or the appropriate facility Procurement Department and appropriate managers as stipulated in the EAPs. The following options should be considered: (1) rejecting the bids, resoliciting proposals and/or modifying the scope of work; (2) revising the cost estimate and proceeding with the contract award and (3) negotiating with the low bidder(s), as determined by the Vice President of Procurement or equivalent(s) or designee, to reduce the price quoted. Factors to be considered in reaching the proper course of action include but are not limited to: the effects of a delay on both the schedule and the cost of the specific capital construction project or outage at an operating facility, the magnitude of the contract, available bidders, the ability to attract additional competition if the solicitation is reissued, and the accuracy of the original cost estimate. The recommended course of action and the reasons therefor must be fully documented in a memorandum for consideration by the appropriate level of management prior to approval and placed in the appropriate procurement file.

B. Factors to be considered in evaluating the goods and/or services to be supplied and/or the competence of the bidder are: previous experience (including applicable experience in New York State and evaluations from other clients for whom the bidder has provided goods and/or services); the abilities and experience of the personnel to be assigned to the Authority's work and the ability to provide any needed advanced techniques such as simulation and modeling; and overall, the bidder's skill, judgment and business integrity. The approach proposed in meeting the exact requirements of the scope of work will be given consideration in evaluating the technical merit of the proposal, together with a well-organized task structure, the ability to timely supply the goods and/or perform the proposed services and the ability to meet Supplier Diversity Program goals, if any. The need to purchase the goods from and/or subcontract performance of services to others will be evaluated as to their effects on cost, as well as quality, schedule and overall performance.

C. For Services Contracts (as defined in Section 2.C of these Guidelines), the technical merits of the proposals and the experience and capabilities of the bidders will be the primary factors in determining the individual or firm to be awarded the contract, provided that the price for performing such work is reasonable and competitive.

D. For Procurement Contracts other than Personal Services (as defined in Section 2.C of these Guidelines), the award should generally be made to the lowest-priced firm submitting a proposal that meets the commercial and technical requirements of the bid documents.

E. Pursuant to § 139-k of the State Finance Law, the Authority shall not award a Procurement Contract (as defined in Subsection 3.~~P~~Q.1 of these Guidelines) to a bidder/contractor who fails to provide timely, accurate and complete responses to inquiries about past determinations of non-responsibility (unless awarding the contract is necessary to protect public property or public health or safety and the bidder/contractor is the only source capable of supplying the required article of procurement within the necessary timeframe.)

A bidder's/contractor's knowing and willful violation of the Authority's policy providing for certain procurement disclosures shall result in a determination of non-responsibility of such bidder/contractor pursuant to State Finance Law §§ 139-j and 139-k only.

More than one determination of non-responsibility due to violations of State Finance Law § 139-k in a four-year period shall render a bidder/contractor ineligible to submit bids for four years from the second determination of non-responsibility.

F. An award to "other than low bidder" can be made only with the approval of appropriate management as stipulated in the EAPs, and should be based on such a proposal providing a clear advantage to the Authority over the lower-priced proposal. Factors justifying an "other than low bidder" award may include, but are not limited to: improved delivery schedules that will reduce outages; longer warranty periods; improved efficiency over the usable life of the equipment; reduced maintenance costs; the bidders' financial resources or the ability to meet or exceed Supplier Diversity Program goals; and overall, the bidder's skill, judgment and business integrity.

G. The specifications set forth in any solicitation prepared under these Guidelines were based upon information available at the time of the preparation of the solicitation. Thus, the Authority may diverge from the specifications of any solicitation if, after review of the proposals responsive to such solicitation, the Authority deems it prudent in light of its experience, the circumstances of the solicitation and/or potential cost savings.

5. RECOMMENDATION OF AWARD

A. A recommendation for approval of a proposed award of a Procurement Contract is usually prepared in the form of a memorandum or e-mail by the department requiring the goods and/or services. The recommendation must include an evaluation of proposals as specified in Article 4 above, as well as proposed specific compensation terms that provide a clear breakdown of cost factors and methods of calculation, including, as applicable:

1. Lump sum and/or unit prices for equipment and construction work.

2. Hourly or daily rates for personnel.

3. Markups for payroll taxes, fringe benefits, overhead and fees, if the proposal is based on reimbursement of actual payroll costs.

4. Terms for reimbursement of direct out-of-pocket expenses, such as travel and living costs, telephone charges, services of others and computer services.

5. Provisions, if any, for bonus/penalty arrangements based on target person-hours and/or target schedule.

B. The recommendation will also review any substantive exceptions to commercial and technical requirements of a price inquiry, RFP, RFQ or bidding documents, including but not limited to payment terms, warranties and bond requirements, if any.

6. AWARD OF CONTRACT

A. Services Contracts to be performed for a period of more than 12 months are approved and reviewed annually by the Trustees. Services Contracts for a period of less than 12 months are approved by authorized designees in accordance with existing EAPs. Extending a contract for services with an initial duration of less than 12 months beyond 12 months will be approved by the Trustees at the request of the initiating department and will be reviewed by the Trustees annually. Extending a contract for services, that has previously been approved by the Trustees, for a cumulative term of more than 12 months requires further Trustees' approval. Extending a contract, previously approved by the Trustees, for 12 months or less ("grace period") requires approval by an authorized designee in accordance with existing EAPs and concurrence by the Vice President of Procurement.

B. For Services Contracts to be performed for a period of more than 12 months that must be awarded prior to the next quarterly Trustees' meeting, the initial contract will be issued for the entire intended term of the contract. Based on its total term and value, such contract must be approved in writing by the appropriate management as set forth in the EAPs. Such contract is subject to the Trustees' approval, at the next quarterly Trustees' meeting. If such approval is not granted, the contract will be terminated immediately.

C. A contract or contract task is deemed to be for services in excess of 12 months where the contract does not specify a definite term and the work will not be completed within 12 months, and any "continuing services" contract with no fixed term that provides for the periodic assignment of specific tasks or particular requests for services. This includes Trustee-approved contracts for architect/

engineering services with the original engineers of operating facilities, as well as the original supplier of steam supply systems or boilers and turbine generating equipment. Each task authorized under such contracts (which may be referred to as a "Change Order," "Purchase Order" or "Task Number") is considered a separate commitment and must be separately approved in accordance with the EAPs.

D. The term of a Personal Services contract is limited to a maximum of five (5) years, including any extensions.

E. When time constraints or emergency conditions require extending an existing contract with an initial duration of less than a year beyond a year, and the cumulative monetary change order value does not exceed the appropriate limit set forth in the EAPs, the Business Unit Head, with the prior concurrence of the Vice President of Procurement or equivalent(s) or designee, may authorize extending such contract, subject to the Trustees ratifying such action as soon as practicable.

F. When the total estimated contract value or the value of the extension exceeds the monetary limits set forth in the EAPs, interim approval by the President and Chief Executive Officer or Chief Operating Officer or equivalent(s) or designee is required, subject to the Trustees ratifying such action as soon as practicable.

G. When time constraints or emergency conditions require immediate commencement of services to be performed for a period of more than one year, and when the contract value exceeds the monetary approval limit for the President and Chief Executive Officer or Chief Operating Officer or equivalent(s), as set forth in the EAPs, the President and Chief Executive Officer or Chief Operating Officer or equivalent(s) or designee, with the prior concurrence of the Vice President of Procurement or equivalent(s) or designee, may authorize in writing the commencement of such services. The initial compensation limitation may not exceed the authorization level for the President and Chief Executive Officer or equivalent(s) or Chief Operating Officer or equivalent(s) as set forth in the EAPs. Such contracts will be subject to the Trustees' approval, which will be solicited at their next scheduled Trustee meeting.

H. The White Plains Procurement Department or the facilities' Procurement Departments prepare the contract for execution by the Authority and the successful bidder. No work by the selected contractor will commence until the contract is executed by both parties, except that mutually signed letters of award or intent may initiate work prior to formal execution. Authority signatories of such letters must be authorized to approve contract awards pursuant to the EAPs.

I. Pursuant to Public Authorities Law § 2879, the Authority shall notify the Commissioner of Economic Development of the award of any Procurement Contract for the purchase of goods and/or services from a Foreign Business Enterprise (as defined in Subsection 3.E.3 of these Guidelines) in an amount equal to or greater than $1 million simultaneously with notifying the successful bidder

therefor. The Authority shall not enter into the Procurement Contract for said goods and/or services until at least 15 days have elapsed from the notification of the award, except for a Procurement Contract awarded on an emergency or critical basis. The notification to the Commissioner shall include the name, address, telephone and facsimile number of the Foreign Business Enterprise, the amount of the proposed Procurement Contract and the name of the individual at the Foreign Business Enterprise or acting on behalf of same who is principally responsible for the proposed Procurement Contract.

7. CONTRACT PROVISIONS

A. The following standard forms of contracts are available from the White Plains Procurement Department: purchase order format (for standard procurements of goods and/or services); furnish-and-deliver format (for major equipment purchases); long form agreements (for consulting services) and maintenance agreement formats; contract work orders (for construction work of small magnitude); construction contracts (for major construction work) and furnish, deliver and install contracts (for specialized, major procurements where single responsibility is required for procurement and installation). These contract forms are intended to govern the purchase of goods and/or performance of services.

Authority departments proposing to initiate a Procurement Contract should review these forms to suggest any modifications and additions that may be required for the particular goods and/or services. Under no circumstances should contract forms be shown to proposed bidders without the prior approval of the Procurement Department, which, along with the facilities' Procurement Departments, is solely responsible for requesting proposals.

B. The following types of provisions setting forth contractor responsibilities are to be contained in the standard forms of Procurement Contracts, except that any provisions listed below that are inapplicable or unnecessary because of the nature or duration of the work to be performed, the location(s) where the work is to be performed or the type of compensation being paid therefor, need not be included. Other provisions may be added as necessary and appropriate.

1. Schedule of Services or Specifications
2. Time of Completion
3. Compensation or Itemized Proposals
4. Relationship of Parties
5. Delays
6. Termination
7. Changes in the Work
8. Claims and Disputes
9. Warranty

10. Insurance
11. Records, Accounts, Inspection and Audit
12. Assignment
13. Notices
14. Indemnification
15. Governing Law
16. Proprietary Nature of Work
17. Testimony
18. Entire Agreement

Contract Attachments

1. Compensation Schedule
2. Schedule of Services or Specifications
3. Appendix "A" (Miscellaneous Statutory Provisions)
4. Appendix "B" (Prompt Payment Provisions)
5. Appendix "C" (Minority- and Women-owned Business Enterprise (M~~/~~WBE) Participation Goal Requirement)
6. Appendix "D" (Background Security Screening for Authority Contractors)
7. Appendix "E" (Omnibus Procurement Act of 1992 Requirements)
8. Appendix "F" (Computer Aided Design Requirements For New York Power Authority Drawings)
9. Appendix "G" (Equal Employment Opportunities Requirements)
10. Appendix "H" (Tax Law Requirements)
11. Appendix "I" (New York Power Authority (NYPA) North American Electric Reliability Corporation Critical Infrastructure Protection (NERC CIP) Cyber Access And/Or Unescorted Physical Access Training Requirements)
12. Appendix "J" (Bidder/Contractor Compliance with State Finance Law §§ 139-j and 139-k Providing for Certain Procurement Disclosures)
13. Appendix "K" (Additional State and Federal Provisions Required for American Recovery and Reinvestment Act (Pub. L. No. 111-5 Stat. 2009) ("ARRA") Funded Projects) - inactive
14. Appendix "L" (DOE Federal Contract Provisions) - inactive
15. Appendix "M" (Use of Ultra Low Sulfur Diesel Fuel and Best Available Retrofit Technology ("BART") for Heavy Duty Vehicles)
16. Appendix "N" (The New York State Iran Divestment Act of 2012 – Iranian Energy Sector Divestment)
17. Appendix "O" (Encouraging Use of New York State Businesses in Contract Performance)

C. If a vendor (firm, person or other entity) participates in the development or writing of the specifications for a procurement solicitation, such vendor shall not be permitted to bid on such procurement, either as a prime vendor or as a subcontractor at any level. Contracts for evaluation of offers for products or services shall not be awarded to a vendor that would then evaluate its own offers for products or services. The above restrictions shall not apply where:

1. The vendor is the sole source or single source of the product or service;
2. More than one vendor has been involved in preparing the specifications for a procurement proposal; or
3. The originating Authority Business Unit determines in writing that the restrictions are not in the best interests of the Authority. Such originating Business Unit shall obtain the approval of the applicable Business Unit Head or equivalent(s), Vice President of Procurement or equivalent(s) or designee, Assistant General Counsel or equivalent(s) and President and Chief Executive Officer or designee or Chief Operating Officer or equivalent(s) to waive this restriction on a case-by-case basis.

8. CHANGE ORDERS

A. Change Orders to existing contracts are justified in the following cases:

1. To incorporate additional work related to the original scope, to delete work or to otherwise modify the original work scope;

2. To exercise options previously included in the original contract to perform additional work or to extend the contract term;

3. To accommodate emergency conditions, defined in Section 3.~~L~~M herein, that require the immediate performance of work by a firm already under contract;

4. When rebidding would not be practical or in the best interests of the Authority's customers; and

5. To meet the Authority's Supplier Diversity Program goals in accordance with Executive Law Article 15-A.

B. All Change Orders must be approved in accordance with the Authority's EAPs, and should include specific schedules for completion of work at the earliest possible time.

C. Pursuant to Public Authorities Law § 2879-a, the Comptroller Regulations and the State Authority Contract Manual, the Authority may be required to submit certain Change Orders to the New York State Comptroller for filing or approval where the aggregate value of the contract as amended is in excess of $1 million and the original contract was awarded on the basis of a competitive procurement, but the modification was neither contemplated nor provided for in the solicitation for such competitive procurement. ~~Accordingly, unless the Change Order is considered an "exempt contract amendment," no such Change Orders will be processed or~~

~~approved unless the proposed procurement of goods and/or services was contemplated or provided for in the original Request for Quotation / Proposal.~~

9. CONTRACTING DECISIONS INVOLVING CURRENT OR FORMER EMPLOYEES

A. Former Authority officers and employees are eligible to be considered for employment as contractors and/or consultants provided that they meet all criteria for contractors and/or consultants generally as specified in these Guidelines; their employment is not barred by New York Public Officers Law § 73(8); they obtain an opinion by the New York State Commission on Public Integrity that such employment is permissible; and upon approval of the President and Chief Executive Officer.

B. Pursuant to the provisions of New York Public Officers Law § 73(8):

1. No Authority officer or employee is eligible, within a period of two years after the termination of Authority service to appear or practice before the Authority or receive compensation for any services rendered on behalf of any person, firm, corporation or association, in relation to any case, proceeding or application or other matter before the Authority.

2. No Authority officer or employee is eligible, at any time after the termination of Authority service, to appear, practice, communicate or otherwise render services before the Authority or any other state agency or receive compensation for any such services rendered on behalf of any person, firm, corporation or other entity in relation to any case, proceeding, application or transaction that such person was directly concerned with and personally participated in during his or her period of service, or which was under his or her active consideration.

C. No Authority employee who is involved in the award of Authority grants or contracts may ask any officer, director or employee of such current or prospective contractor or grantee to reveal: (a) the political party affiliation of the individual; (b) whether the individual or entity has made campaign contributions to any political party, elected official or candidate for elective office or (c) whether the individual voted for or against any political party, elected official or candidate for elective office.

D. No Authority employee may award or decline to award any grant or contract, or recommend, promise or threaten to do so because of a current or prospective grantee's or contractor's: (a) refusal to answer any inquiry prohibited by Section 9.C above or (b) giving or withholding or neglecting to make any contribution of money, service or any other valuable thing for any political purpose.

E. No Authority employee may take part in any contracting decision involving the payment of more than $1,000: (i) to a Relative; or (ii) to any entity in which the Authority employee or a Relative of such Authority employee owns or controls 10% or more of the stock of such entity (or 1% in the case of a corporation whose stock is regularly traded on an established securities exchange); or serves as an officer, director or partner of that entity. If a contracting matter arises relating to this Section 9.E, then the employee must advise his or her supervisor of the relationship, and must be recused from any and all discussions or decisions relating to the matter.

1. For purposes of this Section 9.E, the term "Relative" is defined in Definitions, Section 2.G of these Guidelines.

10. SUPPLIER DIVERSITY PROGRAM REQUIREMENTS

The Authority strives to continue to foster the development of business opportunities on Authority contracts for M~~/~~WBEs. Article 15-A of the Executive Law established the NYS Office (now Division) of Minority and Women's Business Development ("DMWBD") that is responsible for developing rules and regulations for implementation of this statute, certifying M~~/~~WBEs and reviewing and monitoring goal plans, compliance reports and contract provisions to be included in all non-construction contracts for more than $25,000 and construction contracts for more than $100,000. The definition of an M~~/~~WBE is included in Section 2.H of these Guidelines. The Authority aims to solicit proposals from NYS-certified M~~/~~WBEs that are qualified to perform the required work. In addition, specific goals may be included in certain contracts for consulting work, construction and procurement of goods and other services requiring the contractor/vendor to subcontract a portion of the work to NYS-certified M~~/~~WBEs as required by law. Bidders' proposals will include ~~Preliminary Subcontracting Plans~~a completed Utilization Plan Form for M~~/~~WBEs, where required, and such bidders' failure to meet these requirements may be grounds for rejection of the proposal, or cancellation of the contract if a contractor did not make a good faith effort to meet its goals after contract award. MWBE Utilization Plans for Construction contracts valued at more than $100,000 shall also be posted on the Procurement website by the successful vendor within ten business days of contract signing.

Pursuant to § 2879 of the Public Authorities Law and as further set forth in the Authority's Supplier Diversity Program documents, the following guidelines apply:

1. Identify those areas or types of contracts for which M~~/~~WBEs may best bid so as to promote and assist participation by such enterprises and facilitate a fair share of the awarding of contracts to such enterprises.
2. Provide notice, in addition to any other notice of procurement opportunities required by law, to professional and other organizations that serve M~~/~~WBEs providing the types of services procured by the Authority.

3. Maintain lists of qualified NYS-certified M/WBEs, including professional firms that have expressed an interest in doing business with the Authority and ensuring that such lists are updated regularly. The Authority shall also consult the lists of NYS-certified M/WBEs maintained by the DED pursuant to Executive Law Article 15-A.

4. Establish appropriate goals for participation by M/WBEs in procurement contracts awarded by the Authority and for the utilization of M/WBEs as subcontractors and suppliers by entities having procurement contracts with the Authority. Statewide numerical participation target goals shall be established by the Authority based on the criteria set forth in Public Authorities Law § 2879.

5. Conduct procurements in a manner that will enable the Authority to achieve the maximum feasible portion of the goals established pursuant to Subdivision 4 of this Section and that eliminates barriers to participation by M/WBEs in the Authority's procurements.

6. Designate one or more senior staff of the Authority to oversee the Authority's programs established to promote and assist participation by and utilization of NYS-Ccertified M/WBEs.

11. PROCUREMENT RECORD AND REPORTING

A. Procurement Record

The White Plains Procurement Department maintains records of Procurement Contracts, including bidders' names, the selection processes used and the status of existing contracts, including goods provided and/or services performed and fees earned, billed and paid. At the facilities, such records will be kept by the facilities' Procurement Departments.

B. Procurement Report

After the end of each calendar year, the Vice President of Procurement or equivalent(s) will prepare and submit an annual report to the Trustees for their approval that will include:

1. A copy of the Guidelines;
2. An explanation of the Guidelines and any amendments thereto since the last annual report;
3. A list of all Procurement Contracts entered into since the last annual report, including all contracts entered into with New York State Business Enterprises and the subject matter and value thereof and all contracts entered into with Foreign Business Enterprises and the subject matter and value thereof;
4. A list of fees, commissions or other charges paid;
5. A description of work performed, the contract number, the date of the contract and its duration, the name, address and NYS-certified M/WBE

designation of the awardees, the total amount of the contract, the amount spent on the contract during the reporting period and for the term of the contract to date and the status of open Procurement Contracts during the report year;

6. The type of contract (equipment, services, personal services or construction);
7. The method of awarding the contract (e.g., competitive bidding, Sole Source, Single Source or competitive search);
8. The reasons why any procurements with a value equal to or greater than $~~1~~50,000 were not noticed in the Contract Reporter;
9. The number of bids received and
10. All referrals made and all penalties imposed, if any, pursuant to § 316 of the Executive Law.

C. Such annual report, as approved by the Trustees, shall be submitted to the New York State Division of the Budget within 90 days of the end of such calendar year, and copies thereof shall be distributed to the New York State Department of Audit and Control, the DED, the New York State Senate Finance Committee, and the New York State Assembly Ways and Means Committee and any other entity as may be required by law. The annual procurement report is posted on the Authority's website and copies shall be made available to the public upon reasonable written request therefor.

D. State Finance Law §§ 139-j and 139-k

1. A statement describing the basis for a determination of a bidder's/contractor's non-responsibility (per State Finance Law §§ 139-j and 139-k only) and the Authority's decision not to award a bidder/contractor the Procurement Contract must be included in the procurement record.

2. The Authority shall notify the New York State Office of General Services of bidders/contractors who have been determined to be non-responsible bidders (per State Finance Law §§ 139-j and 139-k only) or debarred due to violations of § 139-j of the State Finance Law.

3. All forms entitled "Record of Contact" shall be included in the respective procurement record.

4. A statement describing the basis for a termination of a Procurement Contract for providing an intentionally false certification must be included in the procurement record.

E. The Authority may be called upon periodically to submit information regarding the procurement of goods and/or services to organizations implementing the PAAA or other statutes regulating the procurement of goods and services, such as the

Authorities Budget Office through the Public Authorities Reporting Information System ("PARIS").

12. THIRD PARTY RIGHTS: VALIDITY OF CONTRACTS

A. These Guidelines are intended for the guidance of officers and employees of the Authority only. Nothing contained herein is intended, nor should it be construed, to confer on any person, firm or corporation any right, remedy, claim or benefit under, or by reason of, any requirement or provision hereof.

B. Nothing contained in these Guidelines alters or affects the validity of, modifies the terms of or impairs any contract or agreement entered into in violation of these Guidelines.

Annual Report of Procurement Contracts 2014

NEW YORK STATE OF OPPORTUNITY.

NY Power Authority

The cover highlights the following projects, clockwise from top left:
Installation of energy efficient boiler at Coney Island Hospital
Delivery of large circuit breakers to the Robert Moses Switchyard in Massena, NY
Two new transformers traveling local roads for delivery to Blenheim-Gilboa Pumped Storage Power Project in in North Blenheim, NY
Marcy South Series Compensation equipment rendering

2014 ANNUAL REPORT OF PROCUREMENT CONTRACTS

FIELD EXPLANATION GUIDE

ARRANGEMENT: Listed by the generating facility. Abbreviations are Headquarters White Plains Office (WPO), Blenheim-Gilboa Pumped Storage Power Project (BG), Fredrick R. Clark Energy Center (CEC), Niagara Power Project (NIA), South Eastern New York including Poletti, Flynn, 500MW and Small Clean Power Plants (SENY), St. Lawrence-Franklin D. Roosevelt Power Project (STL), Fossil Fuels (FF), Corporate Finance. Within each site, listed in ascending order by CONTRACT NUMBER.

SCOPE: All procurement contracts (for goods or services) with a value of $5,000 or greater that were open, completed or awarded in 2014 including contracts awarded in 1990 through 2014 that were either completed in 2014 or extended into 2015 or beyond.

FIELD NAMES

TYPE: COMMITMENT TYPE, Indicating the major category of goods or kind of services provided. Architectural & Engineering Services, Construction, Equipment, Legal Services, Personal Services, Other Services. {A,C,E,L,P,S}

MOA: METHOD OF AWARD, Indicating the basis of vendor selection. Competitive Bid, Competitive Search, MWBE/SBE, Sole Source, Single Source. {B,C,M,1,2}

RNA: REASON NOT ADVERTISED, Indicating the reason a notice was not published in the Contract Reporter. {1-9}

OPA: OMNIBUS PROCUREMENT ACT, Indicating where the goods were substantially manufactured or assembled or where the services were substantially performed New York or Foreign to New York. {N,F}

MWBE: MINORITY WOMEN OWNED BUSINESS ENTERPRISE, Indicating the New York State-certified Minority- and Women-owned Business Enterprise status of the vendor. New York State Small Business Enterprise, New York State-cerified Women-owned Business Enterprise, New York State-certified Minority-owned Business Enterprise, Non New York State-certified Minority- or Women-owned Business Enterprise. {1,3,4,N}

BIDS: NUMBER OF BIDS, Indicating the number of bids received for contracts awarded after January 1, 2006. Such information is not included for contracts awarded prior to that date.

FREIGHT: Contract value adjusted to include actual freight charges.

TOTAL CONTRACT AMOUNT: Total value of the commitment as of December 31, 2014, reported from the SAP system. NOTE: Additional funding may be authorized via issuance of a Change Order in accordance with the Authority's Expenditure Authorization Procedures.

TOTAL AMOUNT EXPENDED TO DATE: Total amount expended through December 31, 2014 as reported from SAP system.

AMOUNT EXPENDED IN 2014: Total amount expended during the report year, January 1, 2014 through December 31, 2014, as reported by SAP system.

DATE OF CONTRACT: Date on which the original commitment was effective (which may differ from Issue Date of formal contract or "Create Date" in SAP system).

O/C: OPEN / COMPLETED, Status of the contract as of December 31, 2014. Completion date indicates that goods have been received or services were completed in full.

THIS DOES NOT NECESSARILY reflect that the final payment has been made.

**Contract re-opened in 2014.

PROJECTED COMPLETION DATE: Open commitments, date of which goods are scheduled to be received or the date on which the scope of the contract is scheduled to be physically completed.

DATE CONTRACT COMPLETED: Completed commitments, the date of actual physical completion, when goods were delivered or services completed in full; this DOES NOT NECESSARILY reflect that the final payment has been made.

2014 ANNUAL REPORT OF PROCUREMENT CONTRACTS

TABLE FOR PROCUREMENT CONTRACT SUMMARY SCREENS

COMMITMENT TYPE

A = ARCHITECTURAL & ENGINEERING SERVICES
C = CONSTRUCTION
E = EQUIPMENT/COMMODITIES
L = LEGAL SERVICES
P = PERSONAL SERVICES
S = OTHER (NON-PERSONAL) SERVICES

METHOD OF AWARD

B = COMPETITIVE BID
C = COMPETITIVE SEARCH
M = MINORITY WOMEN OWNED BUSINESS ENTERPRISE / SMALL BUSINESS ENTERPRISE VALUE < $200,000
1 = SOLE SOURCE
2 = SINGLE SOURCE

REASON NOT ADVERTISED

1 = EMERGENCY OR CRITICAL BASIS

2 = RE-BID/RE-SOLICITED FOR THE SAME GOODS/SERVICE WITHIN 45 BUSINESS DAYS AFTER ORIGINAL BIDS WERE DUE

3 = NOT-FOR-PROFIT PROVIDER OF HUMAN SERVICES

4 = CHARITABLE NON-PROFIT AGENCY WHICH DISTRIBUTES PRODUCTS PRODUCED BY THE BLIND OR SEVERELY HANDICAPPED OR IN CONNECTION WITH SPECIAL EMPLOYMENT PROGRAMS OF THE OFFICE OF MENTAL HEALTH

5 = ORIGINAL AWARD LESS THAN $15,000

6 = ORIGINAL ESTIMATE LESS THAN $15,000

7 = AWARDED UNDER STATE (OGS) OR FEDERAL (GSA) CONTRACT (PIGGYBACK)

8 = NOTICED IN CONTRACT REPORTER

9 = NOT APPLICABLE - NON-PROCUREMENT, MEMBERSHIP, LAND PURCHASES, USE OF PIPELINE, ETC.

OPA : OMNIBUS PROCUREMENT ACT

ENTERED INTO THE SAP SYSTEM (CONTRACT DATA SCREEN): “NYSBE or FBE”

N = THE GOODS WERE SUBSTANTIALLY PRODUCED OR SERVICES SUBSTANTIALLY PERFORMED IN NEW YORK STATE EXCEPT FOR CONSTRUCTION CONTRACTS.

F = THE GOODS WERE SUBSTANTIALLY PRODUCED OR SERVICES SUBSTANTIALLY PERFORMED OUTSIDE NEW YORK STATE (FOREIGN)

MWBE: MINORITY WOMEN OWNED BUSINESS ENTERPRISE

1 = NEW YORK STATE SMALL BUSINESS ENTERPRISE

3 = NEW YORK STATE-CERTIFIED WOMEN-OWNED BUSINESS ENTERPRISE

4 = NEW YORK STATE-CERTIFIED MINORITY-OWNED BUSINESS ENTERPRISE

N = NON NEW YORK STATE-CERTIFIED MINORITY- OR WOMEN-OWNED BUSINESS ENTERPRISE

FREIGHT

* Contract value adjusted to include actual freight charges.

4500133486 is now known as 4600002785.

POWER AUTHORITY OF THE STATE OF NEW YORK
2014 ANNUAL REPORT OF PROCUREMENT CONTRACTS
REQUIRED BY N.Y. PUBLIC AUTHORITIES LAW, SECTION 2879

CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	TYPE	MOA	RNA	OPA	MWBE	BIDS	FRT	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2014	CONTRACT BALANCE	DATE OF CONTRACT	O\C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
4500016211	VOITH HYDRO INC 760 E BERLIN RD YORK, PA 17408-8701 US	DESIGN/INSTALL GENERATION CONTROL SYSTEMS	S	B		F	N	1		23,282,229	22,982,770	0	299,459	10/27/1999	C		12/31/2014
4500033523	IRIS POWER LP 3110 AMERICAN DR MISSISSAUGA, ON L4V 1T2 CA	DEVELOPMENT, IMPLEMENTATION & FIELD TESTING OF HYDROX AT ST. LAWRENCE	P	1	8	F	N	1		1,343,952	1,226,532	0	117,420	10/30/2000	C		12/31/2014
4500056531	CERIDIAN EMPLOYER SERVICES 150 FISHER DR AVON, CT 6001 US	PAYROLL PROCESSING SERVICES	S	C		F	N	0		2,665,000	2,121,443	173,465	543,557	4/1/2002	O	3/31/2015	
4500121437	IRIS POWER LP 3110 AMERICAN DR MISSISSAUGA, ON L4V 1T2 CA	FURNISH, DELIVER, INSTALL HYDROX RULEPAC (SOFTWARE) ON 15 UNITS AT ST. LAWRENCE.	P	1	8	N	N	1		300,000	271,200	162,720	28,800	3/29/2006	C		12/31/2014
4500133069	NAES CORP 1 COLLINS DR - STE 100 CARNEYS POINT, NJ 8069 US	O&M SERVICES FOR DEP/CITY PLANTS	S	B		F	N	1		21,870,899	16,542,189	1,897,947	5,328,710	11/29/2006	O	6/30/2016	
4500161290	A-1 FIRST CLASS - VIKING MOVING & STORAGE INC 156 HINSDALE ST BROOKLYN, NY 11207-3302 US	CORPORATE RELOCATION MOVING SERVICES	S	B		N	N	2		250,000	163,527	0	86,473	7/1/2008	C		6/30/2014
4500164300	UNDERGROUND SYSTEMS INC 84 BUSINESS PARK DR - STE 109 ARMONK, NY 10504-1734 US	USI TO PERFORM LEAK DETECTION MONITORING AT LONG ISLAND SOUND Y-49 FEEDER CABLE	S	C		N	N	1		1,320,000	1,106,000	81,273	214,000	10/1/2008	C		3/31/2014
4500171846	MIHAI RADU ARCHITECTS PLLC 104 W 27TH ST - 10TH FL NEW YORK, NY 10001-6210 US	A/E SERVICES-REPLACEMENT OF THE CHARLES POLETTI ADMINISTRATIVE BUILDING ROOF SYSTEMS & OPTIONAL ADDITIONAL ROOF SYSTEMS AT POLETTI	P	B		N	N	17		122,045	41,240	0	80,805	4/1/2009	C		3/31/2014
4500172003	M J BRADLEY & ASSOCIATES LLC 1000 ELM ST - 2ND FL MANCHESTER, NH 03101-1730 US	CONSULTING WORK ON EMISSIONS REDUCTION CALCULATIONS FOR ELECTRIC TRANSPORTATION PROGRAM	P	B		N	N	4		35,000	14,000	0	21,000	5/6/2009	C		5/5/2014
4500172042	MANATT PHELPS & PHILLIPS LLP 30 S PEARL St - 12th FL ALBANY, NY 12207 US	LEGAL SERVICES FOR THE ST. REGIS LAND CLAIM	L	2	9	N	N	1		750,001	191,425	0	558,576	4/1/2009	C		3/31/2014
4500172044	PILLSBURY WINTHROP LLP ONE BATTERY PARK PLAZA NEW YORK, NY 10004-1490 US	LEGAL SERVICES FOR HIGH-LEVEL RADIOACTIVE WASTE LITIGATION	L	2	9	N	N	1		1,375,001	1,331,192	0	43,809	4/1/2009	C		3/30/2014
4500172784	THE TRANE CO DIV OF AMERICAN STANDARD INC 45-18 COURT SQ LONG ISLAND CITY, NY 11101 US	PREVENTIVE MAINTENANCE AND REPAIRS FOR THE CHILLERS AT CLARENCE RAPPLEYEA BUILDING	S	B	8	N	N	1		95,000	86,814	29,864	8,186	7/1/2009	O	1/30/2015	

CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	TYPE	MOA	RNA	OPA	MWBE	BIDS	FRT	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2014	CONTRACT BALANCE	DATE OF CONTRACT	O\C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
4500172786	INTEGRATED SYSTEMS & POWER INC 310 FIFTH AVE - 6TH FL NEW YORK, NY 10001 US	FIRE ALARM MAINTENANCE AND REPAIRS FOR THE WPO	S	B		N	N	3		150,000	67,958	0	82,042	7/1/2009	C		1/1/2014
4500175478	VENTYX INC VENTYX INC (AN ABB) COMPANY STE 500 400 PERIMETER CENTER TER NE ATLANTA, GA 30346-1231 US	IRP ERM FORECASTING SOFTWARE SOLUTION	P	B		F	N	2		1,978,930	1,620,393	0	358,537	6/22/2009	C		6/21/2014
4500176027	BERNIER CARR & ASSOCIATES ENGINEERS ARCHITECTS AND LAND SURVEYORS PC 327 MULLIN ST WATERTOWN, NY 13601-3686 US	CONSTRUCTION MGT CONTRACT - ST LAWRENCE RELICENSING PROJECTS	P	B		N	N	3		1,300,160	1,285,395	136,523	14,765	7/1/2009	C		6/30/2014
4500176118	BOHLER ENGINEERING PC 2002 ORVILLE DR N - STE 100 RONKONKOMA, NY 11779-7661 US	CONSULTING SERVICES FOR NYC DEPARTMENT OF BUILDINGS (NYCOB)	P	B		N	N	3		50,000	0	0	50,000	7/1/2009	O	2/3/2015	
4500177262	PERRAS EXCAVATING INC 1909 ST HWY 420 MASSENA, NY 13662 US	CONSTRUCTION & INSTALLATION OF A NEW DIKE, WATER CONTROL STRUCTURE & A PUMPHOUSE AT THE WILSON HILL WILDLIFE MANAGEMENT AREA	C	B		N	N	3		3,547,931	3,547,931	34,013	0	8/10/2009	C		6/30/2014
4500177472	KPMG LLP STE 200 515 BROADWAY - 4TH FL ALBANY, NY 12207-2930 US	ACCOUNTING SERVICES	P	B		N	N	4		2,100,000	1,781,415	352,923	318,585	8/1/2009	C		7/31/2014
4500179135	KRATOS PUBLIC SAFETY & SECURITY SOLUTIONS INC 4820 EASTGATE MALL - STE 200 SAN DIEGO, CA 92121-1993 US	MAINTENANCE OF SENY SECURITY SYSTEMS 10/1/09-12/31/2014	S	B		N	N	6		1,585,603	1,492,381	362,604	93,222	10/1/2009	C		12/31/2014
4500179789	A & A MAINTENANCE ENTERPRISE INC 965 MIDLAND AVE YONKERS, NY 10704-1027 US	OPERATING ENGINEERING SERVICES FOR THE WPO	S	B		N	N	3		5,050,000	4,446,581	903,630	603,419	10/1/2009	O	6/30/2015	
4500183371	CLEAREDGE POWER CORP FORMERLY UTC POWER CORP 195 GOVERNORS HWY SOUTH WINDSOR, CT 06074-2419 US	PURCHASE AND STORAGE OF FUEL CELL SPARE PARTS	E	1	1	F	N	1		2,025,128	2,025,128	29,664	0	12/23/2009	O	2/13/2015	

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4500183713	GARNET RIVER LLC 60 RAILROAD PL - STE 501 SARATOGA SPRINGS, NY 12866-3048 US	PLATFORM CONVERSION ENERGY SCHEDULING & ACCOUNTING SOFTWARE	P	B		N	3	5		1,247,407	1,246,443	80,108	0	1/26/2010	O	9/11/2015	
4500185479	FIRSTCALL NETWORK INC 5423 GALERIA DR BATON ROUGE, LA 70816-6008 US	CALL TREE NOTIFICATION SYSTEM	S	B		F	N	7		59,040	59,040	11,685	368,727	3/1/2010	O	2/28/2015	
4500185622	NYS NURSERY & LANDSCAPE ASSOC INC 136 EVERETT RD ALBANY, NY 12205-1418 US	TREE POWER PROGRAM	E	1	8	N	N	1		530,000	161,273	36,280	63,991	2/17/2010	O	2/16/2016	
4500185714	MILIEU INC 1435 CHEMIN DE SAINT-JEAN LA PRAIRIE, PQ J5R 2L8 CA	ST. LAWRENCE-FDR INSTALLATION, OPERATION & MAINTENANCE OF EEL LADDER	C	1	8	N	N	1		75,000	11,009	958	94,624	6/1/2010	O	3/31/2015	
4500185716	MILIEU INC 1435 CHEMIN DE SAINT-JEAN LA PRAIRIE, PQ J5R 2L8 CA	ST. LAWRENCE-FDR POWER PROJECT -OPERATION, MAINTENANCE & MONITOR OF EEL PASSAGE FACILITY	C	B		N	N	3		520,000	425,376	86,706	280,585	6/1/2010	O	3/31/2015	
4500185720	AUBERTINE & CURRIER ARCHITECTS ENGINEERS & LAND SURVEYORS PLLC 522 BRADLEY ST WATERTOWN, NY 13601-1204 US	ENGINEERING SERVICES FOR RECREATIONAL FACILITIES AT THE ST. LAWRENCE POWER PROJECT	P	B		N	3	13		350,000	69,415	0	85,866	4/1/2010	O	3/31/2015	
4500185815	DEADLINE SOLUTIONS INC 991 CANAL ST SYRACUSE, NY 13210-1203 US	MAINTENANCE OF FLOWMETER SYSTEM AT THE ST. LAWRENCE POWER PROJECT	C	2	8	N	N	1		138,781	52,915	13,988	18,500	4/1/2010	O	3/31/2015	
4500186575	SOFTWARE SENSE ENTERPRISES INC 376 BROADWAY - STE L3 SARATOGA SPRINGS, NY 12866 US	PTR PLUS MAINTENANCE - TRUSTEE APPROVAL	S	1	8	N	N	1		135,000	116,500	18,750	144,046	3/1/2010	O	2/28/2015	
4500186825	BIOMARK INC 705 S 8TH ST BOISE, ID 83702-7112 US	ST. LAWRENCE POWER PROJECT-MAINTENANCE OF PIT TAG SYSTEM	C	2	8	N	N	1		173,089	29,043	15,323	42,319	6/1/2010	O	3/31/2015	
4500187002	CITYSIDE ARCHIVES LTD 499 MILL RD EDISON, NJ 8817 US	STORAGE AND SERVICE AGREEMENT FOR OFF-SITE STORAGE	E	B	9	N	N	1		429,000	386,681	78,712	216,935	4/1/2010	O	3/31/2015	
4500187392	AIRMATIC COMPRESSOR SYSTEMS INC 700 WASHINGTON AVE CARLSTADT, NJ 07072-3007 US	COMPRESSED AIR SYSTEM AUDIT SERVICES	P	B		N	N	5		400,000	183,065	119,965	144,325	4/1/2010	O	1/31/2015	

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4500187597	CLARKSON UNIVERSITY 8 CLARKSON AVE POTSDAM, NY 13699 US	ST. LAWRENCE POWER PROJECT-ENGINEERING CONSULTANT FOR ICE SLUICE DRUM GATES	P	B		N	N	1		250,000	105,675	11,364	214,418	5/31/2010	C		12/31/2014
4500188074	JOANNE DARCY CRUM LS PROFESSIONAL LAND SURVEYOR 479 W MAIN ST COBLESKILL, NY 12043 US	SERVICES OF LICENSED LAND SURVEYOR	P	B		N	3	4		598,000	383,582	101,918	7,205	4/1/2010	O	3/31/2015	
4500189150	PITNEY BOWES INC 120 BLOOMINGDALE RD WHITE PLAINS, NY 10605-1500 US	LEASE OF MAIL MACHINES OGS CONTRACT	E	B	7	N	N	1		86,460	79,255	15,851	152,717	1/21/2010	O	1/20/2015	
4500189692	XEROX CAPITAL SERVICES LLC PO BOX 660502 DALLAS, TX 75266-0502 US	XEROX COPY FOR WPO	E	B	7	N	N	1		313,853	161,136	22,495	0	6/15/2010	O	6/14/2015	
4500189937	VOITH HYDRO INC 760 E BERLIN RD YORK, PA 17408-8701 US	RESTACK AND REWIND- UNITS 1,2,3 - NIAGARA	C	B		F	N	4		22,725,397	22,725,397	1,594,358	6,000	6/24/2010	C		6/23/2014
4500190432	JSHP TRANSFORMER CO LTD NORTH AMERICA MARKETING & SERVICE 4030 MOORPARK AVE - STE 216 SAN JOSE, CA 95117-1849 US	LPGP - LEM - GSU'S	E	B		F	N	8		6,387,387	6,381,387	96,387	1,030,193	6/4/2010	C		2/25/2014
4500190958	A & A MAINTENANCE ENTERPRISE INC 965 MIDLAND AVE YONKERS, NY 10704-1027 US	JANITORIAL SERVICES	S	B		N	N	8		5,500,000	4,469,807	1,118,815	0	7/1/2010	O	6/30/2015	
4500191038	SEBESTA BLOMBERG & ASSOCIATES INC 150 PRESIDENTIAL WAY - STE 330 WOBURN, MA 1801 US	LEADERSHIP IN ENGINEERING AND ENVIRONMENTAL DESIGN (LEED) CERTIFICATION SERVICES FOR THE AUTHORITY'S VISITORS CENTER	P	B		F	N	6		40,535	40,535	0	34,338	7/1/2010	C		5/29/2014
4500191545	NYS INDUSTRIES FOR THE DISABLED INC 11 COLUMBIA CIR ALBANY, NY 12203-5156 US	PRINTING AND REPROGRAPHICS SERVICES FOR ENERGY SERVICES. PROJECTS ON AN AS-NEEDED BASIS	S	B		N	N	4		38,984	4,646	1,259	85,560	7/1/2010	O	6/30/2015	
4500191627	WEST FAIR ELECTRIC CONTRACTORS INC 200 BRADY AVE HAWTHORNE, NY 10532 US	WPO GENERAL ELECTRICAL SERVICES	S	B		N	N	5		164,000	78,440	10,875	79,993	7/1/2010	O	6/30/2015	
4500191665	BAC ELECTRICAL CONSTRUCTION CO INC 873 N BROADWAY WHITE PLAINS, NY 10603-2403 US	GENERAL ELECTRICAL SERVICES FOR THE WPO	C	B		N	N	5		483,000	403,007	112,023	9,195	7/1/2010	O	6/30/2015	

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4500191674	HEALY ELECTRIC CONTRACTING INC 4 FISHER LANE WHITE PLAINS, NY 10603 US	GENERAL ELECTRIC SERVICES FOR THE WHITE PLAINS OFFICE	C	B		N	N	5		353,000	343,805	169,815	605,675	7/1/2010	O	6/30/2015	
4500193394	ABB INC 3450 HARVESTER RD BURLINGTON, ON L7N 3W5 CA	STATION SERVICE UPGRADE ST. LAWRENCE POWER PROJECT	E	B		F	N	3		1,152,783	547,108	0	0	9/2/2010	O	3/31/2015	
4500195338	CLEAREDGE POWER CORP FORMERLY UTC POWER CORP 195 GOVERNORS HWY SOUTH WINDSOR, CT 06074-2419 US	PURCHASE OF SPARE PARTS FOR THE MAINTENANCE OF EXISTING FUEL CELLS	E	1	1	F	N	1		2,725,852	2,725,852	14,832	2,153,752	10/21/2010	O	2/13/2015	
4500196697	KEMA INC 2 HYDE RD - PO BOX 281 CLARKLAKE, MI 49234-9652 US	LOAD RESEARCH FORECASTING	P	B		N	N	1		2,500,000	346,248	203,698	10,500	1/1/2011	O	12/31/2015	
4500197233	GE ENERGY MANAGEMENT SERVICES INC 175 SCIENCE PKWY ROCHESTER, NY 14620-4260 US	PURCHASE MULTIPLE GAS ANALYZERS FOR MARCY, MASSENA AND POLETTI PROJECTS	E	B		N	N	3		539,614	529,114	14,000	100,574	12/8/2010	O	2/18/2015	
4500197541	HARRISON I GETZ JR 129 SILVERMINE AVE NORWALK, CT 06850-2038 US	DESIGN AND PRODUCTION SERVICES	P	B		N	N	4		443,984	343,410	88,025	80,361	1/1/2011	O	12/31/2015	
4500197546	ANGELA WOODS 139 VILLA AVE YONKERS, NY 10704-2319 US	DESIGN AND PRODUCTION SERVICES	P	B		N	N	4		334,190	253,829	66,719	0	1/1/2011	O	12/30/2015	
4500197548	RUSSELL BROD 34 SALEM RD WHITE PLAINS, NY 10603 US	DESIGN & PRODUCTION SERVICES	P	B		N	N	4		117,613	117,613	0	141,037	1/1/2011	C		7/4/2014
4500197615	EILEEN BURTOFF 215 W 75TH ST - APT #11F NEW YORK, NY 10023-1713 US	DESIGN AND PRODUCTION SERVICES	S	B		N	N	4		362,486	221,449	56,975	44,550	1/1/2011	O	12/31/2015	
4500197698	GP STRATEGIES CORP 25 NORTHPOINTE PKWY - STE 100 AMHERST, NY 14228-1891 US	5 YEAR SERVICE AGREEMENT TO SUPPORT ETAPRO SOFTWARE	S	1	8	F	N	1		208,250	163,700	23,800	53,470	1/1/2011	O	12/31/2015	
4500197739	OSI SOFTWARE INC 777 DAVID ST - SUITE 250 SAN LEANDRO, CA 94577 US	RENEWAL OF 5 YEAR OSI SOFTWARE RELIANCE PROGRAM	S	1	8	F	N	1		272,969	219,499	26,549	337,247	1/1/2011	O	12/31/2015	
4500198012	SECUREWORKS INC 1 CONCOURSE PKWY NE - STE 500 ATLANTA, GA 30328-5346 US	NETWORK MONITORING SERVICES	S	B		F	N	2		1,397,550	1,060,303	261,409	188,860	12/31/2010	O	12/30/2015	
4500198214	TRANSITCHEK EMPLOYER SERV 8255 N CENTRAL PARK AVE SKOKIE, IL 60076 US	TRANSIT CHECKS FOR EMPLOYEES	S	1	9	N	N	1		570,000	381,140	94,405	0	1/1/2011	O	12/31/2015	

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4500198547	KNORR BRAKE CORP 861 BALTIMORE BLVD WESTMINSTER, MD 21157-7021 US	MTA - NYC TRANSIT OIL-LESS AIR COMPRESSORS	E	B		N	N	2		13,612,000	13,612,000	0	0	1/13/2011	O	12/31/2015	
4500199312	OILES AMERICA CORP 4510 ENTERPRISE DR NW CONCORD, NC 28027-6437 US	GREASELESS BUSHINGS FOR NIAGARA	E	B		N	N	1		135,648	135,648	0	0	2/3/2011	C		9/2/2014
4500200071	SHI INTERNATIONAL CORP 5 W BANK ST COLD SPRING, NY 10516-2219 US	SOPHOS SUPPORT / MAINTENANCE - OGS STATE CONTRACT PT-65193	S	B	7	N	N	1		84,300	84,300	0	0	3/30/2011	C		3/29/2014
4500200149	BRAND-ON FIRST BUFFALO MARINA INC 53 HUNTLEY RD BUFFALO, NY 14215-1314 US	LEASE FIRST BUFFALO MARINA	S	B		N	N	3		77,344	77,344	0	48,505	3/1/2011	O	3/1/2016	
4500200960	DYNTEK SERVICES INC 4440 VON KARMAN AVE - STE 200 NEWPORT BEACH, CA 92660-2011 US	PURCHASE OF HITACHI SAN HARDWARE, SOFTWARE AND SERVICES.	E	B		F	N	3		1,883,401	1,834,896	0	141,301	3/21/2011	O	3/31/2016	
4500201467	PROCUREMENT SERVICES CONSULTING INC 3121 ROUTE 22 E - STE 304 BRANCHBURG, NJ 08876-3559 US	Q & A ON CALL ENGINEERING AND METALLURGISTS SERVICES	P	B		N	N	9		666,667	525,366	94,061	123,281	4/1/2011	O	3/31/2016	
4500201470	BUREAU VERITAS NORTH AMERICA INC 4343 COMMERCE CT - STE 120 LISLE, IL 60532-3614 US	Q & A ON CALL ENGINEERS AND METALLURGISTS SERVICES	P	B		N	N	9		666,666	543,385	397,408	288,774	4/1/2011	O	3/31/2016	
4500201472	ASR INTERNATIONAL CORP 580 OLD WILLETS PATH HAUPPAUGE, NY 11788-4106 US	Q & A ON CALL ENGINEERING SERVICES	P	B		N	4	9		776,979	488,205	113,743	498,000	4/1/2011	O	3/31/2016	
4500201551	PMA MANAGEMENT CORP 380 SENTRY PKWY - STE 200 BLUE BELL, PA 19422-2328 US	WPO THIRD PARTY CLAIMS ADMINISTRATIVE SERVICES	P	B		N	N	11		780,000	282,000	82,000	1,977,910	10/1/2010	O	12/31/2015	
4500201576	TURBOPROP EAST INC 836 STATE RD NORTH ADAMS, MA 01247-3027 US	AIRCRAFT MAINTENANCE FOR NYPA PLANE	S	B		N	N	2		2,544,755	566,845	129,656	88,519	4/1/2011	O	3/31/2016	
4500201727	ENVIRONETICS GROUP ARCHITECT PC 180 SYLVAN AVE ENGLEWOOD CLIFFS, NJ 07632-2512 US	ARCHITECTURAL SERVICES	P	B		N	N	9		225,000	136,481	41,902	31,439	4/1/2011	O	3/31/2016	
4500201885	BAVIER DESIGN LLC 46 SOUTHFIELD AVE - STE 350 STAMFORD, CT 06902-7225 US	ARCHITECTURAL SERVICES FOR WPO	P	B		N	N	9		150,000	118,561	15,493	62,540	4/1/2011	O	3/31/2016	

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4500203078	DEVELOPMENT DIMENSIONS INT'L INC DDI INC 1225 WASHINGTON PIKE BRIDGEVILLE, PA 15017-2838 US	PROFESSIONAL ASSESSMENTS	P	2	8	F	N	1		175,000	112,460	10,400	3,612,347	4/11/2011	O	3/31/2016	
4500203476	BROSNAN RISK CONSULTANTS LTD 295 MADISON AVE - FL 47 NEW YORK, NY 10017-6334 US	SECURITY PERSONNEL SERVICES	S	B		N	N	19		11,519,036	7,906,689	2,300,100	0	6/1/2011	O	6/30/2016	
4500203958	CDW G INC 230 N MILWAUKEE AVE VERNON HILLS, IL 60061-4304 US	RSA TOKENS - OGS STATE CONTRACT - PT60953	E	B	7	F	N	2		15,959	15,959	0	30,493	6/27/2011	O	12/31/2016	
4500204188	AIRMATIC COMPRESSOR SYSTEMS INC 700 WASHINGTON AVE CARLSTADT, NJ 07072-3007 US	FURNISH, DELIVER AND INSTALL COMPRESSED AIR SYSTEMS	E	B		N	N	2		3,919,280	3,888,787	424,894	8,093	7/1/2011	C		6/30/2014
4500204201	UTILITY SYSTEMS DIVISION DBA FOR MAGNETIC INSTRUMENTATION 8431 CASTLEWOOD DR INDIANAPOLIS, IN 46250-1534 US	UTILITY SYSTEMS TO FURNISH, DELIVER, INSTALL TWO DIGITAL FAULT RECORDERS	E	B		F	N	4		98,565	90,472	60,472	249,856	6/17/2011	O	12/31/2015	
4500204553	NEXANT INC 44 S BROADWAY - STE 404 WHITE PLAINS, NY 10601-4439 US	TRANSMISSION COST OF SERVICE	P	B		N	N	5		500,000	250,144	18,202	27,702	6/30/2011	O	7/2/2015	
4500205054	CLEAN AIR QUALITY SERVICE INC 161 BRADY AVE HAWTHORNE, NY 10532-2201 US	ENERGY MANAGEMENT SYSTEM MAINTENANCE	S	B		N	N	2		50,970	23,268	0	230,000	7/1/2011	O	6/30/2016	
4500205400	PUBLIC FINANCIAL MANAGEMENT INC 18TH & ARCH STS - STE 1600 PHILADELPHIA, PA 19103 US	FINANCIAL ADVISORY SERVICES	P	B		N	N	4		250,000	20,000	0	74,966	7/1/2011	O	5/31/2015	
4500206602	GE ENERGY CONTROL SOLUTIONS INC 1800 NELSON RD LONGMONT, CO 80501-6324 US	500MW HMI/ETHERNET SWITCHES/HISTORIAN UPGRADE	E	B		N	N	1		919,620	844,654	52,324	1,000	8/18/2011	O	7/31/2016	
4500207036	EME CONSULTING ENGINEERING GRP LLC 159 WEST 25TH ST NEW YORK, NY 10001-7203 US	ARRA FUNDED - RETRO-COMMISSIONING & MODIFIED RETRO-COMMISSIONING IMPLEMENTATION CONTRACTOR SERVICES FOR NYPA'S HELP IN SENY	C	B		N	N	9		139,600	138,600	0	0	9/7/2011	C		7/31/2014

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4500207037	WSP FLACK + KURTZ 512 7TH AVE NEW YORK, NY 10018 US	ARRA FUNDED - RETRO-COMMISSIONING & MODIFIED RETRO-COMMISSIONING IMPLEMENTATION CONTRACTOR SERVICES FOR NYPA'S HELP IN SENY	C	B		N	N	9		32,555	32,555	0	0	9/7/2011	C		7/31/2014
4500207038	HORIZON ENGINEERING ASSOCIATES LLP MICHAEL ENGLISH 30 BROAD ST - 15TH FL NEW YORK, NY 10004-2969 US	ARRA FUNDED - RETRO-COMMISSIONING & MODIFIED RETRO-COMMISSIONING IMPLEMENTATION CONTRACTOR SERVICES FOR NYPA'S HELP IN SENY	C	B		N	N	9		170,700	170,700	0	114,872	8/29/2011	C		7/31/2014
4500207932	THINKERSDESIGN STEPHANIE TOTHILL 328 COMMERCE ST HAWTHORNE, NY 10532-1958 US	DESIGN SERVICES (IN-HOUSE)	S	B		N	3	6		218,005	103,133	58,245	39,371	9/12/2011	O	12/31/2015	
4500208232	KLEINSCHMIDT ASSOCIATES PA PC PO BOX 650 PITTSFIELD, ME 04967-0650 US	BLENHEIM-GILBOA POWER PROJECT - FERC RELICENSING LEAD CONSULTANT	P	B		N	N	3		1,030,000	990,629	22,728	10,689	10/1/2011	O	9/30/2015	
4500208340	ABRAMS & ABRAMS LLP - 363 7TH AVE - 12TH FL NEW YORK, NY 10001-3904 US	IMMIGRATION COUNSEL SERVICES	L	C	8	N	N	7		250,000	239,311	109,314	0	10/1/2011	O	9/30/2016	
4500209134	DELL MARKETING LP 850 ASBURY DR BUFFALO GROVE, IL 60089-4557 US	COMPUTRACE SECURITY SOFTWARE - OGS STATE CONTRACT PT-65191	E	B	7	N	N	4		37,528	37,528	0	8,499	10/24/2011	C		10/20/2014
4500209983	SYSTEM IMPROVEMENTS INC 238 S PETERS RD - STE 301 KNOXVILLE, TN 37923-5224 US	SOFTWARE PURCHASE AND INSTALLATION OF TAPROOT ROOT CAUSE ANALYSIS	E	B		F	N	1		75,191	66,692	6,899	457,981	11/7/2011	O	12/30/2016	
4500210337	SMIT TRANSFORMATOREN BV 120 VARNFIELD DR - STE E SUMMERVILLE, SC 29483-7313 US	AUTOTRANSFORMER REPLACEMENT AT ST. LAWRENCE SUBSTATION	E	B		F	N	7		3,088,633	2,630,652	2,556,226	194,895	11/17/2011	C		12/31/2014
4500211243	BENTLY NEVADA INC 475 CREAMERY WAY EXTON, PA 19341-2546 US	FURNISH, DELIVER, INSTALL CONDITIONING MONITORING SYSTEMS FOR LPGP NPP	E	B		F	N	1		815,412	620,517	404,727	0	1/3/2012	O	12/31/2018	
4500211575	THE VALLEY GROUP INC 3A TROWBRIDGE DR BETHEL, CT 06801-2858 US	DYNAMIC LINE RATING	E	1	8	F	N	1		1,002,680	1,002,680	61,780	100,000	1/3/2012	C		12/31/2014

POWER AUTHORITY OF THE STATE OF NEW YORK
2014 ANNUAL REPORT OF PROCUREMENT CONTRACTS
REQUIRED BY N.Y. PUBLIC AUTHORITIES LAW, SECTION 2879

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4500211662	NYS DIV OF HOMELAND SECURITY OFC OF FIRE PREVENTION & CONTROL STE 710 1220 WASHINGTON AVE - BUILDING ALBANY, NY 12226-1799 US	WPO - NYS OFFICE OF FIRE PREVENTION & CONTROL	S	B	7	N	N	1		175,000	75,000	75,000	4,472	1/1/2012	O	12/31/2016	
4500211763	DAVIS VISION INC 175 EXPRESS ST PLAINVIEW, NY 11803 US	THIRD PARTY ADMINISTRATION SERVICES FOR VISION	S	B		N	N	4		11,558	7,086	2,613	448,651	1/1/2012	O	12/31/2016	
4500211806	CREATIVE MEDIA AGENCY LLC 393 JERICHO TPKE-3RD FL MINEOLA, NY 11501 US	MEDIA PUBLICATION SERVICES	P	B		N	N	3		600,000	151,349	58,317	0	1/1/2012	O	12/31/2016	
4500212024	GOTHAM TECHNOLOGY GROUP 1 PARAGON DR - STE 200 MONTVALE, NJ 7645 US	PURCHASE OF 5 YEARS MAINTENANCE ON INFOBLOX HARDWARE	S	B		F	N	1		65,318	65,318	0	154,564	1/3/2012	O	1/3/2017	
4500212248	EATON CORP 6780 NORTHERN BLVD - STE 500 EAST SYRACUSE, NY 13057-8724 US	LPGP - LEM UNIT CIRCUIT BREAKERS	E	B		N	N	3		2,177,131	2,022,567	3,807	163,916	1/10/2012	O	12/31/2020	
4500213199	DELTA DENTAL OF NEW YORK 1 DELTA DR MECHANICSBURG, PA 17055-6999 US	THIRD PARTY ADMINISTRATIVE SERVICES FOR DENTAL	S	B		N	N	6		357,490	193,574	69,455	314,182	1/1/2012	O	12/31/2016	
4500213229	JOSEPH M BRESS PO BOX 5680 WASHINGTON, DC 20016-1280 US	LABOR NEGOTIATION SERVICES	P	2	1	F	N	1		450,000	135,818	50,329	233,170	1/30/2012	C		12/31/2014
4500213308	LDC CONSTRUCTION CO INC 1920 BASELINE RD GRAND ISLAND, NY 14072-2013 US	NIAGARA POWER PROJECT - MOTOR ISLAND EXCAVATION PHASE	C	B		N	N	4		1,295,245	1,062,075	4,630	1,262,362	2/3/2012	C		12/31/2014
4500213355	PERRAS EXCAVATING INC 1909 ST HWY 420 MASSENA, NY 13662 US	ST. LAWRENCE-FDR POWER PROJECT - LITTE SUCKER BROOK HABITAT IMPROVEMENT	C	B		N	N	6		2,020,000	757,638	0	75,608	1/31/2012	O	1/30/2015	
4500213441	ALDEN RESEARCH LABORATORY INC 30 SHREWSBURY ST HOLDEN, MA 01520-1098 US	PERFORMANCE TESTING & ENGINEERING ANALYSES USING CURRENT METER METHOD AT LPGP	A	B		F	N	2		158,200	82,592	0	30,428	2/3/2012	C		9/30/2014
4500213532	CAMERON TECHNOLOGIES US INC 1000 MCCLAREN WOODS DR CORAOPOLIS, PA 15108-7766 US	NIAGARA POWER PROJECT - DELIVER, FURNISH & DELIVER 18-CHORDAL PATH ULTRASONIC FLOW METHOD	C	B		N	N	2		651,185	620,757	163,419	9,664	2/7/2012	C		10/16/2014

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REQUIRED BY N.Y. PUBLIC AUTHORITIES LAW, SECTION 2879

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4500213743	UTILITY SYSTEMS DIVISION DBA FOR MAGNETIC INSTRUMENTATION 8431 CASTLEWOOD DR INDIANAPOLIS, IN 46250-1534 US	FURNISH AND DELIVER (1) DFR/PMU AT THE MARCY SUBSTATION	E	B		F	N	5		146,004	136,340	9,380	3,659,846	2/13/2012	O	12/31/2015	
4500213940	UNITED HEALTHCARE SERVICES INC ONE MADISON AVE NEW YORK, NY 10010-3690 US	THIRD PARTY ADMINISTRATIVE SERVICES FOR MANAGED HEALTH CARE	S	B		N	N	5		6,613,816	2,953,970	992,783	20,182	1/1/2012	O	12/31/2016	
4500214059	UTILITY SYSTEMS DIVISION DBA FOR MAGNETIC INSTRUMENTATION 8431 CASTLEWOOD DR INDIANAPOLIS, IN 46250-1534 US	ONE INTEGRATED DIGITAL FAULT RECORDER (DFR)/PHASOR MEASUREMENT UNITS (PMU) FOR EAST GARDEN CITY SUBSTATION	E	1	8	F	N	1		84,714	64,532	64,532	8,612	2/21/2012	O	10/30/2015	
4500214241	APPLIED ECOLOGICAL SERVICES INC 17921 W SMITH RD BRODHEAD, WI 53520-9355 US	NIAGARA POWER PROJECT - MOTOR ISLAND SHORELINE RESTORATION & HABITAT IMPROVEMENT PROJECT	C	B		N	N	4		431,132	422,520	75,503	139,320	3/1/2012	C		12/31/2014
4500214473	POWERRUNNER LLC 145 SOUTH RD BRENTWOOD, NH 03833-6301 US	LONG-TERM MARKET 5 YEAR MAINTENANCE ON POWER RUNNER SOFTWARE	S	B		F	N	1		348,300	208,980	139,320	110,730	12/1/2012	O	12/1/2017	
4500215362	ULTRA ELECTRONICS OCEAN SYSTEMS 115 BAY STATE DR BRAINTREE, MA 02184-5203 US	CRESCENT & VISCHER FERRY- INSTALLATION, MAINTENANCE. & REMOVAL OF THE ACOUSTIC FISH DETERRENT SYSTEMS	S	B		N	N	1		110,730	0	0	97,382	4/1/2012	O	3/31/2017	
4500215367	THE TELX GROUP INC 1 STATE ST - 21ST FL NEW YORK, NY 10004-1561 US	PROVISION OF DISASTER RECOVERY CO-LOCATION SERVICES	E	B		N	N	3		525,778	428,396	153,362	389,172	6/1/2012	O	6/30/2015	
4500215475	PREMIER UTILITY SERVICES LLC STE 3 100 MARCUS BLVD HAUPPAUGE, NY 11788-3749 US	CONSULTING SERVICES FOR BURIED UTILITIES RESPONSE SERVICES	S	B		N	N	3		470,000	80,828	26,996	101,960	3/1/2012	O	3/31/2017	
4500216980	CORPORATE COUNSELING ASSOCIATES INC 475 PARK AVE SOUTH - 5FL NEW YORK, NY 10016 US	PROVIDE EMPLOYEE ASSISTANCE PROGRAM SERVICES	S	B		N	N	4		205,640	103,680	39,680	0	5/2/2012	O	3/31/2017	
4500217446	DELL MARKETING LP 850 ASBURY DR BUFFALO GROVE, IL 60089-4557 US	MS ENTERPRISE AGREEMENT - OGS STATE CONTRACT PT-65196	E	B	7	N	N	1		1,439,450	1,439,450	484,857	135,739	7/1/2012	O	6/30/2015	
4500218653	BVR CONSTRUCTION CO INC 8 KING RD CHURCHVILLE, NY 14428 US	NIAGARA SWITCHYARD STRUCTURES FOUNDATION REPAIRS	C	B		N	N	5		1,222,049	1,086,310	235,779	490,839	5/30/2012	C		10/13/2014

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4500219234	J E SHEEHAN CONTRACTING CORP 208 SISSONVILLE RD POTSDAM, NY 13676-3563 US	ST. LAWRENCE-FDR POWER PROJECT - NICHOLS ISLAND HABITAT IMPROVEMENT PROJECT	C	B		N	1	2		3,293,050	2,802,211	375,478	553,898	7/2/2012	O	6/30/2015	
4500219576	MAC PRODUCTS INC 60 PENNSYLVANIA AVE KEARNY, NJ 7032 US	HIGH PRESSURE FLUID FILLED (HPFF) CABLE PRESSURIZATION PLANTS FOR THE NIAGARA POWER PROJECT	C	B		F	N	1		1,990,429	1,436,531	422,017	171,490	7/9/2012	O	7/8/2015	
4500219697	STIEGLITZ SNYDER ARCHITECTURE 425 FRANKLIN ST BUFFALO, NY 14202-1301 US	ST. LAWRENCE-FDR POWER PROJECT - DESIGN SERVICES FOR THE NEW NATURE CENTER	A	B		N	N	6		580,756	409,266	168,260	6,294	8/1/2012	O	7/31/2015	
4500220044	FIRST ENVIRONMENT INC 91 FULTON ST - STE 1 BOONTON, NJ 07005-1942 US	AGREEMENT FOR VERIFICATION SERVICES OF REPORTED INVENTORY TO THE CLIMATE REGISTRY AS A TRANSITIONAL REPORTER FOR 2011 DATA	P	B		N	N	1		45,000	38,706	14,340	90,283	7/2/2012	O	6/27/2015	
4500220355	DUANE MORRIS LLP 1540 BROADWAY NEW YORK, NY 10036-4086 US	OUTSIDE COUNSEL SERVICES FOR DESIGN AND DRAFTING OF CONSTRUCTION CONTRACTS FOR ENERGY EFFICIENT PROJECTS	L	C	9	N	N	1		300,000	209,717	112,309	350,000	8/1/2012	O	7/31/2016	
4500220521	NEW ELECTRICITY TRANSMISSION SOFTWARE SOLUTIONS 22 WEIR HILL RD SUDBURY, MA 1776 US	DYNAMIC LINE RATING PROJECT	P	1	8	F	N	1		550,000	200,000	100,000	12,451	7/31/2012	O	7/30/2015	
4500220581	M J BRADLEY & ASSOCIATES LLC 1000 ELM ST - 2ND FL MANCHESTER, NH 03101-1730 US	ARRA FUNDED HYBRID ELECTRIC SCHOOL BUS FIELD TEST DATA ANALYSIS PHASE TWO	P	2	8	F	N	1		101,000	88,549	0	32,174	8/1/2012	C		1/30/2014
4500221669	WINSTON & STRAWN LLP 1700 K ST NW WASHINGTON, DC 20006-3817 US	LEGAL SERVICES RELATED TO THE NY TRANSMISSION OWNERS COMMITTEE	L	C	9	F	N	1		150,000	117,826	1,574	0	8/29/2012	C		8/23/2014
4500222063	CAREERBUILDER LLC CAREERBUILDER.COM 200 N LA SALLE ST - STE 1100 CHICAGO, IL 60601-1084 US	RECRUITMENT SERVICES (SOURCE AND SCREEN)	P	B		F	N	13		13,500	13,500	6,750	188,372	9/10/2012	O	3/31/2017	
4500222851	NEWMARK & COMPANY REAL ESTATE INC 125 PARK AVE - 12TH FL NEW YORK, NY 10017-5690 US	REAL ESTATE BROKERAGE FEES AT 123 MAIN STREET	P	2	9	N	N	1		400,000	211,628	6,109	558,799	9/11/2012	C		9/1/2014
4500222931	BUFFALO ENVIRONMENTAL CONSULTANTS INC 7815 BUFFALO AVE NIAGARA FALLS, NY 14304-4221 US	ENVIRONMENTAL, HEALTH & SAFETY PROFESSIONAL SUPPORT NIAGARA POWER PROJECT	P	B		N	N	12		1,000,000	441,201	204,627	22,036	10/1/2012	O	9/30/2017	

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4500223158	TRANSWAVE COMMUNICATION SYSTEMS INC 9020 WEHRLE DR CLARENCE, NY 14031 US	INSTALLATION OF NEW DIGITAL DATA MICROWAVE SYSTEM	E	B		N	N	1		304,418	282,382	0	90,492	10/5/2012	C		6/27/2014
4500223446	ORACLE AMERICA INC 500 ORACLE PKWY REDWOOD CITY, CA 94065-1677 US	ORACLE MAINTENANCE ON LONG TERM MARKET FORECASTING SOFTWARE	S	B		F	N	1		177,049	86,557	43,279	829	11/16/2012	O	11/15/2016	
4500223661	QUALITY INSPECTION SERVICES INC DBA FOR APPLUS RTD USA 37 FRANKLIN ST - STE 400 BUFFALO, NY 14202-4117 US	ON CALL TESTING AND LABORATORY SERVICES	S	B		N	N	2		237,374	236,545	90,136	4,808,452	10/31/2012	C		3/31/2014
4500224214	LVI DEMOLITION SERVICES INC 32 WILLIAMS PKWY EAST HANOVER, NJ 07936-2105 US	POLETTI DECONSTRUCTION PROJECT	C	B		N	N	4		23,575,673	18,767,221	12,115,404	0	10/1/2012	O	7/31/2015	
4500225051	GSS INFOTECH INC 1762 CENTRAL AVE ALBANY, NY 12205-4786 US	ANNUAL MAINTENANCE FOR FUJITSU SCANNERS - GSA SCHEDULE GS-35F-4342D	S	B	7	N	N	1		7,054	7,054	0	1,169,573	1/16/2013	C		1/15/2014
4500225140	TBEA USA CORP 3452 E FOOTHILL BLVD STE 1020 PASADENA, CA 91107-6020 US	DESIGN, FURNISH, DELIVER .& I ONE 3-PHASE SHUNT REACTOR	E	B		F	N	4		2,257,738	1,088,165	1,088,165	0	11/21/2012	O	3/31/2015	
4500225577	ESVA INC 116 TAZEWELL AVE CAPE CHARLES, VA 23310-3128 US	ANNUAL MAINTENANCE METALOGIX SOFTWARE-GSA SCHEDULE GS-35F-0333W	S	B	7	N	N	1		5,774	5,774	0	0	1/31/2013	C		1/30/2014
4500226158	ORACLE AMERICA INC 500 ORACLE PKWY REDWOOD CITY, CA 94065-1677 US	PURCHASE OF ORACLE SOFTWARE AND MAINTENANCE FOR EDRMC	S	B		F	N	1		263,520	263,520	11,880	3,385	12/27/2012	C		2/13/2014
4500226210	LEONARD POWERS INC 442 W 49TH ST NEW YORK, NY 10019-7209 US	FDNY SOLAR THERMAL HOT WATER SYSTEMS	C	B		N	N	6		312,615	309,230	166,630	87,969	3/7/2013	C		3/6/2014
4500226227	VERSONA SYSTEMS LLC 3100 TIMMONS LN - STE 401 HOUSTON, TX 77027-5904 US	BARCODE SOFTWARE SOLUTION WITH 5 YEARS MAINTENANCE	S	B		F	N	4		424,165	336,196	31,985	9,798	12/21/2012	O	12/31/2017	
4500226250	AXYON CONSULTING LLC 2745 DALLAS PKWY STE 300 PLANO, TX 75093-8729 US	DATA MANAGEMENT SOFTWARE & MAINTENANCE	S	B	8	N	N	3		186,510	176,712	0	253,248	12/31/2012	O	12/31/2017	

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4500226261	HEALTH QUEST URGENT MEDICAL CARE PRACTICE PC 1530 ROUTE 9 WAPPINGERS FALLS, NY 12590-4131 US	MEDICAL SERVICES AND RECORD RETENTION	P	B		N	N	2		322,500	69,252	41,142	0	1/1/2013	O	12/31/2017	
4500226490	ASPEN INC 49 N SAN MATEO DR SAN MATEO, CA 94401-2865 US	ANNUAL MAINTENANCE - ASPEN	S	1	8	N	N	1		8,193	8,193	0	8,072	2/1/2013	C		1/31/2014
4500226563	LAYNE CHRISTENSEN CO 1126 LINCOLN AVE HOLBROOK, NY 11741-2260 US	FLYNN POWER PROJECT-FURNISH, DELIVER, INSTALL WELL WATER PUMPS (2) REPLACEMENT PROJECT	C	B		N	N	3		176,552	168,480	91,000	0	1/8/2013	C		3/31/2014
4500226732	NORTHLINE UTILITIES LLC 15 SCHOOL LN - STE 200 AU SABLE FORKS, NY 12912 US	FURNISH DELIVER AND INSTALL CABLE TRAYS - STL	C	C	8	F	1	1		277,169	277,169	38,268	10,117,342	1/10/2013	C		3/31/2014
4500226810	SMIT TRANSFORMATOREN BV 120 VARNFIELD DR - STE E SUMMERVILLE, SC 29483-7313 US	F D & I SIX AUTO-TRANSFORMERS	E	B		F	N	10		16,824,890	6,707,548	6,682,817	0	1/14/2013	O	12/31/2016	
4500226835	ORACLE AMERICA INC 1001 SUNSET BLVD ROCKLIN, CA 95765-3702 US	ORACLE MAINTENANCE - OGS STATE CONTRACT - PT-64000	S	B	7	N	N	1		185,980	185,980	92,990	0	5/30/2013	C		5/30/2014
4500226836	ORACLE AMERICA INC 1910 ORACLE WY RESTON, VA 20190-4733 US	ORACLE MAINTENANCE - OGS STATE CONTRACT PT-64000	S	B	7	N	N	1		14,710	14,710	3,678	45,001	2/10/2013	C		2/9/2014
4500226992	NORTHLINE UTILITIES LLC 15 SCHOOL LN - STE 200 AU SABLE FORKS, NY 12912 US	765KV TRANSFORMER REMOVAL PROJECT	C	B		N	1	4		569,607	524,606	238,196	10,362	1/16/2013	C		12/31/2014
4500227034	POWERRUNNER LLC 145 SOUTH RD BRENTWOOD, NH 03833-6301 US	ENERGY & DISTRIBUTION RATE MODELING & COMPARISON IMPLEMENTATION	P	B		F	N	1		390,606	380,244	216,905	12,243	2/13/2013	C		2/12/2014
4500227040	REINHARD-MADISON APPROACH STAFFING DBA MADISON APPROACH STAFFING INC 7-11 S BROADWAY - STE 206 WHITE PLAINS, NY 10601-3520 US	TEMPORARY HELP FOR TRAVEL DESK	P	B	7	N	3	1		42,000	29,757	4,004	0	1/17/2013	C		1/22/2014
4500227323	LASCON INC 185 SUMMERFIELD ST FL 2 SCARSDALE, NY 10583-5425 US	SNOW REMOVAL	S	M	8	N	1	1		116,162	116,162	46,967	1,011,164	1/24/2013	C		1/23/2014
4500227382	RIMINI STREET INC 7251 W LAKE MEAD BLVD - STE 300 LAS VEGAS, NV 89128-8380 US	SAP MAINTENANCE & SUPPORT SERVICES	S	B		N	N	6		1,600,000	588,836	304,418	3,000	1/25/2013	O	1/24/2016	

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4500227517	CAMBRIDGE TECHNOLOGY ENTERPRISES INC 1 MAIN ST - STE 530 CAMBRIDGE, MA 02142-1531 US	SMARTSHIFT SMART DEVELOP LIC & SVC	E	B	8	N	N	3		17,400	14,400	0	41,558	1/29/2013	C		1/28/2014
4500227528	RIZZO & DIGIACCO CPA'S PLLC 69B MONROE AVE PITTSFORD, NY 14534 US	AUDIT OF NYPA'S DEFERRED COMPENSATION PLAN FROM 2013 - 2017	P	B		N	N	3		50,000	8,442	0	5,711,576	1/29/2013	O	2/22/2018	
4500227901	TIME WARNER CABLE INC 20 CENTURY HILL DR LATHAM, NY 12110-2116 US	WIDE AREA NETWORK BACKBONE	S	B		F	N	2		7,542,676	1,831,100	780,100	0	1/1/2013	O	12/31/2019	
4500227902	LEAD DATA TECHNOLOGIES INC 55 OLD TURNPIKE RD STE 604 NANUET, NY 10954 US	CHECKPOINT APPLICATIONS - OGS STATE CONTRACT PT-61429	E	B	7	N	N	1		16,477	16,477	0	781,624	3/4/2013	C		3/3/2014
4500228245	NYC TAXI & LIMOUSINE COMMISSION 33 BEAVER ST - FL 22 NEW YORK, NY 10004-2738 US	RAPID CHARGING EQUIPMENT	E	1	9	N	N	1		20,000	0	0	23,604	2/13/2013	O	2/10/2015	
4500228250	AIRMATIC COMPRESSOR SYSTEMS INC 700 WASHINGTON AVE CARLSTADT, NJ 07072-3007 US	NYCT JAMAICA & PITKIN MAINTENANCE SHOP GAS FIRED INFRARED HEATING SYSTEM PROJECT	C	B		F	N	3		1,949,771	1,168,147	1,051,108	0	2/13/2013	C		12/31/2014
4500228309	RICOH USA INC 2500 WESTCHESTER AVE - STE 113 PURCHASE, NY 10577-2515 US	RICOH TO FURNISH AND DELIVER TONER CARTRIDGES TO NYPA	E	B	8	N	N	4		125,000	101,396	85,317	19,075	2/25/2013	O	2/24/2015	
4500228310	AL MARTINELLO PLUMBING & HEATING INC 4 N STONE AVE ELMSFORD, NY 10523-2637 US	PLUMBING SERVICES - WPO	S	M	8	N	1	1		16,159	16,159	0	7,355	2/19/2013	C		1/3/2014
4500228343	SUSAN P TOLCHIN 22 COLONIAL RD WHITE PLAINS, NY 10605-2212 US	STRATEGIC COMMUNICATIONS CONSULTANT	P	B		N	N	6		172,000	152,925	74,175	15,999,947	2/15/2013	O	3/31/2015	
4500228396	REINHARD-MADISON APPROACH STAFFING DBA MADISON APPROACH STAFFING INC 7-11 S BROADWAY - STE 206 WHITE PLAINS, NY 10601-3520 US	TEMPORARY STAFFING FOR ELEARNING DEVELOPER/CAMTASIA EXPERT	S	B	7	N	3	1		133,458	132,260	43,032	0	2/21/2013	C		3/1/2014
4500228537	ALSTOM GRID INC DISCONNECT SWITCHES DIV 11 GUTTMAN BLVD CHARLEROI, PA 15022-1016 US	FURNISH AND DELIVER DISCONNECT SWITCH	E	B		F	N	2		34,255	26,900	0	1,680	2/22/2013	O	5/31/2015	

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4500228543	ABB INC 940 MAIN CAMPUS DR RALEIGH, NC 27606-5211 US	GENERATOR STEP UP (GSU) TRANSFORMERS PROJECT AT THE ST. LAWRENCE POWER PROJECT	C	B		F	N	8		24,353,657	8,353,710	8,239,128	13,156	1/23/2013	O	12/31/2017	
4500228569	ADOBE SYSTEMS INC 345 PARK AVE SAN JOSE, CA 95110-2704 US	ADOBE SYSTEMS' ADOBE ECHOSIGN ENTERPRISE LICENSE	S	C	5	F	N	4		14,400	14,400	0	100,870	2/28/2013	C		2/26/2014
4500228582	AON FIRE PROTECTION ENGINEERING OF ILLINOIS PC 1600 SUMMER ST - 6TH FL STAMFORD, CT 06905-5125 US	ENGINEERING ASSESSMENT OF SAFETY SYSTEMS	P	B		N	N	10		73,912	72,232	9,407	0	2/22/2013	C		2/1/2014
4500228612	MUTUALINK INC 1269 SOUTH BROAD ST WALLINGFORD, CT 06492-1737 US	VIDEOCONFERENCING EQPT - OGS STATE CONTRACT - PT64775	E	B	7	N	N	1		14,336	1,180	0	0	3/11/2013	C		3/12/2014
4500228780	BOOZ & CO (N A) INC 901 MAIN ST - STE 6500 DALLAS, TX 75202-5602 US	WPO: CONSULTING SERVICES	P	2	9	N	N	1		495,000	394,130	0	0	2/28/2013	C		1/27/2014
4500228794	PRESIDIO NETWORKED SOLUTIONS INC 10 6TH RD WOBURN, MA 01801-1735 US	PROFESSIONAL SERVICES - BOP IP EQPT-OGS STATE CONTRACT PT-64450	S	B	7	N	N	1		17,710	17,710	7,716	4,500	3/25/2013	C		3/24/2014
4500228907	CARAHSOFT TECHNOLOGY CORP 12369 SUNRISE VALLEY DR - STE D-2 RESTON, VA 20191 US	ANNUAL JBOSS APPLICATION MAINTENANCE - GSA SCHEDULE GS-35F-0131R	S	B	7	N	N	1		8,434	8,434	0	595	3/3/2013	C		2/19/2014
4500228920	AMTEL INC 900 LAFAYETTE ST - STE 506 SANTA CLARA, CA 95050-4967 US	MOBILE DEVICE MANAGEMENT MAINTENANCE -	S	C		N	N	3		32,315	32,315	0	0	2/15/2013	C		2/14/2014
4500228956	ALSTOM GRID INC 1 POWER LN CHARLEROI, PA 15022-1082 US	345 KV SF6 CIRCUIT BREAKER FOR COOPERS CORNERS SHUNT REACTOR PROJECT	E	B		F	N	4		231,300	226,800	226,800	0	3/4/2013	O	6/30/2015	
4500229047	CITY UNIVERSITY OF NEW YORK CUNY 535 E 80TH ST NEW YORK, NY 10021 US	CUNY INTERNET METERING PHASE III TECHNOLOGY UPDATE	C	1	9	N	N	1		10,347	9,752	9,752	0	3/7/2013	C		3/6/2014
4500229284	CITRIX SYSTEMS INC 851 WEST CYPRESS CREEK RD FORT LAUDERDALE, FL 33309 US	CITRIX ANNUAL MAINTENANCE	S	B	7	N	N	1		7,920	7,920	0	1,210	4/30/2013	C		4/30/2014
4500229407	ASSETWORKS INC 4275 EXECUTIVE SQ - STE 300 LA JOLLA, CA 92037-8408 US	MAXIMUS FASUITEFOCUS FA MAINTENANCE - OGS STATE CONTRACT PT-60412	S	B	7	N	N	1		27,265	27,265	0	0	4/1/2013	C		3/31/2014

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4500229444	ABB INC 940 MAIN CAMPUS DR RALEIGH, NC 27606-5211 US	SERIES CAPACITOR STUDY	P	B	8	F	N	2		222,500	222,500	67,500	0	3/14/2013	C		12/31/2014
4500229466	CEMTEK SYSTEMS INC 2013 SOUTH WOOD AVE LINDEN, NJ 7036 US	500MW: CEMS HARDWARE MAINTENANCE	P	B		F	N	2		81,156	79,946	73,173	2,433,880	4/1/2013	C		3/31/2014
4500229483	LEAD DATA TECHNOLOGIES INC 55 OLD TURNPIKE RD STE 604 NANUET, NY 10954 US	ANNUAL PLXROUTED PROVISIONAL SERVICES	S	1	8	N	N	1		114,858	114,858	0	0	3/18/2013	C		3/17/2014
4500229588	A & F ELECTRICAL TESTING INC PO BOX 446 SAINT JAMES, NY 11780-0446 US	ELECTRICAL TESTING - SUFFOLK STATE OFFICE BUILDING	C	2	8	N	3	1		88,350	88,350	12,350	1,266,584	3/20/2013	C		3/19/2014
4500229795	THE FRANKLIN COMPANY CONTRACTORS INC 22-04 119TH ST COLLEGE POINT, NY 11356-2516 US	POLETTI FUEL OIL YARD DIKE WALL DEMOLITION PROJECT	C	B		N	N	3		7,535,831	5,101,951	3,684,770	0	3/22/2013	O	3/21/2015	
4500229890	DELL COMPUTER CORP ONE DELL WAY ROUND ROCK, TX 78682 US	ORACLE CREATIVE CLOUD SOFTWARE - OGS CONTRACT PT-65191	E	B	7	N	N	3		5,367	5,367	0	0	4/1/2013	C		3/31/2014
4500229994	CRANE HOGAN STRUCTURAL SYSTEMS INC 3001 BROCKPORT RD SPENCERPORT, NY 14559 US	DAM FACE CONCRETE REPAIRS - INSPECTION AND RESTORATION	C	B		N	N	1		9,297,344	8,030,760	5,418,552	3,019	3/27/2013	C		12/31/2014
4500230031	STRUCTURE WORKS INC 43 MILL ST DOVER PLAINS, NY 12522-5123 US	FIRE ALARM SYSTEM SERVICES	S	M	8	N	1	1		32,240	32,240	21,173	2,335	4/8/2013	C		4/7/2014
4500230144	JOHNSON CONTROLS INC 130 JOHN MUIR DR - STE 100 AMHERST, NY 14228-1139 US	CARD READERS FOR NERC CIP	S	1	8	N	N	1		66,698	66,698	66,698	19,001	4/2/2013	C		3/31/2014
4500230354	LOPEZ & ASSOCIATES INC 1010 NORTHERN BLVD - STE 208 GREAT NECK, NY 11021 US	GRADING ENTRY LEVEL EXAMS & CREATING NEW TESTS	P	M	8	N	N	1		30,000	26,981	13,006	51,327	4/9/2013	C		4/8/2014
4500230377	GOTHAM GOVERNMENT SOLUTIONS LLC 1 PARAGON DR STE 200 MONTVALE, NJ 07645-1728 US	LABOR - CITRIX XENDESKTOP POC - GSA SCHEDULE GS-35F-060AA	S	B	7	N	N	1		10,893	8,558	0	0	4/8/2013	C		3/24/2014
4500230386	JOHN W DANFORTH CO INC 300 COLVIN WOODS PKWY TONAWANDA, NY 14150-6908 US	POWER VISTA CHILLER UPGRADE AT NIAGARA POWER PROJECT	E	B		N	N	3		466,768	447,767	84,127	0	4/9/2013	C		12/1/2014
4500230394	JOHN W DANFORTH CO INC 300 COLVIN WOODS PKWY TONAWANDA, NY 14150-6908 US	SERVICE AND RELAY BUILDING COOLING TOWER UPGRADE PROJECT AT NIAGARA POWER PROJECT	E	B		N	N	3		996,976	945,649	152,299	0	4/9/2013	C		12/1/2014

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4500230440	SHI INTERNATIONAL CORP 5 W BANK ST COLD SPRING, NY 10516-2219 US	ANNUAL MAINTENANCE - NITROGUARD - OGS STATE CONTRACT PT-65193	S	B	7	N	N	1		29,850	29,850	0	0	4/16/2013	C		4/30/2014
4500230613	DEVELOPER EXPRESS INC 801 N BRAND BLVD - STE 850 GLENDALE, CA 91203-1272 US	EXPERIENCE ENTERPRISE MAINTENANCE	S	C	6	N	N	4		9,952	9,952	0	0	4/17/2013	C		4/16/2014
4500230615	ENOSERV INC 7780 E 106TH ST TULSA, OK 74133-6843 US	ANNUAL MAINTENANCE - RTS AND VECTOR	S	1	6	N	N	1		13,162	13,162	0	0	6/1/2013	C		5/31/2014
4500230965	THE BRATTLE GROUP 44 BRATTLE ST CAMBRIDGE, MA 02138-3736 US	CONSULTING SERVICES FOR INDEPENDENT REVIEW	P	B	8	F	N	6		990,000	990,000	234,123	0	4/24/2013	C		3/5/2014
4500231015	UNIQUE TECHNICAL SERVICES LLC 34 E MAIN ST - STE 302 SMITHTOWN, NY 11787-2804 US	ELECTRIC TRANSPORTATION PROGRAM	S	1	9	N	N	1		25,000	22,866	17,201	15,370	4/24/2013	C		12/17/2014
4500231017	COMPUTER ASSOC INT'L INC ONE COMPUTER ASSOC PLAZA ISLANDIA, NY 11749 US	ANNUAL CA MAINTENANCE - OGS STATE CONTRACT PT-64273	S	B	7	F	N	1		79,146	79,146	0	84,082	2/28/2013	C		3/14/2014
4500231200	TELCOM SYSTEMS & SERVICES 6556 XMAS TREE DR OREFIELD, PA 18069-3034 US	ANNUAL MAINTENANCE MTS CALL DETAIL RECORDING FOR ALL NYPA LOCATIONS	S	B	5	N	N	1		11,750	11,750	0	139,505	4/30/2013	C		4/30/2014
4500231204	CONTINUANT INC 5050 20TH ST E FIFE, WA 98424-1917 US	SIEMENS PBX MAINTENANCE - GSA SCHEDULE GS-06F-005T	S	B	7	N	N	1		103,658	103,658	0	0	5/1/2013	C		4/30/2014
4500231219	BVR CONSTRUCTION CO INC 8 KING RD CHURCHVILLE, NY 14428 US	UPPER HEAD GATE RAIL REFURBISHMENT PROJECT AT THE NIAGARA POWER PROJECT	C	B		N	N	5		882,120	866,750	294,750	0	5/1/2013	C		1/31/2014
4500231256	IRON MOUNTAIN INFORMATION MANAGEMENT INC 745 ATLANTIC AVE BOSTON, MA 02111-2735 US	TAPE STORAGE	S	B	7	N	N	1		6,000	2,339	2,339	568,275	5/1/2013	C		4/30/2014
4500231450	ERNST & YOUNG LLP 5 TIMES SQ NEW YORK, NY 10036-6540 US	CONSULTANT TO PERFORM AN ASSESSMENT OF NYPA'S PROCUREMENT DEPARTMENT AND INTERNAL AUDIT DEPARTMENT	P	B	9	N	N	4		757,000	672,918	629,396	246,502	5/1/2013	C		7/31/2014
4500231514	ICF RESOURCES LLC 9300 LEE HIGHWAY FAIRFAX, VA 22031-6050 US	CONSULTING SERVICES TO ANALYZE CAYUGA AND DUNKIRK ALTERNATIVE CASE 12-E-0577	P	B	8	F	N	4		495,000	355,495	127,634	304,163	5/8/2013	C		5/7/2014
4500231665	W W PATENAUDE SONS INC 3 BEST AVE MECHANICVILLE, NY 12118 US	COATING OF DRFT TUBE GATES AT CRESCNET & VISCHER FERRY	C	M	8	N	N	1		203,650	191,996	191,996	0	5/13/2013	C		1/1/2014

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4500231904	SYSTEMS MANAGEMENT PLANNING INC 75 GOODWAY DR - STE 1 ROCHESTER, NY 14623-3000 US	ANNUAL MAINTENANCE VMWARE	S	M	8	N	3	1		32,169	32,169	0	512,000	5/3/2013	C		5/2/2014
4500231917	WESTCON GROUP NORTH AMERICA INC 14850 CONFERENCE CENTER DR CHANTILLY, VA 20151-3844 US	ANNUAL BLUECOAT MAINTENANCE - GSA SCHEDULE GS-35F-0563U	S	B	7	N	N	1		21,657	21,657	0	3,283	6/1/2013	C		5/31/2014
4500232048	LDC CONSTRUCTION CO INC 1920 BASELINE RD GRAND ISLAND, NY 14072-2013 US	FROG ISLAND WETLAND RESTORATION HIP: DREDGING & CONSTRUCTION	C	B		N	N	3		4,180,106	3,611,831	1,257,707	162,938	5/22/2013	C		12/31/2014
4500232052	STRUCTURAL PRESERVATION SYSTEMS LLC 3521 VERNON BLVD LONG ISLAND CITY, NY 11106-5122 US	FLYNN WATER STORAGE TANKS REFURBISHMENT	C	B		N	N	2		2,592,508	2,346,006	1,118,231	6,321	5/27/2013	O	5/26/2015	
4500232100	ECOLOGY AND ENVIRONMENT INC 368 PLEASANT VIEW DR LANCASTER, NY 14086-1316 US	CONSULTING SERVICES FOR SEQRA REVIEW	P	B	8	N	N	4		495,000	190,837	2,130	0	5/23/2013	C		5/22/2014
4500232106	TECHNICAL BUILDING SERVICES INC 12 E COMMERCE DR BALLSTON SPA, NY 12020 US	BUILDING SERVICES - DIRECT DIGITAL CONTROL(DDC) TO EXISTING VAV (VARIABLE AIR VOLUME)	C	M	8	N	N	1		67,088	67,088	54,788	0	6/6/2013	C		6/5/2014
4500232193	GOMEZ AND SULLIVAN ENGINEERS PC 288 GENESEE ST UTICA, NY 13502 US	2013 FERC PART 12 SAFETY INSPECTION REPORTS FOR GREGORY B. JARVIS AND NIAGARA HYDROELECTRIC PROJECTS	P	B		N	N	5		512,000	0	0	0	5/28/2013	O	12/31/2017	
4500232204	LINITA DESIGN & MANUFACTURING CORP PO BOX 1101 BUFFALO, NY 14201-1101 US	7.5 TON UPGRADE	C	B		N	4	2		933,562	930,279	458,630	12,919	5/28/2013	C		9/27/2014
4500232210	NORTHLINE UTILITIES LLC 15 SCHOOL LN - STE 200 AU SABLE FORKS, NY 12912 US	ALCOA T-LINE RELOCATION PROJECT	C	B		N	1	4		2,772,217	2,609,279	1,933,145	0	5/21/2013	C		8/31/2014
4500232213	EDBAUER CONSTRUCTION 2790 CLINTON ST WEST SENECA, NY 14224 US	NIAGARA SIDEWALK REPLACEMENT PROJECT POWER VISTA AND ADMINISTRATION BUILDING	C	B		N	N	3		604,893	598,572	378,348	327,415	5/28/2013	O	12/31/2015	
4500232217	WILKINS MECHANICAL INC 6923 HERMAN RD SYRACUSE, NY 13209-9718 US	LONG SAULT DAM AIR AND WATER LINE INSTALLATION ST. LAWRENCE POWER PROJECT	C	B		N	3	3		537,151	537,151	38,740	0	5/20/2013	C		4/1/2014

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4500232257	MICHELS POWER A DIV OF MICHELS CORP 1775 E SHADY LN NEENAH, WI 54956 US	MOSES-WILLIS CIRCUIT SEPARATION - STL	C	B		F	N	2		3,678,265	3,678,265	3,678,265	3,000	6/7/2013	C		6/6/2014
4500232287	SHI INTERNATIONAL CORP 5 W BANK ST COLD SPRING, NY 10516-2219 US	ANNUAL MAINTENANCE - ROXIO CREATOR - OGS STATE CONTRACT PT-65193	S	B	7	N	N	3		7,739	7,739	0	0	5/29/2013	C		5/28/2014
4500232474	IMAGE MANAGEMENT SYSTEMS INC 239 W 15TH ST NEW YORK, NY 10011-6459 US	SATELLITE EQUIPMENT SERVICE-TELECOMMUNICATION CHARGES	S	2	5	N	N	1		7,947	7,947	0	2,148	6/5/2013	C		6/30/2014
4500232494	PRECISION ENVIRONMENTAL CO 5500 OLD BRECKSVILLE RD INDEPENDENCE, OH 44131-1508 US	CONTROL ROOM ASBESTOS REMEDIATION AND ABATEMENT	C	B		F	N	1		1,989,752	1,976,833	1,976,833	0	9/11/2013	C		9/10/2014
4500232522	LEAD DATA TECHNOLOGIES INC 55 OLD TURNPIKE RD STE 604 NANUET, NY 10954 US	CPES-SS-PREMIUM	S	B	7	N	N	1		24,784	24,784	0	17,546,716	6/15/2013	C		6/14/2014
4500232540	POWER ENGINEERING INC 16632 MILLIKAN AVE - STE B IRVINE, CA 92606 US	POST UPGRADE MECHANICAL TESTING-LEWISTON UNIT 11	P	B		F	N	1		926,557	599,142	599,142	39,404	6/5/2013	O	6/5/2015	
4500232548	LEAD DATA TECHNOLOGIES INC 55 OLD TURNPIKE RD STE 604 NANUET, NY 10954 US	ANNUAL MAINTENANCE CHECKPOINT APPLIANCES OGS CONTRACT PT-61429	S	B	7	N	N	1		244,244	244,244	0	0	6/15/2013	C		6/14/2014
4500232587	AQUIRE SOLUTIONS INC 400 E LAS COLINAS BLVD - STE 500 IRVING, TX 75039-5617 US	SUCCESSION PLANNING UPGRADE	S	1	8	N	N	1		18,000	15,000	15,000	0	8/5/2013	C		1/24/2014
4500232588	EMA INC 1970 OAKCREST AVE SAINT PAUL, MN 55113 US	ASSET MANAGEMENT IMPLEMENTATION - GSA SCHEDULE - GS-35F-0622K	S	B	7	N	N	1		11,410	11,410	10,721	0	6/10/2013	C		1/31/2014
4500232616	SATURN BUSINESS SYSTEMS INC 228 E 45TH ST FL 5 NEW YORK, NY 10017-3303 US	ASSESSMENT OF NYPA ANNUAL BUDGET PROCESS -	S	M	8	N	1	1		36,000	33,852	0	25,579	6/17/2013	C		1/3/2014
4500232626	OPEN TEXT INC SUBSIDIARY FOR OPEN TEXT CORP 600 MONTGOMERY ST - STE 1800 SAN FRANCISCO, CA 94111-2720 US	ANNUAL LIVELINK MAINTENANCE - GSA SCHEDULE GS-35F-0480J	S	B	7	N	N	1		83,178	83,178	0	56,450	7/1/2013	C		6/30/2014
4500232674	ABB INC 940 MAIN CAMPUS DR RALEIGH, NC 27606-5211 US	AUTO-TRANSFORMERS AND REACTORS REFURBISHMENT - CEC	C	B		F	N	1		19,753,114	2,206,398	2,206,398	0	6/11/2013	O	12/31/2018	

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4500232713	CANDELA SYSTEMS CORP 148 ROUTE 202 SOMERS, NY 10589-1606 US	LIGHTING AND OCCUPANCY SENSOR INSTALLATION UPGRADE AT THE CRESCENT SCHOOL PS 57X	C	2	8	N	4	1		143,672	104,268	0	0	7/1/2013	C		6/30/2014
4500232727	LABELLA ASSOCIATES PC 300 STATE ST - STE 201 ROCHESTER, NY 14614-1019 US	DEVELOP ENERGY MASTER PLAN FOR THE CITY OF ROCHESTER	P	B		N	N	11		336,700	336,700	336,700	71,805	6/10/2013	C		9/30/2014
4500232751	LABELLA ASSOCIATES PC 300 STATE ST - STE 201 ROCHESTER, NY 14614-1019 US	DEVELOP ENERGY MASTER PLAN FOR THE CITY OF SYRACUSE	P	B		N	N	11		320,200	320,200	320,200	0	6/10/2013	C		9/30/2014
4500232759	LYNDA.COM INC 6410 VIA REAL CARPINTERIA, CA 93013-2925 US	E-LEARNING TRAINING	S	C	8	F	N	3		22,500	22,500	0	22,195	6/17/2013	C		6/16/2014
4500232996	KAPSCH TRAFFICCOM USA INC 4256 HACIENDA DR - STE 100 PLEASANTON, CA 94588-8595 US	NYCT - WIRELESS CONTROL OF RAIL HEATERS, PHASE - III	E	2	8	F	N	1		1,735,117	1,709,538	1,202,652	0	6/18/2013	C		10/16/2014
4500232998	MILLENNIUM MAINTENANCE & ELECTRICAL CONTRACTORS INC 64 FREEMAN ST BROOKLYN, NY 11222-1328 US	LIGHTING AND OCCUPANCY SENSOR INSTALLATION UPGRADE AT THE LILLIAN WEBER SCHOOL PS 84M	C	2	8	N	4	1		170,451	114,001	6,183	0	7/1/2013	C		6/30/2014
4500233008	WENDEL ENERGY SERVICES LLC STE 201 140 JOHN JAMES AUDUBON PKWY AMHERST, NY 14228-1183 US	DEVELOP ENERGY MASTER PLAN FOR THE CITY OF BUFFALO	P	B		N	N	11		725,000	725,000	725,000	0	6/14/2013	C		9/30/2014
4500233011	CITY OF WHITE PLAINS DEPT OF PARKING 7-11 S BROADWAY WHITE PLAINS, NY 10601-3520 US	WPO PARKING PERMITS	S	2	8	N	N	1		124,850	124,850	0	12,000	7/1/2013	C		6/30/2014
4500233049	J HOGAN REFRIGERATION & MECH INC PO BOX 67 - 12 CHAMBERLAIN RD PERU, NY 12972-0067 US	HVAC SYSTEM REPLACEMENT - STL & CEC SUBSTATIONS	E	2	8	N	N	1		199,152	127,347	57,086	0	6/18/2013	O	1/15/2015	
4500233082	OVE ARUP & PARTNERS PC 77 WATER ST NEW YORK, NY 10005-4401 US	DEVELOP ENERGY MASTER PLAN FOR THE CITY OF YONKERS	P	B		N	N	11		370,000	370,000	370,000	230,522	6/18/2013	C		9/30/2014
4500233101	RIVEREDGE ASSOCIATES LLC 58 OLD RIVER RD MASSENA, NY 13662 US	ENVIRONMENTAL MONITORING OF MOSES-WILLIS CIRCUIT SEPARATION PROJECT	S	C	8	N	N	3		40,400	18,205	18,205	24,760	6/19/2013	C		6/18/2014
4500233140	ELECTRICAL SERVICE & SYSTEMS INSTALLATION INC 35 LEE ST BUFFALO, NY 14210-2109 US	NIAGARA FALLS VETERANS MEMORIAL LED LIGHTING PROJECT FURNISH, DELIVER AND INSTALL AT THE NF MEMORIAL PARK.	C	1	1	N	3	1		154,800	154,800	68,295	0	6/20/2013	C		6/6/2014

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4500233212	N C C SYSTEMS INC PO BOX 670 POTSDAM, NY 13676 US	AXIS IP CAMERAS W/ACCESSORIES W/USER TRAINING W/INSTALL	E	M	8	N	1	1		18,000	18,000	4,060	0	8/25/2013	C		1/1/2014
4500233237	A VAILLANCOURT LLC ALANE VAILLANCOURT 10364 COUNTY RD CLARENCE, NY 14031-1006 US	LANDSCAPE MAINTENANCE OF UPPER NIAGARA OBSERVATION/INTAKE AREA.	S	M	8	N	3	1		154,720	154,720	93,250	2,132,780	6/21/2013	C		6/19/2014
4500233260	GLOBAL BMU DBA FOR GLOBAL SCAFFOLD DISTB LLC 33 W 19TH ST FL 4 NEW YORK, NY 10011-4333 US	CONSULTING SERVICES - WINDOW CLEANING	S	2	8	N	1	1		20,000	8,000	0	250	6/24/2013	C		6/23/2014
4500233272	MULTI MEDIA COMMUNICATIONS INC 190 ADAMS AVE HAUPPAUGE, NY 11788 US	RADIO EQUIPMENT FOR FCC INITIATIVE.	E	C	8	N	N	3		16,029	16,029	0	14,100	7/22/2013	C		6/23/2014
4500233411	JBH ENVIRONMENTAL INC 194 ATLANTIC AVE GARDEN CITY PARK, NY 11040-5028 US	ABATEMENT WORK FOR PS 84M AND PS 57X FOR THE REMOVAL AND REPLACEMENT OF FIXTURES AND BALLASTS	C	B		N	4	5		687,585	457,063	-770	0	7/1/2013	C		6/30/2014
4500233419	HYUNDAI CORP (USA) C/O HYUNDAI HEAVY INDUSTRIES CO LTD 21250 HAWTHORNE BLVD - STE 775 TORRANCE, CA 90503-5513 US	F.D.&I. TWO GENERATOR STEP-UP TRANSFORMERS	E	B		F	N	9		5,561,942	5,537,182	5,537,182	1,217	6/26/2013	O	7/31/2015	
4500233502	MICROSOFT CORP 3000 BAYPORT DR - STE 480 TAMPA, FL 33607 US	MICROSOFT PREMIER TECHNICAL SUPPORT FOR INFORMATION TECHNOLOGY	E	B	7	F	N	1		79,080	79,080	0	0	6/28/2013	C		6/27/2014
4500233515	NORTHLINE UTILITIES LLC 15 SCHOOL LN - STE 200 AU SABLE FORKS, NY 12912 US	REMEDIATION WORK ON HIGH AND MEDIUM PRIORITY TRANSMISSION LINES	C	B		N	1	3		2,884,770	2,884,770	2,562,505	235,159	6/28/2013	C		8/31/2014
4500233517	HITACHI HVB INC 7250 MCGINNIS FERRY RD SUWANNE, GA 30024-1245 US	FURNISH AND DELIVER 115KV AND 230KV CIRCUIT BREAKERS	E	B		F	N	3		3,172,660	1,039,880	1,039,880	20,285	6/28/2013	O	12/31/2017	
4500233662	CLEARBID INC 48 SENECA ST DOBBS FERRY, NY 10522-1137 US	SENY FAIR MARKET VALUATION AND OTHER SERVICES	P	C		N	N	1		227,150	226,900	197,152	617,489	7/2/2013	C		7/1/2014
4500233678	REINHARD-MADISON APPROACH STAFFING DBA MADISON APPROACH STAFFING INC 7-11 S BROADWAY - STE 206 WHITE PLAINS, NY 10601-3520 US	TEMPORARY ADMINISTRATIVE ASSISTANT SUPPORTING THE POLETTI PLANT	S	1	9	N	3	1		103,000	88,900	62,580	10,507,862	7/15/2013	O	1/31/2015	

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4500233748	PRESIDIO NETWORKED SOLUTIONS INC 10 6TH RD WOBURN, MA 01801-1735 US	ADDITIONAL PHONES FOR IP EXPANSION AND 12TH FLOOR	E	B	7	F	N	1		9,699	9,699	0	0	7/8/2013	C		7/7/2014
4500233753	BVR CONSTRUCTION CO INC 8 KING RD CHURCHVILLE, NY 14428 US	SOUTH ACCESS GUARDHOUSE REPLACEMENT PROJECT	C	B		N	N	3		771,913	770,696	453,113	0	7/8/2013	C		7/1/2014
4500233787	DYNTEK SERVICES INC 4440 VON KARMAN AVE - STE 200 NEWPORT BEACH, CA 92660-2011 US	BACKUP SYSTEM UPGRADE, IMPLEMENT TAPELESS BACKUPS, SQL SNAPSHOTS AND NATIVE VMWARE BACKUPS.	E	B	7	F	N	3		448,056	448,056	0	0	7/9/2013	C		7/8/2014
4500233800	JACKSON LEWIS LLP 1 N BROADWAY WHITE PLAINS, NY 10601-2310 US	EMPLOYMENT LITIGATION SERVICES	L	C	1	N	N	3		250,000	14,841	8,640	0	7/3/2013	O	7/3/2016	
4500233804	HAROLD MOORE & ASSOCIATES INC 143 KINGFISHER CIR POOLER, GA 31322-9763 US	CONSULTING SERVICES FOR DESIGN REVIEW OF ABB GSU TRANSFORMERS FOR ST. LAWRENCE.	P	2	8	F	N	1		40,550	20,265	20,265	113,840	7/10/2013	C		7/9/2014
4500233825	EDBAUER CONSTRUCTION 2790 CLINTON ST WEST SENECA, NY 14224 US	NIAGARA SHARED CAMPUS INITIATIVE	C	B		N	N	5		5,500,425	4,882,936	4,345,165	23,883	7/9/2013	O	7/22/2015	
4500233945	ABB INC 940 MAIN CAMPUS DR RALEIGH, NC 27606-5211 US	765KV ASEA REACTORS REFURBISHMENT - STL	C	B		F	N	3		11,987,212	1,479,350	1,479,350	0	6/11/2013	O	12/31/2018	
4500234090	LEAD DATA TECHNOLOGIES INC 55 OLD TURNPIKE RD STE 604 NANUET, NY 10954 US	CHECKPOINT CONSULTING SERVICES	P	B	7	N	N	1		44,000	44,000	44,000	0	7/16/2013	C		7/15/2014
4500234178	MCD MECHANICAL INC 20 CORPORATE CIRCLE ALBNAY, NY 12203-5175 US	INSTALL FAN COIL UNITS FOR WSWHE BOCES	E	2	6	N	N	1		14,715	14,715	14,715	0	7/18/2013	C		12/18/2014
4500234190	DLT SOLUTIONS LLC 13861 SUNRISE VALLEY DR - STE 400 HERNDON, VA 20171-6126 US	UPGRADE FOR AUTOCAD SOFTWARE.	E	B	7	F	N	1		11,611	11,611	0	272,647	7/18/2013	C		7/17/2014
4500234218	LUMENSION SECURITY INC 8660 E HARTFORD DR - STE 300 SCOTTSDALE, AZ 85255-2584 US	2013 ANNUAL MAINTENANCE FOR LUMENSION LPR LICENSES.	P	C	6	F	N	3		6,720	6,720	0	663,752	7/18/2013	C		7/17/2014
4500234265	MICHAEL C FINA CO PO BOX 36208 NEWARK, NJ 07188-6208 US	2013-2018 SERVICE AWARDS	E	B	8	N	N	2		150,000	36,160	15,456	0	7/19/2013	O	7/18/2018	
4500234299	SYSTEMS MANAGEMENT PLANNING INC 75 GOODWAY DR - STE 1 ROCHESTER, NY 14623-3000 US	ANNUAL MAINTENANCE FOR NETWORK APPLIANCE	S	B	7	N	3	1		23,883	0	0	0	4/1/2013	C		3/31/2014

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4500234300	SIEMENS INDUSTRY INC 685 EAST MIDDLEFIELD RD MOUNTAIN VIEW, CA 94043-4045 US	SIEMENS ANNUAL MAINTENANCE	S	1	8	N	N	1		220,050	220,050	220,050	9,844	5/1/2013	C		4/30/2014
4500234301	FLOW SCIENCE INC 683 HARKLE RD - STE A SANTA FE, NM 87505-4750 US	FLOW 3D ANNUAL MAINTENANCE	S	C	8	F	N	4		70,000	70,000	0	0	5/14/2013	C		5/13/2014
4500234308	DYNTEK SERVICES INC 4440 VON KARMAN AVE - STE 200 NEWPORT BEACH, CA 92660-2011 US	DYNTEK CONSULTING SERVICES FOR HITACHI DATA PROTECTION SUITE SOFTWARE.	P	B	7	F	N	1		150,000	150,000	150,000	0	7/22/2013	C		7/21/2014
4500234405	DANNIBLE & MCKEE LLP 221 S WARREN ST SYRACUSE, NY 13202 US	CUSTOMER COMPLIANCE AUDITS FOR ECONOMIC PROGRAM JOB COMMITMENTS.	P	B		N	N	3		330,000	57,353	26,397	1,224,329	8/1/2013	O	7/31/2016	
4500234407	PURPLE GENIE INC 415 MADISON AVE - FL 15 NEW YORK, NY 10017-1111 US	EXTERNAL HOSTING SOLUTION	S	B		F	3	4		768,667	104,915	104,915	0	8/1/2013	O	7/31/2018	
4500234410	SIRINA PROTECTION SYSTEMS CORP 112 W 34TH ST - STE 2102 NEW YORK, NY 10120-2401 US	DESIGN, FURNISH, DELIVER, INSTALL, TEST AND COMMISSION: FUEL FORWARDING PUMP SKID FIRE SUPPRESSION SYSTEM	C	B		N	N	2		94,150	94,150	9,415	160,740	5/29/2013	C		1/13/2014
4500234440	CARAHSOFT TECHNOLOGY CORP 12369 SUNRISE VALLEY DR - STE D-2 RESTON, VA 20191 US	2013 MAINTENANCE FOR IBM QRADAR SOFTWARE	E	C	6	F	N	3		9,971	9,971	0	0	7/24/2013	C		7/23/2014
4500234515	SS & C TECHNOLOGIES INC 80 LAMBERTON RD - STE 7 WINDSOR, CT 06095-2150 US	PORTIA CONSULTING SERVICES	P	1	8	N	N	1		34,520	24,676	24,676	0	4/1/2014	O	3/31/2015	
4500234542	SHI INTERNATIONAL CORP 290 DAVIDSON AVE SOMERSET, NJ 08873-4145 US	2013 RED HAT JBOSS ANNUAL MAINTENANCE	S	B	7	N	N	1		65,039	65,039	0	0	7/31/2013	C		7/30/2014
4500234606	ANNESE & ASSOCIATES INC 7 SUTTON PL - STE E BREWSTER, NY 10509-3537 US	NETWORK ENGINEERING SERVICES - OGS STATE CONTRACT PT64525	P	B	7	N	3	1		35,000	35,000	0	0	7/29/2013	C		7/28/2014
4500234693	STARBOARD CONSULTING LLC 2170 W STATE ROAD 434 - STE 124 LONGWOOD, FL 32779-4976 US	MAXIMO 7.5 UPGRADE CONSULTING SERVICES	P	B		F	N	8		3,266,600	2,042,271	1,564,920	0	8/1/2013	O	2/28/2015	
4500234701	ANS ADVANCED NETWORK SERVICES LLC 12 ELMWOOD RD MENANDS, NY 12204-2425 US	INTERNAL SECURITY CONTROLS - OGS CONTRACT PT64252	S	B	7	N	N	1		26,300	26,300	26,300	29,254	8/6/2013	C		8/5/2014

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4500234709	MILLENNIUM MAINTENANCE & ELECTRICAL CONTRACTORS INC 64 FREEMAN ST BROOKLYN, NY 11222-1328 US	HELP PROJECT - WARRANTY LABOR FOR SENY HELP PROJECTS	S	2	9	N	4	1		100,000	0	0	0	7/31/2013	C		7/30/2014
4500234862	BRISTOL HARBOR GROUP INC 103 POPPASQUASH RD BRISTOL, RI 02809-1018 US	ENGINEERING, DESIGN AND FABRICATION SUPPORT SERVICES FOR TWO NEW TUG VESSELS - NIA	P	B		F	N	3		239,194	78,454	36,478	28	8/5/2013	O	12/31/2017	
4500234866	THYSSENKRUPP ELEVATOR CORP 59 OTIS ST WEST BABYLON, NY 11704-1415 US	ELEVATOR SERVICES - OGS STATE CONTRACT CMU61AA	S	B	7	N	N	1		65,459	65,459	49,859	0	7/1/2013	C		6/30/2014
4500234925	JAMES H MALOY INC 421 ALBANY SHAKER RD - PO BOX 11016 LOUDONVILLE, NY 12211-1815 US	CIVIL REPAIRS AT VISCHER FERRY AND CRESCENT PROJECTS AT VISCHER FERRY AND CRESCENT POWER PROJECTS	C	B		N	1	4		626,283	626,283	43,608	0	8/6/2013	C		5/31/2014
4500235054	PDI NINTH HOUSE DBA FOR PERSONNEL DECISIONS 33 S 6TH ST STE 4900 MINNEAPOLIS, MN 55402-3716 US	PROFILOR SERVICES	S	2	6	N	N	1		5,000	5,000	0	0	7/30/2013	C		6/10/2014
4500235072	NORTHEAST CONTROLS INC PO BOX 275 UPPER SADDLE RIVER, NJ 7458 US	FULL INSERTION TYPE FLOW-METERS	E	1	1	F	N	1	*	19,969	19,969	0	0	8/19/2013	C		1/13/2014
4500235105	NATIONAL URBAN FELLOWS INC 989 AVE OF THE AMERICAS - STE 400 NEW YORK, NY 10018-5410 US	MENTORING SERVICES	S	1	8	N	N	1		65,000	65,000	0	0	7/1/2013	C		6/27/2014
4500235178	EDBAUER CONSTRUCTION 2790 CLINTON ST WEST SENECA, NY 14224 US	SOUTH ACCESS ROAD IMPROVEMENTS	C	B		N	N	3		4,128,942	4,099,688	3,533,688	6,212	8/13/2013	O	8/12/2015	
4500235195	HEWLETT PACKARD CO ORDER ENTRY 13831 CHALCO VALLEY PARKWAY OMAHA, NE 68138 US	HP LASERJET ENTERPRISE 700 COLOR MFP M775F (OPEN MARKET ITEM)	E	B	7	F	N	1		6,213	6,213	0	34,500	8/14/2013	C		8/14/2014
4500235238	JOHNSON CONTROLS INC 130 JOHN MUIR DR - STE 100 AMHERST, NY 14228-1139 US	CARD ACCESS FURNISH, DELIVER, INSTALL - OGS STATE CONTRACT PT-63103	S	B	7	N	N	2		256,524	256,496	174,242	0	9/1/2013	C		8/31/2014

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4500235275	HEWLETT PACKARD CO ORDER ENTRY 13831 CHALCO VALLEY PARKWAY OMAHA, NE 68138 US	HP COLOR LASERJET ENTERPRISE CP4525DN PRINTER AND 500-SHEET PAPER TRAY	E	B	7	F	N	1		6,206	6,206	0	0	8/15/2013	C		8/14/2014
4500235288	PRESENTATION PRODUCTS INC 632 W 28TH ST - 7TH FL NEW YORK, NY 10001-1117 US	SMART BOARD 885 WITH UX60 PROJECTOR AND SMART MEETING PRO	E	B	7	N	N	1		16,695	16,695	16,695	0	8/15/2013	C		8/14/2014
4500235290	PRESENTATION PRODUCTS INC 632 W 28TH ST - 7TH FL NEW YORK, NY 10001-1117 US	SMART BOARD 885 WITH UX60 PROJECTOR AND SMART MEETING PRO	E	B	7	N	N	1		12,235	12,235	12,235	114,444	8/15/2013	C		8/14/2014
4500235308	MUNICIPAL ELECTRIC UTILITIES ASSOC OF NEW YORK STATE PO BOX 387 SOLVAY, NY 13209-0387 US	MUNICIPAL ALTERNATE FUEL VEHICLE PROGRAM	E	1	9	N	N	1		27,948	27,948	0	0	8/21/2013	O	8/20/2016	
4500235317	IMMIXTECHNOLOGY INC 8444 WESTPARK DR - STE 200 MCLEAN, VA 22102-5112 US	ANNUAL MAINTENANCE - SILENTRUNNER SENTINEL - GSA SCHEDULE GS-35F-0265X	S	B	7	N	N	1		17,901	17,901	0	3,616	9/1/2013	C		8/31/2014
4500235408	212/HARAKAWA INC TWO TWELVE 902 BROADWAY FL 20 NEW YORK, NY 10010-6002 US	BUILD SMART NY ANNUAL REPORT DESIGN	P	M	8	N	4	2		75,116	68,904	56,044	0	8/19/2013	C		8/18/2014
4500235484	HEWLETT PACKARD FINANCIAL SERVICES 200 CONNELL DR - STE 5000 BERKELEY HEIGHTS, NJ 07922-2816 US	XEROX D95 COPIER B/W COPIES WESTCHESTER CTY. CONTRACT IT-1169 SCHEDULE A-2 AMENDMENT 2	E	B	7	N	N	1		45,000	10,500	10,500	0	8/26/2013	O	8/25/2018	
4500235502	KEMCO INDUSTRIES LLC 70 KEYES CT SANFORD, FL 32773 US	FURNISH & DELIVER PACKARD 194 LINE SYSTEM UPGRADE	E	B		N	N	5		230,983	230,983	230,983	0	8/22/2013	C		10/31/2014
4500235613	US ARMY CORPS OF ENGINEERS BUFFALO DISTRICT 1776 NIAGARA ST BUFFALO, NY 14207-3199 US	FLOOD DAMAGE STUDY FOR THE UPPER NIAGARA RIVER AND TONAWANDA CHANNEL	A	1		N	N	1		42,000	42,000	0	0	8/23/2013	C		3/31/2014
4500235666	GLOBALSTAR INC GLOBALSTAR USA LLC 461 S MILPITAS BLVD MILPITAS, CA 95035-5485 US	GLOBALSTAR SATELLITE TELEPHONE SERVICES-GS-35F-0489-S	S	B	7	N	N	1		25,108	25,108	25,108	0	4/15/2013	C		4/15/2014
4500235703	HYDROPOWER PERFORMANCE ENGINEERING INC 3044 BLOOR ST W - STE 307 TORONTO, ON M8X 2Y8 CA	INDEX TESTING AT ST. LAWRENCE POWER PROJECT	P	B		F	N	1		396,730	282,286	160,456	0	10/8/2013	O	10/7/2015	

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4500235788	PRESIDIO NETWORKED SOLUTIONS INC 10 6TH RD WOBURN, MA 01801-1735 US	CISCO UC PHONE 7965, GIG ETHERNET, COLOR FOR NYPA 4TH FLOOR RELOCATION.	E	B	7	F	N	1		81,320	81,320	0	0	8/28/2013	C		8/27/2014
4500235795	GOVERNMENT MARKETING & PROCUREMENT LLC 1818 LIBRARY ST - STE 500 RESTON, VA 20190-6274 US	ANNUAL MAINTENANCE - SECUNIA - GSA GS-35F-0858N	S	B	7	N	N	1		49,125	45,509	0	20,634	10/19/2013	C		10/18/2014
4500235924	IBM CORP 80 STATE ST - STE 5 ALBANY, NY 12207-2543 US	IBM QRADAR CONSULTING SERVICES TO UPGRADE EOL HARDWARE.	P	B	7	N	N	1		11,999	11,999	11,999	259	9/3/2013	C		9/2/2014
4500236032	O'BRIEN & GERE / DEWBERRY ENERGY SERVICES JOINT VENTURE 333 W WASHINGTON - STE 400 SYRACUSE, NY 13202-9202 US	SUNY BUFFALO ENERGY MASTER PLAN	A	1	8	N	N	1		235,000	235,000	211,500	86,910	9/9/2013	C		9/12/2014
4500236042	SYSTEMS MANAGEMENT PLANNING INC 75 GOODWAY DR - STE 1 ROCHESTER, NY 14623-3000 US	ANNUAL MAINTENANCE VMWARE RENEWAL	S	2	8	N	3	1		10,032	10,032	0	1,000,000	9/28/2013	C		10/1/2014
4500236155	COMMVAULT SYSTEMS INC 2 CRESCENT PL OCEANPORT, NJ 07757-1233 US	COMMVAULT ANNUAL MAINTENANCE	S	2	8	N	N	1		55,192	55,192	0	0	9/11/2013	C		10/1/2014
4500236161	EN POINTE TECHNOLOGIES SALES INC 18701 S FIGUEROA ST GARDENA, CA 90248-4506 US	GLOBASCAPE FTP SOFTWARE FOR DR SITE.	P	B	7	F	N	4		33,055	33,055	0	94,361	9/9/2013	C		9/8/2014
4500236176	LRP CONSTRUCTION CORP 287 NORTHERN BLVD GREAT NECK, NY 11021-4700 US	SUNY MARITIME - SOLAR THERMAL HOT WATER HEATING SYSTEM	C	2	8	N	3	1		59,500	59,500	59,500	0	9/9/2013	C		3/5/2014
4500236183	DELL MARKETING LP 850 ASBURY DR BUFFALO GROVE, IL 60089-4557 US	2013 IRONKEY ENCRYPTED USB SERVICES	P	B	7	F	N	1		43,017	43,017	0	0	9/9/2013	C		9/8/2014
4500236208	NORTHLINE UTILITIES LLC 15 SCHOOL LN - STE 200 AU SABLE FORKS, NY 12912 US	TEMPORARY CONTROL ROOM RELOCATION	C	B		N	1	3		128,111	107,477	0	0	9/9/2013	O	3/31/2015	
4500236215	GOTHAM GOVERNMENT SOLUTIONS LLC 1 PARAGON DR STE 200 MONTVALE, NJ 07645-1728 US	LABOR - CITRIX SHAREFILE - GSA SCHEDULE GS-35F-060AA	S	B	7	N	N	1		8,947	8,688	8,688	0	10/8/2013	C		10/1/2014
4500236306	DATALAN CORP 12 WATER ST - STE 202 WHITE PLAINS, NY 10601-1410 US	CONSULTING SERVICES 2013 SHAREPOINT BUILD OUT AND MIGRATION	P	1	8	N	N	1		170,000	83,090	83,090	44,699	9/11/2013	C		9/10/2014

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4500236340	CBRE INC 201 TRESSER BLVD - STE 201 STAMFORD, CT 06901-3433 US	REAL ESTATE BROKERAGE SERVICES RELATED TO 123 MAIN STREET WHITE PLAINS.	P	B		F	N	2		1,000,000	0	0	0	3/31/2013	O	4/1/2017	
4500236445	RICOH USA INC 2500 WESTCHESTER AVE - STE 113 PURCHASE, NY 10577-2515 US	RICOH COPIER MODEL MPC3503 GSA CONTRACT GS-03F-0085U	E	B	5	N	N	1		9,684	9,684	9,684	437	9/13/2013	C		2/28/2014
4500236532	BEEBE CONSTRUCTION SERVICES INC 6153 TRENTON RD UTICA, NY 13502-6229 US	B/G SUPPORT FACILITIES IMPROVEMENT PROJECT - PHASE 1. SITE DEVELOPMENT	C	B		N	N	7		3,750,211	3,655,850	3,190,825	0	9/25/2013	C		12/31/2014
4500236545	MID-STATE INDUSTRIES LTD 1105 CATALYN ST SCHENECTADY, NY 12303 US	ROOF OPENINGS AT CRESCENT & VISCHER FERRY	C	2	8	N	N	1		29,565	29,565	29,565	150,842	9/17/2013	C		6/1/2014
4500236562	SIDEBAND SYSTEMS INC 30 RANTOUL ST BEVERLY, MA 01915-4823 US	CAMBIUM PTP 58600 MICROWAVE RADIO AND INSTALLATION AT BG	E	B	7	F	N	1		25,645	25,645	25,645	3,012	9/18/2013	C		9/17/2014
4500236667	HONEST BUILDINGS INC 233 BROADWAY - RM 2705 NEW YORK, NY 10279-2703 US	PROVIDE SERVICES FOR BSNY WEBSITE PLATFORM ENHANCEMENT	P	M	8	N	N	1		200,000	200,000	200,000	346,917	2/20/2014	C		8/31/2014
4500236671	DLT SOLUTIONS LLC 13861 SUNRISE VALLEY DR - STE 400 HERNDON, VA 20171-6126 US	2013 MAINTENANCE FOR QUEST UTILITY TOOLS GSA CONTRACT GS-35F-4543G	E	B	7	N	N	1		35,764	35,764	0	0	10/31/2013	C		12/1/2014
4500236711	BURO HAPPOLD CONSULTING ENG PC DBA HAPPOLD CONSULTING 100 BROADWAY FL 23 NEW YORK, NY 10005-4502 US	PROGRAM COORDINATION CONSULTANT SERVICES FOR FIVE CITIES' EMP'S FOR ALBANY, BUFFALO, ROCHESTER, SYRACUSE AND YONKERS	P	B	8	N	N	6		288,350	243,651	243,651	311,061	9/24/2013	C		9/23/2014
4500236721	DION GLOBAL SOLUTIONS (CANADA) LTD 75 INTERNATIONAL BLVD STE 103 TORONTO, ON M9W 6L9 CA	CONSULTING SERVICES - AUDITING UPGRADE	S	1	6	N	N	1		5,000	5,000	5,000	0	9/24/2013	C		6/10/2014
4500236740	ADAMS ELECTRIC SALES INC 15 WILKINSON AVE JERSEY CITY, NJ 07305-4214 US	LOW VOLTAGE POWER CONTROL CABLE FOR THE LEWISTON PUMP GENERATING PLANT	E	B		F	4	3		120,538	120,101	120,101	5,070	9/23/2013	C		3/31/2014
4500236748	VHB ENGINEERING SURVEYING & LANDSCAPE ARCHITECTURE PC 100 GREAT OAKS BLVD - STE 109 ALBANY, NY 12203-7924 US	CITY OF ALBANY ENERGY MASTER PLAN	A	C		N	N	4		350,000	350,000	350,000	543,154	9/23/2013	C		12/15/2014

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4500236803	APPLIED ECOLOGICAL SERVICES INC 17921 W SMITH RD BRODHEAD, WI 53520-9355 US	FROG ISLAND HIP - PLANTING CONTRACT	C	B		F	N	2		493,186	342,344	342,344	11,275	9/25/2013	O	12/31/2015	
4500236833	IHS GLOBAL INC FORMERLY ENVIRONMENTAL SUPPORT 1700 RESEARCH BLVD - STE 200 ROCKVILLE, MD 20850-3105 US	2013 MAINTENANCE RENEWAL FOR ESS ENVIRONMENTAL SUPPORT SUITE	E	B	7	F	N	1		63,258	60,246	0	38,706	9/25/2013	C		9/24/2014
4500236946	NORTHLINE UTILITIES LLC 15 SCHOOL LN - STE 200 AU SABLE FORKS, NY 12912 US	POWER SUPPLY TO SECURITY AND SPILLWAY BUILDING	C	B		N	1	3		2,520,978	2,174,061	2,174,061	0	10/2/2013	C		12/31/2014
4500237011	EX LIBRIS (USA) INC 1350 E TOUHY AVE - STE 200E DES PLAINES, IL 60018-3307 US	MAINTENANCE - VOYAGER - GSA SCHEDULE GS-35F-0344R	S	B	7	N	N	1		24,136	24,136	0	17,419	10/1/2013	C		10/1/2014
4500237046	UTILITY SYSTEMS DIVISION DBA FOR MAGNETIC INSTRUMENTATION 8431 CASTLEWOOD DR INDIANAPOLIS, IN 46250-1534 US	F/D INTEGRATED DIGITAL FAULT RECORDER/PHASOR MEASUREMENT UNITS FOR ALL PROJECTS	E	B		F	N	3		794,710	483,649	483,649	0	10/7/2013	O	2/1/2015	
4500237167	NINTEX USA LLC STE 230 PLAZA CTR - 10900 NE 8TH ST BELLEVUE, WA 98004-4453 US	ANNUAL MAINTENANCE - NINTEX	S	B	6	N	N	1		15,680	15,680	0	858	10/11/2013	C		10/15/2014
4500237186	IIA QUALITY SERVICES LLC 247 MAITLAND AVE ALTAMONTE SPRINGS, FL 32701-4201 US	PERFORM INTERNAL AUDIT DEPARTMENT - QUALITY ASSESSMENT REVIEW	P	B		F	N	4		36,000	30,930	4,930	7,737	10/7/2013	C		1/24/2014
4500237211	GENERAL ELECTRIC INTERNATIONAL INC 4200 WILDWOOD PKWY ATLANTA, GA 30339 US	480KV CIRCUIT BREAKER REPLACEMENT (B-G)	E	B		F	N	3		579,759	36,605	36,605	6,620	10/7/2013	O	6/30/2015	
4500237266	BVR CONSTRUCTION CO INC 8 KING RD CHURCHVILLE, NY 14428 US	ARTPARK STEEL STAIRWAY REPLACEMENT	C	B		N	N	3		727,619	716,344	716,344	0	10/7/2013	C		10/7/2014
4500237278	JOHNSON CONTROLS INC 130 JOHN MUIR DR - STE 100 AMHERST, NY 14228-1139 US	CHILLER REPLACEMENT PROJECT AT CLARK ENERGY CENTER	C	B		N	N	4		550,611	511,905	511,905	17,900	10/7/2013	C		10/6/2014
4500237299	POWERTECH LABS INC 12388-88TH AVE SURREY, BC V3W 7R7 CA	SSAT SMALL SIGNAL ANALYSIS TOOL SOFTWARE	E	1	8	F	N	1		23,000	23,000	0	0	10/8/2013	C		10/7/2014
4500237473	RIGHTSTAR SYSTEMS DBA FOR RIGHTSTAR INC 1951 KIDWELL DR STE 110 VIENNA, VA 22182-3930 US	SCANSTAR SCANNER LIC SUBSCRIP&ADSYNC INTEGRATION SW-GS-35F-0592R	E	B	7	N	N	1		17,419	0	0	0	10/14/2013	C		10/13/2014

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4500237474	RIGHTSTAR SYSTEMS DBA FOR RIGHTSTAR INC 1951 KIDWELL DR STE 110 VIENNA, VA 22182-3930 US	CONSULTING SERVICES - FOOTPRINTS IMPLEMENTATION - GS-35F-0592R	P	B	7	N	N	1		157,625	152,303	145,018	20,798	10/14/2013	C		10/13/2014
4500237699	COMPUTER AIDED ENGINEERING ASSOCIATES INC 1579 STRAITS TPKE - STE 2B MIDDLEBURY, CT 06762-1835 US	ANSYS SOFTWARE - GSA SCHEDULE GS-35F-0639N	E	B	7	N	N	1		6,003	6,003	0	0	10/21/2013	C		10/20/2014
4500237701	MERLINONE INC 17 WHITNEY RD QUINCY, MA 02169-4309 US	MERLINE ONE MAINTENANCE SERVICES -	S	B		N	N	3		26,070	25,212	6,142	428	1/1/2014	C		12/31/2014
4500237776	ROYAL DIAMOND CONSTRUCTION CORP 1 HIGHLAND DR CORTLANDT MANOR, NY 10567-2609 US	WHITE PLAINS OFFICE ON-CALL GENERAL CONTRACTING SERVICES	C	M	8	N	3	1		16,043	8,306	1,210	176,090	10/17/2013	C		6/2/2014
4500237858	CITY OF WHITE PLAINS COMMISSIONER OF PUBLIC WORKS255 MAIN ST WHITE PLAINS, NY 10601 US	GREEN FLEETS PROGRAM	E	1	9	N	N	1		60,000	0	0	1,222,422	10/23/2013	O	2/10/2015	
4500237875	IBM CORP 80 STATE ST - STE 5 ALBANY, NY 12207-2543 US	PROFESSIONAL SERVICES TO REVIEW MAXIMO ENVIRONMENT.	P	B	7	N	N	1		17,492	10,872	1,723	58,507	10/22/2013	C		10/21/2014
4500237878	HERMAN MILLER INC C/O ALIANZA SERVICES LLC 855 E MAIN AVE ZEELAND, MI 49464-1372 US	FURNITURE - OGS STATE CONTRACT PC-63357	E	B	7	N	N	1		6,026	6,026	6,026	0	10/28/2013	C		1/16/2014
4500237907	CDW G INC 230 N MILWAUKEE AVE VERNON HILLS, IL 60061-4304 US	WIRELESS COMMUNICATION EQUIPMENT FOR KELMAN GAS ANALYZERS	E	C		F	N	2		65,332	47,432	47,432	3,266	12/4/2013	O	12/3/2015	
4500237912	DLT SOLUTIONS LLC 13861 SUNRISE VALLEY DR - STE 400 HERNDON, VA 20171-6126 US	2013 ANNUAL MAINTENANCE FOR AUTOCAD SOFTWARE	P	B	7	F	N	1		53,731	53,731	0	0	10/23/2013	C		12/31/2014
4500238015	DLT SOLUTIONS LLC 13861 SUNRISE VALLEY DR - STE 400 HERNDON, VA 20171-6126 US	2013 MAINTENANCE FOR QUEST UTILITY TOOLS.	P	B	7	F	N	1		13,308	13,308	0	0	10/25/2013	C		10/29/2014
4500238040	ORACLE AMERICA INC 1910 ORACLE WY RESTON, VA 20190-4733 US	2013 ANNUAL MAINTENANCE FOR PRIMAVERA LICENSES.	P	B	7	F	N	1		83,192	62,394	62,394	771,875	10/25/2013	C		12/31/2014
4500238048	BIDCO MARINE GROUP INC 220 KATHERINE ST BUFFALO, NY 14210-2008 US	BUFFALO HARBOR TERN NESTING GRAVEL MAINTENANCE PROJECT	C	2	8	N	1	1		17,740	17,740	17,740	0	10/30/2013	C		5/1/2014

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4500238069	DLT SOLUTIONS LLC 13861 SUNRISE VALLEY DR - STE 400 HERNDON, VA 20171-6126 US	PROFESSIONAL SERVICES FOR SOLARWINDS INSTALLATION AND TRAINING.	P	B	7	F	N	1		29,150	28,722	28,722	0	10/28/2013	C		10/28/2014
4500238115	DAY AUTOMATION SYSTEMS INC 9 AVIS DR LATHAM, NY 12110-2601 US	COMMUNICATION INFRASTRUCTURE UPGRADE FOR NEW SECURITY BUILDING AT BLENHEIM GILBOA.	E	B	7	N	1	1		874,224	698,134	698,134	0	10/29/2013	O	3/31/2015	
4500238151	GIBSON DUNN & CRUTCHER LLP 333 S GRAND AVE LOS ANGELES, CA 90071-3197 US	LEGAL WORK	L	C		F	N	3		2,000,000	777,578	582,532	48,912	10/1/2013	O	9/30/2016	
4500238173	IMMIXTECHNOLOGY INC 8444 WESTPARK DR - STE 200 MCLEAN, VA 22102-5112 US	ORACLE PRIMAVERA CONSULTING	P	B	7	F	N	1		88,298	29,791	29,791	0	10/30/2013	C		10/30/2014
4500238320	DLT SOLUTIONS LLC 13861 SUNRISE VALLEY DR - STE 400 HERNDON, VA 20171-6126 US	ANNUAL MAINTENANCE FOR SOLAWRWINDS SOFTWARE	S	B	7	N	N	1		13,907	13,907	0	3,674	1/1/2014	C		12/31/2014
4500238323	SAP PUBLIC SERVICES INC RONALD REAGAN BUILDING / ITC 1300 PENNSYLVANIA AVE NW - STE 500 WASHINGTON, DC 20004 US	SAP CONSULTING SERVICES - GSA SCHEDULE GS-35F-0406V	S	B	7	N	N	1		17,680	14,414	14,414	136,531	11/4/2013	C		1/28/2014
4500238324	SANDHILL CONSULTANTS USA LTD 14 PENN PLAZA - STE 2220 NEW YORK, NY 10122-2220 US	MAINTENANCE - CA ERWIN & ORBUS SOFTWARE - OGS STATE CONTRACT PT-64273	S	B	7	N	N	1		23,519	23,519	0	0	1/1/2014	C		12/31/2014
4500238325	ENVIRONMENTAL SYSTEMS RESEARCH INSTITUTE INC 380 NEW YORK ST REDLANDS, CA 92373-8100 US	ANNUAL ERSI MAINTENANCE - OGS STATE CONTRACT PT-63832	S	B	7	N	N	1		31,331	31,331	0	0	1/1/2014	C		12/31/2014
4500238399	UNDERGROUND SYSTEMS INC 84 BUSINESS PARK DR - STE 109 ARMONK, NY 10504-1734 US	SOPHISTICATED LEAK DETECTION SYSTEM ON NYPA Q35L&M 345KV EHV TRANSMISSION CABLES	C	B		N	N	1		961,875	190,000	190,000	0	11/4/2013	O	3/31/2015	
4500238514	J E SHEEHAN CONTRACTING CORP 208 SISSONVILLE RD POTSDAM, NY 13676-3563 US	SHORELINE STABILIZATION IN TOWNS OF LOUISVILLE AND WADDINGTON	C	M	8	N	1	2		156,029	156,029	156,029	0	11/6/2013	C		7/2/2014
4500238565	COMPUTER AIDED ENGINEERING ASSOCIATES INC 1579 STRAITS TPKE - STE 2B MIDDLEBURY, CT 06762-1835 US	ANNUAL ANSYS MAINTENANCE - GSA SCHEDULE - GS-35F-0639N	S	B	7	N	N	1		15,986	15,986	0	0	1/1/2014	C		12/31/2014

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4500238609	HEWLETT PACKARD CO ATTN: EXPRESS SUPPORT QUOTES 8000 FOOTHILLS BLVD ROSEVILLE, CA 95747-5754 US	HP SCADA ANNUAL MAINTENANCE - OGS STATE CONTRACT PT-64150	S	B	7	N	N	1		9,075	9,075	0	0	11/1/2013	C		10/31/2014
4500238616	ELECTRONIC DRIVES & CONTROLS INC 17 EASTMANS RD PARSIPPANY, NJ 07054-3702 US	FURNISH, DELIVER, INSTALL, START-UP & COMMISSION VARIABLE FREQUENCY DRIVES & PLC SYSTEMS AT 500 MW CCPP, ASTORIA, NY	C	2	8	F	N	1		441,922	393,010	393,010	0	11/12/2013	C		12/15/2014
4500238619	HEWLETT PACKARD CO ATTN: EXPRESS SUPPORT QUOTES 8000 FOOTHILLS BLVD ROSEVILLE, CA 95747-5754 US	ANNUAL MAINTENANCE - SCADA HP EQPT - OGS STATE CONTRACT PT-64150	S	B	7	N	N	1		8,991	8,991	0	0	11/1/2013	C		10/31/2014
4500238620	IBM CORP 80 STATE ST - STE 5 ALBANY, NY 12207-2543 US	WEBSPHERE & GENTRAN ANNUAL MAINTENANCE - OGS STATE CONTRACT PT-64336	S	B	7	N	N	1		193,387	189,713	189,713	0	1/1/2014	C		12/31/2014
4500238652	DA COLLINS CONSTRUCTION CO INC 269 BALLARD RD WILTON, NY 12831-1357 US	GATE SYSTEM UPGRADE AT VISCHER FERRY	E	B		N	N	3		2,712,540	2,576,009	2,576,009	0	11/12/2013	O	6/30/2015	
4500238681	DYNTEK SERVICES INC 4440 VON KARMAN AVE - STE 200 NEWPORT BEACH, CA 92660-2011 US	HITACHI NETWORK ATTACHED STORAGE DEVICE (HNAS)	E	B	7	F	N	1		8,347	8,347	0	0	11/13/2013	C		11/12/2014
4500238718	GENCON CONSTRUCTION CO 393 SMITH RD SHIRLEY, NY 11967-2214 US	FURNISH AND INSTALL EXHAUST GAS BYPASS PIPE	C	2	8	N	1	1		65,662	65,662	65,662	0	11/4/2013	C		11/3/2014
4500238719	EXPEDITE VIDEO CONFERENCING SERVICES INC 325 DUFFY AVE HICKSVILLE, NY 11801-3644 US	EXPEDITE EQUIPMENT & SERVICES - OGS STATE CONTRACT PT-64261	E	B	7	N	N	1		99,831	99,831	99,831	20,112	11/13/2013	C		2/28/2014
4500238780	SS & C TECHNOLOGIES INC 80 LAMBERTON RD - STE 7 WINDSOR, CT 06095-2150 US	PORTIA ANNUAL MAINTENANCE 2014	S	1	8	N	N	1		38,117	38,117	38,117	0	1/1/2014	C		12/31/2014
4500238784	SS & C TECHNOLOGIES INC 80 LAMBERTON RD - STE 7 WINDSOR, CT 06095-2150 US	ANNUAL MAINTENANCE FOR DBC DEBT MGR. AND DBC FINANCE SOFTWARE	S	1	8	N	N	1		28,080	28,080	0	0	12/17/2013	C		12/16/2014
4500238792	GENERAL ELECTRIC INTERNATIONAL INC 3960 MYSTIC VALLEY PKWY MEDFORD, MA 02155-6920 US	LEWISTON GENERATOR STUB SHAFT AND CT ASSEMBLY	E	2	8	N	N	1		432,023	432,023	432,023	1	11/15/2013	C		8/1/2014

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4500238805	LIVEDATA INC 810 MEMORIAL DR CAMBRIDGE, MA 02139-4642 US	2014 ANNUAL MAINTENANCE FOR THE LIVEDATA ERM PORTAL.	P	B	7	F	N	1		33,300	33,300	0	0	11/15/2013	C		12/31/2014
4500238808	ADAMS ELECTRIC SALES INC 15 WILKINSON AVE JERSEY CITY, NJ 07305-4214 US	TERTIARY CABLE FOR THE MASSENA SUBSTATION	E	2		F	4	1		200,000	200,000	200,000	0	11/15/2013	C		3/15/2014
4500238880	INTELLIGENT ENTERPRISE SOLUTIONS LLC 6901 ROCKLEDGE DR STE 600 BETHESDA, MD 20817-7836 US	ANNUAL ACL PREMIUM SUPPORT MAINTENANCE FOR 2014	S	B	7	N	N	1		7,365	7,365	0	0	1/1/2014	C		12/31/2014
4500238957	DYNTEK SERVICES INC 4440 VON KARMAN AVE - STE 200 NEWPORT BEACH, CA 92660-2011 US	HITACHI NETWORK DATA PROTECTION ENTERPRISE SOFTWARE OGS CONTRACT PT61807	S	B	7	N	N	1		105,166	105,166	0	0	11/20/2013	C		7/24/2014
4500239102	HAYES TECHNOLOGY GROUP INC 3 HAWTHORN PKWY - STE 225 VERNON HILLS, IL 60061-1448 US	ANNUAL CLIENT COPY MAINTENANCE	S	1	6	N	N	1		14,100	14,100	0	1,354	11/19/2013	C		11/18/2014
4500239110	DION GLOBAL SOLUTIONS (CANADA) LTD 75 INTERNATIONAL BLVD STE 103 TORONTO, ON M9W 6L9 CA	ANNUAL ENTERPRISE AUDIT MAINTENANCE	S	1	6	N	N	1		10,000	10,000	0	7,152	12/30/2013	C		12/29/2014
4500239112	SAS INSTITUTE INC SAS CAMPUS DR CARY, NC 27513 US	ANNUAL SAS MAINTENANCE	S	C		N	N	3		15,530	15,530	15,530	0	1/1/2014	C		12/31/2014
4500239171	EMA INC 900 NORTHBROOK DR - STE 110 TREVOSE, PA 19053 US	2014 EMA SUPPORT FOR MAXIMO	P	B	7	F	N	1		25,000	4,888	4,888	0	11/26/2013	C		12/31/2014
4500239226	IBM CORP 100 CROSBY DR BEDFORD, MA 01730-1438 US	IBM ANNUAL MAINTENANCE	S	C		N	N	3		366,616	366,616	366,616	0	1/1/2014	C		12/31/2014
4500239248	WORK TECHNOLOGY CORP 255 ELM ST WEST SOMERVILLE, MA 02144-2956 US	2014 MAINTENANCE FOR WORKTECH QUICKPICK SOFTWARE	E	2	5	F	N	1		9,500	9,500	9,500	0	11/27/2013	C		12/31/2014
4500239277	HEWLETT PACKARD CO ORDER ENTRY 13831 CHALCO VALLEY PARKWAY OMAHA, NE 68138 US	HP LASERJET ENTERPRISE 700 PRINTER - GS-35F-446AA-COOP	E	B	7	N	N	1		15,368	15,367	12,425	0	12/10/2013	C		1/9/2014
4500239280	DYNTEK SERVICES INC 4440 VON KARMAN AVE - STE 200 NEWPORT BEACH, CA 92660-2011 US	ANNUAL MAINTENANCE - MCAFEE SAFEBOOT SOFTWARE	S	C	6	N	N	3		9,576	9,576	9,576	0	11/16/2013	C		11/15/2014

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4500239332	BIRMINGHAM CONTROL SYSTEMS INC 1205 8TH ST W BIRMINGHAM, AL 35204-1909 US	F/D PROTECTION RELAYS AND TEST SWITCHES FOR THE MASSENA SUBSTATION - T-LEM	E	B		F	N	5		192,735	192,735	192,735	0	12/3/2013	C		5/1/2014
4500239376	GOTHAM GOVERNMENT SOLUTIONS LLC 1 PARAGON DR STE 200 MONTVALE, NJ 07645-1728 US	ANNUAL MTC CITRIX 2014	S	B	7	N	N	1		14,250	14,250	14,250	0	12/1/2013	C		12/1/2014
4500239474	CARAHSOFT TECHNOLOGY CORP 12369 SUNRISE VALLEY DR - STE D-2 RESTON, VA 20191 US	2013 MAINTENANCE FOR INVINCEA END POINT SECURITY SOLUTION	E	B	7	F	N	1		105,945	105,945	105,945	0	12/5/2013	C		12/4/2014
4500239484	AIRMATIC COMPRESSOR SYSTEMS INC 700 WASHINGTON AVE CARLSTADT, NJ 07072-3007 US	FURNISH, DELIVER, INSTALL SOLAR THERMAL UPGRADE FOR HOT WATER SYSTEM AT JACKIE GLEASON BUS DEPOT - NYCT	C	B		N	N	6		145,521	144,167	144,167	0	1/15/2014	O	1/14/2015	
4500239510	XEROX DIRECT DBA FOR XEROX CORP 1654 SOLUTIONS CNTR CHICAGO, IL 60677-1006 US	MAINTENANCE FOR XEROX COPIER EQUIPMENT	S	B	7	N	N	1		28,608	21,456	21,456	0	1/1/2014	C		12/31/2014
4500239543	SHI INTERNATIONAL CORP 290 DAVIDSON AVE SOMERSET, NJ 08873-4145 US	ADDITIONAL PERPETUAL LIC./MAINTENANCE WINSHUTTLE TRANSACTION.	E	B	7	F	N	1		9,581	9,581	9,581	0	12/9/2013	C		10/21/2014
4500239550	SYMON COMMUNICATIONS INC 121 VICTOR HEIGHTS PKWY VICTOR, NY 14564 US	2014 MAINTENANCE SYMONCARE DESIGN STUDIO	S	C	8	F	N	3		20,263	20,263	0	488,687	1/1/2014	C		12/31/2014
4500239563	EMERSON NETWORK POWER LIEBERT SERVICES INC 610 EXECUTIVE CAMPUS DR WESTERVILLE, OH 43082-8870 US	2013 ANNUAL MAINTENANCE FOR EMERSON NETWORK POWER, LIEBERT SERVICES	E	C	6	F	N	1		18,060	18,060	18,060	0	12/27/2013	C		12/26/2014
4500239582	ESVA INC 116 TAZEWELL AVE CAPE CHARLES, VA 23310-3128 US	ANNUAL MAINTENANCE METALOGIX SOFTWARE-GSA SCHEDULE GS-35F-0333W	S	B	7	N	N	1		5,444	5,444	0	0	1/31/2014	O	1/30/2015	
4500239663	CARAHSOFT TECHNOLOGY CORP 12369 SUNRISE VALLEY DR - STE D-2 RESTON, VA 20191 US	IBM SECURITY QRADAR SIEM CAPACITY PACK INCREASE 2014 MAINTENANCE	E	B	7	F	N	1		60,798	60,798	60,798	0	1/1/2014	C		12/31/2014
4500239743	AQUIRE SOLUTIONS INC 400 E LAS COLINAS BLVD - STE 500 IRVING, TX 75039-5617 US	ORGPUBLISHER ANNUAL MAINTENANCE	S	1	6	N	N	1		6,533	6,533	6,533	8,106	11/1/2013	C		10/31/2014

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4500239766	FOTRONIC CORP TEST EQUIPMENT DEPOT 99 WASHINGTON ST MELROSE, MA 02176-6024 US	FOUR THERMAL IMAGING/COMPACT INFRARED CAMERAS	E	C	6	N	N	1		6,799	6,799	6,799	0	12/13/2013	C		1/28/2014
4500239768	SYSTEMS MANAGEMENT PLANNING INC 75 GOODWAY DR - STE 1 ROCHESTER, NY 14623-3000 US	2014 MAINTENANCE RENEWAL FOR 1 YEAR SUBSCRIPTION AND SUPPORT.	E	2	8	F	3	1		49,206	49,206	49,206	0	12/27/2013	C		12/27/2014
4500239785	RICOH USA INC 2500 WESTCHESTER AVE - STE 113 PURCHASE, NY 10577-2515 US	RICOH COPIER MODEL MPCC5303 GSA CONTRACT GS-03F-0085U	E	B	7	N	N	1		9,954	9,954	9,954	1,787	12/13/2013	C		1/31/2014
4500239802	ENSIGN ENGINEERING PC 1111 CALHOUN AVE BRONX, NY 10465-1800 US	ENGINEERING SERVICES	A	M	8	N	3	1		70,914	70,914	23,231	0	10/1/2013	C		9/30/2014
4500239814	VENTYX INC VENTYX INC (AN ABB) COMPANY STE 500 400 PERIMETER CENTER TER NE ATLANTA, GA 30346-1231 US	VENTYX VELOCITY SUITE SUBSCRIPTION	S	1	9	F	N	1		35,700	35,700	35,700	0	1/1/2014	C		12/31/2014
4500239857	UNIVERSAL MULTILINK INC 18911 UNION TURNPIKE FLUSHING, NY 11366 US	ANNUAL HP PLOTTER MAINTENANCE	S	M	6	N	4	1		12,357	12,357	12,357	9,478	1/1/2014	C		12/31/2014
4500239878	LEAD DATA TECHNOLOGIES INC 55 OLD TURNPIKE RD STE 604 NANUET, NY 10954 US	LEAD DATA CHECKPOINT FIREWALLS	E	B	7	F	N	1		48,764	48,764	48,764	0	12/17/2013	C		12/16/2014
4500239974	JOHNSON CONTROLS INC 130 JOHN MUIR DR - STE 100 AMHERST, NY 14228-1139 US	NERC COMPLIANCE SECURITY UPGRADE - CEC, STL AND NIA	C	B		F	N	1		1,375,198	886,511	886,511	0	12/19/2013	O	3/31/2015	
4500240001	CH2M HILL ENGINEERING PA 9127 S JAMAICA ST ENGLEWOOD, CO 80112-5946 US	500MW CTG FUEL GAS SUPPLY STUDY PROJECT	P	B		F	N	2		176,800	176,800	176,800	10,517	12/20/2013	C		12/31/2014
4500240022	IBM CORP 80 STATE ST - STE 5 ALBANY, NY 12207-2543 US	IBM WEBSPHERE DATAPOWER EQUIPMENT AND SERVICES.	E	B	7	N	N	1		183,200	183,200	183,200	0	12/19/2013	C		12/19/2014
4500240054	MATHWORKS INC 3 APPLE HILL DR NATICK, MA 01760-2098 US	MATWORKS SOFTWARE MAINTENANCE	S	C	6	N	N	1		5,472	5,472	5,472	0	1/1/2014	C		12/31/2014
4500240069	BLUE CIELO ECM SOLUTIONS INC 102 PICKERING WAY - STE 303 EXTON, PA 19341-1330 US	BLUECIELO SOFTWARE 2014 MAINTENANCE	S	C	8	F	N	3		118,726	110,620	110,620	0	12/20/2013	C		12/19/2014
4500240112	ENER-G RUDOX INC 765 STATE HIGHWAY 17 N CARLSTADT, NJ 7072 US	PERRY DYRYEA STATE OFFICE BUILDING-RECOMMENDED TROUBLESHOOTING REPAIR PLAN FOR CHP UNIT	A	2	6	F	N	1		11,540	11,540	11,540	0	12/23/2013	C		2/28/2014

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4500240126	GOTHAM GOVERNMENT SOLUTIONS LLC 1 PARAGON DR STE 200 MONTVALE, NJ 07645-1728 US	ANNUAL MAINTENANCE - DATADVANTAGE SOFTWARE	S	C		N	N	4		50,641	50,641	50,641	0	12/30/2013	C		12/29/2014
4500240128	RESOLVER INC 257 ADELAIDE ST W - STE 400 TORONTO, ON M5H 1X9 CA	SAAS SERVICES FOR BPS CLOUD HOSTED SERVICE	S	1	8	N	N	1		38,275	36,263	36,263	0	1/1/2014	C		12/31/2014
4500240129	EXPEDITE VIDEO CONFERENCING SERVICES INC 325 DUFFY AVE HICKSVILLE, NY 11801-3644 US	ANNUAL MAINTENANCE - VIDEOCONFERENCING EQPT - OGS PT-64535	S	B	7	N	N	1		26,664	26,664	26,664	8,245	12/3/2013	C		12/31/2014
4500240131	SIMMERS CRANE DESIGN & SERVICES CO 1134 SALEM PKWY SALEM, OH 44460-1063 US	LOAD TESTING OF THE 150 TON GANTRY MAIN HOOK FOR NIAGARA LGPG	S	2	8	F	N	1		35,988	35,988	35,988	112,276	12/24/2013	C		4/1/2014
4500240159	HEWLETT PACKARD CO ATTN: EXPRESS SUPPORT QUOTES 8000 FOOTHILLS BLVD ROSEVILLE, CA 95747-5754 US	HP ANNUAL MAINTENANCE - SAN EQPT, SUN, ETC. - OGS STATE PT-64150	S	B	7	N	N	1		160,486	151,008	151,008	1,462,306	1/1/2014	C		12/31/2014
4500240189	ASPEN INC 49 N SAN MATEO DR SAN MATEO, CA 94401-2865 US	ANNUAL MAINTENANCE - ASPEN	S	1	6	N	N	1		8,193	8,193	8,193	0	1/31/2014	O	1/30/2015	
4500240190	EN POINTE TECHNOLOGIES SALES INC 18701 S FIGUEROA ST GARDENA, CA 90248-4506 US	ANNUAL MAINTENANCE - GLOBALSCAPE	S	C	6	N	N	3		7,755	7,755	7,755	2,200	12/28/2013	C		12/27/2014
4500240243	OSI SOFTWARE INC 777 DAVID ST - SUITE 250 SAN LEANDRO, CA 94577 US	PI (PROCESS INFORMATION) SYSTEM UPGRADE - GSA SCHEDULE	P	B	7	N	N	1		24,000	13,483	13,483	15,322	1/1/2014	O	12/31/2015	
4500240244	OSI SOFTWARE INC 777 DAVID ST - SUITE 250 SAN LEANDRO, CA 94577 US	ANNUAL PI SERVER MAINTENANCE - GSA SCHEDULE GS-35F-0265X	S	B	7	N	N	1		9,487	9,487	9,487	17,943	10/1/2013	C		9/30/2014
4500240245	VAISALA-GAI INC 2705 E MEDINA RD TUCSON, AZ 85756-7147 US	2014 ANNUAL DATA SUBSCRIPTION MAINTENANCE - GSA SCHEDULE - GS-25F-6029D	S	B	7	N	N	1		19,676	19,676	19,676	0	1/1/2014	C		12/31/2014
4500240246	CS BUSINESS SYSTEMS INC 1236 MAIN ST BUFFALO, NY 14209-0069 US	HP SERVER EQPT - OGS STATE CONTRACT PT-64150	E	B	7	N	4	3		13,772	13,772	13,772	798	1/10/2014	C		1/31/2014
4500240249	LONGO ELECTRICAL MECHANICAL INC ONE HARRY SHUPE BLVD WHARTON, NJ 7885 US	MOTOR EQUIPMENT	E	C	6	N	N	3		8,390	8,390	8,390	962,104	1/6/2014	C		1/27/2014

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4500240251	PRESIDIO NETWORKED SOLUTIONS INC 10 6TH RD WOBURN, MA 01801-1735 US	SMARTNET MAINTENANCE - OGS STATE CONTRACT PT-64525	S	B	7	N	N	2		243,246	243,246	243,246	0	1/1/2014	C		12/31/2014
4500240256	AUTOMATION ANYWHERE INC 2025 GATEWAY PL - STE 252 SAN JOSE, CA 95110-1094 US	TESTING SOFTWARE & MAINTENANCE	E	2	8	N	N	1		49,800	49,800	49,800	0	1/5/2014	C		1/8/2014
4500240285	PRESENTATION PRODUCTS INC 632 W 28TH ST - 7TH FL NEW YORK, NY 10001-1117 US	SMARTBOARD MAINTENANCE- OGS STATE CONTRACT PT-64216	S	B	7	N	N	1		54,545	46,300	46,300	0	1/1/2014	C		12/31/2014
4500240417	COOPER POWER SYSTEMS LLC 1319 LINCOLN AVE WAUKESHA, WI 53186-5374 US	DESIGN, F/D 2 100 MVAR CAPACITOR BANKS, ST. LAWRENCE BARR	E	B		F	N	3		748,510	636,234	636,234	0	1/8/2014	C		12/31/2014
4500240419	UNDERGROUND SYSTEMS INC 84 BUSINESS PARK DR - STE 109 ARMONK, NY 10504-1734 US	LEAK DETECTION SERVICES, Y49 AND Q35	P	B		F	N	1		1,582,900	120,594	120,594	13,260	1/1/2014	O	12/31/2018	
4500240435	THE VANALLEN GROUP INC 525 CLUBHOUSE DR - STE 150 PEACHTREE CITY, GA 30269-1947 US	PERFORM TRAVEL POLICY REVIEW	P	C	8	F	N	2		30,000	30,000	30,000	0	1/6/2014	C		12/31/2014
4500240472	MICROSOFT CORP 3000 BAYPORT DR - STE 480 TAMPA, FL 33607 US	MICROSOFT PREMIER TECHNICAL SUPPORT FOR INFORMATION TECHNOLOGY	S	B	7	N	N	1		12,700	10,500	10,500	55,046	1/1/2014	C		12/31/2014
4500240507	J RICHARD CICCONE MD 300 CRITTENDEN BLVD ROCHESTER, NY 14642 US	EXPERT SERVICES	L	1	8	N	N	1		50,000	34,678	34,678	3,788	12/3/2013	C		12/2/2014
4500240579	SOLTEX INC SYNTHETIC OILS & LUBRICANTS OF TX 3707 FM 1960 RD W - STE 560 HOUSTON, TX 77068-3525 US	DCL 45 FLUID FOR Y 49 CABLE	E	2	8	F	N	1		125,000	107,057	107,057	0	1/9/2014	C		9/1/2014
4500240658	SECURELOGIX CORP 13750 SAN PEDRO AVE - STE 820 SAN ANTONIO, TX 78232-0002 US	ANNUAL MAINTENANCE FOR ETM APPLIANCES	S	B	6	N	N	3		8,733	8,733	8,733	34,703	1/1/2014	C		12/31/2014
4500240688	ROOF MAINTENANCE SYSTEMS 5118 HWY 33-34 FARMINGDALE, NJ 7727 US	PERFORM A QUANTITATIVE ROOF ANALYSIS FOR THE EXISTING ROOF SYSTEMS AND COMPONENTS AT THE 500MW PLANT	P	2	5	F	N	1		12,280	11,482	11,482	0	4/1/2014	C		9/10/2014
4500240734	SIMMERS CRANE DESIGN & SERVICES CO 1134 SALEM PKWY SALEM, OH 44460-1063 US	630T CRANE DRIVE REPLACEMENT (NIA)	E	B		F	N	2		1,093,413	131,309	131,309	12,632	1/14/2014	O	12/31/2015	

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4500240744	SKYLINE INDUSTRIES LLC 4510 VERNON BLVD LONG ISLAND CITY, NY 11101-5203 US	GCT PLM & STANDBY GENERATOR PROJECT FOR COMPLETION OF OUTSTANDING CONTRACT WORK	C	2		N	4	1		136,750	136,750	136,750	0	1/27/2014	C		12/31/2014
4500240781	ALLEN MARINE SERVICES A DIVISION OF HOHL INDUSTRIAL 770 RIVERVIEW BLVD TONAWANDA, NY 14150 US	COMPLETE ADDITIONAL DOCK WORK AT NF MARINA, NY. PROVIDE LABOR, MATERIAL, INSTALLATION SUPERVISION TO COMPLETE THE WORK.	C	2	9	N	N	1		85,972	85,972	85,972	0	1/15/2014	C		9/30/2014
4500240821	ANNESE & ASSOCIATES INC 7 SUTTON PL - STE E BREWSTER, NY 10509-3537 US	ANNUAL MAINTENANCE-ADTRAN EQUIPMENT, ETC-OGS STATE CONTRACT PT-64525	S	B	7	N	3	1		86,363	86,363	86,363	0	1/1/2014	C		12/31/2014
4500240837	CARDIAC LIFE PRODUCTS INC PO BOX 25755 ROCHESTER, NY 14625-0755 US	POWERHEART EQUIPMENT - NIAGARA	E	M	8	N	3	1		47,408	47,408	47,408	5,201	1/24/2014	C		6/12/2014
4500240850	PAMELA V HARDING 353 E 83RD ST - APT 8H NEW YORK, NY 10028-4372 US	WRITER/EDITOR SERVICES FOR C & MS GROUP	P	2	8	N	N	1		21,000	7,740	7,740	95,780	1/22/2014	C		7/15/2014
4500240893	CARDIAC LIFE PRODUCTS INC PO BOX 25755 ROCHESTER, NY 14625-0755 US	POWERHEART EQUIPMENT - POLETTI	E	M	6	N	3	1		6,871	6,871	6,871	0	1/27/2014	C		4/8/2014
4500241069	NYC DCAS MANHATTAN MUNICIPLE BUILDING 1 CENTRE ST NEW YORK, NY 10007 US	GREEN FLEET SOFTWARE LICENSE	E	1	9	N	N	1		68,340	13,294	13,294	12,832	1/22/2014	O	1/21/2019	
4500241097	ORACLE AMERICA INC 1910 ORACLE WY RESTON, VA 20190-4733 US	ANNUAL ORACLE MAINTENANCE - OGS STATE CONTRACT PT-64000	S	B	7	N	N	1		15,152	11,364	11,364	7,177	2/10/2014	O	2/9/2015	
4500241098	LASCON INC 185 SUMMERFIELD ST FL 2 SCARSDALE, NY 10583-5425 US	SNOW REMOVAL -	S	C	8	N	1	5		69,186	69,186	69,186	11,032	1/21/2014	C		5/15/2014
4500241148	AUTOMATED LOGIC NEW YORK NEW JERSEY DBA FOR AUTOMATED LOGIC BTG INC 100 DELAWANNA AVE - STE 400 CLIFTON, NJ 07014-1553 US	FURNISH, DELIVER AND INSTALL HVAC SYSTEM FOR MTA METRO NORTH RAILROAD - NORTH WHITE PLAINS FACILITY	C	B		N	N	6		1,394,844	1,360,141	1,360,141	7,160	2/7/2014	O	2/6/2015	
4500241151	ANS ADVANCED NETWORK SERVICES LLC 12 ELMWOOD RD MENANDS, NY 12204-2425 US	PHYSICAL VULNERABILITY ASSESSMENT - OGS STATE CONTRACT PT-64252	P	B	7	N	N	1		81,000	81,000	81,000	24,000	1/27/2014	O	1/26/2015	
4500241189	CARAHSOFT TECHNOLOGY CORP 12369 SUNRISE VALLEY DR - STE D-2 RESTON, VA 20191 US	INVINCEA CONSULTING SERVICES FOR INVINCEA MANAGEMENT SERVER	P	B	7	F	N	1		24,647	12,015	12,015	40,000	1/24/2014	O	1/23/2015	

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4500241254	STONE & WEBSTER ENGINEERNIG NEW YORK PC 100 TECHNOLOGY CENTER DR STOUGHTON, MA 2072 US	DEVELOP THERMAL PERFORMANCE MODELS AND PERFORM THERMAL PERFORMANCE TESTING AND ANALYSIS AT THE FLYNN & 500 MW CCPP'S	A	B		N	N	1		62,571	62,571	62,571	0	1/28/2014	C		12/16/2014
4500241255	AMTEL INC 900 LAFAYETTE ST - STE 506 SANTA CLARA, CA 95050-4967 US	MOBILE DEVICE MANAGEMENT MAINTENANCE - GSA SCHEDULE GS-35F-0320V	S	B	7	N	N	1		40,596	40,596	40,596	0	1/14/2014	O	1/13/2015	
4500241256	DIRECTOR'S DESK LLC ONE LIBERTY PLAZA - 49TH FL NEW YORK, NY 10006-1404 US	BOARDROOM PORTAL SERVICE	S	2		N	N	1		21,000	21,000	21,000	0	2/1/2014	O	1/31/2015	
4500241377	XEROX DIRECT DBA FOR XEROX CORP 1654 SOLUTIONS CNTR CHICAGO, IL 60677-1006 US	MAINTENANCE FOR XEROX EQUIPMENT	S	B	7	N	N	1		15,602	10,401	10,401	0	1/1/2014	C		12/31/2014
4500241432	ORACLE AMERICA INC 1001 SUNSET BLVD ROCKLIN, CA 95765-3702 US	ORACLE MAINTENANCE - OGS STATE CONTRACT - PT-6400	S	B	7	N	N	1		191,560	95,780	95,780	0	5/30/2014	O	5/29/2015	
4500241464	V&R ENERGY SYSTEMS RESEARCH INC 11824 DARLINGTON AVE STE 101 LOS ANGELES, CA 90049 US	ANNUAL MAINTENANCE POM (PHYSICAL OPERATIONS MARGINS) SOFTWARE	S	1	8	N	N	1		18,000	18,000	18,000	0	1/1/2014	C		12/31/2014
4500241530	PIOPPI VIDEO ENTERTAINMENT CORP 67 BYRON AVE YONKERS, NY 10704-2111 US	VIDEO PRODUCER / EDITOR	S	2	8	N	1	1		122,278	109,446	109,446	49,761	1/1/2014	C		12/31/2014
4500241531	THOMAS NG PO BOX 90961 BROOKLYN, NY 11209-0961 US	VIDEO PRODUCTION ASSISTANT/TECHNICAL	S	2	6	N	1	1		16,802	9,625	9,625	0	1/1/2014	C		12/31/2014
4500241532	CSL ENTERPRISES INC DBA REEL VISION PRODUCTIONS 310 LAUREN TICE RD - PO BOX 15 SAUGERTIES, NY 12477-0015 US	VIDEOGRAPHER, VIDEO EDITOR, PROJECT/PRODUCTION COORDINATOR	S	2	8	N	1	1		73,418	62,386	62,386	1,621	1/1/2014	C		12/31/2014
4500241533	EMSTAR MEDIA INC #210B 25 BANK ST WHITE PLAINS, NY 10606-7004 US	VIDEOGRAPHER, VIDEO EDITOR, AND PROJECT/PRODUCTION COORDINATOR	S	2	8	N	1	1		110,778	103,618	103,618	829,621	1/1/2014	C		12/31/2014
4500241559	BENTLY NEVADA INC 475 CREAMERY WAY EXTON, PA 19341-2546 US	TECHNICAL SUPPORT FOR BENTLY NEVADA SYSTEM1 CONDITION MONITORING SOFTWARE AT BG & ST. LAWRENCE POWER PROJECTS	S	1	9	F	N	1		30,694	6,694	6,694	173,519	2/5/2014	O	2/4/2015	

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4500241614	LEE HECHT HARRISON LLC 200 PARK AVE NEW YORK, NY 10166-0005 US	EXECUTIVE CAREER TRANSITION SERVICES	P	2	8	N	N	1		40,000	0	0	0	2/4/2014	O	2/3/2015	
4500241734	ANNESE & ASSOCIATES INC 7 SUTTON PL - STE E BREWSTER, NY 10509-3537 US	CISCO EQUIPMENT - OGS STATE CONTRACT PT-64525	E	B	7	N	3	5		338,707	338,707	338,707	0	2/21/2014	C		3/18/2014
4500241811	RICOH USA INC 2500 WESTCHESTER AVE - STE 113 PURCHASE, NY 10577-2515 US	RICOH COPIER MODEL MPC4503 GSA CONTRACT GS-03F-0085U	E	B	7	N	N	1		11,318	11,318	11,318	0	2/10/2014	C		5/9/2014
4500241875	NFRASTRUCTURE DBA FOR E.NFRASTRUCTURE TECH INC 5 ENTERPRISE AVE CLIFTON PARK, NY 12065-3423 US	RSA SECURED APPLIANCES - OGS STATE CONTRACT PT-60953	E	B	7	N	N	1		19,240	19,240	19,240	3,759	2/21/2014	C		7/1/2014
4500241878	CITRIX SYSTEMS INC 851 WEST CYPRESS CREEK RD FORT LAUDERDALE, FL 33309 US	2014 ANNUAL MAINTENANCE CITRIX SYSTEMS XENDESKTOP,XENMOBILE, NETSCALER	S	B	7	F	N	1		36,631	36,631	36,631	0	2/12/2014	O	2/19/2015	
4500241885	ANNESE & ASSOCIATES INC 7 SUTTON PL - STE E BREWSTER, NY 10509-3537 US	CISCO EQUIPMENT STATE CONTRACT PT64525	E	B	7	N	3	1		7,518	7,518	7,518	0	2/12/2014	O	2/11/2015	
4500241921	GOTHAM GOVERNMENT SOLUTIONS LLC 1 PARAGON DR STE 200 MONTVALE, NJ 07645-1728 US	LABOR - VARONIS DATADVANTAGE SERVICES	P	C	8	N	N	3		27,000	27,000	27,000	0	2/19/2014	C		2/28/2014
4500242038	RIGHTSTAR SYSTEMS DBA FOR RIGHTSTAR INC 1951 KIDWELL DR STE 110 VIENNA, VA 22182-3930 US	LABOR - FLEET MAINTENANCE & TOOL BIN - GSA SCHEDULE	S	B	7	N	N	1		50,000	239	239	159,900	2/17/2014	O	2/16/2015	
4500242063	EXPEDITE VIDEO CONFERENCING SERVICES INC 325 DUFFY AVE HICKSVILLE, NY 11801-3644 US	VIDEOCONFERENCING EQUIPMENT AND SERVICES	E	B	7	N	N	1		159,395	159,395	159,395	1,045	2/19/2014	C		12/17/2014
4500242070	CROSS THREAD SOLUTIONS LLC 5734 WESTMINSTER DR SOLON, OH 44139 US	LABOR - BPS / BPC SAP PLANNING AND BUDGETS	P	M	8	N	4	1		20,200	18,579	18,579	0	3/19/2014	C		4/6/2014
4500242167	HOHL INDUSTRIAL SERVICES INC 770 RIVERVIEW BLVD TONAWANDA, NY 14150-7880 US	DRAFT TUBE TRASH RACK REPLACEMENT	E	B		N	1	3		1,530,729	701,108	701,108	30,970	2/20/2014	O	12/31/2015	
4500242173	HOHL INDUSTRIAL SERVICES INC 770 RIVERVIEW BLVD TONAWANDA, NY 14150-7880 US	UPPER HEAD GATE RAIL AND SLOT REFURBISHMENT PROJECT NIAGARA POWER PROJECT	C	B		N	1	2		788,565	615,046	615,046	5,567	2/20/2014	C		11/28/2014

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4500242210	STANFORD KAY 39 CENTRAL AVE N NYACK, NY 10960-1622 US	PROVIDE ILLUSTRATOR/INFORMATION GRAPHICS SERVICES TO ASSIST C & MS GROUP	P	2	5	N	N	3		6,000	6,000	6,000	0	2/20/2014	C		3/31/2014
4500242255	ANNESE & ASSOCIATES INC 7 SUTTON PL - STE E BREWSTER, NY 10509-3537 US	ANNESE SWITCHES EQUIPMENT PURCHASE	E	B	7	N	3	1		246,740	246,740	246,740	1,228,119	2/21/2014	O	2/20/2015	
4500242265	SIEMENS INDUSTRY INC 400 STATE ST SCHENECTADY, NY 12305-2304 US	ANNUAL MAINTENANCE - PSS/E SOFTWARE	S	B	6	N	N	3		11,000	11,000	11,000	157,627	1/1/2014	C		12/31/2014
4500242290	RICHARDS PLUMBING & HEATING CO INC 103 DOBBIN ST BROOKLYN, NY 11222-2805 US	FURNISH, DELIVER, INSTALL SOLAR THERMAL HOT WATER SYSTEM - SUNY MARITIME COLLEGE	C	B		N	N	8		350,050	346,291	346,291	0	3/1/2014	O	2/28/2015	
4500242298	CDW G INC 230 N MILWAUKEE AVE VERNON HILLS, IL 60061-4304 US	PANASONIC LAPTOPS	E	C		N	N	4		17,664	17,664	17,664	0	3/3/2014	C		4/22/2014
4500242320	EN POINTE TECHNOLOGIES SALES INC 18701 S FIGUEROA ST GARDENA, CA 90248-4506 US	MAINTENANCE SUPPORT FOR ARCONIS SOFTWARE	S	B	7	N	N	2		13,703	13,703	13,703	0	1/1/2014	C		12/31/2014
4500242335	NORTHLINE UTILITIES LLC 15 SCHOOL LN - STE 200 AU SABLE FORKS, NY 12912 US	MARCY STATIC WIRE REPAIR AND REHABILITATION	C	B		N	1	3		798,011	798,011	798,011	0	2/21/2014	C		12/5/2014
4500242414	WINSTON & STRAWN LLP 1700 K ST NW WASHINGTON, DC 20006-3817 US	TRANSCO FERC FILING PROCESS	P	1	9	N	N	1		159,900	0	0	335,526	2/25/2014	O	2/25/2015	
4500242472	IBM CORP 80 STATE ST - STE 5 ALBANY, NY 12207-2543 US	CONSULTING SERVICES - SAP/MAXIMO - OGS STATE CONTRACT PT-64366	P	B	7	N	N	1		46,160	45,115	45,115	0	3/3/2014	O	3/2/2015	
4500242473	SILKROAD TECHNOLOGY INC 102 W 3RD ST - STE 250 WINSTON SALEM, NC 27101-3900 US	RECRUITMENT, APPLICANT TRACKING AND ONBOARD SOLUTION	S	2	8	N	N	1		39,000	39,000	39,000	58,380	3/29/2014	O	3/28/2015	
4500242474	GENERGY CORP 2 EXECUTIVE PARK DR ALBANY, NY 12203-3700 US	RELAYS AND TEST SWITCHES FOR ROBERT MOSES 100M VAR CAP BANKS	E	B		N	1	2		62,371	31,401	31,401	0	2/27/2014	C		7/17/2014
4500242499	PENGUIN COMPUTING INC 45800 NORTHPORT LOOP W FREMONT, CA 94538-6413 US	HARDWARE AND SOFTWARE	E	C		N	N	3		80,521	74,954	74,954	1,395,818	2/27/2014	C		12/31/2014
4500242525	PRESIDIO NETWORKED SOLUTIONS INC 10 6TH RD WOBURN, MA 01801-1735 US	CISCO MANAGED SERVICES - OGS STATE CONTRACT PT-64525	S	B	7	N	N	1		75,300	75,300	75,300	3,175	1/1/2014	C		12/31/2014

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4500242538	JOHNSON CONTROLS INC 130 JOHN MUIR DR - STE 100 AMHERST, NY 14228-1139 US	FURNISH, DELIVER, INSTALL THERMAL CAMERAS & NEW NETWORK INSTALLATION PROJECT - (8 AUTHORITY SITES)	E	B		N	N	1		2,452,918	1,224,799	1,224,799	30,877	2/28/2014	O	2/27/2015	
4500242542	ROSS & BARUZZINI INC 110 WILLIAM ST FL 29 NEW YORK, NY 10038-3901 US	500MW COMBINED-CYCLE POWER PLANT CONTROL ROOM REDESIGN	A	B		N	N	2		371,500	213,873	213,873	0	2/28/2014	O	2/27/2015	
4500242553	LEAD DATA TECHNOLOGIES INC 55 OLD TURNPIKE RD STE 604 NANUET, NY 10954 US	FIREWALL EQPT & SERVICES - OGS STATE CONTRACT PT-61429	E	B	7	N	N	1		299,076	299,076	299,076	0	3/10/2014	C		3/20/2014
4500242556	THE WINVALE GROUP LLC 1012 14TH ST NW FL 5 WASHINGTON, DC 20005-3406 US	BMC FOOTPRINTS SOFTWARE SYSTEM FOR HELP DESK AND ASSET MGT. GSA CONTRACT GS-35F-0074S	E	B	7	N	N	3		99,918	99,918	99,918	24,712	3/26/2014	O	3/25/2015	
4500242583	LEAD DATA TECHNOLOGIES INC 55 OLD TURNPIKE RD STE 604 NANUET, NY 10954 US	LABOR - INTERNET FIREWALL - OGS STATE CONTRACT PT-61429	P	B	7	N	N	1		22,000	22,000	22,000	0	3/10/2014	C		6/2/2014
4500242585	SPEECH PRIVACY SYSTEMS LLC 1100 JUPITER RD - STE 121 PLANO, TX 75074-7000 US	SOUND MASKING SYSTEM	E	C	6	N	N	3		9,828	9,828	9,828	0	3/10/2014	C		3/12/2014
4500242730	HOHL INDUSTRIAL SERVICES INC 770 RIVERVIEW BLVD TONAWANDA, NY 14150-7880 US	RMNPP GENERATOR STATOR LIFTING BEAM	E	B		N	1	5		414,738	79,212	79,212	0	3/5/2014	O	3/31/2015	
4500242767	PRESIDIO NETWORKED SOLUTIONS INC 10 6TH RD WOBURN, MA 01801-1735 US	CALL RECORDING SOLUTION SOFTWARE FOR CICSC CUCM	E	B	7	F	N	1		39,700	39,700	39,700	0	3/10/2014	O	3/9/2015	
4500242819	E K WARD & ASSOCIATES PO BOX 630 WILLIAMSVILLE, NY 14231-0630 US	COACHING SERVICES	P	M	8	N	4	1		118,800	60,420	60,420	0	1/1/2014	C		12/31/2014
4500242820	SHI INTERNATIONAL CORP 290 DAVIDSON AVE SOMERSET, NJ 08873-4145 US	ENDPOINT PROTECTION	S	B	7	N	N	4		42,107	42,107	42,107	0	3/30/2014	O	3/29/2015	
4500242861	YONKERS ELECTRIC CONTRACTING CORP 145 SAW MILL RIVER RD YONKERS, NY 10701-6615 US	FURNISH, DELIVER AND INSTALL THE 500 MW STORAGE FACILITIES	C	B		N	N	4		2,873,730	1,477,912	1,477,912	2,820,508	3/10/2014	O	3/9/2015	
4500242863	XPEDX INC DIV INTERNATIONAL PAPER CO 261 RIVER RD CLIFTON, NJ 07014-1551 US	PAPER PRODUCTS - OGS STATE CONTRACT PC-65726	E	B	7	N	N	1		8,695	5,520	5,520	19,190	3/14/2014	C		9/15/2014

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4500242864	ENER-G RUDOX INC 765 STATE HIGHWAY 17 N CARLSTADT, NJ 7072 US	ENERGY EFFICIENCY: PERRY DURYEA SSOB - CHP, ENGINE ELECTRICAL & CONTROLS COMMISSIONING PROJECT	C	2	8	F	N	1		93,000	62,123	62,123	268,852	3/10/2014	O	3/9/2015	
4500242956	RICOH USA INC 2500 WESTCHESTER AVE - STE 113 PURCHASE, NY 10577-2515 US	RICOH COPIER	E	B	7	N	N	1		9,954	9,954	9,954	0	3/11/2014	C		4/25/2014
4500243038	RICOH USA INC 2500 WESTCHESTER AVE - STE 113 PURCHASE, NY 10577-2515 US	RICOH COPIERS	E	B	7	N	N	1		39,817	39,817	39,817	0	3/12/2014	C		6/1/2014
4500243101	CEMTEK SYSTEMS INC 2013 SOUTH WOOD AVE LINDEN, NJ 7036 US	500MW: CEMS HARDWARE MAINTENANCE	S	B		F	N	1		75,156	50,444	50,444	0	4/1/2014	O	3/31/2015	
4500243195	CARAHSOFT TECHNOLOGY CORP 12369 SUNRISE VALLEY DR - STE D-2 RESTON, VA 20191 US	2014 ANNUAL JBOSS APPLICATION MAINTENANCE - GSA GS-35F-0119Y	S	B	7	N	N	1		8,434	8,434	8,434	28,628	3/3/2014	O	3/2/2015	
4500243207	CITRIX SYSTEMS INC 851 WEST CYPRESS CREEK RD FORT LAUDERDALE, FL 33309 US	ANNUAL MAINTENANCE FOR CITRIX XENDESKTOP	S	B	7	F	N	1		11,003	11,003	11,003	0	2/27/2014	O	2/19/2015	
4500243265	CS BUSINESS SYSTEMS INC 1236 MAIN ST BUFFALO, NY 14209-0069 US	HP SERVER EQUIPMENT - OGS STATE CONTRACT - PT-64150	E	B	7	N	4	5		10,895	10,895	10,895	0	3/24/2014	C		3/31/2014
4500243288	ANNESE & ASSOCIATES INC 7 SUTTON PL - STE E BREWSTER, NY 10509-3537 US	CISCO EQUIPMENT - OGS STATE CONTRACT PT-64525	E	B	7	N	3	4		56,703	56,703	56,703	1,045	3/24/2014	C		6/11/2014
4500243317	ALIANZA SERVICES LLC 74 N BROADWAY - 2ND FL SOUTH NYACK, NY 10960-2628 US	FURNITURE INSTALLATION & DELIVERY - WPO BUILD OUT	S	M	8	N	4	1		124,750	124,750	124,750	88,897	4/7/2014	C		6/27/2014
4500243318	HERMAN MILLER INC C/O ALIANZA SERVICES LLC 855 E MAIN AVE ZEELAND, MI 49464-1372 US	FURNITURE - WPO BUILDING - OGS STATE CONTRACT PC66271	E	B	7	N	N	1		16,668	16,668	16,668	0	4/7/2014	C		9/15/2014
4500243325	ABB INC 940 MAIN CAMPUS DR RALEIGH, NC 27606-5211 US	115KV GSU NIAGARA	E	B		F	N	13		2,821,868	1,360	1,360	13,341	3/20/2014	O	6/30/2015	
4500243364	NORTHEAST PROFESSIONAL ENGINEERING CONSULTANTS LLC 108 NEW PARK AVE - UNIT 3 N FRANKLIN, CT 06254-1807 US	FLYNN: A&E BENEFITS ANALYSIS SERVICES FOR CIRCULATING WATER BUILDING CRANE MODIFICATIONS	A	B		F	N	5		76,300	57,110	57,110	0	4/10/2014	O	3/31/2015	

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4500243399	XEROX DIRECT DBA FOR XEROX CORP 1654 SOLUTIONS CNTR CHICAGO, IL 60677-1006 US	XEROX EQUIPMENT	E	B	7	N	N	1		291,580	22,728	22,728	0	4/1/2014	O	3/31/2019	
4500243415	OMNI MANAGEMENT GROUP LTD C/O AGENT FOR PS ASSOCIATES 40 BEAVER ST ALBANY, NY 12207-1530 US	LIEBERT UPS REPAIR AND SERVICES	S	2	6	N	N	1		12,304	12,304	12,304	38,545	1/28/2014	O	1/27/2015	
4500243416	ANNESE & ASSOCIATES INC 7 SUTTON PL - STE E BREWSTER, NY 10509-3537 US	CISCO EQUIPMENT - OGS STATE CONTRACT PT-64525	E	B	7	N	3	3		17,864	17,864	17,864	0	3/21/2014	C		3/26/2014
4500243417	HERMAN MILLER INC C/O ALIANZA SERVICES LLC 855 E MAIN AVE ZEELAND, MI 49464-1372 US	FURNITURE - WPO - OGS STATE CONTRACT PC66271	E	B	7	N	N	1		452,754	452,754	452,754	69,876	4/30/2014	C		10/6/2014
4500243465	ASSETWORKS INC 4275 EXECUTIVE SQ - STE 300 LA JOLLA, CA 92037-8408 US	ANNUAL MAXIMUS MAINTENANCE - OGS STATE CONTRACT PT-60412	S	B	7	N	N	1		28,628	0	0	41,981	4/1/2014	O	3/31/2015	
4500243510	DYNTEK SERVICES INC 4440 VON KARMAN AVE - STE 200 NEWPORT BEACH, CA 92660-2011 US	ENTERPRISE STORAGE EQUIPMENT - OGS STATE CONTRACT PT61807 - WPO	E	B	7	N	N	2		317,275	317,275	317,275	0	3/28/2014	C		3/31/2014
4500243511	DYNTEK SERVICES INC 4440 VON KARMAN AVE - STE 200 NEWPORT BEACH, CA 92660-2011 US	ENTERPRISE STORAGE EQUIPMENT - OGS STATE CONTRACT PT61807 - NJ	E	B	7	N	N	2		224,480	224,480	224,480	0	3/28/2014	C		4/22/2014
4500243530	PRESENTATION PRODUCTS INC 632 W 28TH ST - 7TH FL NEW YORK, NY 10001-1117 US	SMARTBOARD INSTALL	E	B	7	N	N	1		20,965	19,920	19,920	2,502	3/27/2014	O	3/26/2015	
4500243558	UNITED FIRE PROTECTION CORP 1 MARK RD KENILWORTH, NJ 07033-1056 US	INSTALLATION OF A FIRE SUPPRESSION SYSTEM AT THE METRO NORTH RAILROAD-GCT STANDBY AND PLM GENERATION PROJECT	C	B		F	N	1		374,034	285,137	285,137	20,135	3/31/2014	C		12/16/2014
4500243617	EXEMPLIS CORP C/O ALIANZA SERVICES LLC 6415 KATELLA AVE - STE 200 CYPRESS, CA 90630-5245 US	FURNITURE-WPO BUILDOUT-OGS STATE CONTRACTS PC63411/PC66258	E	B	7	N	N	1		7,928	7,928	7,928	0	4/30/2014	C		7/16/2014
4500243666	CANON SOLUTIONS AMERICA INC 125 PARK AVE FRNT 2 NEW YORK, NY 10017-5599 US	CANON COLORWAVE 650 - NYC HHC CONTRACT CO-MM-04-09-004	E	B	7	N	N	1		87,477	74,136	74,136	0	4/21/2014	C		5/22/2014

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4500243668	MUTUALINK INC 1269 SOUTH BROAD ST WALLINGFORD, CT 06492-1737 US	ANNUAL MAINTENANCE-MUTUALINK COMMUN EQPT-OGS STATE CONTRACT PT64775	S	B	7	N	N	1		35,845	35,845	35,845	79,058	1/1/2014	C		12/31/2014
4500243718	SYNAPSENSE CORP 340 PALLADIO PKWY - STE 530 FOLSOM, CA 95630-8833 US	UPGRADE SYNAPSOFT 5.X TO 6.X <10K SQFT.	P	B	5	F	N	1		10,975	10,975	10,975	0	3/31/2014	O	3/30/2015	
4500243755	PROFTECH LLC 200 CLEARBROOK RD - STE 177 ELMSFORD, NY 10523-1328 US	2014 WPO OFFICE SUPPLIES	E	M	8	N	4	1		80,000	41,455	41,455	0	4/1/2014	O	3/31/2015	
4500243767	DERIVE TECHNOLOGIES LLC 110 WILLIAM ST - FL 14 NEW YORK, NY 10038-3943 US	HARDWARE - HP EQPT - OGS STATE CONTRACT PT-63224	E	B	7	N	4	5		6,245	6,245	6,245	1,091,909	4/11/2014	C		6/23/2014
4500243791	WESTCON GROUP NORTH AMERICA INC 14850 CONFERENCE CENTER DR CHANTILLY, VA 20151-3844 US	2014 MAINTENANCE BLUE COAT SYSTEMS RACK MOUNTABLE PROXE APPLIANCES	S	B	7	F	N	1		69,876	0	0	3,683,818	6/1/2014	O	4/1/2015	
4500243827	HEIDRICK & STRUGGLES INC 233 S WACKER DR - STE 4200 CHICAGO, IL 60606-6310 US	EXECUTIVE RECRUITMENT SERVICES	P	B		F	N	8		312,500	270,519	270,519	0	4/7/2014	O	4/6/2015	
4500243938	CS BUSINESS SYSTEMS INC 1236 MAIN ST BUFFALO, NY 14209-0069 US	HP BLADE SYSTEM HARDWARE - OGS STATE CONTRACT PT-64150	E	B	7	N	4	6		19,829	19,829	19,829	0	4/18/2014	C		5/2/2014
4500243942	CDW G INC 230 N MILWAUKEE AVE VERNON HILLS, IL 60061-4304 US	PANASONIC TOUGHBOOKS - OGS STATE CONTRACT PT-65147	E	B	7	N	N	4		24,957	24,957	24,957	20,270	5/5/2014	C		6/25/2014
4500243976	HERMAN MILLER INC C/O ALIANZA SERVICES LLC 855 E MAIN AVE ZEELAND, MI 49464-1372 US	FURNITURE - WPO BUILD OUT - OGS STATE CONTRACT PC66271	E	B	7	N	N	1		18,776	16,274	16,274	1,000	4/30/2014	C		7/29/2014
4500244029	GV INDUSTRIES INC 166 SPARROWBUSH RD LATHAM, NY 12110-1900 US	FURNISH DELIVER & INSTALL DOMESTIC HOT WATER BOILER PACKAGE FOR WSWHE-BOCES, 1051 DIX AVENUE, HUDSON FALLS, NY - 12839	C	B	6	N	1	4		50,910	30,775	30,775	0	4/10/2014	O	4/9/2015	
4500244210	EN POINTE TECHNOLOGIES SALES INC 18701 S FIGUEROA ST GARDENA, CA 90248-4506 US	RSA SECURECARE MAINTENANCE - OGS STATE CONTRACT PT-65192	S	B	7	N	N	1		24,908	24,908	24,908	0	1/1/2014	C		6/30/2014
4500244222	PRESIDIO NETWORKED SOLUTIONS INC 10 6TH RD WOBURN, MA 01801-1735 US	2014 MAINTENANCE FOR EXCHANGE 2010 LOAD BALANCING F5 LOCAL TRAFFIC MGR'S	S	B	7	N	N	1		29,433	29,433	29,433	23,549	4/24/2014	O	4/23/2015	

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4500244330	UNITED STEEL PRODUCTS INC 3340 127TH PL FLUSHING, NY 11368-1508 US	NYCT - DOB RAPID ROLL-UP DOORS INSTALLATION @ VARIOUS DEPOTS	C	B		N	N	4		1,081,448	1,002,390	1,002,390	0	4/18/2014	O	4/1/2015	
4500244352	BARCODES INC DBA FOR BARCODES LLC 200 W MONROE ST - STE 1050 CHICAGO, IL 60606-5122 US	BARCODE SCANNERS FOR WPO MAILROOM	E	B	7	F	N	1		7,020	7,020	7,020	4,300	4/16/2014	O	4/15/2017	
4500244391	BARCODES INC DBA FOR BARCODES LLC 200 W MONROE ST - STE 1050 CHICAGO, IL 60606-5122 US	BARCODE SCANNERS TO BE DEPLOYED AT BG AND CEC WAREHOUSES.	E	B	7	F	N	1		22,358	22,358	22,358	221	4/17/2014	O	4/16/2017	
4500244393	O'CONNELL ELECTRIC CO 830 PHILLIPS RD VICTOR, NY 14564 US	TRANSMISSION LINE CLEARANCE REMEDIATION PROJECT (LOW PRIORITY SITES)	C	B		N	N	2		1,619,396	527,487	527,487	0	4/17/2014	C		12/31/2014
4500244404	O'CONNELL ELECTRIC CO 830 PHILLIPS RD VICTOR, NY 14564 US	INSTALLATION & TESTING OF 345KV SHUNT REACTOR - COOPERS CORNER (NYSEG SUBSTATION)	C	B	1	N	N	3		4,316,098	632,280	632,280	0	4/17/2014	O	4/16/2015	
4500244417	ANNESE & ASSOCIATES INC 7 SUTTON PL - STE E BREWSTER, NY 10509-3537 US	STACK MODULES FOR VOIP PROJECT.	E	B	7	N	3	1		21,227	21,227	21,227	9,552,011	4/17/2014	O	4/16/2015	
4500244421	ANNESE & ASSOCIATES INC 7 SUTTON PL - STE E BREWSTER, NY 10509-3537 US	BACKUP BATTERY POWER FOR WPO DATA CLOSETS.	E	B	7	N	3	1		7,974	7,974	7,974	1,382	4/18/2014	O	4/17/2015	
4500244425	PRESENTATION PRODUCTS INC 632 W 28TH ST - 7TH FL NEW YORK, NY 10001-1117 US	SMARTBOARD EQUIPMENT INSTALLATION AT CEC.	E	B	7	N	N	1		20,270	0	0	40,171	4/18/2014	O	4/17/2015	
4500244431	SMARTSHIFT TECHNOLOGIES INC 25 1ST - STE 107 CAMBRIDGE, MA 02141-1826 US	CONSULTING SERVICES - SAP ABAP ANALYSIS	P	C	5	N	N	4		14,900	13,900	13,900	1,989	1/1/2014	C		12/31/2014
4500244432	DLT SOLUTIONS LLC 13861 SUNRISE VALLEY DR - STE 400 HERNDON, VA 20171-6126 US	ANNUAL MAINTENANCE - QUEST SOFTWARE - GSA SCHEDULE GS-35F-4543G	S	B	7	N	N	1		12,463	12,463	12,463	347,373	4/30/2014	O	4/29/2015	
4500244433	CS BUSINESS SYSTEMS INC 1236 MAIN ST BUFFALO, NY 14209-0069 US	HP SERVERS - OGS STATE CONTRACT PT-64150	E	B	7	N	4	6		21,698	21,698	21,698	0	5/5/2014	C		5/16/2014
4500244565	PRESENTATION PRODUCTS INC 632 W 28TH ST - 7TH FL NEW YORK, NY 10001-1117 US	SMARTBOARD EQUIPMENT AND INSTALLATION AT MARCY ECC	E	B	7	N	N	1		23,549	0	0	0	4/23/2014	O	4/22/2015	
4500244691	EN POINTE TECHNOLOGIES SALES INC 18701 S FIGUEROA ST GARDENA, CA 90248-4506 US	ADOBE - VIP (VALUE INCENTIVE PLAN) - OGS STATE CONTACT PT65192	S	B	7	N	N	3		8,640	8,640	8,640	0	4/5/2014	O	4/4/2015	

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4500244695	XPEDX INC DIV INTERNATIONAL PAPER CO 261 RIVER RD CLIFTON, NJ 07014-1551 US	PAPER PRODUCTS - OGS STATE CONTRACT PC-65726	E	B	7	N	N	1		6,900	2,600	2,600	1,648,456	4/29/2014	C		7/1/2014
4500244729	FERGUSON ELECTRIC CONSTRUCTION CO INC 333 ELLICOTT ST BUFFALO, NY 14203-1618 US	PA 302 FIBER OPTIC CABLE INSTALLATION	C	B		N	N	2		65,085	64,864	64,864	162,156	4/28/2014	C		12/1/2014
4500244833	SYSTEMS MANAGEMENT PLANNING INC 75 GOODWAY DR - STE 1 ROCHESTER, NY 14623-3000 US	ANNUAL MAINTENANCE - NETSERVERS - OGS STATE CONTRACT PT-60947	S	B	7	N	3	1		23,544	23,544	23,544	5,342,169	5/1/2014	O	4/30/2015	
4500244870	CARDIAC LIFE PRODUCTS INC PO BOX 25755 ROCHESTER, NY 14625-0755 US	AED PROGRAM MANAGEMENT SERVICES	P	B	7	N	3	1		18,018	18,018	18,018	0	5/5/2014	O	5/4/2015	
4500244895	O'CONNELL ELECTRIC CO 830 PHILLIPS RD VICTOR, NY 14564 US	ST. LAWRENCE BARR BREAKER REPLACEMENT PROJECT	C	B		N	N	2		10,129,333	577,322	577,322	0	5/1/2014	O	12/31/2015	
4500244936	GLOBALSTAR INC GLOBALSTAR USA LLC 461 S MILPITAS BLVD MILPITAS, CA 95035-5485 US	GLOBALSTAR SERVICE CONTRACT RENEWAL.	S	B	7	F	N	1		30,330	28,948	28,948	0	5/2/2014	O	4/14/2015	
4500244971	NEW YORK STATE TECHNOLOGY ENTERPRISE CORP 500 AVERY LN - STE A ROME, NY 13441-4236 US	TESTING OF MICROWAVE SYSTEM ST. LAWRENCE - CEC	P	B	7	N	N	1		80,189	40,018	40,018	20,394	5/2/2014	O	5/1/2015	
4500245000	ABB INC 940 MAIN CAMPUS DR RALEIGH, NC 27606-5211 US	SUPPLY F/D THIRTY-TWO (32) LEXAN COVERS FOR THE RMAX BREAKERS ST. LAWRENCE, AND INSTALLATION SUPERVISION OF 125 VDC POWER SUP.	E	1	5	F	N	1		11,885	9,896	9,896	69,895	5/5/2014	C		10/1/2014
4500245041	OPAL-RT TECHNOLOGIES INC 1751 RICHARDSON - STE 2525 MONTREAL, QC H3K 1G6 CA	REAL-TIME DIGITAL SIMULATOR	E	B		F	N	2		347,373	0	0	19,601	5/6/2014	O	4/30/2015	
4500245065	WESTCON GROUP NORTH AMERICA INC 14850 CONFERENCE CENTER DR CHANTILLY, VA 20151-3844 US	2014 BLUE COAT SYSTEMS MAINTENANCE	S	B	7	N	N	1		21,317	21,317	21,317	12,180	6/1/2014	O	5/31/2015	
4500245075	WESTCON GROUP NORTH AMERICA INC 14850 CONFERENCE CENTER DR CHANTILLY, VA 20151-3844 US	2014 BLUE COAT SYSTEMS MAINTENANCE	S	B	7	N	N	1		41,622	41,622	41,622	0	3/28/2014	O	3/27/2015	

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4500245079	ZONES INC 1102 15TH ST, SW, STE 102 AUBURN, WA 98001-9605 US	ANNUAL MAINTENANCE - TELECOME SYSTEM SERVICES FOR MTS CALL DETAIL RECORD	S	C	5	N	N	3		12,475	12,475	12,475	0	5/1/2014	O	4/30/2015	
4500245164	PERRAS CONSTRUCTION SERVICES INC 1909 STATE HIGHWAY 420 MASSENA, NY 13662-3344 US	DIESEL GENERATORS MASSENA SUBSTATION	E	B		N	N	5		1,781,836	133,380	133,380	0	5/8/2014	O	6/1/2015	
4500245176	KRATOS PUBLIC SAFETY & SECURITY SOLUTIONS INC 4820 EASTGATE MALL - STE 200 SAN DIEGO, CA 92121-1993 US	UPGRADE TO NYPA SECURITY ACCESS CONTROL SYSTEM.	S	B	7	F	N	1		216,208	54,052	54,052	11,470	5/9/2014	O	5/8/2015	
4500245184	O'CONNELL ELECTRIC CO 830 PHILLIPS RD VICTOR, NY 14564 US	MASSENA SUBSTATION 765KV TRANSFORMER REPLACEMENT PROJECT	C	B		N	N	2		6,636,949	1,294,780	1,294,780	0	5/9/2014	O	5/8/2016	
4500245221	DELL MARKETING SLG SALES INC PO BOX 149254 AUSTIN, TX 78714 US	DELL VENUE 11 PRO LAPTOPS - OGS STATE CONTRACT PT-65350	E	B	7	N	N	1		66,113	66,113	66,113	0	5/28/2014	C		5/29/2014
4500245268	CS BUSINESS SYSTEMS INC 1236 MAIN ST BUFFALO, NY 14209-0069 US	HP SERVERS - OGS STATE CONTRACT - PT-64150	E	B	7	N	4	4		39,088	39,088	39,088	1,951	5/26/2014	C		6/18/2014
4500245269	HEWLETT PACKARD CO ORDER ENTRY 13831 CHALCO VALLEY PARKWAY OMAHA, NE 68138 US	HP NOTEBOOK - OGS STATE CONTRACT - PT-65350 - BIG DEAL 90967357	E	B	7	N	N	1		9,945	9,945	9,945	10,299	6/6/2014	C		6/16/2014
4500245312	COOLING TOWER DEPOT INC 651 CORPORATE CIR - STE 206 GOLDEN, CO 80401-5653 US	FLYNN: EMERGENCY REPAIRS ON "A" CELL OF FLYNN COOLING TOWER STRUCTURE	P	2	8	N	N	1		89,000	68,606	68,606	350,000	5/13/2014	C		5/27/2014
4500245358	RIGHTSTAR SYSTEMS DBA FOR RIGHTSTAR INC 1951 KIDWELL DR STE 110 VIENNA, VA 22182-3930 US	RIGHTSTAR FOOTPRINTS CONSULTING SERVICES	P	B	7	F	N	1		117,000	47,105	47,105	126,685	5/14/2014	O	5/13/2015	
4500245392	JOHNSON CONTROLS INC 130 JOHN MUIR DR - STE 100 AMHERST, NY 14228-1139 US	PROVIDE AND INSTALL SECURITY EQUIPMENT AT ST. LAWRENCE POWER PROJECT (STL) OGS STATE CONTRACT PT-63103	E	B	7	N	N	1		19,601	0	0	86,577	5/15/2014	C		12/31/2014
4500245431	AEON NEXUS CORP 174 GLEN ST GLENS FALLS, NY 12801-3526 US	CRM UPGRADE PROFESSIONAL SERVICES	P	B	7	N	4	1		26,180	14,000	14,000	0	5/15/2014	O	5/14/2015	
4500245492	MATHWORKS INC 3 APPLE HILL DR NATICK, MA 01760-2098 US	MATHWORKS MATLAB LICENSING W/ONE YEAR MAINTENANCE	E	C	6	N	N	5		8,600	8,600	8,600	0	5/26/2014	C		7/14/2014

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4500245542	HEWLETT PACKARD CO ORDER ENTRY 13831 CHALCO VALLEY PARKWAY OMAHA, NE 68138 US	WPO 2014 DESKTOP LIFE CYCLE - OGS STATE CONTRACT PT-665350 BIG DEAL NUMBER 90967357	E	B	7	N	N	1		121,400	121,400	121,400	0	6/6/2014	C		6/10/2014
4500245543	HEWLETT PACKARD CO ORDER ENTRY 13831 CHALCO VALLEY PARKWAY OMAHA, NE 68138 US	NIA 2014 DESKTOP LIFE CYCLE - OGS STATE CONTRACT PT-665350 BIG DEAL NUMBER 90967357	E	B	7	N	N	1		36,190	36,190	36,190	0	6/6/2014	C		6/25/2014
4500245575	LANDSCAPE CONCEPTS DBA FOR COQUI CREATIONS CORP 253 ROUTE 100 SOMERS, NY 10589-3203 US	LANDSCAPING SERVICES	S	C		N	N	1		80,000	68,530	68,530	0	5/26/2014	C		12/31/2014
4500245599	PRESENTATION PRODUCTS INC 632 W 28TH ST - 7TH FL NEW YORK, NY 10001-1117 US	SMARTBOARD EQUIPMENT NYPA WPO-1557 APP DEVELOPMENT LAB	E	B	7	N	N	1		16,256	16,256	16,256	17,725	5/20/2014	O	5/19/2015	
4500245604	HEWLETT PACKARD CO ORDER ENTRY 13831 CHALCO VALLEY PARKWAY OMAHA, NE 68138 US	STL 2014 DESKTOP LIFE CYCLE - OGS STATE CONTRACT PT-665350 BIG DEAL NUMBER 90967357	E	B	7	N	N	1		19,240	19,240	19,240	0	5/26/2014	C		6/2/2014
4500245620	HAROLD MOORE & ASSOCIATES INC 143 KINGFISHER CIR POOLER, GA 31322-9763 US	DESIGN REVIEW FOR RMNPP GENERATOR STEP UP TRANSFORMER	P	C	5	F	N	2		14,250	12,299	12,299	22,750	5/21/2014	C		6/24/2014
4500245646	MILLENNIUM MAINTENANCE & ELECTRICAL CONTRACTORS INC 64 FREEMAN ST BROOKLYN, NY 11222-1328 US	LIGHTING UPGRADE AT ROBERT F. KENNEDY (RFK) BRIDGE SERVICE BUILDING	C	2	8	N	4	2		28,995	18,696	18,696	42,782	6/15/2014	C		12/31/2014
4500245650	DYNAMIC MECHANICAL CONTRACTORS INC 15 WARREN ST HACKENSACK, NJ 7601 US	HVAC UPGRADE ONE POLICE PLAZA	C	1	1	F	N	1		350,000	0	0	0	5/23/2014	O	5/22/2015	
4500245652	LPCIMINELLI CONSTRUCTION CORP 2421 MAIN ST BUFFALO, NY 14214-2393 US	COMPLETE THE SCHOLLKOPF IMPROVEMENT	C	2	8	N	N	1		215,058	88,373	88,373	562,000	5/27/2014	C		12/5/2014
4500245700	STRUCTURE WORKS INC 43 MILL ST DOVER PLAINS, NY 12522-5123 US	FIRE ALARM SERVICES	S	2	8	N	1	1		100,000	13,423	13,423	0	7/8/2014	O	7/7/2015	

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4500245714	HEWLETT PACKARD CO ORDER ENTRY 13831 CHALCO VALLEY PARKWAY OMAHA, NE 68138 US	BG 2014 DESKTOP LIFE CYCLE - OGS STATE CONTRACT PT-665350 BIG DEAL NUMBER 90967357	E	B	7	N	N	1		14,545	14,545	14,545	2,897,151	6/9/2014	C		6/10/2014
4500245715	HEWLETT PACKARD CO ORDER ENTRY 13831 CHALCO VALLEY PARKWAY OMAHA, NE 68138 US	CEC 2014 DESKTOP LIFE CYCLE - OGS STATE CONTRACT PT-665350 BIG DEAL NUMBER 90967357	E	B	7	N	N	1		9,620	9,620	9,620	0	5/27/2014	C		6/23/2014
4500245760	FLOW SCIENCE INC 683 HARKLE RD - STE A SANTA FE, NM 87505-4750 US	MAINTENANCE - CFD (COMPUTATIONAL FLUID DYNAMIC SOFTWARE	S	C	8	N	N	3		19,200	19,200	19,200	0	5/15/2014	O	5/14/2015	
4500245762	DELL MARKETING SLG SALES INC PO BOX 149254 AUSTIN, TX 78714 US	MONITORS, PROJECTORS - OGS STATE CONTRACT PT-65340	E	B	7	N	N	1		8,043	8,043	8,043	0	5/30/2014	C		6/4/2014
4500245763	OPEN TEXT INC SUBSIDIARY FOR OPEN TEXT CORP 600 MONTGOMERY ST - STE 1800 SAN FRANCISCO, CA 94111-2720 US	ANNUAL LIVELINK MAINTENANCE - GSA SCHEDULE GS-35F-0480J	S	B	7	N	N	1		87,337	87,337	87,337	0	7/1/2014	O	6/30/2015	
4500245764	DLT SOLUTIONS LLC 13861 SUNRISE VALLEY DR - STE 400 HERNDON, VA 20171-6126 US	CONSULTING SERVICES - QUEST - GSA SCHEDULE GS-35F-4543G	P	B	7	N	N	1		47,333	29,608	29,608	78,000	7/1/2014	O	5/25/2015	
4500245765	CS BUSINESS SYSTEMS INC 1236 MAIN ST BUFFALO, NY 14209-0069 US	HP SERVER - OGS STATE CONTRACT PT-64150	E	B	7	N	4	4		7,670	7,670	7,670	9,970	6/9/2014	C		6/16/2014
4500245788	BRYNDAN ASSOCIATES INC 52 ICHABOD CRANE LN BELLE MEAD, NJ 08502-4921 US	TECHNICAL DESIGN REVIEW ASSISTANCE WEST POINT PARTNERS HVDC PROJECT	P	2	8	N	N	1		22,750	0	0	441	5/27/2014	O	5/25/2015	
4500245791	QUINTAL CONTRACTING CORP PO BOX 427 ISLIP, NY 11751-0427 US	FLYNN EAST PROPERTY ACCESS ROAD	C	B		N	1	5		194,180	151,398	151,398	36,787	5/27/2014	O	3/30/2015	
4500245917	WEBTRENDS INC 555 SW OAK ST - STE 300 PORTLAND, OR 97204-1775 US	WEBTRENDS LICENSING AND SERVICES	S	2	8	N	N	1		24,000	24,000	24,000	173,004	6/9/2014	O	6/8/2015	
4500245932	TRC ENVIRONMENTAL CORP 21 GRIFFIN RD N WINDSOR, CT 06095-1512 US	RATA AUDIT FOR SENY PLANTS	S	B		F	N	2		663,500	101,500	101,500	8,020	5/20/2014	O	12/31/2018	
4500245934	CS BUSINESS SYSTEMS INC 1236 MAIN ST BUFFALO, NY 14209-0069 US	CISCO EQUIPMENT - OGS STATE CONTRACT PT-64525	E	B	7	F	4	3		132,150	132,150	132,150	90,325	6/3/2014	C		6/4/2014

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4500245948	ERNST & YOUNG LLP 5 TIMES SQ NEW YORK, NY 10036-6540 US	THIRD PARTY AUDITOR TO MANAGE INTERNAL AUDIT DEPARTMENT	P	C	5	N	N	3		3,871,600	974,449	974,449	5,263,986	4/28/2014	O	6/30/2015	
4500245971	WINSHUTTLE LLC 20021 120TH AVE NE - STE 101 BOTHELL, WA 98011-8241 US	WINSHUTTLE TRANSACTION SOFTWARE AND MAINTENANCE	E	C	6	N	N	3		10,017	10,017	10,017	45,000	6/6/2014	C		10/21/2014
4500245973	ANNESE & ASSOCIATES INC 7 SUTTON PL - STE E BREWSTER, NY 10509-3537 US	CISCO SWITCHES AND PARTS	E	B	7	N	3	1		69,395	69,395	69,395	0	6/2/2014	O	6/1/2015	
4500246016	LEAD DATA TECHNOLOGIES INC 55 OLD TURNPIKE RD STE 604 NANUET, NY 10954 US	CHECKPOINT ANNUAL MAINTENANCE - OGS STATE CONTRACT PT-61429	S	B	7	N	N	1		262,488	262,488	262,488	0	6/14/2014	O	6/13/2015	
4500246033	ENOSERV INC 7780 E 106TH ST TULSA, OK 74133-6843 US	ANNUAL MAINTENANCE - RTS	S	2	8	N	N	1		8,802	8,802	8,802	0	6/2/2014	O	6/1/2015	
4500246100	SIEMENS INDUSTRY INC 685 EAST MIDDLEFIELD RD MOUNTAIN VIEW, CA 94043-4045 US	SERVICES FOR PORTING OF POER TG SCADA ICCP SOFTWARE.	P	1	8	F	N	1		78,000	0	0	3,029	6/4/2014	O	6/3/2015	
4500246117	ACUITY BRANDS LIGHTING INC 1 LITHONIA WAY CONYERS, GA 30012-3957 US	FURNISH & DELIVER SAMPLE LIGHTING EQUIPMENT	E	1	5	F	N	1		9,970	0	0	15,905	6/23/2014	O	6/22/2015	
4500246174	ACUITY BRANDS LIGHTING INC 1 LITHONIA WAY CONYERS, GA 30012-3957 US	HELP PROGRAM - F/I SAMPLE LIGHTING FIXTURES	E	1	5	F	N	1		9,970	9,529	9,529	0	6/5/2014	C		9/1/2014
4500246202	RIGHTSTAR SYSTEMS DBA FOR RIGHTSTAR INC 1951 KIDWELL DR STE 110 VIENNA, VA 22182-3930 US	RIGHTSTAR FOOTPRINTS CONSULTING SERVICES, REAL ESTATE	P	B	7	F	N	1		213,926	177,139	177,139	34,774	6/6/2014	O	6/5/2015	
4500246229	TECHNICAL BUILDING SERVICES INC 12 E COMMERCE DR BALLSTON SPA, NY 12020 US	ON CALL BUILDING AUTOMATION MANAGEMENT & CONTROL SVC-OGS STATE PT65558	S	B	7	N	N	1		200,000	26,996	26,996	79,411	5/15/2014	O	5/14/2015	
4500246275	XEROX DIRECT DBA FOR XEROX CORP 1654 SOLUTIONS CNTR CHICAGO, IL 60677-1006 US	MAINTENANCE FOR THE IGEN 3-OGS STATE CONTRACT PT-59176	S	B	7	N	N	1		24,000	15,980	15,980	0	1/1/2014	C		12/31/2014
4500246279	DIDONATO ASSOCIATES ENGINEERING & ARCHITECTURE PC 689 MAIN ST BUFFALO, NY 14203-1404 US	2014 BIENNIAL INSPECTION OF NIAGARA POWER PROJECT BRIDGES	P	B		N	N	4		197,125	106,800	106,800	0	6/12/2014	O	2/27/2015	
4500246380	ABB INC 940 MAIN CAMPUS DR RALEIGH, NC 27606-5211 US	F& D ONE 800 MVA AUTO-TRANSFORMER	E	B		F	N	9		5,263,986	0	0	0	6/20/2014	O	6/30/2015	

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4500246425	PRESIDIO NETWORKED SOLUTIONS INC 10 6TH RD WOBURN, MA 01801-1735 US	F5 LOAD BALANCING LOCAL TRAFFIC MANAGER FOR MAXIMO/SHAREPOINT	S	B	7	F	N	1		196,315	151,315	151,315	0	6/13/2014	O	6/10/2015	
4500246464	DYNTEK SERVICES INC 4440 VON KARMAN AVE - STE 200 NEWPORT BEACH, CA 92660-2011 US	2014 DYNTEK MAINTENANCE	S	B	7	F	N	1		250,795	250,795	250,795	21,200	6/13/2014	O	5/1/2015	
4500246499	S & L ELECTRIC INC 5313 STATE HIGHWAY 56 COLTON, NY 13625 US	COMPLETE ALL WORK RELATED TO START-UP, COMMISSIONING AND TESTING OF EMERGENCY GENERATORS AT RYAN & DULEY SUBSTATIONS.	C	2	5	N	N	1		14,547	14,547	14,547	1,194,332	6/13/2014	C		9/5/2014
4500246514	HEWLETT PACKARD CO ORDER ENTRY 13831 CHALCO VALLEY PARKWAY OMAHA, NE 68138 US	PRINTER EQUIPMENT - GSA SCHEDULE - GS-35F-446AA - COOP	E	B	7	N	N	1		7,684	7,684	7,684	201,005	6/23/2014	C		7/1/2014
4500246515	HEWLETT PACKARD CO ORDER ENTRY 13831 CHALCO VALLEY PARKWAY OMAHA, NE 68138 US	24 INCH MONITORS - OGS STATE CONTRACT PT-65350-NYAG14	E	B	7	N	N	1		13,510	13,510	13,510	0	7/7/2014	C		7/15/2014
4500246560	APPLE COMPUTER INC STATE & LOCAL GOVERNMENT SALES 2420 RIDGEPOINT DR AUSTIN, TX 78754 US	APPLEMAC PRO W/MAINTENANCE AND W/ACCESSORIES-OGS STATE CONTRACT PT65428	E	B	7	N	N	1		7,780	4,751	4,751	4,300	6/27/2014	C		7/7/2014
4500246578	SIMMERS CRANE DESIGN & SERVICES CO 1134 SALEM PKWY SALEM, OH 44460-1063 US	150T GANTRY CRANE INSPECTION - NIA	S	B		F	N	1		176,461	160,556	160,556	0	6/17/2014	O	6/16/2015	
4500246608	MICROSOFT CORP 3000 BAYPORT DR - STE 480 TAMPA, FL 33607 US	MS PREMIER SUPPORT - OGS STATE CONTRACT PS-65963	S	B	7	N	N	1		90,330	90,330	90,330	51,600	6/28/2014	O	6/27/2015	
4500246643	UTILITY SYSTEMS DIVISION DBA FOR MAGNETIC INSTRUMENTATION 8431 CASTLEWOOD DR INDIANAPOLIS, IN 46250-1534 US	DIGITAL FAULT RECORDERS FOR NIAGARA	E	1	8	F	N	1		67,300	32,526	32,526	19,841	6/18/2014	O	3/31/2015	
4500246651	SCIPAR INC 26 W SPRING ST - PO BOX 400 BUFFALO, NY 14231-0400 US	SCIPAR UPGRADING OF RELIABLE COMMUNICATIONS PROCESSOR SOFTWARE	P	1	8	N	N	1		79,411	0	0	0	6/18/2014	O	6/17/2015	

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4500246751	EMELEC ELECTRIC CORP 4235 KATONAH AVE BRONX, NY 10470-2211 US	LIGHTING REMEDIATION WORK AT NYPD FORENSIC INVESTIGATION DIVISION LABORATORY.	C	2	8	N	N	1		38,030	38,030	38,030	1,897,203	6/23/2014	C		12/16/2014
4500246770	RICOH USA INC 2500 WESTCHESTER AVE - STE 113 PURCHASE, NY 10577-2515 US	RICOH COPIERS	E	B	7	N	N	1		51,746	51,746	51,746	58,985	6/20/2014	C		7/30/2014
4500246775	EN POINTE TECHNOLOGIES SALES INC 18701 S FIGUEROA ST GARDENA, CA 90248-4506 US	COREL ROXIO ANNUAL MAINTENANCE - OGS STATE CONTRACT PT-65192	S	B	7	N	N	2		9,009	9,009	9,009	49,350	5/29/2014	O	5/28/2015	
4500246776	THE HON COMPANY C/O BUSINESS ENVIRONMENTS LLC 200 OAK ST MUSCATINE, IA 52761-4313 US	FURNITURE - OGS STATE CONTRACT PC 66275	E	B	7	N	N	1		9,153	9,153	9,153	8,638	6/30/2014	C		7/29/2014
4500246832	PRESENTATION PRODUCTS INC 632 W 28TH ST - 7TH FL NEW YORK, NY 10001-1117 US	AUDIO VISUAL EQUIPMENT RELOCATION	S	2	8	N	N	1		21,200	0	0	0	6/23/2014	O	6/22/2015	
4500246837	G C ZARNAS CO INC NY 850 JENNINGS ST BETHLEHEM, PA 18017-7010 US	PAINTING OF TWO (2) LONG SAULT DAM GANTRY CRANES - ST. LAWRENCE POWER PROJECT	C	B		F	N	3		1,645,690	451,358	451,358	10,341	6/24/2014	O	12/31/2015	
4500246851	NATIONAL ENVIRONMENTAL SAFETY CO 1217 38TH AVE LONG ISLAND CITY, NY 11101-6032 US	REMOVAL OF ACM/PCB LIGHT FIXTURES AND BALLASTS AT PS 99M	C	B		N	N	5		574,230	373,225	373,225	0	7/17/2014	O	2/15/2015	
4500246871	CITY OF WHITE PLAINS DEPT OF PARKING 7-11 S BROADWAY WHITE PLAINS, NY 10601-3520 US	PARKING PERMITS - NYPA EMPLOYEES	E	C	8	N	N	3		113,500	113,500	113,500	0	7/1/2014	O	6/30/2015	
4500246910	XPEDX INC DIV INTERNATIONAL PAPER CO 261 RIVER RD CLIFTON, NJ 07014-1551 US	PAPER PRODUCTS - OGS STATE CONTRACT PC-65726	E	B	7	N	N	1		6,900	2,600	2,600	3,443	6/30/2014	C		9/15/2014
4500246916	LEAD DATA TECHNOLOGIES INC 55 OLD TURNPIKE RD STE 604 NANUET, NY 10954 US	LEAD DATA CHECKPOINT FIREWALL MAINTENANCE	S	B	7	N	N	1		23,585	23,585	23,585	0	6/25/2014	O	6/24/2015	
4500246922	TAG SOLUTIONS LLC 12 ELMWOOD RD - STE 1 ALBANY, NY 12204-2425 US	PENETRATION TESTING	S	B	7	N	N	1		51,600	0	0	80,320	6/25/2014	O	6/24/2015	
4500246956	NICHOLS LONG & MOORE CONSTRUCTION CORP 149 GUNNVILLE RD LANCASTER, NY 14086-9017 US	TRANSFORMER BAY 3 FOUNDATION CONCRETE REPAIRS - NIA	C	B		N	N	2		116,402	96,561	96,561	14,836	6/26/2014	C		12/30/2014

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4500246970	SYSTEMS MANAGEMENT PLANNING INC 75 GOODWAY DR - STE 1 ROCHESTER, NY 14623-3000 US	ANNUAL MAINTENANCE VMWARE	S	2	6	N	3	1		11,283	11,283	11,283	0	6/8/2014	O	6/7/2015	
4500246997	KAPSCH TRAFFICCOM USA INC 4256 HACIENDA DR - STE 100 PLEASANTON, CA 94588-8595 US	NYCT-WIRELESS CONTROL OF RAIL HEATERS, PH-IV	E	B		F	N	1		1,897,203	0	0	103,000	6/26/2014	O	6/25/2015	
4500247016	CANDELA SYSTEMS CORP 148 ROUTE 202 SOMERS, NY 10589-1606 US	LIGHTING INSTALLATION - PS99M	C	B		N	4	1		195,615	136,630	136,630	41,175	7/15/2014	O	2/15/2015	
4500247042	MILLENNIUM MAINTENANCE & ELECTRICAL CONTRACTORS INC 64 FREEMAN ST BROOKLYN, NY 11222-1328 US	TIFFANY CENTRAL WAREHOUSE LIGHTING UPGRADE	C	B		N	4	5		86,600	37,250	37,250	275,000	10/20/2014	O	10/19/2015	
4500247060	WINSTON & STRAWN LLP 1700 K ST NW WASHINGTON, DC 20006-3817 US	LEGAL SERVICES RELATED TO THE NY TRANSMISSION OWNERS COMMITTEE	L	1	9	F	N	1		130,000	33,706	33,706	5,348	7/1/2014	O	6/30/2015	
4500247113	ONAN CORP PO BOX 99337 CHICAGO, IL 60693-9337 US	COMMISSIONING OF TWO DIESEL GENERATORS AT RYAN AND DULEY SUBSTATIONS	E	2	8	F	N	1		8,638	0	0	0	6/30/2014	O	5/31/2015	
4500247118	GLOBAL INDUSTRIES INC C/O BELL YORKTOWN INC 17 W STOW RD MARLTON, NJ 08053-3116 US	CHAIRS - OGS STATE CONTRACT PC-66261	E	B	7	N	N	1		12,043	12,043	12,043	0	7/31/2014	C		8/22/2014
4500247119	QUALYS INC 1600 BRIDGE PKWY REDWOOD CITY, CA 94065 US	ANNUAL QUALYSGUARD MAINTENANCE	S	C	6	N	N	4		10,341	0	0	8,028	4/25/2014	O	4/24/2015	
4500247120	NFRASTRUCTURE DBA FOR E.NFRASTRUCTURE TECH INC 5 ENTERPRISE AVE CLIFTON PARK, NY 12065-3423 US	RSA TOKENS - OGS STATE CONTRACT PT-60953	E	B	7	F	N	1		12,774	12,774	12,774	1,679,963	7/1/2014	C		7/29/2014
4500247121	HEWLETT PACKARD CO ORDER ENTRY 13831 CHALCO VALLEY PARKWAY OMAHA, NE 68138 US	HP NOTEBOOKS, CASES ,MAINTENANCE - OGS STATE CONTRACT (PT65350-NYAG14)	E	B	7	F	N	1		20,310	20,310	20,310	88,260	7/28/2014	C		8/19/2014
4500247122	HEWLETT PACKARD CO ORDER ENTRY 13831 CHALCO VALLEY PARKWAY OMAHA, NE 68138 US	HP DESIGNJET W/ACCESSORIES/MAINTENANCE-GSA SCHEDULE	E	B	7	N	N	1		19,350	15,907	15,907	25,648	7/17/2014	C		7/22/2014

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4500247208	USABLENET INC 101 AVENUE OF THE AMERICAS NEW YORK, NY 10013 US	ANNUAL MAINTENANCE - USABLENET ASSISTIVE	S	B	6	N	N	3		5,453	5,453	5,453	0	7/21/2014	O	7/20/2015	
4500247349	JAMESON ROOFING CO INC 3761 E LAKE RD DUNKIRK, NY 14048-9738 US	ROOF REPLACEMENT FOR POWER VISTA BUILDING AND OVERPASS	C	B		N	N	1		631,000	550,680	550,680	28,000	7/9/2014	C		12/4/2014
4500247382	GOTHAM GOVERNMENT SOLUTIONS LLC 1 PARAGON DR STE 200 MONTVALE, NJ 07645-1728 US	NETSCALER VMWARE IMPLEMENTATION SERICES - GSA SCHEDULE GS-35F-060AA	S	B	7	N	N	1		14,836	0	0	0	7/9/2014	O	7/8/2015	
4500247426	B & H PHOTO VIDEO INC 420 NINTH AVE NEW YORK, NY 10001 US	VARIOUS CAMERA AND COMPUTER EQUIPMENT	E	2	1	N	N	1		31,675	31,675	31,675	0	7/11/2014	C		8/13/2014
4500247437	SIEMENS INDUSTRY INC 10900 WAYZATA BLVD - STE 400 MINNETONKA, MN 55305-5602 US	ANNUAL SCADA MAINTENANCE	S	1	8	N	N	1		511,125	408,125	408,125	0	1/1/2014	C		12/31/2014
4500247470	NYS INDUSTRIES FOR THE DISABLED INC 11 COLUMBIA CIR ALBANY, NY 12203-5156 US	MAINTENANCE SERVICE FOR THE UPKEEP OF THE LAWN AND MEADOW AREAS OF THE AUTHORITY'S NIAGARA POWER PROJECT	S	B		N	N	5		64,550	23,375	23,375	0	7/14/2014	O	7/13/2015	
4500247486	A-1 FIRST CLASS - VIKING MOVING & STORAGE INC 156 HINSDALE ST BROOKLYN, NY 11207-3302 US	RELOCATION SERVICES	S	B	8	N	N	5		275,000	0	0	137,902	7/1/2014	O	6/30/2019	
4500247488	ALIANZA SERVICES LLC 74 N BROADWAY - 2ND FL SOUTH NYACK, NY 10960-2628 US	FURNITURE - ST. LAWRENCE - OGS STATE CONTRACT PC-66235	E	B	7	N	4	1		5,348	0	0	0	8/4/2014	C		9/17/2014
4500247522	EXPEDITE VIDEO CONFERENCING SERVICES INC 325 DUFFY AVE HICKSVILLE, NY 11801-3644 US	POLYCOM VIDEO CONFERENCE END POINTS EQUIPMENT AND MAINTENANCE	E	B	7	N	N	1		79,963	79,963	79,963	0	7/14/2014	O	7/13/2015	
4500247532	HELBERG ELECTRICAL SUPPLY LLC 200 W SUNRISE HWY FREEPORT, NY 11520-3365 US	ELECTRICAL SUPPLIES FOR FLYNN MERCHANT OPERATIONS EMERGENT UPGRADE PROJECT - RTU	E	B		N	4	2		19,090	19,090	19,090	443,497	7/14/2014	C		12/1/2014
4500247545	HEWLETT PACKARD CO ORDER ENTRY 13831 CHALCO VALLEY PARKWAY OMAHA, NE 68138 US	HP NOTEBOOKS, CASES ,MAINTENANCE - OGS STATE CONTRACT (PT65350-NYAG14)	E	B	7	N	N	1		8,124	96	96	0	8/11/2014	C		8/11/2014

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4500247579	KAPSCH TRAFFICCOM USA INC 2855 PREMIERE PKWY DULUTH, GA 30097-5017 US	NYCT - WIRELESS CONTROL OF 3-RD RAIL HEATERS, PH - IV	E	B		F	N	1		1,897,203	217,240	217,240	0	7/10/2014	O	7/9/2015	
4500247626	THYSSENKRUPP ELEVATOR CORP 59 OTIS ST WEST BABYLON, NY 11704-1415 US	ELEVATOR SERVICES - OGS STATE CONTRACT CMU61AA	S	B	7	N	N	1		122,400	34,140	34,140	969,836	7/1/2014	O	6/30/2015	
4500247673	URS CORP - NY 257 W GENESEE ST - STE 400 BUFFALO, NY 14202-2657 US	STORMWATER POLLUTION PREVENTION PLAN INSPECTIONS DURING INSTALLATION OF THE 345KV SHUNT AT COOPERS CORNERS.	A	2	8	N	N	1		26,250	602	602	0	8/1/2014	O	7/31/2015	
4500247683	WALDNERS BUSINESS ENVIRONMENTS 401 THEODORE FREMD AVE RYE, NY 10580 US	FURNITURE - OGS SATE CONTRACT - PC66325	E	B	7	N	3	1		6,882	6,882	6,882	7,019,700	8/25/2014	C		11/25/2014
4500247714	G4S TECHNOLOGY LLC DBA FOR G4S TECH HOLDINGS USA INC 1200 LANDMARK CTR - STE 1300 OMAHA, NE 68102-1916 US	SITE SECURITY UPGRADES AT COOPERS CORNERS DURING THE INSTALLATION THE 345KV SHUNT REACTOR PROJECT	A	2	8	F	N	1		28,000	0	0	115,792	8/1/2014	O	7/1/2015	
4500247715	WALDNERS BUSINESS ENVIRONMENTS 401 THEODORE FREMD AVE RYE, NY 10580 US	FURNITURE - OGS STATE CONTRACT PC-66325	E	B	7	N	3	1		15,384	15,384	15,384	0	8/7/2014	C		11/25/2014
4500247716	LUMENSION SECURITY INC 8660 E HARTFORD DR - STE 300 SCOTTSDALE, AZ 85255-2584 US	ANNUAL MAINTENANCE - LUMENSION PATCH & REMEDIATION SUBSCRIPTION	S	C	6	N	N	3		7,056	7,056	7,056	4,500	7/29/2014	O	7/28/2015	
4500247718	PCNATION DBA FOR OFFICENATION 500 CENTRAL AVE NORTHFIELD, IL 60093-3047 US	VIDEO CARD	E	C	6	F	N	6		13,874	13,874	13,874	0	7/24/2014	C		7/28/2014
4500247764	SOLAR LIBERTY ENERGY SYSTEMS INC 6500 SHERIDAN DR - STE 120 BUFFALO, NY 14221-4845 US	WARRANTY AND REPAIR OF PHOTOVOLTAIC PANELS AT NFTA GARAGE	S	1	8	N	N	1		16,101	16,101	16,101	1,566	7/21/2014	C		9/30/2014
4500247784	ALTRAN SOLUTIONS CORP 1 ADVANTAGE CT - STE A BORDENTOWN, NJ 08505-9630 US	DEVELOP HIGH ENERGY PIPING SYSTEM INSPECTION AND ASSESSMENT PROGRAMS 500 MW AND FLYNN	P	B		N	N	2		275,805	137,903	137,903	25,650	7/24/2014	C		12/19/2014
4500247944	CS BUSINESS SYSTEMS INC 1236 MAIN ST BUFFALO, NY 14209-0069 US	SERVER EQPT - OGS STATE CONTRACT PT-64150	E	B	7	N	4	4		15,774	15,774	15,774	1,371,346	8/11/2014	C		8/14/2014

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4500247950	UTILITY SYSTEMS DIVISION DBA FOR MAGNETIC INSTRUMENTATION 8431 CASTLEWOOD DR INDIANAPOLIS, IN 46250-1534 US	DIGITAL FAULT RECORDER MASTER STATION	E	1	8	F	N	1	*	11,055	11,055	11,055	23,020	7/25/2014	C		11/1/2014
4500247951	AIRMATIC COMPRESSOR SYSTEMS INC 700 WASHINGTON AVE CARLSTADT, NJ 07072-3007 US	FURNISH & DELIVER HVAC & ELECRTRICAL LIGHTING INSTALLATION - HELP PROGRAM	C	B		N	N	8		558,239	114,742	114,742	168,925	8/1/2014	O	7/31/2015	
4500247993	THE DESMOND HOTEL & CONFERENCE CENTER 660 ALBANY-SHAKER RD ALBANY, NY 12211 US	CONFERENCE FACILITY	E	C		N	N	5		21,360	21,360	21,360	0	9/16/2014	C		9/20/2014
4500247994	DELL MARKETING SLG SALES INC PO BOX 149254 AUSTIN, TX 78714 US	DESKTOPS - OGS STATE CONTRACT PT-65340	E	B	7	N	N	1		7,839	7,839	7,839	0	7/31/2014	C		8/19/2014
4500248024	SIMMERS CRANE DESIGN & SERVICES CO 1134 SALEM PKWY SALEM, OH 44460-1063 US	RMNPP-150 TON TRAVELLING CRANE REFURBISHMENT AND UPGRADES	C	B		F	N	2		1,832,938	863,102	863,102	140,000	7/28/2014	O	1/31/2015	
4500248057	SHI INTERNATIONAL CORP 5 W BANK ST COLD SPRING, NY 10516-2219 US	ANNUAL JBOSS MAINTENANCE - OGS STATE CONTRACT PT-65193	S	B	7	N	N	3		65,870	65,870	65,870	0	7/31/2014	O	7/30/2015	
4500248068	DYNAMIC MECHANICAL CONTRACTORS INC 15 WARREN ST HACKENSACK, NJ 7601 US	BLACK START-HELL GATE & HARLEM RIVER SMALL CLEAN POWER PLANT	C	B		F	N	3		7,536,100	516,400	516,400	0	8/1/2014	O	12/31/2015	
4500248087	GREENMAN-PEDERSEN INC 400 RELLA BLVD - STE 207 MONTEBELLO, NY 10901-4256 US	RMNPP GENERATOR STEP-UP TRANSFORMER PROTECTION DESIGN SERVICES	P	B		N	N	6		136,954	21,162	21,162	0	7/29/2014	O	7/28/2015	
4500248145	SUSIE SPECIALTIES DBA FOR SENTEC PROMOTIONS INC 3960 HARLEM RD - STE 8 AMHERST, NY 14226-4706 US	PROMOTIONAL MATERIAL - THUNDER TOTE BAGS	E	C	6	N	3	4		14,950	14,950	14,950	78	7/31/2014	C		8/18/2014
4500248148	HO PENN MACHINERY CO INC 122 NOXON RD POUGHKEEPSIE, NY 12603-2940 US	FURNISH AND DELIVER - RADIATORS BACKUP EMERGENCY DIESEL GENERATOR	E	2	8	N	N	1		27,012	22,512	22,512	0	8/1/2014	O	3/14/2015	
4500248209	BELCO PIPE RESTORATION DBA FOR KELCO CONSTRUCTION INC 40 AUSTIN BLVD - #2 COMMACK, NY 11725-5702 US	EMERGENCY DRAIN PIPE WORK	C	C		N	N	3		16,933	16,933	16,933	347,140	8/4/2014	C		10/16/2014

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4500248265	ERGOWORKS GLENN L ORSER 7147 CHESTNUT RIDGE RD ORCHARD PARK, NY 14127-3804 US	INJURY PREVENTION TRAINING FOR NYPA EMPLOYEES	P	1	8	N	N	1		22,500	20,934	20,934	0	8/4/2014	C		9/30/2014
4500248269	KIDS X-PRESS INC PO BOX 374 WHITE PLAINS, NY 10603-0361 US	DEVELOPMENT OF MAGAZINE TO INCREASE ENERGY EFFICIENCY AND ANS SUSTAINABILITY LITERACY	P	2	8	N	N	1		25,650	0	0	49,075	10/31/2014	O	3/31/2015	
4500248303	GENERAL ELECTRIC INTERNATIONAL INC 3960 MYSTIC VALLEY PKWY MEDFORD, MA 02155-6920 US	GENERATOR STUB SHAFT - ANALYSES OF MOTOR GENERATOR AMORTISSEUR	P	B		F	N	2		1,379,269	7,923	7,923	131,925	8/4/2014	O	8/3/2016	
4500248323	ENVIRONMENTAL ENGINEERING SOLUTIONS PC 1106 MAIN ST PEEKSKILL, NY 10566-2961 US	ENGINEERING SUPPORT OF NYPA' PEAL LOAD MANAGEMENT AT NYC TRANSITGRAND AVE BUS DEPOT	A	2	8	N	4	1		23,020	0	0	13,570	8/5/2014	O	8/4/2015	
4500248359	MILLENNIUM MAINTENANCE & ELECTRICAL CONTRACTORS INC 64 FREEMAN ST BROOKLYN, NY 11222-1328 US	HELP PROGRAM-JOHN F. KENNEDY AIRPORT BUILDINGS B-141 & B-111-LIGHTING UPGRADE	C	C	8	N	4	3		168,925	0	0	498,452	10/1/2014	O	9/30/2015	
4500248462	PRESIDIO NETWORKED SOLUTIONS INC 10 6TH RD WOBURN, MA 01801-1735 US	PRESIDIO CISCO VOICE EQUIPMENT	E	B	7	F	N	1	*	41,446	41,446	41,446	6,777	8/7/2014	O	8/6/2015	
4500248469	CS BUSINESS SYSTEMS INC 1236 MAIN ST BUFFALO, NY 14209-0069 US	ALBANY NANOTECH PROJECT EQUIPMENT	E	B	7	N	4	1		11,788	11,788	11,788	37,866	8/7/2014	O	8/6/2015	
4500248485	ARAMARK MANAGEMENT SERVICES LIMITED PARTNERSHIP 52 JAMES ST - FL 3 ALBANY, NY 12207-2747 US	BUILD SMART NY CONSULTING SERVICES	P	B		N	N	21		140,000	0	0	101,660	9/1/2014	O	8/31/2015	
4500248512	A & T SYSTEMS INC 12200 TECH RD - STE 200 SILVER SPRING, MD 20904-1971 US	SAAS - MAAS360 - SECURITY SERVICES - GSA SCHEDULE GS-35F-4003D	S	B	7	N	N	1		154,282	154,282	154,282	0	8/18/2014	O	8/17/2015	
4500248513	SHI INTERNATIONAL CORP 5 W BANK ST COLD SPRING, NY 10516-2219 US	ORBUS SOFTWARE,TRAINING,&MAINTENANCE-OGS STATE CONTRACT PT65193	E	B	7	F	N	4		22,579	22,579	22,579	8,628	8/18/2014	C		8/25/2014

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4500248559	REBOOT COMPUTER SERVICES INC 7011 AUSTIN ST STE 3L FOREST HILLS, NY 11375-1013 US	APPLE SERVERS LABOR REQUIREMENTS	P	2	6	N	1	1		11,400	11,400	11,400	52,267	8/18/2014	O	8/17/2015	
4500248571	RFL ELECTRONICS INC 353 POWERVILLE RD BOONTON, NJ 07005-9151 US	TELECOMMUNICATION CHANNEL	E	1	8	F	N	1		44,665	44,587	44,587	18,432	8/12/2014	C		10/1/2014
4500248610	CARAHSOFT TECHNOLOGY CORP 12369 SUNRISE VALLEY DR - STE D-2 RESTON, VA 20191 US	2014 MAINTENANCE FOR Q1 RADAR	S	B	7	F	N	1		10,768	10,768	10,768	0	8/20/2014	O	8/19/2015	
4500248653	MILCON CONSTRUCTION CORP 142 DALE ST WEST BABYLON, NY 11704-1123 US	BUILDING 141-JFK AIRPORT NEW ROOF	C	B		N	N	6		1,941,907	1,594,767	1,594,767	0	8/18/2014	O	8/14/2015	
4500248685	PRESIDIO NETWORKED SOLUTIONS INC 10 6TH RD WOBURN, MA 01801-1735 US	MINI SWITCH REPLACEMENT EQUIPMENT	E	B	7	F	N	1	*	9,450	9,450	9,450	0	8/20/2014	O	8/19/2015	
4500248689	WEISERMAZARS LLP 135 W 50TH ST - FL 13 NEW YORK, NY 10020-1201 US	SENY: COMPENSATION/BENEFIT ANALYSIS	P	B		N	N	1		95,000	45,925	45,925	10,980	8/18/2014	O	1/31/2015	
4500248690	UNITED STEEL PRODUCTS INC 3340 127TH PL FLUSHING, NY 11368-1508 US	HELP PROGRAM-FURNISH, DELIVER & INSTALL TWO ROLL-UP GARAGE DOORSPORT AUTHORITY OF NY AND NJ LOCATED AT JOHN F.KENNEDY	E	B		N	N	2		131,925	0	0	0	9/1/2014	C		12/16/2014
4500248766	THINKECO INC 494 8TH AVE - FL 24 NEW YORK, NY 10001-2519 US	CONDUCT TRIAL PLUG LOAD ENERGY MANAGEMENT PROJECT (PLEP) AT AUTHORITY'S WHITE PLAINS OFFICE	P	2	8	N	N	1		13,570	0	0	67,800	8/18/2014	O	8/17/2015	
4500248767	AVIAT US INC 5200 GREAT AMERICA PKWY SANTA CLARA, CA 95054-1108 US	FURNISH SUPERVISION, LABOR, MATERIAL AND EQUIPMENT NECESSARY TO COMPLETE STL-CEC MICROWAVE SYSTEM UPGRADE	C	B	7	N	N	1		498,452	0	0	245,558	8/18/2014	O	8/17/2015	
4500248768	CONTINUANT INC 5050 20TH ST E FIFE, WA 98424-1917 US	ANNUAL PBX MAINTENANCE - GSA SCHEDULE GS-35F-552AA	S	B	7	N	N	1		108,085	101,308	101,308	0	5/5/2014	O	4/30/2015	
4500248818	RDS INDUSTRIES INC 4301 162ND ST FLUSHING, NY 11358-3107 US	MECHANICAL & ELECTRICAL UPGRADE @ PA-NYNJ - JFK BUILDING 141	C	B		N	N	1		316,888	279,022	279,022	45,028	8/19/2014	O	8/18/2015	

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4500248858	AKAMAI TECHNOLOGIES INC 8 CAMBRIDGE CENTER CAMBRIDGE, MA 02142-1413 US	2014 MAINTENANCE TO MONITOR INTERNET TRAFFIC TO PREVENT DDS	S	B	7	F	N	1		101,660	0	0	12,578	8/19/2014	O	8/18/2015	
4500248868	OPEN TEXT INC SUBSIDIARY FOR OPEN TEXT CORP 600 MONTGOMERY ST - STE 1800 SAN FRANCISCO, CA 94111-2720 US	OBJECT IMPORTER SOFTWARE AND MAINTENANCE	E	B	7	F	N	1		24,000	24,000	24,000	158,464	8/25/2014	O	8/24/2015	
4500248882	NIAGARA MAJESTIC TOURS INC DBA GRAY LINE NIAGARA FALLS STE 1A 1625 BUFFALO AVE NIAGARA FALLS, NY 14303-1545 US	SHUTTLE BUS FOR FISHERMAN DURING PROJECT AT NIA - 9/1 - 11/30	S	2	8	N	3	1		30,000	21,372	21,372	0	8/20/2014	C		11/30/2014
4500248917	GE ENERGY CONTROL SOLUTIONS INC 1800 NELSON RD LONGMONT, CO 80501-6324 US	TSA AGREEMENT WITH GE FOR CONTROL PLATFORMS	S	1	8	F	N	1		66,517	14,250	14,250	12,053	8/25/2014	O	8/24/2015	
4500248919	DAY AUTOMATION SYSTEMS INC 9 AVIS DR LATHAM, NY 12110-2601 US	DAY AUTOMATION SERVICE AND MAINTENANCE AGREEMENT	S	1	8	N	1	1		18,432	0	0	17,000	8/25/2014	O	8/24/2015	
4500248963	DLT SOLUTIONS LLC 13861 SUNRISE VALLEY DR - STE 400 HERNDON, VA 20171-6126 US	2014 MAINTENANCE SOLARWINDS PRODUCTS USED BY CYBER SECURITY	S	B	7	F	N	1		30,833	30,833	30,833	5,000	8/30/2014	O	8/29/2015	
4500249023	HEWLETT PACKARD CO ORDER ENTRY 13831 CHALCO VALLEY PARKWAY OMAHA, NE 68138 US	HP PLOTTER, MAINTENANCE, INSTALL - GSA SCHEDULE GS-35F-446AA COOP BIG DEAL PRICING - 90842854	E	B	7	F	N	1		9,866	9,866	9,866	125,500	9/8/2014	C		9/18/2014
4500249046	SCHWEITZER ENGINEERING LAB INC 2350 NE HOPKINS CT PULLMAN, WA 99163-5600 US	WPO/BG: SEL 487V CAPACITOR PROTECTIVE RELAY TRAINING	P	1	8	F	N	1		15,500	15,500	15,500	93,266	8/22/2014	C		9/30/2014
4500249072	TAG SOLUTIONS LLC 12 ELMWOOD RD - STE 1 ALBANY, NY 12204-2425 US	CONSULTING SERVICES FOR PENETRATION TESTING	P	B	7	N	N	1		10,980	0	0	11,682	8/25/2014	O	8/24/2015	
4500249073	HEWLETT PACKARD CO ORDER ENTRY 10810 FARNAN RD OMAHA, NE 68154 US	HP EQUIPMENT AND SERVICES	E	B	7	N	N	1		11,628	11,628	11,628	0	8/25/2014	O	8/24/2015	
4500249207	HELBERG ELECTRICAL SUPPLY LLC 200 W SUNRISE HWY FREEPORT, NY 11520-3365 US	SELCO FUSE BOXES FOR THE NYCT WIRELESS CONTROL OF 3-RD RAIL HEATERS, PHASE IV	E	B	8	N	4	1		146,448	78,648	78,648	6,696	8/29/2014	O	8/28/2015	

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4500249270	MANATT PHELPS & PHILLIPS LLP 7 TIMES SQ - FL 22 NEW YORK, NY 10036-6551 US	LEGAL SERVICES	L	2	8	F	N	1		250,000	4,442	4,442	100,000	4/1/2014	O	3/31/2019	
4500249280	BALTIMORE AIRCOIL COMPANY 7600 DORSEY RUN RD JESSUP, MD 20794-9328 US	COOLING TOWER REPLACEMENT PARTS FOR THE CENTROPLEX ROOFTOP	E	C	6	F	N	3		10,740	10,740	10,740	230,909	9/12/2014	C		12/27/2014
4500249394	POWERTECH LABS INC 12388-88TH AVE SURREY, BC V3W 7R7 CA	PURCHASE OF CORROSIVE SULFUR REMOVAL CARTRIDGES	E	1	8	F	N	1		70,028	25,000	25,000	0	9/3/2014	O	12/31/2015	
4500249407	HERGO ERGONOMIC SUPPORT SYSTEMS INC 5601 55TH AVE MASPETH, NY 11378-1104 US	CABINETS FOR OPSNET - GSA SCHEDULE GS-29F-0133G	E	B	7	F	1	1		12,578	0	0	1,233,700	9/15/2014	C		9/16/2014
4500249446	UNITED STEEL PRODUCTS INC 3340 127TH PL FLUSHING, NY 11368-1508 US	ROLL UP DOORS AT TIFFANY WAREHOUSE	E	B		N	N	2		158,464	0	0	83,025	9/5/2014	O	9/4/2015	
4500249448	COMPUTER AIDED ENGINEERING ASSOCIATES INC 1579 STRAITS TPKE - STE 2B MIDDLEBURY, CT 06762-1835 US	ANSYS ANNUAL MAINTENANCE - GSA SCHEDULE GS-35F-0639N	S	B	7	N	N	1		6,003	6,003	6,003	0	10/25/2014	O	10/24/2015	
4500249504	EXEMPLIS CORP C/O ALIANZA SERVICES LLC 6415 KATELLA AVE - STE 200 CYPRESS, CA 90630-5245 US	FURNISH/DELIVER/INSTALL - OGS STATE CONTRACT PC63411/PC66258	E	B	7	N	N	3		12,053	0	0	80,000	9/26/2014	O	3/14/2015	
4500249526	HAROLD MOORE & ASSOCIATES INC 143 KINGFISHER CIR POOLER, GA 31322-9763 US	DESIGN REVIEW AND INSPECTIONS IN SUPPORT OF AUTO-TRANSFORMER #4 REPLACEMENT	P	2	8	N	N	1		17,000	0	0	2,423,199	9/8/2014	O	9/5/2015	
4500249551	ALLIED BIOLOGICAL INC OF NEW JERSEY 580 ROCKPORT RD HACKETTSTOWN, NJ 07840-5225 US	INVASIVE SPECIES CONTROL SERVICES	S	B		N	N	2		18,234	13,234	13,234	0	9/8/2014	O	3/31/2015	
4500249576	FRESH MEADOW MECHANICAL CORP 65-01 FRESH MEADOW LN FRESH MEADOWS, NY 11365-2011 US	500MW ISOLATION VALVES AND PLATFORMS INSTALLATION	C	B		N	N	4		300,000	174,500	174,500	0	9/8/2014	O	9/7/2015	
4500249580	OHM'S ELECTRICAL CORP 6510 CENTRAL AVE GLENDALE, NY 11385-6244 US	LIGHTING UPGRADE AND SENSOR INSTALLATION AT THE S.I. MUSEUM AND THE S.I. ZOO.	C	2	8	N	3	2		93,266	0	0	0	12/16/2014	O	12/16/2015	

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4500249654	KRUEGER INTERNATIONAL INC C/O PRENTICE OFFICE ENVIRONMENTS 1330 BELLEVUE ST - PO BOX 8100 GREEN BAY, WI 54302-2197 US	FURNISH / DELIVER / INSTALL FURNITURE - PC66295	E	B	7	N	N	4		11,682	0	0	93,779	10/6/2014	C		11/17/2014
4500249689	IHS GLOBAL INC FORMERLY ENVIRONMENTAL SUPPORT 1700 RESEARCH BLVD - STE 200 ROCKVILLE, MD 20850-3105 US	ESSENTIAL SOFTWARE MAINTENANCE - GS-35F-0552T	S	B	7	N	N	1		60,246	60,246	60,246	172,796	10/1/2014	O	9/30/2015	
4500249694	APPLE ROOFING CORP PO BOX 3105 SYRACUSE, NY 13220-3105 US	MARCY OPERATIONS AND MAINTENANCE CENTER (MOMC)BUILDING OFFICE AREA/ECC ROOF REPLACEMENT PROJECT	C	B		N	N	6		167,665	160,969	160,969	249,054	9/11/2014	O	1/31/2015	
4500249710	CENTER FOR INTERNET SECURITY INC 31 TECH VALLEY DR EAST GREENBUSH, NY 12061-4134 US	CYBER SECURITY AND INTELLIGENCE FOR NYPA.	S	1	8	F	N	1		100,000	0	0	0	9/10/2014	O	9/9/2015	
4500249719	CLASSIC ENVIRONMENTAL INC 112 WADE RD LATHAM, NY 12110-3506 US	BLENHEIM-GILBOA FURNISH, DELIVER, INSTALL PROJECTILE RESISTANCE WINDOWS	C	B		N	3	1		256,573	25,664	25,664	0	9/11/2014	O	1/31/2015	
4500249732	DELL MARKETING SLG SALES INC PO BOX 149254 AUSTIN, TX 78714 US	DELL DATA PROTECTION ENCRYPTION SOFTWARE AND MAINTENANCE	S	B	7	F	N	1		56,250	56,250	56,250	29,364	9/12/2014	O	9/11/2015	
4500249733	CRANE HOGAN STRUCTURAL SYSTEMS INC 3001 BROCKPORT RD SPENCERPORT, NY 14559 US	115KV SWITCHYARD STRUCTURE FOUNDATION REPAIRS - NIA	C	B		N	N	2		1,233,700	0	0	0	9/11/2014	O	9/30/2016	
4500249799	IMMEDIATE RESPONSE SPILL TECH LLC DBA C I AGENT SOLUTIONS 11760 COMMONWEALTH DR LOUISVILLE, KY 40299-2344 US	MASSENA SUBSTATION TRANSFORMER CONTAINMENT SYSTEM	E	2	8	F	N	1		83,025	0	0	0	9/12/2014	C		12/15/2014
4500249838	BARCODES INC DBA FOR BARCODES LLC 200 W MONROE ST - STE 1050 CHICAGO, IL 60606-5122 US	BARCODE SCANNERS,PRINTERS AND MAINTENANCE	E	B	7	F	N	1		33,522	33,522	33,522	0	9/15/2014	O	9/14/2015	
4500249874	AQUATECH INTERNATIONAL CORP ONE FOUR COINS DR CANNONSBURG, PA 15317 US	FLYNN: WATER TREATMENT PLANT CONTROL UPGRADE	E	1	8	F	N	1		80,000	0	0	23,447	9/19/2014	C		12/31/2014

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4500249908	KPMG LLP STE 200 515 BROADWAY - 4TH FL ALBANY, NY 12207-2930 US	WPO: ACCOUNTING SERVICES	P	B		N	N	1		2,500,000	76,801	76,801	416,218	9/16/2014	O	7/31/2019	
4500249943	ESVA INC 116 TAZEWELL AVE CAPE CHARLES, VA 23310-3128 US	SHAREPOINT LICENSES - GS-35F-0598S	E	B	7	N	N	1		11,163	11,163	11,163	0	9/25/2014	C		11/3/2014
4500249945	DLT SOLUTIONS LLC 13861 SUNRISE VALLEY DR - STE 400 HERNDON, VA 20171-6126 US	AUTOCAD MAINTENANCE - GS-35F-4543G	S	B	7	N	N	1		59,235	59,235	59,235	0	10/28/2014	O	10/27/2015	
4500249974	SUSIE SPECIALTIES DBA FOR SENTEC PROMOTIONS INC 3960 HARLEM RD - STE 8 AMHERST, NY 14226-4706 US	FLASHLIGHT TO PROMOTE EMPLOYEE SAFETY AWARENESS	E	C	8	N	3	11		29,640	29,640	29,640	148,000	9/17/2014	C		10/6/2014
4500250051	PKA TECHNOLOGIES INC 1 EXECTIVE BLVD - STE 203 SUFFERN, NY 10901-4156 US	PERFORMANCE TESTING SERVICES AND LOADRUNNER LICENSES	S	2	8	N	3	1		93,779	0	0	7,513	9/22/2014	O	9/21/2015	
4500250062	ANALYTICAL & COMBUSTION SYSTEMS UNIT 93 SOUTH END PLAZA NEW MILFORD, CT 6776 US	BOILER MASTER PANEL AND COMBUSTION CONTROLS UPGRADE AT OMH-NYPI	C	B		N	N	2		172,796	0	0	551,160	9/26/2014	O	9/25/2015	
4500250091	MCCARTER & ENGLISH LLP 245 PARK AVE - FL 27 NEW YORK, NY 10167-2801 US	LEGAL SERVICES	L	C	8	N	N	7		250,000	946	946	0	9/18/2014	O	9/17/2016	
4500250093	J E SHEEHAN CONTRACTING CORP 208 SISSONVILLE RD POTSDAM, NY 13676-3563 US	REMOVAL AND RESTORATION OF ACCESS ROADS TO STRUCTURES LOCATED EAST OF KINNEY ROAD AT THE M-W CIRCUIT SEPARATION PROJECT`	C	B		N	1	2		127,100	127,100	127,100	43,969	9/22/2014	O	9/18/2015	
4500250166	EN POINTE TECHNOLOGIES SALES INC 18701 S FIGUEROA ST GARDENA, CA 90248-4506 US	ANNUAL MAINTENANCE RENEWAL OF WINSHUTTLE TRANSACIONSHUTTLE	S	B	7	F	N	1		9,300	9,300	9,300	29,320	9/23/2014	O	9/22/2015	
4500250190	PRESIDIO NETWORKED SOLUTIONS INC 10 6TH RD WOBURN, MA 01801-1735 US	NIA STREAMS AND REPORTS EQUIPMENT	E	B	7	F	N	1		29,364	0	0	0	9/30/2014	O	9/29/2015	
4500250274	SYSTEMS MANAGEMENT PLANNING INC 75 GOODWAY DR - STE 1 ROCHESTER, NY 14623-3000 US	2014 MAINTENANCE VMWARE	S	C		F	3	3		29,093	29,093	29,093	0	9/25/2014	O	9/24/2015	

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4500250331	RICOH USA INC 2500 WESTCHESTER AVE - STE 113 PURCHASE, NY 10577-2515 US	RICOH COPIER	E	B	7	N	N	1		11,838	11,838	11,838	567,290	10/8/2014	C		10/31/2014
4500250338	IMMIXTECHNOLOGY INC 8444 WESTPARK DR - STE 200 MCLEAN, VA 22102-5112 US	DYNATRACE ANNUAL MAINTENANCE - GS-35F-0265X	S	B	7	N	N	1		10,309	10,309	10,309	0	12/1/2014	O	11/30/2015	
4500250355	PRESENTATION PRODUCTS INC 632 W 28TH ST - 7TH FL NEW YORK, NY 10001-1117 US	ST. LAWRENCE MASSENA SUB-SMART INSTALLATION	E	B	7	N	N	1		23,447	0	0	175,856	10/1/2014	O	9/30/2015	
4500250414	ADIRONDACK MECHANICAL SERVICES LLC 1 COMMERCE PARK DR WILTON, NY 12831-2239 US	REHABILITATION OF THE HATCH COVER (OPERATING) DECK, ST. LAWRENCE POWER PROJECT	C	B		N	3	3		416,218	0	0	391,460	9/29/2014	C		12/31/2014
4500250447	EN POINTE TECHNOLOGIES SALES INC 18701 S FIGUEROA ST GARDENA, CA 90248-4506 US	ANNUAL MAINTENANCE - GLOBALSCAPE - OGS STATE CONTRACT PT65191	S	B	7	N	N	2		10,987	10,987	10,987	0	9/19/2014	O	9/18/2015	
4500250448	SHI INTERNATIONAL CORP 5 W BANK ST COLD SPRING, NY 10516-2219 US	ANNUAL ORG PUBLISHER MAINTENANCE - OGS STATE CONTRACT PT-65193	S	B	7	N	N	3		6,514	6,514	6,514	4,937,712	11/1/2014	O	10/31/2015	
4500250457	J E SHEEHAN CONTRACTING CORP 208 SISSONVILLE RD POTSDAM, NY 13676-3563 US	COMMON TERN NESTING HABITAT IMPROVEMENT PROJECT ON ICE BOOM CELLS B AND C ON ST. LAWRENCE NEAR OGDENSBURG, NEW YORK	C	C	8	N	1	2		148,000	0	0	139,000	10/1/2014	O	9/30/2015	
4500250510	XPEDX INC DIV INTERNATIONAL PAPER CO 261 RIVER RD CLIFTON, NJ 07014-1551 US	PAPER - OGS STATE CONTRACT PC 65726	E	B	7	N	N	1		11,662	4,149	4,149	0	10/3/2014	C		12/31/2014
4500250555	POWER ENGINEERING INC 16632 MILLIKAN AVE - STE B IRVINE, CA 92606 US	STL: ROTOR MODIFICATION FOR STRESS REDISTRIBUTION TESTING & ANALYSIS	P	B		F	N	2		551,160	0	0	2,059,450	10/6/2014	O	10/5/2015	
4500250600	DYNTEK SERVICES INC 4440 VON KARMAN AVE - STE 200 NEWPORT BEACH, CA 92660-2011 US	ANNUAL MAINTENANCE HITACHI EQPT - OGS STATE CONTRACT PT61807	S	B	7	N	N	1		43,622	43,622	43,622	434,670	9/1/2014	O	4/30/2015	
4500250622	SECURICON LLC 5400 SHAWNEE RD - STE 206 ALEXANDRIA, VA 22312-2300 US	NERC CIP CYBER SECURITY VULNERABILITY ASSESSMENT	P	B		F	N	8		46,600	2,631	2,631	19,388	10/2/2014	C		12/31/2014
4500250686	ENSIGN ENGINEERING PC 1111 CALHOUN AVE BRONX, NY 10465-1800 US	ENGINEERING SERVICES - STRUCTURAL DRAWINGS	A	M	8	N	3	1		40,000	10,680	10,680	0	10/1/2014	O	1/31/2015	

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4500250751	GOVERNMENT MARKETING & PROCUREMENT LLC 1818 LIBRARY ST - STE 500 RESTON, VA 20190-6274 US	ANNUAL MAINTENANCE - VULNERABILITY INTELLIGENCE - GSA-35F-0858N	S	B	7	N	N	1		36,655	36,655	36,655	25,000	10/18/2014	O	10/17/2015	
4500250752	DLT SOLUTIONS LLC 13861 SUNRISE VALLEY DR - STE 400 HERNDON, VA 20171-6126 US	QUEST ANNUAL MAINTENANCE - GS-35F-4543G	S	B	7	N	N	1		39,744	39,744	39,744	20,000	10/31/2014	O	10/30/2015	
4500250900	RSI ROOFING INC 44 COUNTY RTE 9 - BOX 282B GOUVERNEUR, NY 13642-2565 US	REPLACE ELECTRICAL EQUIPMENT GALLERY ROOF - STL	C	B		N	1	2		567,290	0	0	0	10/9/2014	O	6/1/2015	
4500250910	NAYAK CORPORATION INC NAYAK CORP 475 WALL ST PRINCETON, NJ 08540-1509 US	PSCAD PROFESSIONAL LICENSE AND MAINTENANCE	E	1	8	N	N	1		22,700	22,700	22,700	2,240,000	10/15/2014	C		10/31/2014
4500250980	NEW YORK STATE TECHNOLOGY ENTERPRISE CORP 500 AVERY LN - STE A ROME, NY 13441-4236 US	LABOR-WIRELESSCOMMUNICATIONASSESSMENT-OGS STATE CONTRACT CMR52RA	S	B	7	N	N	1		205,004	29,148	29,148	83,675	10/15/2014	O	10/14/2015	
4500250996	KORN/FERRY INTERNATIONAL 1700 K ST NW - STE 700 WASHINGTON, DC 20006-3819 US	EXECUTIVE SEARCH SERVICES	P	B		F	N	5		500,000	108,540	108,540	0	10/14/2014	O	10/13/2015	
4500251118	SAP PUBLIC SERVICES INC RONALD REAGAN BUILDING / ITC 1300 PENNSYLVANIA AVE NW - STE 500 WASHINGTON, DC 20004 US	SAP 2014 MAINTENANCE SERVICES	S	B	7	F	N	1		1,253,747	1,253,747	1,253,747	15,300	10/16/2014	C		12/31/2014
4500251143	JOHNSON CONTROLS INC 130 JOHN MUIR DR - STE 100 AMHERST, NY 14228-1139 US	STL: SAFETY SYSTEM UPGRADE	C	B		N	N	2		4,937,712	0	0	0	10/20/2014	O	10/19/2017	
4500251147	J E SHEEHAN CONTRACTING CORP 208 SISSONVILLE RD POTSDAM, NY 13676-3563 US	STABILIZATION OF OF ADJOINING SHORELINES IN LOUISVILLE & WADDINGTON, NY	C	B	8	N	1	2		139,000	0	0	20,000	10/16/2014	O	10/15/2015	
4500251149	ADVISORY CAPITAL LLC 3860 CLUB DR NE ATLANTA, GA 30319-1144 US	SPEAKER ENGAGEMENT -WHITE PLAINS OFFICE	P	2	5	F	N	1		6,400	6,400	6,400	0	10/16/2014	C		12/29/2014
4500251164	TOWER MAINTENANCE CORP 456 GLEN COVE AVE SEA CLIFF, NY 11579-2129 US	TOWER PAINTING OF 110 TOWERS IN THE ST. LAWRENCE REGION	C	B		N	3	1		2,059,450	0	0	52,500	10/31/2014	O	10/30/2015	

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4500251180	HATCH ASSOCIATES CONSULTANTS INC 100 SYLVAN PKWY AMHERST, NY 14228-1146 US	ENG'G. ASSESSMENT OF CONTROL UNITS & CONTROL ROOM AT RMNPP	A	B		N	N	1		434,670	0	0	2,387,999	10/20/2014	O	10/19/2016	
4500251226	EMERSON NETWORK POWER LIEBERT SERVICES INC 610 EXECUTIVE CAMPUS DR WESTERVILLE, OH 43082-8870 US	PERIODIC BATTERY REPLACEMENT - DATA CENTER - UPS	S	2	8	N	N	1		19,388	0	0	86,107	10/23/2014	O	3/14/2015	
4500251227	EX LIBRIS (USA) INC 1350 E TOUHY AVE - STE 200E DES PLAINES, IL 60018-3307 US	ANNUAL MAINTENANCE - VOYAGER - GSA-35F-0344R	S	B	7	N	N	1		24,981	24,981	24,981	0	10/1/2014	O	9/30/2015	
4500251255	EYP ARCHITECTURE & ENGINEERING PC 1ST FL NANOFAB EAST - 257 FULLER RD ALBANY, NY 12203-3613 US	BUILDSMART NY CUSTOMER ENERGY MONITORING CONSULTING SERVICES ALBANY COLLEGE OF NANOSCIENCE & ENGINEERING	A	B	8	N	N	1		25,000	0	0	65,300	10/20/2014	O	9/19/2015	
4500251394	CLEARBID INC 48 SENECA ST DOBBS FERRY, NY 10522-1137 US	CONSULTING SERVICES FOR THE SALE AND DECONSTRUCTION OF KENSICO HYDRO FACILITY.	P	2	8	N	N	1		20,000	0	0	15,680	10/22/2014	O	2/27/2015	
4500251512	WINSHUTTLE LLC 20021 120TH AVE NE - STE 101 BOTHELL, WA 98011-8241 US	WINSHUTTLE SOFTWARE & MAINTENANCE	E	C	8	N	N	4		15,840	15,840	15,840	0	10/29/2014	C		11/17/2014
4500251513	FRESH MEADOW MECHANICAL CORP 65-01 FRESH MEADOW LN FRESH MEADOWS, NY 11365-2011 US	HRSG VALVES AND PLATFORM INSTALLATION AT 500MW CC POWER PLANT	C	B		N	N	4		2,240,000	0	0	75,000	10/28/2014	O	10/27/2016	
4500251585	ORACLE AMERICA INC 500 ORACLE PKWY REDWOOD CITY, CA 94065-1677 US	2014 ANNUAL MAINTENANCE ORACLE PRIMAVERA	S	B	7	F	N	1		83,675	0	0	63,172	12/31/2014	O	12/30/2015	
4500251588	PRESIDIO NETWORKED SOLUTIONS INC 10 6TH RD WOBURN, MA 01801-1735 US	IP NETWORKING AND SECURITY EQUIPMENT FOR OPS NET	E	B	7	F	N	1	*	55,938	55,938	55,938	9,953	11/7/2014	O	11/6/2015	
4500251597	RAM SERVICES LLC PO BOX 489 - 7 BELLVALE BLVD WARWICK, NY 10990-0489 US	FURNISH, DELIVER, INSTALL CATHODIC PROTECTION SYSTEM FOR THE 500MW UNDERGROUND FIRE PTOTECTION PIPING.	C	2	8	N	1	1		45,475	30,175	30,175	76,977	10/30/2014	O	10/29/2015	
4500251609	CS BUSINESS SYSTEMS INC 1236 MAIN ST BUFFALO, NY 14209-0069 US	FUJITSU SNAP SCANNERS - OGS PT59785	E	B	7	F	4	4		10,200	10,200	10,200	0	11/3/2014	C		12/2/2014

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4500251630	VALLES VENDIOLA LLP 9131 QUEENS BLVD - STE 418 ELMHURST, NY 11373-5541 US	ANNUAL CAM (COMMON AREA MAINTENANCE) AUDIT	P	B		N	4	4		20,000	0	0	0	11/10/2014	O	11/9/2019	
4500251723	ACME INDUSTRIAL INC 326-328 FRONT ST STATEN ISLAND, NY 10304 US	SCPP- EMERGENT EXPANSION JOINT REPAIRS AT HARLEM RIVER	C	2	8	N	1	1		45,871	45,871	45,871	67,750	11/3/2014	C		11/16/2014
4500251856	PROSKAUER ROSE LLP 11 TIMES SQ - FL 17 NEW YORK, NY 10036-8299 US	LEGAL COUNSEL FOR LABOR RELATED MATTERS	L	2	8	N	N	1		52,500	0	0	189,164	10/15/2014	O	10/14/2016	
4500251876	SCRUFARI CONSTRUCTION CO INC 3925 HYDE PARK BLVD NIAGARA FALLS, NY 14305-1701 US	115KV CONDUIT REPLACEMENT AT NIAGARA SWITCHYARD	C	B		N	N	2		2,387,999	0	0	32,101	11/4/2014	O	10/31/2015	
4500252012	IMMIXTECHNOLOGY INC 8444 WESTPARK DR - STE 200 MCLEAN, VA 22102-5112 US	ORACLE PRIMAVERA UPGRAGE CONSULTING SERVICES	P	B	7	F	N	1		86,594	487	487	6,400	11/6/2014	O	11/5/2015	
4500252020	SALSA CATERERS & SPECIAL EVENTS INC 1779 WEBSITER AVE BRONX, NY 10457-7327 US	CATERING SERVICES FOR NYPA'S 2014 MWBE EVENT	S	2	6	N	4	1		6,165	6,165	6,165	273,901	10/30/2014	C		11/30/2014
4500252036	DIDONATO ASSOCIATES ENGINEERING & ARCHITECTURE PC 689 MAIN ST BUFFALO, NY 14203-1404 US	RMNPP - ASSESSMENT OF THE FISHING PIER ACCESS ELEVATOR	A	B		N	N	4		65,300	0	0	371,900	11/7/2014	O	9/30/2015	
4500252089	NINTEX USA LLC STE 230 PLAZA CTR - 10900 NE 8TH ST BELLEVUE, WA 98004-4453 US	ANNUAL MAINTENANCE NINTEX SOFTWARE	S	C	8	N	N	4		15,680	0	0	23,050	10/10/2014	O	10/9/2015	
4500252091	GLOBAL KNOWLEDGE TRAINING LLC DBA FOR GK HOLDINGS INC 9000 REGENCY PKWY - STE 500 CARY, NC 27518-8520 US	MS TRAINING CREDITS - GSA GS-35F-4390G	S	B	7	N	N	1		25,000	25,000	25,000	0	12/1/2014	O	11/30/2015	
4500252096	DA COLLINS CONSTRUCTION CO INC 269 BALLARD RD WILTON, NY 12831-1357 US	PROVIDE 200 TON PORTABLE CRANE AND CREW TO SUPPORT B/G'S FULL OPEN TAINTER GATE TESTING PROGRAM.	C	2	8	N	N	1		75,000	0	0	5,052	11/10/2014	O	1/2/2015	
4500252110	PITNEY BOWES INC 120 BLOOMINGDALE RD WHITE PLAINS, NY 10605-1500 US	LEASING OF MAIL MACHINES	E	2	8	N	N	1		63,172	0	0	19,211	12/1/2014	O	11/30/2019	

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4500252122	OFS BRANDS INC C/O ALIANZA SERVICES LLC 1204 E 6TH ST HUNTINGBURG, IN 47542-9375 US	REPLACEMENT FURNITURE-WPO-OGS CONTRACT PC66314	E	B	7	N	N	1		9,953	0	0	41,736	11/21/2014	O	3/14/2015	
4500252123	HERMAN MILLER INC C/O ALIANZA SERVICES LLC 855 E MAIN AVE ZEELAND, MI 49464-1372 US	PRIVATE OFFICE - POLETTI - OGS STATE CONTRACT PC66271	E	B	7	N	N	1		76,977	0	0	11,207	12/8/2014	O	3/14/2015	
4500252126	HEWLETT PACKARD CO ORDER ENTRY 13831 CHALCO VALLEY PARKWAY OMAHA, NE 68138 US	HP PROBOOKS W/MAINTENANCE & CARRYING CASES - OGS STATE CONTRACT PT65350	E	B	7	N	N	1		67,601	67,601	67,601	0	11/24/2014	C		12/10/2014
4500252134	PRESIDIO NETWORKED SOLUTIONS INC 10 6TH RD WOBURN, MA 01801-1735 US	VOIP EQUIPMENT WPO	E	B	7	F	N	1	*	16,410	16,410	16,410	5,155	12/1/2014	O	11/30/2015	
4500252138	KESTREL POWER ENGINEERING LLC 9126 N 2150 EAST RD FAIRBURY, IL 61739-9204 US	GENERATOR CONTROLS MODELING & MODEL VALIDATION FOR THE ROBERT MOSES & LPGP NIAGARA POWER PROJECT	P	2	8	F	N	1		67,750	0	0	110,000	11/13/2014	O	10/30/2015	
4500252188	G4S TECHNOLOGY LLC DBA FOR G4S TECH HOLDINGS USA INC 1200 LANDMARK CTR - STE 1300 OMAHA, NE 68102-1916 US	COOPERS CORNER SUBSTATION SECURITY SYSTEM MODIFICATIONS	C	2		N	N	1		189,164	0	0	9,868	11/12/2014	O	4/30/2015	
4500252291	RVP FLOORING SYSTEMS INC 35 COMMERCE DR STE B CARMEL, NY 10512-4211 US	FURNISH, DELIVER, INSTALL - TANDUS CARPET & TILE - OGS STATE CONTRACT PC66662	E	B	7	N	1	1		87,084	54,983	54,983	5,654	11/25/2014	O	3/14/2015	
4500252342	INSIGHT EDUCATION SYSTEMS LLC 29 EAGLE ROCK WAY MONTCLAIR, NJ 07042-2004 US	SPEAKING ENGAGMENT BY STEPHEN YOUNG ON MICROINEQUITIES: THE POWER OF SMALL	P	2	5	F	N	1		6,400	0	0	487,272	11/17/2014	C		12/31/2014
4500252393	KIEWIT POWER ENGINEERS (NY) 9401 RENNER BLVD LENEXA, KS 66219-9707 US	500MW: INLET CHILLER PRELIMINARY DESIGN	P	B		F	N	3		273,901	0	0	2,836,051	11/24/2014	O	11/23/2015	
4500252428	FERGUSON ELECTRIC CONSTRUCTION CO INC 333 ELLICOTT ST BUFFALO, NY 14203-1618 US	RMNPP GSU TRANSFORMER INSTALLATION	C	B		N	N	1		371,900	0	0	12,480	11/20/2014	O	9/30/2015	

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4500252438	POWERSERV O & M LLC DBA POWERSERV 152 CREEK RD WADING RIVER, NY 11792-1005 US	INSTALL NEW WATT TRANSDUCER	C	B		N	N	1		23,050	0	0	38,492	11/20/2014	O	3/31/2015	
4500252464	SIBLING FUEL CO INC 1108 ZEREGA AVE BRONX, NY 10462-5404 US	DIESEL FUEL	E	C	8	N	N	3		15,301	15,301	15,301	66,274	11/24/2014	C		12/31/2014
4500252583	DYNTEK SERVICES INC 4440 VON KARMAN AVE - STE 200 NEWPORT BEACH, CA 92660-2011 US	ANNUAL MCAFEE SAFEBOOT MAINTENANCE - OGS STATE CONTRACT PT-65091	S	B	7	N	N	1		5,052	0	0	242,195	12/3/2014	O	12/2/2015	
4500252584	EXEMPLIS CORP C/O ALIANZA SERVICES LLC 6415 KATELLA AVE - STE 200 CYPRESS, CA 90630-5245 US	FURNITURE - CHAIRS - PC66258	E	B	7	N	N	1		19,211	0	0	31,300	12/15/2014	O	6/30/2015	
4500252638	DELL MARKETING SLG SALES INC PO BOX 149254 AUSTIN, TX 78714 US	VMWARE CONSULTING SERVICES - OGS STATE CONTRACT PT-65191	P	B	7	N	N	1		41,736	0	0	95,434	12/1/2014	O	11/30/2015	
4500252653	HB COMMUNICATIONS INC 60 DODGE AVE NORTH HAVEN, CT 06473-1124 US	POLYCOM EQPT - HW/SW ANNUAL MAINTENANCE - OGS STATE CONRACT PT-64536	S	B	7	N	N	1		11,207	0	0	7,595,000	10/1/2014	C		12/31/2014
4500252655	COMPUTER AIDED ENGINEERING ASSOCIATES INC 1579 STRAITS TPKE - STE 2B MIDDLEBURY, CT 06762-1835 US	ANNUAL LEASED ANSYS MAINTENANCE - GSA SCHEDULE GS-35F-0639N	S	B	7	N	N	1		12,778	12,778	12,778	6,277,251	12/1/2014	O	11/30/2015	
4500252658	DELL MARKETING SLG SALES INC PO BOX 149254 AUSTIN, TX 78714 US	MS OFFICE 365 LICENES - OGS STATE CONTRACT PT-66134	E	B	7	N	N	1		5,155	0	0	15,765	12/5/2014	O	6/30/2015	
4500252664	CLEARESULT CONSULTING 4301 WESTBANK DR - BUILDING A - STE 300 AUSTIN, TX 78746-4505 US	BUILDSMART NEW YORK OPERATIONAL EFFICIENCY CONSULTING SERVICES	P	B		F	N	2		110,000	0	0	20,526	12/2/2014	O	12/1/2015	
4500252763	GLOBAL INDUSTRIES INC C/O JFD SALES 17 W STOW RD MARLTON, NJ 08053-3116 US	FURNITURE - WPO - OGS STATE CONTRACT PC-66261	E	B	7	N	N	1		9,868	0	0	30,532	12/22/2014	O	6/30/2015	
4500252802	HEWLETT PACKARD CO ATTN: EXPRESS SUPPORT QUOTES 8000 FOOTHILLS BLVD ROSEVILLE, CA 95747-5754 US	2015 MAINTENANCE SCADA SUPPORT COMPUTERS	S	B	7	F	N	1		5,654	0	0	140,000	12/1/2014	O	11/30/2015	

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4500252901	GE ENERGY CONTROL SOLUTIONS INC 1800 NELSON RD LONGMONT, CO 80501-6324 US	GE SIMULATOR SOFTWARE	E	1	8	F	N	1		487,272	0	0	6,325	12/4/2014	O	12/3/2019	
4500253138	CHA CONSULTING INC III WINNERS CIRCLE ALBANY, NY 12205-0269 US	FEASIBILITY STUDY - SHERIDAN AVE STEAM PLANT PROJECT	S	B		N	N	10		289,478	0	0	14,712	12/22/2014	O	7/2/2015	
4500253163	ABB INC 940 MAIN CAMPUS DR RALEIGH, NC 27606-5211 US	115KV CIRCUIT BREAKERS FOR NIAGARA SWITCHYARD LEM	E	B		F	N	2		2,836,051	0	0	49,496	12/10/2014	O	12/31/2020	
4500253180	SS & C TECHNOLOGIES INC 80 LAMBERTON RD - STE 7 WINDSOR, CT 06095-2150 US	ANNUAL MAINTENANCE - DBC FINANCE	S	1	6	N	N	1		12,480	0	0	10,579,075	12/17/2014	O	12/16/2015	
4500253296	PRESIDIO NETWORKED SOLUTIONS INC 10 6TH RD WOBURN, MA 01801-1735 US	JABRA PRO 9450 MONO BT HEADSETS	E	B	7	F	N	1		38,492	0	0	431,791	12/15/2014	O	12/14/2015	
4500253349	PRESIDIO NETWORKED SOLUTIONS INC 10 6TH RD WOBURN, MA 01801-1735 US	CISCO WIRELESS 2504 CONTROLLER EQUIPMENT	E	B	7	F	N	1		66,274	0	0	100,808	12/16/2014	O	12/15/2015	
4500253378	BIRMINGHAM CONTROL SYSTEMS INC 1205 8TH ST W BIRMINGHAM, AL 35204-1909 US	RELAY PANEL SKINS NIAGARA PROJECT	E	B		F	N	5		242,195	0	0	832,427	12/16/2014	O	12/31/2015	
4500253390	GUIDEPOINT SECURITY LLC 11951 FREEDOM DR -FL 13 RESTON, VA 20190-5686 US	INVINCEA ANNUAL SUBSCRIPTION	S	C		N	N	3		31,300	0	0	7,469,256	12/13/2014	O	12/12/2015	
4500253403	VANDIS INC 1 ALBERTSON AVE - STE 1 ALBERTSON, NY 11507-1444 US	TRINZIC SOFTWARE AND MAINTENANCE	E	B	7	F	N	1		95,434	0	0	2,116,103	12/16/2014	O	12/15/2015	
4500253437	LACHASE CONSTRUCTION SERVICES LLC 1473 ERIE BLVD SCHENECTADY, NY 12305-1004 US	BG OFFICE AND WAREHOUSE COMPLEX CONSTRUCTION PROJECT	C	B		N	N	7		7,595,000	0	0	1,045,810	12/17/2014	O	7/31/2016	
4500253512	ABB INC 940 MAIN CAMPUS DR RALEIGH, NC 27606-5211 US	765KV CIRCUIT BREAKERS (QTY 7) FOR CLARK ENERGY CENTER	E	B		F	N	5		6,277,251	0	0	37,104	12/19/2014	O	12/31/2020	
4500253527	ADRIENNE NICOLE PRODUCTIONS ADRIENNE NICOLE LYNCH 237 FLATBUSH AVE - STE 103 BROOKLYN, NY 11217-5224 US	VIDEO ANIMATION SERVICES FOR MARCY SERIES	S	C		N	4	3		15,765	0	0	500,073	12/12/2014	O	3/30/2015	

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4500253555	PRESIDIO NETWORKED SOLUTIONS INC 10 6TH RD WOBURN, MA 01801-1735 US	ROUTERS/FIREWALLS	E	B	7	F	N	1		20,526	0	0	32,258,935	12/22/2014	O	12/21/2015	
4500253648	SHI INTERNATIONAL CORP 5 W BANK ST COLD SPRING, NY 10516-2219 US	ORBUS SOFTWARE, MAINTENANCE, AND SERVICES - OGS STATE CONTRACT PT65193	E	B	7	N	N	4		30,532	0	0	18,537,458	12/30/2014	O	1/5/2015	
4500253649	ERNST & YOUNG LLP 5 TIMES SQ NEW YORK, NY 10036-6540 US	DEVELOPING AN INTEGRATED GOVERNANCE, RISK, AND COMLIANCE OPERATING MODEL FOR NYPA	P	2	8	N	N	1		140,000	0	0	204,543	12/24/2014	O	12/23/2015	
4500253675	LEAD DATA TECHNOLOGIES INC 55 OLD TURNPIKE RD STE 604 NANUET, NY 10954 US	4 PORT NETWORK CARD	E	B	7	N	N	1		6,325	0	0	163,881	12/26/2014	O	1/31/2015	
4500253677	EN POINTE TECHNOLOGIES SALES INC 18701 S FIGUEROA ST GARDENA, CA 90248-4506 US	SUPPORT FOR ARCONIS SOFTWARE	S	B	7	F	N	1		14,712	0	0	600,199	12/27/2014	O	12/26/2015	
4500253792	GOTHAM GOVERNMENT SOLUTIONS LLC 1 PARAGON DR STE 200 MONTVALE, NJ 07645-1728 US	ANNUAL DATADVANTAGE VARONIS MAINTENANCE	S	C		N	N	4		49,496	0	0	63,645	12/30/2014	O	12/29/2015	
4500255638	HONEST BUILDINGS INC 233 BROADWAY - RM 2705 NEW YORK, NY 10279-2703 US	HONEST BUILDINGS SOFWARE HOSTING FEES	S	2	8	N	N	1		100,000	0	0	289,977	9/1/2014	O	2/19/2015	
4600000840	VOITH HYDRO INC 2185 N SHERIDAN WAY MISSISSAUGA, ON L5K 1A4 CA	FURNISH, DELIVER, INSTALL GENERATOR STATOR ARMATURE WINDINGS	C	B		F	N	1		24,137,176	13,558,101	0	10,120,830	6/10/2002	O	7/15/2015	
4600001092	SIEMENS ENERGY INC 85 JOHN RD - UNIT 1 CANTON, MA 02021-2826 US	PROVIDE AN OPERATING PLANT SERVICE AGREEMENT (OPSA)	S	1	1	N	N	1		36,000,000	28,712,608	298,683	71,359,926	5/5/2003	C		1/1/2014
4600001229	HERITAGE ENVIRONMENTAL SERVICES LLC 7901 W MORRIS ST INDIANAPOLIS, IN 46231 US	DISPOSAL OF SOLID WASTE, HAZARDOUS WASTE, UNIVERSAL WASTE FROM ALL NYPA FACILITIES, AND INCINERATION OF SAME.	S	1	8	N	N	17		630,000	198,209	0	69,642,677	3/15/2004	O	12/31/2015	
4600001236	ALSTOM POWER INC 7901 SOUTHPARK PLZ - STE 110 LITTLETON, CO 80120-4505 US	RUNNER REPLACEMENT AND TURBINE OVERHAUL - SUPPLY COMPONENTS	E	B		F	N	3		26,374,000	26,273,192	0	85,578,406	3/25/2004	C		2/24/2014
4600001237	ALSTOM POWER INC 7901 SOUTHPARK PLZ - STE 110 LITTLETON, CO 80120-4505 US	RUNNER REPLACEMENT AND TURBINE OVERHAUL - INSTALLATION OF COMPONENTS	C	B		N	N	3		11,425,698	10,593,271	675,059	20,956,807	3/25/2004	C		2/24/2014

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4600001295	GE HYDRO POWER INC STE 300B 6130 STONEBRIDGE MALL RD PLEASANTON, CA 94588 US	STATOR REWINDS-LPGP	C	B		F	N	0		15,000,000	7,530,744	1,340,358	6,257,675	7/12/2004	O	6/30/2015	
4600001339	CLEAN HARBORS ENVIRONMENTAL SERVICES INC 761 MIDDLE ST BRISTOL, CT 06010-7442 US	WASTE DISPOSAL SERVICES	S	C	8	F	N	7		4,900,000	2,783,897	257,836	25,061,487	11/1/2004	O	12/31/2015	
4600001393	CWM CHEMICAL SERVICES LLC 1550 BALMER RD MODEL CITY, NY 14107 US	WASTE DISPOSAL SERVICES	S	C	8	N	N	8		1,500,000	454,190	18,760	15,119	3/2/2005	O	12/31/2015	
4600001403	AERC.COM INC dba AERC RECYCLING SOLUTIONS 2591 MITCHELL AVE ALLENTOWN, PA 18103-6609 US	WASTE DISPOSAL SERVICES OF MERCURY WASTE STREAMS	S	C	8	F	N	17		45,000	7,896	1,706	258,538	4/4/2005	O	12/31/2015	
4600001457	TRADEBE ENVIRONMENTAL SERVICES LLC FORMERLY UNITED OIL RECOVERY INC 47 GRACEY AVE MERIDEN, CT 6451 US	WASTE DISPOSAL SERVICES, ALL FACILITIES.	S	C	8	N	N	17		750,000	249,927	28,506	4,339,437	6/10/2005	O	12/31/2015	
4600001644	EYP ARCHITECTURE & ENGINEERING PC 1ST FL NANOFAB EAST - 257 FULLER RD ALBANY, NY 12203-3613 US	PROGRAM MANAGEMENT & IMPLEMENTATION SERVICES FOR THE ENERGY SERVICES STATEWIDE PLAN	C	B		N	N	9		55,000,000	22,741,065	1,324,153	649,949	5/23/2006	O	12/31/2015	
4600001645	WENDEL ENERGY SERVICES LLC STE 201 140 JOHN JAMES AUDUBON PKWY AMHERST, NY 14228-1183 US	PROGRAM MANAGEMENT & IMPLEMENTATION SERVICES FOR THE AUTHORITY'SSTATEWIDE ENERGY SERVICES PROGRAM	C	B		N	N	9		55,000,000	36,462,542	6,017,708	190,724	5/23/2006	O	12/31/2015	
4600001738	TCI OF NY LLC PO BOX 936 COEYMANS, NY 12045-0936 US	WASTE DISPOSAL SERVICES	S	C	8	N	1	1		445,000	240,457	69,020	2,228,198	12/20/2006	O	12/31/2015	
4600001762	VEOLIA ES TECHNICAL SOLUTIONS LLC 1275 MINERAL SPRINGS DR PORT WASHINGTON, WI 53074-2163 US	DISPOSAL OF SURPLUS/OBSOLETE COMPUTER AND ELECTRONIC EQUIPMENT- ALL FACILITIES	S	B		F	N	4		250,000	86,119	11,163	524,487	2/19/2007	O	12/31/2015	

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4600001839	TRADEBE ENVIRONMENTAL SERVICES LLC FORMERLY UNITED OIL RECOVERY INC 47 GRACEY MERIDEN, CT 6451 US	TRANSPORT HAZARDOUS WASTE/MATERIALS/UNIVERSAL INDUSTRIAL WASTE	S	B	8	N	N	17		1,140,851	540,652	57,431	604,774	10/1/2007	O	12/31/2015	
4600001905	IRIS POWER LP 3110 AMERICAN DR MISSISSAUGA, ON L4V 1T2 CA	ST. LAWRENCE: FURNISH, DELIVER, INSTALL/C SIXTEEN FLUX TRAC MONITORING SYSTEM	E	1	8	F	N	1		232,520	168,875	0	15,450,362	3/3/2008	O	12/31/2015	
4600001916	HVB AE POWER SYSTEMS INC 7250 MCGINNIS FERRY RD SUWANEE, GA 30024 US	FABRICATE, FURNISH, DELIVER 13-SFY 230KV CIRCUIT BREAKERS FOR STL/FDR	E	B		N	N	6		1,815,124	1,525,147	0	47,076,720	1/4/2008	C		12/31/2014
4600001921	CLEAREDGE POWER CORP FORMERLY UTC POWER CORP 195 GOVERNORS HWY SOUTH WINDSOR, CT 06074-2419 US	F/D/COMMISSION FUEL CELL POWER PLANT SYSTEMS FOR THE WORLD TRADE CENTERS	E	B		F	N	2		17,651,828	7,530,998	0	16,324,053	4/21/2008	O	6/30/2015	
4600001943	AECOM USA INC 125 BROAD ST NEW YORK, NY 10004-2400 US	PROGRAM MANAGEMENT & IMPLEMENTATION SERVICES FOR THE SOUTHEAST NEW YORK	C	B		N	N	6		337,500,000	266,140,074	30,252,329	19,721,953	6/1/2008	O	5/31/2017	
4600001944	CDM CONSTRUCTORS INC 60 CROSSWAYS PARK W - STE 340 WOODBURY, NY 11797-2018 US	PROGRAM MANAGEMENT & IMPLEMENTATION SERVICES FOR THE SOUTHEAST	C	B		N	N	6		123,000,000	53,357,323	15,598,529	38,850	6/1/2008	O	5/31/2017	
4600001946	RCM TECHNOLOGIES INC FACILITIES DESIGN & CONSTRUCTION 2500 MCCLELLAN AVE - STE 350 PENNSAUKEN, NJ 08109-4617 US	PROGRAM MANAGEMENT & IMPLEMENTATION SERVICES FOR THE SOUTHEAST NEW YORK	C	B		N	N	6		195,000,000	109,421,594	22,130,018	1,242,112	6/1/2008	O	5/31/2017	
4600001951	FULCRUM FACILITIES SERVICES DBA THE FULCRUM GROUP 80 BROAD ST - STE1601 NEW YORK, NY 10004-2209 US	PROGRAM MANAGEMENT & IMPLEMENTATION SERVICES - SOUTHEAST NEW YORK ENERGY SERVICES PROGRAM	C	B		N	N	6		41,500,000	20,543,193	7,841,665	78,263	6/1/2008	O	5/31/2017	
4600001985	PRES SERVICES LLC 2430 N FOREST RD - STE 106 GETZVILLE, NY 14068-1557 US	PROGRAM MANAGEMENT & IMPLEMENTATION SERVICES FOR THE ENERGY SERVICES STATEWIDE PLAN	C	B		N	N	8		45,000,000	38,742,325	6,779,277	63,400	8/1/2008	O	12/31/2017	
4600001998	PARSONS BRINCKERHOFF INC FORMERLY PB AMERICAS INC 333 SEVENTH AVE - 15TH FL NEW YORK, NY 10001-5091 US	PROGRAM MANAGEMENT & IMPLEMENTATION SERVICES FOR THE ENERGY SERVICES STATEWIDE PLAN	C	B		N	N	8		45,000,000	19,938,513	57,369	63,400	8/1/2008	O	12/31/2017	
4600002056	SILKROAD TECHNOLOGY INC 102 W 3RD ST - STE 250 WINSTON SALEM, NC 27101-3900 US	RECRUITMENT, APPLICANT TRACKING AND ONBOARD SOLUTION	S	B		N	N	11		228,400	213,281	0	2,128,985	9/29/2008	C		3/28/2014

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4600002080	RICOH USA INC 2500 WESTCHESTER AVE - STE 113 PURCHASE, NY 10577-2515 US	MULTIFUNCTION COPIER/PRINTER/SCAN/FAX DEVICES	E	B	7	N	N	4		1,914,520	1,655,982	44,457	300,000	5/8/2009	C		7/31/2014
4600002103	ENERGYICT INC 208 S ROGERS LN RALEIGH, NC 27610-2144 US	PROVIDE EQUIPMENT & SERVICES FOR THE END USE METERING PROJECT	S	B		F	N	4		5,000,000	660,563	82,500	9,470,322	4/15/2009	O	4/14/2016	
4600002108	WESCO DISTRIBUTION INC 60 HOFFMAN AVE HAUPPAUGE, NY 11788-4718 US	FURNISH & DELIVER FLUORESCENT BALLASTS FOR NYPA'S HIGH EFFICIENCY LIGHTING PROGRAM	E	B		N	N	2		958,907	308,958	14,561	9,446,500	5/1/2009	C		8/29/2014
4600002118	GEI CONSULTANTS INC 400 UNICORN PARK DR WOBURN, MA 1801 US	FERC PART 12 INDEPENDENT CONSULTANT DAM SAFETY INSPECTION AND REPORTS	P	B		F	N	4		220,000	29,276	0	3,384,717	5/20/2009	C		5/19/2014
4600002124	ROTATOR STAFFING SERVICES INC 25 KENNEDY BLVD E BRUNSWICK, NJ 08816-1259 US	TEMPORARY ENGINEERING PERSONNEL ON AN-AS-NEEDED BASIS	S	B		N	N	13		10,298,261	8,070,063	23,714	1,599,372	7/1/2009	C		6/30/2014
4600002125	LJ GONZER ASSOCIATES 14 COMMERCE DR - STE 305 CRANFORD, NJ 7016 US	TEMPORARY ENGINEERING PERSONNEL ON AN-AS-NEEDED BASIS	S	B		N	N	13		3,150,000	2,625,513	0	45,000	7/1/2009	C		6/30/2014
4600002133	OP-TECH ENVIRONMENTAL SERVICES INC 1 ADLER DR EAST SYRACUSE, NY 13057-1223 US	EMERGENCY OIL SPILL RESPONSE AND DISPOSAL SERVICES	S	B		N	N	6		940,000	335,226	1,647	373,982	7/15/2009	C		7/31/2014
4600002134	WENDEL ENERGY SERVICES LLC STE 201 140 JOHN JAMES AUDUBON PKWY AMHERST, NY 14228-1183 US	PROGRAM MANAGEMENT & IMPLEMENTATION SERVICES FOR THE AUTHORITY'SSOUTHEAST NEW YORK (SENY) ENERGY SERVICES PROGRAM	C	B		N	N	10		30,000,000	14,549,638	4,896,280	276,398	7/1/2009	C		7/14/2014
4600002135	PARSONS BRINCKERHOFF INC FORMERLY PB AMERICAS INC 333 SEVENTH AVE - 15TH FL NEW YORK, NY 10001-5091 US	SOUTHEAST NEW YORK (SENY) ENERGY SERVICES PROGRAM	C	B		N	N	10		90,000,000	42,923,280	25,920,111	49,392	7/1/2009	O	6/30/2017	
4600002136	RCM TECHNOLOGIES INC FACILITIES DESIGN & CONSTRUCTION 2500 MCCLELLAN AVE - STE 350 PENNSAUKEN, NJ 08109-4617 US	PROGRAM MANAGEMENT & IMPLEMENTATION SERVICES FOR THE AUTHORITY'SSOUTHEAST NEW YORK (SENY) ENERGY SERVICES PROGRAM	C	B		N	N	10		20,000,000	3,675,947	1,659,285	1,714,379	7/1/2009	C		7/14/2014
4600002137	FULCRUM FACILITIES SERVICES DBA THE FULCRUM GROUP 80 BROAD ST - STE1601 NEW YORK, NY 10004-2209 US	PROGRAM MANAGEMENT & IMPLEMENTATION SERVICES FOR THE AUTHORITY'SSOUTHEAST NEW YORK (SENY) ENERGY SERVICES PROGRAM	C	B		N	N	10		30,000,000	10,278,047	2,860,891	1,205,471	7/1/2009	C		7/14/2014

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4600002141	B & N & K RESTORATION CO INC 223 RANDOLPH AVE CLIFTON, NJ 07011-1330 US	EMERGENCY ASBESTOS ABATEMENT	C	B		N	N	6		170,000	131,150	0	1,486,180	8/1/2009	C		10/15/2014
4600002143	AWS TRUEWIND LLC 463 NEW KARNER RD ALBANY, NY 12205-3880 US	WINDPOWER CONSULTING FOR OFFSHORE PROJECTS	P	B		N	N	18		2,000,000	757,888	0	50,000	6/1/2009	C		5/30/2014
4600002144	OP-TECH ENVIRONMENTAL SERVICES INC 63 TRADE RD - BUILDING 4 MASSENA, NY 13662-2575 US	ASBESTOS ABATEMENT AT NYPA'S FACILITIES	C	B		N	N	6		703,200	624,937	268,838	335,204	8/1/2009	C		10/15/2014
4600002145	WRS ENVIRONMENTAL SERVICES INC 17 OLD DOCK RD YAPHANK, NY 11980-9702 US	ASBESTOS ABATEMENT AT NYPA FACILITIES	C	B		N	N	6		63,400	0	0	500,000	7/31/2009	C		10/15/2014
4600002146	JBH ENVIRONMENTAL INC 194 ATLANTIC AVE GARDEN CITY PARK, NY 11040-5028 US	ASBESTOS ABATEMENT AT NYPA FACILITIES	C	B		N	4	6		63,400	0	0	97,529	8/1/2009	C		10/15/2014
4600002148	MILLER ENVIRONMENTAL GROUP INC 538 EDWARDS AVE CALVERTON, NY 11933-1636 US	EMERGENCY OIL SPILL RESPONSE AND DISPOSAL SERVICES	S	B		N	N	6		10,110,000	7,981,015	7,659,205	17,318	7/15/2009	C		6/30/2014
4600002149	WRS ENVIRONMENTAL SERVICES INC 17 OLD DOCK RD YAPHANK, NY 11980-9702 US	EMERGENCY OIL SPILLRESPONSE AND DISPOSAL SERVICES	S	B		N	N	6		300,000	0	0	69,212	7/15/2009	C		6/30/2014
4600002151	PRES SERVICES LLC 2430 N FOREST RD - STE 106 GETZVILLE, NY 14068-1557 US	PROGRAM MANAGEMENT AND IMPLEMENTATION SERVICES FOR FACILITIES LOCATED THROUGH OUT THE STATE OF NEW YORK	C	B		N	N	5		10,000,000	529,678	0	108,516	7/1/2009	C		6/30/2014
4600002152	WENDEL ENERGY SERVICES LLC STE 201 140 JOHN JAMES AUDUBON PKWY AMHERST, NY 14228-1183 US	PROGRAM MANAGEMENT & IMPLEMENTATION SERVICES FOR SMALL FACILITIES LOCATED THROUGHOUT THE STATE OF NEW YORK	C	B		N	N	5		10,000,000	553,500	0	111,504	7/1/2009	C		6/30/2014
4600002153	SOURCEONE INC 370 7TH AVE - STE 704 NEW YORK, NY 10001-0013 US	PROGRAM MANAGEMENT & IMPLEMENTATION SERVICES FOR SMALL FACILITIES THROUGHOUT THE STATE OF NEW YORK	C	B		N	N	5		10,000,000	6,615,283	1,961,342	1,127,146	7/1/2009	C		6/30/2014
4600002154	HATCH ASSOCIATES CONSULTANTS INC 100 SYLVAN PKWY AMHERST, NY 14228-1146 US	ON CALL WINDPOWER CONSULTING	P	B		N	N	18		2,000,000	400,628	0	356,467	7/1/2009	C		6/30/2014

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4600002155	GRAY & PAPE INC 60 VALLEY ST - STE 103 PROVIDENCE, RI 02909-7418 US	CULTURAL RESOURCES SERVICES	P	B		F	N	86		45,000	0	0	188,100	8/19/2009	C		8/18/2014
4600002156	NATIONAL VACUUM CORP 408 47TH ST NIAGARA FALLS, NY 14304-2102 US	EMERGENCY OIL SPILL RESPONSE & DISPOSAL SERVICES	S	B		N	N	6		400,000	26,018	12,418	257,851	8/26/2009	C		6/30/2014
4600002158	NASCO CONSTRUCTION SERVICES INC 200 BUSINESS PARK DR ARMONK, NY 10504-1700 US	COST ESTIMATING & SCHEDULING SERVICES	P	B		N	3	16		300,000	23,602	0	170,678	9/1/2009	C		8/31/2014
4600002159	SCHUYLER ENGINEERING PC 163 NORTH WELLWOOD AVE - STE 6 LINDENHURST, NY 11757 US	NEW YORK CITY DEPARTMENT OF BUILDINGS CONSULTING SERVICES	P	B		N	N	3		50,000	608	0	1,197,331	7/1/2009	O	2/3/2015	
4600002160	LJ GONZER ASSOCIATES 14 COMMERCE DR - STE 305 CRANFORD, NJ 7016 US	CONSTRUCTION MANAGEMENT PERSONNEL	S	B		F	N	7		1,750,000	35,621	0	149,845	10/1/2009	O	9/27/2015	
4600002161	RCM TECHNOLOGIES INC FACILITIES DESIGN & CONSTRUCTION 2500 MCCLELLAN AVE - STE 350 PENNSAUKEN, NJ 08109-4617 US	CONSTRUCTION MANAGEMENT PERSONNEL	S	B		F	N	7		1,750,000	544,529	0	1,426,190	10/1/2009	O	9/27/2015	
4600002162	HILL INTERNATIONAL INC 303 LIPPINCOTT CENTRE - STE 102 MARLTON, NJ 8053 US	CONSTRUCTION MANAGEMENT PERSONNEL	S	B		F	N	7		3,450,000	1,963,820	600,164	300,259	10/1/2009	O	9/27/2015	
4600002163	INDUSTRIAL STAFFING SERVICES INC 557 CRANBURY RD - STE 19 EAST BRUNSWICK, NJ 08816-5419 US	CONSTRUCTION MANAGEMENT PERSONNEL	S	B		F	3	7		50,000	0	0	0	10/1/2009	O	9/27/2015	
4600002166	GL GARRAD HASSAN CANADA INC 4100 MOLSON ST - STE 100 MONTREAL, PQ H1Y 3N1 CA	WIND POWER PROJECTS CONSULTING SERVICES	P	B		F	N	18		500,000	164,796	0	922	7/1/2009	C		6/30/2014
4600002167	ESS GROUP INC 10 HEMINGWAY DR - FL 2 RIVERSIDE, RI 02915-2224 US	WIND POWER PROJECTS CONSULTING SERVICES	P	B		F	N	18		500,000	0	0	0	7/1/2009	C		6/30/2014
4600002168	LANDMARK ARCHAEOLOGY INC 6242 HAWES RD ALTAMONT, NY 12009-4606 US	CULTURAL RESOURCES SERVICES	P	B		N	3	86		180,000	82,471	40,944	0	8/19/2009	C		8/18/2014

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4600002170	HARTGEN ARCHEOLOGICAL ASSOC INC 1744 WASHINGTON AVE EXTENSION RENSSELAER, NY 12144 US	CULTURAL RESOURCES SERVICES	P	B		N	N	86		150,000	132,682	9,648	157,016	8/19/2009	C		8/18/2014
4600002172	VHB ENGINEERING SURVEYING & LANDSCAPE ARCHITECTURE PC 2150 JOSHUAS PATH - STE 300 HAUPPAUGE, NY 11788-4765 US	CULTURAL RESOURCES SERVICES	P	B		N	N	86		125,000	55,788	0	1,685	8/19/2009	C		8/18/2014
4600002174	TRC ENVIRONMENTAL CORP 4425 FORBES BLVD - STE B LANHAM, MD 20706-4338 US	CULTURAL RESOURCES SERVICES	P	B		F	N	86		125,000	16,484	0	14,061	8/19/2009	C		8/18/2014
4600002175	ATLANTIC TESTING LABORATORIES LTD 6431 US HIGHWAY 11 - PO BOX 29 CANTON, NY 13617-3979 US	ST.LAWRENCE POWER PROJECT-TESTING & INSPECTION SERVICES	S	B		N	3	1		323,913	212,409	28,401	244,532	1/1/2010	C		12/31/2014
4600002177	AMERICAN ELECTRICAL TESTING CO INC 480 NEPONSET ST - BUILDING 3 CANTON, MA 02021-1971 US	ON CALL SWITCHYARD MAINTENANCE SERVICES	S	B		F	N	4		3,750,000	2,622,854	282,311	237,804	10/1/2009	O	3/31/2015	
4600002180	LIRO PROGRAM & CONST MANGMT PC 3 AERIAL WAY SYOSSET, NY 11791-5501 US	COST ESTIMATING & SCHEDULING SERVICES	P	B		N	N	16		400,000	43,533	0	77,740	10/1/2009	C		9/5/2014
4600002181	BAER & ASSOCIATES LLC 2495 MAIN ST - STE 470 BUFFALO, NY 14214-2154 US	COST ESTIMATING & SCHEDULING SERVICES	P	B		N	N	16		200,000	11,900	0	1,226,982	10/1/2009	C		9/5/2014
4600002182	HILL INTERNATIONAL INC 303 LIPPINCOTT CENTRE - STE 102 MARLTON, NJ 8053 US	COST ESTIMATING & SCHEDULING SERVICES	P	B		N	N	16		300,000	42,149	3,726	19,765,585	10/1/2009	C		9/30/2014
4600002190	SIEMENS INDUSTRY INC FORMERLY SIEMENS WATER TECH CORP 95 LOWER MORRISVILLE RD FALLSINGTON, PA 19054-1403 US	500MW: DEMINERALIZED WATER AGREEMENT	E	B		N	N	2		1,500,000	1,329,322	368,849	11,368,357	1/4/2010	O	1/31/2015	
4600002191	SIEMENS INDUSTRY INC FORMERLY SIEMENS WATER TECH CORP 95 LOWER MORRISVILLE RD FALLSINGTON, PA 19054-1403 US	SCPP: DEMINERALIZED WATER AGREEMENT	E	B		N	N	3		4,500,000	3,302,669	681,737	9,737,875	1/4/2010	O	1/31/2015	
4600002195	KONECRANES INC 1020 LEHIGH STATION RD HENRIETTA, NY 14467-9369 US	CRANE UPGRADE, DESIGN AND INSTALLATION, MAINTENANCE, REPAIR AND INSPECTION SERVICES	S	B		N	N	7		1,406,250	1,256,405	341,269	162,355	1/1/2010	C		12/31/2014

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4600002199	ABB INC 308 DRIVE 14 CLEVELAND, NY 13042-3101 US	SWITCHYARD MAINTENANCE SERVICES	S	B		N	N	4		2,000,000	573,810	0	0	10/1/2009	O	3/31/2015	
4600002200	ARCH STREET COMMUNICATIONS INC 7 BROAD ST - STE 2 PAWLING, NY 12564-1070 US	PUBLIC OUTREACH AND STRATEGIC COMMUNICATIONS SERVICES	P	B		N	3	11		1,256,530	956,271	150,779	150,000	1/1/2010	C		12/31/2014
4600002201	CROWLEY WEBB AND ASSOCIATES INC 268 MAIN ST BUFFALO, NY 14202 US	PUBLIC OUTREACH AND STRATEGIC COMMUNICATIONS SERVICES	P	B		N	N	11		62,262	62,262	900	12,812	1/17/2012	C		12/31/2014
4600002202	EMPIRE GOVERNMENT STRATEGIES 707 WESTCHESTER AVE - STE 302 WHITE PLAINS, NY 10604-3100 US	PUBLIC OUTREACH AND STRATEGIC COMMUNICATIONS SERVICES	P	B		N	N	11		31,000	30,078	0	377,737	1/1/2010	C		12/31/2014
4600002203	M J BRADLEY & ASSOCIATES LLC 47 JUNCTION SQUARE DR CONCORD, MA 01742-3049 US	PUBLIC OUTREACH AND STRATEGIC COMMUNICATIONS SERVICES	P	B		N	N	11		59,710	59,710	2,953	219,832	1/1/2010	C		12/31/2014
4600002204	ZIMMERMAN EDELSON INC 5 BOND ST - STE 1 GREAT NECK, NY 11021-2440 US	PUBLIC OUTREACH AND STRATEGIC COMMUNICATIONS SERVICES	P	B		N	N	11		51,938	51,938	0	390,712	1/1/2010	C		12/31/2014
4600002208	MACRODYNE INC 1 FAIRCHILD SQUARE - STE 5 CLIFTON PARK, NY 12065 US	CEC: MAINTENANCE OF PMU SYSTEM	S	2	8	N	N	1		250,000	92,984	0	125,000	1/1/2010	C		12/31/2014
4600002213	HO PENN MACHINERY CO INC 122 NOXON RD POUGHKEEPSIE, NY 12603-2940 US	MNR GCT PLM/STANDBY GENERATION UPGRADE PROJECT DIESEL GENERATORS	E	B		N	N	3		2,563,146	2,561,461	5,503	80,000	1/12/2010	C		5/23/2014
4600002221	DNV RENEWABLES (USA) INC 1809 7TH AVE - STE 900 SEATTLE, WA 98101-1330 US	WINDPOWER CONSULTING SERVICES	P	B		F	N	18		105,000	90,939	0	762,016	7/1/2009	C		6/14/2014
4600002222	RDS INDUSTRIES INC 4301 162ND ST FLUSHING, NY 11358-3107 US	SERVICES IN SUPPORT OF THE OPERATION AND MAINTENANCE OF SOLAR PHOTOVOLTAIC (PV) SYSTEMS	S	B		N	N	2		700,000	455,468	41,756	446,817	2/1/2010	O	1/31/2015	
4600002223	MERCURY SOLAR SYSTEMS LLC 36 MIDLAND AVE PORT CHESTER, NY 10573-4923 US	SERVICES IN SUPPORT OF THE OPERATION AND MAINTENANCE OF SOLAR PHOTOVOLTAIC (PV) SYSTEMS	S	B		N	N	2		275,000	37,196	0	136,176	2/1/2010	O	1/31/2015	
4600002243	PARSONS BRINCKERHOFF INC FORMERLY PB AMERICAS INC 75 ARLINGTON ST - 4TH FL BOSTON, MA 02116-3936 US	FERC PART 12 INSPECTION	P	B		N	N	4		192,750	115,010	12,226	829,530	4/1/2010	C		5/19/2014

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4600002254	SIEMENS INDUSTRY INC 10900 WAYZATA BLVD - STE 400 MINNETONKA, MN 55305-5602 US	CEC - ENERGY MANAGEMENT SOFTWAR (EMS) - 2010 - 2016 - UPGRADES	S	1	9	F	N	1		6,225,000	4,998,018	1,849,590	49,891	5/3/2010	O	5/2/2016	
4600002268	WENDEL ENERGY SERVICES LLC STE 201 140 JOHN JAMES AUDUBON PKWY AMHERST, NY 14228-1183 US	PROGRAM MANAGEMENT AND IMPLEMENTATION SERVICES - STATEWIDE	C	B		N	N	11		20,000,000	234,415	152,829	318,770	5/26/2010	O	5/25/2015	
4600002273	GUTH DECONZO CONSULTING ENGINEERS PC 242 W 30TH ST - 3RD FL NEW YORK, NY 10001-4903 US	PROGRAM MANAGEMENT & IMPLEMENTATION SERVICES FOR THE ENERGY SERVICES STATEWIDE PLAN	C	B		N	N	11		20,000,000	8,631,643	6,620,316	1,237,977	5/26/2010	O	5/25/2015	
4600002274	EYP ARCHITECTURE & ENGINEERING PC 1ST FL NANOFAB EAST - 257 FULLER RD ALBANY, NY 12203-3613 US	PROGRAM MANAGEMENT & IMPLEMENTATION SERVICES FOR THE ENERGY SERVICES STATEWIDE PLAN	C	B		N	N	11		10,000,000	262,125	208,925	867,844	5/26/2010	O	5/25/2015	
4600002279	GREENMAN-PEDERSEN INC 400 RELLA BLVD - STE 207 MONTEBELLO, NY 10901-4256 US	NACE COATING CONSULTANT SERVICE	S	B		N	N	5		500,000	337,645	114,233	0	7/1/2010	O	6/30/2015	
4600002281	EDM INTERNATIONAL INC 4001 AUTOMATION WAY FORT COLLINS, CO 80525-3479 US	SAGOMETER MAINTENANCE	S	1	9	N	N	1		75,000	75,000	18,750	125,881	7/2/2010	O	1/15/2015	
4600002284	ORRICK HERRINGTON & SUTCLIFFE LLP 666 FIFTH AVE NEW YORK, NY 10103-0001 US	LEGAL COUNSEL FOR UNDERWRITER SERVICES	L	B		N	N	12		150,000	0	0	27,311	6/1/2010	O	5/25/2015	
4600002285	INFORMATION TECHNOLOGY CORP OF THE TRI STATES 121 GERTRUDE AVE PARAMUS, NJ 07652-2515 US	APPLE MAC CONSULTING - MAINTENANCE & SUPPORT - PUBLIC AFFAIRS	S	B		N	N	3		360,000	347,188	72,703	586,862	8/2/2010	O	7/31/2015	
4600002286	GONZALEZ SAGGIO & HARLAN LLP 292 MADISON AVE - FL 19 NEW YORK, NY 10017-6313 US	LEGAL COUNSEL FOR BOND AND UNDERWRITER COUNSEL SERVICES	L	B		N	4	12		377,737	0	0	102,918	6/1/2010	O	5/25/2015	
4600002288	HAWKINS DELAFIELD & WOOD LLP ONE CHASE MANHATTAN PLAZA NEW YORK, NY 10005-1401 US	LEGAL COUNSEL FOR BOND SERVICES	L	B		N	N	12		1,622,000	1,402,168	132,575	36,334	7/20/2010	O	5/25/2015	
4600002289	NIXON PEABODY LLP 437 MADISON AVE NEW YORK, NY 10022-7001 US	LEGAL COUNSEL FOR DISCLOSURE COUNSEL SERVICES	L	B		N	N	12		400,000	9,288	0	337,026	7/20/2010	O	5/25/2015	

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4600002290	RIVKIN RADLER LLP ATTORNEYS AT LAW 926 RXR PLZ UNIONDALE, NY 11556-3823 US	LEGAL COUNSEL FOR ONGOING GENERAL LEGAL SERVICES	L	B		N	N	30		125,000	0	0	84,317,318	7/20/2010	O	5/25/2015	
4600002292	SCHOEMAN UPDIKE KAUFMAN STERN & ASCHER LLP 551 5TH AVE - FL 12 NEW YORK, NY 10176-1299 US	LEGAL COUNSEL FOR ONGOING GENERAL LEGAL SERVICES	L	B		N	3	30		80,000	0	0	8,912,975	7/20/2010	O	5/25/2015	
4600002293	VAN NESS FELDMAN PC 1050 THOMAS JEFFERSON ST NW WASHINGTON, DC 20007-3877 US	LEGAL COUNSEL FOR ENERGY LEGAL SERVICES	L	B		F	N	19		2,157,000	1,394,984	619,488	19,966,763	6/1/2010	O	5/25/2015	
4600002294	TROUTMAN SANDERS LLP ATTORNEYS AT LAW 401 9TH ST NW - STE 1000 WASHINGTON, DC 20004-2146 US	LEGAL COUNSEL FOR ENERGY LEGAL SERVICES	L	B		F	N	19		1,090,560	643,743	8,816	298,293	6/1/2010	O	5/25/2015	
4600002295	MERCER THOMPSON LLC 191 PEACHTREE ST NE - STE 4410 ATLANTA, GA 30303-1756 US	LEGAL COUNSEL FOR ENERGY LEGAL SERVICES	L	B		F	N	19		138,000	1,824	0	2,089,827	7/20/2010	O	5/25/2015	
4600002297	NAVIGANT CONSULTING INC 30 S WACKER DR - STE 3100 CHICAGO, IL 60606-7444 US	CONSULTANT SERVICES TO ASSIST NYPA'S RSC GROUP IN NERC RELIABILITY STANDARDS	P	B		N	N	8		3,133,915	2,304,385	156,218	135,048	7/1/2010	O	6/30/2015	
4600002299	SYSTEM OPERATIONS SUCCESS INT'L LLC 10715 SIKES PL - STE 114 CHARLOTTE, NC 28277-8175 US	SERVICES TO ASSIST RSC GROUP FOR NERC RELIABILITY STANDARDS	P	B		F	N	8		222,250	172,359	119,875	133,272	7/1/2010	O	6/30/2015	
4600002301	QUANTA TECHNOLOGY LLC 4020 WESTCHASE BLVD - STE 300 RALEIGH, NC 27607-3960 US	NERC RELIABILITY STANDARDS CONSULTING SERVICES	P	B		F	N	8		1,052,507	733,737	498,530	6,219,552	7/1/2010	O	6/30/2015	
4600002304	HOLLAND & KNIGHT LLP 31 W 52ND ST - 11TH FL NEW YORK, NY 10019-6111 US	LEGAL COUNSEL FOR ONGOING GENERAL LEGAL SERVICES	L	B		F	N	30		1,275,000	37,023	7,202	1,032,264	6/1/2010	O	5/25/2015	
4600002305	HOLLAND & KNIGHT LLP 31 W 52ND ST - 11TH FL NEW YORK, NY 10019-6111 US	LEGAL COUNSEL FOR ENERGY LEGAL SERVICES	L	B		F	N	19		3,163,000	2,295,156	1,205,786	139,929	6/1/2010	O	5/25/2015	
4600002306	RUSKIN MOSCOU FALTISCHEK PC 1425 RXR PLZ - EAST TOWER - 15TH FL UNIONDALE, NY 11556-3807 US	LEGAL COUNSEL FOR ENERGY LEGAL SERVICES	L	B		N	N	19		9,439	9,439	0	572,492	7/23/2010	C		1/1/2014
4600002308	NIXON PEABODY LLP 437 MADISON AVE NEW YORK, NY 10022-7001 US	LEGAL COUNSEL FOR ONGOING GENERAL LEGAL SERVICES	L	B		N	N	30		400,000	274,119	118,367	430,127	7/27/2010	O	5/25/2015	
4600002309	NIXON PEABODY LLP 437 MADISON AVE NEW YORK, NY 10022-7001 US	LEGAL COUNSEL FOR ENERGY LEGAL SERVICES	L	B		F	N	19		30,000	2,689	0	622,405	7/28/2010	O	5/25/2015	

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4600002310	RDS INDUSTRIES INC 4301 162ND ST FLUSHING, NY 11358-3107 US	DESIGN,FABRICATION,DELIVERY & INSTALLATION OF SOLAR PHOTOVOLTAIC(PV) SYSTEMS	C	B		N	N	4		850,000	263,138	0	321,168	7/1/2010	O	6/30/2015	
4600002311	SOLAR LIBERTY ENERGY SYSTEMS INC 6500 SHERIDAN DR - STE 120 BUFFALO, NY 14221-4845 US	DESIGN,FABRICATION,DELIVERY & INSTALLATION OF SOLAR PHOTOVOLTAIC(PV) SYSTEMS	C	B		N	N	4		850,000	747,082	0	637,205	7/1/2010	O	6/30/2015	
4600002312	CARTER LEDYARD & MILBURN 2 WALL ST NEW YORK, NY 10005 US	LEGAL COUNSEL FOR ONGOING GENERAL LEGAL SERVICES	L	B		N	N	30		201,263	164,929	41,974	211,169	7/28/2010	O	5/25/2015	
4600002313	REISMAN PEIREZ & REISMAN LLP 1305 FRANKLIN AVE - STE 270 GARDEN CITY, NY 11530-7902 US	LEGAL COUNSEL FOR ONGOING GENERAL LEGAL SERVICES	L	B		N	N	30		175,000	0	0	252,920	7/28/2010	C		5/28/2014
4600002314	WHITEMAN OSTERMAN & HANNA LLP ATTORNEYS AT LAW 1 COMMERCE PLZ ALBANY, NY 12260-1000 US	LEGAL COUNSEL FOR ONGOING GENERAL LEGAL SERVICES	L	B		N	N	30		800,000	462,974	104,895	109,691	7/28/2010	O	5/28/2015	
4600002315	MITSUBISHI HITACHI POWER SYSTEMS AMERICA-ENERGY & ENVIRONMENT LTD 645 MARTINSVILLE RD BASKING RIDGE, NJ 07920-4701 US	LPGP LEM -PUMP TURBINE UPGRADE, ACCESSORY REPLACEMENT AND UNIT OVERHAUL	C	B		F	N	6		129,122,619	44,805,301	19,595,183	331,430	7/30/2010	O	12/31/2020	
4600002316	HOHL INDUSTRIAL SERVICES INC 770 RIVERVIEW BLVD TONAWANDA, NY 14150-7880 US	INTAKE GATES AND DRAFT TUBE GATES REFURBISHMENT	S	B		N	1	7		13,649,730	4,736,755	1,158,521	187,946	7/28/2010	O	12/30/2023	
4600002317	AECOM USA INC 125 BROAD ST NEW YORK, NY 10004-2400 US	PROGRAM MANAGEMENT & IMPLEMENTATION SERVICES FOR THE ENERGY SERVICES STATEWIDE PLAN	C	B		N	N	11		20,000,000	33,237	2,500	275,000	5/26/2010	O	5/25/2015	
4600002319	CARCO GROUP INC 5000 CORPORATE CT - STE 203 HOLTSVILLE, NY 11742 US	BACKGROUND INVESTIGATION SERVICES	S	C		N	N	9		2,300,000	2,001,707	465,436	108,550	7/1/2010	O	6/30/2015	
4600002321	MUNICIPAL TESTING LABORATORY INC 375 RABRO DR - PO BOX 14124 HAUPPAUGE, NY 11788-4227 US	SPECIAL INSPECTION & LABORATORY TESTING SERVICES	P	B		N	N	3		5,000,000	2,910,173	1,003,716	650,000	8/26/2010	O	8/25/2015	
4600002322	SIRINA PROTECTION SYSTEMS CORP 112 W 34TH ST - STE 2102 NEW YORK, NY 10120-2401 US	WATER MIST FIRE PROTECTION SYSTEM BLENHEIM-GILBOA VISITOR CENTER	E	B		N	N	1		701,845	566,797	21,845	615,156	8/31/2010	C		8/31/2014

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4600002326	UNITED SUPPLY SYSTEMS A DIV OF PARADIGM GROUP 350 MICHAEL DR SYOSSET, NY 11791-5307 US	WHITE PLAINS OFFICE - JANITORIAL SUPPLIES	E	B		N	N	4		375,000	241,728	60,155	67,539	11/1/2010	O	10/31/2015	
4600002330	DAY & ZIMMERMANN NPS INC 1818 MARKET ST PHILADELPHIA, PA 19103 US	SENY: GENERAL MAINTENANCE SERVICES	S	B		F	N	2		21,000,000	14,780,448	3,716,847	645,559	11/1/2010	O	10/31/2015	
4600002339	T Y LIN INTERNATIONAL ENGINEERING ARCHITECTURE & LAND SURVEYING PC 255 EAST AVE ROCHESTER, NY 14604-2625 US	ON-CALL COMPLIANCE ENGINEERS TO THE AUTHORITY ON AN AS NEEDED BASIS	S	B		N	N	8		425,000	0	0	160,141	10/1/2010	C		4/28/2014
4600002340	COLDEN CORP 5842 HERITAGE LANDING DR EAST SYRACUSE, NY 13057-9359 US	INDUSTRIAL HYGIENE/OCCUPATIONAL HEALTH AND SAFETY SERVICES	S	B		N	N	3		2,200,000	1,167,736	461,478	1,873,050	11/22/2010	C		12/5/2014
4600002342	GOMEZ AND SULLIVAN ENGINEERS PC 288 GENESEE ST UTICA, NY 13502 US	BG RELICENSING	P	B		N	N	4		802,934	663,005	280,742	1,781	12/2/2010	O	12/1/2015	
4600002348	WESCO DISTRIBUTION INC 60 HOFFMAN AVE HAUPPAUGE, NY 11788-4718 US	PROVIDE FLUORESCENT, HID AND ENCORE LIGHTING FIXTURES AND EQUIPMENT FOR SENY PROJECTS	E	B		N	N	3		3,113,601	2,541,109	18,713	100,000	1/1/2011	C		4/30/2014
4600002350	M G MCLAREN PC 100 SNAKE HILL RD WEST NYACK, NY 10994 US	UNDERWATER INSPECTION SERVICES	S	B		N	N	10		500,000	69,873	52,823	337,835	1/3/2011	O	12/30/2015	
4600002351	BIDCO MARINE GROUP INC 220 KATHERINE ST BUFFALO, NY 14210-2008 US	UNDERWATER INSPECTION SERVICES	S	B		N	1	10		1,500,000	877,595	277,975	105,634	1/3/2011	O	12/30/2015	
4600002352	SJB SERVICES INC 5167 S PARK AVE HAMBURG, NY 14075 US	NIAGARA POWER PROJECT - ON-CALL TESTING	S	B		N	N	4		400,000	78,832	0	66,245	1/1/2011	O	12/31/2015	
4600002353	RCM TECHNOLOGIES INC RCM TECHNOLOGIES (USA) INC 99 BAUER DR OAKLAND, NJ 07436-3101 US	PROVIDE ENGINEERING SERVICES IN SUPPORT OF ENERGY SERVICES AND DISTRIBUTED GENERATION PROJECTS	P	B		F	N	29		650,000	12,795	0	86,599	1/3/2011	O	1/2/2016	
4600002355	RCM TECHNOLOGIES INC FACILITIES DESIGN & CONSTRUCTION 2500 MCCLELLAN AVE - STE 350 PENNSAUKEN, NJ 08109-4617 US	PROVIDE ENGINEERING SERVICES FOR ENERGY SERVICES & DISTRIBUTED GENERATION PROJECTS	P	B		F	N	29		400,000	188,831	12,605	941,715	1/3/2011	O	1/2/2016	
4600002357	LUCIUS PITKIN INC 304 HUDSON ST - 4TH FL NEW YORK, NY 10013-1015 US	ON-CALL FAILURE ANALYSIS METALLURGICAL EXAMINATION AND TESTING SERVICES	P	B		N	N	3		680,000	427,080	269,970	376,797	1/1/2011	O	12/31/2015	

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4600002359	ALTRAN SOLUTIONS CORP 1 ADVANTAGE CT - STE A BORDENTOWN, NJ 08505-9630 US	PROVIDE ENGINEERING SERVICES IN SUPPORT OF ENERGY SERVICES AND DISTRIBUTED GENERATION PROJECTS	P	B		F	N	29		400,000	290,309	0	139,805	1/3/2011	O	1/2/2016	
4600002360	SENSOR SWITCH INSTALLATIONS LLC 3 ESSEX SQ ESSEX, CT 06426-1113 US	PROVIDE OCCUPANCY SENSORS AND DAYLIGHT CONTROL DEVICES	E	B		N	3	3		500,000	168,570	654	50,000	1/1/2011	C		3/31/2014
4600002361	ENERGY CONSERVATION & SUPPLY 55 WASHINGTON ST STE 302A BROOKLYN, NY 11201 US	PROVIDE OCCUPANCY SENSORS AND DAYLIGHT CONTROL DEVICES FOR THE POWER AUTHORITY'S HELP PROJECTS	E	B		N	N	3		500,000	312,054	6,864	50,000	1/1/2011	C		3/31/2014
4600002368	WM GROUP ENGINEERS PC 370 7TH AVE - STE 701 NEW YORK, NY 10001-3913 US	PROVIDE ENGINEERING SERVICES FOR ENERGY SERVICES & DISTRIBUTED GENERATION PROJECTS	A	B		N	4	29		275,000	0	0	915,540	1/3/2011	O	1/2/2016	
4600002370	APOGEE TRANSLITE INC 593 ACORN ST DEER PARK, NY 11729-3617 US	FURNISH & DELIVER LIGHTING EQUIPMENT FOR ASSORTED PROJECTS THROUGHOUT SOUTHEAST NEW YORK	E	B		N	N	3		249,850	141,300	0	250,000	3/1/2011	C		2/28/2014
4600002373	CANDELA SYSTEMS CORP 148 ROUTE 202 SOMERS, NY 10589-1606 US	FURNISH & DELIVER SPECULAR RETROFIT REFLECTORS KITS ON AN AS NEEDED BASIS THROUGHOUT NEW YORK STATE	E	B		N	4	2		650,000	0	0	4,090,937	3/1/2011	O	2/28/2015	
4600002374	ADVANCED ENERGY & LIGHTING INC 23 E 10 ST - STE 615 NEW YORK, NY 10003-6137 US	FURNISH & DELIVER SPECULAR REFLECTOR RETROFIT KITS FOR PROJECTS THROUGHOUT NEW YORK STATE	E	B		N	N	2		650,000	34,844	0	2,227,174	3/1/2011	O	2/28/2015	
4600002378	GOMEZ AND SULLIVAN ENGINEERS PC 288 GENESEE ST UTICA, NY 13502 US	B-G PUMPED STORAGE PLANT - FERC PART 12D DAM SAFETY INSPECTION &REPORT	P	B		N	N	6		230,000	162,461	33,829	4,490,831	5/25/2010	C		12/31/2014
4600002384	SIEMENS INDUSTRY INC 4401 FAIR LAKES CT - STE 400 FAIRFAX, VA 22033-3848 US	ENERGY COMMODITY RISK ANALYSIS SOFTWARE SOLUTION	E	B		F	N	6		1,900,000	1,254,441	298,333	3,915,329	4/1/2011	O	3/31/2015	
4600002391	TEST PRODUCTS INC (TPI) 302 NEW MILL LANE EXTON, PA 19341 US	STATION BATTERY TESTING	S	B		N	N	3		325,000	164,859	51,921	556,172	4/1/2011	O	3/31/2016	
4600002392	BLACK & VEATCH NEW YORK LLP 11401 LAMAR AVE OVERLAND PARK, KS 66211-1508 US	GENERAL ELECTRIC RATE CONSULTING SERVICES	P	B		N	N	6		2,000,000	126,950	0	218,935	4/1/2011	O	3/31/2016	

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4600002394	WELSBACH ELECTRIC CORP 111-01 14TH AVE COLLEGE POINT, NY 11356-0252 US	POTHEAD REPLACEMENT PROJECT NIAGARA LPGP LEM PROJECT	C	B		N	N	3		3,356,337	3,354,556	384,592	1,564,106	4/7/2011	C		4/6/2014
4600002396	FERGUSON ELECTRIC CONSTRUCTION CO INC 333 ELLICOTT ST BUFFALO, NY 14203-1618 US	GENERATOR STEP UP TRANSFORMER INSTALLATION FOR THE NIAGARA LPGP LEM PROJECT	C	B		N	N	3		4,552,299	3,888,776	825,564	0	4/4/2011	C		1/1/2014
4600002400	CASTLE POWER SOLUTIONS LLC 22 HUDSON FALLS RD - STE B SOUTH GLENS FALLS, NY 12803-5067 US	POWER FACTOR CORRECTIONS & POWER QUALITY IMPROVEMENTS	C	B		N	N	3		100,000	0	0	327,119	4/1/2011	O	3/31/2016	
4600002405	URS CORP ONE PENN PLAZA - SE 610 NEW YORK, NY 10119-0698 US	CONSULTING FOR ENVIRONMNETAL, HEALTH AND SAFETY AUDITS AT NYPA OPERATING FACILITIES	P	B		N	N	13		375,000	37,165	0	1,134,295	6/8/2011	O	6/7/2016	
4600002406	CB & I ENVIRONMENTAL & INFRASTRUCTURE INC 13 BRITISH AMERICAN BLVD LATHAM, NY 12110-1431 US	CONSULTING FOR ENVIRONMENTAL, HEALTH AND SAFETY AUDITS AT NYPA OPERATING FACILITIES	P	B		N	N	13		375,000	269,366	60,641	388,346	6/8/2011	O	6/7/2016	
4600002409	UNIVERSAL OFFICE CLEANING INC PO BOX 716 SYOSSET, NY 11791 US	FLYNN/BRENTWOOD: JANITORIAL SERVICES	S	B		N	N	9		160,000	93,755	25,440	500,000	7/1/2011	C		10/31/2014
4600002411	GOMEZ AND SULLIVAN ENGINEERS PC 288 GENESEE ST UTICA, NY 13502 US	PART 12 FERC DAM SAFETY INSPECTION AND REPORT FOR THE CRESCENT AND VISCHER FERRY HYDROELECTRIC PROJECTS	P	B		N	N	6		206,000	119,401	0	874,728	6/30/2011	O	6/29/2015	
4600002414	ALSTOM POWER INC 7901 SOUTHPARK PLZ - STE 110 LITTLETON, CO 80120-4505 US	RMNPP UNITS 2 AND 13 STANDARDIZATION	C	B		F	N	2		8,943,359	8,001,644	271,514	3,038,985	7/1/2011	C		5/31/2014
4600002419	ALSTOM GRID INC DISCONNECT SWITCHES DIV 11 GUTTMAN BLVD CHARLEROI, PA 15022-1016 US	765 KV MODS	E	B		F	N	2		3,277,012	2,900,215	717,910	2,488,851	7/7/2011	O	12/31/2015	
4600002425	KONECRANES INC 1020 LEHIGH STATION RD HENRIETTA, NY 14467-9369 US	OSHA ANNUAL CRANE AND FLEET INSPECTION SERVICES	P	B		N	N	1		375,000	235,195	135,799	660,151	7/20/2011	O	7/19/2016	
4600002426	LJ GONZER ASSOCIATES 14 COMMERCE DR - STE 305 CRANFORD, NJ 7016 US	DESIGN AND DRAFTING SERVICES FOR ENERGY SERVICES PROJECTS	S	B		F	N	8		50,000	0	0	449,799	7/1/2011	O	6/30/2016	

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4600002427	CDM CONSTRUCTORS INC 60 CROSSWAYS PARK W - STE 340 WOODBURY, NY 11797-2018 US	DESIGN AND DRAFTING SERVICES FOR ENERGY SERVICES PROJECTS	S	B		N	N	8		50,000	0	0	385,267	7/1/2011	O	6/30/2016	
4600002428	RITTER GROUP USA INC FORMERLY REGASOL USA INC 1927 W MAIN ST STROUDSBURG, PA 18360-1029 US	FURNISH & DELIVER SOLAR THERMAL EQUIPMENT FOR THE NYPA'S ENERGY SERVICES PROJECTS	E	B		N	N	4		1,350,000	434,460	42,573	6,047,556	8/5/2011	C		11/14/2014
4600002429	D J H MECHANICAL SERVICES INC 155 E KINGSBRIDGE RD MOUNT VERNON, NY 10550-4929 US	FURNISH & DELIVER SOLAR THERMAL EQUIPMENT FOR NYPA'S VARIOUS ENERGY SERVICES PROJECTS	E	B		N	4	4		250,000	0	0	43,588	8/5/2011	O	2/4/2015	
4600002430	EME CONSULTING ENGINEERING GRP LLC 159 WEST 25TH ST NEW YORK, NY 10001-7203 US	RETRO-COMMISSIONING, THIRD PARTY COMMISSIONING AND PROJECT IMPLEMENTATION SERVICES	C	B		N	N	9		4,860,400	769,463	118,418	1,188,933	9/7/2011	C		11/13/2014
4600002431	WSP FLACK + KURTZ 512 7TH AVE NEW YORK, NY 10018 US	RETRO-COMMISSIONING, THIRD PARTY COMMISSIONING AND PROJECT IMPLEMENTATION SERVICES	C	B		F	N	9		2,500,000	272,826	18,920	1,909,710	9/7/2011	C		11/13/2014
4600002432	HORIZON ENGINEERING ASSOCIATES LLP MICHAEL ENGLISH 30 BROAD ST - 15TH FL NEW YORK, NY 10004-2969 US	RETRO-COMMISSIONING, THIRD PARTY COMMISSIONING AND PROJECT IMPLEMENTATION SERVICES	C	B		N	N	9		5,000,000	509,169	85,140	381,020	9/7/2011	C		11/13/2014
4600002436	EATON CORP 690 RAHWAY AVE UNION, NJ 07083-6632 US	CONTROL SYSTEM INTEGRATION PROJECT AT LEWSITON PUMP GENERATING PLANT	C	C		F	N	5		13,222,139	9,306,810	4,961,888	40,603	9/27/2011	O	11/27/2020	
4600002438	TRC ENGINEERS INC 1430 BROADWAY - FL 10 NEW YORK, NY 10018-9202 US	ON CALL ENGINEERING SERVICES	P	B		F	N	23		2,039,572	1,483,400	617,797	3,351,578	10/1/2011	O	9/30/2015	
4600002439	GREENMAN-PEDERSEN INC 400 RELLA BLVD - STE 207 MONTEBELLO, NY 10901-4256 US	ON CALL ENGINEERING SERVICES	A	B		N	N	23		866,051	647,116	190,752	4,984,819	10/1/2011	O	9/30/2015	
4600002440	AECOM USA INC 125 BROAD ST NEW YORK, NY 10004-2400 US	ON CALL ENGINEERING SERVICES	P	B		N	N	23		6,880,242	5,316,136	2,419,244	5,000,000	10/1/2011	O	9/30/2015	
4600002441	STONE & WEBSTER ENGINEERNIG NEW YORK PC 100 TECHNOLOGY CENTER DR STOUGHTON, MA 2072 US	ON CALL ENGINEERING SERVICES	P	B		F	N	23		214,135	214,135	0	5,000,000	10/1/2011	O	9/30/2015	

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4600002442	MONROE STAFFING SERVICES LLC PO BOX 187 MONROE, CT 06468-0187 US	MONROE STAFFING IT TEMPORARY PERSONNEL	S	B		N	N	45		1,100,000	772,881	238,293	18,027,237	10/1/2011	O	6/30/2015	
4600002443	NAUTILUS CONSULTING LLC 6800 JERICHO TPKE - STE 216E SYOSSET, NY 11791-4445 US	RISK MANAGEMENT CONSULTING SERVICES FOR THE AUTHORITY'S ENERGY SERVICES DIVISION	P	B		N	N	11		2,500,000	1,365,705	388,568	151,137	10/1/2011	O	9/30/2016	
4600002444	ARCADIS US INC 655 3RD AVE - 12TH FL NEW YORK, NY 10017-5617 US	CONSTRUCTION CLAIMS RISK MANAGEMENT CONSULTANT SERVICES TO THE AUTHORITY ON AN-AS-NEEDED BASIS	P	B		N	N	11		500,000	111,654	61,323	95,699	10/1/2011	O	9/30/2016	
4600002445	THE LOUIS BERGER GROUP INC 48 WALL ST - 16TH FL NEW YORK, NY 10005-2927 US	CONSTRUCTION CLAIMS RISK MGT. CONSULTANT TO THE AUTHORITY ON AN-AS-NEEDED BASIS	P	B		N	N	11		500,000	0	0	756,397	10/1/2011	O	9/30/2016	
4600002446	HILL INTERNATIONAL INC 303 LIPPINCOTT CENTRE - STE 102 MARLTON, NJ 8053 US	CONSTRUCTION CLAIMS RISK MGT. SERVICES TO THE AUTHORITY ON-AN-AS-NEEDED BASIS	P	B		N	N	11		1,500,000	625,272	101,967	3,916,328	10/1/2011	O	9/30/2016	
4600002447	MILLER ENVIRONMENTAL GROUP INC 538 EDWARDS AVE CALVERTON, NY 11933-1636 US	GENERAL ENVIRONMENTAL SERVICES FOR THE AUTHORITY'S SENY POWER PLANTS	S	B		N	N	5		5,000,000	1,961,015	755,458	1,686,586	10/1/2011	O	9/30/2016	
4600002448	ALLSTATE POWER VAC INC DBA EQ INDUSTRIAL SERVICES 928 E HAZELWOOD AVE RAHWAY, NJ 07065-5634 US	GENERAL ENVIRONMENTAL SERVICES FOR THE AUTHORITY'S SENY POWER PLANTS	S	B		N	N	5		2,500,000	11,149	1,200	50,000	10/1/2011	O	9/30/2016	
4600002449	MITCHELL MARTIN INC DBA GARRETT SAYER GROUP 307 W 38TH ST - STE 1305 NEW YORK, NY 10018-9521 US	IT TEMPORARY PERSONNEL	S	B		N	N	45		2,300,000	1,639,849	424,772	2,285,162	10/1/2011	O	6/30/2015	
4600002450	UNIQUE COMP INC 2708 42ND RD LONG ISLAND CITY, NY 11101-4112 US	IT TEMPORARY PERSONNEL	S	B		N	4	45		1,000,000	550,201	269,399	895,000	10/1/2011	O	3/30/2015	
4600002451	CARLYLE CONSULTING SERVICES INC 641 LEXINGTON AVE - STE 1526 NEW YORK, NY 10022 US	IT TEMPORARY PERSONNEL	S	B		N	1	45		1,550,000	1,164,733	698,677	3,346,744	10/1/2011	O	6/30/2015	
4600002452	VEOLIA ES TECHNICAL SOLUTIONS LLC 1275 MINERAL SPRINGS DR PORT WASHINGTON, WI 53074-2163 US	RECYCLING OF LIGHT BALLASTS AND LAMPS AND DISPOSAL OF SMALL CAPACITORS, MERCURY EQUIP., BATTERIES AND PCB IMPACTED LIGHT FIX	S	B		N	N	2		7,500,000	1,452,444	491,358	1,842,185	10/1/2011	O	9/30/2016	

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4600002453	RCG GLOBAL SERVICES INC 379 THORNALL ST - 14TH FL EDISON, NJ 08837-2225 US	IT TEMPORARY PERSONNEL	S	B		N	N	45		660,000	616,412	189,036	4,943,000	10/1/2011	O	6/30/2015	
4600002456	COYNE TEXTILE SERVICES INC 140 CORTLAND AVE SYRACUSE, NY 13202-3411 US	LEASE/PURCHASE OF FIRE RETARDANT CLOTHING (FRC) FOR UPSTATE SITES(B-G, CEC, NIA, STL AND WPO)	E	B		N	N	4		1,850,000	661,067	226,797	390,894	1/1/2012	O	12/31/2016	
4600002457	GE ENERGY CONTROL SOLUTIONS INC 1800 NELSON RD LONGMONT, CO 80501-6324 US	DESIGN,FURNISH,DELIVER 12 STATIC EXCITATION SYSTEMS	E	B		F	N	5		4,124,270	2,214,560	162,278	50,000	12/2/2011	O	12/31/2021	
4600002458	SILICON SOLAR LLC DBA SUNMAXX SOLAR 56 BROOME CORPORATE PKWY CONKLIN, NY 13748-1506 US	FURNISH & DELIVER OF SOLAR THERMAL EQUIPMENT - FLAT PLATE TYPE SOLAR COLLECTORS FOR THE ENERGY SERVICE PROJECTS	E	B		N	N	4		400,000	18,980	0	138,151	12/2/2011	O	2/4/2015	
4600002461	READSOFT INC 3838 N CAUSEWAY BLVD - STE 2400 METAIRIE, LA 70002-8308 US	IMPLEMENT, MAINTENANCEAIN ACCOUNTS PAYABLE AUTOMATION SYSTEM SOFTWARE	S	B		N	N	5		496,000	455,397	35,329	82,685	12/23/2011	O	9/30/2017	
4600002462	DIALIGHT CORP 1501 RTE 34 S FARMINGDALE, NJ 7727 US	FURNISH & DELIVER OF LED BULBS FOR THE NEW YORK CITY TRANSIT SUBWAY TUNNELS FOR THE ENERGY SERVICES DEPARTMENT	E	B		N	N	3		5,500,000	2,148,422	245,582	391,100	12/19/2011	O	12/18/2016	
4600002463	WENDEL CONSTRUCTION INC 140 JOHN JAMES AUDUBON PKWY STE 201 AMHERST, NY 14228 US	PROGRAM MANAGEMENT & IMPLEMENTATION SERVICES FOR DATA CENTER ENERGY EFFICIENCY PROJECTS	C	B		N	N	8		5,000,000	15,181	15,181	1,842,902	1/1/2012	O	12/31/2016	
4600002464	SOURCEONE INC 370 7TH AVE - STE 704 NEW YORK, NY 10001-0013 US	PROGRAM MANAGEMENT & IMPLEMENTATION SERVICES FOR DATA CENTER ENERGY EFFICIENCY PROJECTS	C	B		N	N	8		5,000,000	0	0	488,450	1/1/2012	O	12/31/2016	
4600002465	RCM TECHNOLOGIES INC FACILITIES DESIGN & CONSTRUCTION 2500 MCCLELLAN AVE - STE 350 PENNSAUKEN, NJ 08109-4617 US	PROGRAM MANAGEMENT & IMPLEMENTATION SERVICES FOR DATA CENTER ENERGY EFFICIENCY PROJECTS	C	B		N	N	8		5,000,000	0	0	91,943	1/1/2012	O	12/31/2016	
4600002467	NAES CORP 1 COLLINS DR - STE 100 CARNEYS POINT, NJ 8069 US	SCPP: OPERATION AND MAINTENANCE SERVICES	S	B		N	N	5		35,000,000	16,972,763	6,551,750	419,112	1/1/2012	O	12/31/2016	

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4600002470	AQUATIPRO DIV OF SENTRY EQUIPMENT CORP 966 BLUE RIBBON CIR N OCONOMOWOC, WI 53066-8666 US	MAINTENANCE SERVICES FOR ONLINE PROCESS CHEMISTRY PANEL ANALYZERS AT THE AUTHORITY'S 500MW COMBINED CYCLE PLANT ON AN AS-NEEDED-BASIS	S	B		N	N	1		200,000	48,863	21,655	15,447	1/3/2012	O	12/31/2016	
4600002471	RFJ INSULATION CONTRACTOR INC 232-C ORINOCO DR BRIGHTWATERS, NY 11718 US	SENY:PROVIDE AS-NEEDED BASIS REPAIR OF EXISTING INSULATION AND INSTALL NEW INSULATION	S	B		N	N	3		240,000	144,301	60,036	4,515,949	1/3/2012	O	12/31/2016	
4600002472	AON FIRE PROTECTION ENGINEERING OF ILLINOIS PC 1600 SUMMER ST - 6TH FL STAMFORD, CT 06905-5125 US	PERMITTING SERVICES TO THE AUTHORITY'S SENY FACILITIES	P	B		N	N	3		200,000	0	0	200,000	1/3/2012	C		7/8/2014
4600002474	WALTER T GORMAN PE PC 99 TULIP AVE - STE 106 FLORAL PARK, NY 11001-1927 US	PERMITTING SERVICES TO THE AUTHORITY SENY FACILITIES	P	B		N	N	3		1,000,000	243,603	136,979	55,278	1/3/2012	C		12/31/2014
4600002475	ROTATOR STAFFING SERVICES INC 25 KENNEDY BLVD E BRUNSWICK, NJ 08816-1259 US	TEMPORARY ENGINEERING PERSONNEL ON AN AS NEEDED-BASIS	S	B		N	N	13		9,500,000	5,583,672	2,144,577	122,900	1/3/2012	O	12/31/2016	
4600002476	LJ GONZER ASSOCIATES 14 COMMERCE DR - STE 305 CRANFORD, NJ 7016 US	TEMPORARY ENGINEERING PERSONNEL ON AN AS NEEDED-BASIS	S	B		N	N	13		4,000,000	2,313,414	1,161,562	200,000	1/3/2012	O	12/31/2016	
4600002477	METRO TECH CONSULTING SERVICES INC 230 W 41ST ST - 13TH FL NEW YORK, NY 10036-7207 US	TEMPORARY ENGINEERING PERSONNEL ON AN AS NEEDED-BASIS	S	B		N	N	13		50,000	0	0	200,000	1/3/2012	O	12/31/2016	
4600002478	NPTS INC 2060 SHERIDAN DR BUFFALO, NY 14223-1470 US	TEMPORARY ENGINEERING PERSONNEL ON AN AS NEEDED-BASIS	S	B		N	4	13		6,450,000	4,164,838	2,016,394	200,000	1/3/2012	O	12/31/2016	
4600002479	PFM ASSET MANAGEMENT LLC TWO LOGAN SQUARE 18TH & ARCH STREETS - STE 1600 PHILADELPHIA, PA 19103 US	FINANCIAL MANAGEMENT CONSULTING SERVICES FOR AUTHORITY'S OPEB AND NUCLEAR DECOMMISSIONING TRUST FUNDS	P	B		F	N	2		895,000	0	0	215,860	2/14/2012	O	2/13/2017	
4600002481	GOMEZ AND SULLIVAN ENGINEERS PC 288 GENESEE ST UTICA, NY 13502 US	NIAGARA POWER PROJECT - COMPLIANCE AND IMPLEMENTATION SERVICES	P	B		N	N	1		8,000,000	4,653,256	1,518,883	250,000	3/1/2012	O	2/28/2017	

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4600002482	CLEAREDGE POWER CORP FORMERLY UTC POWER CORP 195 GOVERNORS HWY SOUTH WINDSOR, CT 06074-2419 US	FUEL CELL MAINTENANCE SERVICES	S	B		F	N	1		3,000,000	1,157,815	120,146	230,863	1/1/2012	C		12/31/2014
4600002485	WILLDAN ENERGY SOLUTIONS INC STE 303 1 EXCHANGE PLZ - 55 BROADWA NEW YORK, NY 10006-3758 US	PROGRAM MANAGEMENT & IMPLEMENTATION SERVICES DATA CENTER ENERGY EFFFICIENT PROJECTS	C	B		N	N	8		5,000,000	57,000	0	83,097,946	1/1/2012	O	12/31/2016	
4600002486	ECLARO INTERNATIONAL INC 450 7TH AVE - STE 506 NEW YORK, NY 10123-0506 US	TEMPORARY PERSONNEL	S	B		N	4	45		1,455,000	1,064,106	530,744	567,919	1/20/2012	O	6/30/2015	
4600002488	LCSJ COMMUNICATIONS INC 326 SAINT PAUL PL - STE 300B BALTIMORE, MD 21202-2110 US	PROVISION OF TEMPORARY RF/MICROWAVE ENGINEERING SERVICES	S	B		F	N	2		50,000	0	0	415,512	2/7/2012	C		12/31/2014
4600002489	TCE SYSTEMS INC 4819 25TH AVE ASTORIA, NY 11103-1005 US	PROVISION OF TEMPORARY RF/MICROWAVE ENGINEERING SERVICES	S	B		N	3	2		150,000	11,849	9,221	1,692,278	2/7/2012	C		12/31/2014
4600002496	ABLE TESTING & INSPECTION INC PO BOX925 COBLESKILL, NY 12043 US	NON DESTRUCTIVE EXAMINATION (NDE) & WELDING QUALIFICATION SERVICES FOR BLENHEIM GILBOA & SMALL HYDRO POWER PROJECTS	P	B		N	N	2		95,000	12,315	9,896	1,000,000	4/1/2012	O	3/31/2015	
4600002500	FERGUSON ELECTRIC CONSTRUCTION CO INC 333 ELLICOTT ST BUFFALO, NY 14203-1618 US	DESIGN, FURNISH, DELIVER & INSTALL THE ISO PHASE BUS & PHASE REVERSAL SWITCH AT THE LEWISTON PUMP GENERATING PLANT	C	B		N	N	4		1,606,385	1,215,285	791,585	1,000,000	3/27/2012	O	11/27/2020	
4600002501	NEXANT INC 44 S BROADWAY - STE 404 WHITE PLAINS, NY 10601-4439 US	CONSULTING SERVICES FOR REVIEW, ANALYSIS AND SUPPORT IN UTUILITYDELIVERY SERVICE RATE FILINGS	P	B		N	N	3		2,000,000	157,098	19,809	243,385	3/27/2012	O	3/26/2017	
4600002504	BAY CRANE SERVICE INC 11-02 43RD AVE LONG ISLAND CITY, NY 11101-9717 US	SENY: CRANE RENTAL WITH OPERATOR SERVICES	E	B		N	N	2		880,000	391,550	122,995	5,000,000	4/1/2012	O	3/31/2017	
4600002505	GENCON CONSTRUCTION CO 393 SMITH RD SHIRLEY, NY 11967-2214 US	SENY: CRANE RENTAL WITH OPERATOR SERVICES	E	B		N	1	2		220,000	128,057	56,850	4,987,358	4/1/2012	O	3/31/2017	
4600002506	ULTRA ELECTRONICS OCEAN SYSTEMS 115 BAY STATE DR BRAINTREE, MA 02184-5203 US	CRESCENT & VISCHER FERRY - INSTALLATION, MAINTENANCE, & REMOVAL OF THE FISH DETERRENT SYSTEMS	S	B		N	N	1		667,518	248,406	56,562	1,387,042	5/1/2012	O	4/30/2017	

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4600002507	CME ASSOCIATES INC PO BOX 5490 SYRACUSE, NY 13220-5490 US	CONCRETE AND SOIL TESTING SERVICES	S	B		N	N	7		95,000	79,553	77,084	3,898,858	4/2/2012	O	4/1/2015	
4600002509	SOLAR LIBERTY ENERGY SYSTEMS INC 6500 SHERIDAN DR - STE 120 BUFFALO, NY 14221-4845 US	FURNISH, DELIVERY & INSTALL ROOF MOUNTED SOLAR PHOTOVOLTAIC SYSTEMS	C	B		N	N	16		4,750,000	234,051	234,051	72,098	4/1/2012	O	3/31/2017	
4600002510	INDUSTRIAL STAFFING SERVICES INC 557 CRANBURY RD - STE 19 EAST BRUNSWICK, NJ 08816-5419 US	RECRUITMENT SERVICES	P	B		F	3	13		200,000	0	0	64,620	4/4/2012	O	4/3/2017	
4600002516	WESCO DISTRIBUTION INC 60 HOFFMAN AVE HAUPPAUGE, NY 11788-4718 US	PROVIDE FLUORESCENT LIGHTING EQUIPMENT FOR 1 CENTER STREET (MUNICIPAL BUILDING) FOR THE HIGH EFFICIENCY LIGHTING PROGRAM	E	B		N	N	4		307,700	252,422	0	0	5/1/2012	C		4/30/2014
4600002517	RANDSTAD TECHNOLOGIES LP DBA RANDSTAD ENGINEERING 225 SCIENTIFIC DR NORCROSS, GA 30092-2905 US	RECRUITMENT SERVICES	P	B		F	N	13		200,000	77,100	59,300	640,541	4/27/2012	O	4/26/2017	
4600002522	RECOURSE COMMUNICATIONS INC 550 HERITAGE DR - STE 200 JUPITER, FL 33458 US	RECRUITMENT SERVICES	P	B		F	N	13		200,000	0	0	64,410	5/11/2012	O	5/10/2017	
4600002523	KMQ ENTERPRISES INC DBA TAILWIND ASSOCIATES 1462 ERIE BLVD SCHENECTADY, NY 12305-1026 US	RECRUITMENT SERVICES	P	B		N	N	13		200,000	0	0	1,825	5/17/2012	O	5/16/2017	
4600002524	K G INDUSTRIES INC 2708 42ND RD LONG ISLAND CITY, NY 11101-4112 US	RECRUITMENT SERVICES	P	B		N	4	13		200,000	0	0	2,305,045	5/17/2012	O	5/16/2017	
4600002526	FBMC BENEFITS MANAGEMENT INC 3101 SESSIONS RD TALLAHASSEE, FL 32303-2874 US	WEB ENROLLMENT & BENEFITS/COMPENSATION STATEMENTS SERVICES	S	B		F	N	3		350,000	134,140	49,486	19,476,472	6/1/2012	O	5/31/2017	
4600002527	SOLAR ELECTRIC SYSTEMS INC 2 TIBBITS AVE WHITE PLAINS, NY 10606-2313 US	FURNISH, DELIVERY & INSTALL ROOF MOUNTED SOLAR PHOTOVOLTAIC SYSTEMS	C	B		N	N	16		250,000	0	0	1,127	4/1/2012	O	3/31/2017	
4600002530	CEMTEK SYSTEMS INC 2013 SOUTH WOOD AVE LINDEN, NJ 7036 US	SCPP: PROVIDE CONTINUOUS EMISSION MONITORING SYSTEM (CEMS) AND HARDWARE CONSUMABLES	S	B		N	N	5		600,000	369,137	170,029	87,232	7/1/2012	O	6/30/2015	

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4600002534	ANDRITZ HYDRO CORP 10735 DAVID TAYLOR DR - STE 500 CHARLOTTE, NC 28262-1289 US	MOTOR GENERATOR UPGRADE	C	B		F	N	5		90,989,359	7,891,413	0	9,972,000	7/1/2012	O	12/1/2020	
4600002535	TRADEBE ENVIRONMENTAL SERVICES LLC FORMERLY UNITED OIL RECOVERY INC 47 GRACEY MERIDEN, CT 6451 US	UNITED OIL RECOVERY DBA UNITED INDUSTRIAL SERVICES WILL PROVIDE WASTE DISPOSL SERVICES FOR THE AUTHORITY	S	B		N	N	46		600,000	32,081	11,022	5,326,850	7/1/2012	O	12/31/2015	
4600002536	COMPNOVA INC 300 N COIT RD - STE 340 RICHARDSON, TX 75080-5482 US	IT TEMPORARY PERSONNEL	S	B		N	N	45		760,000	344,488	141,524	31,216,679	7/5/2012	O	6/30/2015	
4600002537	LJ GONZER ASSOCIATES 14 COMMERCE DR - STE 305 CRANFORD, NJ 7016 US	TEMPORARY ENGINEERING SUPPORT ON AN AS NEEDED BASIS FOR ENERGY SERVICES	S	B		N	N	11		3,000,000	1,307,722	547,167	177,091	7/1/2012	O	6/30/2017	
4600002538	INDUSTRIAL STAFFING SERVICES INC 557 CRANBURY RD - STE 19 EAST BRUNSWICK, NJ 08816-5419 US	TEMPORARY ENGINEERING SUPPORT ON AN-AS-NEEDED BASIS FOR ENERGY SERVICES	S	B		N	3	11		1,000,000	0	0	233,194	7/1/2012	O	6/30/2017	
4600002539	METRO TECH CONSULTING SERVICES INC 230 W 41ST ST - 13TH FL NEW YORK, NY 10036-7207 US	TEMPORARY ENGINEERING PERSONNEL ON AN AS NEEDED BASIS IN SUPPORT OF ENERGY SERVICES	S	B		N	N	11		1,000,000	0	0	53,436	7/1/2012	O	6/30/2017	
4600002540	NAVIGANT CONSULTING INC 30 S WACKER DR - STE 3100 CHICAGO, IL 60606-7444 US	CONSULTING SERVICES FOR GENERATION, TRANSMISSION, FUEL SUPPLIES AND ENERGY POLICY AND REGULATORY ACTIVITIES	P	B		F	N	12		682,605	439,220	19,120	2,366,560	7/10/2012	O	6/29/2017	
4600002543	ELECNOR HAWKEYE LLC SUBSIDIARY OF ELECNOR INC 100 MARCUS BLVD - STE 1 HAUPPAUGE, NY 11788-3749 US	PROVIDE EMEGENCY REPAIR SERVICES FOR AUTHORITY UNDERGROUND POWER CABLES - STATEWIDE	C	B		N	N	2		5,000,000	0	0	19,995,000	7/16/2012	O	7/17/2017	
4600002544	UNDERGROUND SYSTEMS INC 84 BUSINESS PARK DR - STE 109 ARMONK, NY 10504-1734 US	PROVIDE EMEGENCY REPAIR SERVICES FOR AUTHORITY UNDERGROUND POWER CABLES - STATEWIDE	C	B		N	N	2		5,000,000	12,642	12,642	567,694	7/16/2012	O	7/15/2017	
4600002545	EMERACHEM LLC 1729 LOUISVILLE DR KNOXVILLE, TN 37921 US	SCPP: CO CATALYST CHEMICAL CLEANING	S	B		N	N	3		1,750,000	362,958	0	67,047	7/2/2012	O	7/1/2017	
4600002547	VOITH HYDRO INC 760 E BERLIN RD YORK, PA 17408-8701 US	SERVOMOTORS	E	B		F	N	2		6,058,064	2,159,206	0	3,944,349	7/2/2012	O	7/2/2017	

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4600002548	ATLAS PAINTING & SHEETING CORP 465 CREEKSIDE DR AMHERST, NY 14228-2112 US	BARNHART ISLAND BRIDGE PAINTING & REHABILITATION PROJECT ST. LAWRENCE POWER PROJECT	C	B		N	N	5		11,222,523	11,150,425	6,006,529	970,528	8/1/2012	O	7/31/2015	
4600002550	M/E ENGINEERING PC 60 LAKEFRONT BLVD - STE 320 BUFFALO, NY 14202-4302 US	CONSULTING SERVICES FOR BIOMASS TECHNOLOGIES	P	B		N	N	7		75,000	10,380	0	1,000,000	8/2/2012	O	8/1/2015	
4600002551	ANTARES GROUP INC 4500 FORBES BLVD - STE 410 LANHAM, MD 20706-6301 US	CONSULTING SERVICES FOR BIOMASS TECHNOLOGIES	P	B		F	N	7		123,908	123,908	0	998,580	8/2/2012	O	8/1/2015	
4600002555	FERGUSON ELECTRIC CONSTRUCTION CO INC 333 ELLICOTT ST BUFFALO, NY 14203-1618 US	INSTALLATION OF AUXILIARY EQUIPMENT FOR UNIT UPGRADE	S	B		N	N	1		5,245,524	4,604,983	2,015,258	2,500,000	8/3/2012	C		8/3/2014
4600002556	TETRA TECH INC FORMERLY TETRA TECH EC INC 1000 THE AMERICAN RD MORRIS PLAINS, NJ 07950-2406 US	CONSULTING SERVICES FOR GENERATION, TRANSMISSION, FUEL SUPPLIES AND ENERGY POLICY AND REGULATORY ACTIVITIES	P	B		F	N	12		178,185	113,775	58,654	2,319,257	8/16/2012	O	6/29/2017	
4600002557	QED INC QED NATIONAL 350 7TH AVE - FL 10 NEW YORK, NY 10001-5013 US	QED NATIONAL INC. IT TEMPORARY PERSONNEL	S	B		N	3	45		22,000	20,175	0	2,369,506	8/20/2012	O	6/30/2015	
4600002558	CH2M HILL ENGINEERING PA 9127 S JAMAICA ST ENGLEWOOD, CO 80112-5946 US	CONSULTING SERVICES FOR GENERATION, TRANSMISSION, FUEL SUPPLIES AND ENERGY POLICY AND REGULATORY ACTIVITIES	P	B		F	N	12		3,748,705	1,443,660	1,095,586	500,000	8/15/2012	O	6/29/2017	
4600002559	PRES SERVICES LLC 2430 N FOREST RD - STE 106 GETZVILLE, NY 14068-1557 US	STATEWIDE ENGINEERING, DESIGN AND CONSTRUCTION SERVICES FOR ENERGY SERVICES DEPARTMENT	C	B		N	N	11		20,000,000	523,528	412,336	1,000,000	8/1/2012	O	7/31/2017	
4600002561	NORTHEAST PROFESSIONAL ENGINEERING CONSULTANTS LLC 108 NEW PARK AVE - UNIT 3 N FRANKLIN, CT 06254-1807 US	CONSULTING SERVICES FOR GENERATION, TRANSMISSION, FUEL SUPPLIES AND ENERGY POLICY AND REGULATORY ACTIVITIES	P	B		F	N	12		116,010	114,883	204	2,324,415	8/22/2012	O	6/29/2017	
4600002563	QUANTA TECHNOLOGY LLC 4020 WESTCHASE BLVD - STE 300 RALEIGH, NC 27607-3960 US	CONSULTING SERVICES FOR GENERATION, TRANSMISSION, FUEL SUPPLIES AND ENERGY POLICY AND REGULATORY ACTIVITIES	P	B		F	N	12		740,930	653,698	429,198	2,105,974	8/29/2012	O	6/29/2017	

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4600002565	CANNON DESIGN-BUILD INC 2170 WHITEHAVEN RD GRAND ISLAND, NY 14072-2025 US	STATEWIDE ENGINEERING, DESIGN AND CONSTRUCTION SERVICES	C	B		N	N	11		10,000,000	28,000	28,000	1,095,362	8/1/2012	O	7/31/2017	
4600002572	NORTHLAND ASSOCIATES INC 4701 BUCKLEY RD - PO BOX 2549 LIVERPOOL, NY 13088-3627 US	DESIGN,PERMIT,DELIVERY,FURNISH,INSTALL,COMMISSION & START UP OF A SINGLE DISTRIBUTED 2MW WIND TURBINE AT SUNY CANTON, NY	C	B		N	N	4		5,670,500	343,650	66,050	500,000	10/1/2012	O	6/30/2020	
4600002573	GE PACKAGED POWER INC 15855 JACINTOPORT BLVD HOUSTON, TX 77015 US	SCPP MAINTENANCE, REPAIR AND PARTS SERVICES FOR THE LM6000	S	B		N	N	1		51,000,000	19,783,321	7,809,481	1,500,000	10/1/2012	O	12/31/2017	
4600002574	HATCH ASSOCIATES CONSULTANTS INC 100 SYLVAN PKWY AMHERST, NY 14228-1146 US	SOLAR MARKET ACCELERATION PROGRAM (MAP) TECHNICAL SUPPORT SERVICES	P	B		N	N	9		350,000	172,909	81,802	1,414,247	1/1/2012	O	9/30/2015	
4600002575	NAVIGANT CONSULTING INC 30 S WACKER DR - STE 3100 CHICAGO, IL 60606-7444 US	SOLAR MARKET ACCELERATION PROGRAM (MAP) TECHNICAL SUPPORT SERVICES	P	B		F	N	9		250,000	16,806	6,806	1,147,105	10/1/2012	O	9/30/2015	
4600002576	BLACK & VEATCH NEW YORK LLP 11401 LAMAR AVE OVERLAND PARK, KS 66211-1508 US	SOLAR MARKET ACCELERATION PROGRAM (MAP) TECHNICAL SUPPORT SERVICES	P	B		F	N	9		325,000	271,564	228,484	665,642	10/1/2012	O	9/30/2015	
4600002578	LONGO ELECTRICAL MECHANICAL INC ONE HARRY SHUPE BLVD WHARTON, NJ 7885 US	MOTOR REPAIR AT THE AUTHORITY'S 500MW COMBINED CYCLE PLANT AND AT THE FLYNN AND SCPP	S	B		N	N	4		3,000,000	633,440	230,510	1,896,277	10/1/2012	O	9/30/2017	
4600002579	PARSONS BRINCKERHOFF INC FORMERLY PB AMERICAS INC 333 SEVENTH AVE - 15TH FL NEW YORK, NY 10001-5091 US	STATEWIDE ENGINEERING, DESIGN AND CONSTRUCTION SERVICES	C	B		N	N	11		20,000,000	5,000	2,500	543,927	8/1/2012	O	7/31/2017	
4600002580	CORE ENVIRONMENTAL CONSULTANTS INC 2312 WEHRLE DR BUFFALO, NY 14221-7021 US	CORE ENVIRONMENTAL SHALL PROVIDE SAMPLINGS AND ANALYSIS TO THE AUTHORITY'S SENY SITES	S	B		N	3	3		750,000	182,306	80,481	193,700	10/1/2012	O	9/30/2017	
4600002581	CLEAN POWER RESEARCH LLC 10 GLEN CT NAPA, CA 94558-4468 US	SOLAR MARKET ACCELERATION PROGRAM (MAP) TECHNICAL SUPPORT SERVICES	P	B		F	N	9		75,000	7,953	0	1,052,798	10/1/2012	O	9/30/2015	
4600002582	HORIZON ENGINEERING ASSOCIATES LLP MICHAEL ENGLISH 30 BROAD ST - 15TH FL NEW YORK, NY 10004-2969 US	STATEWIDE ENERGY AUDIT AND RETROCOMMISSIONING SERVICES FOR ENERGY SERVICES PROGRAM	S	B		N	N	26		4,000,000	55,651	55,651	510,082	10/1/2012	O	9/30/2017	

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4600002583	SCHUYLER ENGINEERING PC 163 NORTH WELLWOOD AVE - STE 6 LINDENHURST, NY 11757 US	ENGINEERING SERVICES IN THE UPSTATE NEW YORK AND SENY AREAS FOR THE ENERGY SERVICES DEPARTMENT	P	B		N	N	26		1,000,000	29,472	4,024	349,780	10/1/2012	O	9/30/2017	
4600002584	ALTRAN SOLUTIONS CORP 1 ADVANTAGE CT - STE A BORDENTOWN, NJ 08505-9630 US	ENGINEERING SERVICES FOR ENERGY SERVICES FOR UPSTATE NEW YORK AND SENY AREAS	P	B		N	N	26		1,000,000	0	0	200,000	10/1/2012	O	9/30/2017	
4600002585	RCM TECHNOLOGIES INC FACILITIES DESIGN & CONSTRUCTION 2500 MCCLELLAN AVE - STE 350 PENNSAUKEN, NJ 08109-4617 US	ENGINEERING SERVICES FOR ENERGY SERVICES FOR UPSTATE NEW YORK AND SENY AREAS	P	B		N	N	26		1,000,000	1,420	1,420	281,910	10/1/2012	O	9/30/2017	
4600002588	SOURCEONE INC 370 7TH AVE - STE 704 NEW YORK, NY 10001-0013 US	STATEWIDE ENERGY AUDIT AND RETROCOMMISSIONING SERVICES FOR ENERGY SERVICES PROGRAM	S	B		N	N	26		2,500,000	0	0	300,000	10/1/2012	O	9/30/2017	
4600002589	WILLDAN ENERGY SOLUTIONS INC STE 303 1 EXCHANGE PLZ - 55 BROADWA NEW YORK, NY 10006-3758 US	STATEWIDE ENERGY AUDIT AND RETROCOMMISSIONING SERVICES FOR ENERGY SERVICES PROGRAM	S	B		N	N	26		2,500,000	180,743	180,743	200,000	10/1/2012	O	9/30/2017	
4600002591	GOLDMAN COPELAND ASSOCIATES PC 229 W 36TH ST - FL 7 NEW YORK, NY 10018-8927 US	STATEWIDE ENGERY AUDIT AND RETROCOMMISSIONING SERVICES FOR ENERGY SERVICES PROGRAM	S	B		N	N	26		2,500,000	130,494	130,494	1,932,888	10/1/2012	O	9/30/2017	
4600002593	C J BROWN ENERGY & ENGINEERING PC 4245 UNION RD - STE 204 BUFFALO, NY 14225 US	STATEWIDE ENERGY AUDIT AND RETROCOMMISSIONING SERVICES FOR ENERGY SERVICES PROGRAM	S	B		N	N	26		500,000	0	0	874,312	10/1/2012	O	9/30/2017	
4600002594	ENERGY & RESOURCE SOLUTIONS INC 1430 BROADWAY - STE 1609 NEW YORK, NY 10018-3422 US	STATEWIDE ENERGY AUDIT AND RETROCOMMISSIONING SERVICES FOR ENERGY SERVICES PROGRAM	S	B		N	N	26		1,000,000	0	0	1,021,070	10/1/2012	O	9/30/2017	
4600002595	ENERNOC INC 101 FEDERAL ST - STE 1100 BOSTON, MA 02110-1856 US	STATEWIDE ENERGY AUDIT AND RETROCOMMISSIONING SERVICES FOR ENERGY SERVICES PROGRAM	S	B		N	N	26		2,500,000	175,585	175,585	1,473,202	10/1/2012	O	9/30/2017	
4600002596	ENERACTIVE SOLUTIONS LLC 150 BROADWAY - STE 802 NEW YORK, NY 10038-4381 US	STATEWIDE ENERGY AUDIT AND RETROCOMMISSIONING SERVICES FOR ENERGY SERVICES PROGRAM	S	B		N	N	26		2,500,000	394,026	379,868	2,107,360	10/1/2012	O	9/30/2017	
4600002597	WENDEL ENERGY SERVICES LLC STE 201 140 JOHN JAMES AUDUBON PKWY AMHERST, NY 14228-1183 US	STATEWIDE ENERGY AUDIT AND RETROCOMMISSIONING SERVICES FOR ENERGY SERVICES PROGRAM	S	B		N	N	26		1,500,000	404,638	404,638	4,787,102	10/1/2012	O	9/30/2017	

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4600002598	TAITEM ENGINEERING PC 110 S ALBANY ST ITHACA, NY 14850-5402 US	STATEWIDE ENERGY AUDIT AND RETRCOMMISSIONING SERVICES FOR ENERGY SERVICES PROGRAM	S	B		N	N	26		500,000	0	0	6,244,757	10/1/2012	O	9/30/2017	
4600002599	CAMP DRESSER MCKEE & SMITH DBA FOR CDM SMITH INC 60 CROSSWAYS PARK DR W - STE 340 WOODBURY, NY 11797-2018 US	STATEWIDE ENERGY AUDIT AND RETROCOMMISSIONING SERVICES FOR ENERGY SERVICES PROGRAM	S	B		N	N	26		1,500,000	0	0	2,852,966	10/1/2012	O	9/30/2017	
4600002602	APPLIED ENERGY GROUP INC 1377 MOTOR PKWY - STE 401 ISLANDIA, NY 11749-5258 US	ENERGY EFFICIENCY - CONSULTING SERVICES FOR RENEWABLE ENERGY PROGRAM INITIATIVES	P	B		N	N	21		1,500,000	85,753	39,850	20,000,000	1/1/2013	O	12/31/2017	
4600002603	OPTIMAL ENERGY INC 10600 ROUTE 116 - STE 3 HINESBURG, VT 05461-8501 US	ENERGY EFFICIENCY - CONSULTING SERVICES FOR RENEWABLE PROGRAM INITIATIVES	P	B		F	N	21		1,500,000	352,895	234,598	10,000,000	1/1/2013	O	12/31/2018	
4600002604	CRC ENGINEERING PC 1261 BROADWAY FL 7 NEW YORK, NY 10001-3506 US	NEW YORK CITY PARKS BIOMASS DESIGN & ENGINEERING SUPPORT SVS.	P	B		N	4	6		800,000	134,358	28,541	10,000,000	1/2/2013	O	1/2/2016	
4600002606	HATCH ASSOCIATES CONSULTANTS INC 100 SYLVAN PKWY AMHERST, NY 14228-1146 US	CONSULTING ENGINEERING SERVICES FOR POWER GENERATION AND TRANSMISSION FACILITIES 2013 - 2017	A	B		N	N	25		5,500,000	3,603,723	1,770,357	29,563,735	1/1/2013	O	12/31/2017	
4600002607	CG POWER SOLUTIONS USA INC 403 NEW KARNER RD ALBANY, NY 12205-3809 US	CONSULTING ENGINEERING SERVICES FOR POWER GENERATION AND TRANSMISSION FACILITIES 2013 - 2017	A	B		N	N	25		1,177,213	633,286	257,549	19,884,437	1/1/2013	O	12/31/2017	
4600002608	RCM TECHNOLOGIES INC FACILITIES DESIGN & CONSTRUCTION 2500 MCCLELLAN AVE - STE 350 PENNSAUKEN, NJ 08109-4617 US	CONSULTING ENGINEERING SERVICES FOR POWER GENERATION AND TRANSMISSION FACILITIES 2013 - 2017	A	B		F	N	25		200,000	6,300	6,300	39,329,761	1/1/2013	O	12/31/2017	
4600002609	GREENMAN-PEDERSEN INC 400 RELLA BLVD - STE 207 MONTEBELLO, NY 10901-4256 US	CONSULTING ENGINEERING SERVICES FOR POWER GENERATION AND TRANSMISSION FACILITIES 2013 - 2017	A	B		N	N	25		1,400,000	347,202	347,202	2,000,000	1/1/2013	O	12/31/2017	
4600002610	TRC ENGINEERS INC 215 GREENFIELD PKWY - STE 102 LIVERPOOL, NY 13088-6651 US	CONSULTING ENGINEERING SERVICES FOR POWER GENERATION AND TRANSMISSION FACILITIES 2013 - 2017	A	B		N	N	25		2,000,000	1,489,918	945,121	39,694,124	1/1/2013	O	12/31/2017	

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4600002611	POWER ENGINEERS CONSULTING P O BOX 1066-3940 GLENBROOK DR HAILEY, ID 83333 US	CONSULTING ENGINEERING SERVICES FOR POWER GENERATION AND TRANSMISSION FACILITIES 2013 - 2017	A	B		F	N	25		500,000	0	0	10,000,000	1/1/2013	C		1/24/2014
4600002612	ALTRAN SOLUTIONS CORP 1 ADVANTAGE CT - STE A BORDENTOWN, NJ 08505-9630 US	CONSULTING ENGINEERING SERVICES FOR POWER GENERATION AND TRANSMISSION FACILITIES 2013 - 2017	A	B		F	N	25		600,000	250,220	198,289	29,081,802	1/1/2013	O	12/31/2017	
4600002613	HAIDER ENGINEERING PC 91 TOLEDO ST FARMINGDALE, NY 11735-6620 US	CONSULTING ENGINEERING SERVICES FOR POWER GENERATION AND TRANSMISSION FACILITIES 2013 - 2017	A	B		N	4	25		200,000	0	0	2,000,000	1/1/2013	O	12/31/2017	
4600002614	SEDC ENGINEERING PC ALLILIATED W/SEBESTA BLOMBERG&ASSOC 32 BROADWAY - STE 302 NEW YORK, NY 10004-1609 US	CONSULTING ENGINEERING SERVICES FOR POWER GENERATION AND TRANSMISSION FACILITIES 2013 - 2017	A	B		N	N	25		300,000	18,090	0	19,725,640	1/1/2013	O	12/31/2017	
4600002615	BURNS & MCDONNELL ENGINEERING CO INC 108 LEIGUS RD WALLINGFORD, CT 06492-2518 US	CONSULTING ENGINEERING SERVICES FOR POWER GENERATION AND TRANSMISSION FACILITIES 2013 - 2017	A	B		F	N	25		300,000	0	0	1,823,709	1/1/2013	O	12/31/2017	
4600002616	MOTT MACDONALD LLC DBA FOR MOTT MACDONALD INC 400 BLUE HILL DR STE 100 WESTWOOD, MA 02090-2164 US	CONSULTING ENGINEERING SERVICES FOR POWER GENERATION AND TRANSMISSION FACILITIES 2013 - 2017	A	B		F	N	25		200,000	0	0	40,000,000	1/1/2013	O	12/31/2017	
4600002617	FISHER ASSOCIATES PE LS PC 135 CALKINS RD ROCHESTER, NY 14623-4255 US	ON CALL REGIONAL SURVEYING & MAPPING SERVICES FOR NIAGARA REGION	P	B		N	3	29		2,333,333	400,445	249,792	2,000,000	1/1/2013	O	12/31/2017	
4600002618	INNOVATIVE AUTOMATION INC 954 HERITAGE HIILS DR YORK, PA 17402 US	OPERATING PROCEDURES AND SYSTEM DESCRIPTIONS UPGRADES AT ST. LAWRENCE POWER PROJECT	A	B		F	N	5		1,313,858	439,546	439,546	37,495,757	3/18/2013	O	3/17/2015	
4600002619	C & S ENGINEERS INC 499 COL EILEEN COLLINS BLVD SYRACUSE, NY 13212-3931 US	CONSULTING ENGINEERING SERVICES FOR POWER GENERATION AND TRANSMISSION FACILITIES 2013 - 2017	A	B		N	N	25		3,300,000	2,278,930	1,059,704	253,198	1/23/2013	O	12/31/2017	

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4600002623	CT MALE ASSOC ENGINEERING SURVEY ARCHITECT & LANDSCAPE ARCHITECT DPC 50 CENTURY HILL DR LATHAM, NY 12110-0727 US	ON CALL REGIONAL SURVEYING	P	B		N	N	29		2,333,333	860,131	480,942	39,907,200	1/1/2013	O	12/31/2017	
4600002624	DANA L DRAKE LS PLLC 393 STATE ROUTE 11B DICKINSON CENTER, NY 12930-2008 US	ON CALL REGIONAL SURVEYING AND MAPPING FOR ST LAWRENCE REGION	P	B		N	N	29		2,333,333	225,973	126,677	30,000,000	1/1/2013	O	12/31/2017	
4600002625	LEWIS TREE SERVICE INC 300 LUCIUS GORDON DR W HENRIETTA, NY 14586 US	TRANSMISSION VEGETATION MANAGEMENT	C	B		N	N	3		9,000,000	4,212,898	2,229,463	1,993,125	4/1/2013	O	9/30/2017	
4600002626	CH2M HILL ENGINEERING PA 9127 S JAMAICA ST ENGLEWOOD, CO 80112-5946 US	CONSULTING SERVICES FOR TEMPORARY ON CALL ENGINEERING PROJECT AND CONSTRUCTION MGT.SER. FOR POWER SUPPLY AND GENER.FACILITIES	P	B		N	N	12		10,955,900	4,711,143	3,421,276	196,900	1/23/2013	O	12/29/2017	
4600002627	RCM TECHNOLOGIES INC FACILITIES DESIGN & CONSTRUCTION 2500 MCCLELLAN AVE - STE 350 PENNSAUKEN, NJ 08109-4617 US	CONSULTING SERVICES FOR TEMPORARY ON CALL ENGINEERING PROJECT AND CONSTRUCTION MGT. SER. FOR POWER SUPPLY AND GENR. FACILITIES	P	B		N	N	12		5,500,000	2,647,034	2,349,477	7,228,767	1/23/2013	O	12/29/2017	
4600002628	KSW MECHANICAL SERVICES INC 37-16 23RD ST LONG ISLAND CITY, NY 11101-3518 US	ES&T DEPT: SENY IMPLEMENTATION SERVICES	C	B		N	N	30		20,000,000	0	0	151,160	3/1/2013	O	1/31/2018	
4600002629	LIZARDOS ENGINEERING ASSOCIATES PC 200 OLD COUNTY RD - STE 670 MINEOLA, NY 11501-4293 US	ES&T DEPT: SENY IMPLEMENTATION SERVICES	A	B		N	N	30		10,000,000	0	0	143,940	3/1/2013	O	1/31/2018	
4600002630	BURNS ENGINEERING PC 1835 MARKET ST - STE 300 PHILADELPHIA, PA 19103-2964 US	ES&T DEPT: SENY IMPLEMENTATION SERVICES	A	B		N	N	30		10,000,000	0	0	39,937,740	3/1/2013	O	1/31/2018	
4600002631	FULCRUM FACILITIES SERVICES DBA THE FULCRUM GROUP 80 BROAD ST - STE 1601 NEW YORK, NY 10004-2285 US	ES&T DEPT: SENY IMPLIMENTATION SERVICES	C	B		N	N	30		30,000,000	436,265	436,265	414,111	3/1/2013	O	1/31/2018	
4600002632	GUTH DECONZO CONSULTING ENGINEERS PC 242 W 30TH ST - 3RD FL NEW YORK, NY 10001-4903 US	ES&T DEPT: SENY IMPLIMENTATION SERVICES	C	B		N	N	30		20,000,000	115,563	115,563	2,251,492	3/1/2013	O	1/31/2018	

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4600002633	O'BRIEN & GERE / DEWBERRY ENERGY SERVICES JOINT VENTURE 333 W WASHINGTON - STE 400 SYRACUSE, NY 13202-9202 US	ES&T DEPT: SENY IMPLIMENTATION SERVICES	C	B		N	N	30		40,000,000	670,239	670,239	458,450	3/1/2013	O	1/31/2018	
4600002634	STRATEGIC BUILDING SOLUTIONS LLC 135 NEW RD MADISON, CT 06443-2508 US	ES&T DEPT: SENY IMPLIMENTATION SERVICES	P	B		N	N	30		2,000,000	0	0	87,256	3/1/2013	O	1/31/2018	
4600002635	CDM CONSTRUCTORS INC 60 CROSSWAYS PARK W - STE 340 WOODBURY, NY 11797-2018 US	ES&T DEPT: SENY IMPLIMENTATION SERVICES	C	B		N	N	30		40,000,000	305,876	305,876	336,242	3/1/2013	O	1/31/2018	
4600002636	EME CONSULTING ENGINEERING GRP LLC 159 WEST 25TH ST NEW YORK, NY 10001-7203 US	ES&T DEPT: SENY IMPLEMENTATION SERVICES	A	B		N	N	30		10,000,000	0	0	67,500	3/1/2013	O	12/31/2017	
4600002637	WENDEL ENERGY SERVICES LLC STE 201 140 JOHN JAMES AUDUBON PKWY AMHERST, NY 14228-1183 US	ES&T DEPT: SENY IMPLIMENTATION SERVICES	C	B		N	N	30		30,000,000	918,198	918,198	0	3/1/2013	O	12/31/2017	
4600002638	WILLDAN ENERGY SOLUTIONS INC STE 303 1 EXCHANGE PLZ - 55 BROADWA NEW YORK, NY 10006-3758 US	ES&T DEPT: SENY IMPLIMENTATION SERVICES	P	B		N	N	30		2,000,000	0	0	59,315,786	3/1/2013	O	12/31/2017	
4600002639	SOURCEONE INC 370 7TH AVE - STE 704 NEW YORK, NY 10001-0013 US	ES&T DEPT: SENY IMPLIMENTATION SERVICES	C	B		N	N	30		20,000,000	274,360	274,360	9,202,226	3/1/2013	O	12/31/2017	
4600002640	CHA CONSULTING INC III WINNERS CIRCLE ALBANY, NY 12205-0269 US	CONSULTING SERVICES FOR TEMPORARY ON CALL ENGINEERING PROJECT AND CONSTRUCTION MGT. SER. FOR POWER SUPPLY AND GENR. FACILITIES	P	B		N	N	12		3,394,816	1,571,107	1,454,048	13,181	1/23/2013	O	12/29/2017	
4600002642	SAVIN ENGINEERS PC 3 CAMPUS DR PLEASANTVILLE, NY 10570-1602 US	ES&T DEPT: SENY IMPLIMENTATION SERVICES	C	B		N	4	30		40,000,000	0	0	1,363,365	3/1/2013	O	12/31/2017	
4600002643	AKF ENGINEERS LLP 1501 BROADWAY - STE 700 NEW YORK, NY 10036 US	ES&T DEPT: SENY IMPLIMENTATION SERVICES	P	B		N	N	30		2,000,000	0	0	3,787	3/1/2013	O	12/31/2017	

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4600002644	RCM TECHNOLOGIES INC FACILITIES DESIGN & CONSTRUCTION 2500 MCCLELLAN AVE - STE 350 PENNSAUKEN, NJ 08109-4617 US	ES&T DEPT: SENY IMPLIMENTATION SERVICES	C	B		N	N	30		40,000,000	2,504,243	2,504,243	41,175	3/1/2013	O	12/31/2017	
4600002645	INVENSYS SYSTEMS INC 33 COMMERCIAL ST FOXBORO, MA 2035 US	WONDERWARE SOFTWARE MAINTENANCE AND SUPPORT	S	B	8	N	N	1		339,580	86,382	86,382	488,142	1/1/2013	O	12/31/2017	
4600002646	ECOSYSTEM/LIRO ENERGY GROUP II JOINT VENTURE 3 AERIAL WAY SYOSSET, NY 11791-5501 US	ES&T DEPT: SENY IMPLIMENTATION SERVICES	C	B		N	N	30		40,000,000	92,800	92,800	9,297,190	3/1/2013	O	12/31/2017	
4600002648	STV INC 225 PARK AVE S NEW YORK, NY 10003-1604 US	ES&T DEPT: SENY IMPLIMENTATION SERVICES	C	B		N	N	30		30,000,000	0	0	1,178,717	3/1/2013	O	12/31/2017	
4600002649	ARAMARK MANAGEMENT SERVICES LIMITED PARTNERSHIP 52 JAMES ST - FL 3 ALBANY, NY 12207-2747 US	ES&T DEPT: SENY IMPLEMENTATION SERVICES	P	B		N	N	30		2,000,000	6,875	6,875	1,482,240	3/1/2013	O	12/31/2017	
4600002650	PHALANX 212 WILLOW DR LITTLE SILVER, NJ 07739-1538 US	PHALANX SUPPORT & SERVICES	S	B		N	N	1		225,000	28,100	0	2,097,613	1/1/2013	O	12/31/2017	
4600002651	VOITH HYDRO INC 760 E BERLIN RD YORK, PA 17408-8701 US	CRESCENT & VISHER FERRY OVERHAUL	C	B		F	N	4		9,714,800	2,486,033	2,240,373	2,149,654	1/1/2013	O	7/1/2015	
4600002652	ANTARES GROUP INC 4500 FORBES BLVD - STE 410 LANHAM, MD 20706-6301 US	R&D: BIOMASS CONSULTING	P	B		N	N	2		350,000	198,840	87,045	35,167	3/1/2013	O	2/4/2015	
4600002653	M/E ENGINEERING PC 60 LAKEFRONT BLVD - STE 320 BUFFALO, NY 14202-4302 US	R&D: BIOMASS CONSULTING	P	B		N	N	2		150,000	6,060	6,060	65,978	3/1/2013	C		2/28/2014
4600002655	MALCOLM PIRNIE A SUB SIDIARY OF ARCADIS US INC 44 S BROADWAY - FL 15 WHITE PLAINS, NY 10601-4411 US	ES&T SENY IMPLEMENTATION	C	B		N	N	30		40,000,000	62,260	62,260	249,796	3/1/2013	O	12/31/2017	
4600002657	GOVE GROUP INC 226 PAUL ST PITTSBURGH, PA 15211-2332 US	ENERGY EFFICIENCY EDUCATION PROGRAM CONSULTING SERVICES	P	B		F	N	8		450,000	35,889	0	424,587	3/18/2013	O	3/17/2016	

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4600002658	VENTYX INC VENTYX INC (AN ABB) COMPANY STE 500 400 PERIMETER CENTER TER NE ATLANTA, GA 30346-1231 US	ANNUAL MAINTENANCE, SERVICES, SOFTWARE - VENTYX SUITE	S	B		N	N	1		3,200,000	948,508	577,117	5,359	1/1/2013	O	12/31/2017	
4600002659	WSP USA CORP DBA WSP SELLS 1 COLUMBIA CIR ALBANY, NY 12203-6383 US	ON-CALL REGIONAL AERIAL PHOTOGRAMMETRY SURVEYING & MAPPING SERVICES.	P	B		N	N	8		500,000	41,550	41,550	122,020	3/28/2013	O	12/31/2017	
4600002660	OPEN TEXT INC SUBSIDIARY FOR OPEN TEXT CORP 600 MONTGOMERY ST - STE 1800 SAN FRANCISCO, CA 94111-2720 US	FIVE YEAR MAINTENANCE AND SUPPORT - OPENTEXT (STREAMSERVE) S/W	S	B		F	N	1		145,427	58,171	29,085	867,708	5/11/2013	O	5/10/2018	
4600002661	QUANTA TECHNOLOGY LLC 4020 WESTCHASE BLVD - STE 300 RALEIGH, NC 27607-3960 US	CONSULTING SERVICES FOR POWER SYSTEM HARDENING, OPERATIONS AND GRID IMPROVEMENTS	P	B	8	F	N	6		1,424,448	1,088,206	539,781	1,515,771	4/1/2013	O	4/1/2015	
4600002664	THE NATIONAL THEATRE FOR CHILDREN INC 2733 PARK AVE MINNEAPOLIS, MN 55407-1008 US	ENERGY EFFICIENCY EDUCATION PROGRAM CONSULTING SERVICES	P	B		F	N	8		150,000	82,500	27,500	236,153	4/2/2013	O	3/31/2016	
4600002665	KEMA INC 67 S BEDFORD ST - STE 201 E BURLINGTON, MA 01803-6803 US	CONSULTING SERVICES FOR POWER SYSTEM HARDENING, OPERATIONS AND GRID IMPROVEMENTS	P	B	8	F	N	6		107,000	107,000	0	1,034,302	4/2/2013	O	4/1/2015	
4600002666	SIEMENS ENERGY INC 85 JOHN RD - UNIT 1 CANTON, MA 02021-2826 US	FLYNN: OPERATING PLANT SERVICE AGREEMENT	S	B		N	N	1		60,000,000	684,214	574,329	1,247,165	4/1/2013	O	3/31/2025	
4600002667	INSTITUTE FOR BUILDING TECHNOLOGY AND SAFETY 45207 RESEARCH PL ASHBURN, VA 20147-2421 US	ENERGY EFFICIENCY MARKET ACCELERATION PROGRAM IMPLEMENTATION SERVICES (EEMAP)	P	B		F	N	7		10,000,000	797,774	482,484	2,127,354	4/5/2013	O	4/4/2018	
4600002669	WORLEYPARSONS OF NEW YORK INC 2675 MORGANTOWN RD READING, PA 19607-9676 US	CONSULTING SERVICES FOR POWER SYSTEM HARDENING, OPERATIONS AND GRID IMPROVEMENTS	P	B	8	F	N	6		278,950	265,769	80,625	1,622,755	4/8/2013	O	4/1/2015	
4600002670	GEODIGITAL INTERNATIONAL CORP 137 W CENTRAL AVE - STE 300 LOMPOC, CA 93436-2834 US	STATEWIDE LIDAR SURVEYING & MAPPING SERVICES	P	B		F	N	9		2,000,000	636,635	418,906	442,926	4/9/2013	O	12/31/2016	

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4600002671	GLOBAL IT SOLUTIONS USI INC 1133 E 35TH ST - STE 2D BROOKLYN, NY 11210-4207 US	IT TEMPORARY PERSONNEL	S	B		F	4	45		55,000	51,213	11,900	271,883	4/9/2013	O	6/30/2015	
4600002672	DELOITTE & TOUCHE LLP 4022 SELLS DR HERMITAGE, TN 37076-2903 US	ENTERPRISE RISK MANAGEMENT CONSULTING	P	B		F	N	13		230,000	188,825	181,174	67,538	4/15/2013	C		4/14/2014
4600002676	PACE UNIVERSITY 1 PACE PLZ NEW YORK, NY 10038-1598 US	MBA ONSITE	P	B		N	N	2		1,400,000	911,858	762,294	4,499,058	7/1/2013	O	6/30/2016	
4600002678	SYRACUSE UNIVERSITY 113 BOWNE HALL SYRACUSE, NY 13244-1200 US	ENERGY EFFICIENCY MARKET ACCELERATION PROGRAM IMPLEMENTATION SERVICES (EEMAP)	P	B		N	N	7		10,000,000	702,810	506,553	926,474	4/11/2013	O	4/10/2018	
4600002680	ROTATOR STAFFING SERVICES INC 25 KENNEDY BLVD E BRUNSWICK, NJ 08816-1259 US	TEMPORARY DESIGN & DRAFTING PERSONNEL	S	B		F	N	7		2,250,000	1,071,283	727,861	1,000,000	4/17/2013	O	4/16/2018	
4600002682	LJ GONZER ASSOCIATES 14 COMMERCE DR - STE 305 CRANFORD, NJ 7016 US	PROVIDE DESIGN & DRAFTING PERSONNEL	S	B		F	N	7		2,250,000	767,760	493,516	1,000,000	4/17/2013	O	4/16/2018	
4600002683	PEAK TECHNICAL SERVICES INC DBA PEAK TECHNICAL STAFFING USA 5 PENN PLAZA - STE 2332 NEW YORK, NY 10001-1810 US	PROVIDE TEMPORARY DESIGN & DRAFTING PERSONNEL	S	B		F	N	7		2,250,000	152,387	125,211	1,000,000	5/3/2013	O	5/2/2018	
4600002684	AEROTEK INC 757 3RD AVE - STE 201 NEW YORK, NY 10017 US	PROVIDE TEMPORARY DESIGN & DRAFTING PERSONNEL	S	B		N	N	7		2,250,000	100,346	100,346	995,104	4/18/2013	O	4/17/2018	
4600002685	ICF RESOURCES LLC 9300 LEE HIGHWAY FAIRFAX, VA 22031-6050 US	CONSULTING SERVICES TO EXECUTIVE OFFICE	P	B		F	N	25		232,702	197,535	164,540	1,000,000	4/15/2013	O	4/30/2018	
4600002686	M J BRADLEY & ASSOCIATES LLC 47 JUNCTION SQUARE DR CONCORD, MA 1742 US	CONSULTING SERVICES TO EXECUTIVE OFFICE	P	B		F	N	25		187,405	121,427	118,837	980,785	4/17/2013	O	4/30/2018	
4600002687	CUSTOMER CARE NETWORK INC 665 RIVER KNOLL DR SE MARIETTA, GA 30067-4748 US	CONSULTING SERVICES TO EXECUTIVE OFFICE	P	B		F	N	25		685,000	435,204	293,404	882,909	3/21/2013	O	4/30/2018	
4600002688	PA CONSULTING GROUP INC 405 LEXINGTON AVE - FL 45 NEW YORK, NY 10174-0002 US	CONSULTING SERVICES TO EXECUTIVE OFFICE	P	B		F	N	25		2,328,481	1,903,894	1,248,415	558,157	4/18/2013	O	4/30/2018	
4600002689	BURO HAPPOLD CONSULTING ENG PC DBA HAPPOLD CONSULTING 100 BROADWAY FL 23 NEW YORK, NY 10005-4502 US	CONSULTING SERVICES TO EXECUTIVE OFFICE	P	B		N	N	25		65,000	59,641	13,426	1,105,526	4/19/2013	O	4/30/2018	

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4600002691	NAVIGANT CONSULTING INC 30 S WACKER DR - STE 3100 CHICAGO, IL 60606-7444 US	CONSULTING SERVICES TO EXECUTIVE OFFICE	P	B		N	N	25		164,301	42,281	42,281	33,195	4/22/2013	O	4/30/2018	
4600002698	GOMEZ AND SULLIVAN ENGINEERS PC 288 GENESEE ST UTICA, NY 13502 US	TRANSMISSION RIGHTS-OF-WAY (ROW) VEGETATION INVENTORY SERVICES	P	B		N	N	6		1,250,000	382,292	231,883	254,994	6/1/2013	O	5/31/2017	
4600002699	L & S ELECTRIC INC 1810 COUNTY RD XX ROTHSCHILD, WI 54474-7801 US	SMALL HYDRO CONTROL SYSTEM & GOVERNOR UPGRADE	C	B		F	N	5		4,200,423	2,684,652	2,684,652	414,960	6/11/2013	O	12/31/2016	
4600002700	ACLARA TECHNOLOGIES LLC 945 HORNET DR HAZELWOOD, MO 63042-2309 US	FIVE YEAR MAINTENANCE AND SUPPORT ACLARA ENERY VISION ENTERPRISE(EVE) SOFTWARE	S	B		N	N	1		378,838	142,685	142,685	1,051,830	4/1/2013	O	3/31/2018	
4600002701	TSI TURTLE SERVICES LLC FORMERLY TECHNOSCOUTS INC 1900 LOWER RD LINDEN, NJ 07036-6519 US	TURNKEY SUPPORT FOR AIR PROGRAM AT SENY FACILITIES	P	B		F	N	8		1,300,000	265,698	199,208	1,238,268	7/1/2013	O	6/30/2018	
4600002706	MID-ISLAND ELECTRICAL SUPPLY DBA FOR MIE-TH HOLDINGS LLC 700 CORPORATE BLVD NEWBURGH, NY 12550-6416 US	FURNISH AND DELIVER RENOVA FLUORESCENT AND LITHONIA, CREE AND LSI LED LIGHTING EQUIPMENT FOR MTA SENY PROJECTS UNDER "HELP".	E	B		F	N	5		1,315,856	68,691	68,691	3,338,098	7/16/2013	O	7/14/2015	
4600002708	WESCO DISTRIBUTION INC 60 HOFFMAN AVE HAUPPAUGE, NY 11788-4718 US	FURNISH AND DELIVER FOB JOB SITE FLUORESCENT, LED, CF AND HID LAMPS TO NYPA PROJECTS LISTED UNDER NYPA'S "HELP" PROGRAM.	E	B		F	N	3		2,135,054	7,700	7,700	130,812	7/17/2013	O	7/16/2015	
4600002710	CARRIER CORP 175 CENTRAL AVE - STE 300 FARMINGDALE, NY 11735-6917 US	SENY: HVAC EQUIPMENT AND SERVICES	S	B		N	N	3		2,000,000	377,245	349,042	13,105	10/1/2013	O	9/30/2018	
4600002713	REINHARD-MADISON APPROACH STAFFING DBA MADISON APPROACH STAFFING INC 7-11 S BROADWAY - STE 206 WHITE PLAINS, NY 10601-3520 US	TEMPORARY ADMINISTRATIVE PERSONNEL ON AS-NEEDED BASIS	S	B	7	N	3	2		700,000	257,074	225,929	234,985	8/1/2013	O	7/31/2018	
4600002714	PENDA AIKEN INC 330 LIVINGSTON ST - FL 2 BROOKLYN, NY 11217-1002 US	TEMPORARY ADMINISTRATIVE PERSONNEL ON AS NEEDED BASIS	S	B	7	N	4	2		300,000	28,117	28,117	843,212	8/1/2013	O	7/31/2018	
4600002715	TOTAL ADMINISTRATIVE SERVICES CORP TASC 3405 ANNAPOLIS LN N - STE 150 MINNEAPOLIS, MN 55447-8863 US	COBRA ADMINISTRATION SERVICES	S	B		F	N	2		75,000	7,462	7,462	233,234	1/2/2014	O	12/31/2018	

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4600002716	DRESSER-RAND CO 203 PRECISION RD HORSHAM, PA 19044-1226 US	SENY: GAS COMPRESSOR SERVICE, MAINTENANCE & ENGINEERING SUPOORT	S	B		N	N	1		5,000,000	500,942	500,942	1,462,395	1/1/2014	O	12/31/2018	
4600002718	ADELAIDE ENVIRONMENTAL HEALTH ASSOCIATES INC 1511 ROUTE 22 - STE C24 BREWSTER, NY 10509-4082 US	ASBESTOS AND LEAD PLANNING SERVICES	P	B	8	N	3	18		1,000,000	73,526	73,526	1,488,061	8/1/2013	O	7/31/2018	
4600002719	AIRTEK ENVIRONMENTAL CORP 39-37 29TH ST LONG ISLAND CITY, NY 11101 US	ASBESTOS AND LEAD PLANNING SERVICES	P	B	8	N	N	18		1,000,000	0	0	330,872	8/1/2013	O	7/31/2018	
4600002720	CORE ENVIRONMENTAL CONSULTANTS INC 2312 WEHRLE DR BUFFALO, NY 14221-7021 US	ASBESTOS AND LEAD PLANNING SERVICES	P	B	8	N	3	18		1,000,000	0	0	330,220	8/1/2013	O	7/31/2018	
4600002721	LU ENGINEERS 175 SULLYS TRL - STE 202 PITTSFORD, NY 14534-4560 US	ASBESTOS AND LEAD PLANNING SERVICES	P	B	8	N	N	18		1,000,000	0	0	411,125	8/1/2013	O	7/31/2018	
4600002722	LIRO ENGINEERS INC 3 AERIAL WAY SYOSSET, NY 11791-5501 US	ASBESTOS AND LEAD PLANNING SERVICES	P	B	8	N	N	18		1,000,000	4,896	4,896	236,805	8/1/2013	O	7/31/2018	
4600002723	KAM CONSULTANTS INC 35-40 36TH ST LONG ISLAND CITY, NY 11106 US	ASBESTOS AND LEAD PLANNING SERVICES	P	B	8	N	N	18		1,000,000	0	0	300,000	8/1/2013	O	7/31/2018	
4600002724	WARREN & PANZER ENGINEERS PC 228 E 45TH ST NEW YORK, NY 10017 US	ASBESTOS AND LEAD PLANNING SERVICES	P	B	8	N	N	18		1,000,000	19,215	19,215	295,000	8/1/2013	O	7/31/2018	
4600002725	TRC ENVIRONMENTAL CORP 1430 BROADWAY - 10 FL NEW YORK, NY 10018-3308 US	ASBESTOS AND LEAD PLANNING SERVICES	P	B	8	N	N	18		1,000,000	117,091	117,091	289,055	8/1/2013	O	7/31/2018	
4600002726	MISTRAS GROUP INC 195 CLARKSVILLE RD PRINCETON JUNCTION, NJ 08550-5392 US	ACOUSTIC MONITORING ON TRANSFORMERS, REACTORS AND/OR OTHER POWERSYSTEM EQUIPMENT	S	B		F	N	3		300,000	0	0	300,000	9/24/2013	O	9/24/2018	
4600002728	RICOH USA INC 2500 WESTCHESTER AVE - STE 113 PURCHASE, NY 10577-2515 US	MAINTENANCE FOR RICOH MULTIFUNCTION DEVICES (MDF'S)	S	B		N	N	1		1,100,000	0	0	41,440	10/1/2013	C		1/1/2014
4600002729	KLEINSCHMIDT ASSOCIATES PA PC PO BOX 650 PITTSFIELD, ME 04967-0650 US	ENVIRONMENTAL STUDY SERVICES	P	B		F	N	2		800,000	241,843	236,129	1,301,584	10/9/2013	O	10/8/2015	

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4600002730	TALISEN TECHNOLOGIES INC 12655 OLIVE BLVD - STE 500 SAINT LOUIS, MO 63141-6362 US	ENERGY MANAGEMENT CENTRE	P	B		F	N	13		1,790,000	684,474	661,663	153,208	10/9/2013	O	10/8/2018	
4600002731	DELPHI SOLUTIONS LLC DBA ROHN ROGERS ASSOCIATES 708 3RD AVE - FL 5 NEW YORK, NY 10017-4201 US	TEMPORARY IT PERSONNEL SERVICES	S	B		N	N	45		310,000	276,805	239,793	393,782	9/18/2013	O	6/30/2015	
4600002732	BRG MACHINERY CONSULTING LLC 703 HIGHLAND AVE CHARLOTTESVILLE, VA 22903-4014 US	SENY: CONSULTING SERVICES TO PROVIDE SUPPORT ON ALL MAJOR ROTATING MACHINERY AND NUOVO PIGNONE GAS COMPRESSORS	P	B		N	N	1		450,000	195,006	195,006	169,130	5/1/2014	O	4/30/2019	
4600002733	BUCK CONSULTANTS LLC DBA FOR XEROX CORP ONE PENNSYLVANIA PLAZA NEW YORK, NY 10119 US	BENEFITS CONSULTING SERVICES	P	B		N	N	7		530,000	115,040	115,040	917,890	11/1/2013	O	10/31/2018	
4600002734	DOBLE ENGINEERING CORP 85 WALNUT ST WATERTOWN, MA 2472 US	ANALYTICAL TESTING SERVICES & DOBLE EQUIPMENT RENTAL	E	B		F	N	2		1,250,000	198,170	198,170	500,000	11/1/2013	O	10/31/2018	
4600002735	EV CONNECT INC 714 W OLYMPIC BLVD - STE 939 LOS ANGELES, CA 90015-1354 US	EE ADVANCE TECHNOLOGY ELECT.VEHICLE CHARGING STATIONS FOR PUBLICSITES:FABRICATE,DELIVER,INSTALL,START-UP&SERVICES 100 EVSE'S	C	B		F	N	8		1,724,919	486,651	486,651	470,395	12/2/2013	O	11/30/2018	
4600002736	KLEINSCHMIDT ASSOCIATES PA PC PO BOX 650 PITTSFIELD, ME 04967-0650 US	FERC RE-LICENSING PHASE II FOR B-G	P	B		F	N	1		3,644,681	306,583	306,583	1,648,829	12/16/2013	O	12/31/2018	
4600002737	MILLENNIUM MAINTENANCE & ELECTRICAL CONTRACTORS INC 64 FREEMAN ST BROOKLYN, NY 11222-1328 US	SENY HIGH EFFICIENCY LIGHTING PROGRAM LIGHTING SAMPLES AND/OR RETROFIT SERVICES	C	2	9	N	4	1		195,000	64,188	64,188	2,480,686	1/3/2014	O	1/2/2015	
4600002742	PAUL C RIZZO ENGINEERING - NY PLLC 580 WHITE PLAINS RD - STE 4250 TARRYTOWN, NY 10591-5189 US	ON-CALL CIVIL & GEOTECHNICAL ENGINEERING SERVICES	A	B		N	N	12		13,105	0	0	277,819	1/1/2014	O	12/31/2018	
4600002743	GZA GEOENVIRONMENTAL OF NEW YORK 249 VANDERBILT AVE NORWOOD, MA 02062-5033 US	ON-CALL CIVIL & GEOTECHNICAL CONSULTING SERVICES.	P	B		N	N	12		330,588	95,603	95,603	365,572	1/1/2014	O	12/31/2018	
4600002746	SCULLY CONSTRUCTION LLC 141 LAFAYETTE AVE WHITE PLAINS, NY 10603 US	ON-CALL GENERAL CONTRACTING SERVICES	C	B	8	N	N	5		2,500,000	1,656,788	1,656,788	232,401	1/1/2014	O	12/31/2018	

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4600002747	GRAINGER DBA FOR W W GRAINGER INC 505 SAWMILL RIVER RD ELMSFORD, NY 10523-1009 US	FURNISH & DELIVER WINDOW A/C UNITS FOR SUNY DMC/BSB BASED ON SELLER'S NYS OGS PO NO. PC-62993 GROUP # 39000	E	B	7	F	N	3		546,731	313,497	313,497	1,250,000	1/15/2014	O	9/30/2015	
4600002749	ALSTOM GRID INC DISCONNECT SWITCHES DIV 11 GUTTMAN BLVD CHARLEROI, PA 15022-1016 US	VERTICAL MOTOR OPERATED DISCONNECT SWITCHES	E	B		F	N	1		1,462,395	0	0	791,976	1/10/2014	O	12/31/2017	
4600002750	PRESIDIO NETWORKED SOLUTIONS INC 10 6TH RD WOBURN, MA 01801-1735 US	CISCO VOIP PHONE EQUIPMENT	E	B	8	N	N	3		2,207,981	719,920	719,920	36,006,807	1/13/2014	O	1/12/2016	
4600002751	CARLYLE CONSULTING SERVICES INC 641 LEXINGTON AVE - STE 1526 NEW YORK, NY 10022 US	SAP TEMPORARY PROGRAMMING PERSONNEL	S	B	8	N	1	20		500,000	169,128	169,128	1,457,720	1/1/2014	O	12/31/2016	
4600002752	MITCHELL MARTIN INC DBA GARRETT SAYER GROUP 307 W 38TH ST - STE 1305 NEW YORK, NY 10018-9521 US	SAP TEMPORARY PERSONNEL	S	B		N	N	20		500,000	169,780	169,780	1,362,043	1/1/2014	O	12/31/2016	
4600002753	BAYFORCE TECHNOLOGY SOLUTIONS INC 5100 W KENNEDY BLVD - STE 425 TAMPA, FL 33609-1806 US	SAP TEMPORARY PERSONNEL	S	B		N	N	20		500,000	88,875	88,875	17,906,160	1/1/2014	O	12/31/2016	
4600002754	THE BRATTLE GROUP 44 BRATTLE ST CAMBRIDGE, MA 02138-3736 US	PROVISION OF ERM AND CRM CONSULTING SERVICES	P	B		F	N	8		300,000	63,195	63,195	4,766,518	1/1/2014	O	12/31/2016	
4600002755	KEMA INC 67 S BEDFORD ST - STE 201 E BURLINGTON, MA 01803-6803 US	PROVISION OF ERM AND CRM CONSULTING SERVICES	P	B		F	N	8		300,000	0	0	3,780,000	1/1/2014	O	12/31/2016	
4600002756	RMG FINANCIAL CONSULTING INC 813 EAST BALLARD COLBERT, WA 99005 US	PROVISION OF ERM AND CRM CONSULTING SERVICES	P	B		F	N	8		300,000	5,000	5,000	2,336,461	1/1/2014	O	12/31/2016	
4600002757	PA CONSULTING GROUP INC 405 LEXINGTON AVE - FL 45 NEW YORK, NY 10174-0002 US	PROVISION OF ERM AND CRM CONSULTING SERVICES	P	B		F	N	8		300,000	10,945	10,945	8,809,724	1/1/2014	O	12/31/2016	
4600002758	DELOITTE & TOUCHE LLP 4022 SELLS DR HERMITAGE, TN 37076-2903 US	PROVISION OF ERM AND CRM CONSULTING SERVICES	P	B		N	N	8		300,000	0	0	250,000	1/16/2014	O	12/31/2016	
4600002760	INFOTECH GLOBAL INC 371 HOES LN - STE 300A PISCATAWAY, NJ 08854-4143 US	IT TEMPORARY PERSONNEL	S	B		F	4	45		160,000	118,560	118,560	666,666	1/1/2014	O	6/30/2015	

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4600002763	WESCO DISTRIBUTION INC 60 HOFFMAN AVE HAUPPAUGE, NY 11788-4718 US	FURNISH & DELIVER FLUORESCENT, HID & LED, COMPACT LIGHTING FIXTURES & EQUIPMENT FOR SENY AREA	E	B		N	N	3		1,398,286	96,702	96,702	666,666	3/1/2014	O	2/28/2015	
4600002764	SAPTA GLOBAL INC DBA ZEN41T 267 AMBOY AVE WOODBRIDGE, NJ 07095-2803 US	SAP TEMPORARY PERSONNEL	S	B		F	4	20		300,000	146,792	146,792	250,000	1/1/2014	O	12/31/2016	
4600002765	HOHL INDUSTRIAL SERVICES INC 770 RIVERVIEW BLVD TONAWANDA, NY 14150-7880 US	CONCRETE REPAIRS AT LPGP	C	B		N	1	2		1,076,336	682,554	682,554	300,000	2/27/2014	O	2/26/2015	
4600002766	ORACLE AMERICA INC 500 ORACLE PKWY REDWOOD CITY, CA 94065-1677 US	ANNUAL MAINTENANCE FOR ORACLE UTILITIES QUOTATIONS	S	B		F	N	1		204,770	35,640	35,640	13,000,000	2/26/2014	O	2/26/2018	
4600002768	RARITAN GROUP INC PO BOX 10120 NEW BRUNSWICK, NJ 08906-0120 US	FURNISH AND DELIVER FUEL GAS ISOLATION BLOCK AND BLEED VALVES FOR 500MW COMBINED CYCLE POWER PLANT	E	B		F	N	2		1,006,606	88,716	88,716	250,000	3/5/2014	O	3/4/2015	
4600002769	SENSOR SWITCH INSTALLATIONS LLC 3 ESSEX SQ ESSEX, CT 06426-1113 US	FURNISH AND DELIVER OCCUPANCY SENSORS AND DAYLIGHT CONTROL DEVICES FOR SENY PROJECTS	E	B		N	3	3		500,000	0	0	551,086	4/1/2014	O	3/31/2015	
4600002770	ENERGY CONSERVATION & SUPPLY 55 WASHINGTON ST STE 302A BROOKLYN, NY 11201 US	FURNISH AND DELIVER OCCUPANCY SENSORS AND DAYLIGHT CONTROL DEVICES FOR THE AUTHORITY'S HELP PROGRAM	E	B		N	N	3		500,000	29,605	29,605	639,066	4/1/2014	O	3/31/2015	
4600002774	PRYSMIAN CABLES & SYSTEMS USA LLC 700 INDUSTRIAL DR LEXINGTON, SC 29072-3755 US	Y49 CABLE BREAK REPAIR	C	1	8	F	N	1		25,000,000	23,351,171	23,351,171	950,000	1/17/2014	O	1/16/2015	
4600002775	C W BROWN INC 1 LABRIOLA CT ARMONK, NY 10504-1336 US	ON-CALL GENERAL CONTRACTING SERVICES	C	B	8	N	3	5		2,500,000	19,314	19,314	148,820	1/1/2014	O	12/31/2018	
4600002776	ROYAL DIAMOND CONSTRUCTION CORP 1 HIGHLAND DR CORTLANDT MANOR, NY 10567-2609 US	ON-CALL GENERAL CONTRACTING SERVICES	C	B	8	N	3	5		500,000	222,181	222,181	666,666	1/1/2014	O	12/31/2018	
4600002777	LLF CONSTRUCTION SERVICES INC 368 NEW HEMPSTEAD RD NEW CITY, NY 10956-1900 US	ON-CALL GENERAL CONTRACTING SERVICES	C	B	8	N	4	5		500,000	134,428	134,428	11,253	1/1/2014	O	12/31/2018	

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4600002781	PARSONS BRINCKERHOFF INC FORMERLY PB AMERICAS INC 75 ARLINGTON ST - 4TH FL BOSTON, MA 02116-3936 US	FERC PART 12 INDEPENDENT CONSULTANT DAM SAFETY INSPECTION & SAFETY REPORT - ST. LAWRENCE/FDR POWER PROJECT	P	B		N	N	8		300,000	67,599	67,599	906,050	4/1/2014	O	12/31/2018	
4600002782	QUALITY INSPECTION SERVICES INC DBA FOR APPLUS RTD USA 37 FRANKLIN ST - STE 400 BUFFALO, NY 14202-4117 US	ON CALL TESTING AND INSPECTION	S	B		N	N	3		1,250,000	0	0	160,830	4/9/2014	O	4/8/2019	
4600002784	RICOH USA INC 2500 WESTCHESTER AVE - STE 113 PURCHASE, NY 10577-2515 US	MAINTENANCE FOR RICOH MULTIFUNCTION DEVICES (MDF'S)	S	B		N	N	1		1,100,000	308,024	308,024	150,000	4/1/2014	O	9/30/2018	
4600002785	ETHOSENERGY (USA) LLC DBA FOR ETHOSENERGY US GROUP INC 12600 DEERFIELD PKWY - STE 315 ALPHARETTA, GA 30004-5099 US	500MW: LONG TERM SERVICE AGREEMENT AND EXTENDED PARTS	S	B		N	N	3		105,000,000	68,993,193	7,144,608	150,000	9/29/2006	O	9/30/2021	
4600002786	TRC ENGINEERS INC 1430 BROADWAY - FL 10 NEW YORK, NY 10018-9202 US	SENY ENVIRONMENTAL HEALTH & SAFETY OVERSIGHT SERVICES	P	B		N	N	10		1,500,000	42,280	42,280	150,000	4/7/2014	O	4/6/2019	
4600002787	TSI TURTLE SERVICES LLC FORMERLY TECHNOSCOUTS INC 1900 LOWER RD LINDEN, NJ 07036-6519 US	SENY - ENVIRONMENTAL HEALTH & SAFETY OVERSIGHT SERVICES	P	B		F	N	10		1,500,000	137,957	137,957	266,775	4/7/2014	O	4/6/2019	
4600002788	FERGUSON ELECTRIC CONSTRUCTION CO INC 333 ELLICOTT ST BUFFALO, NY 14203-1618 US	INSTALLATION OF AUXILLIARY EQUIPMENT	C	B		N	N	1		19,046,700	1,140,540	1,140,540	150,000	2/27/2014	O	2/26/2021	
4600002789	GREENMAN-PEDERSEN INC 400 RELLA BLVD - STE 207 MONTEBELLO, NY 10901-4256 US	COATING CONSULTING AND INSPECTION SERVICES	S	B		N	N	1		5,000,000	233,482	233,482	90,323	5/20/2014	O	5/19/2019	
4600002790	TIME WARNER CABLE INC 20 CENTURY HILL DR LATHAM, NY 12110-2116 US	TIME WARNER CABLE FIBER OPTIC INSTALLATION	S	B	7	N	N	1		3,780,000	0	0	4,872,912	4/30/2014	O	4/29/2019	
4600002795	MID-ISLAND ELECTRICAL SUPPLY DBA FOR MIE-TH HOLDINGS LLC 700 CORPORATE BLVD NEWBURGH, NY 12550-6416 US	NYCT - LED BANK LIGHTING EQUIPMENT	E	B		N	N	4		3,147,414	810,953	810,953	197,712	5/9/2014	O	5/8/2017	
4600002800	HADLEY EXHIBITS INC 1700 ELMWOOD AVE BUFFALO, NY 14207-2408 US	VISITORS CENTERS UPGRADES - NIA, STL, B-G	C	B	8	N	N	4		8,928,074	118,350	118,350	1,200,000	6/1/2014	O	5/31/2019	

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4600002801	ALLSTATE POWER VAC INC DBA EQ INDUSTRIAL SERVICES 928 E HAZELWOOD AVE RAHWAY, NJ 07065-5634 US	ON-CALL EMERGENCY SPILL RESPONSE, CLEAN-UP & DISPOSAL SERVICES	S	B		N	N	7		250,000	0	0	100,000	6/1/2014	O	5/31/2019	
4600002803	KLEINFELDER EAST INC 1279 ROUTE 200 - 2ND FL NEWBURGH, NY 12550 US	ON CALL GENERAL ENVIRONMENTAL SERVICES	P	B		F	N	35		666,666	0	0	100,000	6/1/2014	O	5/30/2015	
4600002804	KLEINSCHMIDT ASSOCIATES PA PC PO BOX 650 PITTSFIELD, ME 04967-0650 US	ON CALL GENERAL ENVIRONMENTAL CONSULTING SERVICES	P	B		F	N	35		666,666	0	0	100,000	6/1/2014	O	5/30/2015	
4600002805	ENVIRONMENTAL PRODUCTS & SERVICES OF VERMONT INC 40 HAMILTON LN GLENMONT, NY 12077-4837 US	ON-CALL EMERGENCY SPILL RESPONSE, CLEAN-UP & DISPOSAL SERVICES	S	B		N	N	7		250,000	0	0	1,250,000	6/1/2014	O	5/31/2019	
4600002806	NATIONAL VACUUM CORP 408 47TH ST NIAGARA FALLS, NY 14304-2102 US	ON-CALL EMERGENCY SPILL RESPONSE, CLEAN-UP & DISPOSAL SERVICES	S	B		N	N	7		300,000	0	0	666,666	6/1/2014	O	5/31/2019	
4600002807	MILLER ENVIRONMENTAL GROUP INC 538 EDWARDS AVE CALVERTON, NY 11933-1636 US	ON-CALL EMERGENCY SPILL RESPONSE, CLEAN-UP & DISPOSAL SERVICES	S	B		N	N	7		13,000,000	0	0	666,666	6/1/2014	O	5/31/2019	
4600002808	WRS ENVIRONMENTAL SERVICES INC 17 OLD DOCK RD YAPHANK, NY 11980-9702 US	ON-CALL EMERGENCY SPILL RESPONSE, CLEAN-UP & DISPOSAL SERVICES	S	B		N	N	7		250,000	0	0	4,671,466	6/1/2014	O	5/31/2019	
4600002809	BERNIER CARR & ASSOCIATES ENGINEERS ARCHITECTS AND LAND SURVEYORS PC 327 MULLIN ST WATERTOWN, NY 13601-3686 US	CONSTRUCTION MANAGEMENT AND SERVICES AT NYPA'S ST. LAWRENCE POWER PROJECT	P	B		N	N	3		630,000	78,914	78,914	1,250,000	7/1/2014	O	6/30/2016	
4600002810	TRC ENGINEERS INC 1430 BROADWAY - FL 10 NEW YORK, NY 10018-9202 US	ON CALL ENVIRONMENAL SERVICES CONTRACT	P	B		F	N	35		666,666	27,600	27,600	1,250,000	6/1/2014	O	5/30/2019	
4600002811	OP-TECH ENVIRONMENTAL SERVICES INC 1 ADLER DR EAST SYRACUSE, NY 13057-1223 US	ON-CALL EMERGENCY SPILL RESPONSE, CLEAN-UP & DISPOSAL SERVICES	S	B		N	N	7		950,000	0	0	76,770	6/1/2014	O	5/31/2019	
4600002813	HELBERG ELECTRICAL SUPPLY LLC 200 W SUNRISE HWY FREEPORT, NY 11520-3365 US	FURNISH & DELIVER LSI LED FIXTURES & EQUIPMENT FOR THE HELP SENY PROJECTS	E	B	9	N	4	2		154,777	5,957	5,957	1,250,000	7/7/2014	O	7/6/2015	

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4600002816	AECOM USA INC 125 BROAD ST NEW YORK, NY 10004-2400 US	ON CALL GENERAL ENVIRONMENTAL SERVICES	P	B		N	N	35		666,666	0	0	11,124	6/1/2014	O	5/30/2019	
4600002817	HELBERG ELECTRICAL SUPPLY LLC 200 W SUNRISE HWY FREEPORT, NY 11520-3365 US	FURNISH & DELIVER LSI LED FIXTURES & EQUIPMENT FOR THE HELP PROGRAM - PS99M AND SENY PROJECTS	E	M	8	N	4	1		47,444	36,191	36,191	100,000	7/28/2014	O	7/27/2015	
4600002818	TAHAWUS TRAILS LLC PO BOX 31 - 44 KIRBY LANE ACCORD, NY 12404-0031 US	DESIGN AND BUILD HIKING TRAILS AT NICHOLS HILL ISLAND	P	B		N	N	3		934,500	28,450	28,450	100,000	8/1/2014	O	7/31/2016	
4600002819	TOTAL ELECTRICAL DISTRIBUTORS INC 388 SOUTH AVE STATEN ISLAND, NY 10303-1411 US	FURNISH & DELIVER ACUITY HOLOPHANE & LITHONIA FIXTURES & EQUIP FOR SENY PROJECT	E	B	8	N	3	2		187,442	26,612	26,612	1,200,000	7/16/2014	O	7/15/2015	
4600002820	HILL INTERNATIONAL INC 303 LIPPINCOTT CENTRE - STE 102 MARLTON, NJ 8053 US	COST ESTIMATING AND SCHEDULING SERVICES	P	B		N	N	13		150,000	0	0	907,495	8/1/2014	O	7/31/2019	
4600002821	HAIDER ENGINEERING PC 91 TOLEDO ST FARMINGDALE, NY 11735-6620 US	COST ESTIMATING ANDF SCHEDULING SERVICES	P	B		N	4	13		150,000	0	0	8,273,564	8/1/2014	O	7/31/2019	
4600002826	DACK CONSULTING SOLUTIONS INC 2 WILLIAM ST - STE 202 WHITE PLAINS, NY 10601-1912 US	COST ESTIMATING AND SCHEDULING SERVICES ON AN AS-NEEDED BASIS	P	B		N	4	13		150,000	0	0	250,000	8/1/2014	O	7/31/2019	
4600002827	POWER ENGINEERING INC 16632 MILLIKAN AVE - STE B IRVINE, CA 92606 US	ANALYSES OF MOTOR GENERATOR AMORTISSEUR	P	B		F	N	1		266,775	0	0	500,000	8/4/2014	O	8/3/2016	
4600002828	NASCO CONSTRUCTION SERVICES INC 200 BUSINESS PARK DR ARMONK, NY 10504-1700 US	COST ESTIMATING AND SCHEDULING SERVICES	P	B		N	3	13		150,000	0	0	23,634,424	8/1/2014	O	7/31/2019	
4600002830	ELECTRALED INC 12722 62ND ST - STE 200 LARGO, FL 33773-1818 US	ELECTR - LED TRACK LIGHTING FIXTURES FOR S.I. MUSEUM, NY HALL OF SCIENCE AND OTHER SENY "HELP" PROJECTS	E	B		F	N	2		90,323	0	0	500,000	8/8/2014	O	8/7/2015	
4600002831	E J ELECTRIC INSTALLATION CO 46-41 VERNON BLVD LONG ISLAND CITY, NY 11101 US	SENY: ELECTRICAL SUPPORT SERVICES	S	B		N	N	5		5,000,000	127,088	127,088	197,492	9/1/2014	O	8/31/2019	
4600002832	ALL STATE CLEANING DBA FOR LINA VIVAS MAINTENANCE INC 83 TIMBERLINE DR BRENTWOOD, NY 11717-4804 US	FLYNN AND BRENTWOOD: JANITORIAL SERVICES FOR BOTH FACILITIES	S	B		N	N	4		200,000	2,288	2,288	550,000	9/1/2014	O	8/31/2019	

CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	TYPE	MOA	RNA	OPA	MWBE	BIDS	FRT	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2014	CONTRACT BALANCE	DATE OF CONTRACT	O\C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
4600002833	AVEPOINT PUBLIC SECTOR INC 2111 WILSON BLVD - STE 210 ARLINGTON, VA 22201-3089 US	SHAREPOINT CONSULTING SERVICES	P	B	8	F	N	18		1,200,000	0	0	1,110,765	8/27/2014	O	7/31/2019	
4600002834	AVEPOINT PUBLIC SECTOR INC 2111 WILSON BLVD - STE 210 ARLINGTON, VA 22201-3089 US	SHAREPOINT CONSULTING SERVICES	P	B		F	N	18		100,000	0	0	250,000	9/1/2014	O	7/31/2019	
4600002835	STELLAR SERVICES INC 70 W 36TH ST - RM 702 NEW YORK, NY 10018-1258 US	SHAREPOINT CONSULTING SERVICES	P	B		F	4	18		100,000	0	0	1,012,150	9/1/2014	O	7/31/2019	
4600002836	SUMMIT 7 SYSTEMS INC 71 TOWN CENTER DR NW HUNTSVILLE, AL 35806-2670 US	SHAREPOINT CONSULTING SERVICES	P	B		F	N	18		100,000	0	0	110,000	9/1/2014	O	7/31/2019	
4600002837	PINNACLE ENVIRONMENTAL CORP 200 BROAD ST CARLSTADT, NJ 07072-1904 US	PLANNED ASBESTOS/LEAD/PCB ABATEMENT AND ASBESTOS/LEAD/PCB EMERGENCY RESPONSE SERVICES	C	B		N	N	11		1,250,000	0	0	964	10/16/2014	O	10/15/2019	
4600002838	ARCADIS OF NEW YORK INC 44 S BROADWAY - FL 15 WHITE PLAINS, NY 10601-4411 US	ON CALL ENVIRONMENTAL SERVICES	P	B		N	N	35		666,666	0	0	7,287,392	6/1/2014	O	5/30/2019	
4600002839	THE LOUIS BERGER GROUP INC 48 WALL ST - 16TH FL NEW YORK, NY 10005-2927 US	ON CALL ENVIRONMENTAL CONSULTING	P	B		F	N	35		666,666	0	0	3,661	5/22/2014	O	5/30/2019	
4600002840	THE GREAT LAKES TOWING CO 4500 DIVISION AVE CLEVELAND, OH 44102-2228 US	FURNISH, DELIVER AND INSTALL TWO (2) TUG VESSELS TO NIA	E	B		F	N	2		4,938,662	267,196	267,196	11,654	9/18/2014	O	9/30/2016	
4600002841	ABSCOPE ENVIRONMENTAL INC 7086 COMMERCIAL DR CANASTOTA, NY 13032-4763 US	PLANNED ASBESTOS/LEAD/PCB ABATEMENT AND ASBESTOS/LEAD/PCB EMERGENCY RESPONSE SERVICES AT NYPA FACILITIES	C	B		N	N	11		1,250,000	0	0	425,000	10/16/2014	O	10/15/2019	
4600002842	BUFFALO ENVIRONMENTAL CONSULTANTS INC 7815 BUFFALO AVE NIAGARA FALLS, NY 14304-4221 US	PLANNED ASBESTOS/LEAD/PCB ABATEMENT AND ASBESTOS/LEAD/PCB EMERGENCY RESPONSE SERVICES AT NYPA FACILITIES	C	B		N	N	11		1,250,000	0	0	663,523	10/16/2014	O	10/15/2019	
4600002845	MID-ISLAND ELECTRICAL SUPPLY DBA FOR MIE-TH HOLDINGS LLC 59 MALL DR - PO BOX 9027 COMMACK, NY 11725-9027 US	F/D LED LIGHTING FIXTURES & EQUIPMENT FOR METRO NORTH RAILROAD GRAND CENTRAL TERMINAL & ASSORTED SENY PROJECTS	E	B		N	N	5		76,770	0	0	200,000	10/14/2014	O	10/13/2017	

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4600002847	SCE ENVIRONMENTAL GROUP INC 1380 MOUNT COBB RD JEFFERSON TOWNSHIP, PA 18436-3214 US	PLANNED ASBESTOS LEAD/PCB ABATEMENT AND ASBESTOS/LEAD/PCB EMERGENCY RESPONSES SERVICES AT NYPA FACILITIES	C	B		N	N	11		1,250,000	0	0	500,000	10/16/2014	O	10/15/2019	
4600002848	MID-ISLAND ELECTRICAL SUPPLY DBA FOR MIE-TH HOLDINGS LLC 59 MALL DR - PO BOX 9027 COMMACK, NY 11725-9027 US	F/D RAB & RENOVA LED LIGHTING EQUIPMENT FOR NYCT PELHAM DIESEL SHOP & ASSORTED SENY PROJECTS	E	B		N	N	5		11,124	0	0	1,100,000	10/14/2014	O	10/13/2017	
4600002849	EMC CORP 176 SOUTH ST HOPKINTON, MA 01748-2230 US	SHAREPOINT CONSULTING SERVICES	P	B		F	N	18		100,000	0	0	20,000	9/1/2014	O	7/31/2019	
4600002850	BLUEMETAL ARCHITECTS INC 44 PLEASANT ST - STE 200 WATERTOWN, MA 02472-2312 US	SHAREPOINT CONSULTING SERVICES	P	B		N	N	18		100,000	0	0	60,000	9/1/2014	O	7/31/2019	
4600002851	KMQ ENTERPRISES INC DBA TAILWIND ASSOCIATES 1462 ERIE BLVD SCHENECTADY, NY 12305-1026 US	SHAREPOINT CONSULTING SERVICES	P	B		N	N	18		1,200,000	0	0	175,000	9/1/2014	O	7/31/2019	
4600002852	COLDEN CORP 5842 HERITAGE LANDING DR EAST SYRACUSE, NY 13057-9359 US	INDUSTRIAL HYGIEN, OCCUPATIONAL HEALTH, AND SAFETY SUPPORT SRVCS	S	B		N	N	2		1,500,000	0	0	1,198	11/1/2014	O	10/31/2019	
4600002853	THE LOUIS BERGER GROUP INC 48 WALL ST - 16TH FL NEW YORK, NY 10005-2927 US	INDUSTRIAL HYGIEN, OCCUPATIONAL HEALTH, AND SAFETY SUPPORT SRVCS	S	B		N	N	2		1,500,000	0	0	0	11/1/2014	O	10/31/2019	
4600002856	HD SUPPLY POWER SOLUTIONS LTD 51 STEELE DR NEW CASTLE, DE 19720-7706 US	HELP PROGRAM - FURNISH & DELIVER BALLASTS & EQUIPMENT FOR ASSORTED SENY PROJECTS	E	B		F	N	3		907,495	0	0	100,000	10/31/2014	O	10/30/2017	
4600002857	GENERAL ELECTRIC INTERNATIONAL INC 3960 MYSTIC VALLEY PKWY MEDFORD, MA 02155-6920 US	BG: MAIN ROTOR REPAIR	S	B		F	N	2		8,273,564	0	0	5,322	10/20/2014	O	12/31/2017	
4600002858	RICHARD GRUBB AND ASSOCIATES INC 259 PROSPECT PLAINS RD - BUILDING D CRANBURY, NJ 08512-3706 US	GENERAL CULTURAL RESOURCES	P	B		N	N	12		250,000	0	0	300,000	11/1/2014	O	10/31/2019	
4600002860	LANDMARK ARCHAEOLOGY INC 6242 HAWES RD ALTAMONT, NY 12009-4606 US	GENERAL CULTURAL RESOURCES ON AN AS-NEEDED BASIS	P	B		N	3	12		500,000	0	0	1,500,000	11/1/2014	O	10/31/2019	

CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	TYPE	MOA	RNA	OPA	MWBE	BIDS	FRT	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2014	CONTRACT BALANCE	DATE OF CONTRACT	O \ C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
4600002861	ABB INC 940 MAIN CAMPUS DR RALEIGH, NC 27606-5211 US	EPC OF TWO (2) 345KV CAPACITOR BANKS FOR MARCY SOUTH SERIES COMPENSATION PROJECT	E	B		F	N	3		23,634,424	0	0	1,500,000	10/30/2014	O	6/1/2016	
4600002862	HARTGEN ARCHEOLOGICAL ASSOC INC 1744 WASHINGTON AVE EXTENSION RENSSELAER, NY 12144 US	GENERAL CULTURAL RESOURCE SERVICES	P	B		N	N	12		500,000	0	0	289,478	11/1/2014	O	10/31/2019	
4600002863	KFORCE INC 140 BROADWAY - FL 21 NEW YORK, NY 10005-1108 US	KFORCE INC. IT TEMPORARY STAFFING	S	B		F	N	45		200,000	2,508	2,508	0	10/31/2014	O	6/30/2015	
4600002864	MICHAEL BELLANTONI INC 121 LAFAYETTE AVE WHITE PLAINS, NY 10603-1602 US	SNOW REMOVAL	S	B		N	N	4		550,000	0	0	96,294	11/10/2014	O	11/9/2019	
4600002866	NIAGARA COATINGS SERVICES INC 8025 QUARRY RD NIAGARA FALLS, NY 14304 US	LPGP LEM HIGH PERFORMANCE COATING PROJECT	C	B		N	N	2		1,110,765	0	0	0	11/12/2014	O	11/11/2020	
4600002877	THE RESEARCH FOUNDATION FOR SUNY 35 STATE ST ALBANY, NY 12207-2826 US	GENERAL CULTURAL RESOURCE SERVICES ON AN AS-NEEDED BASIS	P	B		N	N	12		250,000	0	0	0	10/16/2014	O	10/31/2019	
4600002879	RFL ELECTRONICS INC 353 POWERVILLE RD BOONTON, NJ 07005-9151 US	RFL OPERATIONS NETWORK EQUIPMENT	E	B		F	N	2		1,012,150	0	0	2,134	12/1/2014	O	11/30/2017	
4600002880	CLEARESULT CONSULTING 4301 WESTBANK DR - BUILDING A - STE 300 AUSTIN, TX 78746-4505 US	BUILDSMART NY OPERATIONAL EFFICIENCY CONSULTING SERVICES	P	B		F	N	2		110,000	0	0	100,000	11/25/2014	O	11/25/2015	
		WPO TOTALS								3,526,616,938	1,518,863,381	460,771,193	2,007,753,332				

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FACILITY: WHITE PLAINS OFFICE REQUIRED BY N.Y. PUBLIC AUTHORITIES LAW, SECTION 2879

TOTAL NUMBER OF CONTRACTS	1,246
TOTAL CONTRACT VALUE	$3,526,616,938
TOTAL EXPENDED TO DATE	$1,518,863,381
TOTAL EXPENDED IN REPORT YEAR	$460,771,193

STATUS SUMMARY

TOTAL NUMBER OPEN CONTRACTS	756
TOTAL NUMBER CLOSED CONTRACTS	490

METHOD OF AWARD SUMMARY

TOTAL NUMBER COMP BID	984
TOTAL NUMBER SOLE SOURCE	75
TOTAL NUMBER SINGLE SOURCE	92
TOTAL NUMBER COMP SEARCH	71
TOTAL NUMBER MWBE/SBE	24

COMMITMENT TYPE SUMMARY

TOTAL NUMBER ARCHITECTURAL & ENGINEERING SERVICES	36
TOTAL NUMBER CONSTRUCTION	208
TOTAL NUMBER EQUIP/GOODS/COMMODITY	295
TOTAL NUMBER LEGAL SERVICES	29
TOTAL NUMBER PERSONAL SERVICES	293
TOTAL NUMBER OTHER NON PERSONAL SERVICES	385

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CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	TYPE	MOA	RNA	OPA	MWBE	BIDS	FRT	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2014	CONTRACT BALANCE	DATE OF CONTRACT	O \ C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
4500194752	MAILFINANCE INC D/B/A HASLER FINANCIAL SERVICES 478 WHEELERS FARMS RD MILFORD, CT 06461-9105 US	MAIL MACHINE RENTAL	E	B	7	N	N	1		11,000	9,309	2,144	1,691	9/22/2010	O	9/21/2015	
4500213851	COYNE TEXTILE SERVICES INC 1435 ERIE BLVD SCHENECTADY, NY 12305-1004 US	FLOOR MATS, SHOP TOWELS & MOPS	E	B		N	N	1		36,079	35,784	4,292	295	3/1/2012	C		2/28/2014
4500225958	CARDISH MACHINE WORKS INC 7 ELM ST WATERVLIET, NY 12189-1826 US	REBUILD SERVOS FOR CRESCENT POWER PROJECT	S	2	8	N	1	1		12,500	12,500	12,500	0	1/1/2014	C		10/14/2014
4500226293	KONE INC ONE KONE CT MOLINE, IL 61265 US	ELEVATOR MAINTENANCE	S	B	7	N	N	1		14,890	14,887	4,029	3	9/1/2012	C		8/31/2014
4500230111	CRYSTAL ROCK LLC DBA FOR CRYSTAL ROCK HOLDINGS INC 1050 BUCKINGHAM ST WATERTOWN, CT 06795-6602 US	BOTTLED WATER	E	B	7	F	N	2		14,750	7,038	-3,081	7,712	3/26/2013	O	3/25/2015	
4500231220	WILLIAMS SCOTSMAN INC PO BOX 91975 CHICAGO, IL 60693-1975 US	OFFICE TRAILER RENTAL	E	B	5	N	N	1		12,471	10,201	3,789	2,270	5/1/2013	O	5/31/2015	
4500231898	ZINTER HANDLING INC 4313 ROUTE 50 SARATOGA SPRINGS, NY 12866-2914 US	BRIDGE CRANES UPGRADES AT THE CRESCENT & VISCHER FERRY POWER PROJECTS	C	B		N	N	3		1,300,072	911,822	911,822	388,250	5/20/2013	C		1/1/2014
4500232025	DAY AUTOMATION SYSTEMS INC 7931 RAE BLVD VICTOR, NY 14564-8931 US	SECURITY SYSTEM MAINTENANCE AND TESTING	P	B	7	N	1	1		18,342	18,342	0	0	4/1/2013	C		3/31/2014
4500232388	ROBERT GOLDERMAN 33 LANCASTER ST FL 1 COHOES, NY 12047-2924 US	LAND OFFICE WALL AND CEILING REPAIR	P	M	5	N	N	1		7,900	0	0	7,900	6/1/2013	C		1/1/2014
4500232677	OMEGA ENGINEERING INC PO BOX 4047 ONE OMEGA DR STAMFORD, CT 6907 US	WATER TURBINE FLOW METERS	E	B		F	N	3	*	34,644	34,644	14,960	0	3/31/2014	C**		6/4/2014
4500233388	TCE SYSTEMS INC 4819 25TH AVE ASTORIA, NY 11103-1005 US	MICROWAVE SYSTEM	E	M	8	N	3	1		72,750	72,750	72,750	0	6/26/2013	C		9/17/2014

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CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	TYPE	MOA	RNA	OPA	MWBE	BIDS	FRT	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2014	CONTRACT BALANCE	DATE OF CONTRACT	O \ C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
4500234062	TROY BOILER WORKS INC 2800 7TH AVE TROY, NY 12180-1587 US	GOVERNOR ACCUMULATOR TANKS	E	2		N	1	1		19,160	19,160	19,160	0	7/16/2013	C		5/5/2014
4500235347	STEELCASE INC C/O BUSINESS ENVIRONMENTS BY RAS 901 44TH ST SE GRAND RAPIDS, MI 49508-7594 US	STEELCASE OFFICE FURNITURE	E	B	7	F	N	1		18,107	15,832	3,272	2,275	8/16/2013	C		4/10/2014
4500236477	BLOOMVILLE DISPOSAL SERVICE DBA FOR G HAYNES HOLDINGS INC 51971 STATE HWY 10 BLOOMVILLE, NY 13739 US	TRASH REMOVAL	E	M	8	N	1	1		50,000	50,000	39,180	0	9/1/2013	C		10/31/2014
4500237726	B-LANN EQUIPMENT CO INC 2288 5TH AVE - PO BOX 997 TROY, NY 12180-2201 US	FIRE EXTINGUISHER INSPECTION AND REPAIRS	E	B	7	N	1	1		10,000	3,866	3,866	6,134	11/1/2013	O	10/30/2015	
4500238187	WALTER S PRATT & SONS INC 317 COLUMBIA ST - PO BOX 170 RENSSELAER, NY 12144-2920 US	4" SUBMERSIBLE PUMP RENTAL	E	M	5	N	1	1		7,600	7,600	5,986	0	3/12/2014	C		4/24/2014
4500238192	ADMAR SUPPLY CO INC 1950 BRIGHTON HENRIETTA ROCHESTER, NY 14623 US	GENIE WORK PLATFORM	E	B	5	N	N	3		10,086	10,086	10,086	0	10/30/2013	C		2/20/2014
4500238281	MDS POWER INC PO BOX 532 CHAMPLAIN, NY 12919 US	STATION BATTERY CHARGE CONTROLLER	E	M	8	N	1	1		27,449	27,449	27,449	0	11/1/2013	C		2/6/2014
4500239383	SCHWEITZER ENGINEERING LAB INC C/O ROBINSON SALES INC 1240 ASHBRIDGE RD WEST CHESTER, PA 19380 US	SECONDARY CAP BANK PROTECTION RELAYS	E	B	5	F	N	3		8,685	8,685	8,685	0	12/4/2013	C		1/3/2014
4500239611	HAVERFORD SYSTEMS INC 152 ROBBINS RD DOWNINGTOWN, PA 19335-3409 US	SMART BOARD	E	C	5	F	N	3		9,846	9,846	9,846	0	12/10/2013	C		1/8/2014
4500239771	EATON CORP C/O HASGO POWER EQUIPMENT SALES INC 151 W MAIN ST - PO BOX 400 LE ROY, NY 14482-1317 US	MEDIUM-VOLTAGE METAL-ENCLOSED SWITCHGEAR	E	B		F	N	2		29,508	27,008	27,008	2,500	10/11/2013	C		3/31/2014

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CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	TYPE	MOA	RNA	OPA	MWBE	BIDS	FRT	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2014	CONTRACT BALANCE	DATE OF CONTRACT	O \ C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
4500240228	SHEBER/WRIGHT INC 6 WINNERS CIR - APT #630 ALBANY, NY 12205-5930 US	PROJECT ASSISTANT SERVICES	S	M	8	N	3	1		19,213	19,213	19,213	0	12/20/2013	C		7/2/2014
4500240380	GE INDUSTRIAL SYSTEMS INC 4601 PARK RD - STE 600 CHARLOTTE, NC 28209-3799 US	TRANSFORMER POTENTIAL	E	B	5	F	N	3		6,881	6,881	6,881	0	1/6/2014	C		1/30/2014
4500240541	CURBELL INC 7 COBHAM DR ORCHARD PARK, NY 14127 US	THERMOPLASTIC SHEET MATERIAL	E	B		N	N	1		52,590	52,195	52,195	395	1/9/2014	C		1/30/2014
4500240615	FOSTER MANUFACTURING CORP 1836 OAKDALE AVE RACINE, WI 53406-4712 US	HYDRAULIC PUMPING UNIT	E	B	5	F	N	1	*	12,999	12,999	12,999	0	1/10/2014	C		2/19/2014
4500240756	TUFF KOTE FLOORING LLC 1704 ROUTE 9 SOUTH GLENS FALLS, NY 12803-5554 US	EPOXY FLOORING REPAIR	S	M	5	N	1	1		11,660	11,660	11,660	0	1/15/2014	C		3/7/2014
4500241612	MEDIUM VOLTAGE SERVICES GROUP LLC 311 COX ST - STE B ROSELLE, NJ 07203-1753 US	MEDIUM VOLTAGE TRANSFORMERS	E	B		F	N	5		368,520	368,520	368,520	0	2/4/2014	C		8/4/2014
4500241692	T J BELL ENVIRONMENTAL INC 203 SHEER RD AVERILL PARK, NY 12018 US	ASBESTOS ABATEMENT	S	1	5	N	3	1		7,237	7,237	7,237	0	2/6/2014	C		2/13/2014
4500241762	GOTTOGO ELECTRIC INC DBA FOR GOTTOGO ENTERPRISES 7865 E MAIN RD LE ROY, NY 14482-9747 US	GENERATOR MOTOR CIRCUIT BREAKER PARTS	E	B		F	3	1		89,005	89,005	89,005	0	2/7/2014	C		7/16/2014
4500241798	STUART C IRBY CO 4583 BUCKLEY RD LIVERPOOL, NY 13088-2508 US	STEEL WIRE	E	B	5	N	N	4		6,940	6,940	6,940	0	2/10/2014	C		2/20/2014
4500241815	CARGILL INC 24950 COUNTRY CLUB BLVD - STE 450 NORTH OLMSTED, OH 44070-5333 US	ROAD SALT	E	B	7	F	N	1		5,518	5,518	5,518	0	2/10/2014	C		3/28/2014
4500242061	MIRABITO FUEL GROUP 10 CARBON ST ONEONTA, NY 13820 US	DIESEL	E	B	7	N	N	1		5,876	5,876	5,876	0	2/4/2014	C		2/19/2014

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4500242193	WESTCHESTER VALVE & FITTING 2345 ROUTE 52 HOPEWELL JUNCTION, NY 12533 US	SWAGELOK TUBING	E	B	5	N	N	3	*	7,099	7,099	7,099	0	2/20/2014	C		3/3/2014
4500242276	WESTCHESTER VALVE & FITTING 2345 ROUTE 52 HOPEWELL JUNCTION, NY 12533 US	SCROLL CASE VENT FITTINGS	E	B	5	N	N	2		6,522	5,914	5,914	608	2/21/2014	C		3/11/2014
4500242328	B-LANN EQUIPMENT CO INC 2288 5TH AVE - PO BOX 997 TROY, NY 12180-2201 US	RESCUE RETRIEVAL UNITS	E	B	5	N	1	2		13,491	12,114	12,114	1,377	2/24/2014	C		7/28/2014
4500242589	STUART C IRBY CO 4583 BUCKLEY RD LIVERPOOL, NY 13088-2508 US	DEGREASER	E	B	5	N	N	4		6,028	6,028	6,028	0	3/3/2014	C		3/12/2014
4500242810	COYNE TEXTILE SERVICES INC 1435 ERIE BLVD SCHENECTADY, NY 12305-1004 US	FLOOR MATS, SHOP TOWELS & MOPS	E	B		N	N	1		20,000	12,875	12,875	7,125	3/10/2014	O	3/9/2015	
4500242971	GOTTOGO ELECTRIC INC DBA FOR GOTTOGO ENTERPRISES 7865 E MAIN RD LE ROY, NY 14482-9747 US	HITACHI HVB INTERRUPTER ASSEMBLIES	E	M	8	N	3	1		90,000	90,000	90,000	0	3/11/2014	C		5/8/2014
4500243660	SUNY COBLESKILL FOUNDATION ROOM 201 KNAPP HALL - SUNY COBLESKI COBLESKILL, NY 12043 US	UPPER AND LOWER RESERVOIR FISH STOCKING	E	2	5	N	N	1		14,200	14,000	14,000	200	3/28/2014	C		6/2/2014
4500244016	A H HARRIS & SONS INC 17 COMMERCIAL AVE ALBANY, NY 12205-5703 US	ROTARY HAMMER DRILL AND ACCESSORIES	E	B	5	N	N	2	*	7,666	7,666	7,666	0	4/8/2014	C		4/21/2014
4500244025	MIRABITO FUEL GROUP 10 CARBON ST ONEONTA, NY 13820 US	B5 DIESEL	E	B	7	N	N	1		6,219	6,219	6,219	0	3/28/2014	C		4/8/2014
4500244369	REPCO INDUSTRIES INC 970 TOWNLINE RD CAYUGA, NY 13034-9749 US	FLASHBOARDS AND PINS	E	M	8	N	1	1		59,528	59,528	59,528	0	4/16/2014	C		7/24/2014
4500244445	TECHNOLOGY INTERNATIONAL INC STE 2411 1349 S INTERNATIONAL PKWY LAKE MARY, FL 32746-1400 US	15 AND 25 TON AC UNITS	E	B		F	N	2		29,692	29,692	29,692	0	4/21/2014	C		7/7/2014

CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	TYPE	MOA	RNA	OPA	MWBE	BIDS	FRT	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2014	CONTRACT BALANCE	DATE OF CONTRACT	O \ C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
4500244499	WESCO DISTRIBUTION INC PO BOX 390 SYRACUSE, NY 13201-0390 US	CABLE	E	B	5	N	N	3		5,628	5,628	5,628	0	4/22/2014	C		4/29/2014
4500244583	FIBER INSTRUMENT SALES 161 CLEAR RD ORISKANY, NY 13424-4301 US	SPLICER KIT	E	C	5	N	N	2	*	7,074	7,074	7,074	0	4/23/2014	C		4/24/2014
4500244603	AMERIGAS PO BOX 68 BRASHER FALLS, NY 13613 US	LIQUID PROPANE	E	B	7	N	N	1		20,619	20,619	20,619	0	4/16/2014	C		6/2/2014
4500245571	SCHOHARIE COUNTY HISTORICAL SOCIETY 145 FORT RD SCHOHARIE, NY 12157-4705 US	LANSING MANOR CURATOR SERVICES	S	2	5	N	N	1		10,750	10,750	10,750	0	5/20/2014	C		12/30/2014
4500246069	ALBANY VALVE & FITTINGS CO INC 120 S CHURCH ST SCHENECTADY, NY 12305-6545 US	SWAGELOK	E	B	5	N	1	2	*	8,254	8,254	8,254	0	6/3/2014	C		8/15/2014
4500246389	W W PATENAUDE SONS INC 3 BEST AVE MECHANICVILLE, NY 12118 US	HATCH OPENING AND HATCH COVER PAINTING	C	M	8	N	N	1		113,297	68,248	68,248	45,049	6/12/2014	O	4/30/2015	
4500246589	MIRABITO FUEL GROUP 10 CARBON ST ONEONTA, NY 13820 US	B20 DIESEL	E	B	7	N	N	1		7,389	7,389	7,389	0	6/6/2014	C		6/17/2014
4500246780	CARDISH MACHINE WORKS INC 7 ELM ST WATERVLIET, NY 12189-1826 US	FIXED CONE VALVE MODIFICATIONS	E	M	8	N	1	1		21,300	21,300	21,300	0	6/23/2014	C		10/30/2014
4500247026	KONE INC ONE KONE CT MOLINE, IL 61265 US	ELEVATOR MAINTENANCE AND REPAIR	S	B	7	N	N	1		14,000	4,096	4,096	9,904	6/27/2014	O	6/30/2015	
4500247217	MIRABITO FUEL GROUP 10 CARBON ST ONEONTA, NY 13820 US	GASOLINE	E	B	7	N	N	1		5,044	5,044	5,044	0	2/25/2014	C		7/7/2014
4500247272	B & H PHOTO VIDEO INC 420 NINTH AVE NEW YORK, NY 10001 US	LED TV	E	B	5	N	N	3		6,500	6,500	6,500	0	7/8/2014	C		8/25/2014
4500247294	DAIKIN APPLIED AMERICAS INC 13600 INDUSTRIAL PARK BLVD MINNEAPOLIS, MN 55441-3743 US	MCQUAY COMPRESSOR REPAIR	S	1	5	F	N	1		6,270	0	0	6,270	7/8/2014	O	1/30/2015	
4500247531	ALL-LIFTS INC 27-39 THATCHER ST ALBANY, NY 12207-3012 US	MAIN HATCH LIFTING EYES	E	M	8	N	1	1		19,175	18,983	18,983	192	7/14/2014	C		12/4/2014

CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	TYPE	MOA	RNA	OPA	MWBE	BIDS	FRT	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2014	CONTRACT BALANCE	DATE OF CONTRACT	O\C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
4500247567	MSC INDUSTRIAL SUPPLY CO INC DEPT CH 0075 PALATINE, IL 60055-0075 US	DEGREASER	E	B	7	N	N	1		5,378	5,378	5,378	0	7/15/2014	C		7/18/2014
4500247655	TEQUIPMENT.NET DBA FOR INTERWORLD HIGHWAY LLC 205 WESTWOOD AVE LONG BRANCH, NJ 07740-6564 US	MEGGER MICRO-OHMETER	E	B	5	F	N	3	*	5,886	5,886	5,886	0	7/17/2014	C		7/31/2014
4500247691	CARDISH MACHINE WORKS INC 7 ELM ST WATERVLIET, NY 12189-1826 US	TAINTOR GATE EMERGENCY DRIVE SPLINE EXTENSION ASSEMBLIES	E	M	5	N	1	1		8,100	8,100	8,100	0	7/18/2014	C		10/14/2014
4500247828	CARDISH MACHINE WORKS INC 7 ELM ST WATERVLIET, NY 12189-1826 US	TURBINE NEARING STUDBOLTS AND BUSHINGS	E	M	5	N	1	1		6,375	6,375	6,375	0	7/23/2014	C		7/23/2014
4500247906	GILMAN CORP PO BOX 68 GILMAN, CT 06336-0068 US	BUOYS	E	B	5	F	N	3	*	7,514	7,514	7,514	0	7/24/2014	C		9/23/2014
4500248456	MATIA RESTORATION AND DESIGN ARTUR L MATIA PO BOX 34 REXFORD, NY 12148-0034 US	LATHE AND PLASTER REPAIRS	C	2	8	N	1	1		27,000	27,000	27,000	0	8/7/2014	C		9/30/2014
4500248812	MIRABITO FUEL GROUP 10 CARBON ST ONEONTA, NY 13820 US	B20 AND GASOLINE	E	B	7	N	N	1		9,409	9,409	9,409	0	8/11/2014	C		8/18/2014
4500249044	ALBANY VALVE & FITTINGS CO INC 120 S CHURCH ST SCHENECTADY, NY 12305-6545 US	SWAGELOK FITTINGS	E	B	5	N	1	2	*	6,152	6,152	6,152	0	8/22/2014	C		9/2/2014
4500249091	HERMAN MILLER INC C/O ACCENT COMM FURNITURE INC 855 E MAIN AVE ZEELAND, MI 49464-1372 US	OFFICE FURNITURE	E	B	7	F	N	1		10,252	10,220	10,220	32	8/25/2014	C		12/4/2014

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4500249092	OFS BRANDS INC C/O ACCENT COMM FURNITURE INC 1204 E 6TH ST HUNTINGBURG, IN 47542-9375 US	OFFICE FURNITURE	E	B	7	F	N	1		22,225	21,509	21,509	716	8/25/2014	C		12/5/2014
4500249194	GORMAN BROS INC 200 CHURCH ST ALBANY, NY 12202-1965 US	ACCESS ROAD CRACK SEALING	C	B	7	N	N	1		51,961	51,961	51,961	0	8/27/2014	C		10/10/2014
4500249221	JAMES H MALOY INC 421 ALBANY SHAKER RD - PO BOX 11016 LOUDONVILLE, NY 12211-1815 US	WETLAND MITIGATION	C	M	8	N	1	2		101,382	81,358	81,358	20,024	8/28/2014	C		10/17/2014
4500249230	PACS SWITCHGEAR LLC 8405 BLACKJACK RD MOUNT VERNON, OH 43050-2781 US	EXCITER BREAKER	E	1	5	F	N	1		14,600	0	0	14,600	8/28/2014	O	3/31/2015	
4500249406	RUGGLES-KLINGEMANN MFG CO INC 78 WATER ST BEVERLY, MA 01915-5027 US	DIAPHRAGM VALVE REFURBISHMENT	E	1	5	F	N	1		12,657	10,536	10,536	2,121	9/3/2014	C		12/1/2014
4500249642	CURBELL INC 7 COBHAM DR ORCHARD PARK, NY 14127 US	PACKING BOX RESINS	E	2	5	N	N	1	*	5,309	5,309	5,309	0	9/9/2014	C		10/10/2014
4500249663	REPCO INDUSTRIES INC 970 TOWNLINE RD CAYUGA, NY 13034-9749 US	FLASHBOARDS AND PINS FOR CRESCENT AND VISCHER FERRY PLANTS	E	M	8	N	1	1		124,962	0	0	124,962	9/10/2014	O	1/30/2015	
4500249667	HELWIG CARBON PRODUCTS INC 8900 W TOWER AVE - BOX 240160 MILWAUKEE, WI 53224-9008 US	CARBON GRAPHITE PACKING RINGS	E	1	8	F	N	1		21,769	9,880	9,880	11,889	9/10/2014	O	6/30/2015	
4500249961	CARDISH MACHINE WORKS INC 7 ELM ST WATERVLIET, NY 12189-1826 US	SHEAVE ASSEMBLY REHABILITATION	E	M	5	N	1	1		5,400	5,400	5,400	0	9/16/2014	C		9/17/2014
4500250071	GENERAL ELECTRIC CO FORMERLY GENERAL ELECTRIC CANADA 650 MARKLAND ST MARKHAM, ON L6C 0M1 CA	RTU BOARD AND PROCESSOR	E	B	5	F	N	3	*	11,834	11,834	11,834	0	9/19/2014	C		11/7/2014

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4500250531	TRI-STATE NONDESTRUCTIVE TESTING INC 5379 ARTHUR KILL RD STATEN ISLAND, NY 10307-1152 US	EDDY CURRENT TESTING	S	M	5	N	1	1		5,893	5,893	5,893	0	10/1/2014	C		10/1/2014
4500250730	KEYSTONE PRECISION INSTRUMENTS DBA FOR KMEL CORP 1670 E RACE ST ALLENTOWN, PA 18109-9580 US	GPS SYSTEM UPGRADE	E	B		F	N	3		170,120	156,470	156,470	13,650	10/6/2014	O	3/31/2015	
4500250799	SPECTRA ENGINEERING ARCHITECTURE & SURVEYING PC 19 BRITISH AMERICAN BLVD W - STE 2 LATHAM, NY 12110-1483 US	LOWER RESERVOIR SPILLWAY BRIDGE INSPECTION	P	C	5	N	N	3		11,850	0	0	11,850	10/7/2014	O	3/31/2015	
4500251071	MIDDLEBURGH SHEET METAL LLC 1018 CLAUVERWIE RD MIDDLEBURGH, NY 12122-3419 US	LANSING MANOR HVAC REPLACEMENT	E	M	8	N	1	1		18,000	18,000	18,000	0	10/15/2014	C		11/20/2014
4500251169	CARDISH MACHINE WORKS INC 7 ELM ST WATERVLIET, NY 12189-1826 US	TROLLEY SYSTEM FOR FIXED CONE VALVES	E	M	5	N	1	1		9,800	9,800	9,800	0	10/17/2014	C		10/30/2014
4500251174	CARGILL INC 24950 COUNTRY CLUB BLVD - STE 450 NORTH OLMSTED, OH 44070-5333 US	ROAD SALT	E	B	7	N	N	1		5,233	5,204	5,204	29	10/31/2014	O	6/30/2015	
4500251684	DOBLE ENGINEERING CORP C/O ELECTRICOM INC 4327 KENCREST DR SYRACUSE, NY 13215 US	MOISTURE-IN-OIL SENSOR	E	B		N	N	1	*	17,456	17,456	17,456	0	10/30/2014	C		12/2/2014
4500251704	TROY BOILER WORKS INC 2800 7TH AVE TROY, NY 12180-1587 US	TAINTER GATE SEAL HEATER SLEEVES	E	C	5	N	1	1		12,945	0	0	12,945	10/30/2014	O	1/30/2015	
4500252148	SAFWAY SERVICES LLC DBA FOR OIP HOLDINGS LLC 36 BROADWAY MENANDS, NY 12204-2702 US	SCAFFOLD RENTAL	E	B	5	F	N	3		11,704	2,793	2,793	8,911	11/12/2014	O	1/31/2015	

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4500252211	HERMAN MILLER INC C/O ACCENT COMM FURNITURE INC 855 E MAIN AVE ZEELAND, MI 49464-1372 US	OFFICE FURNITURE	E	B	5	F	N	1		10,439	0	0	10,439	11/13/2014	C		12/18/2014
4500252399	BLOOMVILLE DISPOSAL SERVICE DBA FOR G HAYNES HOLDINGS INC 51971 STATE HWY 10 BLOOMVILLE, NY 13739 US	TRASH REMOVAL	S	M	8	N	1	1		50,000	4,878	4,878	45,122	11/1/2014	O	10/31/2015	
4500252443	KONECRANES INC 1020 LEHIGH STATION RD HENRIETTA, NY 14467-9369 US	GANTRY CRANE FULL LOAD TEST	C	2	8	F	N	1		77,108	0	0	77,108	11/20/2014	O	1/30/2015	
4500252531	SUPERIOR LUBRICANTS CO INC 32 WARD RD N TONAWANDA, NY 14120-2494 US	MOBIL DTE OIL	E	B	5	N	N	2	*	10,167	10,167	10,167	0	11/21/2014	C		12/5/2014
4500252770	SAFWAY SERVICES LLC DBA FOR OIP HOLDINGS LLC 36 BROADWAY MENANDS, NY 12204-2702 US	SCAFFOLDING	E	B	5	N	N	3		14,308	0	0	14,308	12/1/2014	O	7/31/2015	
4500252817	CARDISH MACHINE WORKS INC 7 ELM ST WATERVLIET, NY 12189-1826 US	VISCOSITY PUMP ALUMINUM STRIPS	E	B	5	N	1	2		13,200	0	0	13,200	12/2/2014	O	1/30/2015	
4500252818	MIRABITO FUEL GROUP 10 CARBON ST ONEONTA, NY 13820 US	DIESEL	E	B	7	N	N	1		5,209	5,209	5,209	0	12/2/2014	C		12/2/2014
4500253109	DAY AUTOMATION SYSTEMS INC 7931 RAE BLVD VICTOR, NY 14564-8931 US	SECURITY CAMERAS	E	B	7	N	1	1		10,371	0	0	10,371	12/9/2014	O	1/30/2015	
4500253160	3 GALS INDUSTRIAL LLC PO BOX 177 OYSTER BAY, NY 11771-0177 US	BAND SAW	E	B	5	N	3	3		10,915	0	0	10,915	12/10/2014	C		12/26/2014
4500253168	CHROMALOX 1111 PAULSON AVE - PO BOX 2000 CLIFTON, NJ 7015 US	TAINTER GATE SEAL HEATER	E	2	5	F	N	1		6,673	0	0	6,673	12/10/2014	O	1/30/2015	

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4500253273	DAIKIN APPLIED AMERICAS INC 13600 INDUSTRIAL PARK BLVD MINNEAPOLIS, MN 55441-3743 US	REPLACEMENT COMPRESSOR	E	1	8	F	N	1		61,200	0	0	61,200	12/12/2014	O	1/30/2015	
4500253282	E A MORSE & CO INC 1210 ROUTE 9 CASTLETON, NY 12033-9686 US	FLOOR SWEEPER	E	B	5	N	1	2		7,319	0	0	7,319	12/12/2014	O	1/15/2015	
4500253767	AMERIGAS 313 MACARTHUR AVE STE COBLESKILL, NY 12043-1600 US	PROPANE	E	B	7	N	N	1		10,290	10,290	10,290	0	12/8/2014	C		12/27/2014
4600002385	MORRIS TENT RENTALS INC PO BOX 442 MORRIS, NY 13808-2335 US	TENT, TABLE & CHAIR RENTALS	E	B		N	1	2		60,000	51,583	13,347	8,417	1/1/2011	O	3/31/2015	
4600002480	EMERGENCY ONE ANDERSON MEDICAL PC 40 HURLEY AVE KINGSTON, NY 12401-3738 US	ON SITE MEDICAL SERVICES	S	B		N	4	2		75,000	48,500	16,000	26,500	1/1/2012	O	1/9/2015	
		BG TOTALS								3,961,388	2,957,937	2,758,135	1,003,451				

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TOTAL NUMBER OF CONTRACTS	99
TOTAL CONTRACT VALUE	$3,961,388
TOTAL EXPENDED TO DATE	$2,957,937
TOTAL EXPENDED IN REPORT YEAR	$2,758,135

STATUS SUMMARY

TOTAL NUMBER OPEN CONTRACTS	26
TOTAL NUMBER CLOSED CONTRACTS	73

METHOD OF AWARD SUMMARY

TOTAL NUMBER COMP BID	60
TOTAL NUMBER SOLE SOURCE	6
TOTAL NUMBER SINGLE SOURCE	8
TOTAL NUMBER COMP SEARCH	4
TOTAL NUMBER MWBE/SBE	21

COMMITMENT TYPE SUMMARY

TOTAL NUMBER ARCHITECTURAL & ENGINEERING SERVICES	0
TOTAL NUMBER CONSTRUCTION	6
TOTAL NUMBER EQUIP/GOODS/COMMODITY	79
TOTAL NUMBER LEGAL SERVICES	0
TOTAL NUMBER PERSONAL SERVICES	3
TOTAL NUMBER OTHER NON PERSONAL SERVICES	11

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CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	TYPE	MOA	RNA	OPA	MWBE	BIDS	FRT	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2014	CONTRACT BALANCE	DATE OF CONTRACT	O\C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
4500183481	MAILFINANCE INC D/B/A HASLER FINANCIAL SERVICES 478 WHEELERS FARMS RD MILFORD, CT 06461-9105 US	HASLER MAILING SYSTEM	E	B	7	F	N	1		5,483	5,309	1,131	174	1/1/2010	O	3/31/2016	
4500226380	IBM CORP 80 STATE ST - STE 5 ALBANY, NY 12207-2543 US	IBM HARD DRIVE MAINTENANCE AND RETENTION	S	2	5	N	N	1		7,590	7,590	0	0	1/20/2013	C		1/19/2014
4500227416	SIEMENS INDUSTRY INC 10900 WAYZATA BLVD - STE 400 MINNETONKA, MN 55305-5602 US	EMS WALL BOARD WARRANTY COVERAGE	E	1	8	F	N	1		27,475	27,475	0	0	1/25/2013	C		1/31/2014
4500227923	ASSETWORKS INC FORMERLY MAXIMUS INC 998 OLD EAGLE SCHOOL RD - STE 1215 WAYNE, PA 19087-1862 US	ASSETWORKS SUPPORT	S	B	7	F	N	1		14,882	7,048	1,966	7,834	2/6/2013	C		2/5/2014
4500228152	HEBER ASSOCIATES INC 420 QUAKER RD - BOX 4744 QUEENSBURY, NY 12804-1535 US	TEMPORARY EMPLOYEE - TECHNICAL TRAINING DEPARTMENT	S	M	8	N	3	1		115,000	70,698	14,336	44,302	2/19/2013	C		3/7/2014
4500228929	COYNE TEXTILE SERVICES INC 1435 ERIE BLVD SCHENECTADY, NY 12305-1004 US	MOPS, MATS, COVERALLS	E	B	6	N	N	2		7,793	7,792	3,903	1	3/4/2013	C		3/18/2014
4500231656	SUNBELT RENTALS INC 800 HARTFORD TPKE SHREWSBURY, MA 01545-4107 US	RENTAL - BACKUP GENERATOR	E	B		F	N	6		45,600	45,555	22,953	45	5/21/2013	C		6/30/2014
4500231869	ASSETWORKS INC FORMERLY MAXIMUS INC 998 OLD EAGLE SCHOOL RD - STE 1215 WAYNE, PA 19087-1862 US	GPS TELEMATIC SERVICE	S	B	7	F	N	1		157,431	130,545	68,352	26,886	5/17/2013	C		5/16/2014
4500233010	VIEWPOINT SYSTEMS INC 800 WEST METRO PKWY ROCHESTER, NY 14623 US	ECC ATS UPGRADE	E	2	8	N	N	1		38,289	34,059	18,750	4,230	6/14/2013	C		6/13/2014
4500235246	AUTOMOTIVE RENTALS INC 40001 LEADENHALL RD MOUNT LAUREL, NJ 08054-4611 US	EQUIPMENT AND VEHICLE MAINTENANCE	E	B	7	F	N	1		200,000	152,689	138,377	47,311	10/1/2013	C		9/4/2014

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4500235540	JOHNSON CONTROLS INC 130 JOHN MUIR DR - STE 100 AMHERST, NY 14228-1139 US	FIRE / SECURITY SYSTEM SERVICE AGREEMENT	S	B	7	N	N	1		18,922	18,922	0	0	8/22/2013	C		9/30/2014
4500235557	CASSONE LEASING INC 1950 LAKELAND AVE RONKONKOMA, NY 11779-7400 US	RENTAL - CONSTRUCTION TRAILER	E	M	8	N	3	1		23,686	13,377	3,780	10,309	9/1/2013	O	9/1/2017	
4500236616	EMPIRE CRANE CO LLC 7021 PERFORMANCE DR NORTH SYRACUSE, NY 13212 US	CRANE PARTS	E	B	6	N	N	1		11,590	11,590	11,590	0	9/19/2013	C		1/14/2014
4500237201	IPC INFORMATION SYSTEMS INC 25 KINGSTON ST - FL 4 BOSTON, MA 2111 US	MAINTENANCE AGREEMENT - IPC COMMUNICATIONS SYSTEM	E	1	5	F	N	1		10,124	10,124	0	0	11/1/2013	C		10/31/2014
4500238899	GE ENERGY CONTROL SOLUTIONS INC 1800 NELSON RD LONGMONT, CO 80501-6324 US	TRAINING - GE MARK VI	P	1	8	F	N	1		69,600	69,600	69,600	0	11/19/2013	C		3/28/2014
4500238948	CHALMERS & KUBECK NORTH INC 24-34 ELISE ST WESTFIELD, MA 1085 US	ACTUATOR FOR JARVIS	E	1	8	F	N	1		49,962	49,962	49,962	0	11/20/2013	C		1/6/2014
4500239606	VAN BORTEL FORD INC 71 MARSH RD EAST ROCHESTER, NY 14445-1915 US	FORD FUSION HYBRID	E	B	7	N	3	1		25,614	25,614	25,614	0	12/10/2013	C		4/3/2014
4500239650	FILTREC CORP 5440 LOCKWOOD RD AUBURN, NY 13021-8110 US	VEHICLE LIFT INSTALLATION	E	B		N	N	1		72,487	71,807	71,807	680	12/11/2013	C		4/28/2014
4500239656	WILKINS MECHANICAL INC 6923 HERMAN RD SYRACUSE, NY 13209-9718 US	EQUIPMENT-ECC DATA CENTER AIR CONDITIONING SYSTEM	E	M	8	N	3	1		112,209	90,609	90,609	21,600	12/11/2013	O	2/26/2015	
4500239659	HINKEL EQUIPMENT RENTAL ASSOC INC 2410 HIGH RD HUNTINGDON VALLEY, PA 19006 US	REPAIRS AND PARTS	E	2	8	N	N	1		15,134	13,184	13,184	1,950	3/19/2014	C		5/22/2014
4500239731	SPIRIT MILLER N E LLC 15 PINE ST DEPOSIT, NY 13754-1326 US	TRANSPORTATION	E	B	5	N	N	2		7,970	7,970	7,970	0	12/12/2013	C		4/23/2014

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4500239911	WASTE MANAGEMENT OF NY-UTICA 2003 BLEECKER ST UTICA, NY 13501-1711 US	ANNUAL RUBBISH SERVICE	S	B	7	N	N	1		12,000	8,381	8,381	3,619	12/17/2013	C		12/31/2014
4500240102	WEBSTER FORD INC HENDERSON FORD MV-1 OF ROCHESTER 810 RIDGE RD WEBSTER, NY 14580-2411 US	FORD C-MAX ENERGI VEHICLE	E	1	8	N	4	1		31,095	31,095	31,095	0	12/23/2013	C		3/21/2014
4500240299	NESCO SALES & RENTALS 3112 EAST STATE RD 124 BLUFFTON, IN 46714 US	TRACK BUCKET TRUCK RENTAL	E	B		F	N	3		98,260	86,538	86,538	11,722	1/2/2014	C		6/1/2014
4500240306	SCHWEITZER ENGINEERING LAB INC C/O ROBINSON SALES INC 1240 ASHBRIDGE RD WEST CHESTER, PA 19380 US	SCHWEITZER NUMERICAL RELAYS	E	B		F	N	3		17,370	17,370	17,370	0	1/3/2014	C		2/3/2014
4500240442	SEIFERT GRAPHICS INC 6133 JUDD RD ORISKANY, NY 13424-4220 US	NYPA LOGOS AND DECALS	E	B		N	3	1		9,451	7,976	7,976	1,475	1/7/2014	C		12/30/2014
4500240448	PRINOTH OF NORTH AMERICA INC DBA PRINOTH LLC 2746 SEEBER ST - BUILDING B GRAND JUNCTION, CO 81506-7303 US	CAMOPLAST TROOPER TIRES AND PARTS	E	B	5	F	N	2	*	7,629	7,629	7,629	0	1/7/2014	C		1/14/2014
4500240465	NOCO ENERGY CORP 625 SAWKILL RD KINGSTON, NY 12401-7157 US	REGULAR UNLEADED GASOLINE	E	B	7	N	N	1		8,031	8,031	8,031	0	1/7/2014	C		1/7/2014
4500240683	CITY ELECTRIC CO INC 23763 NYS RTE 12 WATERTOWN, NY 13601 US	FLEXITEST SWITCHES	E	B	5	N	3	1	*	10,765	10,765	10,765	0	1/13/2014	C		1/29/2014
4500241101	PASCOR ATLANTIC CORP 254 INDUSTRY DR BLAND, VA 24315 US	TIE AND HINGE ASSEMBLY	E	B	6	F	N	1	*	9,572	9,572	9,572	0	1/23/2014	C		4/1/2014
4500241165	E D FARRELL CO INC 105 EMPIRE DR W SENECA, NY 14224 US	FORKLIFT BATTERY	E	B	5	N	N	3		5,060	5,060	5,060	0	1/24/2014	C		2/18/2014
4500241586	IBM CORP 80 STATE ST - STE 5 ALBANY, NY 12207-2543 US	IBM MAINTENANCE SERVER AGREEMENT WITH HARD DRIVE RETENTION	S	B	7	N	N	1		104,354	99,137	99,137	5,217	1/1/2014	C		12/31/2014

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4500241588	IBM CORP 80 STATE ST - STE 5 ALBANY, NY 12207-2543 US	IBM STORAGE MAINTENANCE WITH HARD DRIVE RETENTION	S	B	7	N	N	1		28,488	27,064	27,064	1,424	1/1/2014	C		12/31/2014
4500241679	SUPERIOR PLUS ENERGY SERVICES INC DBA GRIFFITH ENERGY 1870 WINTON RD S - STE 200 ROCHESTER, NY 14618-3960 US	DIESEL NON-BIO	E	B	6	N	N	1		10,093	10,093	10,093	0	2/6/2014	C		2/6/2014
4500241735	MOFFETT TURF EQUIPMENT INC 33 THRUWAY PARK DR WEST HENRIETTA, NY 14586-9702 US	MOWER	E	B	7	N	N	1		79,232	79,232	79,232	0	2/7/2014	C		3/17/2014
4500241847	VAN BORTEL FORD INC 71 MARSH RD EAST ROCHESTER, NY 14445-1915 US	CAB & CHASSIS	E	M	8	N	3	1		130,696	130,696	130,696	0	2/11/2014	O	1/30/2015	
4500241924	WEBSTER FORD INC HENDERSON FORD MV-1 OF ROCHESTER 810 RIDGE RD WEBSTER, NY 14580-2411 US	FORD F550 CAB & CHASSIS	E	M	8	N	4	1		195,532	195,532	195,532	0	2/13/2014	C		10/7/2014
4500242161	FIBER INSTRUMENT SALES 161 CLEAR RD ORISKANY, NY 13424-4301 US	SINGLE FIBER FUSION SPLICER	E	B	6	N	N	3	*	6,052	6,052	6,052	0	2/20/2014	C		2/21/2014
4500242197	NOCO ENERGY CORP 625 SAWKILL RD KINGSTON, NY 12401-7157 US	REGULAR UNLEADED GASOLINE	E	B	7	N	N	1		7,468	7,467	7,467	1	2/20/2014	C		2/20/2014
4500242512	SIEMENS INDUSTRY INC 10900 WAYZATA BLVD - STE 400 MINNETONKA, MN 55305-5602 US	MAINTENANCE SERVICE PLAN - ECC WALLBORAD	S	1	8	F	N	1		27,475	27,475	27,475	0	1/23/2014	O	1/22/2015	
4500242657	CRANE INSPECTION & CERTIFICATION BUREAU LLC PO BOX 621388 ORLANDO, FL 32862-1388 US	TRAINING - MOBILE CRANE AND RIGGER INSPECTOR	S	B	5	F	N	3		10,350	10,190	10,190	160	3/4/2014	C		3/31/2014

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4500242658	BENTLEY BROS INC 13936 ROUTE 31 ALBION, NY 14411-9303 US	UTILITY VEHICLE	E	B	7	N	N	1		18,759	18,759	18,759	0	3/4/2014	C		6/3/2014
4500242681	FABRIMET INC 4375 ST-JOSEPH BLVD DRUMMONDVILLE, PQ J2B 1T8 CA	TRANSMISSION TOWER STEEL	E	B		F	N	5		59,315	59,315	59,315	0	3/4/2014	C		7/9/2014
4500242688	STADIUM INTERNATIONAL TRUCKS INC 105 7TH ST LIVERPOOL, NY 13088 US	ENGINE REPAIR	S	C	6	N	N	3		13,013	13,013	13,013	0	3/4/2014	C		5/26/2014
4500242703	SKYWORKS LLC 100 THIELMAN DR BUFFALO, NY 14206-2359 US	SCISSOR LIFT RENTAL	E	B	5	F	N	3		6,951	6,951	6,951	0	3/5/2014	C		9/19/2014
4500242711	VAN BORTEL FORD INC 71 MARSH RD EAST ROCHESTER, NY 14445-1915 US	FORD CAB & CHASSIS	E	B		N	3	3		72,497	72,497	72,497	0	3/5/2014	C		10/23/2014
4500242762	KURTZ TRUCK EQUIPMENT INC 1085 MCGRAW MARATHON RD MARATHON, NY 13803-2806 US	LIGHT DUTY TRUCK MAINTENANCE BODIES	E	B		N	N	2		294,108	294,108	294,108	0	3/6/2014	O	1/30/2015	
4500242815	VAN BORTEL FORD INC 71 MARSH RD EAST ROCHESTER, NY 14445-1915 US	FORD FUSION HYBRIDS	E	B	7	N	3	1		75,878	75,878	75,878	0	3/6/2014	C		7/16/2014
4500242874	WEBSTER FORD INC HENDERSON FORD MV-1 OF ROCHESTER 810 RIDGE RD WEBSTER, NY 14580-2411 US	FORD EXPLORERS	E	B	7	N	4	1		297,778	297,778	297,778	0	3/10/2014	C		7/16/2014
4500242932	LIFTECH EQUIPMENT COMPANIES INC 6847 ELLICOTT DR EAST SYRACUSE, NY 13057-1045 US	TELEHANDLER	E	B		N	N	6		112,603	112,603	112,603	0	3/11/2014	C		7/29/2014
4500243016	BLUE LAKE CRANE & EQUIPMENT LLC 110 E 42ND ST - STE 1702 NEW YORK, NY 10017 US	MANLIFTS	E	B		N	4	10		556,495	556,315	556,315	180	3/12/2014	C		9/3/2014

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4500243092	STEPHENSON EQUIPMENT INC 6018 DROTT DR EAST SYRACUSE, NY 13057-2998 US	CRANE RENTAL	E	B	5	N	N	3		7,200	6,000	6,000	1,200	3/13/2014	C		3/31/2014
4500243204	MOHAWK INDUSTRIAL WERKS LLC PO BOX 426 - 3500 BLEACHERY PL CHADWICKS, NY 13319 US	ALL TERRAIN TRACK VEHICLE	E	B		N	N	1		144,791	144,791	144,791	0	3/18/2014	C		6/24/2014
4500243382	MAIN MOTORCAR DBA FOR HOWELL & PIERSON INC 224 W MAIN ST JOHNSTOWN, NY 12095-2306 US	VAN	E	B	7	N	N	1		21,845	21,845	21,845	0	3/21/2014	C		6/24/2014
4500243430	SUPERIOR PLUS ENERGY SERVICES INC DBA GRIFFITH ENERGY 1870 WINTON RD S - STE 200 ROCHESTER, NY 14618-3960 US	DIESEL FUEL	E	B	7	N	N	1		11,000	11,000	11,000	0	3/24/2014	C		3/25/2014
4500243541	DEERE & COMPANY AG & TURF CBD & GOVERNMENT SALES 2000 JOHN DEERE RUN CARY, NC 27513-2789 US	UTILITY VEHICLE	E	B	7	N	N	1		13,636	13,636	13,636	0	3/26/2014	C		5/27/2014
4500243559	NOCO ENERGY CORP 625 SAWKILL RD KINGSTON, NY 12401-7157 US	REGULAR UNLEADED GASOLINE	E	B	7	N	N	1		7,926	7,914	7,914	12	3/27/2014	C		3/27/2014
4500243566	WESCO DISTRIBUTION INC PO BOX 390 SYRACUSE, NY 13201-0390 US	UNISTRUT/CROUSE HINDS HARDWARE	E	B	7	N	N	1		6,176	6,176	6,176	0	3/27/2014	C		4/14/2014
4500243591	STUART C IRBY CO 4583 BUCKLEY RD LIVERPOOL, NY 13088-2508 US	AB CHANCE CABLE	E	B	5	N	N	3		6,185	6,185	6,185	0	3/27/2014	C		5/7/2014
4500243676	ABB INC 940 MAIN CAMPUS DR RALEIGH, NC 27606-5211 US	ABB CAPACITOR	E	B	6	F	N	2		9,350	9,350	9,350	0	3/31/2014	C		7/2/2014

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4500244006	SOUTHWORTH-MILTON INC 294 AINSLEY DR SYRACUSE, NY 13205 US	DOZER RENTAL	E	B	6	N	N	1		15,034	15,034	15,034	0	4/8/2014	C		5/20/2014
4500244054	WEBSTER FORD INC HENDERSON FORD MV-1 OF ROCHESTER 810 RIDGE RD WEBSTER, NY 14580-2411 US	F150 TRUCK	E	C	8	N	4	2		30,752	30,752	30,752	0	4/9/2014	C		10/7/2014
4500244220	NORTHLAND MOTORS FRANCIS CANDELLA 9280 RIVER RD MARCY, NY 13403-2069 US	UTILITY TRAILERS	E	B	8	N	1	1		41,368	41,368	41,368	0	4/14/2014	C		8/14/2014
4500244296	TURBO RESEARCH INC TRI TRANSMISSION & BEARING CORP PO BOX 454 LIONVILLE, PA 19353-0454 US	REPAIR JARVIS THRUST BEARING AND FABRICATE NEW JOURNAL BEARING	S	B		F	N	4		16,900	0	0	16,900	4/15/2014	O	2/28/2015	
4500244341	EGGAN EXCAVATING EQUIPMENT CO INC 7439 TOWNLINE RD ROME, NY 13440-1450 US	CLEAN UP FE LINE	S	M	5	F	1	1		9,000	3,976	3,976	5,024	4/16/2014	C		4/24/2014
4500244415	CARDISH MACHINE WORKS INC 7 ELM ST WATERVLIET, NY 12189-1826 US	HEAT TENSIONING BOLTS	E	B		N	1	2		67,132	0	0	67,132	4/17/2014	O	3/29/2015	
4500244560	CROSS MACHINE INC 167 GLEN AVE - PO BOX 529 BERLIN, NH 3570 US	FABRICATE STUFFING BOXES FOR JARVIS PLANT	E	B		F	N	4		34,376	34,376	34,376	0	4/23/2014	C		11/10/2014
4500244639	INDUSTRIAL PRECISION PRODUCTS INC 350 MITCHELL ST RD #1 OSWEGO, NY 13126-3620 US	STOCK - FLANGE SEAL	E	M	5	N	1	1	*	13,500	13,500	13,500	0	4/24/2014	C		8/20/2014
4500244652	UNITED RENTALS INC 7178 SCHUYLER RD EAST SYRACUSE, NY 13057-9743 US	GENERATOR RENTAL	E	B		N	N	7		33,550	19,300	19,300	14,250	4/25/2014	O	4/24/2015	
4500244805	WESCO DISTRIBUTION INC PO BOX 390 SYRACUSE, NY 13201-0390 US	SENSTAR-STELLER BOARD	E	B	7	N	N	3		12,490	12,490	12,490	0	4/30/2014	C		5/22/2014

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4500244809	NOCO ENERGY CORP 625 SAWKILL RD KINGSTON, NY 12401-7157 US	REGULAR UNLEADED GASOLINE	E	B	7	N	N	1	*	8,680	8,680	8,680	0	4/30/2014	C		5/1/2014
4500244903	SUPERBOLT INC PO BOX 683 CARNEGIE, PA 15106 US	MULTIJACK TENSIONER	E	B		F	N	1	*	5,362	5,362	5,362	0	5/1/2014	C		12/12/2014
4500244923	ASSETWORKS INC FORMERLY MAXIMUS INC 998 OLD EAGLE SCHOOL RD - STE 1215 WAYNE, PA 19087-1862 US	GPS MONITORING SERVICE	S	B	7	F	N	1		150,000	87,434	87,434	62,566	5/2/2014	O	4/30/2015	
4500244949	HEBER ASSOCIATES INC 420 QUAKER RD - BOX 4744 QUEENSBURY, NY 12804-1535 US	TEMPORARY ADMINISTRATIVE SUPPORT	S	M	8	N	3	1		78,000	11,952	11,952	66,048	5/6/2014	C		9/1/2014
4500245031	A I W INC 120 W CROWN POINT RD - STE 101 WINTER GARDEN, FL 34787-2990 US	STRUCTURAL BOLTS AND PALNUTS	E	B	7	F	4	1	*	7,814	7,814	7,814	0	5/6/2014	C		5/30/2014
4500245118	AMETEK PROGRAMMABLE POWER INC 9250 BROWN DEER RD SAN DIEGO, CA 92121-2267 US	REPAIR OF ELGAR SORENSEN GATING POWER SUPPLIES	E	B	6	F	N	1	*	14,470	14,470	14,470	0	5/7/2014	C		7/16/2014
4500245289	CITY ELECTRIC CO INC 23763 NYS RTE 12 WATERTOWN, NY 13601 US	RELAYS AND TEST SWITCHES	E	M	8	N	3	2		24,482	24,482	24,482	0	5/13/2014	C		6/5/2014
4500245326	STRUCTURAL METALS INC DBA CMC STEEL TEXAS 1 STEEL MILL DR SEGUIN, TX 78155-7510 US	STOCK - CRITICAL SPARE PARTS FOR CSC FACTS	E	B		F	N	1	*	95,512	95,512	95,512	0	5/14/2014	C		6/27/2014
4500245365	NESCO SALES & RENTALS 3112 EAST STATE RD 124 BLUFFTON, IN 46714 US	RENTAL TRACK BUCKET	E	B		N	N	2		45,250	29,988	29,988	15,262	5/14/2014	C		7/18/2014
4500245440	STUART C IRBY CO 4583 BUCKLEY RD LIVERPOOL, NY 13088-2508 US	SPANGUARD EHV AERIAL MARKERS	E	B	6	N	N	2		10,900	10,900	10,900	0	5/15/2014	C		8/1/2014

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4500245546	U S MATERIALS HANDLING CORP 2231 STATE ROUTE 5 UTICA, NY 13502-7513 US	ELECTRIC CART	E	B	6	N	1	3		8,676	8,676	8,676	0	5/20/2014	C		7/22/2014
4500245594	LIFTECH EQUIPMENT COMPANIES INC 6847 ELLICOTT DR EAST SYRACUSE, NY 13057-1045 US	JLG REPAIRS	S	B	6	N	N	3		7,283	7,283	7,283	0	5/20/2014	C		6/5/2014
4500245682	HOLDEN INDUSTRIES INC 5624 S STATE HIGHWAY 43 SOUTHWEST CITY, MO 64863 US	LOWBOY TRAILER	E	B		F	N	3		58,814	58,814	58,814	0	5/22/2014	C		12/8/2014
4500245759	R B U'REN EQUIPMENT INC 1120 CONNECTING RD NIAGARA FALLS, NY 14304-0426 US	RENTAL MANLIFT	E	C	5	N	N	5		10,495	9,756	9,756	739	5/23/2014	C		12/3/2014
4500245823	DUECO INC DBA FOR UTILITY EQUIP HOLDING CO N4W22610 BLUEMOUND RD WAUKESHA, WI 53186-1623 US	TRAILERS	E	B		F	N	1		65,824	65,824	65,824	0	5/28/2014	C		11/12/2014
4500245846	FABRIMET INC 4375 ST-JOSEPH BLVD DRUMMONDVILLE, PQ J2B 1T8 CA	TRANSMISSION TOWER STEEL	E	B		F	N	4		11,580	11,580	11,580	0	5/28/2014	C		6/20/2014
4500246007	GOTTOGO ELECTRIC INC DBA FOR GOTTOGO ENTERPRISES 7865 E MAIN RD LE ROY, NY 14482-9747 US	STOCK - HVB BREAKER PARTS	E	M	8	N	3	1	*	20,033	20,033	20,033	0	6/2/2014	C		6/9/2014
4500246012	WHITE'S FARM SUPPLY INC 4154 STATE ROUTE 31 CANASTOTA, NY 13032-4421 US	UTILITY TRACTOR	E	B		N	N	4		21,250	21,250	21,250	0	6/2/2014	C		7/23/2014
4500246123	SUPERIOR PLUS ENERGY SERVICES INC DBA GRIFFITH ENERGY 1870 WINTON RD S - STE 200 ROCHESTER, NY 14618-3960 US	B20 DIESEL FUEL	E	B	7	N	N	1		9,386	9,382	9,382	4	6/4/2014	C		6/4/2014

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4500246231	HB COMMUNICATIONS INC 60 DODGE AVE NORTH HAVEN, CT 06473-1124 US	BARCO LAMP	E	B	5	F	N	3		7,475	7,475	7,475	0	6/9/2014	C		6/18/2014
4500246285	O'CONNELL ELECTRIC CO 830 PHILLIPS RD VICTOR, NY 14564 US	REPAIR FIBER CABLE	S	B	7	N	N	1		10,000	9,829	9,829	171	6/9/2014	C		6/29/2014
4500246547	SCHWEITZER ENGINEERING LAB INC C/O ROBINSON SALES INC 1240 ASHBRIDGE RD WEST CHESTER, PA 19380 US	SCWEITZER NUMERICAL RELAY	E	B	6	F	N	3		8,435	8,435	8,435	0	6/16/2014	C		7/16/2014
4500246659	NOCO ENERGY CORP 625 SAWKILL RD KINGSTON, NY 12401-7157 US	REGULAR UNLEADED GASOLINE	E	B	7	N	N	1		8,261	8,254	8,254	7	6/18/2014	C		6/19/2014
4500246690	SKYWORKS LLC 100 THIELMAN DR BUFFALO, NY 14206-2359 US	LIFT RENTAL	E	B	5	N	N	3		8,470	8,470	8,470	0	6/19/2014	C		10/24/2014
4500247059	BUSH CRANE & AERIAL LIFT SERVICES LLC 2218 W LAKE RD SKANEATELES, NY 13152-9615 US	INSPECTIONS	S	M	8	N	1	1		25,185	22,080	22,080	3,105	6/27/2014	C		9/25/2014
4500247095	MONROE TRACTOR & IMPLEMENT CO INC 7300 EASTMAN RD N SYRACUSE, NY 13212-2507 US	BACKHOE	E	B		N	3	7		125,900	125,900	125,900	0	6/30/2014	C		11/10/2014
4500247297	VAN BORTEL FORD INC 71 MARSH RD EAST ROCHESTER, NY 14445-1915 US	FORD TRUCK	E	M	8	N	3	2		31,997	31,997	31,997	0	7/8/2014	C		12/2/2014
4500247396	DUECO INC DBA FOR UTILITY EQUIP HOLDING CO N4W22610 BLUEMOUND RD WAUKESHA, WI 53186-1623 US	TRACK VEHICLE	E	B		F	N	2		610,867	0	0	610,867	7/10/2014	O	2/16/2015	
4500247417	NORTHLAND MOTORS FRANCIS CANDELLA 9280 RIVER RD MARCY, NY 13403-2069 US	ENCLOSED TRAILERS	E	B		N	1	4		28,061	28,061	28,061	0	7/10/2014	C		12/5/2014

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CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	TYPE	MOA	RNA	OPA	MWBE	BIDS	FRT	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2014	CONTRACT BALANCE	DATE OF CONTRACT	O\C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
4500247775	ADVANCE METALWORKING CO INC 3726 US HIGHWAY 34 KEWANEE, IL 61443-8315 US	TRAILER	E	B		F	N	1		26,280	26,280	26,280	0	7/22/2014	C		10/27/2014
4500248066	COYNE TEXTILE SERVICES INC 1435 ERIE BLVD SCHENECTADY, NY 12305-1004 US	MOPS, MATS AND COVERALLS	E	B	6	N	N	3		7,000	1,450	1,450	5,550	7/29/2014	O	7/29/2015	
4500248108	FABRIMET INC 4375 ST-JOSEPH BLVD DRUMMONDVILLE, PQ J2B 1T8 CA	STEEL FOR TRANSMISSION TOWER REINFORCEMENT	E	B	5	F	N	1		14,566	14,566	14,566	0	7/29/2014	C		8/20/2014
4500248129	AHS RESCUE & ARIZONA HIKING SHACK DBA FOR AHS RESCUE LLC 3244 E THOMAS RD PHOENIX, AZ 85018-7301 US	RESCUE EQUIPMENT	E	B	6	F	N	4	*	7,285	7,285	7,285	0	7/30/2014	C		10/6/2014
4500248157	HYDRO TECH INC 65 INDUSTRIAL COURT B SAULT STE MARIE, ON P6B 5Z9 CA	REPAIR JARVIS TURBINE SHAFT & RUNNER HUB	C	B		F	N	7		173,540	57,250	57,250	116,290	7/31/2014	O	2/25/2015	
4500248282	NOCO ENERGY CORP 625 SAWKILL RD KINGSTON, NY 12401-7157 US	REGULAR UNLEADED GASOLINE	E	B	7	N	N	1		10,440	10,428	10,428	12	8/4/2014	C		8/4/2014
4500248418	SOUTHWORTH-MILTON INC 294 AINSLEY DR SYRACUSE, NY 13205 US	LOADER RENTAL	E	B	6	N	N	1		7,502	7,277	7,277	225	8/6/2014	C		9/5/2014
4500248913	JOHNSON CONTROLS INC 130 JOHN MUIR DR - STE 100 AMHERST, NY 14228-1139 US	P2000 - SERVICE AGREEMENT	S	B	7	N	N	1		18,922	18,922	18,922	0	10/1/2014	O	9/30/2015	
4500249065	MIRABITO FUEL GROUP 44 GRAND ST SIDNEY, NY 13838-1183 US	B20 DIESEL FUEL	E	B	7	N	N	1		7,851	7,851	7,851	0	8/25/2014	C		8/25/2014
4500249126	VAN BORTEL CHEVROLET 1338 RTE 31 MACEDON, NY 14502-9764 US	CHEVROLET TAHOE	E	B	7	N	N	1		295,456	32,635	32,635	262,821	8/26/2014	O	3/15/2015	
4500249578	H L GAGE SALES INC PO BOX 5170 ALBANY, NY 12205-0170 US	TRUCK REPAIR	E	2	5	N	N	1		10,853	10,853	10,853	0	9/8/2014	C		9/8/2014

CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	TYPE	MOA	RNA	OPA	MWBE	BIDS	FRT	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2014	CONTRACT BALANCE	DATE OF CONTRACT	O\C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
4500250039	SOUTHSIDE TRAILER SERVICE INC PO BOX 2300 BLASDELL, NY 14219-0500 US	TRUCK REPAIR	E	C	6	N	N	3		13,480	13,480	13,480	0	9/18/2014	C		11/7/2014
4500250182	SKYWORKS LLC 100 THIELMAN DR BUFFALO, NY 14206-2359 US	TRAILER RENTAL	E	C	5	N	N	4		14,360	14,360	14,360	0	9/23/2014	C		10/29/2014
4500250272	SOLIDIFICATION PRODUCTS INTERNATIONAL INC PO BOX 35 NORTHFORD, CT 06472-0035 US	PUMP BARRIER SYSTEM	E	1	5	F	N	1	*	8,914	8,914	8,914	0	9/24/2014	C		10/10/2014
4500250290	BELLOWS CONSTRUCTION SPECIALTIES LLC 213 W ADAMS ST SYRACUSE, NY 13202-1590 US	REPAIR BRICK FASCIA	C	M	8	N	3	2		45,000	43,056	43,056	1,944	9/25/2014	C		11/18/2014
4500250348	BAY CRANE SERVICE INC 11-02 43RD AVE LONG ISLAND CITY, NY 11101-9717 US	CRANE PARTS	E	B	5	N	N	3		7,396	7,044	7,044	352	9/26/2014	C		10/20/2014
4500250352	AUTOMOTIVE RENTALS INC 40001 LEADENHALL RD MOUNT LAUREL, NJ 08054-4611 US	VEHICLE AND EQUIPMENT MAINTENANCE	E	B	7	N	N	1		90,000	0	0	90,000	9/26/2014	O	3/30/2015	
4500250465	ABB INC 940 MAIN CAMPUS DR RALEIGH, NC 27606-5211 US	VALVES AND VALVE KITS	E	B	5	F	N	1		8,416	0	0	8,416	9/30/2014	C		12/3/2014
4500250494	M3 BUSINESS SERVICE NETWORK LLC MARY MALONE MCCARTHY PO BOX 205 CLINTON, NY 13323-0205 US	TEMPORARY EMPLOYEE SERVICES	S	2	8	N	3	1		166,000	24,092	24,092	141,908	10/1/2014	O	9/30/2015	
4500250554	NOCO ENERGY CORP 625 SAWKILL RD KINGSTON, NY 12401-7157 US	REGULAR UNLEADED GASOLINE	E	B	7	F	N	1	*	10,295	10,295	10,295	0	10/1/2014	C		10/3/2014
4500250678	JOHNSTONE SUPPLY CO DBA FOR BEJIAN CENTURY SUPPLY INC 2600 6TH AVE TROY, NY 12180-1512 US	COMMERCIAL HOT WATER HEATER	E	C	1	F	N	4		27,592	27,592	27,592	0	10/3/2014	C		11/6/2014

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4500250909	ABELE TRACTOR & EQUIPMENT CO INC 72 EVERETT RD ALBANY, NY 12205 US	RENTAL WHEEL LOADER	E	B	7	N	N	4		23,200	5,200	5,200	18,000	10/9/2014	O	4/15/2015	
4500250926	NES EQUIPMENT SERVICES CORP 4400 S CLINTON AVE SOUTH PLAINFIELD, NJ 07080-1217 US	RENTAL BOOM LIFT	E	C	5	F	N	3		6,420	3,710	3,710	2,710	10/9/2014	C		11/7/2014
4500251108	ASSETWORKS INC 4275 EXECUTIVE SQ - STE 300 LA JOLLA, CA 92037-8408 US	CUSTOM INTERFACE SERVICES	P	2	5	F	N	1		8,200	308	308	7,892	10/16/2014	O	2/27/2015	
4500251220	VIEWPOINT SYSTEMS INC 800 WEST METRO PKWY ROCHESTER, NY 14623 US	ECC ANALOG TELEMETRY SYSTEMS UPGRADE	E	2	5	N	N	1		12,380	0	0	12,380	10/17/2014	O	2/28/2015	
4500251331	SUPERIOR PLUS ENERGY SERVICES INC DBA GRIFFITH ENERGY 1870 WINTON RD S - STE 200 ROCHESTER, NY 14618-3960 US	DIESEL FUEL	E	B	7	F	N	1		9,647	9,647	9,647	0	10/21/2014	C		10/21/2014
4500251613	CONTINUUM SYSTEMS DBA FOR RTB INC 2401 BURNET AVE SYRACUSE, NY 13206-3029 US	VOICE RECORDER SYSTEM	E	B		N	N	4		35,386	0	0	35,386	10/28/2014	O	12/28/2015	
4500251654	BELLOWS CONSTRUCTION SPECIALTIES LLC 213 W ADAMS ST SYRACUSE, NY 13202-1590 US	FABRICATE & REPLACE EXTERIOR DOORS	C	M	8	N	3	1		69,195	50,099	50,099	19,096	10/29/2014	O	2/28/2015	
4500251714	VAN BORTEL MOTORCAR INC 6327 ROUTE 96 VICTOR, NY 14564-1452 US	SUBARU CROSSTREK HYBIRD SUV	E	M	8	N	3	1		26,681	0	0	26,681	10/30/2014	O	1/29/2015	
4500251775	EGGAN EXCAVATING EQUIPMENT CO INC 7439 TOWNLINE RD ROME, NY 13440-1450 US	ENVIRONMENTAL SERVICES	S	M	8	N	1	1		20,000	0	0	20,000	11/1/2014	O	10/31/2015	
4500251940	ROBERT H FINKE & SONS INC PO BOX 127 SELKIRK, NY 12158-0127 US	EXCAVATOR RENTAL	E	B	7	N	N	1		12,604	4,953	4,953	7,651	1/5/2014	O	4/1/2015	

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4500252025	TRL RENTS LLC 4884 CORPORATE ST SW CANTON, OH 44706-1907 US	RENTAL UNDER BRIDGE INSPECTION UNIT	E	B		F	N	5		19,400	19,400	19,400	0	11/7/2014	C		12/1/2014
4500252030	HI-LINE UTILITY SUPPLY 1695 CAMBRIDGE ELGIN, IL 60123-1144 US	PARALLEL JAW GRIPS	E	B	5	F	N	3	*	10,943	10,943	10,943	0	11/7/2014	C		11/12/2014
4500252071	PROMETEK INC 1005 NORDIQUE AVE QUEBEC CITY, PQ G1C 0C7 CA	TRANSMISSION TOWER STEEL	E	B		F	N	4		24,672	0	0	24,672	11/7/2014	C		12/22/2014
4500252151	HVB AE POWER SYSTEMS INC 7250 MCGINNIS FERRY RD SUWANEE, GA 30024 US	CAPACITOR TUBES	E	B	5	F	N	3		12,570	0	0	12,570	11/12/2014	O	4/28/2015	
4500252338	WAUKESHA ELECTRIC SYSTEMS INC 2701 US HWY 117 S GOLDSBORO, NC 27530-0915 US	BREATHER, TRANSFORMER	E	B	5	F	N	2	*	13,104	13,104	13,104	0	11/17/2014	C		12/9/2014
4500252380	STUART C IRBY CO 4583 BUCKLEY RD LIVERPOOL, NY 13088-2508 US	HUBBELL TOWER CLAMPS AND ACCESORIES	E	B	5	F	N	3		11,016	1,953	1,953	9,063	11/18/2014	O	1/2/2015	
4500252595	ABELE TRACTOR & EQUIPMENT CO INC 72 EVERETT RD ALBANY, NY 12205 US	DOZER RENTAL	E	B		N	N	3		16,224	16,224	16,224	0	11/24/2014	C		12/19/2014
4500252765	NOCO ENERGY CORP 625 SAWKILL RD KINGSTON, NY 12401-7157 US	REGULAR UNLEADED GASOLINE	E	B	7	N	N	1		8,190	8,178	8,178	12	12/1/2014	C		12/2/2014
4500252830	WESCO DISTRIBUTION INC PO BOX 390 SYRACUSE, NY 13201-0390 US	AEMC TEST EQUIPMENT	E	B	6	N	N	3		6,704	6,704	6,704	0	12/2/2014	C		12/11/2014
4500253065	POWER LINE SYSTEMS INC 610 N WHITNEY WAY - STE 160 MADISON, WI 53705 US	PLS-CADD LICENSE RENEWEL	E	2	5	F	N	1		11,770	11,770	11,770	0	12/9/2014	O	11/17/2015	
4500253106	B-LANN EQUIPMENT CO INC 2288 5TH AVE - PO BOX 997 TROY, NY 12180-2201 US	HARZARDOUS INCIDENT RESPONSE GEAR	E	B	7	N	1	1		30,079	0	0	30,079	12/9/2014	O	1/30/2015	

CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	TYPE	MOA	RNA	OPA	MWBE	BIDS	FRT	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2014	CONTRACT BALANCE	DATE OF CONTRACT	O\C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
4500253286	ELECTRO-CHEMICAL DEVICES INC 1681 KETTERING IRVINE, CA 92614-5613 US	UNIVERSAL TRANSMITTERS, SENSORS & FLOW CELLS	E	B	6	F	N	2		5,110	0	0	5,110	12/15/2014	O	1/19/2015	
4500253690	MIRABITO FUEL GROUP 44 GRAND ST SIDNEY, NY 13838-1183 US	DIESEL FUEL 2D/1D BLEND	E	B	5	F	N	1	*	7,647	7,647	7,647	0	12/29/2014	C		12/30/2014
4500253709	HB COMMUNICATIONS INC 60 DODGE AVE NORTH HAVEN, CT 06473-1124 US	BARCO LAMPS	E	B	5	F	N	2		7,200	0	0	7,200	12/29/2014	O	1/12/2015	
4500253719	OMICRON ELECTRONICS CORP USA 3550 WILLOWBEND BLVD HOUSTON, TX 77054-1127 US	CURRENT TRANSFORMER METERING EQUIPMENT	E	B		F	N	3		48,740	0	0	48,740	12/29/2014	O	12/12/2015	
4600002282	AVIATION SERVICES UNLIMITED LLC PO BOX 629 ORISKANY, NY 13424 US	HELICOPTER SERVICES	S	B		N	N	2		800,000	572,718	83,561	227,282	7/1/2010	C		6/30/2014
4600002300	GENERAL PHYSICS SERVICE CORP 8401-J BENJAMIN RD TAMPA, FL 33634 US	MECHANICAL APPRENTICE TRAINER SERVICES	S	B		N	N	3		875,000	820,864	38,712	54,136	8/1/2010	C		1/31/2014
4600002413	ELECTROMARK CO PO BOX 25 WOLCOTT, NY 14590-4070 US	INFORMATION TAGS	E	B		N	N	2		150,000	130,759	42,638	19,241	7/1/2011	C		6/30/2014
4600002415	PRAXAIR DISTRIBUTION MID-ATLANTIC LLC - DBA GTS-WELCO 39 OLD RIDGEBURY RD DANBURY, CT 06810-5108 US	SF6 STOCK	E	B		F	N	6		250,000	58,849	16,879	191,151	7/1/2011	O	6/30/2015	
4600002418	HAVERFIELD AVIATION INC 1750 EMMITSBURG RD GETTYSBURG, PA 17325 US	HELICOPTER INSPECTIONS & LINE MAINTENANCE	S	B		F	N	4		1,900,000	1,023,499	247,131	876,501	7/6/2011	O	6/30/2016	
4600002469	ACCESS HEALTH SYSTEMS 776A WATERVLIET SHAKER RD LATHAM, NY 12110-2296 US	MEDICAL SERVICES	S	B		N	1	1		150,000	126,087	42,469	23,913	1/1/2012	C		12/31/2014
4600002697	WEEDS NO MORE INC PO BOX 236 WALTERSBURG, PA 15488-0236 US	BARE GROUND WEED CONTROL	S	B		F	N	2		300,000	60,312	0	239,688	6/1/2013	O	5/31/2017	

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4600002761	NORTHLINE UTILITIES LLC 15 SCHOOL LN - STE 200 AU SABLE FORKS, NY 12912 US	TRANSMISSION EMERGENCY RESPONSE SERVICES	S	B		N	1	3		2,500,000	0	0	2,500,000	2/7/2014	O	2/6/2019	
4600002762	MICHELS POWER A DIV OF MICHELS CORP 1775 E SHADY LN NEENAH, WI 54956 US	TRANSMISSION EMERGENCY RESPONSE SERVICES	S	B		F	N	3		2,500,000	0	0	2,500,000	2/7/2014	O	2/6/2019	
4600002825	AVIATION SERVICES UNLIMITED LLC PO BOX 629 ORISKANY, NY 13424 US	HELICOPTER SERVICES	S	B		N	N	2		900,000	81,165	81,165	818,835	8/1/2014	O	7/31/2017	
		CEC TOTALS								17,127,114	7,709,200	5,129,867	9,417,914				

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TOTAL NUMBER OF CONTRACTS	155
TOTAL CONTRACT VALUE	$17,127,114
TOTAL EXPENDED TO DATE	$7,709,200
TOTAL EXPENDED IN REPORT YEAR	$5,129,867

STATUS SUMMARY

TOTAL NUMBER OPEN CONTRACTS	38
TOTAL NUMBER CLOSED CONTRACTS	117

METHOD OF AWARD SUMMARY

TOTAL NUMBER COMP BID	117
TOTAL NUMBER SOLE SOURCE	7
TOTAL NUMBER SINGLE SOURCE	8
TOTAL NUMBER COMP SEARCH	7
TOTAL NUMBER MWBE/SBE	16

COMMITMENT TYPE SUMMARY

TOTAL NUMBER ARCHITECTURAL & ENGINEERING SERVICES	0
TOTAL NUMBER CONSTRUCTION	3
TOTAL NUMBER EQUIP/GOODS/COMMODITY	121
TOTAL NUMBER LEGAL SERVICES	0
TOTAL NUMBER PERSONAL SERVICES	2
TOTAL NUMBER OTHER NON PERSONAL SERVICES	29

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4500203458	PITNEY BOWES INC 1 ELMCROFT RD STAMFORD, CT 06926-0700 US	METER RENTAL/MAINTENANCE AGREEMENT - NEW POSTAGE SCALE	E	B	7	N	N	1		5,355	4,889	762	466	5/26/2011	O	6/30/2015	
4500203544	CRYSTAL SPRINGS DBA FOR DS WATERS OF AMERICA INC STE 500 5660 NEW NORTHSIDE DR ATLANTA, GA 30328-5826 US	BOTTLED WATER	E	B		N	N	3		31,200	27,964	5,910	3,236	5/31/2011	O	6/30/2015	
4500226869	ACE ELEVATOR INSPECTION CORP PO BOX 1046 N TONAWANDA, NY 14120-2915 US	ELEVATOR INSPECTIONS	S	2	5	N	N	1		5,700	4,380	0	1,320	9/24/2013	C		2/28/2014
4500227313	MOLLENBERG BETZ INC 300 SCOTT ST BUFFALO, NY 14204-2293 US	NIA WAREHOUSE GAS PIPE MODIFICATIONS	S	2	8	N	N	1		24,000	22,000	4,000	2,000	1/23/2013	C		1/23/2014
4500227673	COYNE TEXTILE SERVICES INC 111 JAMES E CASEY DR BUFFALO, NY 14206-2366 US	REUSABLE INDUSTRIAL WIPERS & OIL ABSORBENT RUG RENTAL	S	B		N	N	1		102,640	102,638	10,798	2	1/31/2013	C		1/31/2014
4500227698	COYNE TEXTILE SERVICES INC 111 JAMES E CASEY DR BUFFALO, NY 14206-2366 US	FLOOR MAT RENTAL SERVICE AGREEMENT	S	B	5	N	N	1		10,290	10,289	2,342	1	2/1/2013	C		2/13/2014
4500228061	HY-SAFE TECHNOLOGY DBA FOR FPA INC 960 COMMERCE DR UNION GROVE, WI 53182-1070 US	LIFELINE FALL PROTECTION SYSTEM	E	B		F	N	4		41,081	41,082	6,336	-1	2/8/2013	C		2/28/2014
4500228233	THEATER CONNECTION WNY INC 5440 BROADWAY ST STE B LANCASTER, NY 14086-2149 US	DIRECT TV INSTALLATION AT NIA ADMIN BUILDING	S	M	8	N	N	1		24,438	21,384	9,165	3,054	2/13/2013	C		2/28/2014
4500228832	IRIS POWER LP 3110 AMERICAN DR MISSISSAUGA, ON L4V 1T2 CA	TRAC SOFTWARE COMMISSIONING	S	1	5	F	N	1		6,405	2,834	0	3,571	2/28/2013	C		2/28/2014
4500228968	JOHNSON CONTROLS INC 130 JOHN MUIR DR - STE 100 AMHERST, NY 14228-1139 US	ACCESS CONTROL SYSTEMS SERVICE AND MAINTENANCE	S	B	7	N	N	1		192,094	192,094	0	0	3/4/2013	C		2/28/2014

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4500229271	NOCO ENERGY CORP 2440 SHERIDAN DR - STE 202 TONAWANDA, NY 14150-9493 US	MOBILE TERRESITIC 68 TURBINE OIL	E	B		N	N	1		143,201	128,893	16,694	14,308	3/12/2013	C		3/3/2014
4500229484	COMET FLASHER INC 1 BABCOCK ST BUFFALO, NY 14210-2250 US	TRAFFIC CONTROL DEVICE RENTAL	S	B		N	N	1		64,000	9,550	1,050	54,450	3/15/2013	C		3/19/2014
4500230494	CLARKSON UNIVERSITY 8 CLARKSON AVE POTSDAM, NY 13699 US	HYDRAULIC ICE FLOW MODEL OF NIA RIVER GRASS ISLAND POOL	S	1	8	N	N	1		69,500	69,500	58,838	0	4/11/2013	C		3/31/2014
4500231011	GE INTELLIGENT PLATFORMS INC 2500 AUSTIN DR CHARLOTTESVILLE, VA 22911-8319 US	DACS SOFTWARE SUPPORT	E	2		F	N	1	*	43,927	43,927	0	0	4/24/2013	C		5/2/2014
4500231211	NATURE'S WAY ENVIRONMENTAL CONSULTANTS & CONTRACTORS INC 3553 CRITTENDEN RD ALDEN, NY 14004-8551 US	TREMIE BACKFILLING OF ANIMAL BURROWS	S	B	5	N	3	2		12,140	6,230	6,230	5,910	5/1/2013	C		3/3/2014
4500232321	BVR CONSTRUCTION CO INC 8 KING RD CHURCHVILLE, NY 14428 US	BREAK TRAILER REPLACEMENT PROJECT	C	B		N	N	1		173,320	173,320	83,210	0	5/30/2013	C		4/30/2014
4500232466	AMERICAN ROCK SALT CO LLC DBA FOR AMERICAN ROCK SALT HOLDING PO BOX 190 MOUNT MORRIS, NY 14510-0190 US	ROCK SALT	E	B	7	N	N	1		29,638	0	0	29,638	6/3/2013	C		1/1/2014
4500234213	TRENCH FRANCE SAS 16 RUE DU GENERAL CASSAGNOU ST LOUIS CEDEX, 68 68300 FR	TRANSFORMER BUSHINGS	E	B		F	N	3	*	80,960	80,960	80,960	0	7/18/2013	C		2/28/2014
4500235646	AMERICAN GOVERNOR CO 27 RICHARD RD IVYLAND, PA 18974-1512 US	WOODWARD GOVERNOR FILTERS	E	B		F	N	2		18,876	18,876	14,157	0	8/26/2013	C		9/11/2014
4500235724	GENERAL DYNAMICS GLOBAL IMAGING TECHNOLOGIES INC 24 SIMON ST NASHUA, NH 03060-3025 US	ICE CAMERA REPAIRS	S	1	8	F	N	1	*	44,660	44,660	5,482	0	8/27/2013	C		2/28/2014

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4500236317	BIDCO MARINE GROUP INC 220 KATHERINE ST BUFFALO, NY 14210-2008 US	TRAVEL LIFT RUNWAY & SEAWALL UPGRADES - BUFFALO MARINA	C	C	8	N	1	3		261,335	255,204	36,521	6,131	9/11/2013	C		8/5/2014
4500237127	MOLLENBERG BETZ INC 300 SCOTT ST BUFFALO, NY 14204-2293 US	PIPE MODIFICATIONS & LEAD ABATEMENT	S	2	5	N	N	1		12,891	12,891	0	0	10/2/2013	C		1/13/2014
4500237319	KINGSBURY INC 3615 DAVISVILLE RD HATBORO, PA 19040 US	THRUST BEARING SHOE REFURBISHMENT	S	B		F	N	4		37,000	37,000	37,000	0	10/8/2013	C		3/31/2014
4500237427	GOTTOGO ELECTRIC INC DBA FOR GOTTOGO ENTERPRISES 7865 E MAIN RD LE ROY, NY 14482-9747 US	INTERRUPTER REPAIR KITS	E	B		N	3	2		39,900	39,900	39,900	0	10/10/2013	C		3/3/2014
4500237454	MODERN DISPOSAL SERVICES INC PO BOX 209 MODEL CITY, NY 14107-0209 US	GENERAL REFUSE & RECYCLING ONE (1) YEAR SERVICE AGREEMENT	S	B	7	N	N	1		65,000	61,993	51,042	3,007	10/10/2013	C		11/13/2014
4500237895	VEHICLE SERVICE GROUP LLC DBA ROTARY LIFT 2700 LANIER DR MADISON, IN 47250-1753 US	VEHICLE LIFT	E	B	7	F	N	1		78,723	78,329	78,329	394	10/23/2013	C		4/2/2014
4500237914	FOX FENCE INC 2637 LOCKPORT RD NIAGARA FALLS, NY 14305 US	RESERVOIR RIGHT-OF-WAY FENCE REPLACEMENT	C	M	8	N	1	1		92,475	92,475	92,475	0	10/23/2013	C		2/7/2014
4500238404	UNIFIN INTERNATIONAL INC 1030 CLARKE SIDE RD LONDON, ON N6A 4P4 CA	STATOR COOLERS	E	B		F	N	1	*	229,750	229,750	229,750	0	11/5/2013	C		3/31/2014
4500238422	DCB ELEVATOR CO INC PO BOX 426 LEWISTON, NY 14092 US	FIRE CONDUIT INSTALLATION	S	M	8	N	3	1		61,287	61,287	61,287	0	11/5/2013	C		10/9/2014
4500238453	NIAGARA LAWN & GARDEN INC 4833 SAUNDERS SETTLEMENT RD NIAGARA FALLS, NY 14305 US	SIDEWALK SNOW PLOWING SERVICES	S	2	5	N	N	1		9,900	7,280	6,440	2,620	2/11/2014	C		4/30/2014
4500238504	WILMAC BUSINESS EQUIPMENT CO INC 73 STATE ST ROCHESTER, NY 14614-1369 US	NPX NICE RECORDING SYSTEM ONE YEAR SERVICE/MAINTENANCE CONTRACT	S	1	5	N	N	1		9,225	9,225	0	0	11/6/2013	C		12/31/2014

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4500238551	ROSS VALVE MANUFACTURING CO INC PO BOX 595 TROY, NY 12181-0166 US	BUSHING & SUPPORT	E	B	5	N	N	1		7,994	7,994	7,994	0	11/7/2013	C		1/6/2014
4500238626	ABB INC 940 MAIN CAMPUS DR RALEIGH, NC 27606-5211 US	MTP TRANSFORMER	E	B		F	N	1		13,126	13,126	13,126	0	11/12/2013	C		5/7/2014
4500238858	BARCODES INC DBA FOR BARCODES LLC 200 W MONROE ST - STE 1050 CHICAGO, IL 60606-5122 US	MOTOROLA HANDHELD DEVICES, SERVICE, AND ACCESSORY	E	B	5	F	N	1		13,228	13,228	13,228	0	11/18/2013	C		3/3/2014
4500238996	NOCO ENERGY CORP 2440 SHERIDAN DR - STE 202 TONAWANDA, NY 14150-9493 US	MOBIL TERESSTIC 68 TURBINE OIL	E	1	8	N	N	1		18,747	18,747	18,747	0	11/21/2013	C		3/3/2014
4500239071	EATON OFFICE SUPPLY CO INC 180 JOHN GLENN DR AMHERST, NY 14228-2292 US	OFFICE SUPPLIES FOR 2014 FOR VARIOUS DEPARTMENTS	E	M	8	N	N	1		28,350	16,136	16,136	12,214	12/10/2013	C		12/31/2014
4500239083	S & B COMPUTER & OFFICE PRODUCTS INC 17 WOOD RD - STE 700 ROUND LAKE, NY 12151 US	OFFICE SUPPLY CONTRACT FOR VARIOUS DEPARTMENTS NIAGARA	E	M	8	N	4	1		32,500	21,105	21,105	11,395	1/1/2014	C		12/31/2014
4500239126	GOTTOGO ELECTRIC INC DBA FOR GOTTOGO ENTERPRISES 7865 E MAIN RD LE ROY, NY 14482-9747 US	HVB INTERRUPTER REPAIR KITS	E	M	5	N	3	1		14,840	14,840	14,840	0	11/25/2013	C		4/1/2014
4500239219	SEALS UNLIMITED INC 23050 NW JACOBSON RD HILLSBORO, OR 97124-9328 US	LPGP HEADGATE SEALS	E	B	5	F	N	1		8,370	8,370	8,370	0	11/26/2013	C		1/3/2014
4500239227	ABB INC 10300 HENRI-BOURASSA WEST ST LAURENT, PQ H4S 1N6 CA	UNITROL PSS TUNING	S	1	8	F	N	1		41,142	41,082	41,082	60	1/20/2014	C		12/31/2014
4500239398	AGFA CORP FORMERLY PITMAN 200 BALLARDVALE ST - STE 101 WILMINGTON, MA 01887-1072 US	PLOTTER REPAIR SERVICES	S	2	5	N	N	1		5,218	5,218	5,218	0	12/10/2014	C		12/31/2014

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4500239464	ROCKELMAN & HENN PUMP CO INC PO BOX 239 BUFFALO, NY 14217 US	FUEL PUMP LINE REPAIRS	S	2	5	N	N	1		7,919	7,919	7,919	0	1/8/2014	C		1/24/2014
4500239554	ROCHESTER PORTABLE SPECIALTIES DBA CRESCENT MOON 6089 LOOMIS RD FARMINGTON, NY 14425-9526 US	ONE (1) YEAR RESTROOM RENTAL & SERVICE AGREEMENT	S	B		N	N	1		33,280	30,720	30,720	2,560	12/9/2013	C		12/15/2014
4500239959	AMERICAN GOVERNOR CO 27 RICHARD RD IVYLAND, PA 18974-1512 US	WOODWARD PARTS	E	B	5	F	N	2	*	8,674	8,674	8,674	0	12/18/2013	C		3/3/2014
4500239961	ANRITSU CO 490 JARVIS DR MORGAN HILL, CA 95037-2834 US	SPECTRUM & T1 ANALYZER	E	B		F	N	4	*	21,813	21,813	21,813	0	12/18/2013	C		2/28/2014
4500240318	SIEWERT EQUIPMENT DIV OF CUMMINS-WAGNER-SIEWERT LLC 175 AKRON ST ROCHESTER, NY 14609-7297 US	PUMP REPAIR PARTS	E	B	5	N	N	2		11,610	10,512	10,512	1,098	1/3/2014	C		3/3/2014
4500240352	NIAGARA COATINGS SERVICES INC 8025 QUARRY RD NIAGARA FALLS, NY 14304 US	CAGE REFURBISHMENT	S	B	5	N	N	2		8,293	8,293	8,293	0	1/6/2014	C		3/31/2014
4500240358	COVERCO INC PO BOX 573 GRAND ISLAND, NY 14072 US	INSULATE GEN. MAINTENANCE. BUILDING CORRIDOR DUCTWORK AND COPPER PIPE	S	M	5	N	3	1		7,265	7,265	7,265	0	1/6/2014	C		2/7/2014
4500240462	KURK FUEL OIL CO PO BOX A BUFFALO, NY 14217-0305 US	UNLEADED FUEL FOR GM TANKS	E	M	5	N	1	1		11,280	11,280	11,280	0	1/17/2014	C		1/17/2014
4500240561	GOVCONNECTION INC 732 MILFORD MERRIMACK, NH 3054 US	HP PLOTTER T2500	E	B	5	F	N	2		10,373	10,373	10,373	0	1/9/2014	C		3/3/2014
4500240602	HIPOTRONICS INC 1650 ROUTE 22 - PO BOX 414 BREWSTER, NY 10509-4013 US	VACUUM INTERRUPTER TEST SET	E	B	5	N	N	2	*	9,657	9,657	9,657	0	1/10/2014	C		3/3/2014

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4500240903	A I W INC 120 W CROWN POINT RD - STE 101 WINTER GARDEN, FL 34787-2990 US	MECHANICAL TOOL SET	E	M	5	F	4	2		6,327	6,316	6,316	11	1/20/2014	C		3/3/2014
4500240996	WELSBACH ELECTRIC CORP 111-01 14TH AVE COLLEGE POINT, NY 11356-0252 US	POTHEAD INSTALLATION	S	2	8	N	N	1		15,400	15,400	15,400	0	1/21/2014	C		7/8/2014
4500241023	OMAR MCDOWELL CO 25109 DETROIT RD - STE 320 WESTLAKE, OH 44145-2544 US	REPLACEMENT INDUSTRIAL WATER TANK	E	B		F	N	1		20,588	20,588	20,588	0	1/27/2014	C		3/3/2014
4500241106	LIBERTY ELECTRIC SALES INC 113 TWIN OAKS DR SYRACUSE, NY 13206-1205 US	CHROMALOX HEATERS & WALL BRACKETS	E	B	5	N	N	1		8,005	8,005	8,005	0	1/23/2014	C		1/27/2014
4500241123	NORTH AMERICAN SALT CO 9900 W 109TH ST STE 600 OVERLAND PARK, KS 66210 US	ROAD GRADE ROCK SALT	E	B	7	F	N	1		25,172	25,026	25,026	146	1/23/2014	C		9/11/2014
4500241206	NOCO ENERGY CORP 2440 SHERIDAN DR - STE 202 TONAWANDA, NY 14150-9493 US	MOBIL TERESSITIC 68 TURBINE OIL	E	1	8	N	N	1		182,000	113,998	113,998	68,002	1/27/2014	O	1/26/2015	
4500241213	COYNE TEXTILE SERVICES INC 111 JAMES E CASEY DR BUFFALO, NY 14206-2366 US	ONE (1) YEAR SORBENT RUGS & INDUSTRIAL WIPERS CONTRACT	E	B		N	N	1		108,500	94,568	94,568	13,932	1/27/2014	O	1/31/2015	
4500241371	SUTRON CORP 22400 DAVIS DR STERLING, VA 20164-7127 US	JOINT WORKS WATER LEVEL GAUGE COMMUNICATIONS	E	1	8	N	N	1		34,628	34,277	34,277	351	1/29/2014	O	1/28/2015	
4500241448	GLOBAL INDUSTRIES INC C/O OFFICE FURNITURE CENTER 17 W STOW RD MARLTON, NJ 08053-3116 US	CONFERENCE ROOM FURNITURE	E	B	7	F	N	1		5,131	5,131	5,131	0	1/30/2014	C		4/1/2014
4500241515	SIEMENS INDUSTRY INC 85 NORTHPOINTE PKWY - STE 8 AMHERST, NY 14228-1886 US	HVAC SERVICES	S	B	7	N	N	1		16,946	16,946	16,946	0	2/1/2014	O	1/31/2015	
4500241877	PRAXAIR DISTRIBUTION INC 1811 BROADWAY BUFFALO, NY 14212-2032 US	NEW "T" BOTTLES - NYPA OWNED - NITROGEN FILLED	E	B	5	N	N	1		11,070	11,070	11,070	0	2/12/2014	C		3/3/2014

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4500241909	KURK FUEL OIL CO PO BOX A BUFFALO, NY 14217-0305 US	UNLEADED FUEL 87 OCTANE	E	C	5	N	1	1		10,893	10,893	10,893	0	2/12/2014	C		2/13/2014
4500241930	NOCO ENERGY CORP 2440 SHERIDAN DR - STE 202 TONAWANDA, NY 14150-9493 US	2D/1D WINTER MIX DIESEL FUEL	E	M	5	N	N	1		14,703	14,703	14,703	0	2/13/2014	C		2/14/2014
4500241993	GRAYBAR ELECTRIC CO INC 425 CAYUGA DR CHEEKTOWAGA, NY 14225-1946 US	#18/15C ELECTRICAL CABLE	E	B	5	N	N	3		8,615	8,615	8,615	0	2/14/2014	C		6/5/2014
4500241995	VOLLAND ELECTRIC EQUIPMENT CORP 75 INNSBRUCK DR CHEEKTOWAGA, NY 14227-2703 US	REMANUFACTURED GE TYPE AK-2-50 BREAKER	E	B	5	N	N	2		7,535	7,460	7,460	75	2/14/2014	C		6/5/2014
4500242093	GENERAL ELECTRIC CO 175 MILENS RD TONAWANDA, NY 14150-6794 US	LPGP UNIT 5 ROTOR REPAIR	S	B		N	N	3		96,960	51,280	51,280	45,680	2/19/2014	C		5/23/2014
4500242356	SUTRON CORP 22400 DAVIS DR STERLING, VA 20164-7127 US	JOINT WORKS WATER LEVEL GAUGE REPLACEMENTS	E	1	8	F	N	1		82,347	82,347	82,347	0	2/24/2014	C		11/13/2014
4500242491	QUALITY INSPECTION SERVICES INC DBA FOR APPLUS RTD USA 37 FRANKLIN ST - STE 400 BUFFALO, NY 14202-4117 US	ONE (1) YEAR NON-DESTRUCTIVE TESTING SERVICES AGREEMENT	S	B		N	N	2		48,420	8,315	8,315	40,105	2/27/2014	O	2/26/2015	
4500242567	CLARKSON UNIVERSITY 8 CLARKSON AVE POTSDAM, NY 13699 US	HYDRAULIC ICE FLOW MODEL OF NIA RIVER GRASS ISLAND POOL	S	1	8	N	N	1		38,323	38,323	38,323	0	2/28/2014	O	3/1/2015	
4500242568	WESCO DISTRIBUTION INC 120 GALLERIA DR CHEEKTOWAGA, NY 14225-5193 US	GE RELAY	E	B	5	N	N	2		7,732	7,732	7,732	0	2/28/2014	C		4/3/2014
4500242621	NORTHEAST METROLOGY CORP 2601 GENESEE ST BUFFALO, NY 14225 US	ONE (1) YEAR ON-SITE CALIBRATION CONTRACT	S	B		N	N	2		9,974	9,479	9,479	495	3/3/2014	O	3/2/2015	
4500242705	JOHNSON CONTROLS INC 130 JOHN MUIR DR - STE 100 AMHERST, NY 14228-1139 US	ACCESS CONTROL SYSTEMS SERVICE AND MAINTENANCE	S	B	7	N	N	1		192,094	192,094	192,094	0	3/1/2014	O	2/28/2015	

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4500242720	SIEMENS INDUSTRY INC 85 NORTHPOINTE PKWY - STE 8 AMHERST, NY 14228-1886 US	MIGRATE MBC-7 TO PXCM PLATFORM	S	B	7	N	N	1		20,225	14,000	14,000	6,225	3/5/2014	C		10/9/2014
4500242727	SE-MAR ELECTRIC CO INC 101 SOUTH AVE WEST SENECA, NY 14224 US	RELAY UPGRADE PANELS	E	B	5	N	N	2		12,540	12,540	12,540	0	3/5/2014	C		5/2/2014
4500242732	COMET FLASHER INC 1 BABCOCK ST BUFFALO, NY 14210-2250 US	TRAFFIC CONTROL DEVICE RENTAL & SERVICE ONE (1) YEAR AGREEMENT	E	B		N	N	2		64,400	3,150	3,150	61,250	3/18/2014	O	3/17/2015	
4500242866	SIEWERT EQUIPMENT DIV OF CUMMINS-WAGNER-SIEWERT LLC 175 AKRON ST ROCHESTER, NY 14609-7297 US	PUMP PARTS	E	B	5	N	N	1		5,895	5,895	5,895	0	3/10/2014	C		4/3/2014
4500242868	ACE ELEVATOR INSPECTION CORP PO BOX 1046 N TONAWANDA, NY 14120-2915 US	ELEVATOR INSPECTIONS	S	2	5	N	N	1		5,100	5,100	5,100	0	10/1/2014	C		12/5/2014
4500242887	SOURCE INTERNATIONAL CORP C/O PRENTICE OFFICE ENVIRONMENTS 545 HARTFORD TURNPIKE SHREWSBURY, MA 01545-4002 US	CHAIRS	E	B	5	F	N	1		10,399	10,399	10,399	0	3/10/2014	C		8/5/2014
4500242895	SIEWERT EQUIPMENT DIV OF CUMMINS-WAGNER-SIEWERT LLC 175 AKRON ST ROCHESTER, NY 14609-7297 US	SEWAGE PUMP	E	B	5	N	N	1		6,575	6,575	6,575	0	3/10/2014	C		6/5/2014
4500242969	GE INTELLIGENT PLATFORMS INC C/O AUTOMATECH INC 138 INDUSTRIAL PARK RD PLYMOUTH, MA 02360-7243 US	FAN BUILDING DACS	E	B	5	F	N	1		6,555	6,555	6,555	0	3/11/2014	C		4/2/2014
4500242972	TRENCH FRANCE SAS 16 RUE DU GENERAL CASSAGNOU ST LOUIS CEDEX, 68 68300 FR	230KV BUSHINGS	E	B		F	N	1		41,992	0	0	41,992	3/11/2014	C		12/17/2014

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4500242998	NATURE'S WAY ENVIRONMENTAL CONSULTANTS & CONTRACTORS INC 3553 CRITTENDEN RD ALDEN, NY 14004-8551 US	TREMIE BACKFILLING OF ANIMAL BURROWS	S	B	5	N	3	2		25,219	25,219	25,219	0	3/12/2014	C		10/9/2014
4500243408	KURK FUEL OIL CO PO BOX A BUFFALO, NY 14217-0305 US	UNLEADED FUEL FOR GM TANKS	E	M	5	N	1	1		11,180	11,180	11,180	0	4/1/2014	C		4/1/2014
4500243420	REXEL INC STE 400 505 DUKE RD CHEEKTOWAGA, NY 14225-5101 US	ALLEN BRADLEY PARTS	E	B	5	N	N	1		9,642	9,642	9,642	0	3/24/2014	C		5/2/2014
4500243553	COYNE TEXTILE SERVICES INC 111 JAMES E CASEY DR BUFFALO, NY 14206-2366 US	ONE (1) YEAR RUG RENTAL & SERVICE AGREEMENT	E	B	5	N	N	1		12,000	5,720	5,720	6,280	4/4/2014	O	4/3/2015	
4500243575	GENERAL ELECTRIC CO 175 MILENS RD TONAWANDA, NY 14150-6794 US	INTERNAL INSPECTION & ELECTRICAL TESTING OF FAILED RM UNIT 5 GSU	S	2	5	N	N	1		9,600	9,600	9,600	0	3/31/2014	C		7/8/2014
4500243631	WESCO DISTRIBUTION INC 120 GALLERIA DR CHEEKTOWAGA, NY 14225-5193 US	RELAYS	E	B	5	N	N	2		6,071	6,071	6,071	0	3/28/2014	C		6/5/2014
4500243688	GENERGY CORP 2 EXECUTIVE PARK DR ALBANY, NY 12203-3700 US	STATIONARY ARCING CONTACT ASSEMBLY	E	B		N	1	3		47,656	47,656	47,656	0	3/31/2014	C		8/8/2014
4500243699	GLOBAL EQUIPMENT CO 11 HARBOR PARK DR PORT WASHINGTON, NY 11050 US	AIR HOSE	E	B	5	N	N	2		12,105	12,100	12,100	5	3/31/2014	C		7/8/2014
4500243819	FOX FENCE INC 2637 LOCKPORT RD NIAGARA FALLS, NY 14305 US	EMERGENCY FENCE & GATE REPAIRS SERVICE AGREEMENT - ONE (1) YEAR	S	B		N	1	1		27,500	6,246	6,246	21,254	4/2/2014	O	4/1/2015	
4500243846	JOHNSON CONTROLS INC 130 JOHN MUIR DR - STE 100 AMHERST, NY 14228-1139 US	DEKA BATTERIES	E	B		N	N	11		23,400	23,400	23,400	0	4/3/2014	C		6/5/2014
4500243946	GOTTOGO ELECTRIC INC DBA FOR GOTTOGO ENTERPRISES 7865 E MAIN RD LE ROY, NY 14482-9747 US	HVB SWITCHYARD INTERRUPTER PARTS	E	M	8	N	3	1		15,072	15,072	15,072	0	4/7/2014	C		9/11/2014

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4500243964	SUSIE SPECIALTIES DBA FOR SENTEC PROMOTIONS INC 3960 HARLEM RD - STE 8 AMHERST, NY 14226-4706 US	POWER VISTA SUPPLIES	E	M	5	N	3	1		6,081	6,081	6,081	0	4/7/2014	C		9/11/2014
4500243969	BIRMINGHAM CONTROL SYSTEMS INC 1205 8TH ST W BIRMINGHAM, AL 35204-1909 US	UPGRADE RELAYS	E	B		F	N	6		48,137	48,137	48,137	0	4/7/2014	C		6/5/2014
4500243983	JAMES WALKER MFG CO INC PO BOX 467 GLENWOOD, IL 60425 US	SHEET GASKETING MATERIAL	E	B	5	N	N	1	*	7,740	7,740	7,740	0	4/7/2014	C		6/11/2014
4500243987	BVR CONSTRUCTION CO INC 8 KING RD CHURCHVILLE, NY 14428 US	CONCRETE REMOVAL FOR RM UNIT #3 STATOR RING	C	M	8	N	N	1		59,464	50,578	50,578	8,886	4/9/2014	C		7/8/2014
4500244019	WESCHLER INSTRUMENTS DIV OF HUGHES 16900 FOLTZ PKWY STRONGSVILLE, OH 44149-5529 US	GAUGE PARTS & RTD'S	E	B		F	N	3	*	44,356	44,356	44,356	0	4/8/2014	C		7/8/2014
4500244078	PROTEUS GROUP 124 PARNELL RD ST CATHARINES, ON L2M 1V4 CA	ZEBRA MUSSEL CHLORINATION/CONTROL SERVICES	S	B		F	N	2		42,418	42,418	42,418	0	4/9/2014	O	4/9/2015	
4500244219	EMERSON NETWORK POWER LIEBERT SERVICES INC 610 EXECUTIVE CAMPUS DR WESTERVILLE, OH 43082-8870 US	UPS BOARD PARTS & INSTALLATION	S	B	5	F	N	1		7,425	2,170	2,170	5,255	4/14/2014	C		10/9/2014
4500244245	BIRMINGHAM CONTROL SYSTEMS INC 1205 8TH ST W BIRMINGHAM, AL 35204-1909 US	UNIT 10 RELAYS	E	B	5	F	N	2		13,200	13,200	13,200	0	4/14/2014	C		6/5/2014
4500244261	MEGGER 4271 BRONZE WAY DALLAS, TX 75237 US	BATTERY GROUND FAULT & MINI CT	E	B	5	F	N	1		11,005	11,005	11,005	0	4/15/2014	C		6/5/2014
4500244265	GROVE ROOFING SERVICES INC 131 READING ST BUFFALO, NY 14220 US	LPGP HOIST DECK BUILDING ROOF REPAIRS	C	B		N	N	2		52,690	52,690	52,690	0	4/15/2014	C		7/8/2014

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4500244507	SUTRON CORP 22400 DAVIS DR STERLING, VA 20164-7127 US	WATER LEVEL GAUGE COMMUNICATION/REPLACEMENT EQUIPMENT	E	1	5	F	N	1		6,695	6,695	6,695	0	4/22/2014	C		7/8/2014
4500244758	SIEWERT EQUIPMENT DIV OF CUMMINS-WAGNER-SIEWERT LLC 175 AKRON ST ROCHESTER, NY 14609-7297 US	PUMP REPAIR PARTS	E	B	5	N	N	2		14,996	14,996	14,996	0	4/29/2014	C		6/16/2014
4500244765	GE ENERGY MANAGEMENT SERVICES INC 175 SCIENCE PKWY ROCHESTER, NY 14620-4260 US	GAS ANALYZER WITH TRANSFIX SYSTEM & INSTALLATION	E	B		N	N	1		49,270	46,308	46,308	2,962	4/29/2014	C		12/2/2014
4500244771	AQUATIC SCIENCES PO BOX 2205 (STATION B) ST CATHERINES, ON L2R 7R8 CA	SONAR INSPECTIONS	S	B		F	N	2		89,735	89,735	89,735	0	4/29/2014	C		12/19/2014
4500244808	LAKES PIPE & SUPPLY CORP C/O IDS DIV PO BOX 429 NIAGARA FALLS, NY 14302-5966 US	PLUG VALVES	E	B	5	N	N	1		6,106	5,984	5,984	122	4/30/2014	C		6/5/2014
4500244844	PRECISION PROCESS EQUIPMENT INC 2111 LIBERTY DR NIAGARA FALLS, NY 14304-3744 US	BRONZE SPINDLES	E	B	5	N	N	1		6,897	6,897	6,897	0	4/30/2014	C		8/5/2014
4500244887	KURK FUEL OIL CO PO BOX A BUFFALO, NY 14217-0305 US	87 OCTANE UNLEADED FUEL FOR GM TANKS	E	B	7	N	1	1		11,980	11,980	11,980	0	5/14/2014	C		5/14/2014
4500244888	KURK FUEL OIL CO PO BOX A BUFFALO, NY 14217-0305 US	BIODIESEL FUEL FO GM TANKS	E	B	7	N	1	1		11,385	11,385	11,385	0	5/14/2014	C		5/14/2014
4500244944	BN SYSTEMS INC 4213 N BUFFALO RD - STE 1 ORCHARD PARK, NY 14127-2421 US	CELLULAR COVERAGE EXPANSION EQUIPMENT & INSTALLATION	S	M	8	N	3	1		142,071	142,071	142,071	0	5/2/2014	C		11/13/2014
4500244964	GE INDUSTRIAL SYSTEMS INC 180 ROTTERDAM IND PARK - BUILDING 1 B8 ROTTERDAM, NY 12306-1920 US	ELECTRONIC MODULES	E	B		F	N	2		39,840	39,840	39,840	0	5/2/2014	C		9/11/2014

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4500245022	MARICH MACHINE & TOOL CO 3815 LAKESIDE AVE CLEVELAND, OH 44114 US	SHEAR PINS	E	B		N	N	5		34,235	34,235	34,235	0	5/6/2014	C		7/8/2014
4500245044	PRAXAIR DISTRIBUTION INC 1811 BROADWAY BUFFALO, NY 14212-2032 US	CYLINDER CAGES FOR STORAGE	E	B	5	N	N	1		5,437	5,437	5,437	0	6/27/2014	C		6/27/2014
4500245315	QUALITY PLUS INC 60 LAWRENCE BELL DR WILLIAMSVILLE, NY 14221-7074 US	CONTROLLER BOARD	E	B	5	N	N	1	*	8,141	8,141	8,141	0	5/13/2014	C		6/5/2014
4500245377	FREED MAXICK CPAS PC 424 MAIN ST - RM 800 BUFFALO, NY 14202-3508 US	CPA AUDIT SERVICES	P	B	5	N	N	3		9,500	9,000	9,000	500	5/14/2014	C		9/11/2014
4500245458	GLAUBER EQUIPMENT CORP 1600 COMMERCE PKWY LANCASTER, NY 14086 US	DRAINAGE PUMP	E	B		N	N	4		22,186	22,186	22,186	0	5/16/2014	C		11/13/2014
4500245496	AIRLINE HYDRAULICS CORP PO BOX 8500 S-2275AD PHILADELPHIA, PA 19178-7618 US	SOLENOID VALVES	E	B	5	F	N	1		5,934	5,934	5,934	0	5/19/2014	C		7/8/2014
4500245504	H & V SALES INC 2310 WILLIAM ST BUFFALO, NY 14206-2598 US	AIR CONTROL DAMPERS	E	B	5	N	N	1		5,775	5,775	5,775	0	5/19/2014	C		7/8/2014
4500245648	REXEL INC STE 400 505 DUKE RD CHEEKTOWAGA, NY 14225-5101 US	ALLEN BRADLEY PARTS	E	1	5	N	N	1		7,858	7,858	7,858	0	5/21/2014	C		7/8/2014
4500245702	PME OF OHIO INC 518 W CRESCENTVILLE RD CINCINNATI, OH 45246 US	GUIDE BEARING REFURBISHMENT	S	B		F	N	4		52,360	52,360	52,360	0	5/22/2014	C		9/11/2014
4500245722	SIEWERT EQUIPMENT DIV OF CUMMINS-WAGNER-SIEWERT LLC 175 AKRON ST ROCHESTER, NY 14609-7297 US	BYRON JACKSON PUMP PARTS	E	B	5	N	N	2		14,997	14,997	14,997	0	5/23/2014	C		8/8/2014
4500245841	METRO CONTRACTING & ENVIRONMENTAL INC 2939 LOCKPORT RD NIAGARA FALLS, NY 14305-2307 US	HAZARDOUS MATERIAL ABATEMENT & CAULK REPAIRS	C	B		N	N	3		158,000	158,000	158,000	0	5/28/2014	O	2/28/2015	

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4500245977	NORTHKNIGHT LOGISTICS INC 7724 BUFFALO AVE NIAGARA FALLS, NY 14304-4134 US	FALL PROTECTION SYSTEMS	E	B		N	N	3		12,308	12,308	12,308	0	6/2/2014	C		12/17/2014
4500245992	OTC SERVICES INC 1776 CONSTITUTION AVE LOUISVILLE, OH 44641 US	RM UNIT 5 GSU REPAIRS	S	C	1	F	N	2		251,000	251,000	251,000	0	6/2/2014	C		10/1/2014
4500246305	ELECTRO INDUSTRIES 1800 SHAMES DR WESTBURY, NY 11590 US	DIGITAL METERS	E	B	5	N	N	2	*	9,935	9,935	9,935	0	6/10/2014	C		8/8/2014
4500246470	REXEL INC STE 400 505 DUKE RD CHEEKTOWAGA, NY 14225-5101 US	ALLEN BRADLEY UNIT HEATERS	E	1	8	N	N	1		49,460	49,460	49,460	0	6/13/2014	C		8/8/2014
4500246479	LIFE SAFETY ENGINEERED SYSTEMS INC 60 SONWIL DR BUFFALO, NY 14225-2425 US	ELEVATOR RECALL MATERIALS	E	B	7	N	N	1		39,762	33,291	33,291	6,471	6/13/2014	C		8/8/2014
4500246532	SIEWERT EQUIPMENT DIV OF CUMMINS-WAGNER-SIEWERT LLC 175 AKRON ST ROCHESTER, NY 14609-7297 US	PUMP PARTS	E	B	5	N	N	2		8,263	8,263	8,263	0	6/16/2014	C		8/8/2014
4500246554	DIVAL SAFETY EQUIPMENT INC 1721 NIAGARA ST BUFFALO, NY 14207-3108 US	EMPLOYEE SAFETY SHOES WELLNESS FAIR	E	C	5	N	N	3		9,500	8,640	8,640	860	10/31/2014	C		12/5/2014
4500246556	SAFETY SOLUTIONS INC 222 TEALL AVE SYRACUSE, NY 13210-1219 US	SHOE MOBILE FOR WELLNESS FAIR	E	C	5	N	N	1		5,500	5,312	5,312	188	11/10/2014	C		11/20/2014
4500246679	ATLANTIC ENVIRONMENTAL INC 2 E BLACKWELL ST - STE 24 DOVER, NJ 07801-4645 US	DUCT CLEANLINESS INSPECTION	S	B		N	N	3		26,320	0	0	26,320	6/19/2014	O	2/23/2015	
4500246682	KURK FUEL OIL CO PO BOX A BUFFALO, NY 14217-0305 US	FUEL FOR GM TANKS	E	M	5	N	1	1		12,006	12,006	12,006	0	6/27/2014	C		6/27/2014
4500246739	SAIA COMMUNICATIONS INC 100 STRADTMAN ST BUFFALO, NY 14206-2666 US	INTEGRATED COMMUNICATIONS EQUIPMENT & INTERFACE	E	M	8	N	3	1		17,406	17,406	17,406	0	6/20/2014	C		9/11/2014

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4500246813	UNIFIN INTERNATIONAL A DIV OF WABTEC CANADA INC 1030 CLARKE SIDE RD LONDON, ON N6A 4P4 CA	STATOR COOLERS	E	B		F	N	1	*	229,750	229,750	229,750	0	6/23/2014	C		11/13/2014
4500246822	HAGEMEYER NORTH AMERICA INC VALLEN SAFETY SUPPLY 2916 WALDEN AVE - STE 250 DEPEW, NY 14043-2610 US	SAFETY RAILINGS	E	B	5	N	N	2		10,625	10,625	10,625	0	6/23/2014	C		9/11/2014
4500246844	CHANNING BETE CO INC ONE COMMUNITY PL S DEERFIELD, MA 01373-0200 US	ACTIVITY BOOKS	E	B	7	F	N	1		10,964	10,468	10,468	496	6/24/2014	C		8/8/2014
4500247018	GE INTELLIGENT PLATFORMS INC C/O AUTOMATECH INC 138 INDUSTRIAL PARK RD PLYMOUTH, MA 02360-7243 US	DACS SOFTWARE SUPPORT	S	2	8	F	N	1		24,961	23,469	23,469	1,492	6/26/2014	C		9/11/2014
4500247090	CORRPRO COMPANIES INC 1055 W SMITH RD MEDINA, OH 44256-2444 US	CATHODIC INSPECTION	S	B	5	N	N	1		9,940	0	0	9,940	6/30/2014	O	2/23/2015	
4500247353	BUG DOCTOR INC 585 WINTERS AVE PARAMUS, NJ 07652-3900 US	LPGP GANTRY CRANE BIRD DROPPING REMOVAL	S	B		F	N	5		21,150	15,000	15,000	6,150	7/9/2014	O	6/30/2015	
4500247445	LABELLA ASSOCIATES PC 300 STATE ST - STE 201 ROCHESTER, NY 14614-1019 US	INDOOR AIR QUALITY EVALUATION	S	B		N	N	5		9,000	9,000	9,000	0	7/11/2014	C		12/30/2014
4500247523	FM OFFICE EXPRESS INC DBA FM OFFICE PRODUCTS 106 DESPATCH DR - STE 2 EAST ROCHESTER, NY 14445-1448 US	AMERICAN LOCK PADLOCKS	E	B	7	N	4	1		10,193	10,193	10,193	0	7/14/2014	C		10/9/2014
4500247536	HOHL INDUSTRIAL SERVICES INC 770 RIVERVIEW BLVD TONAWANDA, NY 14150-7880 US	HEADGATE HOIST 3B - WIRE ROPE REPLACEMENT	E	B	8	N	1	3		31,411	31,411	31,411	0	7/14/2014	C		11/13/2014
4500247664	EDBAUER CONSTRUCTION 2790 CLINTON ST WEST SENECA, NY 14224 US	DECK GUARD RAIL RESTORATIONS	C	B		N	N	2		199,800	146,301	146,301	53,499	7/17/2014	C		12/26/2014

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4500247746	AMERICAN PAVING & EXCAVATING INC 5880 THOMPSON RD CLARENCE CENTER, NY 14032-9724 US	PARKING LOT RESTORATIONS	C	B		N	N	4		215,278	215,278	215,278	0	7/21/2014	C		12/15/2014
4500247759	PYOTT-BOONE ELECTRONICS PBE GROUP 1459 WITTENS MILL RD NORTH TAZEWELL, VA 24630-8373 US	INTEGRATED COMMUNICATIONS UPGRADE	E	B		F	N	1		489,988	0	0	489,988	7/21/2014	O	3/31/2015	
4500247834	RICKLY HYDROLOGICAL CO INC 1700 JOYCE AVE COLUMBUS, OH 43219-1026 US	CUSTOM STAFF GAUGE	E	B	5	F	N	1	*	5,324	5,324	5,324	0	7/23/2014	C		11/13/2014
4500247836	DIVAL SAFETY EQUIPMENT INC 1721 NIAGARA ST BUFFALO, NY 14207-3108 US	GAS MONITORS & CALIBRATION STATION	E	B		N	N	8		19,717	19,717	19,717	0	7/23/2014	C		10/9/2014
4500247846	HELBERG ELECTRICAL SUPPLY LLC 200 W SUNRISE HWY FREEPORT, NY 11520-3365 US	GENERATOR PROTECTION RELAY	E	B	5	N	4	1		12,500	12,500	12,500	0	7/23/2014	C		9/11/2014
4500247864	KAMAN INDUSTRIAL TECHNOLOGIES PO BOX 30672 HARTFORD, CT 6150 US	REDUCER GEAR	E	B	5	F	N	1		7,606	7,606	7,606	0	7/23/2014	C		9/11/2014
4500247907	SEALING DEVICES INC 4400 WALDEN AVE LANCASTER, NY 14086-9751 US	PACKING TEFLON	E	2	5	N	N	2	*	5,148	5,148	5,148	0	7/24/2014	C		7/24/2014
4500248078	SCHWEITZER ENGINEERING LAB INC C/O ROBINSON SALES INC 1240 ASHBRIDGE RD WEST CHESTER, PA 19380 US	SPARE TEST RELAY	E	B		F	N	5		26,840	26,840	26,840	0	7/29/2014	C		12/5/2014
4500248088	KURK FUEL OIL CO PO BOX A BUFFALO, NY 14217-0305 US	87 OCTANE FUEL FOR GM TANKS	E	M	5	N	1	1		11,320	11,320	11,320	0	8/5/2014	C		8/5/2014
4500248089	KURK FUEL OIL CO PO BOX A BUFFALO, NY 14217-0305 US	BIODIESEL B20 FUEL FOR GM TANKS	E	M	5	N	1	1		11,640	11,640	11,640	0	8/5/2014	C		8/5/2014

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4500248123	UPSTATE STEEL INC 1800 DALE RD BUFFALO, NY 14225 US	ICE BOOM BUOY BARRELS	E	B	5	N	3	2		12,000	12,000	12,000	0	7/30/2014	C		12/17/2014
4500248158	ELECTRO COMPOSITES (2008) ULC HUBBELL LUXEMBOURG S A R L 325 SCOTT ST ST-JEROME, QC J7Z 1H3 CA	115KV BUSHINGS	E	B		F	N	2		209,773	0	0	209,773	7/31/2014	O	2/1/2015	
4500248195	BUFFALO CRUSHED STONE INC 2544 CLINTON ST BUFFALO, NY 14224 US	MEDIUM & LIGHT STONE FILL - LPGP RESERVOIR	E	B	7	N	N	1		49,715	49,392	49,392	323	7/31/2014	C		10/9/2014
4500248297	ROLFE INDUSTRIES INC 2 PARKFORD DR - PO BOX 673 CLIFTON PARK, NY 12065-3234 US	FAIRBANKS MORSE PUMP PARTS	E	M	5	N	3	1		5,821	5,821	5,821	0	8/4/2014	C		11/13/2014
4500248351	J C EHRLICH CHEMICAL CO PO BOX 13848 READING, PA 19612-3848 US	LPGP 65 TON CRANE BIRD NETTING	S	B		N	N	3		23,580	23,580	23,580	0	8/5/2014	C		12/5/2014
4500248427	RFL ELECTRONICS INC 353 POWERVILLE RD BOONTON, NJ 07005-9151 US	TRANSMITTERS/RECEIVERS & MULTIPLEXER	E	B		F	N	3		40,620	40,620	40,620	0	8/7/2014	C		10/9/2014
4500248811	FOX FENCE INC 2637 LOCKPORT RD NIAGARA FALLS, NY 14305 US	ELECTRIC ROLL GATE OPERATOR	E	M	5	N	1	1		6,374	6,374	6,374	0	8/18/2014	C		10/9/2014
4500248841	CONTACT POWER INC 1612 PROFESSIONAL BLVD - STE A CROFTON, MD 21114-2049 US	DIFFERENTIAL RELAYS & MULTIPLEXER	E	B		F	N	2	*	37,322	37,322	37,322	0	8/19/2014	C		12/17/2014
4500248973	G & W ELECTRIC CO C/O POWER SALES GROUP 175 ANDOVER ST - STE 105 DANVERS, MA 01923-1443 US	TR17746 CAPNUTS	E	B	5	F	N	1		13,925	13,925	13,925	0	8/21/2014	C		12/9/2014
4500249004	FALLS TENT INC PO BOX 208 LEWISTON, NY 14092 US	2014 WILDLIFE FESTIVAL TENT PACKAGE	E	B	5	N	N	1		8,900	0	0	8,900	8/21/2014	C		11/13/2014
4500249081	GE ENERGY MANAGEMENT SERVICES INC 175 SCIENCE PKWY ROCHESTER, NY 14620-4260 US	KELMAN TRANSFIX GAS ANALYSER	E	B		N	N	1		46,440	42,550	42,550	3,890	8/25/2014	O	6/30/2015	

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4500249218	NIAGARA COATINGS SERVICES INC 8025 QUARRY RD NIAGARA FALLS, NY 14304 US	ABRASIVE BLAST CLEANING & PAINTING OF MARINE VESSEL	S	B		N	N	4		151,237	54,410	54,410	96,827	8/28/2014	O	3/31/2015	
4500249222	MID-ISLAND ELECTRICAL SUPPLY DBA FOR MIE-TH HOLDINGS LLC 700 CORPORATE BLVD NEWBURGH, NY 12550-6416 US	AC POWER PANELS	E	B		F	N	3		20,400	0	0	20,400	8/28/2014	O	2/23/2015	
4500249340	ABB INC 10300 HENRI-BOURASSA WEST ST LAURENT, PQ H4S 1N6 CA	EXCITED CIRCUIT BOARD	E	B	5	F	N	1		6,879	6,879	6,879	0	9/2/2014	C		10/9/2014
4500249537	FM OFFICE EXPRESS INC DBA FM OFFICE PRODUCTS 106 DESPATCH DR - STE 2 EAST ROCHESTER, NY 14445-1448 US	VARIOUS HAND TOOLS	E	B	7	N	4	1		8,059	8,059	8,059	0	9/8/2014	C		11/13/2014
4500249633	AMERICAN PAVING & EXCAVATING INC 5880 THOMPSON RD CLARENCE CENTER, NY 14032-9724 US	RESERVOIR ROAD RESURFACING	C	B		N	N	1		205,918	205,917	205,917	1	9/9/2014	O	1/2/2015	
4500249692	GENERAL ELECTRIC CO 175 MILENS RD TONAWANDA, NY 14150-6794 US	ROTOR POLE REFURBISHMENT	S	B		F	N	1		100,524	0	0	100,524	9/10/2014	O	2/28/2015	
4500249745	REXEL INC STE 400 505 DUKE RD CHEEKTOWAGA, NY 14225-5101 US	GE BREAKERS & PARTS	E	B	5	N	N	2		9,594	8,465	8,465	1,129	9/11/2014	C		12/5/2014
4500249840	KURK FUEL OIL CO PO BOX A BUFFALO, NY 14217-0305 US	UNLEADED FUEL FOR GM TANKS	E	M	5	N	1	1		11,080	11,000	11,000	80	9/16/2014	C		9/16/2014
4500250164	PIONEER PUMP SYSTEMS INC 110 FACTORY AVE SYRACUSE, NY 13208 US	SEWAGE PUMP	E	B	5	N	N	1		7,607	0	0	7,607	9/23/2014	O	2/2/2015	

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4500250165	MONROE EXTINGUISHER CO INC 105 DODGE ST ROCHESTER, NY 14606-1503 US	CYLINDER RECERTIFICATION & REFILL	E	B	5	N	N	1		6,445	6,445	6,445	0	9/23/2014	C		12/5/2014
4500250205	FM OFFICE EXPRESS INC DBA FM OFFICE PRODUCTS 106 DESPATCH DR - STE 2 EAST ROCHESTER, NY 14445-1448 US	ELECTRICAL HAND TOOLS	E	B	5	N	4	1		7,462	7,462	7,462	0	9/23/2014	C		11/13/2014
4500250350	TP WOODSIDE INC 60 LAWRENCE BELL DR WILLIAMSVILLE, NY 14221-7074 US	A/C UNIT	E	B	5	N	N	3		10,633	10,633	10,633	0	9/26/2014	C		12/5/2014
4500250413	POTTER ASSOCIATES INC 24 BROWN CROFT BLVD ROCHESTER, NY 14609-0117 US	THORDON BEARINGS	E	2	5	F	N	1		11,432	11,432	11,432	0	9/29/2014	C		12/5/2014
4500250423	CIRCUIT BREAKER SALES NE INC 79 MAIN ST SEYMOUR, CT 06483-3122 US	ABB BREAKER REPAIRS	S	B	5	F	N	1		7,090	7,090	7,090	0	9/29/2014	C		12/11/2014
4500250429	METROWEST ELECTRIC SALES INC 18 LYMAN ST - STE A WESTBOROUGH, MA 01581-1436 US	ELECTRICAL CABLE	E	B	5	F	N	2		15,100	15,100	15,100	0	9/29/2014	C		12/30/2014
4500250648	OERLIKON LEYBOLD VACUUM USA INC 5700 MELLON RD EXPORT, PA 15632-8900 US	VACUUM PUMP REPAIRS	S	B	5	F	N	1		5,765	0	0	5,765	10/3/2014	O	2/9/2015	
4500250727	MODERN DISPOSAL SERVICES INC PO BOX 209 MODEL CITY, NY 14107-0209 US	GENERAL REFUSE ONE (1) YEAR SERVICE AGREEMENT	S	B	7	N	N	1		65,000	10,250	10,250	54,750	10/10/2014	O	9/30/2015	
4500251041	GENERAL ELECTRIC CO 175 MILENS RD TONAWANDA, NY 14150-6794 US	PROPELLER MACHINING & RUDDER REPAIRS	S	B		N	N	2		28,942	28,942	28,942	0	10/15/2014	C		12/12/2014
4500251074	TP WOODSIDE INC 60 LAWRENCE BELL DR WILLIAMSVILLE, NY 14221-7074 US	HEAT PUMPS	E	B	5	N	N	3		13,960	13,960	13,960	0	10/15/2014	C		12/5/2014

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4500251181	HYTORC DIV UNEX CORP 333 ROUTE 17 MAHWAH, NJ 07430-2129 US	PNEUMATIC TORQUE WRECHES	E	B	5	N	N	3	*	9,420	9,420	9,420	0	10/17/2014	C		11/13/2014
4500251276	PITMAN COMPANY 2205 KENMORE AVE - STE 106 BUFFALO, NY 14207-1329 US	HP PLOTTER	E	B	5	N	N	1		10,199	10,199	10,199	0	10/20/2014	C		12/5/2014
4500251329	NOCO ENERGY CORP 2440 SHERIDAN DR - STE 202 TONAWANDA, NY 14150-9493 US	UNLEADED GASOLINE	E	B	7	N	N	1		9,595	9,595	9,595	0	10/21/2014	C		11/13/2014
4500251381	GE INDUSTRIAL SYSTEMS INC 4601 PARK RD - STE 600 CHARLOTTE, NC 28209-3799 US	CURRENT TRANSFORMER FOR WAREHOUSE STOCK	E	B	5	F	N	3		6,150	0	0	6,150	10/22/2014	C		12/31/2014
4500251436	REXEL INC STE 400 505 DUKE RD CHEEKTOWAGA, NY 14225-5101 US	LIMIT SWITCHES	E	2	5	N	N	1		6,274	6,274	6,274	0	10/23/2014	C		12/29/2014
4500251577	KRAFTWERKS STORAGE & MATERIAL HANDLING PRODUCTS INC 92 COOPER AVE TONAWANDA, NY 14150 US	LOCK OUT TAG OUT CABINETS	E	M	5	N	3	1		6,429	6,429	6,429	0	10/27/2014	C		12/5/2014
4500251621	WESCO DISTRIBUTION INC 120 GALLERIA DR CHEEKTOWAGA, NY 14225-5193 US	LOCK BOX CONTROL LOCKS	E	B	5	N	N	3		7,800	7,800	7,800	0	10/28/2014	C		12/5/2014
4500251647	WORTHINGTON DIVISION 3200 ENGINEERING PKWY ALPHARETTA, GA 30004-7853 US	COMPRESSOR PARTS	E	B	5	N	N	1	*	6,596	6,596	6,596	0	10/29/2014	C		12/5/2014
4500251690	FM OFFICE EXPRESS INC DBA FM OFFICE PRODUCTS 106 DESPATCH DR - STE 2 EAST ROCHESTER, NY 14445-1448 US	STAINLESS STEEL & BRASS HARDWARD	E	B	7	N	4	1		16,373	0	0	16,373	10/30/2014	O	1/2/2015	
4500251698	WORTHINGTON DIVISION 3200 ENGINEERING PKWY ALPHARETTA, GA 30004-7853 US	WORTHINGTON COMPRESSOR PARTS	E	B	5	N	N	2		10,619	2,972	2,972	7,647	10/30/2014	O	1/30/2015	
4500251728	BIDCO MARINE GROUP INC 220 KATHERINE ST BUFFALO, NY 14210-2008 US	RIVER CHANNEL DREDGING	S	B		N	1	1		98,620	0	0	98,620	10/31/2014	O	1/30/2015	

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4500251757	FOX FENCE INC 2637 LOCKPORT RD NIAGARA FALLS, NY 14305 US	HEADWALL PROTECTION BARRIER	E	B		N	1	2		40,625	0	0	40,625	10/31/2014	O	7/31/2015	
4500251970	NOCO ENERGY CORP 2440 SHERIDAN DR - STE 202 TONAWANDA, NY 14150-9493 US	DIESEL FUEL FOR GM TANKS	E	B	7	N	N	1		11,382	11,382	11,382	0	11/5/2014	C		12/5/2014
4500252031	GENERAL ELECTRIC CO 175 MILENS RD TONAWANDA, NY 14150-6794 US	RUDDER SHAFT BOLT HOLE REPAIRS	S	2	5	N	N	1		12,200	12,200	12,200	0	11/7/2014	C		12/10/2014
4500252061	AMERICAN ROCK SALT CO LLC DBA FOR AMERICAN ROCK SALT HOLDING PO BOX 190 MOUNT MORRIS, NY 14510-0190 US	ROCK SALT	E	B	7	N	N	1		34,930	0	0	34,930	11/7/2014	O	8/31/2015	
4500252139	U-LINE 400 BOULDER DR BREINIGSVILLE, PA 18031 US	DESK WORK BENCHES	E	B	5	F	N	2		5,294	5,294	5,294	0	11/12/2014	C		12/5/2014
4500252299	NATIONAL VACUUM CORP 408 47TH ST NIAGARA FALLS, NY 14304-2102 US	90 TON GANTRY CRANE CLEANING	S	B	5	N	N	3		8,700	0	0	8,700	11/17/2014	O	1/31/2015	
4500252321	RADIAN RESEARCH INC 3852 FORTUNE DR LAFAYETTE, IN 47905-4879 US	METERING EQUIPMENT	E	1	8	F	N	1	*	25,201	25,201	25,201	0	11/17/2014	C		12/5/2014
4500252414	S & B COMPUTER & OFFICE PRODUCTS INC 17 WOOD RD - STE 700 ROUND LAKE, NY 12151 US	2015 OFFICE SUPPLY CONTRACT	E	M	8	N	4	1		30,300	0	0	30,300	1/1/2014	O	12/31/2015	
4500252479	GEXPRO DBA FOR GENERAL SUPPLY & SERV INC 5500 MAIN ST - STE 301 WILLIAMSVILLE, NY 14221-6737 US	ABB COOLING FANS	E	B	5	F	N	1		12,190	0	0	12,190	11/20/2014	O	2/16/2015	
4500252510	WAGNER PRECISION INC 583 DIVISION ST N TONAWANDA, NY 14120-4209 US	END BELL SPACERS	E	B	5	N	N	3		12,976	0	0	12,976	11/20/2014	O	1/6/2015	

CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	TYPE	MOA	RNA	OPA	MWBE	BIDS	FRT	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2014	CONTRACT BALANCE	DATE OF CONTRACT	O\C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
4500252643	JOHN W DANFORTH CO INC 300 COLVIN WOODS PKWY TONAWANDA, NY 14150-6908 US	RS-LEVEL S11-FAN PAN REBUILD	C	B	5	N	N	2		5,900	0	0	5,900	11/24/2014	O	1/30/2015	
4500252826	NOCO ENERGY CORP 2440 SHERIDAN DR - STE 202 TONAWANDA, NY 14150-9493 US	UNLEADED GASOLINE	E	B	7	N	N	1		8,754	8,754	8,754	0	12/2/2014	C		12/19/2014
4500252923	GENERAL DYNAMICS GLOBAL IMAGING TECHNOLOGIES INC 24 SIMON ST NASHUA, NH 03060-3025 US	THERMAL CAMERA REPAIRS	S	1	8	F	N	1		17,945	0	0	17,945	12/4/2014	O	1/30/2015	
4500253126	MODERN DISPOSAL SERVICES INC PO BOX 209 MODEL CITY, NY 14107-0209 US	TRANSPORTATION & DISPOSAL OF DREDGE SEDIMENT	S	2	8	N	N	1		28,358	0	0	28,358	12/10/2014	O	1/30/2015	
4500253148	AMERICAN GOVERNOR CO 27 RICHARD RD IVYLAND, PA 18974-1512 US	WOODWARD GOVERNOR FILTERS	E	B		F	N	1		18,876	1,573	1,573	17,303	12/10/2014	O	11/2/2015	
4500253259	VOLLAND ELECTRIC EQUIPMENT CORP 75 INNSBRUCK DR CHEEKTOWAGA, NY 14227-2703 US	GE ENTILLIGUARD & BREAKER REPAIRS	E	B	5	N	N	3		6,050	0	0	6,050	12/12/2014	O	1/23/2015	
4500253419	BUFFALO CRUSHED STONE INC 2544 CLINTON ST BUFFALO, NY 14224 US	#1 CRUSHER RUN STONE	E	B	5	N	N	1		5,105	5,105	5,105	0	12/17/2014	O	1/30/2015	
4500253467	OMICRON ELECTRONICS CORP USA 3550 WILLOWBEND BLVD HOUSTON, TX 77054-1127 US	RELAY TESTING EQUIPMENT	E	B		F	N	2		52,150	0	0	52,150	12/18/2014	O	1/15/2015	
4500253710	INGERSOLL RAND AIR CENTERS 170 WALES AVE TONAWANDA, NY 14150 US	COMPRESSOR PARTS	E	B	5	N	N	1		11,636	0	0	11,636	12/29/2014	O	1/30/2015	
4500253759	HANES SUPPLY INC 55 JAMES E CASEY DR BUFFALO, NY 14206-2361 US	MOORING LINE	E	B	5	N	N	2		5,701	0	0	5,701	12/30/2014	O	1/23/2015	
4500253791	NORTH STATE SUPPLY CO INC PO BOX 70 BUFFALO, NY 14217-0019 US	SEESNAKE VIDEO CAMERA SYSTEM	E	B	5	N	N	3		5,846	0	0	5,846	12/30/2014	O	1/12/2015	

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4600002229	UNITED UNIFORM CO INC 495 N FRENCH RD BUFFALO, NY 14228-2124 US	CONTRACT TO PROVIDE SECURITY GUARD CLOTHING	E	B		N	N	1		60,000	39,503	1,859	20,497	3/10/2010	C		3/31/2014
4600002270	ANDERSEN ELECTRIC SUPPLY INC 910 FACTORY OUTLET BLVD NIAGARA FALLS, NY 14304-1596 US	ELECTRICAL CONSUMABLES FOR X-BINS	E	B		N	N	5		200,000	64,682	11,572	135,318	7/1/2010	C		6/30/2014
4600002272	MONROE EXTINGUISHER CO INC 105 DODGE ST ROCHESTER, NY 14606-1503 US	FIRE EXTINGUISHER SERVICE	S	B		N	N	3		25,000	20,787	2,900	4,213	7/1/2010	C		6/30/2014
4600002275	SHAW ENVIRONMENTAL INC 250 COOPER AVE - STE 110 TONAWANDA, NY 14150-6633 US	ZEBRA MUSSEL TESTING SERVICE AGREEMENT	S	B		N	N	2		125,000	30,757	568	94,243	7/1/2010	C		7/8/2014
4600002280	UPSTATE METROLOGY INC 6388 NIVER RD CONESUS, NY 14435-9514 US	CALIBRATION OF I&C INSTRUMENTS	S	B		N	N	2		55,127	55,127	0	0	7/1/2010	C		1/24/2014
4600002298	STOHL ENVIRONMENTAL LLC 4169 ALLENDALE PKWY - STE 100 BLASDELL, NY 14219 US	ENVIRONMENTAL SERVICES	S	B		N	N	10		800,000	308,683	19,023	491,317	7/16/2010	C		8/5/2014
4600002302	SIENNA ENVIRONMENTAL TECH LLC 350 ELMWOOD AVE BUFFALO, NY 14222-2204 US	ENVIRONMENTAL SERVICES	S	B		N	4	10		300,000	77,572	5,999	222,428	7/23/2010	C		8/5/2014
4600002303	LIRO ENGINEERS INC 3 AERIAL WAY SYOSSET, NY 11791-5501 US	ENVIRONMENTAL SERVICES	S	B		N	N	10		400,000	47,367	21,901	352,633	7/23/2010	C		8/5/2014
4600002327	DIVAL SAFETY EQUIPMENT INC 1721 NIAGARA ST BUFFALO, NY 14207-3108 US	SAFETY GLASSES CONTRACT F/NIAGARA EMPLOYEES	E	B		N	N	3		40,000	31,551	9,037	8,449	10/1/2010	C		9/30/2014
4600002334	KORRECT OPTICAL 3801 BISHOP LN LOUISVILLE, KY 40218-2905 US	SAFETY GLASSES	E	B		F	N	3		50,000	0	0	50,000	10/31/2010	C		9/30/2014
4600002337	ALLAN BAKER INC DBA KORRECT OPTICAL 3801 BISHOP LN LOUISVILLE, KY 40218-2905 US	SAFETY GLASS BLANKET ORDER FOR NIAGARA	E	B		F	N	3		40,000	4,956	577	35,044	11/1/2010	C		9/30/2014

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4600002388	ALL STATE FIRE EQUIP OF WNY 400 MINERAL SPRINGS RD BUFFALO, NY 14224-1016 US	FIRE PROTECTION SYSTEM INSPECTIONS	S	B		N	N	2		70,000	51,054	18,694	18,946	4/1/2011	O	3/31/2015	
4600002393	THINKING OUTSIDE THE SQUARE INC 1979 HARLEM RD BUFFALO, NY 14212-2410 US	MAINTENANCEAIN POWER VISTA DISPLAYS	S	B		N	N	2		100,000	68,087	23,977	31,913	4/6/2011	O	3/31/2015	
4600002416	COVERCO INC PO BOX 573 GRAND ISLAND, NY 14072 US	PIPE INSULATION SERVICES	S	B		N	3	1		50,000	9,075	1,150	40,925	7/6/2011	O	6/30/2015	
4600002417	BRISTOL HARBOR GROUP INC 103 POPPASQUASH RD BRISTOL, RI 02809-1018 US	NAVAL ARCHITECT	A	B		F	N	5		375,000	101,968	13,500	273,032	7/6/2011	O	6/30/2015	
4600002508	E & R INDUSTRIAL SALES INC 1800 BROADWAY ST - STE 4B BUFFALO, NY 14212 US	OUTLINE AGREEMENT FOR HAND TOOLS	E	B		F	N	3		24,000	18,633	4,719	5,367	5/1/2012	C		4/30/2014
4600002531	SHRED-IT BUFFALO 440 LAWRENCE BELL DR - STE 2 WILLIAMSVILLE, NY 14221-7057 US	PAPER RECYCLING PROGRAM	S	B		N	N	1		43,500	29,202	10,096	14,298	7/1/2012	O	6/30/2015	
4600002532	NATIONAL VACUUM CORP 408 47TH ST NIAGARA FALLS, NY 14304-2102 US	INDUSTRIAL VACUUMING SERVICES	S	B		N	N	2		700,000	251,467	121,301	448,533	7/1/2012	O	6/30/2015	
4600002600	WNY OCCUPATIONAL HEALTH CARE 621 10TH ST NIAGARA FALLS, NY 14301-1813 US	ANNUAL & PRE-EMPLOYMENT PHYSICALS	S	B		N	N	2		400,000	131,535	66,853	268,465	1/1/2013	O	12/31/2016	
4600002622	FOX FENCE INC 2637 LOCKPORT RD NIAGARA FALLS, NY 14305 US	EMERGENCY FENCE & GATE REPAIR	S	M	8	N	1	1		100,000	38,609	7,283	61,391	1/24/2013	C		1/23/2014
4600002673	SCRUFARI CONSTRUCTION CO INC 3925 HYDE PARK BLVD NIAGARA FALLS, NY 14305-1701 US	EMERGENCY UNDERGROUND PIPE & CONDUIT REPAIR SERVICE AGREEMENT	C	B		N	N	4		119,078	0	0	119,078	4/11/2013	C		4/14/2014

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4600002677	SCRUFARI CONSTRUCTION CO INC 3925 HYDE PARK BLVD NIAGARA FALLS, NY 14305-1701 US	EMERGENCY ROCKFALL REMOVAL SERVICE AGREEMENT	S	B		N	N	2		100,000	0	0	100,000	4/11/2013	C		4/14/2014
4600002709	ISLECHEM LLC 2801 LONG RD GRAND ISLAND, NY 14072 US	SPEDES SAMPLING & ANALYSIS	S	B		N	N	6		300,000	30,346	23,426	269,654	8/1/2013	O	7/31/2017	
4600002738	DCB ELEVATOR CO INC PO BOX 426 LEWISTON, NY 14092 US	FOUR (4) YEAR ELEVATOR/ESCALATOR MAINTENANCE CONTRACT	S	B	7	N	3	3		500,000	69,042	69,042	430,958	12/17/2013	O	12/31/2017	
4600002739	HANES SUPPLY INC 55 JAMES E CASEY DR BUFFALO, NY 14206-2361 US	ICE BOOM HARDWARE - THREE (3) YEAR CONTRACT	E	B		N	N	1		599,775	105,950	105,950	493,825	12/18/2013	O	12/31/2016	
4600002745	HANES SUPPLY INC 55 JAMES E CASEY DR BUFFALO, NY 14206-2361 US	ON-SITE CONSUMABLES "X-BINS"	E	B		N	N	3		524,499	131,780	131,780	392,719	1/3/2014	O	1/31/2015	
4600002767	UNITED UNIFORM CO INC 495 N FRENCH RD BUFFALO, NY 14228-2124 US	FOUR (4) YEAR SECURITY CLOTHING & SUPPLIES CONTRACT	E	B		N	N	1		60,000	2,286	2,286	57,714	4/1/2014	O	3/30/2018	
4600002771	UPSTATE METROLOGY INC 6388 NIVER RD CONESUS, NY 14435-9514 US	FOUR (4) YEAR TEST EQUIPMENT CALIBRATION & CERTIFICATION	S	B		N	N	2		60,000	15,039	15,039	44,961	3/26/2014	O	3/25/2018	
4600002773	BIDCO MARINE GROUP INC 220 KATHERINE ST BUFFALO, NY 14210-2008 US	THREE (3) YEAR PENSTOCK INSPECTION CONTRACT	S	B		N	1	3		112,500	25,000	25,000	87,500	3/26/2014	O	3/26/2017	
4600002778	NOCO ENERGY CORP 2440 SHERIDAN DR - STE 202 TONAWANDA, NY 14150-9493 US	MARINE FUEL	E	B		N	N	1		48,000	9,794	9,794	38,206	4/1/2014	O	3/31/2015	
4600002783	SCRUFARI CONSTRUCTION CO INC 3925 HYDE PARK BLVD NIAGARA FALLS, NY 14305-1701 US	EMERGENCY ROCKFALL REMOVAL ONE (1) YEAR SERVICE AGREEMENT	S	B		N	N	5		100,000	0	0	100,000	4/15/2014	O	4/14/2015	
4600002792	PINTO CONSTRUCTION SERVICES INC 1 BABCOCK ST BUFFALO, NY 14210-2250 US	ONE(1) YEAR UNDERGROUND PIPE & CONDUIT REPAIR SERVICE AGREEMENT	C	B		N	N	2		100,000	0	0	100,000	5/5/2014	O	5/4/2015	
4600002794	FASTENAL COMPANY STE 200 6000 PACKARD RD NIAGARA FALLS, NY 14304 US	TWO (2) YEAR HAND TOOLS CONTRACT	E	B		N	N	10		24,000	9,541	9,541	14,459	5/5/2014	O	5/4/2016	

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4600002814	CITY ELECTRIC CO INC 910 FACTORY OUTLET BLVD NIAGARA FALLS, NY 14304-1596 US	ELECTRICAL CONSUMABLES "X-BINS"	E	M	8	N	3	1		45,000	7,342	7,342	37,658	7/1/2014	O	6/30/2017	
4600002822	LIRO ENGINEERS INC 3 AERIAL WAY SYOSSET, NY 11791-5501 US	ENVIRONMENTAL CONSULTING SERVICES	S	B		N	N	7		250,000	0	0	250,000	8/4/2014	O	8/3/2017	
4600002823	STOHL ENVIRONMENTAL LLC 4169 ALLENDALE PKWY - STE 100 BLASDELL, NY 14219 US	ENVIRONMENTAL CONSULTING SERVICES	S	B		N	N	7		250,000	29,682	29,682	220,318	8/4/2014	O	8/3/2017	
4600002824	WATTS ARCHITECTURE & ENGINEERING DPC 95 PERRY ST - STE 300 BUFFALO, NY 14203-3030 US	ENVIRONMENTAL CONSULTING SERVICES	S	B		N	4	7		250,000	1,674	1,674	248,326	8/4/2014	O	8/3/2017	
4600002829	CB & I ENVIRONMENTAL & INFRASTRUCTURE INC 13 BRITISH AMERICAN BLVD LATHAM, NY 12110-1431 US	ZEBRA MUSSEL TESTING SERVICES	S	B		N	N	2		48,000	0	0	48,000	8/7/2014	O	8/6/2017	
4600002843	DIVAL SAFETY EQUIPMENT INC 1721 NIAGARA ST BUFFALO, NY 14207-3108 US	PRESCRIPTION & NON-PRESCRIPTION SAFETY GLASSES CONTRACT	E	B		F	N	2		15,000	1,203	1,203	13,797	9/30/2014	O	9/30/2016	
4600002844	ALLAN BAKER INC DBA KORRECT OPTICAL 3801 BISHOP LN LOUISVILLE, KY 40218-2905 US	PRESCRIPTION & NON-PRESCRIPTION SAFETY GLASSES CONTRACT	E	B		F	N	2		5,000	0	0	5,000	9/30/2014	O	9/30/2016	
4600002854	DIVAL SAFETY EQUIPMENT INC 1721 NIAGARA ST BUFFALO, NY 14207-3108 US	PORTABLE FIRE EXTINGUISHER SERVICE AGREEMENT	S	B		N	N	6		30,000	0	0	30,000	10/16/2014	O	10/15/2018	
		NIA TOTALS								15,653,878	7,968,958	5,970,306	7,684,920				

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TOTAL NUMBER OF CONTRACTS	258
TOTAL CONTRACT VALUE	$15,653,878
TOTAL EXPENDED TO DATE	$7,968,958
TOTAL EXPENDED IN REPORT YEAR	$5,970,306

STATUS SUMMARY

TOTAL NUMBER OPEN CONTRACTS	73
TOTAL NUMBER CLOSED CONTRACTS	185

METHOD OF AWARD SUMMARY

TOTAL NUMBER COMP BID	196
TOTAL NUMBER SOLE SOURCE	15
TOTAL NUMBER SINGLE SOURCE	16
TOTAL NUMBER COMP SEARCH	5
TOTAL NUMBER MWBE/SBE	26

COMMITMENT TYPE SUMMARY

TOTAL NUMBER ARCHITECTURAL & ENGINEERING SERVICES	1
TOTAL NUMBER CONSTRUCTION	12
TOTAL NUMBER EQUIP/GOODS/COMMODITY	162
TOTAL NUMBER LEGAL SERVICES	0
TOTAL NUMBER PERSONAL SERVICES	1
TOTAL NUMBER OTHER NON PERSONAL SERVICES	82

POWER AUTHORITY OF THE STATE OF NEW YORK
2014 ANNUAL REPORT OF PROCUREMENT CONTRACTS
REQUIRED BY N.Y. PUBLIC AUTHORITIES LAW, SECTION 2879

CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	TYPE	MOA	RNA	OPA	MWBE	BIDS	FRT	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2014	CONTRACT BALANCE	DATE OF CONTRACT	O\C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
4500201374	GENERAL ELECTRIC INTERNATIONAL INC 6001 TONNELLE AVE NORTH BERGEN, NJ 07047-3307 US	TECHNICAL ASSISTANCE SERVICES	S	B		F	N	1		375,000	309,051	7,960	65,949	4/1/2011	C		3/31/2014
4500226411	ATLAS CONCRETE BATCHING CORP 9511 147TH PL JAMAICA, NY 11435-4507 US	SNOW REMOVAL SERVICES	S	M	8	N	1	1		50,000	27,284	12,514	22,716	1/3/2013	C		1/3/2014
4500227429	ATLAS CONCRETE BATCHING CORP 9511 147TH PL JAMAICA, NY 11435-4507 US	CONCRETE PAD INSTALLATION FOR SCPP SECURITY GUARD BOOTHS	S	M	8	N	1	1		31,000	22,000	0	9,000	1/25/2013	C		1/24/2014
4500228464	QUINTAL CONTRACTING CORP PO BOX 427 ISLIP, NY 11751-0427 US	SNOW REMOVAL FOR FLYNN & BRENTWOOD	S	M	8	N	1	1		60,000	60,000	43,172	0	2/21/2013	C		2/20/2014
4500229038	GE OIL & GAS INC 17619 ALDINE WESTFIELD HOUSTON, TX 77073 US	GAS COMPRESSOR CONTROLS REPAIRS	S	1	8	F	N	1		62,760	53,545	53,545	9,215	3/6/2013	C		4/2/2014
4500229453	GRUNAU COMPANY INC 8302 SOUTHERN BLVD BOARDMAN, OH 44512 US	FIRE PANEL NETWORK TROUBLESHOOTING AND REPAIRS	S	1		F	N	1		70,000	70,000	46,220	0	3/14/2013	C		3/12/2014
4500229614	NATIONAL GRID CORPORATE SERVICES NATIONAL GRID ENVIRONMNTAL ANALYSIS 175 E OLD COUNTRY RD HICKSVILLE, NY 11801-4257 US	NATURAL GAS SAMPLING SERVICES - SENY	S	B		N	N	4		44,450	29,700	19,600	14,750	4/1/2013	C		3/31/2014
4500229645	INDUSTRIAL SOLUTIONS OF NY INC 5946 56TH RD MASPETH, NY 11378-2330 US	EVAP CONDENSER FAN BLADE REPLACEMENT	S	B		N	N	6		180,000	144,475	0	35,525	3/19/2013	C		3/7/2014
4500231254	NYS INDUSTRIES FOR THE DISABLED INC 11 COLUMBIA CIR ALBANY, NY 12203-5156 US	CONTRACT LANDSCAPING/GROUNDKEEPER	S	B	4	N	N	1		44,370	44,370	3,382	0	5/1/2013	C		4/30/2014
4500232993	LEISTRITZ CORP 165 CHESTNUT ST ALLENDALE, NJ 7401 US	FUEL INJECTION PUMP	E	B	5	F	N	1	*	10,501	10,501	10,501	0	6/14/2013	C		4/10/2014
4500233167	NYS INDUSTRIES FOR THE DISABLED INC 11 COLUMBIA CIR ALBANY, NY 12203-5156 US	CONTRACT LANDSCAPING/GROUNDKEEPER	S	B	4	N	N	1		44,854	43,533	30,248	1,321	6/20/2013	C		6/19/2014

POWER AUTHORITY OF THE STATE OF NEW YORK
2014 ANNUAL REPORT OF PROCUREMENT CONTRACTS
REQUIRED BY N.Y. PUBLIC AUTHORITIES LAW, SECTION 2879

CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	TYPE	MOA	RNA	OPA	MWBE	BIDS	FRT	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2014	CONTRACT BALANCE	DATE OF CONTRACT	O\C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
4500233288	ALSTOM POWER INC 2800 WATERFORD LAKE DR MIDLOTHIAN, VA 23112-3981 US	TECHNICAL SERVICES FROM ALSTOM REPRESENT	S	1	5	F	N	1		13,225	12,065	0	1,160	6/17/2013	C		4/28/2014
4500235104	FRESH MEADOW POWER LLC 6501 FRESH MEADOW LN FRESH MEADOWS, NY 11365-2011 US	RAW WATER PIPING REPLACEMENT PROJECT	S	B		N	N	4		99,000	96,421	96,421	2,579	8/9/2013	C		3/12/2014
4500235516	NUOVO PARTS INC 1465 SLATER RD FERNDALE, WA 98248-8919 US	BULL GEAR ASSEMBLY	E	1	8	F	N	1		90,000	82,102	82,102	7,898	8/21/2013	C		9/23/2014
4500236390	HAYES PUMP INC 295 FAIRFIELD AVE FAIRFIELD, NJ 07004-3833 US	GOULD PUMP PARTS	E	B		F	N	2	*	65,987	65,987	39,421	0	9/12/2013	C		1/8/2014
4500236537	BABCOCK & WILCOX POWER GENERATION GROUP INC 2849 STERLING DR HATFIELD, PA 19440-1956 US	CONTINUOUS EMISSIONS MONITORING SYSTEMS SOFTWARE OFF SITE SUPPORT	S	B		F	N	1		104,520	104,520	0	0	9/17/2013	C		9/4/2014
4500236911	SARA L MENDELSOHN MD PLLC 800 WOODBURY RD - STE K WOODBURY, NY 11797-2592 US	2014 FLYNN EMPLOYEE ANNUAL PHYSICALS	S	B	5	N	N	1		14,000	9,825	9,825	4,175	9/26/2013	C		12/24/2014
4500237290	ACME INDUSTRIAL INC 326-328 FRONT ST STATEN ISLAND, NY 10304 US	GENERAL WELDING AND MACHINE SHOP SERVICES FOR THE SCPP'S	S	M	8	N	1	1		187,000	147,186	87,731	39,814	10/15/2013	C		10/14/2014
4500237306	THYSSENKRUPP ELEVATOR CORP 59 OTIS ST WEST BABYLON, NY 11704-1415 US	ELEVATOR MAINTENANCE CONTRACT	S	B	7	N	N	1		50,000	19,234	19,234	30,766	10/8/2013	C		10/14/2014
4500237607	SIRINA PROTECTION SYSTEMS CORP 112 W 34TH ST - STE 2102 NEW YORK, NY 10120-2401 US	FIRE PROTECTION SYSTEM REPAIRS	S	M	8	N	N	1		60,000	40,783	15,811	19,217	10/16/2013	C		10/15/2014
4500237814	DAIKIN APPLIED AMERICAS INC 13600 INDUSTRIAL PARK BLVD MINNEAPOLIS, MN 55441-3743 US	REBUILT BOARDS FOR GATEWAY CHILLER MFG: REAL TIME AUTOMATION	E	B	5	N	N	3		6,944	6,944	6,944	0	10/22/2013	C		4/17/2014
4500238339	C G POWERTECH INC 216 NEWARK PROMPTON TPKE PEQUANNOCK, NJ 7440 US	SEAL RING AND DISC SEAL	E	B	5	N	N	3	*	11,052	11,052	11,052	0	11/4/2013	C		2/21/2014
4500238559	NUOVO PARTS INC 1465 SLATER RD FERNDALE, WA 98248-8919 US	LS SHAFT LABIRINTH OIL SEAL	E	B	5	N	N	3	*	11,800	11,800	11,800	0	11/7/2013	C		4/29/2014

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4500238660	GE PACKAGED POWER INC 16415 JACINTOPORT BLVD BUILDING "B" HOUSTON, TX 77015 US	HOSE, PA UPGRADE, GAS FUEL	E	B	5	F	N	3	*	8,255	8,255	8,255	0	11/12/2013	C		1/7/2014
4500238694	AMETEK POWER INSTRUMENTS 255 N UNION ST ROCHESTER, NY 14605-2644 US	JEMSTAR METERS	E	B	5	N	N	4	*	14,046	14,046	14,046	0	11/13/2013	C		1/15/2014
4500239084	GENERAL ELECTRIC INTERNATIONAL INC 6001 TONNELLE AVE NORTH BERGEN, NJ 07047-3307 US	WATER INJECTION PIPING	E	B	5	F	N	4	*	11,210	11,210	11,210	0	11/22/2013	C		8/11/2014
4500239200	SIRIO SISTEMI ELETTRONICI SPA VIA DEL LAZZERETTO 92 PRATO, PO 59100 IT	ON-SITE SERVICE - GAS COMPRESSOR CONTROLS	S	2	8	F	N	1		50,000	33,929	33,929	16,071	11/26/2013	C		4/14/2014
4500239441	NUOVO PARTS INC 1465 SLATER RD FERNDALE, WA 98248-8919 US	BEARING, JOURNAL, LS SHAFT SPEED INCREASING GEAR	E	B	5	F	N	3	*	6,552	6,552	6,552	0	12/5/2013	C		7/2/2014
4500239501	ACFM DYNAMICS LLC PO BOX 386 MARION, CT 06444-0386 US	OFF-SITE REPAIR OF HI PRESSURE BLOWERS	S	B	5	F	N	3	*	12,929	12,929	12,929	0	12/6/2013	C		6/26/2014
4500239874	JANSEN'S AIRCRAFT SYSTEM CONTROLS INC 2303 WEST ALAMEDA DR TEMPE, AZ 85282 US	REFURBISH FOUR 3 WAY FUEL VALVES	E	B	5	F	N	3	*	7,419	7,419	7,419	0	12/17/2013	C		2/19/2014
4500239898	WORLD WIDE GAS TURBINE PRODUCTS INC 300 COMMERCE DR CLIFTON PARK, NY 12065-1317 US	SPARK PLUG, SPRING POSITION GE PN 188D9489G005 AND FLEXIBLE LEAD IGNITION	E	B	5	N	1	3		5,302	5,302	5,302	0	12/17/2013	C		2/12/2014
4500240114	FILCO CARTING CORP 111 GARDNER AVE BROOKLYN, NY 11237-1307 US	RUBBISH REMOVAL SERVICES	S	B	7	N	N	1		48,750	6,431	6,431	42,319	1/1/2014	C		12/31/2014
4500240528	GE INFRASTRUCTURE INC WATER & PROCESS TECHNOLOGIES 4636 SOMERTON RD TREVOSE, PA 19053 US	CHEMICAL WATER TREATMENT CONTROL IS 104	E	B	5	F	N	3		7,297	7,297	7,297	0	1/9/2014	C		1/29/2014
4500240644	3 GALS INDUSTRIAL LLC PO BOX 177 OYSTER BAY, NY 11771-0177 US	ELECTRICAL SUPPLIES	E	B	5	N	3	3		12,836	12,836	12,836	0	1/13/2014	C		1/21/2014
4500240710	TRC ENVIRONMENTAL CORP 21 GRIFFIN RD N WINDSOR, CT 06095-1512 US	500 MW RATE TESTING	P	B	6	F	N	4		15,489	15,489	15,489	0	1/14/2014	C		4/17/2014

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4500240732	EMERACHEM LLC 1729 LOUISVILLE DR KNOXVILLE, TN 37921 US	CO CATALYST MODULES	E	B		F	N	2		285,679	284,998	284,998	681	1/14/2014	C		3/14/2014
4500240751	TRC ENVIRONMENTAL CORP 21 GRIFFIN RD N WINDSOR, CT 06095-1512 US	RATA TESTING FOR GOWANUS 6	S	B	6	F	N	3		11,300	9,800	9,800	1,500	1/15/2014	C		3/31/2014
4500240805	PRO-QUIP INC 4 MARK RD - STE C KENILWORTH, NJ 07033-1025 US	POSITIONER, DIGITAL W/ FEEDBACK 3200MD	E	B	5	F	N	3	*	5,637	5,637	5,637	0	1/16/2014	C		1/21/2014
4500240808	FLOWSERVE PUMP CORP FORMERLY INGERSOLL-DRESSER PUMP CO P O BOX 3565 SCRANTON, PA 18505-3565 US	SEAL, MECHANICAL	E	B	5	F	N	3	*	8,441	8,441	8,441	0	1/16/2014	C		6/17/2014
4500240921	ATLAS CONCRETE BATCHING CORP 9511 147TH PL JAMAICA, NY 11435-4507 US	SNOW REMOVAL SERVICES	S	M	8	N	1	1		50,000	0	0	50,000	1/20/2014	O	1/19/2015	
4500240931	GE INFRASTRUCTURE INC WATER & PROCESS TECHNOLOGIES 4636 SOMERTON RD TREVOSE, PA 19053 US	CONTROL WATER TREATMENT	E	B	5	F	N	3		14,595	14,595	14,595	0	1/20/2014	C		2/5/2014
4500241111	AERODERIVATIVE GAS TURBINE SUPPORT INC 1141 S ROGERS CIR - STE 11 BOCA RATON, FL 33487-2789 US	IGNITER PLUG	E	B	5	F	N	3	*	6,470	6,470	6,470	0	1/23/2014	C		2/28/2014
4500241221	RUGGLES-KLINGEMANN MFG CO INC 78 WATER ST BEVERLY, MA 01915-5027 US	SOLENOID VALVES	E	B	6	F	N	3	*	10,944	10,944	10,944	0	1/27/2014	C		2/19/2014
4500241273	VALVE INDUSTRIES INC 4 SLATE CT - APT D-2 WOODLAND PARK, NJ 07424-4247 US	COMPRESSOR DRIVELINE	E	B		F	N	3		109,985	109,985	109,985	0	1/28/2014	C		3/31/2014
4500241278	FLOWSERVE PUMP CORP FORMERLY INGERSOLL-DRESSER PUMP CO P O BOX 3565 SCRANTON, PA 18505-3565 US	SEAL, MECHANICAL	E	B	5	F	N	3	*	8,441	8,441	8,441	0	1/28/2014	C		6/17/2014

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4500241310	TMEC PROCESS FILTRATION LLC THERMAL & MECHANICAL EQUIPMENT LLC 1423 E RICHEY RD HOUSTON, TX 77073-3508 US	DEMIN NOX FILTERS	E	B	5	F	N	3	*	5,186	5,186	5,186	0	1/28/2014	C		2/19/2014
4500241313	PCB PIEZOTRONICS INC 3425 WALDEN AVE DEPEW, NY 14043 US	STOCK ELECTRICAL PARTS	E	B	5	F	N	3	*	14,934	14,934	14,934	0	1/28/2014	C		4/8/2014
4500241315	GE ENERGY SERVICES INC 1040 E ERIE AVE PHILADELPHIA, PA 19124-5414 US	REMOTE RACKING DEVICE	E	B	5	F	N	3		6,536	6,236	6,236	300	1/28/2014	C		4/9/2014
4500241316	ELECTRO INDUSTRIES 1800 SHAMES DR WESTBURY, NY 11590 US	NEXUS METER	E	B	5	N	N	3	*	14,236	14,236	14,236	0	1/28/2014	C		4/1/2014
4500241318	A & M INDUSTRIAL SUPPLY CO 1414 CAMPBELL ST - PO BOX 1044 RAHWAY, NJ 07065-1044 US	SPACE HEATER FOR TURBINE AND GENERATOR COMPARTMENT	E	B	5	N	N	3		7,312	7,312	7,312	0	1/28/2014	C		2/28/2014
4500241425	TURBINE TECHNICS INC 1200 S ROGERS CIR - STE 10 BOCA RATON, FL 33487-5703 US	EXCITER, IGNITION	E	B		F	N	4	*	32,339	32,339	32,339	0	1/30/2014	C		3/4/2014
4500241439	AERODERIVATIVE GAS TURBINE SUPPORT INC 1141 S ROGERS CIR - STE 11 BOCA RATON, FL 33487-2789 US	ACCELEROMETER	E	B	5	F	N	3	*	12,769	12,769	12,769	0	1/30/2014	C		2/28/2014
4500241616	POWERTECH CONTROLS CO INC 909 MOTOR PKWY HAUPPAUGE, NY 11788-5250 US	POWERTECH SPARE PARTS	E	B		N	3	1	*	54,574	54,574	54,574	0	2/4/2014	C		9/26/2014
4500241682	F & F INDUSTRIAL EQUIPMENT CORP 195 TOWER DR MIDDLETOWN, NY 10941-2034 US	DRAIN BELLOWS	E	B	5	N	1	3		10,350	10,247	10,247	103	2/6/2014	C		5/1/2014
4500241827	PCB PIEZOTRONICS INC 3425 WALDEN AVE DEPEW, NY 14043 US	SWITCH BOX, SENSOR,HI TEMP CABLES	E	B	5	F	N	3	*	9,823	9,823	9,823	0	2/11/2014	C		4/8/2014
4500241828	GE INFRASTRUCTURE INC WATER & PROCESS TECHNOLOGIES 4636 SOMERTON RD TREVOSE, PA 19053 US	CHEMICAL WATER TREATMENT, CORRSHIELD MD4100	E	B	5	N	N	3	*	6,015	6,015	6,015	0	2/11/2014	C		3/3/2014

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4500241893	THERMO ENVIRONMENTAL INSTRUMENTS INC 27 FORGE PKWY FRANKLIN, MA 02038-3135 US	CEMS ANALYZER PARTS	E	B	6	F	N	3	*	10,773	10,773	10,773	0	2/12/2014	C		3/28/2014
4500242043	NUOVO PARTS INC 1465 SLATER RD FERNDALE, WA 98248-8919 US	SHAFT LABIRINTH OIL SEAL	E	B	5	F	N	3	*	5,759	5,759	5,759	0	2/18/2014	C		9/18/2014
4500242058	AERODERIVATIVE GAS TURBINE SUPPORT INC 1141 S ROGERS CIR - STE 11 BOCA RATON, FL 33487-2789 US	DETECTOR, OPTICAL FLAME WILSON PN#X9800(9008700-006 & 007290-001)	E	C	5	F	N	3	*	10,104	10,104	10,104	0	2/18/2014	C		4/9/2014
4500242269	KJ ELECTRIC CO PO BOX 160 - 5894 E MOLLOY RD SYRACUSE, NY 13206-0160 US	2500 HP TECO/WESTINGHOUSE MOTOR	E	B		N	N	6		97,910	97,910	97,910	0	2/21/2014	C		10/28/2014
4500242326	RORAIMA CONSULTING INC 1 CROSS ISLAND PLZ - STE 114 ROSEDALE, NY 11422-3465 US	POWELL SEE/SFC BREAKER	E	B		N	4	3		20,545	19,995	19,995	550	2/24/2014	C		6/6/2014
4500242400	AMETEK POWER INSTRUMENTS 255 N UNION ST ROCHESTER, NY 14605-2644 US	WATT TRANSDUCER	E	B	5	N	N	3	*	12,510	12,510	12,510	0	2/25/2014	C		4/2/2014
4500242514	SLOAN BROTHERS CO 168 ARMSTRONG DR FREEPORT, PA 16229-2616 US	WATCHMEN LUBRICATION SYSTEMS	E	B		F	N	1		27,152	27,152	27,152	0	2/27/2014	C		3/21/2014
4500242593	TRANSCANADA TURBINES INC 22 GILDNER RD CENTRAL SQUARE, NY 13036 US	STRAINER FOR WATER WASH AND FILTER	E	C	5	F	N	3	*	12,535	12,535	12,535	0	3/3/2014	C		5/1/2014
4500242738	NOONEY CONTROLS CORP 4511 HARLEM RD AMHERST, NY 14226 US	STOP VALVE WITH ACTUATOR ASSEMBLY	E	C	5	N	N	3	*	7,886	7,886	7,886	0	3/5/2014	C		7/3/2014
4500242791	GROOME INDUSTRIAL SERVICE GROUP INC 155 FRANKLIN TPKE WALDWICK, NJ 07463-1816 US	HRSG CRYOGENIC (CO2) CLEANING	S	B		F	N	1		74,440	74,440	74,440	0	3/5/2014	C		5/2/2014
4500243005	AERODERIVATIVE GAS TURBINE SUPPORT INC 1141 S ROGERS CIR - STE 11 BOCA RATON, FL 33487-2789 US	VALVE, SOLENOID - GAX VENT MFG; CIRCLE SEAL 32861-626	E	C	5	F	N	3	*	5,777	5,777	5,777	0	3/12/2014	C		8/26/2014
4500243055	SCHWEITZER ENGINEERING LAB INC C/O ROBINSON SALES INC 1240 ASHBRIDGE RD WEST CHESTER, PA 19380 US	RELAY	E	C	5	N	N	3		12,345	12,345	12,345	0	3/13/2014	C		4/24/2014

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4500243189	AERODERIVATIVE GAS TURBINE SUPPORT INC 1141 S ROGERS CIR - STE 11 BOCA RATON, FL 33487-2789 US	T48 THERMOCOUPLE, CABLE EXCITER TO IGNITER	E	C	5	N	N	3	*	12,874	12,874	12,874	0	3/17/2014	C		4/16/2014
4500243202	DONALDSON CO INC PO BOX 1299 MINNEAPOLIS, MN 55440-1299 US	AIR FILTER HOUSE NETCON UNITS	E	B	5	F	N	3		12,595	12,595	12,595	0	3/18/2014	C		5/7/2014
4500243206	POWERTECH CONTROLS CO INC 909 MOTOR PKWY HAUPPAUGE, NY 11788-5250 US	SERVICE FOR REMOTE BREAKER RACKING SYSTEMS FOR FLYNN & BRENTWOOD	S	B		N	3	4	*	148,290	148,290	148,290	0	3/18/2014	O	3/17/2015	
4500243229	A & M INDUSTRIAL SUPPLY CO 1414 CAMPBELL ST - PO BOX 1044 RAHWAY, NJ 07065-1044 US	SPACE HEATER TURBINE AND GENERATOR COMPARTMENT EXPLOSION PROOF RUFFNECK	E	C	5	N	N	3		7,312	7,312	7,312	0	3/18/2014	C		4/8/2014
4500243291	F & F INDUSTRIAL EQUIPMENT CORP 195 TOWER DR MIDDLETOWN, NY 10941-2034 US	PRESSURE TRANSMITTER AMETEK	E	C	5	N	1	3		6,590	6,524	6,524	66	3/19/2014	C		6/6/2014
4500243355	ITRON INC ENERGY INFORMATION SYSTEMS 4401 BLAND RD RALEIGH, NC 27609 US	ANNUAL MAINTENANCE	S	1	8	F	N	1		52,480	52,480	52,480	0	6/1/2014	O	5/31/2015	
4500243468	MITSUBISHI HITACHI POWER SYSTEMS AMERICA INC-ORLANDO SERVICE CENTER 2287 PREMIER ROW ORLANDO, FL 32809-6211 US	GOVERNING VALVE SERVOMOTOR LIMIT SWITCH	E	B	5	F	N	3		8,385	0	0	8,385	3/25/2014	O	2/28/2015	
4500243469	RUGGLES-KLINGEMANN MFG CO INC 78 WATER ST BEVERLY, MA 01915-5027 US	VALVE, SOLENOID, ENERGIZED TO CLOSE 160 PSIG LUB OIL	E	C	5	F	N	3		5,369	5,369	5,369	0	3/25/2014	C		4/28/2014
4500243643	PRO-QUIP INC 4 MARK RD - STE C KENILWORTH, NJ 07033-1025 US	POSITIONER MOUNTING KIT, POSITINERO DIGITAL W. FEEDBACK	E	C	5	F	N	3		6,080	6,035	6,035	45	3/28/2014	C		4/8/2014
4500243730	NYS INDUSTRIES FOR THE DISABLED INC 11 COLUMBIA CIR ALBANY, NY 12203-5156 US	LANDSCAPING SERVICES	S	B	4	N	N	1		44,113	29,760	29,760	14,353	4/1/2014	O	4/30/2015	
4500243786	ROSEMOUNT INC 8200 MARKET BLVD MAIL STATION PK16 CHANHASSEN, MN 55317-9687 US	PRESSURE TRANSMITTER	E	C	5	F	N	3	*	8,018	8,018	8,018	0	4/2/2014	C		6/13/2014

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4500243792	ELECTRO INDUSTRIES 1800 SHAMES DR WESTBURY, NY 11590 US	METER, DIGITAL, REVENUE NEXUS, METER, REVENUE IN-A-BASE ADAPTER	E	C	5	N	N	3	*	13,216	13,216	13,216	0	4/2/2014	C		4/23/2014
4500243833	NYS INDUSTRIES FOR THE DISABLED INC 11 COLUMBIA CIR ALBANY, NY 12203-5156 US	CONTRACT LANDSCAPING/GROUNDKEEPER	S	B	4	N	N	1		40,507	14,006	14,006	26,501	6/20/2014	O	6/19/2015	
4500243877	F & F INDUSTRIAL EQUIPMENT CORP 195 TOWER DR MIDDLETOWN, NY 10941-2034 US	FIRE DETECTION EQUIPMENT	E	C	5	N	1	3		6,120	6,059	6,059	61	4/3/2014	C		4/9/2014
4500243902	EAGLE BURGMANN INDUSTRIES LP 10035 BROOKRIVER DR HOUSTON, TX 77040 US	SEAL, MECHANICAL, NON-DRIVE END FOR WEIR BOILER FEED PUMP	E	C	5	F	N	3	*	8,319	8,319	8,319	0	4/4/2014	C		5/27/2014
4500243957	AERODERIVATIVE GAS TURBINE SUPPORT INC 1141 S ROGERS CIR - STE 11 BOCA RATON, FL 33487-2789 US	THERMOCOUPLE, T48, 1696M	E	C	5	F	N	3	*	7,368	7,368	7,368	0	4/7/2014	C		4/11/2014
4500243962	GE PACKAGED POWER INC 16415 JACINTOPORT BLVD BUILDING "B" HOUSTON, TX 77015 US	WATER, INJECTION BLOCK MANIFOLD GE	E	C	5	F	N	3	*	7,111	7,111	7,111	0	4/7/2014	C		4/16/2014
4500243978	THYSSENKRUPP ELEVATOR CORP 59 OTIS ST WEST BABYLON, NY 11704-1415 US	ELEVATOR MODERNIZATION	S	B	7	N	N	5		272,090	81,627	81,627	190,463	6/1/2014	O	5/31/2015	
4500244008	F & F INDUSTRIAL EQUIPMENT CORP 195 TOWER DR MIDDLETOWN, NY 10941-2034 US	HYDROGEN PURITY METER, MONITOR	E	B	5	F	1	3		13,290	13,157	13,157	133	4/8/2014	C		5/15/2014
4500244009	PRO EQUIP INC 919 HIGHWAY 33 - STE 25 FREEHOLD, NJ 07728-8468 US	84 PLATES W/NITRILE GASKET	E	C	5	F	N	3	*	12,613	12,613	12,613	0	4/7/2014	C		5/2/2014
4500244287	CONSERVE ELECTRICAL SUPPLY CORP 39-05 CRESCENT ST LONG ISLAND CITY, NY 11101-1025 US	SITE LED LIGHT, GREEN	E	B	5	N	N	3		7,726	7,726	7,726	0	4/15/2014	C		5/7/2014
4500244335	ELECTRO INDUSTRIES 1800 SHAMES DR WESTBURY, NY 11590 US	METER, NEXUS, COMB.CARD MODEM/ETHERNET	E	C	5	N	N	3	*	14,256	14,256	14,256	0	4/16/2014	C		5/14/2014

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4500244412	F & F INDUSTRIAL EQUIPMENT CORP 195 TOWER DR MIDDLETOWN, NY 10941-2034 US	OGS PALLET RACKING	E	B	7	N	1	1		19,094	18,903	18,903	191	4/17/2014	C		6/7/2014
4500244428	HACH CHEMICAL CO PO BOX 389 LOVELAND, CO 80539 US	METER, FLOW AND PROBE, PH/TEMPERATURE	E	C	5	F	N	3		6,883	6,883	6,883	0	4/18/2014	C		5/14/2014
4500244464	PULSAR FEEDER INC C/O VALLEY TECH SALES INC PO BOX 124 WHITEHOUSE STATION, NJ 8889 US	PUMP, DIAPHRAGM METERING	E	B	5	F	N	3	*	10,132	10,132	10,132	0	4/21/2014	C		5/28/2014
4500244473	GEA REFRIGERATION NORTH AMERICA INC FORMERLY GEA FES INC 3475 BOARD RD YORK, PA 17406-8414 US	GEA MICROLINK INFIX SCADA SYSTEM UPGRADE	S	2	8	F	N	1		98,363	0	0	98,363	4/21/2014	O	4/1/2015	
4500244788	AERODERIVATIVE GAS TURBINE SUPPORT INC 1141 S ROGERS CIR - STE 11 BOCA RATON, FL 33487-2789 US	TRANSMITTER, PRESSURE-THRUST BALANCE	E	C	5	F	N	3	*	9,856	9,856	9,856	0	4/29/2014	C		11/5/2014
4500244987	LUFKIN INDUSTRIES LLC DBA FOR DRUCK INC PO BOX 849 LUFKIN, TX 75902-0849 US	HIGH SPEED PINION GEAR BALANCING	S	C	5	F	N	3		6,150	6,150	6,150	0	5/5/2014	C		7/11/2014
4500245077	HI VAC SPECIALISTS 109 FARMHOUSE DR GREENTOWN, PA 18426 US	ACC LEAK DETECTION SERVICES	S	1	6	N	N	1		6,484	6,484	6,484	0	10/16/2014	C		10/28/2014
4500245087	NUOVO PARTS INC 1465 SLATER RD FERNDALE, WA 98248-8919 US	POSITIONER, PNEUMATIC ACTUATOR	E	C	5	F	N	3	*	5,442	5,442	5,442	0	5/7/2014	C		8/25/2014
4500245173	LEPPERT-NUTMEG INC 113 W DUDLEY TOWN RD BLOOMFIELD, CT 06002-1379 US	GE ELECTRIC MOTOR	E	B		F	N	5		65,383	65,383	65,383	0	5/8/2014	C		10/6/2014
4500245177	SULZER PUMPS HOUSTON INC 800 KOOMEY RD BROOKSHIRE, TX 77423 US	OFF SITE MBFP REPAIR	S	C		F	N	4		112,227	112,227	112,227	0	5/8/2014	C		7/25/2014
4500245369	CLYDE UNION INC 4600 W DICKMAN RD BATTLE CREEK, MI 49037-7325 US	ROTATING ELEMENT	E	B		F	N	1		79,913	0	0	79,913	5/14/2014	C		12/17/2014
4500245499	NALCO COMPANY 544 HALFWAY HOUSE RD WINDSOR LOCKS, CT 06096-1502 US	CONTROLLING, COOLING TOWER	E	C	5	F	N	3		9,335	9,319	9,319	16	5/19/2014	C		8/6/2014

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4500245503	NUOVO PARTS INC 1465 SLATER RD FERNDALE, WA 98248-8919 US	PINS, SEAL, OIL, BEARING	E	C	5	F	N	3		12,926	0	0	12,926	5/19/2014	C		11/5/2014
4500245561	ELECTRO INDUSTRIES 1800 SHAMES DR WESTBURY, NY 11590 US	METER, NEXUS	E	B	5	N	N	3	*	10,702	10,702	10,702	0	5/20/2014	C		7/3/2014
4500245725	EAGLEBURGMANN KE INC DBA EAGLEBURGMANN EJS 10038 MARATHON PKWY LAKESIDE, CA 92040-2771 US	BELLOWS, DRAIN	E	B	5	F	N	3	*	6,161	6,161	6,161	0	5/23/2014	C		9/4/2014
4500245740	AVOGADRO ENVIRONMENTAL CORP 1350 SULLIVAN TRL - STE A EASTON, PA 18040-1185 US	HEATED SAMPLE LINE REPLACEMENT FOR THE SCPP'S	S	B		F	N	2		63,735	63,735	63,735	0	5/23/2014	C		8/12/2014
4500245794	AERODERIVATIVE GAS TURBINE SUPPORT INC 1141 S ROGERS CIR - STE 11 BOCA RATON, FL 33487-2789 US	LP SPEED SENSOR	E	B	5	F	N	3	*	10,972	10,972	10,972	0	5/27/2014	C		6/30/2014
4500246028	CONTROL ASSOCIATES INC 20 COMMERCE DR - PO BOX 279 ALLENDALE, NJ 07401-1600 US	475 FIELD COMMUNICATOR	E	B	5	F	N	3	*	5,191	5,191	5,191	0	6/2/2014	C		6/19/2014
4500246090	VALVE & INSTRUMENT EXPRESS LLC 570 MORNINGSIDE DR BRIDGEWATER, NJ 08807-2337 US	FLAME SCANNER	E	B	5	F	N	3	*	6,123	6,123	6,123	0	6/4/2014	C		7/7/2014
4500246173	VALVE INDUSTRIES INC 4 SLATE CT - APT D-2 WOODLAND PARK, NJ 07424-4247 US	GAUGES	E	B	5	F	N	3	*	7,089	7,089	7,089	0	6/5/2014	C		7/8/2014
4500246219	GE PACKAGED POWER INC 16415 JACINTOPORT BLVD BUILDING "B" HOUSTON, TX 77015 US	11TH STAGE STAGE KIT, END USE GAS TURBINE	E	C	5	F	N	3	*	14,407	14,407	14,407	0	6/6/2014	C		7/3/2014
4500246220	NORTH EAST TECHNICAL SALES 171 RUTH RD HARLEYSVILLE, PA 19438 US	COMPLETE NH3 SENSOR JUNCTION, TRANSMITTER, AND SENSOR	E	C	5	F	N	3	*	7,886	7,886	7,886	0	6/6/2014	C		8/15/2014
4500246225	PRO-TECH SOLUTIONS LLC A DIV OF CONTROL ASSOCIATES 20 COMMERCE DR ALLENDALE, NJ 07401-1638 US	ASHCROFT GAUGES	E	B		F	N	7	*	11,508	11,508	11,508	0	6/6/2014	C		8/15/2014
4500246333	AMETEK POWER INSTRUMENTS 255 N UNION ST ROCHESTER, NY 14605-2644 US	JEMSTAR METER MFG: AMETEC	E	C	5	N	N	3	*	10,754	10,754	10,754	0	6/10/2014	C		7/2/2014

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4500246520	ELECTRO INDUSTRIES 1800 SHAMES DR WESTBURY, NY 11590 US	METER, DIGITAL REVENUE NEXUS 1500 UCB, MFG: ELECTRO INDUSTRIES MFG: 1500-D2-60-20-V1-4859-X-X-X	E	C	5	N	N	3	*	13,204	13,204	13,204	0	6/16/2014	C		6/23/2014
4500246524	AMETEK POWER INC C/O VALLEY TECHNICAL SALES 1 HOLLYWOOD AVE - STE 2A HO HO KUS, NJ 7423 US	SENSOR, FLAME, TURBINE MFG: AMETEK	E	C	5	F	N	3	*	6,785	6,785	6,785	0	6/16/2014	C		10/15/2014
4500246595	SPX COOLING TECHNOLOGIES INC 30 GLENN ST - STE 403 WHITE PLAINS, NY 10603-3245 US	GEAREDUCER ASSEMBLY, 2400 SERIES, 4,10 RATIO	E	C	5	N	N	3		13,512	13,512	13,512	0	6/17/2014	C		6/25/2014
4500246599	CLYDE UNION INC 4600 W DICKMAN RD BATTLE CREEK, MI 49037-7325 US	O-RING, BACK-UP	E	B	5	F	N	3		6,090	0	0	6,090	6/17/2014	C		11/3/2014
4500246600	NUOVO PARTS INC 1465 SLATER RD FERNDALE, WA 98248-8919 US	FUEL GAS BOOSTER COMPRESSOR PARTS	E	B	5	F	N	3		10,342	3,475	3,475	6,867	6/17/2014	C		12/24/2014
4500246786	CEMTEK SYSTEMS INC 2013 SOUTH WOOD AVE LINDEN, NJ 7036 US	REPLACEMENT OF THE CONTINUOUS OPACITY MONITORING SYSTEM	S	B		F	N	2		34,837	0	0	34,837	6/23/2014	O	5/30/2015	
4500246829	ROSEMOUNT INC 8200 MARKET BLVD MAIL STATION PK16 CHANHASSEN, MN 55317-9687 US	PRESSURE TRANSMITTER	E	C	5	F	N	2	*	8,019	8,019	8,019	0	6/23/2014	C		7/10/2014
4500246888	ADVANCED FLUID SYSTEMS INC PO BOX 360 - 292 GREEN ST ROYERSFORD, PA 19468-0360 US	MOOG VALVES	E	B		F	N	3	*	17,502	17,502	17,502	0	6/24/2014	C		9/10/2014
4500247145	AERODERIVATIVE GAS TURBINE SUPPORT INC 1141 S ROGERS CIR - STE 11 BOCA RATON, FL 33487-2789 US	PROFACE P/APL3600-KA-CM18-2P-1G-XM250-M-12.1 IN" KEYPAD, MOUSE TOUCH IPC, INTEL CELERON M 1.86GHZ CPU;1GB DRA, WINDOWS XP MULTI	E	C	5	F	N	3	*	5,279	5,279	5,279	0	7/1/2014	C		8/18/2014
4500247156	AMETEK POWER INSTRUMENTS 255 N UNION ST ROCHESTER, NY 14605-2644 US	PRESSURE TRANSMITTER 0-500 PSIA MODEL PA 3000-500-48-13-21-01-93	E	C	5	N	N	3		5,652	0	0	5,652	7/1/2014	C		12/18/2014
4500247418	PROTON ENERGY SYSTEMS INC 10 TECHNOLOGY DR WALLINGFORD, CT 06492-1955 US	POWER SUPPLY, 100V AT 55 AMP, KIT, ANNUAL MAINTENACE	E	C	5	F	N	3	*	6,541	6,541	6,541	0	7/10/2014	C		8/1/2014
4500247597	ICL-IP AMERICA INC 622 EMERSON RD CREVE COEUR, MO 63141-6742 US	55 GALLON DRUM FRYQUEL 220 FIRE RESISTANT HYDRAULIC FLUID	E	B	5	F	N	3	*	9,294	9,294	9,294	0	7/15/2014	C		10/7/2014

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4500247603	AIRLINE HYDRAULICS CORP 3557 PROGRESS DR BENSALEM, PA 19020-5896 US	HYDRAULIC SERVOS FOR ST MAIN STEAM STOP VALVE	E	1	5	F	N	1	*	13,146	13,146	13,146	0	7/15/2014	C		8/19/2014
4500247652	ARKWIN INDUSTRIES INC 686 MAIN ST WESTBURY, NY 11590 US	VBV ACTUATOR EXCHANGE	E	B	5	N	N	4		5,950	5,950	5,950	0	7/17/2014	C		12/17/2014
4500247676	SIRIO SISTEMI ELETTRONICI SPA VIA DEL LAZZERETTO 92 PRATO, PO 59100 IT	ON-SITE SERVICE - GAS COMPRESSOR CONTROLS	S	2	8	F	N	1		50,000	23,820	23,820	26,180	7/17/2014	C		10/1/2014
4500247745	NUOVO PARTS INC 1465 SLATER RD FERNDALE, WA 98248-8919 US	L.S. SHAFT JOURNAL/ THRUST BEARING	E	B	5	F	N	3		14,998	0	0	14,998	7/21/2014	O	2/9/2015	
4500247782	INTEROCEAN SYSTEMS INC 3738 RUFFIN RD SAN DIEGO, CA 92123-1812 US	SLICK SLEUTH OIL SPILL MONITORING SYSTEM	E	B	5	F	N	3	*	7,049	7,049	7,049	0	7/22/2014	C		10/31/2014
4500247877	C G POWERTECH INC 216 NEWARK PROMPTON TPKE PEQUANNOCK, NJ 7440 US	ADAM VALVES	E	C	5	F	N	3	*	9,432	9,432	9,432	0	7/23/2014	C		9/8/2014
4500247903	A & M INDUSTRIAL SUPPLY CO 1414 CAMPBELL ST - PO BOX 1044 RAHWAY, NJ 07065-1044 US	FILTER SEAL KIT, FILTER COVER, FILTER ELEMENT	E	B	5	F	N	3		5,421	5,367	5,367	54	7/24/2014	C		9/8/2014
4500248106	TMEC PROCESS FILTRATION LLC DBA FOR TMEC DISTRIBUTION LLC 1423 E RICHEY RD HOUSTON, TX 77073-3508 US	FILTER ELEMENT	E	C	5	F	N	3	*	11,477	11,477	11,477	0	7/29/2014	C		8/5/2014
4500248176	NUOVO PARTS INC 1465 SLATER RD FERNDALE, WA 98248-8919 US	1ST STAGE ROTOR PART	E	B		F	N	1		74,950	0	0	74,950	7/31/2014	O	2/1/2015	
4500248347	ELECTRO INDUSTRIES 1800 SHAMES DR WESTBURY, NY 11590 US	METER, NEXUS, COMB. CARD MODEM/ETHERNET	E	C	5	N	N	3	*	10,722	10,722	10,722	0	8/5/2014	C		9/29/2014
4500248431	MITSUBISHI HITACHI POWER SYSTEMS AMERICA INC-ORLANDO SERVICE CENTER 2287 PREMIER ROW ORLANDO, FL 32809-6211 US	INDUCTION STOP VALVE	E	B		F	N	1		78,000	0	0	78,000	8/7/2014	O	9/18/2015	
4500248464	TOTAL LUBRICATION MANAGEMENT CO FORMERLY COT-PURITECH INC 3713 PROGRESS ST NE CANTON, OH 44705-4437 US	LUBE OIL FLUSH	S	B		N	N	1		19,950	19,950	19,950	0	8/7/2014	C		11/7/2014

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4500248495	LUFKIN INDUSTRIES LLC DBA FOR DRUCK INC PO BOX 849 LUFKIN, TX 75902-0849 US	HIGH SPEED PINION GEAR BALANCING	S	C	5	F	N	3		7,390	7,183	7,183	207	8/8/2014	C		10/10/2014
4500248556	ARKWIN INDUSTRIES INC 686 MAIN ST WESTBURY, NY 11590 US	ACTUATOR EXCHANGE	E	B	5	N	N	2		5,950	5,950	5,950	0	8/11/2014	C		12/17/2014
4500248591	JOHN CRANE INC 6400 OAKTON ST MORTON GROVE, IL 60053-2725 US	TYPE 28 DRY GAS SEAL REPAIRS	S	2	8	F	N	1		81,914	81,914	81,914	0	8/12/2014	O	2/1/2015	
4500248647	MAGNETROL INTERNATIONAL INC C/O MILLER ENERGY INC 3200 S CLINTON AVE S PLAINFIELD, NJ 7080 US	MAGNETROL TRANSMITTER PULSAR THROUGH AIR RADAR LEVEL TRANS (6.3 GHZ) ALUMINUM HOUSING TWO 3/4" NPT (F) ENTRIES W/ANTENNA	E	C	5	F	N	3		5,500	0	0	5,500	8/13/2014	C		10/30/2014
4500248657	GE OIL & GAS INC 17619 ALDINE WESTFIELD HOUSTON, TX 77073 US	SEAL DIAPHRAGM REPAIRS	S	2	6	F	N	1		55,562	0	0	55,562	8/13/2014	O	2/1/2015	
4500248691	AMETEK POWER INSTRUMENTS 255 N UNION ST ROCHESTER, NY 14605-2644 US	SOLID STATE METER, JEMSTAR MODEL JS-09J26020, CLASS 20, WITH INTERNAL MODEM AND 5KYZ OUTPUT	E	C	5	N	N	3	*	14,854	14,854	14,854	0	8/14/2014	C		10/10/2014
4500248737	HITACHI HVB INC 7250 MCGINNIS FERRY RD SUWANNE, GA 30024-1245 US	BREAKER MAINTENANCE ON 7S & 7B GENERATOR CIRCUIT BREAKER	S	2	8	F	N	1		42,585	42,585	42,585	0	8/15/2014	C		12/9/2014
4500248860	AERODERIVATIVE GAS TURBINE SUPPORT INC 1141 S ROGERS CIR - STE 11 BOCA RATON, FL 33487-2789 US	PROFACE KEYBOARD, MOUSE TOUCH IPC W/ITEL CELERON	E	C	5	F	N	3	*	10,022	10,022	10,022	0	8/19/2014	C		9/26/2014
4500248879	GE ENERGY CONTROL SOLUTIONS INC 1800 NELSON RD LONGMONT, CO 80501-6324 US	CARD, UCVG	E	B	5	F	N	2		14,990	14,990	14,990	0	8/19/2014	C		8/27/2014
4500248939	HAYES PUMP INC 295 FAIRFIELD AVE FAIRFIELD, NJ 07004-3833 US	FUEL OIL FORWARDING PUMP SKIDS	E	1	8	F	N	1	*	20,953	20,953	20,953	0	8/20/2014	C		10/15/2014
4500248961	TETRA ENGINEERING GROUP INC 110 HOPMEADOW ST - STE 800 WEATOGUE, CT 6089 US	FLOW ACCELERATED CORROSION TESTING	S	B	6	F	N	3		6,750	6,750	6,750	0	8/20/2014	C		10/28/2014
4500249220	NALCO COMPANY 544 HALFWAY HOUSE RD WINDSOR LOCKS, CT 06096-1502 US	CONTROLLER, COOLING TOWER	E	C	5	F	N	3		9,335	0	0	9,335	8/28/2014	C		9/25/2014

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4500249319	BABCOCK & WILCOX POWER GENERATION GROUP INC 2849 STERLING DR HATFIELD, PA 19440-1956 US	CONTINUOUS EMISSIONS MONITORING SYSTEMS SOFTWARE OFF SITE SUPPORT	S	1	8	F	N	1		104,520	104,520	104,520	0	9/2/2014	O	9/1/2015	
4500249332	NUOVO PARTS INC 1465 SLATER RD FERNDALE, WA 98248-8919 US	BEARING, JOURNAL, LS SHAFT	E	B	5	F	N	1		6,920	0	0	6,920	9/2/2014	O	3/31/2015	
4500249369	ELECTRO INDUSTRIES 1800 SHAMES DR WESTBURY, NY 11590 US	METER, REVENUE	E	C	5	N	N	3	*	10,949	10,949	10,949	0	9/3/2014	C		9/12/2014
4500249422	CONSERVE ELECTRICAL SUPPLY CORP 39-05 CRESCENT ST LONG ISLAND CITY, NY 11101-1025 US	CABLE FOR EMERGENCY ADMIN BUILDING POWER OUTAGE	E	2	5	N	N	1		10,800	10,800	10,800	0	9/3/2014	C		10/2/2014
4500249424	INDUSTRIAL PROCESS SOLUTIONS INC (FORMERLY PPC DIST NE) ONE IVYBROOK BLVD - STE 130 IVYLAND, PA 18974 US	DOLLINGER KNOCKOUT PAD AND MESH PAD	E	B	5	F	N	2		7,870	0	0	7,870	9/3/2014	O	1/25/2015	
4500249623	CONSERVE ELECTRICAL SUPPLY CORP 39-05 CRESCENT ST LONG ISLAND CITY, NY 11101-1025 US	300 KVA JEFFERSON TRANSFORMER - CAT# 423-7318-000	E	C	5	N	N	3		6,350	6,350	6,350	0	9/9/2014	C		10/29/2014
4500249684	C G POWERTECH INC 216 NEWARK PROMPTON TPKE PEQUANNOCK, NJ 7440 US	BECK ACUATORS AND ADAMS VALVE	E	B		F	N	2		38,871	15,535	15,535	23,336	9/10/2014	C		12/10/2014
4500249883	ENVIRONMENT ONE CORP 2773 BALLTOWN RD NISKAYUNA, NY 12309-1090 US	PORTABLE GAS ANALYZER (PGA)	E	C	5	N	N	3	*	10,249	10,249	10,249	0	9/16/2014	C		10/22/2014
4500249936	HOERBIGER SERVICE INC 12 MCCULLOUGH BUILDING #4 NEW CASTLE, DE 19720 US	LUBE OIL PUMP MFG: SAUER DANFOSS	E	C	5	F	N	3	*	5,762	5,762	5,762	0	9/16/2014	C		9/29/2014
4500249937	FRESH MEADOW MECHANICAL CORP 65-01 FRESH MEADOW LN FRESH MEADOWS, NY 11365-2011 US	INSTRUMENT MAINTENANCE CONTRACT FOR FALL 2014 OUTAGE	S	B			N	N	1		50,000	36,545	36,545	13,455	9/16/2014	C		12/9/2014
4500250016	ELECTRO INDUSTRIES 1800 SHAMES DR WESTBURY, NY 11590 US	REVENUE METER, DIGITIAL NEXUS REVENUE METER	E	C	5	N	N	3	*	8,073	8,073	8,073	0	9/18/2014	C		10/1/2014

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4500250061	ALBERCORP 3103 N ANDREWS AVE EXT POMPANO BEACH, FL 33064-2118 US	BATTERY MONITORING SYSTEM	E	B	5	F	N	2		11,097	0	0	11,097	9/18/2014	O	2/1/2015	
4500250283	ELECTRO INDUSTRIES 1800 SHAMES DR WESTBURY, NY 11590 US	NEXUS METER	E	C	5	N	N	3	*	14,236	14,236	14,236	0	9/25/2014	C		10/23/2014
4500250368	AMETEK POWER INSTRUMENTS 255 N UNION ST ROCHESTER, NY 14605-2644 US	JEMSTAR METER	E	C	5	F	N	3	*	11,201	11,201	11,201	0	9/26/2014	C		11/10/2014
4500250375	A & M INDUSTRIAL SUPPLY CO 1414 CAMPBELL ST - PO BOX 1044 RAHWAY, NJ 07065-1044 US	FILTER, DISCHARGE MFG: NATIONAL FILTRATION, END USE: GAS COMPRESSOR	E	C	5	F	N	3		6,788	6,788	6,788	0	9/26/2014	C		10/24/2014
4500250401	MAVERICK TECHNOLOGIES INC 7996 N POINT BLVD - STE 105 WINSTON SALEM, NC 27106-3265 US	ENGINEERING SUPPORT FOR FALL OUTAGE 2014	S	B	5	F	N	3		12,545	12,545	12,545	0	9/26/2014	C		12/17/2014
4500250433	RORAIMA CONSULTING INC 1 CROSS ISLAND PLZ - STE 114 ROSEDALE, NY 11422-3465 US	RX31 CARDS FOR SCPP CONTROLS SYSTEM	E	B		F	4	5		11,105	11,105	11,105	0	9/29/2014	C		12/3/2014
4500250455	TEXAS LONE STAR CONTROLS INC DBA LONE STAR CONTROLS 11642 TELGE RD CYPRESS, TX 77429-3227 US	RX3I CARDS	E	B		F	N	5		18,337	18,337	18,337	0	9/30/2014	C		11/7/2014
4500250478	CONTROL ASSOCIATES INC 20 COMMERCE DR - PO BOX 279 ALLENDALE, NJ 07401-1600 US	REMOTE MOUNT FISHER POSITIONERS	E	1	8	F	N	1		18,951	0	0	18,951	9/30/2014	C		12/17/2014
4500250481	PETROSCAN LTD 1990 NEW HWY FARMINGDALE, NY 11735-1102 US	DIESEL FUEL	E	1	5	N	3	1		6,180	6,173	6,173	7	9/30/2014	C		10/31/2014
4500250509	SAMUDAWORTH TREE SERVICE LLC 8903 AVENUE B BROOKLYN, NY 11236-1225 US	WEED REMOVAL FOR THE SCPP'S	S	1	5	N	N	1		7,500	0	0	7,500	9/30/2014	O	2/15/2015	
4500250669	ICL-IP AMERICA INC 622 EMERSON RD CREVE COEUR, MO 63141-6742 US	FRYQUEL, EHCH PLUS, MFG: ICL INDUSTRIAL	E	C	5	F	N	3	*	13,059	13,059	13,059	0	10/3/2014	C		10/17/2014

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4500250739	CONSERVE ELECTRICAL SUPPLY CORP 39-05 CRESCENT ST LONG ISLAND CITY, NY 11101-1025 US	STOCK ELECTRICAL ITEMS: CABLES, SPICE KITS, CABLE TIES, CONDUITKITS, ELECTRICAL TAPE	E	C	5	N	N	3		11,629	11,629	11,629	0	10/6/2014	C		10/20/2014
4500250807	RORAIMA CONSULTING INC 1 CROSS ISLAND PLZ - STE 114 ROSEDALE, NY 11422-3465 US	GENERATOR AND TURBINE FAN	E	B		F	4	2		109,170	109,170	109,170	0	10/8/2014	C		12/18/2014
4500250924	F & F INDUSTRIAL EQUIPMENT CORP 195 TOWER DR MIDDLETOWN, NY 10941-2034 US	SERVO MOOG VALVES	E	B		N	1	4		6,750	6,683	6,683	67	10/9/2014	C		12/3/2014
4500250925	GENERAL ELECTRIC INTERNATIONAL INC 4200 WILDWOOD PKWY ATLANTA, GA 30339 US	SERVO MOOG VALVES	E	B		F	N	4		7,199	0	0	7,199	10/9/2014	O	1/23/2015	
4500250945	AERODERIVATIVE GAS TURBINE SUPPORT INC 1141 S ROGERS CIR - STE 11 BOCA RATON, FL 33487-2789 US	T48 THERMOCOUPLE	E	C	5	F	N	3	*	9,219	9,219	9,219	0	10/10/2014	C		11/10/2014
4500251022	AERODERIVATIVE GAS TURBINE SUPPORT INC 1141 S ROGERS CIR - STE 11 BOCA RATON, FL 33487-2789 US	VCMI CARD	E	B	5	F	N	3		9,525	9,525	9,525	0	10/14/2014	C		11/21/2014
4500251085	EMERACHEM LLC 1729 LOUISVILLE DR KNOXVILLE, TN 37921 US	CO CATALYST MODULES	E	B		F	N	1		293,755	0	0	293,755	10/16/2014	O	2/15/2015	
4500251230	NUOVO PARTS INC 1465 SLATER RD FERNDALE, WA 98248-8919 US	1ST AND 2ND STAGE ROTOR PARTS	E	B		F	N	1		213,713	0	0	213,713	10/20/2014	O	5/4/2015	
4500251274	PRIME LUBE INC 800 ROOSEVELT AVE CARTERET, NJ 7008 US	FRYQUEL, EHC PLUS	E	C	5	F	N	3		9,345	0	0	9,345	10/20/2014	C		12/3/2014
4500251305	F & F INDUSTRIAL EQUIPMENT CORP 195 TOWER DR MIDDLETOWN, NY 10941-2034 US	AMMONIA TRANSFER PUMP	E	B	5	N	1	3		6,590	6,524	6,524	66	10/21/2014	C		11/14/2014
4500251346	VALVE INDUSTRIES INC 4 SLATE CT - APT D-2 WOODLAND PARK, NJ 07424-4247 US	HIGH PRESSURE TEMPERATURE SENSOR	E	B		F	N	1	*	28,499	28,499	28,499	0	10/21/2014	C		12/3/2014

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4500251411	PROTON ENERGY SYSTEMS INC 10 TECHNOLOGY DR WALLINGFORD, CT 06492-1955 US	H2 GENERATOR POWER SUPPLY	E	B	5	F	N	2	*	10,939	10,939	10,939	0	10/23/2014	C		12/22/2014
4500251437	CEMTEK SYSTEMS INC 2013 SOUTH WOOD AVE LINDEN, NJ 7036 US	CEMS NITROGEN ANALYZER	E	B		F	N	3		13,995	0	0	13,995	10/23/2014	O	1/9/2015	
4500251487	AERODERIVATIVE GAS TURBINE SUPPORT INC 1141 S ROGERS CIR - STE 11 BOCA RATON, FL 33487-2789 US	T25/P25 SENSOR	E	C	5	F	N	3		12,750	0	0	12,750	10/24/2014	O	3/27/2015	
4500251489	GE INFRASTRUCTURE INC WATER & PROCESS TECHNOLOGIES 4636 SOMERTON RD TREVOSE, PA 19053 US	CHEMICAL WATER TREATMENT, IHNIBITOR DISPERSANT, AUX COOLING WATER, 530 LB DRUM	E	C	5	F	N	3	*	6,015	6,015	6,015	0	10/24/2014	O	3/27/2015	
4500251491	AMETEK POWER INSTRUMENTS 255 N UNION ST ROCHESTER, NY 14605-2644 US	TRANSMITER MODEL	E	C	5	N	N	3		6,783	0	0	6,783	10/24/2014	O	3/20/2015	
4500251779	A & M INDUSTRIAL SUPPLY CO 1414 CAMPBELL ST - PO BOX 1044 RAHWAY, NJ 07065-1044 US	ACTUATOR FOR GAS SUPPLY INCLUDES: SWITCH, MOUNTINGKIT, POSITIONER , I/P TRANSDUCERS	E	C	5	N	N	3		8,279	8,279	8,279	0	10/30/2014	O	2/6/2015	
4500251857	NATIONAL GRID CORPORATE SERVICES NATIONAL GRID ENVIRONMNTAL ANALYSIS 175 E OLD COUNTRY RD HICKSVILLE, NY 11801-4257 US	SENY SCPP NATURAL GAS SAMPLING	S	B			N	N	1		31,500	7,700	7,700	23,800	11/3/2014	O	2/11/2016	
4500251886	AERODERIVATIVE GAS TURBINE SUPPORT INC 1141 S ROGERS CIR - STE 11 BOCA RATON, FL 33487-2789 US	ACCELEROMETER, TRF	E	C	5	F	N	3	*	12,760	12,760	12,760	0	11/4/2014	C		12/10/2014
4500252017	LUFKIN INDUSTRIES LLC DBA FOR DRUCK INC PO BOX 849 LUFKIN, TX 75902-0849 US	BALANCE OF HS PINION GEAR FOR GAS COMP B	S	B	5	N	N	3		5,800	0	0	5,800	11/6/2014	O	2/1/2015	
4500252019	SIEMENS ENERGY INC 85 JOHN RD - UNIT 1 CANTON, MA 02021-2826 US	LOCATE AND RETRIEVE BROKEN B-2 MIXING ELBOW	S	B	5	F	N	1		7,742	7,538	7,538	204	11/6/2014	O	2/12/2015	

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4500252088	WORLD WIDE GAS TURBINE PRODUCTS INC 300 COMMERCE DR CLIFTON PARK, NY 12065-1317 US	UCVG CARD REPAIR	S	B	5	N	1	2		8,750	0	0	8,750	11/7/2014	O	1/23/2015	
4500252213	VALVE INDUSTRIES INC 4 SLATE CT - APT D-2 WOODLAND PARK, NJ 07424-4247 US	LIMITORQUE ACTUATORS/ACCUMULATORS	E	B		F	N	2		24,847	0	0	24,847	11/13/2014	O	2/6/2015	
4500252275	AERODERIVATIVE GAS TURBINE SUPPORT INC 1141 S ROGERS CIR - STE 11 BOCA RATON, FL 33487-2789 US	ACCELEROMETERS,CABLES & BRACKET	E	B	5	F	N	3		13,975	12,011	12,011	1,964	11/14/2014	O	1/30/2015	
4500252433	SIEMENS INDUSTRY INC 7000 SIEMENS RD WENDELL, NC 27591-8309 US	CONTACTOR SIEMENS REPLACEMENT PART	E	B	5	F	N	2		10,600	0	0	10,600	11/19/2014	O	2/11/2015	
4500252678	SARA L MENDELSOHN MD PLLC 800 WOODBURY RD - STE K WOODBURY, NY 11797-2592 US	FLYNN ANNUAL PHYSICALS	P	B	5	N	N	1		9,570	0	0	9,570	11/25/2014	O	2/11/2016	
4500252748	F & F INDUSTRIAL EQUIPMENT CORP 195 TOWER DR MIDDLETOWN, NY 10941-2034 US	MECHANICAL SEAL	E	B	5	N	1	3		8,275	0	0	8,275	11/26/2014	O	2/27/2015	
4500252850	POWMAT LTD 321 USHERS RD BALLSTON LAKE, NY 12019 US	SEAL RINGS- (GAS-STL) HYDROGEN AND (DEVN) HYYDROGEN	E	C	5	N	N	3		10,500	0	0	10,500	12/2/2014	O	4/24/2015	
4500253007	HOERBIGER SERVICE INC 12 MCCULLOUGH BUILDING #4 NEW CASTLE, DE 19720 US	1ST AND 2ND SUCTION AND DISCHARGE VALVES	E	B		F	N	4		26,170	0	0	26,170	12/5/2014	O	4/20/2015	
4500253048	GE OIL & GAS INC 17619 ALDINE WESTFIELD HOUSTON, TX 77073 US	GAS COMPRESSOR CONTROLS STUDY	S	1	6	N	N	1		14,950	0	0	14,950	12/8/2014	O	2/25/2015	
4500253072	HAYES PUMP INC 295 FAIRFIELD AVE FAIRFIELD, NJ 07004-3833 US	DENITE PUMP	E	B	5	F	N	3		8,712	0	0	8,712	12/17/2014	O	3/13/2015	
4500253190	ELECTRO INDUSTRIES 1800 SHAMES DR WESTBURY, NY 11590 US	METER, NEXUS, COMB, CARD MODEM/ETHERNET	E	C	5	N	N	3		10,602	0	0	10,602	12/11/2014	O	5/1/2015	
4500253331	AMETEK POWER INSTRUMENTS 255 N UNION ST ROCHESTER, NY 14605-2644 US	JEMSTAR II REVENUE METER - MODEL # JSII-09R6020-1B/2B/3A-DIO-DLP-PQ	E	C	5	N	N	3		7,400	0	0	7,400	12/15/2014	O	6/1/2015	
4500253371	SULZER PUMP SERVICES (US) INC 800 KOOMEY RD BROOKSHIRE, TX 77423-8202 US	PUMP INSTALLATION SERVICES	S	1	5	N	N	1		10,653	0	0	10,653	12/16/2014	O	1/25/2015	

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4500253484	3 GALS INDUSTRIAL LLC PO BOX 177 OYSTER BAY, NY 11771-0177 US	OMICRON TEST METERS	E	M	8	N	3	1		23,250	0	0	23,250	12/18/2014	O	2/28/2015	
4500253485	OIL FIELD COMPONENTS INC 4127 HOLLISTER RD - STE H HOUSTON, TX 77080-1967 US	ACTUATOR ASSEMBLY	E	B		F	N	4		43,116	0	0	43,116	12/18/2014	O	6/30/2015	
4500253523	CLYDE UNION INC 4600 W DICKMAN RD BATTLE CREEK, MI 49037-7325 US	VVM LINED BERTING	E	C	5	F	N	3		6,800	0	0	6,800	12/19/2014	O	7/3/2015	
4500253632	SULZER PUMP SERVICES (US) INC 800 KOOMEY RD BROOKSHIRE, TX 77423-8202 US	CONDENSATE PUMP MODIFICATION	S	1	6	N	N	1		12,500	0	0	12,500	12/23/2014	O	3/1/2015	
4500253652	QUALI-SERV INC 84 W MAIN ST EAST ISLIP, NY 11730-2335 US	SNOW REMOVAL SERVCIES FOR FLYNN AND BRENTWOOD	S	M	8	N	1	2		65,000	0	0	65,000	12/24/2014	O	12/23/2015	
4600002276	CK ENVIRONMENTAL INC 1020 TURNPIKE ST - STE 8 CANTON, MA 02021-2814 US	RATA TESTING SERVICES FOR SENY PROJECTS	S	B		F	N	3		453,750	338,740	23,450	115,010	7/1/2010	O	6/30/2015	
4600002283	NALCO COMPANY 544 HALFWAY HOUSE RD WINDSOR LOCKS, CT 06096-1502 US	COOLING TOWER CHEMICALS AND ENGINEERING SUPPORT FOR THE LM 6000 FLEET	E	B		N	N	2		500,000	314,008	73,840	185,992	7/8/2010	O	7/8/2015	
4600002386	HOERBIGER SERVICE INC 12 MCCULLOUGH BUILDING #4 NEW CASTLE, DE 19720 US	GAS COMPRESSOR MAINTENANCE CONTRACT 3 YEARS	S	B		F	N	1		600,000	414,606	72,936	185,394	4/1/2011	C		3/31/2014
4600002389	MOST HEALTHCARE SYSTEMS INC 501 PRESTON AVE VOORHEES, NJ 8043 US	ON-SITE PHYSICALS	S	B		F	N	2		48,000	22,821	0	25,179	4/1/2011	C		3/31/2014
4600002455	AIRGAS SPECIALTY PRODUCTS INC 2530 SEVER RD - STE 300 LAWRENCEVILLE, GA 30043-4022 US	AQUEOUS AMMONIA FOR THE SENY POWER PROJECTS	E	B		F	N	2		2,250,000	865,125	305,461	1,384,875	1/1/2012	O	12/31/2016	
4600002459	SIEMENS INDUSTRY INC FORMERLY SIEMENS WATER TECH CORP 95 LOWER MORRISVILLE RD FALLSINGTON, PA 19054-1403 US	FLYNN: DEMINERALIZED WATER TRAILERS	E	B		F	N	1		500,000	0	0	500,000	12/13/2011	C		12/31/2014
4600002460	HUDSON TECHNOLOGIES CO 29 HOLT DR STONY POINT, NY 10980-1919 US	REFRIGERANT RECOVERY & EVACUATION SERVICES	S	B		N	N	1		2,500,000	572,701	199,522	1,927,299	1/17/2012	O	1/16/2017	

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4600002497	NALCO COMPANY 544 HALFWAY HOUSE RD WINDSOR LOCKS, CT 06096-1502 US	CORROSION INHIBITOR	E	B		N	N	3		450,000	180,455	79,878	269,545	4/1/2012	O	3/31/2017	
4600002511	TWIN COUNTY SWIMMING POOL MAINTENANCE & SUPPLY CO INC 797 CONKLIN ST FARMINGDALE, NY 11735-2405 US	BULK SODIUM HYPOCHLORITE DELIVERIES	E	B		N	N	2		85,000	24,982	7,053	60,018	4/9/2012	O	4/8/2017	
4600002512	TRIATHLON INDUSTRIES INC DBA TRIDON CHEMICAL 87 N INDUSTRY CT - STE G DEER PARK, NY 11729-4607 US	SUPPLY AND DELIVERY OF 55 GALLON DRUMS OF METHANOL FOR THE DENITRIFICATION SYSTEM AT FLYNN	E	B		N	3	1		85,000	24,520	12,000	60,480	5/1/2012	O	4/30/2017	
4600002518	LM PARTS LLC ERIC ANDERSON 26 FAYMORE DR AGAWAM, MA 01001-3010 US	ROTATABLE EXCHANGE CONTRACT FOR THE SCPP/500MW	E	B		F	N	6		200,000	73,370	17,248	126,630	5/7/2012	O	5/7/2015	
4600002519	PINNACLE PARTS & SERVICE CORP 18 HICKORY LN BAYVILLE, NJ 08721-2111 US	ROTATABLE SPARES/EXCHANGE CONTRACT FOR SCPP/500MW	E	B		F	N	6		200,000	20,621	11,550	179,379	5/7/2012	O	5/6/2015	
4600002520	AERODERIVATIVE GAS TURBINE SUPPORT INC 1141 S ROGERS CIR - STE 11 BOCA RATON, FL 33487-2789 US	ROTATABLE SPARES/EXCHANGE CONTRACT FOR SCPP/500MW	E	B		F	N	6		100,000	28,405	1,224	71,595	5/8/2012	O	5/7/2015	
4600002521	ARGO INTERNATIONAL CORP 125 CHUBB AVE - FL 1 LYNDHURST, NJ 07071-3504 US	ROTATABLE SPARES/EXCHANGE CONTRACT FOR SCPP/500MW	E	B		N	N	6		200,000	139,542	26,428	60,458	5/9/2012	O	5/8/2015	
4600002570	GTS CORP 1 STEEL RD E MORRISVILLE, PA 19067-3613 US	3 YEAR CONTRACT FOR CALIBRATED GASES	E	B		F	N	4		300,000	93,993	50,018	206,007	9/24/2012	O	9/23/2015	
4600002571	FRANK D RIGGIO COMPANY INC 797 AVENUE E BAYONNE, NJ 7002 US	FIVE (5) YEAR VALVE REPAIR SERVICE CONTRACT -- SENY PROJECTS	S	B		F	N	5		1,500,000	767,139	320,441	732,861	10/1/2012	O	9/30/2017	
4600002587	DRESSER-RAND CO 100 E CHEMUNG ST PAINTED POST, NY 14870-1352 US	GAS COMPRESSOR PARTS	E	B		N	N	1		500,000	141,491	72,991	358,509	12/1/2012	O	11/30/2015	
4600002592	CINTAS CORP 12 HARBOR PARK DR PORT WASHINGTON, NY 11050-4649 US	UNIFORM CLEANING/RENTAL AGREEMENT	E	B		N	N	2		300,000	111,396	67,571	188,604	1/1/2013	O	12/31/2015	

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4600002601	ALTAIR FILTER TECHNOLOGY INC 11501 OUTLOOK ST - STE 100 OVERLAND PARK, KS 66211-1810 US	LM 6000 INLET AIR FILTERS	E	B		F	N	2		450,000	183,680	78,666	266,320	12/19/2012	O	12/31/2015	
4600002605	TRIATHLON INDUSTRIES INC DBA TRIDON CHEMICAL 87 N INDUSTRY CT - STE G DEER PARK, NY 11729-4607 US	BULK SULFURIC ACID DELIVERIES FOR FLYNN	E	B		N	3	1		80,000	21,252	11,666	58,748	1/8/2013	O	1/7/2016	
4600002620	DRESSER-RAND CO 203 PRECISION RD HORSHAM, PA 19044-1226 US	VIP GAS COMPRESSOR SERVICE CONTRACT	S	B		F	N	1		500,000	171,121	11,945	328,879	1/22/2013	C		1/22/2014
4600002695	AIRGAS USA LLC DBA FOR AIRGAS INC 259 N RADNOR CHESTER RD RADNOR, PA 19087-5240 US	3 YEAR CONTRACT FOR HYDROGEN AND NITROGEN BANKS AND CYLINDERS	E	B		F	N	2		150,000	17,246	16,603	132,754	6/3/2013	O	6/2/2015	
4600002711	DAIKIN APPLIED AMERICAS INC 13600 INDUSTRIAL PARK BLVD MINNEAPOLIS, MN 55441-3743 US	MC QUAY CHILLER MAINTENANCE CONTRACT FOR THE SCPP FLEET	S	B		F	N	2		750,000	584,545	584,545	165,455	7/29/2013	O	7/28/2016	
4600002712	YABOO FENCE CO INC 95 W NYACK WAY WEST NYACK, NY 10994-2207 US	SECURITY FENCE REPAIRS - SENY	S	B		N	3	4		175,000	55,382	55,382	119,618	8/1/2013	O	7/31/2016	
4600002727	QUINTAL CONTRACTING CORP PO BOX 427 ISLIP, NY 11751-0427 US	ALGAE SCRAPE SERVICES	S	B		N	1	2		200,000	63,101	57,486	136,899	10/1/2013	O	9/30/2018	
4600002740	GENERAL ELECTRIC INTERNATIONAL INC 6001 TONNELLE AVE NORTH BERGEN, NJ 07047-3307 US	GE TECHNICAL SUPPORT	S	B		F	N	2		1,500,000	231,641	231,641	1,268,359	1/1/2014	O	12/31/2018	
4600002772	EMERGENCY ONE ANDERSON MEDICAL PC 40 HURLEY AVE KINGSTON, NY 12401-3738 US	ON-SITE PHYSICALS	P	B		N	4	3		150,000	17,995	17,995	132,005	4/1/2014	O	3/31/2017	
4600002779	EVOQUA WATER TECHNOLOGIES LLC DBA FOR EWT HOLDINGS III CORP 670 PENNSYLVANIA AVE ROCHESTER, PA 15074-1644 US	FLYNN: DEMINERALIZED WATER TRAILERS	E	B		F	N	1		500,000	88,797	88,797	411,203	4/2/2014	O	1/31/2015	
4600002855	SIRIO SISTEMI ELETTRONICI SPA VIA DEL LAZZERETTO 92 PRATO, PO 59100 IT	INSTRUMENT AND CONTROLS SUPPORT FOR THE NUOVO PIGNONE GAS COMPRESSOR CONTROLS	S	B		N	N	2		400,000	0	0	400,000	10/20/2014	O	10/19/2019	
		SENY TOTALS								22,050,985	9,887,381	6,000,148	12,163,604				

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FACILITIES: SENY PROJECTS REQUIRED BY N.Y. PUBLIC AUTHORITIES LAW, SECTION 2879

TOTAL NUMBER OF CONTRACTS	240
TOTAL CONTRACT VALUE	$22,050,985
TOTAL EXPENDED TO DATE	$9,887,381
TOTAL EXPENDED IN REPORT YEAR	$6,000,148

STATUS SUMMARY

TOTAL NUMBER OPEN CONTRACTS	73
TOTAL NUMBER CLOSED CONTRACTS	167

METHOD OF AWARD SUMMARY

TOTAL NUMBER COMP BID	146
TOTAL NUMBER SOLE SOURCE	15
TOTAL NUMBER SINGLE SOURCE	7
TOTAL NUMBER COMP SEARCH	64
TOTAL NUMBER MWBE/SBE	8

COMMITMENT TYPE SUMMARY

TOTAL NUMBER ARCHITECTURAL & ENGINEERING SERVICES	0
TOTAL NUMBER CONSTRUCTION	0
TOTAL NUMBER EQUIP/GOODS/COMMODITY	173
TOTAL NUMBER LEGAL SERVICES	0
TOTAL NUMBER PERSONAL SERVICES	3
TOTAL NUMBER OTHER NON PERSONAL SERVICES	64

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CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	TYPE	MOA	RNA	OPA	MWBE	BIDS	FRT	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2014	CONTRACT BALANCE	DATE OF CONTRACT	O\C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
4500227200	ALSTOM GRID INC 10865 WILLOWS RD NE REDMOND, WA 98052-2502 US	REMOTE SUPPORT FOR STL SCADA	S	1	8	F	N	1		30,310	0	0	30,310	9/21/2013	C		8/31/2014
4500228868	SAFETY SOLUTIONS INC 222 TEALL AVE SYRACUSE, NY 13210-1219 US	SAFETY SHOES	E	B	5	N	N	3		9,000	8,336	550	664	3/1/2013	C		6/23/2014
4500228870	DIVAL SAFETY EQUIPMENT INC 1721 NIAGARA ST BUFFALO, NY 14207-3108 US	SAFETY SHOES	E	B	5	N	N	3		10,000	8,970	165	1,030	3/1/2013	C		6/23/2014
4500228871	LIVERPOOL SHOES INC 306 TULIP ST LIVERPOOL, NY 13088-6649 US	SAFETY SHOES	E	B	5	N	1	3		18,000	17,356	1,378	644	3/1/2013	C		6/23/2014
4500231184	MORRISONVILLE SEPTIC LLC DONALD RABIDEAU JR 123 RABIDEAU ST CADYVILLE, NY 12918-2709 US	SEPTIC SERVICE	S	B	5	N	1	1		8,000	7,115	1,615	885	4/30/2013	C		6/12/2014
4500231456	BOGOSIAN CARPET & FURNITURE 70 WILLOW ST MASSENA, NY 13662 US	CARPET/MAT RENTAL	E	M	5	N	N	1		10,000	9,864	6,305	136	5/7/2013	C		5/6/2014
4500232456	N C C SYSTEMS INC PO BOX 670 POTSDAM, NY 13676 US	DVR SYSTEM FOR STL SECURITY	E	M	8	N	1	1		91,848	91,848	17,060	0	6/3/2013	C		5/31/2014
4500234154	LIFE SCIENCE LABORATORIES INC 5854 BUTTERNUT DR EAST SYRACUSE, NY 13057-9513 US	SPDES TESTING	S	M	8	N	1	1		20,000	14,069	8,555	5,931	7/17/2013	C		7/16/2014
4500234926	STUART C IRBY CO 4583 BUCKLEY RD LIVERPOOL, NY 13088-2508 US	CONNECTORS DOSSERT (SEVERAL)	E	B	5	N	N	1		14,307	14,307	4,222	0	8/6/2013	C		3/3/2014
4500235943	GRAYBAR ELECTRIC CO INC 6605 DEERE RD SYRACUSE, NY 13206-1325 US	HUBBELL LINE MATERIAL	E	B	5	N	N	3	*	13,218	13,218	13,218	0	9/3/2013	C		1/1/2014
4500236989	GENERGY CORP 2 EXECUTIVE PARK DR ALBANY, NY 12203-3700 US	POTENTIAL TRANSFORMER	E	M	8	N	1	1		21,330	21,330	21,330	0	9/30/2013	C		3/31/2014

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CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	TYPE	MOA	RNA	OPA	MWBE	BIDS	FRT	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2014	CONTRACT BALANCE	DATE OF CONTRACT	O\C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
4500237442	GOTTOGO ELECTRIC INC DBA FOR GOTTOGO ENTERPRISES 7865 E MAIN RD LE ROY, NY 14482-9747 US	230KV TRANSFORMER	E	M	8	N	3	1		16,940	16,940	16,940	0	10/10/2013	C		4/14/2014
4500237602	JOHNSON CONTROLS INC 130 JOHN MUIR DR - STE 100 AMHERST, NY 14228-1139 US	ANNUAL MAINTENANCE FOR ACCESS CONTROL	S	B	7	N	N	1		21,210	21,210	0	0	10/1/2013	C		9/30/2014
4500237775	NORTH AMERICAN SALT CO 9900 W 109TH ST STE 600 OVERLAND PARK, KS 66210 US	SALT, ROAD (775T)	E	B	7	F	N	1		48,852	47,486	26,157	1,366	10/21/2013	C		3/11/2014
4500237809	LINITA DESIGN & MANUFACTURING CORP PO BOX 1101 BUFFALO, NY 14201-1101 US	INTAKE GATE LIFTING BEAM	E	M	8	N	4	1		64,297	0	0	64,297	10/22/2013	C		12/30/2014
4500237817	POWER & CONSTRUCTION GROUP INC 96 W RIVER RD - PO BOX 30 SCOTTSVILLE, NY 14546-9501 US	TRANSMISSION TOWER FOOTER REPAIR	S	C	8	N	N	2		43,096	42,297	803	799	10/22/2013	C		6/2/2014
4500237982	STUART C IRBY CO 4583 BUCKLEY RD LIVERPOOL, NY 13088-2508 US	JOSLYN MATERIAL	E	B	5	N	N	2		8,670	8,670	8,670	0	10/24/2013	C		1/1/2014
4500238407	THYSSEN ELEVATOR CO 6067 CORPORATE DR EAST SYRACUSE, NY 13057-1082 US	ELEVATOR REPAIRS	S	B	5	N	N	1		19,254	16,254	16,254	3,000	12/4/2013	C		1/27/2014
4500238480	GRAYBAR ELECTRIC CO INC 6605 DEERE RD SYRACUSE, NY 13206-1325 US	HUBBELL MATERIAL	E	B	7	N	N	1	*	5,390	5,390	3,607	0	11/6/2013	C		1/6/2014
4500239105	BUB'S ENTERPRISES LLC DALE EARL 3893 STATE ROUTE 11 MALONE, NY 12953-3725 US	SNOW REMOVAL	S	M	5	N	1	1		9,200	9,200	9,200	0	3/18/2014	C		5/31/2014
4500239108	TMT CONTRACTORS INC 1484 US HIGHWAY 11 GOUVERNEUR, NY 13642-3407 US	SNOW REMOVAL COOPER HILL	S	B	5	N	1	1		17,340	17,340	17,000	0	11/22/2013	C		5/1/2014

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4500239136	GRAYBAR ELECTRIC CO INC 6605 DEERE RD SYRACUSE, NY 13206-1325 US	LED STREET LIGHTING	E	B	5	N	N	3		8,172	8,172	8,172	0	11/25/2013	C		1/8/2014
4500239242	GRAYBAR ELECTRIC CO INC 6605 DEERE RD SYRACUSE, NY 13206-1325 US	GE BALLASTS	E	B	5	N	N	3		10,220	10,220	10,220	0	11/27/2013	C		1/27/2014
4500239836	SEASONS SPECIALTY GIFTS LLC 27 W ORVIS ST MASSENA, NY 13662-1833 US	OFFICE FURNITURE	E	M	5	N	3	1		8,237	8,237	8,237	0	12/16/2013	C		1/16/2014
4500239849	CDW COMPUTER CENTER INC CDW GOV'T SALES 200/230 N MILWAUKEE AVE VERNON HILLS, IL 60061 US	COMPUTER HARDWARE	E	B	7	F	N	1		9,428	9,428	9,428	0	12/16/2013	C		2/11/2014
4500239894	FM OFFICE EXPRESS INC DBA FM OFFICE PRODUCTS 106 DESPATCH DR - STE 2 EAST ROCHESTER, NY 14445-1448 US	FIRE STOP PILLOWS AND MISCELLANEOUS ITEMS	E	B	7	N	4	1		14,451	13,677	13,677	774	12/17/2013	C		1/1/2014
4500240140	JAMES WALKER MFG CO INC PO BOX 467 GLENWOOD, IL 60425 US	CHEVRON PACKING	E	B	5	F	N	3	*	6,901	6,901	6,901	0	12/26/2013	C		2/3/2014
4500240291	FUSION BABBITTING CO INC 4540 W BURNHAM ST MILWAUKEE, WI 53219 US	RE-BABBITTTING/REHABILITATION OF AC TURBINE GUIDE BEARING	S	B		F	N	3		28,000	28,000	28,000	0	1/2/2014	C		7/2/2014
4500240540	MX PETROLEUM CORP PO BOX 638 MASSENA, NY 13662 US	GASOLINE UNLEADED	E	B	7	N	1	1		9,366	9,361	9,361	5	1/9/2014	C		1/9/2014
4500240542	ADIRONDACK ENERGY PRODUCTS INC ADIRONDACK PROPANE 17 JUNCTION RD MALONE, NY 12953-4217 US	DIESEL BIO (7500GAL)	E	B	7	N	1	1		28,980	28,816	28,816	164	1/9/2014	C		1/9/2014
4500240625	FM OFFICE EXPRESS INC DBA FM OFFICE PRODUCTS 106 DESPATCH DR - STE 2 EAST ROCHESTER, NY 14445-1448 US	MISCELLANEOUS INDUSTRIAL SUPPLIES / HEATERS/ LIGHT FIXTURES	E	B	7	N	4	1		7,974	7,974	7,974	0	1/10/2014	C		1/15/2014
4500240766	GOVCONNECTION INC 732 MILFORD MERRIMACK, NH 3054 US	LCD SIGNATURE PAD	E	B	5	F	N	1		5,756	5,756	5,756	0	1/15/2014	C		1/17/2014

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4500240772	GRAYBAR ELECTRIC CO INC 6605 DEERE RD SYRACUSE, NY 13206-1325 US	TRANSMISSION HARDWARE	E	B	5	N	N	3	*	10,614	10,614	10,614	0	1/15/2014	C		4/7/2014
4500241137	GRAYBAR ELECTRIC CO INC 6605 DEERE RD SYRACUSE, NY 13206-1325 US	TRANSMISSION HARDWARE	E	B	5	N	N	1	*	6,249	6,249	6,249	0	2/7/2014	C		3/7/2014
4500241154	ROOT NEAL & CO 64 PEABODY ST - PO BOX 101 BUFFALO, NY 14240 US	SUMP PUMPS	E	B	5	N	N	1		10,168	10,168	10,168	0	1/24/2014	C		3/6/2014
4500241305	STUART C IRBY CO 4583 BUCKLEY RD LIVERPOOL, NY 13088-2508 US	CONNECTORS BURNDY	E	B	5	N	N	2		5,417	5,417	5,417	0	1/28/2014	C		2/13/2014
4500241367	GOTTOGO ELECTRIC INC DBA FOR GOTTOGO ENTERPRISES 7865 E MAIN RD LE ROY, NY 14482-9747 US	TRANSFORMER	E	B	5	N	3	1		10,180	10,180	10,180	0	1/29/2014	C		5/2/2014
4500241391	AMETEK SOLIDSTATE CONTROLS INC 875 DEARBORN DR COLUMBUS, OH 43085-1586 US	POWER SUPPLY SERVICE	S	1	5	F	N	1		14,251	14,251	14,251	0	1/29/2014	C		2/11/2014
4500241400	MCQUADE & BANNIGAN INC 22696 MURROCK CIR WATERTOWN, NY 13601-5060 US	PUMPS, SUMP INGERSOL RAND (2EA)	E	B	5	N	N	3		7,734	7,618	7,618	116	1/29/2014	C		2/6/2014
4500241496	CITY ELECTRIC CO INC 23763 NYS RTE 12 WATERTOWN, NY 13601 US	CONDUCTOR/CABLE SEVERAL REELS	E	M	8	N	3	1		151,738	148,772	148,772	2,966	1/31/2014	C		6/5/2014
4500241525	GRAYBAR ELECTRIC CO INC 6605 DEERE RD SYRACUSE, NY 13206-1325 US	TRANSMISSION HARDWARE	E	B	5	N	N	3	*	5,409	5,409	5,409	0	1/31/2014	C		3/3/2014
4500241527	STUART C IRBY CO 4583 BUCKLEY RD LIVERPOOL, NY 13088-2508 US	TRANSMISSION HARDWARE	E	B	5	N	N	3		14,407	14,407	14,407	0	1/31/2014	C		3/21/2014
4500241536	MX PETROLEUM CORP PO BOX 638 MASSENA, NY 13662 US	GASOLINE UNLEADED	E	B	7	N	1	1		8,165	8,151	8,151	14	2/3/2014	C		2/3/2014

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4500241542	XYLEM WATER SOLUTIONS USA INC 600 MILE CROSSING BLVD - STE 3 ROCHESTER, NY 14624-6243 US	FYLGT PUMP REPAIR (2EA)	S	B	5	N	N	1		7,367	6,406	6,406	961	2/3/2014	C		3/19/2014
4500241778	SIEWERT EQUIPMENT DIV OF CUMMINS-WAGNER-SIEWERT LLC 175 AKRON ST ROCHESTER, NY 14609-7297 US	PUMP VERTICAL TURBINE	E	B	5	N	N	1	*	13,962	13,962	13,962	0	2/7/2014	C		5/15/2014
4500241780	ACI CONTROLS INC 295 MAIN ST WEST SENECA, NY 14224-2818 US	HEATERS, BLANKETS FOR SF6 CYLINDERS	E	B	5	N	N	2		9,490	9,490	9,490	0	2/7/2014	C		3/19/2014
4500241786	GRAYBAR ELECTRIC CO INC 6605 DEERE RD SYRACUSE, NY 13206-1325 US	TRANSMISSION HARDWARE	E	B	5	N	N	4	*	6,021	6,021	6,021	0	2/10/2014	C		2/24/2014
4500241790	WESCO DISTRIBUTION INC 465 NEWELL ST - PO BOX 207 WATERTOWN, NY 13601-2427 US	TRANSMISSION LINE HARDWARE	E	B	5	N	N	4	*	14,814	14,814	14,814	0	2/10/2014	C		5/29/2014
4500241795	CED BALDWIN HALL CO PO BOX 2552 HARRISBURG, PA 17105 US	PROSOFT COMMUNICATION MODULES	E	B	5	N	N	2		8,322	8,239	8,239	83	2/10/2014	C		3/21/2014
4500241986	GE ANALYTICAL INSTRUMENTS INC 6060 SPINE RD - STE 101 BOULDER, CO 80301-3688 US	OIL DETECTOR	E	B	5	N	N	1	*	12,013	12,013	12,013	0	2/14/2014	C		3/18/2014
4500241994	FEDERAL MOGUL DEVA GMBH SCHUL STRASSE 20 STADTALLENDORF, 1 35260 DE	AC BUSHING SPARE PARTS	E	1	8	F	N	1	*	41,894	41,894	41,894	0	2/14/2014	C		6/23/2014
4500242340	WESCO DISTRIBUTION INC 465 NEWELL ST - PO BOX 207 WATERTOWN, NY 13601-2427 US	HUBBELL/CHANCE MATERIAL	E	B	5	N	N	3	*	6,224	6,224	6,224	0	2/24/2014	C		3/14/2014
4500242696	CITY ELECTRIC CO INC 23763 NYS RTE 12 WATERTOWN, NY 13601 US	DISCONNECT SWITCHES	E	M	8	N	3	1		17,960	17,746	17,746	214	3/4/2014	C		7/24/2014

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4500242760	WILLIAMS SCOTSMAN INC PO BOX 50 PHOENIX, NY 13135 US	RENTAL OF MOBILE OFFICE	E	B	7	N	N	1		13,433	4,558	4,558	8,875	3/5/2014	O	3/31/2017	
4500242843	SIEMENS INDUSTRY INC 7000 SIEMENS RD WENDELL, NC 27591-8309 US	SF6 CIRCUIT BREAKER MAINTENANCE TESTING	S	B	5	F	N	1		9,965	9,965	9,965	0	3/7/2014	C		7/23/2014
4500242846	AIRGAS USA LLC DBA FOR AIRGAS INC 96 CR8 BRUSHTON, NY 12916 US	WELDER	E	B	5	N	N	2		5,347	5,347	5,347	0	3/7/2014	C		3/24/2014
4500242879	SOUTHERN STATES LLC C/O POWER SALES GROUP INC 175 ANDOVER ST - STE 105 DANVERS, MA 01923-1443 US	MOTOR OPERATOR FOR DISCONNECT	E	B	5	F	N	1	*	9,962	9,962	9,962	0	3/10/2014	C		7/9/2014
4500242933	EAST COAST METALLIC TUBING & HARDWARE SUPPLY CORP 1951 OCEAN AVE STE 4 RONKONKOMA, NY 11779-6564 US	OMICRON RELAY TEST EQUIPMENT	E	M	8	N	3	1		86,825	86,825	86,825	0	3/11/2014	C		4/25/2014
4500243042	MX PETROLEUM CORP PO BOX 638 MASSENA, NY 13662 US	GASOLINE UNLEADED (1836GAL)	E	B	7	N	1	1		5,751	5,751	5,751	0	3/12/2014	C		3/12/2014
4500243066	ROHE INTERNATIONAL INC 349 NORTHGATE DR WARRENDALE, PA 15086-7572 US	COMPRESSORS	E	B	5	F	N	1	*	11,048	11,048	11,048	0	3/13/2014	C		4/22/2014
4500243094	PENTEK INC 1026 FOURTH AVE CORAOPOLIS, PA 15108 US	LEAD PAINT REMOVAL SYSTEM	E	B	5	F	N	1	*	15,122	15,122	15,122	0	3/13/2014	C		3/31/2014
4500243179	KORRECT OPTICAL 3801 BISHOP LN LOUISVILLE, KY 40218-2905 US	SAFETY EYEGLASSES	E	B	5	F	N	2		14,000	4,928	4,928	9,072	3/17/2014	O	3/16/2015	
4500243279	INDUSTRIAL HANDLING SERVICES INC PO BOX 2922 GLENVILLE, NY 12325-0922 US	THRUSTER BRAKES	E	B	5	N	1	2	*	10,512	10,512	10,512	0	3/19/2014	C		5/8/2014
4500243306	MX PETROLEUM CORP PO BOX 638 MASSENA, NY 13662 US	GASOLINE	E	B	7	N	1	1		5,216	5,207	5,207	9	3/19/2014	C		3/19/2014

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4500243401	3 GALS INDUSTRIAL LLC PO BOX 177 OYSTER BAY, NY 11771-0177 US	HARDWARE (SCREWS ,WASHERS,NUTS)	E	B	5	N	3	3		9,752	9,752	9,752	0	3/21/2014	C		4/11/2014
4500243424	THYSSEN ELEVATOR CO 6067 CORPORATE DR EAST SYRACUSE, NY 13057-1082 US	ELEVATOR / ESCALATOR TESTING	S	B	5	N	N	1		14,500	14,500	14,500	0	3/24/2014	C		5/23/2014
4500243528	NORTHERN GLASS CO INC PO BOX 865 - 22443 NYS RTE 37 WATERTOWN, NY 13601-0865 US	WINDOW REPLACEMENT	S	M	8	N	3	1		33,721	32,578	32,578	1,143	3/26/2014	C		5/30/2014
4500243640	A I W INC 90 STATE ST - STE 712 ALBANY, NY 12207-1716 US	POWER COMMUNICATION ANALYZER	E	M	8	N	4	2		30,141	30,141	30,141	0	3/28/2014	C		6/3/2014
4500243692	FERGUSON ENTERPRISES INC 2920 WALDEN AVE DEPEW, NY 14043-2603 US	CARBON STEEL PIPE	E	B	5	N	N	1		7,560	7,485	7,485	75	3/31/2014	C		4/17/2014
4500243693	GRAYBAR ELECTRIC CO INC 6605 DEERE RD SYRACUSE, NY 13206-1325 US	HUBBELL TEST TERMINALS	E	B	7	N	N	1	*	52,787	52,787	52,787	0	3/31/2014	C		7/16/2014
4500243697	ADIRONDACK ENERGY PRODUCTS INC ADIRONDACK PROPANE 17 JUNCTION RD MALONE, NY 12953-4217 US	DIESEL WINTER MIX (2499.8 GAL)	E	B	7	N	1	1		9,324	9,317	9,317	7	3/31/2014	C		3/31/2014
4500243743	SYRACUSE SUPPLY DBA FOR QMP ENTERPRISES INC 43 COUNTY ROUTE 59 PHOENIX, NY 13135-2116 US	METAL MUNCHING MACHINE & ACCESSORIES	E	M	8	N	1	1	*	40,219	40,219	40,219	0	4/1/2014	C		4/24/2014
4500243751	GRAYBAR ELECTRIC CO INC 6605 DEERE RD SYRACUSE, NY 13206-1325 US	ELECTRICAL CONNECTORS	E	B	5	N	N	4		6,776	6,776	6,776	0	4/1/2014	C		5/20/2014
4500243796	SAFETY SOLUTIONS INC 222 TEALL AVE SYRACUSE, NY 13210-1219 US	SAFETY SHOES	E	B	5	N	N	4		9,500	7,250	7,250	2,250	6/23/2014	O	6/22/2015	
4500243799	DIVAL SAFETY EQUIPMENT INC 1721 NIAGARA ST BUFFALO, NY 14207-3108 US	SAFETY SHOES	E	B	5	N	N	4		10,000	9,230	9,230	770	6/23/2014	O	6/22/2015	

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4500243821	GRAYBAR ELECTRIC CO INC 6605 DEERE RD SYRACUSE, NY 13206-1325 US	HUBBELL SURGE ARRESTORS	E	B	7	N	N	1	*	18,532	18,532	18,532	0	4/2/2014	C		5/9/2014
4500243848	ENERFIN INC 130 DE L'ISERE ST CANDIAC, QC J5R 0M2 CA	STATOR COOLER RETUBING	S	B		F	N	1		91,624	91,624	91,624	0	4/3/2014	C		7/29/2014
4500243945	VELLANO BROTHERS INC 7 HEMLOCK ST LATHAM, NY 12110 US	GATE VALVES	E	B	5	N	N	1		12,960	12,960	12,960	0	4/7/2014	C		5/2/2014
4500243965	CITY ELECTRIC CO INC 23763 NYS RTE 12 WATERTOWN, NY 13601 US	DOSSERT CONNECTORS	E	M	8	N	3	1		40,239	39,435	39,435	804	4/7/2014	C		7/30/2014
4500244286	ABB INC 940 MAIN CAMPUS DR RALEIGH, NC 27606-5211 US	CONTACT FINGERS	E	B	5	F	N	1		6,672	0	0	6,672	4/15/2014	O	1/8/2015	
4500244377	ABB INC 940 MAIN CAMPUS DR RALEIGH, NC 27606-5211 US	AIR COMPRESSOR	E	B	5	F	N	1		6,419	6,419	6,419	0	4/16/2014	C		6/20/2014
4500244378	S & C ELECTRIC CO INC C/O VJ BROWN CO INC 622 TACOMA AVE - STE 1 BUFFALO, NY 14216-2400 US	CERTIFIED FIELD TECHNICIANS	S	1	8	N	N	1		42,205	15,863	15,863	26,342	4/16/2014	C		10/31/2014
4500244399	ABB INC 940 MAIN CAMPUS DR RALEIGH, NC 27606-5211 US	ABB PARTS FOR BREAKER OVERHAUL	E	B	5	F	N	1		14,970	14,970	14,970	0	4/17/2014	C		6/28/2014
4500244589	BARRETT PAVING MATERIALS INC 7971 STATE HIGHWAY 56 NORWOOD, NY 13668-3252 US	GRAVEL, 4" CRUSHER RUN	E	B	7	N	N	1		6,409	6,409	6,409	0	4/23/2014	C		4/23/2014
4500244648	MX PETROLEUM CORP PO BOX 638 MASSENA, NY 13662 US	GASOLINE UNLEAD (2598GAL)	E	B	7	N	1	1		8,025	8,006	8,006	19	4/25/2014	C		4/25/2014
4500244650	ADIRONDACK ENERGY PRODUCTS INC ADIRONDACK PROPANE 17 JUNCTION RD MALONE, NY 12953-4217 US	DIESEL WINTER MIX	E	B	7	N	1	1		23,330	23,279	23,279	51	4/25/2014	C		4/25/2014
4500244764	SEA BOX INC 1 SEA BOX DR CINNAMINSON, NJ 08077-2022 US	STORAGE UNIT	E	B	5	N	N	1		5,070	5,070	5,070	0	4/29/2014	C		5/19/2014

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4500244778	AQUATIC SCIENCES LP 40 CENTRE DR ORCHARD PARK, NY 14127 US	ZEBRA MUSSEL SAMPLING	S	B	5	N	N	1		9,016	9,016	9,016	0	4/29/2014	C		12/29/2014
4500244812	FM OFFICE EXPRESS INC DBA FM OFFICE PRODUCTS 106 DESPATCH DR - STE 2 EAST ROCHESTER, NY 14445-1448 US	SENSORS	E	B	7	N	4	1		6,675	6,675	6,675	0	4/30/2014	C		5/6/2014
4500244884	ASCO SERVICES INC 50-60 HANOVER RD FLORHAM PARK, NJ 07932-1503 US	ASCO SWITCH	E	B	5	F	N	1	*	5,422	5,422	5,422	0	5/1/2014	C		5/29/2014
4500244885	GRAYBAR ELECTRIC CO INC 6605 DEERE RD SYRACUSE, NY 13206-1325 US	WALL HEATERS AND BRACKETS	E	B	5	N	N	3		9,091	9,091	9,091	0	5/1/2014	C		5/29/2014
4500244970	GRAYBAR ELECTRIC CO INC 6605 DEERE RD SYRACUSE, NY 13206-1325 US	AFL HARDWARE	E	B	5	N	N	2		5,733	5,733	5,733	0	5/2/2014	C		7/2/2014
4500244999	STUART C IRBY CO 4583 BUCKLEY RD LIVERPOOL, NY 13088-2508 US	BECKWITH PARTS FOR AUTOTRANSFORMER REPLACEMENT	E	B	5	N	N	2		7,874	7,874	7,874	0	5/5/2014	C		5/22/2014
4500245086	WESCHLER INSTRUMENTS DIV OF HUGHES CORP 803 WEST AVE - STE 315 ROCHESTER, NY 14611 US	CONTACT THERMOMETER	E	B	5	N	N	2	*	6,954	6,954	6,954	0	5/7/2014	C		7/7/2014
4500245223	DAIKIN APPLIED AMERICAS INC 13600 INDUSTRIAL PARK BLVD MINNEAPOLIS, MN 55441-3743 US	HVAC CHILLER REPAIR	S	B	5	N	N	1		17,589	17,589	17,589	0	6/12/2014	C		6/12/2014
4500245243	LIVERPOOL SHOES INC 306 TULIP ST LIVERPOOL, NY 13088-6649 US	SAFETY SHOES	E	B	5	N	1	5		14,900	13,307	13,307	1,593	6/23/2014	O	6/22/2015	
4500245345	ROHE INTERNATIONAL INC 349 NORTHGATE DR WARRENDALE, PA 15086-7572 US	COMPRESSOR	E	B	5	F	N	1	*	5,677	5,677	5,677	0	5/14/2014	C		5/29/2014
4500245467	POWERLOGICS INC 1115 MARBELLA PLAZA DR TAMPA, FL 33619-7905 US	ASCO AUTO TRANSFER SWITCH	E	M	8	F	3	1	*	44,541	44,541	44,541	0	5/16/2014	C		8/6/2014

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4500245637	QUALITROL COMPANY LLC 1385 FAIRPORT RD FAIRPORT, NY 14450 US	QUALITROL SPARE PARTS	E	1	5	N	N	1	*	11,175	11,175	11,175	0	5/21/2014	C		6/18/2014
4500245641	AMETEK POWER INSTRUMENTS 255 N UNION ST ROCHESTER, NY 14605-2644 US	ANNUNCIATORS	E	2	8	N	N	1	*	53,882	53,882	53,882	0	5/21/2014	C		6/18/2014
4500245661	BOGOSIAN CARPET & FURNITURE 70 WILLOW ST MASSENA, NY 13662 US	CARPET/MAT RENTAL	E	B	5	N	N	1		14,000	5,105	5,105	8,895	5/22/2014	O	5/21/2015	
4500245865	OIL SKIMMERS INC DBA FOR OSI ENVIRONMENTAL LLC 12800 YORK RD NORTH ROYALTON, OH 44133-3682 US	OIL SKIMMER SYSTEM	E	B	5	F	N	1	*	7,387	7,387	7,387	0	5/29/2014	C		6/12/2014
4500246019	ADAMS GLASS LLC 5 TRADE RD PLATTSBURGH, NY 12901 US	DOOR REPLACEMENT	S	B	5	N	3	1		24,950	10,230	10,230	14,720	6/2/2014	O	2/13/2015	
4500246071	ADIRONDACK ENERGY PRODUCTS INC ADIRONDACK PROPANE 17 JUNCTION RD MALONE, NY 12953-4217 US	BIODIESEL 6000GAL	E	B	7	N	1	1		24,449	24,357	24,357	92	6/3/2014	C		6/3/2014
4500246078	WESTERN NEW YORK FLUID SYSTEM TECHNOLOGIES INC 6511 BASILE ROWE EAST SYRACUSE, NY 13057-2928 US	SWAGELOK FITTINGS	E	1	5	N	N	1		13,682	13,545	13,545	137	6/3/2014	C		6/19/2014
4500246267	MX PETROLEUM CORP PO BOX 638 MASSENA, NY 13662 US	GASOLINE UNLEADED	E	B	7	N	1	1		7,925	7,857	7,857	68	6/9/2014	C		6/9/2014
4500246274	J HOGAN REFRIGERATION & MECH INC PO BOX 67 - 12 CHAMBERLAIN RD PERU, NY 12972-0067 US	AIR CONDITIONER REPAIR	S	B	6	N	N	1		5,556	5,556	5,556	0	6/9/2014	C		6/11/2014
4500246343	GENERGY CORP 2 EXECUTIVE PARK DR ALBANY, NY 12203-3700 US	AUTOTRANSFORMER PARTS	E	B		N	1	3		55,195	55,195	55,195	0	6/11/2014	C		7/23/2014

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4500246424	ELECTROSWITCH CORP 180 KING AVE WEYMOUTH, MA 02188-2904 US	SELECTOR SWITCHES (SEVERAL)	E	B	5	F	N	1	*	10,120	10,120	10,120	0	6/12/2014	C		7/24/2014
4500246446	BARRETT PAVING MATERIALS INC 7971 STATE HIGHWAY 56 NORWOOD, NY 13668-3252 US	STONE	E	B	7	N	N	1		10,695	6,268	6,268	4,427	6/12/2014	C		11/30/2014
4500246504	MORRISONVILLE SEPTIC LLC DONALD RABIDEAU JR 123 RABIDEAU ST CADYVILLE, NY 12918-2709 US	SEPTIC SERVICE	S	B	5	N	1	1		8,500	5,005	5,005	3,495	6/13/2014	O	6/12/2015	
4500246875	ADIRONDACK STORAGE BARNS 11726 US HIGHWAY 11 NORTH LAWRENCE, NY 12967-1534 US	STORAGE SHED	E	B	5	N	N	2		7,159	7,159	7,159	0	6/24/2014	C		7/23/2014
4500247131	GM PUMP REPAIR INC 161 BAY 11TH ST BROOKLYN, NY 11228-3704 US	YEOMANS PUMP PARTS	E	M	8	N	1	1	*	16,287	16,287	16,287	0	7/1/2014	C		8/20/2014
4500247289	REINHAUSEN MANUFACTURING INC 2549 N 9TH AVE HUMBOLDT, TN 38343-1747 US	TAP CHANGER MAINTENANCE	S	1	8	F	N	1	*	16,346	16,346	16,346	0	7/8/2014	C		9/12/2014
4500247363	EMPIRE TECH SOLUTIONS INC 1151 PITTSFORD VICTOR RD STE 100 PITTSFORD, NY 14534 US	DELMONOX ELEMENT & CARTRIDGE	E	1	5	N	N	1	*	6,102	6,102	6,102	0	7/9/2014	C		7/16/2014
4500247495	ARBORCHEM PRODUCTS INC 943 NIXON DR MECHANICSBURG, PA 17055 US	HERBICIDE	E	B	5	F	N	2		5,844	5,844	5,844	0	7/14/2014	C		7/17/2014
4500247534	S & C ELECTRIC CO INC C/O VJ BROWN CO INC 622 TACOMA AVE - STE 1 BUFFALO, NY 14216-2400 US	CIRCUIT SWITCHERS (2EA)	E	1	8	N	N	1		258,405	240,450	240,450	17,955	7/14/2014	O	6/30/2015	
4500247586	LIFE SCIENCE LABORATORIES INC 5854 BUTTERNUT DR EAST SYRACUSE, NY 13057-9513 US	SPDES SAMPLING AND ANALYSIS.	S	M	8	N	1	1		20,000	7,205	7,205	12,795	7/16/2014	O	7/15/2015	

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4500247728	RSI ROOFING INC 44 COUNTY RTE 9 - BOX 282B GOUVERNEUR, NY 13642-2565 US	ROOF REPLACEMENT SECURITY COMPLEX /INSTALL SNOW RETENTION SYSTEM AND GUTTER REPAIR @ MASSENA SUB STATION	C	M	8	N	1	1		97,140	97,140	97,140	0	7/21/2014	C		9/9/2014
4500247861	MX PETROLEUM CORP PO BOX 638 MASSENA, NY 13662 US	GASOLINE (2671GAL)	E	B	7	N	1	1		8,602	8,587	8,587	15	7/23/2014	C		7/23/2014
4500247872	DILO COMPANY INC 11642 PYRAMID DR ODESSA, FL 33556-3449 US	DILO FITTINGS	E	B	5	F	N	1	*	9,045	9,045	9,045	0	7/23/2014	C		10/21/2014
4500247913	ABB INC 940 MAIN CAMPUS DR RALEIGH, NC 27606-5211 US	AIR BLADDER	E	B	5	F	N	1		6,090	6,090	6,090	0	7/24/2014	C		9/25/2014
4500248184	EFACEC POWER TRANSFORMERS INC 2789 HIGHWAY 21 S RINCON, GA 31326-3330 US	AUTO TRANSFORMER SERVICE/REPAIR	S	B		N	N	3		30,304	29,698	29,698	606	7/31/2014	C		9/19/2014
4500248284	ADIRONDACK ENERGY PRODUCTS INC ADIRONDACK PROPANE 17 JUNCTION RD MALONE, NY 12953-4217 US	BIO DIESEL (7000 GALS)	E	B	7	F	1	1		29,240	29,212	29,212	28	8/4/2014	C		8/4/2014
4500248298	ABB INC 940 MAIN CAMPUS DR RALEIGH, NC 27606-5211 US	LEVER ABB OPERATING 10EA	E	B	5	F	N	1		8,604	8,604	8,604	0	8/4/2014	C		9/18/2014
4500248300	GRAYBAR ELECTRIC CO INC 6605 DEERE RD SYRACUSE, NY 13206-1325 US	VALVES & GUIDES WESTINGHOUSE	E	B	5	F	N	1		8,602	8,202	8,202	400	8/4/2014	C		9/22/2014
4500248473	A H HARRIS & SONS INC PO BOX 330 MASSENA, NY 13662-0330 US	INSULATION BOARD	E	B	5	F	N	3		9,478	9,478	9,478	0	8/8/2014	C		8/18/2014
4500248544	WESCO DISTRIBUTION INC 465 NEWELL ST - PO BOX 207 WATERTOWN, NY 13601-2427 US	AFL BUS BAR	E	B	5	F	N	3		5,808	5,808	5,808	0	8/11/2014	C		9/22/2014
4500248577	BARCODES INC DBA FOR BARCODES LLC 200 W MONROE ST - STE 1050 CHICAGO, IL 60606-5122 US	RADIOS MOTOROLA (3EACH)	E	B	7	F	N	1		20,307	20,307	20,307	0	8/12/2014	C		9/2/2014

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4500248633	BARRETT PAVING MATERIALS INC 7971 STATE HIGHWAY 56 NORWOOD, NY 13668-3252 US	AGGREGATE FOR SHORELINE STABILIZATION	E	B	7	N	N	1		45,510	35,968	35,968	9,542	8/13/2014	C		11/14/2014
4500248802	WESCO DISTRIBUTION INC 465 NEWELL ST - PO BOX 207 WATERTOWN, NY 13601-2427 US	INVERTER	E	B	5	F	N	2	*	7,433	7,433	7,433	0	8/14/2014	C		9/19/2014
4500248803	ASCO SERVICES INC 50-60 HANOVER RD FLORHAM PARK, NJ 07932-1503 US	POWER TRANSFER SWITCH	E	B	5	F	N	2	*	5,451	5,451	5,451	0	8/18/2014	C		10/14/2014
4500248847	ABB INC 940 MAIN CAMPUS DR RALEIGH, NC 27606-5211 US	MISCELLANEOUS ELECTRICAL EQUIPMENT	E	B	5	F	N	3		12,254	12,254	12,254	0	8/19/2014	C		10/1/2014
4500248956	PRINOTH OF NORTH AMERICA INC DBA PRINOTH LLC 2746 SEEBER ST - BUILDING B GRAND JUNCTION, CO 81506-7303 US	TRACKS	E	B	5	F	N	2		13,453	13,393	13,393	60	8/20/2014	C		10/16/2014
4500249202	JEFFORDS STEEL & ENGINEERING CO PO BOX 40 PLATTSBURGH, NY 12901-0040 US	STEEL SEVERAL FABRICATED ITEMS	E	B	5	N	1	1		21,616	21,616	21,616	0	8/27/2014	C		10/3/2014
4500249438	ALSTOM GRID INC 1 RITZ AVE WAYNESBORO, GA 30830-3412 US	230KV TRANSFORMER	E	2	5	F	N	2		8,890	0	0	8,890	9/4/2014	O	4/30/2015	
4500249498	MX PETROLEUM CORP PO BOX 638 MASSENA, NY 13662 US	GASOLINE UNLEAD (3500 GAL)	E	B	7	F	1	1		10,521	10,508	10,508	13	9/5/2014	C		9/5/2014
4500249561	SEASONS SPECIALTY GIFTS LLC 27 W ORVIS ST MASSENA, NY 13662-1833 US	OFFICE FURNITURE	E	B	7	N	3	1		19,671	19,671	19,671	0	9/8/2014	C		9/30/2014
4500249717	DOWD BATTERY CO INC 1571 BRONSON RD GRAND ISLAND, NY 14072-2910 US	STATION BATTERY ADIRONDACK SUBSTATION (2 BANKS)	E	M	8	F	1	1		110,476	0	0	110,476	9/10/2014	C		12/26/2014
4500249817	JOHNSON CONTROLS INC 130 JOHN MUIR DR - STE 100 AMHERST, NY 14228-1139 US	SECURITY SYSTEMS MAINTENANCE	S	B	7	N	N	1		21,210	21,210	21,210	0	10/1/2014	O	9/30/2015	

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4500249971	KIRK KEY INTERLOCK CO 9048 MERIDIAN CIR NW NORTH CANTON, OH 44720-8387 US	KIRK KEY LOCKS	E	B	5	F	N	2	*	9,321	9,321	9,321	0	9/17/2014	C		9/26/2014
4500250050	BARRETT PAVING MATERIALS INC 7971 STATE HIGHWAY 56 NORWOOD, NY 13668-3252 US	STONE/GRAVEL	E	B	7	N	N	1		7,293	7,293	7,293	0	9/18/2014	C		9/18/2014
4500250126	FLIR COMMERCIAL SYSTEMS INC FORMERLY FLIR SYSTEMS INC 9 TOWNSEND W NASHUA, NH 03063-1233 US	CAMERA, THERMAL IMAGING FLIR	E	1	8	F	N	1		99,500	99,500	99,500	0	9/22/2014	C		9/29/2014
4500250301	CITY ELECTRIC CO INC 23763 NYS RTE 12 WATERTOWN, NY 13601 US	PYLE NATIONAL RECEPTACLES	E	B	5	F	3	2		7,104	6,962	6,962	142	9/25/2014	C		10/1/2014
4500250327	DOWD BATTERY CO INC 1571 BRONSON RD GRAND ISLAND, NY 14072-2910 US	STATION BATTERIES WILLIS SUBSTATION	E	M	8	F	1	1		49,756	0	0	49,756	9/25/2014	O	1/30/2015	
4500250529	GENERAL ELECTRIC CO T & D SALES SUPPORT CENTER 4601 PARK RD STE 600 CHARLOTTE, NC 28209 US	GE RELAY	E	B	5	F	N	2	*	5,244	5,244	5,244	0	10/1/2014	C		11/4/2014
4500250784	AMERICAN ROCK SALT CO LLC DBA FOR AMERICAN ROCK SALT HOLDING PO BOX 190 MOUNT MORRIS, NY 14510-0190 US	SALT, ROAD BULK	E	B	7	F	N	1		73,984	46,914	46,914	27,070	10/7/2014	O	3/30/2015	
4500250808	MX PETROLEUM CORP PO BOX 638 MASSENA, NY 13662 US	GASOLINE (2189 GAL)	E	B	7	F	1	1		6,722	6,715	6,715	7	10/8/2014	C		10/8/2014
4500250816	GM PUMP REPAIR INC 161 BAY 11TH ST BROOKLYN, NY 11228-3704 US	PUMP REPAIR	S	M	5	N	1	1		11,685	6,406	6,406	5,279	10/8/2014	O	2/6/2015	
4500250876	NORTHERN GLASS CO INC PO BOX 865 - 22443 NYS RTE 37 WATERTOWN, NY 13601-0865 US	WINDOW REPLACEMENT	C	M	8	N	3	1		80,017	79,961	79,961	56	10/9/2014	C		12/1/2014

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4500251186	ROOT NEAL & CO 64 PEABODY ST - PO BOX 101 BUFFALO, NY 14240 US	SUMP PUMPS	E	B	5	N	N	1		8,064	8,064	8,064	0	10/17/2014	C		10/31/2014
4500251251	EMPIRE TECH SOLUTIONS INC 1151 PITTSFORD VICTOR RD STE 100 PITTSFORD, NY 14534 US	DELMONOX CARTRIDGES AND MISCELLANEOUS MATERIAL	E	B	5	F	N	1	*	7,635	7,635	7,635	0	10/20/2014	C		10/28/2014
4500251260	ABB INC 940 MAIN CAMPUS DR RALEIGH, NC 27606-5211 US	GAS COMPRESSORS	E	B	5	F	N	1	*	14,100	14,100	14,100	0	10/20/2014	C		10/21/2014
4500251330	MX PETROLEUM CORP PO BOX 638 MASSENA, NY 13662 US	GASOLINE (2300 GALS)	E	B	7	F	1	1		6,878	6,869	6,869	9	10/21/2014	C		10/21/2014
4500251425	TEMP-PRESS INC 30 HYTEC CIRCLE ROCHESTER, NY 14606 US	ANNUNCIATOR	E	B	5	N	N	1		6,448	0	0	6,448	10/23/2014	C		12/1/2014
4500251455	BARRETT PAVING MATERIALS INC 7971 STATE HIGHWAY 56 NORWOOD, NY 13668-3252 US	#2 STONE	E	B	7	N	N	1		6,331	6,331	6,331	0	10/23/2014	C		11/24/2014
4500251769	GRAYBAR ELECTRIC CO INC 6605 DEERE RD SYRACUSE, NY 13206-1325 US	TRANSMISSION MATERIAL	E	B	5	F	N	3		5,565	5,565	5,565	0	10/31/2014	C		12/3/2014
4500251868	JEFFERSON CONCRETE CORP 22850 MURROCK CIR WATERTOWN, NY 13601-5081 US	ANCHORS	E	M	5	N	1	1		6,960	6,960	6,960	0	11/4/2014	C		11/14/2014
4500251976	BARRETT PAVING MATERIALS INC 7971 STATE HIGHWAY 56 NORWOOD, NY 13668-3252 US	AGGREGATE	E	B	5	N	N	1		10,165	10,157	10,157	8	11/6/2014	C		11/6/2014
4500252048	S & L ELECTRIC INC 5313 STATE HIGHWAY 56 COLTON, NY 13625 US	GENERATOR INSTALLATION	S	B	5	N	N	1		5,790	0	0	5,790	11/26/2014	O	2/20/2015	
4500252102	BARRETT PAVING MATERIALS INC 7971 STATE HIGHWAY 56 NORWOOD, NY 13668-3252 US	AGGREGATE	E	B	5	N	N	1		6,331	6,331	6,331	0	11/10/2014	C		11/10/2014
4500252215	TMT CONTRACTORS INC 1484 US HIGHWAY 11 GOUVERNEUR, NY 13642-3407 US	SNOW REMOVAL COOPER HILL	S	M	5	N	1	1		14,000	2,800	2,800	11,200	11/13/2014	O	5/31/2015	

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CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	TYPE	MOA	RNA	OPA	MWBE	BIDS	FRT	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2014	CONTRACT BALANCE	DATE OF CONTRACT	O\C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
4500252217	BUB'S ENTERPRISES LLC DALE EARL 3893 STATE ROUTE 11 MALONE, NY 12953-3725 US	SNOW REMOVAL DULEY SUBSTATION	S	M	5	N	1	1		10,000	800	800	9,200	11/13/2014	O	5/31/2015	
4500252626	HVB AE POWER SYSTEMS INC 7250 MCGINNIS FERRY RD SUWANEE, GA 30024 US	ALUMAFLEX HEATERS	E	B	5	F	N	3	*	13,136	13,136	13,136	0	11/24/2014	C		12/3/2014
4500252677	GRAYBAR ELECTRIC CO INC 6605 DEERE RD SYRACUSE, NY 13206-1325 US	FLUKE METERS & ACCESSORIES	E	B	7	F	N	3		25,466	25,466	25,466	0	11/25/2014	C		12/11/2014
4500252749	N C C SYSTEMS INC PO BOX 670 POTSDAM, NY 13676 US	CAMERA 3EA OUTDOOR SECURITY NETWORK	E	B	7	F	1	1		11,405	0	0	11,405	11/26/2014	C		12/4/2014
4500252969	GE ENERGY SERVICES INC 4601 PARK RD - STE 600 CHARLOTTE, NC 28209-3799 US	DIFFFERENTIAL RELAY	E	B	5	F	N	2		9,499	0	0	9,499	12/5/2014	C		12/30/2014
4500253466	WESCO DISTRIBUTION INC 465 NEWELL ST - PO BOX 207 WATERTOWN, NY 13601-2427 US	ARMOR RODS	E	B	5	F	N	2		14,555	0	0	14,555	12/18/2014	O	2/15/2015	
4500253643	SUPERIOR PLUS ENERGY SERVICES INC DBA GRIFFITH ENERGY 1870 WINTON RD S - STE 200 ROCHESTER, NY 14618-3960 US	DIESEL WINTER BLEND	E	B	7	F	N	1		11,048	0	0	11,048	12/23/2014	C		12/23/2014
4600002354	WAYNE H BROCKWAY SANITATION & PORTABLE TOILETS/WAYNE H BROCKWAY PO BOX 155 MOIRA, NY 12957-3216 US	SEPTIC TANK SERVICES	S	B		N	1	1	*	85,582	85,582	27,748	0	1/3/2011	C		12/31/2014
4600002376	CASELLA WASTE SERVICES PO BOX 5195 POTSDAM, NY 13676 US	REFUSE REMOVAL	S	B		N	N	1		400,000	204,812	69,243	195,188	4/1/2011	O	3/31/2015	
4600002380	KORRECT OPTICAL 3801 BISHOP LN LOUISVILLE, KY 40218-2905 US	SAFETY GLASSES	E	B		F	N	3		30,000	13,132	1,109	16,868	3/16/2011	C		3/15/2014

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4600002466	CRYSTAL SPRINGS DBA FOR DS WATERS OF AMERICA INC STE 500 5660 NEW NORTHSIDE DR ATLANTA, GA 30328-5826 US	WATER AND WATER COOLER RENTAL	E	B		F	N	2		24,000	17,851	4,798	6,149	1/19/2012	O	1/18/2015	
4600002492	FUSION BABBITTING CO INC 4540 W BURNHAM ST MILWAUKEE, WI 53219 US	REBABBITTING THRUST BEARING SHOES	S	B		F	N	4		215,000	179,350	77,125	35,650	4/2/2012	O	3/31/2015	
4600002503	PROFESSIONAL HEALTH SERVICES INC 83 S EAGLE RD HAVERTOWN, PA 19083 US	EMPLOYEE PHYSICALS	S	B		F	N	2		142,000	69,554	0	72,446	9/10/2012	O	10/31/2015	
4600002515	CHROMALOX C/O LIBERTY ELECTRIC SALES INC 6602 JOY RD EAST SYRACUSE, NY 13057-1108 US	HEATER CHROMALOX (LSD GATE GUIDE HEATERS)	E	B		N	N	5		90,000	69,209	0	20,791	4/12/2012	C		4/11/2014
4600002542	ESSENTRA POROUS TECHNOLOGIES DBA FOR ESSENTRA CLEANROOM PRODUCT 2255 WESTOVER RD CHICOPEE, MA 01022-1060 US	WIPING CLOTHS	E	B		F	N	2		60,000	21,662	11,312	38,338	7/12/2012	O	7/11/2015	
4600002675	VICTOR INSULATORS INC 280 MAPLE AVE VICTOR, NY 14564-1385 US	115KV / 23OKV PEDESTAL POST INSULATORS	E	B		N	N	4		300,000	33,540	0	266,460	4/11/2013	O	4/10/2016	
4600002681	MCFARLAND CASCADE HOLDINGS INC DBA MCFARLAND CASCADE 1400 IRON HORSE PARK NORTH BILLERICA, MA 1862 US	WOOD POLES	E	B		F	N	2		1,500,000	785,085	383,383	714,915	4/26/2013	O	4/25/2016	
4600002694	ENERFIN INC 130 DE L'ISERE ST CANDIAC, QC J5R 0M2 CA	RETUBING STATOR AIR COOLERS	S	B		F	N	2		286,000	283,365	126,885	2,635	4/29/2013	C		4/28/2014
4600002696	STUART C IRBY CO 4583 BUCKLEY RD LIVERPOOL, NY 13088-2508 US	TRANSMISSION HARDWARE	E	B		N	N	3		500,000	258,413	105,358	241,587	5/28/2013	O	5/27/2016	

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4600002702	NOCO ENERGY CORP 2440 SHERIDAN DR - STE 202 TONAWANDA, NY 14150-9493 US	LUBRICATING OIL	E	B		N	N	2		95,000	75,209	62,984	19,791	6/27/2013	O	6/26/2016	
4600002793	THYSSEN ELEVATOR CO 6067 CORPORATE DR EAST SYRACUSE, NY 13057-1082 US	AS NEEDED ELEVATOR REPAIR	S	B	7	N	N	1		20,000	8,517	8,517	11,483	5/5/2014	O	5/3/2015	
4600002881	ENERFIN INC 130 DE L'ISERE ST CANDIAC, QC J5R 0M2 CA	RETUBING STATOR AIR COOLERS	E	1	8	F	N	1		400,000	0	0	400,000	11/26/2014	O	11/25/2016	
		STL TOTALS								7,620,482	5,052,054	3,607,064	2,568,428				

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TOTAL NUMBER OF CONTRACTS	184
TOTAL CONTRACT VALUE	$7,620,482
TOTAL EXPENDED TO DATE	$5,052,054
TOTAL EXPENDED IN REPORT YEAR	$3,607,064

STATUS SUMMARY

TOTAL NUMBER OPEN CONTRACTS	31
TOTAL NUMBER CLOSED CONTRACTS	153

METHOD OF AWARD SUMMARY

TOTAL NUMBER COMP BID	144
TOTAL NUMBER SOLE SOURCE	11
TOTAL NUMBER SINGLE SOURCE	2
TOTAL NUMBER COMP SEARCH	1
TOTAL NUMBER MWBE/SBE	26

COMMITMENT TYPE SUMMARY

TOTAL NUMBER ARCHITECTURAL & ENGINEERING SERVICES	0
TOTAL NUMBER CONSTRUCTION	2
TOTAL NUMBER EQUIP/GOODS/COMMODITY	147
TOTAL NUMBER LEGAL SERVICES	0
TOTAL NUMBER PERSONAL SERVICES	0
TOTAL NUMBER OTHER NON PERSONAL SERVICES	35

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SITE TOTALS

TOTAL NUMBER OF CONTRACTS	2,182
TOTAL CONTRACT VALUE	$3,593,030,785
TOTAL EXPENDED TO DATE	$1,552,438,911
TOTAL EXPENDED IN REPORT YEAR	$484,236,713

STATUS SUMMARY

TOTAL NUMBER OPEN CONTRACTS	997
TOTAL NUMBER CLOSED CONTRACTS	1,185

METHOD OF AWARD SUMMARY

TOTAL NUMBER COMP BID	1,647
TOTAL NUMBER SOLE SOURCE	129
TOTAL NUMBER SINGLE SOURCE	133
TOTAL NUMBER COMP SEARCH	152
TOTAL NUMBER MWBE/SBE	121

COMMITMENT TYPE SUMMARY

TOTAL NUMBER ARCHITECTURAL & ENGINEERING SERVICES	37
TOTAL NUMBER CONSTRUCTION	231
TOTAL NUMBER EQUIP/GOODS/COMMODITY	977
TOTAL NUMBER LEGAL SERVICES	29
TOTAL NUMBER PERSONAL SERVICES	302
TOTAL NUMBER OTHER NON PERSONAL SERVICES	606

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FACILITY: WPO FOSSIL FUELS

CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	TYPE	MOA	RNA	OPA	MWBE	BIDS	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2014	CONTRACT BALANCE	DATE OF CONTRACT	O\C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
FD-1990-33	NORTHVILLE INDUSTRIES CORP 25 MELVILLE PARK RD MELVILLE, NY 11747 US	OIL STORAGE AGREEMENT (SETAUKET)	E	1		F		0	13,567,634	13,567,634	557,320	0	04/01/94	O	03/31/19	
FD-1990-34	NORTHVILLE INDUSTRIES CORP 25 MELVILLE PARK RD MELVILLE, NY 11747 US	OIL STORAGE AGREEMENT (HOLTSVILLE)	E	1		F		0	4,216,776	4,216,776	139,330	0	10/01/93	O	09/30/18	
FS-1994-17	MERRILL LYNCH (FORMERLY ENTERGY-KOCH TRADING (FORMERLY KOCH)) 20 E GREENWAY PLAZA HOUSTON, TX 77046 US	NATURAL GAS PURCHASE	E	B		F		38	274,705,317	274,705,317	3,762,867	0	07/01/94	O	*	
FS-1997-22	COLONIAL ENERGY 2 RIVERWAY SUITE 2000 HOUSTON, TX 77056 US	NATURAL GAS PURCHASE	E	B		F		38	486,856,236	486,856,236	32,695,906	0	05/01/97	O	*	
FD-2001-23	SEMPRA 58 COMMERCE ROAD STAMFORD, CT 06902 US	NATURAL GAS PURCHASE	E	B		F		38	168,290,671	168,290,671	0	0	12/01/01	O	*	
FD-2002-11	PSEG ENERGY RESOURCES 80 PARK PLAZA FL T19 NEWARK, NJ 07102 US	NATURAL GAS PURCHASE	E	B		F		38	11,017,721	11,017,721	0	0	11/01/02	O	*	
FD-2003-09	J. ARON 85 BROAD STREET NEW YORK, NY 10004 US	NATURAL GAS PURCHASE	E	B		F		38	83,241,402	83,241,402	0	0	04/22/03	O	*	
FD-2003-10	BP ENERGY COMPANY 501 WESTLAKE PARK HOUSTON, TX 77253 US	NATURAL GAS PURCHASE	E	B		F		38	206,642,957	206,642,957	1,044,175	0	10/01/04	O	*	
FD-2003-12	CONSTELLATION POWER SOURCE 111 MARKET PLACE BALTIMORE, MD 21202 US	NATURAL GAS PURCHASE	E	B		F		38	55,860,651	55,860,651	0	0	06/09/03	O	*	
FD-2003-15	VIRGINIA POWER ENERGY MARKETING P. O. BOX 25773 RICHMOND, VA 23360 US	NATURAL GAS PURCHASE	E	B		F		38	629,265,426	629,265,426	0	0	07/11/03	O	*	
FD-2004-08	SHELL ENERGY NA (FORMERLY CORAL ENERGY) 909 FANNIN PLAZA HOUSTON, TX 77010 US	NATURAL GAS PURCHASE	E	B		F		38	75,804,347	75,804,347	1,462,352	0	04/01/04	O	*	

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FD-2004-09	SOUTHWEST ENERGY LP TWO GREENWAY PLAZA HOUSTON, TX 77046 US	NATURAL GAS PURCHASE	E	B		F		38	180,117,537	180,117,537	0	0	06/01/04	O	*	
FD-2005-02	BOSS ENERGY LTD 20445 STATE HWY 249 HOUSTON, TX 77070 US	NATURAL GAS PURCHASE	E	B		F		38	73,839,766	73,839,766	0	0	01/25/05	O	*	
FD-2005-12	NJR ENERGY SERVICES CO. 1415 WYCKOFF ROAD WALL, NJ 07719 US	NATURAL GAS PURCHASE	E	B		F		38	369,159,553	369,159,553	95,879,289	0	09/01/05	O	*	
FD-2006-03	UBS AG / UBS ENERGY LLC 677 WASHINGTON BLVD STAMFORD, CT 06901 US	NATURAL GAS PURCHASE	E	B		F		38	126,500,213	126,500,213	0	0	07/28/06	O	*	
FD-2006-05	PETROCOM VENTURES LTD 1616 S VOSS SUITE 945 HOUSTON, TX 77057 US	NATURAL GAS PURCHASE	E	B		F		38	44,715,916	44,715,916	0	0	07/21/06	O	*	
FD-2006-07	TEXAS GAS TRANSMISSION 3800 FREDERICA STREET OWENSBORO, KY 42301 US	FIRM GAS TRANSPORT/DEMAND AGREEMENT	S	1		F		0	28,497,202	28,497,202	2,239,377	0	07/01/06	O	10/31/16	
FD-2006-08	DOMINION TRANSMISSION 120 TREDEQAR STREET RICHMOND, VA 23219 US	FIRM GAS TRANSPORT/DEMAND AGREEMENT	S	1		F		0	21,716,110	21,716,110	2,240,230	0	07/01/06	O	10/31/16	
FD-2006-09	TRANSCONTINENTAL GAS PIPELINE (TGPL. 5316) 2800 POST OAK BLVD HOUSTON, TX 77056 US	FIRM GAS TRANSPORT/DEMAND AGREEMENT	S	1		F		0	13,144,077	13,144,077	1,577,139	0	08/04/06	O	03/31/17	
FD-2006-12	TENASKA MARKETING VENTURES 11718 NICHOLAS STREET OMAHA, NE 68154 US	NATURAL GAS PURCHASE	E	B		F		38	24,432,108	24,432,108	1,339,590	0	10/01/06	O	*	
FD-2007-08	SPARK ENERGY GAS 3010 BRIORPARK DR SUITE 550 HOUSTON, TX 77042 US	NATURAL GAS PURCHASE	E	B		F		38	175,436,155	175,436,155	41,704,564	0	2/14/2007	O	*	
FD-2007-09	INUPIAT ENERGY (FORMERLY ENJET, INC) 5373 WEST ALABAMA- SUITE 502 HOUSTON, TX 77056 US	NATURAL GAS PURCHASE	E	B		F		38	148,511,468	148,511,468	0	0	3/21/2007	O	*	
FD-2007-10	ASTORIA GENERATING COMPANY, LP 18-01 20TH AVENUE ASTORIA, NY 11105 US	OIL DELIVERY LABOR FEES	S	1		F		0	60,771	60,771	19,436	0	07/17/06	O	*	

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FD-2008-01	eCORP ENERGY MARKETING TEN THOUSAND MEMORIAL HOUSTON, TX 77024-3410 US	NATURAL GAS PURCHASE	E	B		F		38	72,450	72,450	0	0	02/01/08	O	*	
FD-2008-02	CIMA-ENERGY LTD. 1221 MCKINNEY HOUSTON, TX 77010 US	NATURAL GAS PURCHASE	E	B		F		38	14,597,304	14,597,304	0	0	03/01/08	O	*	
FD-2008-05	SEQUENT ENERGY MGT 1200 SMITH STREET HOUSTON, TX 77010 US	NATURAL GAS PURCHASE	E	B		F		38	36,558,006	36,558,006	0	0	03/01/08	O	*	
FD-2008-06	MACQUARIE COOK ENERGY 10100 SANTA MONICA BLVD LOS ANGELES, CA 90067 US	NATURAL GAS PURCHASE	E	B		F		38	47,096,447	47,096,447	0	0	07/01/08	O	*	
FD-2008-09	CON EDISON, INC. (BALANCING & DEMAND) 4 IRVING PLACE NEW YORK, NY 10003 US	TRANSPORT & BALACNGING SERVICE	E	1		F		0	24,788,852	24,788,852	1,079,541	0	8/1/2008	O	04/30/16	
FD-2008-10	UNITED ENERGY TRADING, LLC 215 UNION BLVD SUITE 425 LAKEWOOD, CO 80228 US	NATURAL GAS PURCHASE	E	B		F		38	133,166,046	133,166,046	42,100,846	0	11/19/08	O	*	
FD-2008-12	eSERVICES, LLC 4461 COX RD STE 113 GLENN ALLEN, VA 23060 US	NATURAL GAS PURCHASE	E	B		F		38	520,725	520,725	0	0	12/01/08	O	*	
FD-2009-03	NATIONALGRID -LI (FLYNN) 100 E OLD COUNTRY RD HICKSVILLE, NY 11801 US	BALANCING SERVICE	E	1	9	F		0	6,600,000	6,600,000	1,100,000	0	01/01/09	C		12/31/14
FD-2009-04	NATIONALGRID -LI (FLYNN) 100 E OLD COUNTRY RD HICKSVILLE, NY 11801 US	TRANSPORT SERVICE	E	1	9	F		0	14,964,000	14,964,000	2,354,228	0	01/01/09	C		12/31/14
FD-2009-05	NATIONALGIRD-NY(GKP) ONE METROTECH CENTER BROOKLYN, NY 11201 US	TRANSPORT SERVICE	E	1	9	F		0	7,212,282	7,212,282	172,017	0	03/01/09	C		05/31/14
FD-2009-06	NATIONALGRID - LI(BRENTWOOD) 100 E OLD COUNTRY RD HICKSVILLE, NY 11801 US	TRANSPORT SERVICE	E	1	9	F		0	928,180	928,180	28,926	0	03/01/09	C		05/31/14
FD-2009-07	CONOCO PHILLIPS COMPANY 600 NORTH DAIRY ASHFORD HOUSTON, TX 77079 US	NATURAL GAS PURCHASE	E	B		F		38	31,365,498	31,365,498	0	0	05/01/09	O	*	
FD-2010-01	JLA ENERGY LLC TWO RIVERWAY, SUITE 730 HOUSTON, TX 77056 US	NATURAL GAS PURCHASE	E	B		F		38	1,286,927	1,286,927	0	0	02/01/10	O	*	

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FD-2010-02	IBERDROLA RENEWABLES 1125 N.W. COUCH ST SUITE 700 PORTLAND, OR 97209 US	NATURAL GAS PURCHASE	E	B		F		38	15,768,049	15,768,049	992,755	0	08/09/10	O	*	
FD-2011-01	BG ENERGY MERCHANTS, LLC 5444 WESTHEIMER SUITE 1200 HOUSTON, TX 77056 US	NATURAL GAS PURCHASE	E	B		F		38	76,864,179	76,864,179	16,239,324	0	03/01/11	O	*	
FD-2011-02	YAKA ENERGY, LLC 46411 TIMINE WAY PENDLETON, OR 97801 US	NATURAL GAS PURCHASE	E	B		F		38	12,266,833	12,266,833	0	0	03/01/11	O	*	
FD-2011-06	EDF Trading N. America, LLC 4700 WEST SAM HOUSTON PKY NORTH SUITE 25 HOUSTON, TX 77041 US	NATURAL GAS PURCHASE	E	B		F		38	161,485,184	161,485,184	17,588,753	0	06/01/11	O	*	
FD-2011-11	STATOIL NATURAL GAS, LLC 120 LONG RIDGE ROAD STAMFORD, CT 06902 US	NATURAL GAS PURCHASE	E	B		F		38	13,754,561	13,754,561	11,444,306	0	04/18/12	O	*	
FD-2011-12	CHESAPEAKE ENERGY MARKING, INC 900NW 63 ROAD, OKLAHOMA CITY, OK 73118 US	NATURAL GAS PURCHASE	E	B		F		39	10,860,758	10,860,758	5,143,800	0	05/28/13	O	*	
FD-2012-02	REPSOL ENERGY NORTH AMERICA 2001 TIMBERLOCH PLACE SUITE 3000 SPRING, TX 77380 US	NATURAL GAS PURCHASE	E	B		F		38	33,483,679	33,483,679	16,228,250	0	11/13/12	O	*	
FD-2013-08	SAYBOLT LP CORE LABORATORIES 6316 WINDFERN RD HOUSTON, TX 77040 US	OIL INSPECTORS	S	B		F		0	17,224	17,224	17,224	0	01/01/14	O	12/31/16	
FD-2013-10	NORTHVILLE INDUSTRIES CORP 25 MELVILLE PARK RD MELVILLE, NY 11747 US	OIL PURCHASE	S	B		F		11	4,112,982	4,112,982	4,112,982	0	01/01/14	C		01/05/14
FD-2014-01	NORTHVILLE INDUSTRIES CORP 25 MELVILLE PARK RD MELVILLE, NY 11747 US	OIL PURCHASE	S	B		F		11	7,955,728	7,955,728	7,955,728	0	01/07/14	C		01/10/14
FD-2014-02	NORTHVILLE INDUSTRIES CORP 25 MELVILLE PARK RD MELVILLE, NY 11747 US	OIL PURCHASE	S	B		F		11	8,081,716	8,081,716	8,081,716	0	01/15/14	C		01/23/14

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FD-2014-03	NORTHVILLE INDUSTRIES CORP 25 MELVILLE PARK RD MELVILLE, NY 11747 US	OIL PURCHASE	S	B		F		11	8,569,878	8,569,878	8,569,878	0	01/21/14	C		01/27/14
FD-2014-04	NORTHVILLE INDUSTRIES CORP 25 MELVILLE PARK RD MELVILLE, NY 11747 US	OIL PURCHASE	S	B		F		11	9,746,778	9,746,778	9,746,778	0	01/22/14	C		02/05/14
FD-2014-05	NORTHVILLE INDUSTRIES CORP 25 MELVILLE PARK RD MELVILLE, NY 11747 US	OIL PURCHASE	S	B		F		11	9,042,161	9,042,161	9,042,161	0	01/22/14	O	01/31/15	
FD-2014-06	NORTHVILLE INDUSTRIES CORP 25 MELVILLE PARK RD MELVILLE, NY 11747 US	OIL PURCHASE	S	B		F		11	5,987,769	5,987,769	5,987,769	0	01/27/14	C		02/02/14
FD-2014-07	APEX OIL CO INC 8235 FORSYTH BLVD SUITE 400 SAINT LOUIS, MO 63105 US	OIL PURCHASE	S	B		F		11	2,887,419	2,887,419	2,887,419	0	02/06/14	C		02/16/14
FD-2014-09	APEX OIL CO INC 8235 FORSYTH BLVD SUITE 400 SAINT LOUIS, MO 63105 US	OIL PURCHASE	S	B		F		11	5,220,469	5,220,469	5,220,469	0	02/11/14	C		02/17/14
FD-2014-10	APEX OIL CO INC 8235 FORSYTH BLVD SUITE 400 SAINT LOUIS, MO 63105 US	OIL PURCHASE	S	B		F		11	2,695,240	2,695,240	2,695,240	0	02/12/14	C		02/16/14
FD-2014-11	NORTHVILLE INDUSTRIES CORP 25 MELVILLE PARK RD MELVILLE, NY 11747 US	OIL PURCHASE	S	B		F		11	7,475,278	7,475,278	7,475,278	0	05/12/14	C		05/19/14
FD-2014-14	NATIONALGIRD-NY(GKP) ONE METROTECH CENTER BROOKLYN, NY 11201 US	TRANSPORT SERVICE	E	1	9	F		0	483,739	483,739	483,739	0	06/01/14	O	05/31/17	
FD-2014-15	NATIONALGRID - LI(BRENTWOOD) 100 E OLD COUNTRY RD HICKSVILLE, NY 11801 US	TRANSPORT SERVICE	E	1	9	F		0	349,206	349,206	349,206	0	06/01/14	O	05/31/17	
FD-2014-16	PACIFIC SUMMIT ENERGY, LLC 4675 MACARTHUR CT STE 750 NEWPORT BEACH, CA 92660 US	NATURAL GAS PURCHASE	E	B		F		38	7,075,956	7,075,956	7,075,956	0	08/11/14	O	*	

POWER AUTHORITY OF THE STATE OF NEW YORK
2014 ANNUAL REPORT OF PROCUREMENT CONTRACTS
REQUIRED BY N.Y. PUBLIC AUTHORITIES LAW, SECTION 2879
FOSSIL FUELS ADDENDUM

FACILITY: WPO FOSSIL FUELS

CONTRACT NUMBER	PROVIDER NAME	CONTRACT DESCRIPTION	TYPE	MOA	RNA	OPA	MWBE	BIDS	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2014	CONTRACT BALANCE	DATE OF CONTRACT	O\C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
FD-2014-17	APEX OIL CO INC 8235 FORSYTH BLVD SUITE 400 SAINT LOUIS, MO 63105 US	OIL PURCHASE	S	B		F		11	7,136,141	7,136,141	7,136,141	0	09/09/14	C		09/17/14
		FOSSIL FUELS TOTALS							3,946,077,658	3,946,077,658	385,972,005	0				

NOTE:
* CONTRACT CONTINUES UNTIL TERMINATED BY NYPA OR COUNTERPARTY UPON SPECIFIED NOTICE

POWER AUTHORITY OF THE STATE OF NEW YORK
2014 ANNUAL REPORT OF PROCUREMENT CONTRACTS
FACILITY: WPO FOSSEL FUELS REQUIRED BY N.Y. PUBLIC AUTHORITIES LAW, SECTION 2879
FOSSIL FUELS ADDENDUM

TOTAL NUMBER OF CONTRACTS	59
TOTAL CONTRACT VALUE	$3,946,077,658
TOTAL EXPENDED TO DATE	$3,946,077,658
TOTAL EXPENDED IN REPORT YEAR	$385,972,005

STATUS SUMMARY

TOTAL NUMBER OPEN CONTRACTS	44
TOTAL NUMBER CLOSED CONTRACTS	15

METHOD OF AWARD SUMMARY

TOTAL NUMBER COMP BID	46
TOTAL NUMBER SOLE SOURCE	13
TOTAL NUMBER SINGLE SOURCE	0
TOTAL NUMBER COMP SEARCH	0
TOTAL NUMBER MWBE/SBE	0

COMMITMENT TYPE SUMMARY

TOTAL NUMBER ARCHITECTURAL & ENGINEERING SERVICES	0
TOTAL NUMBER CONSTRUCTION	0
TOTAL NUMBER EQUIP/GOODS/COMMODITY	42
TOTAL NUMBER LEGAL SERVICES	0
TOTAL NUMBER PERSONAL SERVICES	0
TOTAL NUMBER OTHER NON PERSONAL SERVICES	17

POWER AUTHORITY OF THE STATE OF NEW YORK
2014 ANNUAL REPORT OF PROCUREMENT CONTRACTS
REQUIRED BY N.Y. PUBLIC AUTHORITIES LAW, SECTION 2879
CORPORATE FINANCE ADDENDUM

FACILITY: WPO CORPORATE FINANCE

PROVIDER NAME	CONTRACT DESCRIPTION	TYPE	MOA	RNA	OPA	MWBE	BIDS	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2014	CONTRACT BALANCE	DATE OF CONTRACT	O\C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
BANK OF NEW YORK (FORMERLY JPMORGAN) 101 BARCLAY STREET NEW YORK, NY 10286 US	TRUSTEE/PAYING AGENT SERVICES ON BONDS	S	C	9	N			451,289	451,289	16,657	0	2/24/1998	O	*	
BANK OF NEW YORK (FORMERLY JPMORGAN) 101 BARCLAY STREET NEW YORK, NY 10286 US	TRUSTEE- ARTN	S	C	9	N			254,225	254,225	21,380	0	9/2/2003	O	3/1/2020	
BANK OF NEW YORK (FORMERLY JPMORGAN) 101 BARCLAY STREET NEW YORK, NY 10286 US	TRUSTEE- COMMERCIAL PAPER	S	C	9	N			166,884	166,884	28,190	0	10/2/2006	O	*	
BANK OF NOVA SCOTIA ONE LIBERTY PLAZA, 26TH FL NEW YORK, NY 10006 US	REVOLVING LINE OF CREDIT FOR ARTN	S	C	9	N		6	1,300,901	1,222,956	129,104	77,945	9/4/2007	O	9/1/2015	
BARCLAYS CAPITAL (ASSIGNED FROM LEHMAN BROS.) ** 745 SEVENTH AVENUE NEW YORK, NY 10019 US	RE-MARKETING AGENT CP-1	S	C	9	N			1,537,161	1,537,161	121,551	0	7/14/1994	O	*	
BARCLAYS CAPITAL (ASSIGNED FROM LEHMAN BROS.) 745 SEVENTH AVENUE NEW YORK, NY 10019 US	RE-MARKETING AGENT CP-3	S	2	9	N			10,775	10,775	0	0	6/26/2008	O	*	
CITIGROUP 390 GREENWICH STREET NEW YORK, NY 10013 US	RE-MARKETING AGENT CP-2	S	C	9	N			483,612	483,612	17,327	0	12/4/1997	O	*	
GOLDMAN, SACHS & CO. 85 BROAD STREET NEW YORK, NY 10004-2456 US	RE-MARKETING AGENT CP-2 AND 3	S	C	9	N			1,016,997	1,016,997	46,135	0	12/4/1997	O	*	
GOLDMAN, SACHS & CO. 85 BROAD STREET NEW YORK, NY 10004-2456 US	RE-MARKETING EMCP	S	C	9	N			860,235	860,235	67,416	0	3/4/2003	O	*	
GOLDMAN, SACHS & CO. ** 85 BROAD STREET NEW YORK, NY 10004-2456 US	ART NOTES REMARKETING	S	C	9	N			2,272,995	2,272,995	113,860	0	5/15/1985	O	3/1/2020	

POWER AUTHORITY OF THE STATE OF NEW YORK
2014 ANNUAL REPORT OF PROCUREMENT CONTRACTS
REQUIRED BY N.Y. PUBLIC AUTHORITIES LAW, SECTION 2879
CORPORATE FINANCE ADDENDUM

FACILITY: WPO CORPORATE FINANCE

PROVIDER NAME	CONTRACT DESCRIPTION	TYPE	MOA	RNA	OPA	MWBE	BIDS	TOTAL CONTRACT AMOUNT	TOTAL EXPENDED TO DATE	AMOUNT EXPENDED 2014	CONTRACT BALANCE	DATE OF CONTRACT	O\C	PROJ COMPLETE DATE	DATE CONTRACT COMPLETE
JPMORGAN CHASE 270 PARK AVENUE NEW YORK, NY 10017-2070 US	RE-MARKETING AGENT CP-2	S	C	9	N			233,590	233,590	6,296	0	6/28/2001	O	*	
JPMORGAN CHASE 270 PARK AVENUE NEW YORK, NY 10017-2070 US	RE-MARKETING AGENT CP-3	S	C	9	N			614,540	614,540	13,411	0	12/4/1997	O	*	
JPMORGAN CHASE 270 PARK AVENUE NEW YORK, NY 10017-2070 US	ESCROW AGENT POCR/CASP & LMEI FUNDS	S	C	9	N			530,029	530,029	14,596	0	5/30/1996	O	*	
JPMORGAN CHASE 270 PARK AVENUE NEW YORK, NY 10017-2070 US	REVOLVING LINE OF CREDIT 2011	S	C	9	N		2	12,902,608	12,795,663	2,055,455	106,944	1/20/2011	O	1/20/2015	
MORGAN STANLEY 1221 AVENUE OF THE AMERICAS NEW YORK, NY 10020 US	RE-MARKETING AGENT CP-1	S	C	9	N		7	301,837	301,837	65,416	0	3/31/2009	O	*	
RBC CAPITAL MARKETS ** 1211 AVENUE OF THE AMERICAS NEW YORK, NY 10036 US	RE-MARKETING AGENT CP-1	S	C	9	N			471,670	471,670	97,841	0	7/14/1994	O	*	
	FINANCE TOTALS							23,409,348	23,224,458	2,814,635	184,889				

NOTES:
* CONTRACT CONTINUES UNTIL TERMINATED BY NYPA OR COUNTERPARY UPON SPECIFIED NOTICE
** EXPENSE HISTORY ONLY AVAILABLE FROM 1997

POWER AUTHORITY OF THE STATE OF NEW YORK
2014 ANNUAL REPORT OF PROCUREMENT CONTRACTS
FACILITY: WPO CORPORATE FINANCE REQUIRED BY N.Y. PUBLIC AUTHORITIES LAW, SECTION 2879

TOTAL NUMBER OF CONTRACTS	16
TOTAL CONTRACT VALUE	$23,409,348
TOTAL EXPENDED TO DATE	$23,224,458
TOTAL EXPENDED IN REPORT YEAR	$2,814,635
TOTAL CONTRACT BALANCE	$184,889

STATUS SUMMARY

TOTAL NUMBER OPEN CONTRACTS	16
TOTAL NUMBER CLOSED CONTRACTS	0

METHOD OF AWARD SUMMARY

TOTAL NUMBER COMP BID	0
TOTAL NUMBER SOLE SOURCE	0
TOTAL NUMBER SINGLE SOURCE	1
TOTAL NUMBER COMP SEARCH	15
TOTAL NUMBER MWBE/SBE	0

COMMITMENT TYPE SUMMARY

TOTAL NUMBER ARCHITECTURAL & ENGINEERING SERVICES	0
TOTAL NUMBER CONSTRUCTION	0
TOTAL NUMBER EQUIP/GOODS/COMMODITY	0
TOTAL NUMBER LEGAL SERVICES	0
TOTAL NUMBER PERSONAL SERVICES	0
TOTAL NUMBER OTHER NON PERSONAL SERVICES	16

POWER AUTHORITY OF THE STATE OF NEW YORK
2014 ANNUAL REPORT OF PROCUREMENT CONTRACTS
GRAND TOTALS REQUIRED BY N.Y. PUBLIC AUTHORITIES LAW, SECTION 2879

TOTAL NUMBER OF CONTRACTS	2,257
TOTAL CONTRACT VALUE	$7,562,517,791
TOTAL EXPENDED TO DATE	$5,521,741,027
TOTAL EXPENDED IN REPORT YEAR	$873,023,353

STATUS SUMMARY

TOTAL NUMBER OPEN CONTRACTS	1,057
TOTAL NUMBER CLOSED CONTRACTS	1,200

METHOD OF AWARD SUMMARY

TOTAL NUMBER COMP BID	1,693
TOTAL NUMBER SOLE SOURCE	142
TOTAL NUMBER SINGLE SOURCE	134
TOTAL NUMBER COMP SEARCH	167
TOTAL NUMBER MWBE/SBE	121

COMMITMENT TYPE SUMMARY

TOTAL NUMBER ARCHITECTURAL & ENGINEERING SERVICES	37
TOTAL NUMBER CONSTRUCTION	231
TOTAL NUMBER EQUIP/GOODS/COMMODITY	1,019
TOTAL NUMBER LEGAL SERVICES	29
TOTAL NUMBER PERSONAL SERVICES	302
TOTAL NUMBER OTHER NON PERSONAL SERVICES	639

New York Power Authority

PLEASE REFER TO THE PORTION OF THE EMPLOYEE POLICIES ENTITLED EP 0.0 DISCLAIMERS WHERE YOU WILL FIND A STATEMENT WHICH PERTAINS TO ALL EMPLOYEE POLICIES, INCLUDING THIS ONE.

Policy Title: RECRUITMENT AND JOB POSTING

Policy Number:	EP 1.2
Revision Date	12/1/2014
Approved By:	Rocco Iannarelli Acting Senior Vice-President, Enterprised Shared Services
Executive Owner:	Barbara Coles Director, Recruiting
Content Owner:	Barbara Coles Director, Recruiting

When applicable, the Content Owner partners with the attorney assigned to his/her department/workgroup to write and review the policy.

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Revision Date	Revision	Description/Modification	Author
12/01/2014	0	Converting to new format. There were 11 prior revisions of this Policy	Barbara Coles

Printed copies are not controlled.
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Records will be retained in accordance with NYPA's approved records retention schedules and/or in compliance with all applicable legal requirements pertaining to NYPA.

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1 PURPOSE AND SCOPE

The goal of the job posting policy is to ensure that employees are made aware of and have the opportunity to apply for open Full-time, Part-time and Provisional salaried positions either before or concurrent with the Authority's consideration of external candidates for employment. However, there may be exceptions to this process, for example, if a qualified internal candidate or temporary employee from the hiring department or Business Unit has been identified. Under such circumstances, authorization must be obtained in writing from the Senior Vice President of Enterprise Shared Services or his/her designee, and the President and CEO.

2 APPLICABILITY

2.1 The job posting program makes information available to Authority employees, regarding Full-time, Part-time and Provisional salaried openings throughout the organization, including the requirements for each job.

2.2 The New York Power Authority considers all internal (with the exception of current members of the Board of Trustees) and external applicants for employment, in accordance with all applicable Federal, State and local laws governing non-discrimination in employment in every location in which it maintains offices or facilities. The Authority also provides reasonable accommodation to individuals with a disability in accordance with applicable law.

3 INITIALISMS (ACRONYMS) AND DEFINITIONS

Relatives - Refers to spouse, domestic partner, parents, children, sisters, brothers, sisters-in-law, brothers-in-law, parents-in-law, sons/daughters-in-law, aunts, uncles, nieces, nephews, cousins, and grandparents by blood relationship or by marriage or family members residing in the same household.

4 RESPONSIBILITY

Responsibility and oversight of this policy resides with the approver unless otherwise noted here in.

5 POLICY IMPLEMENTATION

5.1 Job Posting

5.1.1 To be eligible to apply for a posted position an applicant must:

a) Meet the position requirements and qualifications stated in the Posting
b) Be capable of performing the essential functions of the job, with or

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without reasonable accommodation;

c) Have a job performance that is acceptable within the guidelines of the Authority's prevailing performance management system;
d) Have been in their current position for at least 12 months.

5.1.2 Salaried vacancies below the senior management level are generally posted on the *Powernet* and the Authority's website (www.nypa.gov). If a qualified internal candidate or temporary employee from the hiring department or Business Unit has been identified, an exception may be made with the approval of the Senior Vice President of Enterprise Shared Services, or his/her designee, and the President and CEO.

5.1.3 Salaried positions covered under this policy, which are not expected to be filled within the work group, department or Business Unit, will be posted on the *Powernet* and the Authority's website for at least ten working days, however, external recruitment efforts may commence simultaneous with the posting.

5.1.4 All members of the Board of Trustees are prohibited from seeking paid employment at NYPA while serving on the Board of Trustees. All trustees shall sign a certification acknowledging their understanding and commitment to follow this requirement.

5.1.5 Offers of employment are contingent upon successful completion of pre-employment requirements, which include a comprehensive background investigation for all potential new hires and possible other candidate screening (i.e., aptitude test, drug screening, etc.) as the Authority deems appropriate.

5.1.6 Within three business days of employment, new employees must prove identity and eligibility to work in the United States by providing original documents established as criteria by the Immigration and Reform Control Act to their HR representative. If an employee is unable to present the required documents within the 3 business days, they may not work or continue rendering services to the Authority.

5.2 Internal Job Application Process

5.2.1 During the posting period, interested employees can apply via the Powernet.

5.2.2 If an employee interviews for a position at another NYPA location, he/she may be

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eligible for reimbursement for travel related expenses. If offered, and the employee accepts the position, he/she may be eligible for reimbursement of certain relocation costs. Employees should discuss these issues and options with their HR representative during the interview process.

5.2.3 Employees are encouraged to notify their supervisor when they are selected for an interview. However, employees are not expected to disclose that they are applying for a position if they are not selected for an interview. Employees being considered for a position should expect hiring managers to request and review performance information and evaluations while making a hiring decision and consult with an internal applicant's current supervisor.

5.2.4 Applying for an internal position does not guarantee an interview. Hiring managers will strive to interview those with the experience and qualifications that most closely match the requirements of the position. Internal candidates who are not selected will be notified.

5.2.5 Position transfer dates are determined in consultation with current supervisors. The business unit heads along with the managers of both the vacating and receiving departments must agree on a transfer date to ensure that such transfer occurs within four weeks after an offer has been accepted. The status of current work assignments and available back-up resources will be taken into consideration when determining the transfer date.

5.2.6 All changes in pay will be consistent with the guidelines established by the Compensation Program. The salary offered for the new position will be determined primarily based on the employee's qualifications for the new position and internal equity within the department or work group. Employees may choose to accept or decline offers without negative repercussions in their current position.

5.2.7 Special conditions may arise when an exception to this policy is appropriate. Under such circumstances, authorization must be obtained from the Senior Vice President of Enterprise Shared Services or his/her designee.

5.3 Employment of Employee Relatives

5.3.1 Disclosure of relationships required as follows:

a) Individuals seeking employment with the Authority must inform the

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Authority's HR Representative, in writing, if they have a relative (as that term is defined below in 5.3.3) currently working at the Authority when applying for employment. The applicant must disclose the name of the relative, the familial relationship, title or position held by the employee, as well as the site or location at which the relative works.

b) Employees must inform their supervisor and the Vice President and Chief Ethics & Compliance Officer when a relative (as that term is defined below in 5.3.3) is transferred, demoted or promoted to a position where either the relative or the employee will have personnel decision related responsibilities, or supervisory responsibilities over their relative. The employee must disclose the name of the relative, the familial relationship, title and position held by the employee, as well as the site or location at which the relative works.

c) Employees must inform their supervisor and the Vice President and Chief Ethics & Compliance Officer when another employee becomes their relative (as that term is defined below in 5.3.3) and where either person will have personnel decision related responsibilities, or supervisory responsibilities over the other person. In such instances, both employees must disclose the name of the relative, the familial relationship, title and position, as well as the site or location at which they work.

5.3.2 Employees and trustees may not take part in any hiring or employment decision relating to their relatives. Relatives of active employees or trustees will not be employed on a permanent or temporary basis unless authorization is approved by the Senior Vice President of Enterprise Shared Services, or his/her designee, and the President and CEO. Such authorization may be granted under circumstances where the candidate is the most qualified for the position. The circumstances for which an authorization is sought must be in writing.

5.3.3 The Authority will not consider employment applications, promotions or transfers for individuals whose employment, promotion or transfer would result in a supervisory relationship between them and a relative (as defined in 5.3.3) or one where a conflict of interest may exist or later arise. Exceptions to these guidelines require authorization of the Senior Vice President of Enterprise Shared Services, or his/her designee, as well as the Vice President and Chief Ethics & Compliance Officer. Any such request must be in writing.

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5.3.4 If current employees become relatives after starting employment with the Authority, then such relatives must not be in a direct line of supervision or have any personnel related responsibilities that could affect each other. In the event this occurs, the Authority may transfer the employee or take any other employment action necessary to eliminate the conflict of interest. Any questions concerning such change in status should be directed to the Authority's Vice President and Chief Ethics & Compliance Officer.

5.4 Prohibition against Consideration of Politics in Employment

5.4.1 The Authority expressly prohibits employees involved in recruiting, interviewing or hiring or making promotional, disciplinary or other employment decisions relating to NYPA employees, from asking any such applicant or employee to reveal: (a) the political party affiliation of the applicant; (b) whether the applicant has made campaign contributions to any political party, elected official, or candidate for elective office; or (c) whether the candidate voted for any elected official or candidate for elective office.

5.4.2 The provisions of paragraph 5.4.1 shall not apply to circumstances where such inquiry is necessary for the proper application of State law or approved state authority or New York State public authority rules, policies or practices (e.g., inquiring about party affiliation where State law limits the number of members of a State board who can be from the same party).

6 PENALTIES

Any employee who knowingly violates the provisions of this Policy will be subject to appropriate disciplinary action up to and including termination.

7 REFERENCES

N/A

8 POLICY REVIEW AND EXPIRATION

This policy will be reviewed on December 1, 2015

9 ATTACHMENTS

N/A

PLEASE REFER TO THE PORTION OF THE EMPLOYEE POLICIES ENTITLED EP 0.0 DISCLAIMERS WHERE YOU WILL FIND A STATEMENT WHICH PERTAINS TO ALL EMPLOYEE POLICIES, INCLUDING THIS ONE.

Rocco Quinn

Acting Senior Vice-President, Enterpirse Shared Services

1/6/15

Date

NEW YORK POWER AUTHORITY	EP:	1.9
	REVISION:	4
EMPLOYEE POLICY	DATE:	3/29/2012

PLEASE REFER TO THE PORTION OF THE HUMAN RESOURCES POLICIES ENTITLED DISCLAIMERS WHERE YOU WILL FIND A STATEMENT, WHICH PERTAINS TO ALL EMPLOYEE POLICIES, INCLUDING THIS ONE.

TRANSFER OR RE-EMPLOYMENT IN PUBLIC SERVICE

Employees Leaving Employment with the Authority

1.1 Employees of the Power Authority of the State of New York ("Authority") who leave the Authority, or within one year of termination of employment with the Authority, are employed with the State of New York, (Executive, Legislative, or Judicial branch), a civil division thereof, or another New York State public benefit corporation, may, if they so elect, transfer accruals and retain the anniversary date of their employment with the Authority for all purposes including employee eligibility for benefits in their new employment with the State of New York, a civil division thereof, or another New York State public benefit corporation consistent with agreements made between the Authority and state or municipal civil service commissions or other New York State public authorities.

1.2 "Civil division": - for the purpose of administering this policy a civil division shall be a city, county, town or village that participates in the New York State and Local Retirement Systems. A civil division shall not include a school district.

New Employees

2.1 Without regard to their date of first employment with the Authority, employees who leave the employment of the State of New York (Executive, Legislative, or Judicial branch), a civil division thereof, or another New York State public benefit corporation and who are employed or rehired by the Authority within one year of termination, shall be entitled to transfer accruals and retain the anniversary date of their employment with the State of New York, a civil division thereof, or another New York State public benefit corporation as their anniversary date with this Authority for all purposes including the employees' eligibility for all benefits in their new employment with this Authority.

2.2 "Civil division" – see 1.2 above.

Transition

3.1 Employees of the Authority who have prior employment that may qualify as Authority employment under this policy shall be given a reasonable period to make application for such an adjustment in their date of employment with the Authority and retrospective adjustment of benefits shall be made where applications are approved.

3.2 The terms of this policy shall not be implemented with respect to bargaining unit personnel in the absence of collective negotiations.

Benefits in Retirement

4.1 In order to continue benefits in retirement, both of the following conditions must be met:

a) You must have 10 years of combined service with the Authority and New York State. At least five years of service must be with the Authority unless you are covered under this policy (refer to section 2.1), in which case, a minimum of three years of NYPA service is required. Employees with a Section 211 or 212 exemption must have 10 years of NYPA service; and

b) You terminate employment with the Authority and immediately collect a pension from the New York State and Local Retirement Systems.

Vice President, Human Resources

3/29/12
Date

PLEASE REFER TO THE PORTION OF THE HUMAN RESOURCES POLICIES ENTITLED DISCLAIMERS WHERE YOU WILL FIND A STATEMENT WHICH PERTAINS TO ALL EMPLOYEE POLICIES, INCLUDING THIS ONE.

SALARY ADMINISTRATION POLICY

1.1 This policy governs the administration of salary for all full-time, part-time and provisional exempt and non-exempt Authority employees, as defined in the Salaried Employees' Eligibility for Benefits policy (EP: 3.1).

1.2 This policy describes the New York Power Authority's competitive pay program and provides guidelines in which to recognize and reward different degrees of performance through salary changes within budget limits.

1.3 All types of actions described in this policy, including the effective date of the salary action, require the approval of the Business Unit Head, the Vice President, Human Resources, the SVP Enterprise Shared Services, and the President and CEO or his/her designee. All requests require a summary of the reason for selection, change in role and responsibilities, succession planning actions and/or relevant information justifying the action. Any action affecting the hiring or promotion of Vice Presidents or higher also requires notification to the Governance Committee.

1.4 In addition to section 1.3, any salary action varying from the guidelines of this policy is considered an exception and requires documentation, justification and majority approval from the Compensation Committee and the President and CEO. The justification must be a detailed explanation for the exception request (e.g., to bring the employee to the minimum of the grade range). Requests that are insufficient will be returned for further justification.

a) The Compensation Committee requires a minimum of three participants. It is made up of the Business Unit Heads that report directly to the President and CEO, excluding the Business Unit Head directly involved in the request and the Senior Vice President Enterprise Shared Services.

1.5 The Trustees and the Governance Committee will receive a quarterly report of all salary actions implemented in the prior quarter.

1.6 Under the Fair Labor Standards Act, the Authority pays exempt employees on a salary basis and does not intend to make any deductions from these salaries which are prohibited. Any employee who believes an improper deduction may have occurred should raise the issue and bring supporting documentation, if any, to the attention of the Payroll department as soon as practicable after the deduction occurs. Payroll will then work with the Human Resources Compensation group and should it be determined that an improper deduction in fact occurred, the employee will be reimbursed for that amount. Complaints may also be communicated via the Employee Concerns Line [1-877-TEL-NYPA]

Annual Merit Increase

2.1 Annual Merit increases are intended to provide recognition and reward for performance within the parameters of the Authority's annual salary budget. However, neither an annual salary budget nor an annual Performance Plus assessment is a guarantee that a salary adjustment will occur.

2.2 An employee's Performance Plus rating summarizing his/her annual performance will be taken into consideration for Annual Merit purposes and ensures that an employee is considered for a salary adjustment.

2.3 Eligibility:

a) Only employees who receive a Performance Plus rating of Partially Achieved Expectations or better (see Performance Plus Instructions on the PowerNet for ratings definitions), are eligible for an Annual Merit increase.

b) No Annual Merit increase will be given to employees who earn a Performance Plus rating of Did Not Meet Expectations.

c) New employees hired within six months prior to the Annual Merit increase effective date will not be eligible for the increase.

2.4 Timing:

a) Annual Merit increases will generally be effective in the third quarter. In the case of employees on leaves of absence, the effective date of increases may be adjusted (see section 2.6).

2.5 General Guidelines:

a) Base salaries of employees who earn a Performance Plus rating of Achieved Expectations or Partially Achieved Expectations can reach the maximum of the grade range for their job but cannot exceed it. Should an employee's base salary reach the maximum of the grade range or beyond, recommendations for an exception must have appropriate approvals as specified in section 1.4. If the maximum of the grade range is exceeded by the recommended salary increase, then the portion in excess of the maximum will be granted in the form of a lump sum payment which does not become part of base pay and must be re-earned each year.

b) Base salaries of employees who earn a Performance Plus rating of Exceeds Expectations may exceed the maximum of the grade range by 4%. If the recommended salary increase exceeds 4% above maximum, then the portion in excess of the maximum will be granted in the form of a lump sum payment which does not become part of base pay and must be re-earned each year.

If an employee is under the minimum of the grade range for his/her job and has a performance plus rating of Achieved Expectations or better, an adjustment to minimum will be given prior to the Merit increase.

2.6 Approved Leaves of Absence:

a) Performance Assessments — Annual performance assessments should be based on performance in the previous assessment year, regardless of the length of time the employee was on approved leave.

b) Annual Salary Increase, if

1) leave is less than 3 months — If the Merit increase falls during an approved **paid leave** of less than three months, the Merit increase will be effective as of the common Merit Increase date. If on approved **leave with no pay** as of the common Merit Increase date, the Merit Increase will become effective on the day the employee returns to work or returns to paid status. The amount of the increase will not be affected by the leave.

2) leave is 3 months or longer - If the Merit Increase falls during an approved leave of three months or more, the Merit Increase will be effective on the date the employee returns to work, unless proscribed by any other policy, state or federal law. The amount of the increase will not be affected by the leave.

Promotional Increases

3.1 A promotion is defined as the assignment of an employee to a job of one or more grades higher than the employee's current job and may be accompanied by an increase in salary.

3.2 Types of Promotions:

a) Competency Based: Competencies are essential knowledge, skills, and abilities required by the Authority and which equip an individual to perform a certain level of work. Competency-based promotions are permitted for employees for which specific

competency definitions have been developed and achieved.

b) Re-evaluated position: when there is a change in work or reorganization resulting in a job having expanded responsibilities and increased level of competency, a market analysis should be requested by the Business Unit Head and forwarded to the Human Resources Compensation Group in order to assess supporting a higher grade range. (see section 5, Re-evaluation of Job Content).

c) Organizational Promotion (backfill or new role): acknowledges that an employee has been assigned a different job, in order to fill an open or newly created position with expanded responsibilities at a grade higher than the employee's current position.

3.3 A promotion may include an increase in salary of up to 10%. This increase should place the employee's salary in the grade range of the new position. The new salary, however, is limited to a compa-ratio of 112% of the new range for individual contributor and supervisor categories For jobs classified as Manager/Director, VP or above, the promotional salary is limited to the midpoint (100% compa-ratio) of the range.

3.4 When a 10% maximum promotional increase does not bring the employee's salary to the minimum of the new grade range, the employee may receive an additional salary increase with approval of the Compensation Committee (see section 1.4)

Market / Equity Adjustments

4.1 Upon the recommendation of the Human Resources Compensation group, an adjustment to base salary may be permitted to achieve internal and/or market equity.

4.2 Only employees who earn a Performance Plus rating of Achieved Expectations or better are eligible for a market adjustment.

Re-evaluation of Job Content

5.1 Each Business Unit head, or their designee, is responsible for ensuring that salaried jobs in their organization have accurate and up-to-date descriptions. Substantive changes in the primary responsibilities, scope and/or minimum qualifications must be communicated to the Human Resources Compensation group. Based on the revised description, the Compensation group, with the input of management, reviews and evaluates the job against both the marketplace and internal comparable positions.

5.2 Recommendation for changes to any job based on the evaluation are coordinated with and approved by the respective Business Unit Head(s) for departments in which the job exists.

5.3 An evaluation may result in a change in grade. If a job is re-evaluated upward due to a significant change in job content, consideration may be given to granting a promotional increase as previously defined and outlined in Section 3.2 (b).

5.4 Employees whose positions are re-evaluated and downgraded and whose salaries are greater than 4% above the maximum of the new grade range may have their salaries reduced to 4% above the maximum of the new grade range.

Lateral Transfer

6.1 A lateral transfer occurs when an employee moves from one job to another which has the same grade range.

6.2 Generally, additional compensation will not be granted in the case of lateral transfers.

6.3 Moves that are temporary or part of a development plan or program, or job changes that are part of a specific work project may be considered for additional compensation.

Demotions

7.1 A demotion may occur as the result of inadequate employee performance, which leads to the employee being assigned to a new job that is one or more grades below the current grade.

7.2 Employees demoted as a result of inadequate performance and whose salaries are above the maximum of the new grade range may have their salaries reduced to no more than the maximum of the new grade range.

First Line Supervisor Differential

8.1 To prevent inequities between bargaining unit employees, or equivalent jobs, and their supervisors, First Line Supervisors (FLS), jobs with the category of Supervisor, shall generally be paid a minimum five percent (5%) above the annualized **base rate** of pay of the highest paid supervised bargaining unit employee (or equivalent job). This differential applies only to those FLS who achieve an annual Performance Plus rating of Achieved Expectations or better, for their prior year's performance.

Note: **Base Rate** of pay is defined as the negotiated hourly rate for the job, excluding any other payments granted to an employee such as premiums and overtime.

Timing of Salary Actions

9.1 The Human Resources Compensation group will accept requests to review competency based promotion, market or equity adjustments, and grade re-evaluations during the 8-month period of August through March, only.

9.2 Organizational promotion requests will be acted upon at any time during the year.

9.3 With the exception of the annual merit increase, at least six months must elapse between any salary actions, inclusive of date of hire.

Sign-On & Retention

10.1 Special incentives for the purpose of attracting or retaining staff may be recommended as an exception with appropriate approvals as specified in 1.4.

Incentive Pay

11.1 An incentive is a lump sum award paid in recognition of the extraordinary achievement of an individual or a group, on special projects or in unique situations that are critical to the Authority.

11.2 An incentive plan may be developed upon the recommendation of the Business Group Head accountable for the results, the Vice President, Human Resources, the Senior Vice President, Enterprise Shared Services and the President and CEO or his/her designee.

11.3 An incentive plan may be developed:

a) Before the start of a project, based on a pre-determined set of measures associated with the project goals and announced to those employees who are eligible, or

b) After exemplary completion of an extraordinary project that evolved during a given year.

11.4 Criteria for such an award must include extraordinary tangible benefits to the Authority such as reduced costs or increased revenue.

Ross Runnells
Vice President, Human Resources

April 4, 2014
Date

NEW YORK POWER AUTHORITY	EP:	2.4
	REVISION:	11
EMPLOYEE POLICY	DATE:	06/17/2014

PLEASE REFER TO THE PORTION OF THE EMPLOYEE POLICIES ENTITLED DISCLAIMERS WHERE YOU WILL FIND A STATEMENT, WHICH PERTAINS TO ALL EMPLOYEE POLICIES, INCLUDING THIS ONE.

SALARIED NON-EXEMPT AND FACILITY-BASED EXEMPT OVERTIME

1.1 This policy provides for the payment of overtime to eligible Authority employees (see *Eligible Employees* below). It permits the Authority to:

a) comply with the Fair Labor Standards Act;

b) provide additional compensation to certain exempt positions for ensuring the safe and efficient generation and transmission of electricity during specified overtime events;

c) provide some relief for pay compression between bargaining unit employees and first line supervisors; and

d) manage overtime costs.

1.2 Eligible overtime is scheduled, authorized and approved time worked in excess of the normal workweek, under predetermined conditions related to specific Authority or facility requirements, priorities, special projects, or to maintain safe operating conditions.

1.3 Responsibilities:

a) A Business Unit Head, Regional or General Manager, or their designee will establish the event (Section 1.2) for which overtime will be paid, and will be accountable for the direction, authorization and administration of overtime as covered by this policy.

b) The Controller or designee will provide periodic reports of overtime paid including year-to-date totals. The Business Unit Head, Regional or General Manager, or their designee will reconcile these reports against authorizations required by this policy and promptly notify the Controller or designee in writing of any discrepancies that require correction.

c) The Business Unit Head is responsible for the prudent use of overtime within the parameters established by the approved O&M Budget.

1.4 Eligible Employees:

a) All salaried non-exempt employees are eligible for non-exempt overtime as required by the wage and hour laws of the Fair Labor Standards Act, as enforced by the U.S. Department of Labor

b) Facility-based salaried exempt employees are those employees whose work location of record in SAP is not White Plains or Albany. Headquarters salaried exempt employees are those whose work location of record in SAP is White Plains or Albany.

c) First Line Supervisors — salaried exempt employees with a job category of Supervisor at the facilities whose job is to supervise union employees or the equivalent at non-union facilities, may be eligible for exempt overtime compensation.

d) Salaried exempt individual contributor employees at the facilities in grades up through 09 (I/U/X) may be eligible for exempt overtime compensation. Salaried exempt individual contributor employees working in the Trading room on a 24/7 shift, salaried exempt individual contributor Fuel Buyer positions supporting the Traders, and salaried exempt individual contributor Transmission System Operators working at the Energy Control Center (ECC), may be eligible for exempt overtime compensation despite location or grade.

e) Headquarters salaried exempt employees, physically working at a facility as part of a specified temporary work project, and who are in grades 01 through 09 (I/U/X) may be eligible for exempt overtime compensation.

f) Salaried exempt employees in grades 10 (I/U) and above are not eligible for overtime compensation under any circumstances other than First Line Supervisors as noted in 1.4(c), and the Buyers, those in the Trading room, and the System Operators at the ECC, as noted in 1.4 (d).

Non-Exempt Overtime

2.1 A non-exempt employee is one whose job duties do not meet the established tests for exemption under certain wage and hour laws of the Fair Labor Standards Act, as enforced by the U.S. Department of Labor.

2.2 On those occasions when it is necessary for non-exempt employees to work in excess of their basic workweek [see Attendance and Flexible Hours policy (EP: 4.6)], all additional time worked must be directed and authorized in advance in accordance with Sections 1.2 and 1.3(a).

2.3 Notwithstanding the requirements of Section 2.2 above, under the Fair Labor Standards Act, all non-exempt employees who work in excess of their basic workweek must record and be paid for all time worked.

2.4 The rate of pay for non-exempt employees is as follows:

a) Straight time for up to 40 hours in a workweek.

b) 1 ½ times the straight time equivalent hourly rate of the annualized salary for those hours worked beyond 40 hours in a workweek. Payment for time worked on a scheduled holiday will be paid at 1 ½ times the equivalent hourly rate.

2.5 Generally, time spent traveling on company business will not be counted as hours worked for overtime computation purposes.

2.6 For non-exempt employees, work time spent as a required or assigned participant in any training program, lecture, meeting or workshop, will be paid at the applicable rate of pay (Section 2.4) for that time period.

Additional detail on non-exempt overtime reporting is available on the PowerNet under Human Resources - Compensation

Exempt Overtime

3.1 An exempt employee is one whose job duties meet the established tests for exemption under certain wage and hour laws of the Fair Labor Standards Act, as enforced by the U.S. Department of Labor.

3.2 Time worked in excess of the normal workweek at an employee's discretion will not be compensated. For eligible salaried exempt employees, all paid overtime must be approved in advance in accordance with Sections 1.2 and 1.3(a).

a) For exempt employees on 12 hour shifts, overtime is any time outside the regularly scheduled shift, regardless of the number of hours in the shift. If the scheduled rotation results in greater than 40 hours per week over the course of the year (e.g. 3 day on/3 day off continuous rotation), then the additional hours over the regular 2080 will be built in overtime.

b) Trading hours with another employee should result in an equal number of hours and the new hours become part of the regular schedule. For schedule rotations that include an "E-week" of four 8 hour shifts, the employee's schedule can be modified to backfill for shifts as needed, and all hours greater than 32 for the week would be paid as overtime.

3.3 For salaried exempt employees in, or temporarily acting in, First Line Supervisor positions, approved overtime will be paid at 1 ½ times the hourly equivalent of the exempt employee's salary for hours of ***actual supervision*** of NYPA bargaining unit employees, or the equivalent. Similarly, Transmission System Operators and Day Ahead Traders approved overtime will be paid at 1 ½ times the hourly equivalent of their exempt salary for hours performing their primary duty. Any other overtime hours, if approved, will be paid at a straight time rate.

3.4 Eligible individual contributor employees may be paid for all hours worked, in accordance with Section 1.2. Approved overtime will be paid at the straight time hourly equivalent of the exempt employee's salary.

3.5 Exempt employees who are preapproved to work during a scheduled holiday will be paid at 1 ½ times the hourly rate for First Line Supervisors, and 1 times the hourly rate for non-supervisory employees for actual hours worked in addition to their normal straight time pay for the holiday.

3.6 Eligible employees temporarily assigned to work at a facility will assume the basic workweek of the facility and their rate of pay for overtime purposes will be determined on that basis.

Approval and Time Records

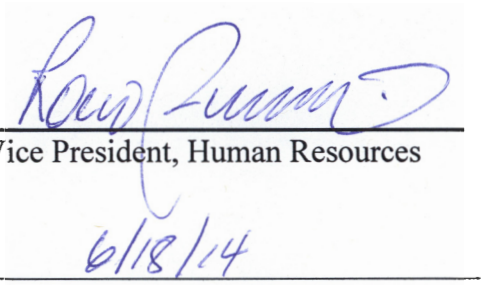
4.1 For eligible salaried exempt employees, an Overtime Approval form must be completed stating the employee's name, period of time for which the overtime has been requested and approved, a brief description of the task, and the employee's basic workweek hours . These must be reviewed and approved (signed) by a Business Unit Head and/or Regional or General Manager, or designee, as appropriate. These records are retained by the applicable department or facility.

4.2 When a salaried exempt employee is temporarily assigned to an operating facility the time record should be approved by either the Business Unit Head or Regional or General Manager, or designee, as appropriate.

4.3 Payment for authorized overtime under the guidelines of this policy, where practicable, will be charged to the operating budget where the work is performed. The approved time report must clearly indicate the appropriate facility program code number to charge.

4.4 Payment to a salaried exempt employee for overtime that has not been specifically directed or authorized by those delegated the responsibility by this policy, will not be permitted under any circumstances. Issues with unauthorized non-exempt overtime will be addressed through the performance management process. [See Section 2 regarding treatment of non-exempt employees.]

4.5 This policy supersedes all prior policies and procedures regarding overtime for exempt and non-exempt personnel.



Vice President, Human Resources

6/18/14

Date

PLEASE REFER TO THE PORTION OF THE EMPLOYEE POLICIES ENTITLED DISCLAIMERS WHERE YOU WILL FIND A STATEMENT, WHICH PERTAINS TO ALL EMPLOYEE POLICIES, INCLUDING THIS ONE.

SALARIED NON-EXEMPT AND FACILITY-BASED EXEMPT OVERTIME

1.1 This policy provides for the payment of overtime to eligible Authority employees (see *Eligible Employees* below). It permits the Authority to:

a) comply with the Fair Labor Standards Act;

b) provide additional compensation to certain exempt positions for ensuring the safe and efficient generation and transmission of electricity during specified overtime events;

c) provide some relief for pay compression between bargaining unit employees and first line supervisors; and

d) manage overtime costs.

1.2 Eligible overtime is scheduled, authorized and approved time worked in excess of the normal workweek, under predetermined conditions related to specific Authority or facility requirements, priorities, special projects, or to maintain safe operating conditions.

1.3 Responsibilities:

a) A Business Unit Head, Regional or General Manager, or their designee will establish the event (Section 1.2) for which overtime will be paid, and will be accountable for the direction, authorization and administration of overtime as covered by this policy.

b) The Controller or designee will provide periodic reports of overtime paid including year-to-date totals. The Business Unit Head, Regional or General Manager, or their designee will reconcile these reports against authorizations required by this policy and promptly notify the Controller or designee in writing of any discrepancies that require correction.

c) The Business Unit Head is responsible for the prudent use of overtime within the parameters established by the approved O&M Budget.

1.4 Eligible Employees:

a) All salaried non-exempt employees are eligible for non-exempt overtime as required by the wage and hour laws of the Fair Labor Standards Act, as enforced by the U.S. Department of Labor

b) Facility-based salaried exempt employees are those employees whose work location of record in SAP is not White Plains or Albany. Headquarters salaried exempt employees are those whose work location of record in SAP is White Plains or Albany.

c) First Line Supervisors — salaried exempt employees with a job category of Supervisor at the facilities whose job is to supervise union employees or the equivalent at non-union facilities, may be eligible for exempt overtime compensation.

d) Salaried exempt individual contributor employees at the facilities in grades up through 09 (I/U/X) may be eligible for exempt overtime compensation. Salaried exempt individual contributor employees working in the Trading room on a 24/7 shift, ~~and~~ salaried exempt individual contributor Fuel Buyer positions supporting the Traders, and salaried exempt individual contributor Transmission System Operators working at the Energy Control Center (ECC), may be eligible for exempt overtime compensation despite location or grade.

e) Headquarters salaried exempt employees, physically working at a facility as part of a specified temporary work project, and who are in grades 01 through 09 (I/U/X) may be eligible for exempt overtime compensation.

f) ~~f)~~ Salaried exempt employees in grades 10 (I/U~~/X~~) and above are not eligible for overtime compensation under any circumstances other than First Line Supervisors as noted in 1.4(c), and the Buyers, ~~and~~ those in the Trading room, and the System Operators at the ECC, as noted in 1.4 (d).

Non-Exempt Overtime

2.1 A non-exempt employee is one whose job duties do not meet the established tests for exemption under certain wage and hour laws of the Fair Labor Standards Act, as enforced by the U.S. Department of Labor.

2.2 On those occasions when it is necessary for non-exempt employees to work in excess of their basic workweek [see Attendance and Flexible Hours policy (EP: 4.6)], all additional time worked must be directed and authorized in advance in accordance with Sections 1.2 and 1.3(a).

2.3 Notwithstanding the requirements of Section 2.2 above, under the Fair Labor Standards Act, all non-exempt employees who work in excess of their basic workweek must record and be paid for all time worked.

2.4 The rate of pay for non-exempt employees is as follows:

a) Straight time for up to 40 hours in a workweek.

b) 1 ½ times the straight time equivalent hourly rate of the annualized salary for those hours worked beyond 40 hours in a workweek. Payment for time worked on a scheduled holiday will be paid at 1 ½ times the equivalent hourly rate.

2.5 Generally, time spent traveling on company business will not be counted as hours worked for overtime computation purposes.

2.6 For non-exempt employees, work time spent as a required or assigned participant in any training program, lecture, meeting or workshop, will be paid at the applicable rate of pay (Section 2.4) for that time period.

Additional detail on non-exempt overtime reporting is available on the PowerNet under Human Resources - Compensation

Exempt Overtime

3.1 An exempt employee is one whose job duties meet the established tests for exemption under certain wage and hour laws of the Fair Labor Standards Act, as enforced by the U.S. Department of Labor.

3.2 Time worked in excess of the normal workweek at an employee's discretion will not be compensated. For eligible salaried exempt employees, all paid overtime must be approved in advance in accordance with Sections 1.2 and 1.3(a).

a) For exempt employees on 12 hour shifts, overtime is any time outside the regularly scheduled shift, regardless of the number of hours in the shift. If the scheduled rotation results in greater than 40 hours per week over the course of the year (e.g. 3 day on/3 day off continuous rotation), then the additional hours over the regular 2080 will be built in overtime.

b) Trading hours with another employee should result in an equal number of hours and the new hours become part of the regular schedule. For schedule rotations that include an “E-week” of four 8 hour shifts, the employee’s schedule can be modified to backfill for shifts as needed, and all hours greater than 32 for the week would be paid as overtime.

3.3 For salaried exempt employees in, or temporarily acting in, First Line Supervisor positions, approved overtime will be paid at 1 ½ times the hourly equivalent of the exempt employee's salary for hours of ***actual supervision*** of NYPA bargaining unit employees, or the equivalent. Similarly, Transmission System Operators and Day Ahead Traders approved overtime will be paid at 1 ½ times the hourly equivalent of their exempt salary for hours performing their primary duty. Any other overtime hours, if approved, will be paid at a straight time rate.

3.4 Eligible individual contributor employees may be paid for all hours worked, in accordance with Section 1.2. Approved overtime will be paid at the straight time hourly equivalent of the exempt employee's salary.

3.5 Exempt employees who are preapproved to work during a scheduled holiday will be paid at 1 ½ times the hourly rate for First Line Supervisors, and 1 times the hourly rate for non-supervisory employees for actual hours worked in addition to their normal straight time pay for the holiday.

3.6 Eligible employees temporarily assigned to work at a facility will assume the basic workweek of the facility and their rate of pay for overtime purposes will be determined on that basis.

Approval and Time Records

4.1 For eligible salaried exempt employees, an Overtime Approval form must be completed stating the employee's name, period of time for which the overtime has been requested and approved, a brief description of the task, and the employee's basic workweek hours . These must be reviewed and approved (signed) by a Business Unit Head and/or Regional or General Manager, or designee, as appropriate. These records are retained by the applicable department or facility.

4.2 When a salaried exempt employee is temporarily assigned to an operating facility the time record should be approved by either the Business Unit Head or Regional or General Manager, or designee, as appropriate.

4.3 Payment for authorized overtime under the guidelines of this policy, where practicable, will be charged to the operating budget where the work is performed. The approved time report must clearly indicate the appropriate facility program code number to charge.

4.4 Payment to a salaried exempt employee for overtime that has not been specifically directed or authorized by those delegated the responsibility by this policy, will not be permitted under any circumstances. Issues with unauthorized non-exempt overtime will be addressed through the performance management process. [See Section 2 regarding treatment of non-exempt employees.]

4.5 This policy supersedes all prior policies and procedures regarding overtime for exempt and non-exempt personnel.

_____

Vice President, Human Resources

_____

Date

NEW YORK POWER AUTHORITY	EP:	3.1
	REVISION:	7
EMPLOYEE POLICY	DATE:	3/29/2012

PLEASE REFER TO THE PORTION OF THE HUMAN RESOURCES POLICIES ENTITLED DISCLAIMERS WHERE YOU WILL FIND A STATEMENT, WHICH PERTAINS TO ALL EMPLOYEE POLICIES, INCLUDING THIS ONE.

SALARIED EMPLOYEE CATEGORIES AND ELIGIBILITY FOR BENEFITS

1.1 This policy defines the various categories of salaried Authority employees, and the benefits available to each category. The category of an employee will determine the applicable benefits.

Definitions

2.1 A salaried Authority employee, whose status is full-time or part-time, transitional, provisional, temporary, or intern, is classified as either an exempt or non-exempt salaried employee depending on the job he/she performs or the position he/she fills. A cooperative employee is classified as non-exempt.

a) Full-time Authority Employee – An employee who works at least 37½ or 40 hours per week (as determined by Business Unit or Department), if assigned to the Albany or White Plains Office, or 40 hours per week, if assigned to an operating facility.

b) Transitional Employee – A full-time Authority employee with a written development plan designed to prepare that employee to fill an anticipated vacancy in another Authority position within a designated time-frame.

c) Part-time Authority Employee – An employee who has worked full-time for a minimum of one year, and whose status has changed from full-time to part-time without a break in service (employment with the Authority has not been terminated); and who works a minimum of 20 hours per week but less than 37½ or 40 hours (whatever is applicable) if assigned to the Albany or White Plains Office, or at least 20 but less than 40 hours if assigned to an operating facility.

d) Provisional Employee – Employed on a full-time basis for a specific project or assignment for a period that is expected to last at least one year but not more than three years, and who is paid directly by the Authority.

e) Temporary Employees – Employed for a period of not more than one year (from date of hire) **unless approval to extend employment for an additional period is received from headquarters Human Resources Department Head and the Budget Department.**

1) Full-time Temporary Employee – employed on a full-time basis for a specific position or assignment, and who is paid directly by the Authority.

2) Part-time Temporary Employee – employed on a part-time basis for a specific position or assignment, and who is paid directly by the Authority.
3) Temporary Intern – employed on a full-time or part-time basis for a specific position or assignment, not to exceed six months in a given calendar year, and who is paid by the Authority.
4) Cooperative – ("Co-op") – employed on a full-time basis while enrolled full-time at an accredited college or university in a work/study or cooperative education curriculum and who receives credits towards his/her course of study. Cooperative employees may be paid or unpaid by the Authority.
5) Developmental Intern – employed on a full-time or part-time basis while enrolled in an accredited college or university in a curriculum related to the temporary assignment at the Authority. Additional guidelines apply to Developmental Interns under the Human Resources Developmental Intern Program.

Benefits

3.1 Full-time Authority Employees or Transitional Employees (as defined in 2.1 a and b) are eligible to receive the Authority benefits as listed in the FlexAbility Guidebook and Benefits Handbook (see NYPA intranet page for this information).

3.2 Part-time Authority Employees (as defined in 2.1 c) are eligible to receive Authority benefits with the following qualifications:

a) Retirement System - New York State and Local Retirement System service credit for part-time Authority employees is pro-rated based on the number of hours worked per week.

b) Educational Assistance Program - The Educational Assistance Program benefit is pro-rated based on the number of hours worked per week.

c) Vacation and Sick Leave - Vacation and sick leave credits are based on the number of hours worked per week.

d) Holidays - Part-time Authority employees who work less than a full day (as applicable to their respective job location) are eligible for compensation for holidays based on the number of hours worked per day. However, if an employee works less than a full week, and a holiday falls on a day an employee is not scheduled to work, he/she will not be paid for that holiday.

e) Flex Credits – Flex Credits are based on a reduced flat amount.

f) Insurance - Life insurance and long-term disability are adjusted based on the employee's pro-rated pay.

3.3 Provisional Employees (as defined in 2.1 (d) are eligible for some Authority benefits, as follows:

a) Flex Credits based on a reduced flat amount
b) Mandatory participation in the New York State and Local Retirement System
c) Vacation time will be credited as stated in the Vacation Policy (EP 3.2)
d) Sick leave will be accrued as stated in the Sick Leave Policy (EP 3.9)

A provisional employee does not receive the following Authority benefits:

a) Life Insurance
b) Long-Term Disability
c) Educational Assistance
d) Payment for holidays that fall on a day the employee is not scheduled to work (refer to 3.2 (d) for more details about holiday pay)

3.4 Temporary Employees (this includes Full-time and Part-time Temporary Employees, Co-ops and all Interns) are not eligible to receive Authority benefits with the following exceptions:

a) Participation in the New York State and Local Retirement System is optional for a temporary part-time employee or for a full-time temporary employee whose period of employment is less than one year. Membership in the New York State and Local Retirement System is mandatory for a full-time temporary employee whose employment exceeds one year (prior approval must be obtained from headquarters HR and the Budget Department).

b) Temporary Employees are not paid for holidays unless they work on a holiday.

c) Any requests to hire a NYPA retiree (including NYPA retirees who have suspended their pensions) into temporary or provisional positions must be approved by the President & Chief Executive Officer. The request for approval must contain documentation of the need to continue services from that individual.

d) New York Power Authority retired employees who return to Authority employment and have suspended their New York State Retirement System pension because they have exceeded the annual earnings limitation as specified under Section 212 of the New York Retirement and Social Security Law shall retain their Authority-provided retiree benefits for a period of up to six months.

3.5 For more detailed information about the applicable employee benefits as listed above, please refer to the FlexAbility Guidebook and Benefits Handbook.

Vice President, Human Resources

3/29/12
Date

NEW YORK POWER AUTHORITY	EP:	3.2
	REVISION:	11
EMPLOYEE POLICY	DATE:	03/29/2012

PLEASE REFER TO THE PORTION OF THE EMPLOYEE POLICIES ENTITLED DISCLAIMERS WHERE YOU WILL FIND A STATEMENT WHICH PERTAINS TO ALL EMPLOYEE POLICIES, INCLUDING THIS ONE.

VACATION

1.1 Because NYPA recognizes the importance of vacation time in providing the opportunity for rest, recreation, and personal activities, the Authority grants annual paid vacations to its full-time, part-time, and provisional salaried employees (see EP 3.1, Salaried Employee Categories and Eligibility for Benefits Policy, for employee category definitions).

Eligibility for Vacation

2.1 Full-time salaried employees are credited with vacation days as follows:

a) Employees are credited with 20 vacation days on January 1 of each year.

b) In an employee's first year of Authority employment, vacation days are credited on a pro-rated basis (rounded up to the nearest half day), based on the employee's date of employment ($1/12^{th}$ of 20 vacation days, or 1 2/3 days for each month of service). For example, if employment began in April, the employee receives 15 vacation days for the year. If employment began in December, the employee receives two vacation days.

c) Employees who have 11 or more years of eligible NYPA service will be credited with an additional ½ vacation day on January 1 immediately after they attain 11 years of eligible service. (See EP 1.9, Transfer or Re-Employment in Public Service, for transferred time eligibility criteria.) For each succeeding year of service, another ½ day will be credited on the following January 1^{st} of each year until a maximum of 25 days per year is credited upon the January 1^{st} after reaching 20 years of service.

d) Employees who are on a medical leave and receiving full pay will receive full vacation credits on January 1. Employees on sick leave at half-pay or a leave of absence without pay or long term disability on January 1, will not receive vacation days until they return to work, at which point the days will be credited on a pro-rated basis for the full months worked during the year. Employees who go out on a medical leave or a leave of absence without pay after receiving their vacation days on January 1, and remain employees, will keep the vacation days that were granted on January 1.

3.2 Vacation days for part-time salaried Authority employees are credited on a pro-rated basis based on the assigned number of hours worked per week. If part-time employees become full-time during the year, their vacation days for the year will be adjusted on a pro-rated basis.

3.3 Provisional salaried employees, employed on January 1, are credited with 10 vacation days per year for their first two full years of employment, and 15 vacation days in their third year. In the first calendar year of provisional employment, vacation will be pro-rated for each month of service.

Vacation Usage

4.1 New employees may use vacation time immediately with the approval of their supervisor (see Section 6.1 (d).*

4.2 Employees may use vacation days in full or half-day increments. However, supervisors may allow employees to offset partial vacation days with flexible scheduling, allowing them to make up time by working extra hours on other days. Supervisors may also grant very limited time off without using vacation time if the absence is so brief that it does not affect getting assigned work completed.

4.3 Excessive requests for time off, or taking vacation time without prior approval, should be handled by supervisors as performance problems in accordance with EP 4.2, Performance Improvement Policy.

4.4 Once employees have submitted their resignation, vacation usage is subject to their supervisor's approval. However, employees must physically be at work on their last day of employment.

*(Some Business Units, Sites or Departments may require the vacation request in writing.)

Vacation Carryover

5.1 Regardless of how many vacation days employees are credited with at the beginning of the year, no more than 40 vacation days may be carried over from year to year. For example, on December 31 an employee has the maximum vacation accumulation (40 days) and is credited with an additional 20 days on January 1, the employee's total balance would be 60 days on January 1. If by December 31 of that year the employee still has more than 40 days of accumulated vacation time, the vacation balance will be reduced to 40 days. However, due to extenuating circumstances, individual exceptions to this limitation on carryover of vacation days may be approved by the respective Business Group and Business Unit/Department Heads with concurrence from the Human Resources Department Head or their designee. Any such request must be forwarded to the Human Resources Department Head no later than January 10th of the year in which the vacation accumulation exceeds the 40-day maximum.

Pay in Lieu of Vacation

6.1 Payment in lieu of accumulated vacation, not exceeding a maximum of 40 days, may be made for employees who have completed at least six months of service under the following conditions:

a) When employees resign, provided they give the Authority at least two weeks' written notice.

b) When employees' services are terminated by the Authority.

c) When employees resign, are terminated or retire, they will receive payment for the current year's accumulated vacation on a pro-rated basis ($1/12^{th}$ of yearly vacation days for each month of service). This will be added to any days that were carried over from the prior year, up to a maximum vacation payment of 40 days. If employees have already used more than the equivalent of $1/12^{th}$ of their yearly vacation days for each month of service, payments for those days must be repaid to the Authority (to the extent possible, payment will be withheld from the employee's final paycheck).

d) When employees resign or are terminated prior to six months of service, they are not eligible for payment of vacation days, and payment for any vacation time taken within the first six months of employment must be repaid to the Authority (to the extent possible, payment will be withheld from the employee's final paycheck).

e) In the event of death, payment for unused vacation will be made to the beneficiary as named in the employee's group life insurance policy.

Vacation Buy-Back Program

7.1 If a vacation buy-back is offered and announced by Human Resources, employees will be advised of the amount of vacation they are allowed to "buy-back" at that time.

7.2 When the announcement is made by Human Resources, request forms must be sent to Payroll with the specified deadline dates. Requests received after the announcement deadline date will not be honored or processed.

7.3 The vacation "buy-back" check will be issued separately from the regular bi-weekly paychecks. In addition to taxes, Employees' Savings Plan (401(k) plan) and Deferred Compensation Plan (457 plan) deductions, if applicable, will be withheld from the check. Employees' Savings Plan or Retirement System loan repayments will not be deducted from the check.

The vacation "buy-back" payment does not constitute salary as defined by the Retirement and Social Security Law. Therefore, Tier 3 or Tier 4 contributions, if applicable, will not be deducted from the vacation buy-back check, nor will the buy-back be reported to the Retirement System as wages. Therefore, the value of the "buy-back" will not be included in the calculation of an employee's Final Average Salary.

Vice President, Human Resources

3/29/12
Date

NEW YORK POWER AUTHORITY	EP:	3.3
	REVISION:	13
EMPLOYEE POLICY	DATE:	1/10/2014

PLEASE REFER TO THE PORTION OF THE EMPLOYEE POLICIES ENTITLED DISCLAIMERS WHERE YOU WILL FIND A STATEMENT, WHICH PERTAINS TO ALL EMPLOYEE POLICIES, INCLUDING THIS ONE.

FAMILY & MEDICAL LEAVE ACT (FMLA)

1.1 This policy applies to all employees at all NYPA sites and offices and provides guidelines for qualifying leaves under the Family and Medical Leave Act ("FMLA"). The Notice to Employees of Rights under the FMLA (WHD Publication 1420) ("Notice") is fully incorporated into this policy. The policies and guidelines stated in this FMLA policy shall be subject to such other terms and conditions as are provided in the FMLA and its regulations.

ELIGIBILITY FOR FAMILY MEDICAL LEAVE ACT ("FMLA")

2.1 To be eligible for FMLA leave, an employee must have been employed with the Authority for at least 12 months and have worked at least 1,250 hours during the previous 12 months.

2.2 Type of Leaves Covered Under the FMLA:

1) Employee Medical Leave ("EML") (see Section 4) - for an employee's "serious health condition" that makes the employee unable to perform the functions of his or her position, including "serious health conditions" also eligible for workers' compensation;

2) Family Medical Leave ("FML") (see Section 4) - for the care of an employee's spouse, child, or parent (not parent-in-law) who has a "serious health condition";

3) Newborn Leave ("NL") (see Section 5) - for the care of a healthy newborn child;

4) Adoption Leave ("AL") (see Section 5) - for the care of a newly adopted or newly placed foster care child;

5) Military Family Leave ("MFL") (see Section 6) - for spouse, child, parent or next of kin of a covered servicemember to care for that servicemember; and

6) Qualifying Exigency Military Family Leave ("QEL") (see Section 7) - to take care of certain qualifying exigencies arising when a spouse, parent, or child has been called to, or is on, active duty in the National Guard or Reserves.

2.3 Eligible employees may receive up to a total of 12 weeks of FMLA leave on a rolling 12 month period starting with the first day that an employee commences any approved FMLA leave. However, Military Family Leave qualifies eligible employees to receive up to a combined total (with any other FMLA leave) of 26 weeks in a single rolling 12 month period.

NOTIFICATION/CERTIFICATION

3.1 When the need for FMLA leave is foreseeable, an employee should notify his/her supervisor and Human Resources at least 30 days in advance of the start date of the intended leave, or as soon as it is foreseeable. When the approximate timing of the need for leave is not foreseeable, an employee must provide notice to his/her supervisor and Human Resources as soon as practicable under the facts and circumstances of the particular case. Absent unusual circumstances, an employee will be expected to comply with his/her site/department's normal procedures for requesting leave and calling in absences. Failure to follow such procedures may result in a delay or denial of FMLA protection.

3.2 FMLA leaves can only be approved by the Vice President Human Resources, or designee(s), at the headquarters office, or the Facility Manager of Human Resources, or designee(s), at the sites. In situations when there is no Facility Manager of Human Resources at a site, FMLA leaves will be approved by the Vice President Human Resources, or designee(s). Once approved, FMLA leave is applied retroactively to the first day of absence.

3.3 The applicable fully completed Certification of Health Care Provider form or Certification of Serious Injury or Illness of Covered Servicemember ("Certifications"), signed by a health care provider, is required for all types of FMLA leave other than Qualifying Exigency Military Family Leave, which has its own separate form and requirements. Newborn leave may require documentation (see Section 5.1).

3.4 It is the employee's responsibility to see that the applicable Certification is completed, and returned to the Authority as soon as possible after the leave is requested, but no later than 15 calendar days from the date that the employee receives the Certification form from Human Resources.

3.5 If the Certification is incomplete or insufficient, the Authority will notify the employee and provide him/her up to seven calendar days to remedy any deficiencies. After this opportunity to remedy deficiencies has passed, a health care provider, human resources professional, leave administrator, or management official may contact the health care provider for purposes of limited clarification or authentication of the Certification. Under no circumstances may the employee's direct supervisor contact the employee's health care provider. In addition, in those situations where NYPA seeks to speak to the health care provider directly to obtain or discuss medical information related to the Certification, the employee may need to provide his/her doctor a HIPAA authorization allowing NYPA to do so.

3.6 The employee's request for FMLA leave may be denied in the event that an employee fails to: a) provide a Certification, b) timely remedy deficiencies in a Certification, or c) to provide a HIPAA authorization when requested as necessary for his/her health care provider to provide medical information directly to the Authority.

3.7 Employees attempting to obtain, or obtaining, FMLA leave by fraudulent means, shall be denied the restoration of their job or any other job at the Authority and utilization of paid time for time out of work.

3.8 Recertification of the need for FMLA leave may be required at various intervals, but generally not more than every 30 days, unless the Certification indicates that the minimum duration of the condition is more than 30 days, there is a change in circumstances or return to work date, an extension of leave is requested, or other circumstances cast doubt on the continuing validity of the leave.

EMPLOYEE MEDICAL LEAVE ("EML") AND FAMILY MEDICAL LEAVE ("FML")

4.1 When an employee has been absent from work for five (5) consecutive or closely occurring intermittent business days due to a "serious health condition," or when it appears that an employee will not be returning to work for a lengthy or undetermined amount of time due to a "serious health condition," he/she may be eligible for an Employee Medical Leave ("EML") under the FMLA.

4.2 If more than five (5) business days (consecutive or intermittent) are needed to care for the "serious health condition" of a spouse, child, or parent (not parent-in-law), an employee may be eligible for Family Medical Leave ("FML") under the FMLA.

4.3 When the absences exceed this five day period for a "serious health condition," the employee should provide notification to Human Resources, at which point the FMLA process will be initiated for eligible employees.

4.4 EML and FML may be taken on a consecutive, intermittent or reduced work schedule basis as provided by the health care provider on the Certification.

4.5 FMLA leave on an intermittent basis is leave which can be taken in fifteen (15) minute increments, on a non-regular basis, because of a single qualifying reason. FMLA leave on a reduced schedule is leave which reduces the number of working hours in a basic work week or workday for a period of time. If an employee needs leave intermittently or on a reduced leave schedule for planned medical treatment, then the employee must make a reasonable effort to schedule the treatment so as not to disrupt unduly the Authority's operations.

4.6 Employees who qualify for EML and FML must first utilize all accrued sick time. Employees may then request and utilize any approved accrued vacation or accrued floating holidays (see Sections 10.6 and 10.8 below). Vacation time may only be used prior to sick time for salaried employees EML's for service credit purposes related to sick leave at half-pay (see Sick Leave Policy EP: 3.9). In all cases, qualifying time absent, whether paid or not, will be applied toward the maximum 12-week FMLA time period. Use of vacation time does not alter the status of the leave as FMLA leave, nor does it extend any Authority provided job security periods. (For EMLs related to Workers Compensation also see Sections 9.1-9.3).

4.7 Once accrued sick time is exhausted (and where vacation or floating holiday time is not being used, or has been exhausted), eligible salaried (non-bargaining unit) employees on EML will be placed on sick leave at half-pay (see Sick Leave Policy EP: 3.9), or on no-pay status if not eligible. In certain circumstances, salaried employees may also be eligible to receive donated vacation leave (see Attachments 1 and 2). Sick leave at half-pay is not available for any other type of FMLA Leave. If an employee on FML has no sick or vacation credits, or elects not to apply vacation credits, non-worked hours will be unpaid.

4.8 After three consecutive months of EML, and after all accrued sick leave and sick leave at half pay has been exhausted, a salaried employee may be eligible for benefit payments under the Long-Term Disability ("LTD") benefits. (See Sick Leave Policy EP: 3.9). Employees covered under a Collective Bargaining Agreement ("CBA") may be entitled to short and/or long term disability benefits. Such employees should contact their Human Resources Facility Manager and refer to their applicable Collective Bargaining Agreement and Benefit book for information on their benefits.

4.9 Employees returning to work from an EML must provide Human Resources with written medical documentation verifying their ability to return to work and fitness for duty. This should be provided at the earliest practicable time **before** returning to work. An employee will not be allowed back to work without sufficient written medical documentation.

NEWBORN ("NL")/ADOPTION ("AL") LEAVE

5.1 An employee may take a Newborn Leave ("NL") to care for a healthy newborn under the FMLA within one year after birth (i.e., bonding). Any time taken to recover from child birth, to assist a mother recovering from child birth, or to care for a newborn with a "serious health condition" should be taken as Employee Medical Leave or Family Medical Leave respectively.

5.2 An employee may take an Adoption Leave ("AL") in connection with travel for and placement of a child for adoption or foster care within one year of initial placement in the home, or adoption, whichever comes first. Documentation from an agency or attorney verifying such placement of a child must be submitted to Human Resources within 15 business days or as soon as practicable.

5.3 Newborn and Adoption Leave may only be taken as consecutive leave and will be without pay unless the employee chooses, and receives approval, to use accrued vacation time. In all cases, time absent, whether paid or not, will be applied toward the maximum yearly 12-week FMLA period.

5.4 If both parents of a healthy newborn or a newly placed foster or adopted child work for the Authority, only one parent is entitled to these types of FMLA leave for each qualifying occurrence. However, both parents will be allowed leave for travel necessary for placement of a child for adoption or foster care.

5.5 In all cases, time absent, whether paid or not, will be applied toward the applicable 12-week FMLA time period.

MILITARY FAMILY LEAVE ("MFL")

6.1 Military Family Leave ("MFL") provides eligible employees unpaid leave to care for a covered family member who has incurred a serious injury or illness in the line of duty as a covered servicemember while on covered active duty in the Armed Forces.

6.2 A covered family member is a spouse, son, daughter, parent or next of kin. A covered servicemember is a person either in the military or a veteran for up to five years after he or she leaves military service, even if the injury did not manifest itself until the servicemember became a veteran.

6.3 An employee who is a covered family member may take up to 26 weeks of leave during a single 12-month period to care for the covered servicemember. This 26-week period is the maximum amount of leave that may be taken in combination with any other FMLA-qualifying leaves in a single 12-month period beginning with the employee's first day out.

6.4 Such leaves may be on a consecutive basis, intermittent or a reduced schedule basis, as detailed by the health care provider on the Certification.

6.5 Employees must utilize accrued sick time first until sick leave accruals are exhausted. Employees may then request and utilize any accrued floating holidays (salaried only), vacation or other accrued paid time off (see Section 10.6 below) or be placed on no-pay status.

6.6 In all cases, time absent, whether paid or not, will be applied toward the applicable 26-week FMLA time period.

QUALIFYING EXIGENCY MILITARY FAMILY LEAVE ("QEL")

7.1 An employee who is a spouse, son, daughter, or parent of a covered servicemember called to, or on, covered active duty in regular or reserve components of the Armed Forces during a deployment of the servicemember to a foreign country may take up to 12 workweeks of leave during any 12 month period for a "qualifying exigency."

7.2 Reasonable documentation of family relationship may be required.

7.3 A "qualifying exigency" is limited to the following: a) short notice deployment; b) attending certain military events and related activities; c) arranging for alternative childcare and school activities; d) addressing financial and legal arrangements; e) counseling; f) rest and recuperation; g) attending post-deployment activities; and h) additional activities that arise out of active duty, provided that the employer and employee agree, including agreement on time and duration of the leave.

7.4 Leaves for Qualifying Exigencies shall be unpaid, unless the employee requests and is given permission to use accrued vacation time, floating holidays (salaried only) or other accrued time off (excluding sick time).

7.5 In all cases, time absent, whether paid or not, will be applied toward the applicable 12-week FMLA time period.

JOB SECURITY FOR SALARIED EMPLOYEES WHILE ON FMLA OR EXTENDED MEDICAL LEAVE

8.1 When a salaried employee is absent from work on any qualified consecutive FMLA leave, other than Military Family Leave, their position will only be held open for a period of three months beginning on the first day of absence for the first FMLA leave within a 12-month period, even if the employee has accumulated sick and/or vacation leave that extends beyond that three month period.

8.2 If a department intends to fill a salaried position held by someone on FMLA leave (other than qualifying Military Family Leave) at any time after the expiration of the three month job security period, the employee's supervisor must notify site/headquarters Human Resources and the employee of this intent.

8.3 For all FMLA leaves other than Military Family Leave, any extended leave beyond the three months FMLA and job security period up to, but not exceeding, six months must be approved by the employee's Business Unit head or Regional Manager in conjunction with Human Resources prior to informing the employee of the approval of the extension. By approving the extension, the Authority will attempt to maintain the availability of a position, but not necessarily the same position, for the agreed upon leave period. In these circumstances, there is no guarantee that the employee's current position will remain open. The Authority will attempt to place the employee in a similar (or lesser) position for which they are qualified at the time of return. A position will not be created. At the conclusion of six months, the employee will be advised that his/her continued employment has been terminated.

8.4 When an employee is absent from work on a qualifying Military Family Leave, their position will be held open for a period of up to six months beginning on the first day of absence for the first FMLA leave within a 12-month period, even if the employee has accumulated sick and/or vacation leave that extends beyond that six month period. At the conclusion of six months, the employee will be advised that his/her employment has been terminated.

8.5 Extensions of leave beyond the six month period will be considered on an individual basis. Such a request **must** be made in writing and can only be approved by the Human Resources Department Head. Such requests should be made as soon as practicable before the end of the six-month period and must include information from the health care provider about the employee's current medical status, expected return to work dates as well as any return to work conditions or limitations. Any remaining accrued sick leave will also be taken into consideration in reviewing the request for an extension of the leave. For extensions of Military Family Leave beyond the six month period, the military member's current medical status and the employee's expected return to work date must be provided.

WORKERS' COMPENSATION

9.1 If an employee is out on a Workers' Compensation leave for a health condition which also qualifies as an FMLA leave, it will be treated concurrently as an FMLA leave.

9.2 In such cases, employees have the option of applying unused accrued sick and/or vacation time to their first three months of absence from work. The employee must complete a "Use of Vacation/Sick Accruals" form (provided by their Human Resources representative) to make their election, which is irrevocable. If the employee chooses to apply sick/vacation time towards a Workers' Compensation/FMLA leave and the Workers' Compensation claim is found to be compensable, sick/vacation time will be credited back to the employee on a prorated basis in a proportionate manner based on the amount of the award and the amount of sick/vacation time used or other Collective Bargaining Agreement contractual language.

9.3 Bargaining Unit employees are directed to their Human Resources Facilities Manager and the applicable Collective Bargaining Agreement or Benefit book.

PAYROLL STATUS WHILE ON FMLA LEAVE

10.1 Depending on the particular type of leave and an employee's individual circumstances (including whether they are salaried or members of a bargaining unit), an employee may be eligible for income while on a FMLA leave through sick leave, sick leave at half-pay for salaried employees, vacation leave, short term disability for bargaining unit employees, and/or long term disability, or all or part of a FMLA leave may be unpaid.

10.2 Applicable benefits and associated payroll deductions (taxes, flexible benefit credits and deductions, NYS Retirement System contributions, PowerFlex, Employees' Savings Plan, loans, Liberty Mutual insurance, other insurance, etc.) will continue while an employee is out on any qualified FMLA leave and is still receiving compensation regardless of whether it is full- or half-pay (using sick or vacation accruals) or sick leave at half-pay, if applicable.

10.3 Deductions are prioritized by the Payroll Department. Any questions concerning the priority of deductions should be addressed to the Payroll Department and/or the applicable Human Resources representative.

10.4 For salaried employees, if an employee works on a part-time schedule prior to going out on FMLA leave, and is receiving compensation while on that leave, they will be paid based on the days they work on their part-time schedule.

10.5 For bargaining unit employees, holidays will be fully paid if during the calendar week in which such holiday occurs the employee has been on paid status at least two full days and all absences from work on the employee's remaining regularly scheduled work days during that week are approved in writing by the supervisor in accordance with the applicable Collective Bargaining Agreement. Employees on unpaid status prior to the calendar week of the holiday will not be paid for the holiday.

10.6 An employee on an FMLA leave who wants to use their accrued vacation time to cover any part of that leave must make a request to their local Human Resources Department. Without notification, accrued vacation time will not be automatically applied.

10.7 Holidays will be fully paid only if an employee is on a full-pay status (using sick or vacation leave or floating holiday) on the business day prior to the holiday. Holidays will be paid at half-pay if the employee is on sick leave at half-pay on the business day prior to the holiday. Employees on unpaid status on the day prior to a holiday will not be paid for the holiday.

10.8 Floating holidays, if accrued but not used before FMLA leave commences, must be used before any unpaid leave begins. If an employee on half or full pay status will be on leave at the end of the calendar year, and has not yet used their floating holidays, they will automatically be applied toward the leave prior to year's end.

10.9 Deductions and Credits:

1) FlexAbility Deductions

a) Where applicable, FlexAbility credits for Salaried employees, or payments for Waiving Coverage for IBEW employees and/or any other applicable benefit costs, will continue to be paid/deducted while an employee is out on FMLA leave and is still receiving pay from NYPA.

b) Once on a no pay status, in order for the benefit costs to remain a pre-tax deduction, where applicable, Human Resources and Payroll must receive enough advance notice (30 days) before a leave begins, to be able to arrange for the pre-tax deductions to be taken from the paycheck.

c) Otherwise, an employee must pay their applicable contributions to the Authority monthly during their leave on an after-tax basis. (Bargaining unit employees contact your HR Department for appropriate no pay status form)

d) The Authority's obligation to maintain the above coverages ceases if the contribution is more than 30 days late. The Authority must give 15 days' notice to the employee prior to the termination of benefits.

2) If a portion of a salaried employee's FMLA leave will be unpaid, flex credits will be used to determine the premium they must pay to the Authority. The cost will be based on the flex credits they were entitled to while being paid, minus the cost of deductions.

Bargaining Unit employees' payments will be based on their benefit elections and applicable contractual contribution.

3) All other deductions (i.e., PowerFlex accounts) will be doubled per pay-period when an employee returns to work until they are back on the appropriate annual deduction schedule or have made up the appropriate percentage amount.

4) Benefits Coverage Period:

a) Salaried employee's benefits will continue for 6 months (from first day of absence) as long as an employee pays the required employee contributions while they are out on leave.

b) A salaried employee's benefits will cease once the employee has been absent for six months from their first day of absence, even if their leave is approved to be extended beyond six months under the provisions of Section 8.5. If a salaried employee has 10 or more years of service with the Authority and is collecting long-term disability payments (see the Employee Benefits Handbook for Salaried Employees for LTD eligibility criteria), their health care coverage will be continued at a cost to them. The cost will be based on flex credits, minus the cost of the medical plan.

c) Bargaining unit employees should contact their Human Resources Facility Manager and refer to their applicable Collective Bargaining Agreement and Benefit book.

Vice President Human Resources

DONATION OF VACATION LEAVE

This attachment allows eligible salaried employees who are on medical leave to receive vacation leave that has been donated by other salaried employees.

Eligibility

An employee *absent for his/her own personal illness or injury* who has been out for at least 20 ***consecutive business*** days due to a ***serious health*** condition and who has exhausted ALL accumulated sick and vacation leave credits will be eligible to receive donated vacation leave. The 20-day waiting period has been established in order to limit eligibility to long-term serious ***health*** conditions rather than short-term sick leave.

An employee receiving any form of pay (i.e., workers' compensation, sick leave at half-pay, long-term disability) is not eligible to receive donated vacation leave.

Who May Donate

An employee who has accumulated vacation leave credits and who is on the active payroll may donate vacation leave.

Donated Vacation Information

An employee may donate vacation leave in increments of 1 day.

A donating employee must be left with a remaining vacation leave balance of 5 days, in the event he/she needs the time for unforeseen reasons.

If an employee returns to work and all of the donated vacation leave is not fully utilized by the recipient, ***up to 10 days of donated vacation may be kept by the employee. The remainder will be credited back proportionately to the donating employees based on the number of days donated by each employee compared to the total days donated.***

Procedures

When an eligible employee exhausts his or her accumulated sick and vacation leave credits, Payroll will notify the employee and his or her business group, unit/department head. The business group, unit/department head may, at his or her discretion, ask employees if they wish to donate vacation leave days. When determining whether an employee is eligible to receive donated vacation leave, the business group, unit/department head should consider factors such as the employee's attendance record. The business group, unit/department head, or his/her designee, should try to determine how much time is needed until the employee is eligible for long-term disability benefits.

When a department cannot provide an employee with a sufficient number of days at full pay until the employee is eligible for long-term disability benefits, the business group, unit/department head may request the Employee Relations Manager at headquarters, or the Facility Manager of Human Resources at the sites, to solicit other departments to participate.

Donated vacation leave cannot exceed three months from commencement of the leave (the time at which the employee is eligible for long-term disability benefits).

An employee who wishes to donate vacation leave must complete a Vacation Donation Form (see Attachment 2) and submit the form to the employee's business group, unit/department head or designee, who in turn should forward the form to Payroll.

Payroll will credit the donated vacation leave days to the recipient employee's vacation leave balance (not calculated by rate of pay). Donated vacation leave will be paid to the employee at his or her regular rate of pay. Applicable payroll taxes and deductions will be withheld from such payments.

When an employee donates vacation leave, his or her vacation leave balance will be reduced by the number of days donated (not calculated by rate of pay).

VACATION DONATION FORM FOR SALARIED EMPLOYEES

TO: WPO Payroll

Donor's Name _____ **Date** _____

Department _____ **Location** _____ **Extension** _____

Number of Vacation Days to be donated _____ **Donated To** _____

Signature _____ **Date** _____

Cc: Recipient

NEW YORK POWER AUTHORITY	EP:	3.4
	REVISION:	7
EMPLOYEE POLICY	DATE:	7/29/2013

PLEASE REFER TO THE PORTION OF THE HUMAN RESOURCES POLICIES ENTITLED DISCLAIMERS WHERE YOU WILL FIND A STATEMENT WHICH PERTAINS TO ALL EMPLOYEE POLICIES, INCLUDING THIS ONE.

LEAVES OF ABSENCE

1.1 This policy provides the requirements for a salaried Authority employee to take one of the following leaves of absence: leave due to death in the family, military leave, leave for jury duty, or personal leave without pay. (Information about leaves of absence covered by the Family and Medical Leave Act is found in EP: 3.3.)

Leaves Due To Death In The Family

2.1 The Authority provides three days of paid leave when there is a death in an employee's immediate family. Temporary Employees (as defined in Section 2.1 (e) of EP: 3.1) are not eligible for such paid leave.

2.2 Immediate family is defined as an employee's spouse, mother, father, stepmother, stepfather, brother, brother's spouse, sister, sister's spouse, child, child's spouse, stepchild, grandparents, grandchildren; or an employee's spouse's mother, father, brother, sister, children, or grandparents. For these purposes "spouse" will include domestic partners who have satisfied NYPA's Domestic Partner Benefits eligibility (even if not subscribed to NYPA Domestic Partner medical benefits). Refer to the Flexability Plan Overview of Employee Handbook or the HR intranet site.

2.3 If an employee will be absent due to the death of an immediate family member he/she must notify his/her supervisor as soon as possible.

2.4 The employee's time, indicating the reason for the absence must be entered in the time entry system and the appropriate documentation must be submitted to the employee's supervisor. Vacation leave or a floating holiday is to be used when the deceased is someone other than immediate family (as listed in Section 2.2), or if an employee intends to take more than the three days provided for in this policy.

2.5 Leave due to death in the immediate family is paid at an employee's base salary for the number of days absent (up to three days).

Military Leave

3.1 If an employee (as defined in Section 2.1 (a), (b), (c) and (d) of EP: 3.1) is a member of the National Guard or the Organized Reserve Forces of the United States he/she will be allowed up to 30 workdays leave of absence per calendar year with full pay for military service.

3.2 Documentation of appropriate military orders must be provided prior to the commencement of the leave, with as much advance notice as possible.

3.3 Upon return from leave, the Payroll Department must receive a copy of the appropriate military orders.

3.4 Authorized military leave is paid at an employee's base salary.

3.5 Special circumstances may apply to employees who are activated in connection with serving in the military under a U.S. Declaration of War or Congressional Authorization of Force or for employees who are Emergency Service Volunteers who are certified by the American Red Cross as disaster volunteers. For more details contact your local Human Resources office.

Leave For Jury Duty

4.1 The Authority provides a full-time, part-time or provisional salaried employee (as defined in EP: 3.1) with a paid leave of absence for the time needed to fulfill jury duty service. Temporary salaried employees, cooperatives and interns are entitled to minimum benefits for a leave of absence due to jury duty as required by law.

4.2 If an employee is summoned and required to serve on jury duty, he/she must advise his/her supervisor of the jury duty summons as soon as possible.

4.3 The employee is expected to report to work to the extent reasonably practicable when jury duty requires only part of the day.

4.4 Upon return from jury duty the employee must furnish his/her supervisor with a copy of the jury duty summons, or similar documentation.

4.5 Employees are paid for all days served on jury duty at their base salary in effect upon commencement of leave.

Pay Status Of Authority Employee Subpoenaed As A Witness

5.1 If an employee is subpoenaed to testify in a judicial or administrative proceeding as a witness on a matter arising out of his/her responsibilities at the Authority, or if an employee appears in a judicial or administrative proceeding at the request of the Authority, he/she will be paid at his/her base salary.

5.2 In all other circumstances, e.g., when an employee appears as a witness in a matter unrelated to the Authority or for activities outside the scope of his/her Authority

responsibilities, vacation time must be charged for any absence in connection with that appearance.

Personal Leave Without Pay

6.1 A leave of absence, other than a medical leave or a Family and Medical Leave Act ("FMLA") leave, (see EP: 3.3), to pursue personal interests will be considered on an individual basis as long as the absence will not adversely affect his/her department's work goals and needs.

6.2 If an employee is seeking to take a personal leave without pay he/she must submit a written request to his/her supervisor indicating the reason for the leave, the date the leave is expected to commence and the expected length of the leave. If the supervisor and the Business Group, Unit/Department Head, or Regional/Plant Manager (approval level based on Business Group Head discretion), approves a request, approval from the Human Resources Department Head must be received before the employee is notified that the request has been granted. Requests for such leave should be forwarded to Employee Relations at headquarters or the Facility Manager of Human Resources for site staff so approval can be obtained from the Human Resources Department Head.

6.3 Before an employee is placed on personal leave without pay, he/she must exhaust all accumulated vacation leave credits, unless special exemption has been granted by the Human Resources Department Head. Accumulated sick leave credits may not be used for personal leave purposes.

6.4 Job security while on personal leave without pay will be considered by the respective department, in consultation with Employee Relations based on the individual circumstances (i.e., length of leave, reasons, etc.).

6.5 A member of the Employee Relations staff at headquarters or the Facility Manager - Human Resources at the sites will provide direction about the personal leave without pay. All benefits, with the exception of long-term disability and New York State Retirement System service credit (which require active payroll status), will continue for a personal leave without pay of 30 days or less. The employee will be required to pay his/her employee contributions during a personal leave without pay of 30 days or less. If a personal leave without pay is granted for a period of more than 30 days, all benefits, with the exception of long-term disability and New York State Retirement System service credit, can be continued if the employee pays the full cost of the benefits. Arrangements can be made to continue benefits by making contributions on a post-tax basis.

6.6 Long-term disability insurance coverage will cease at the commencement of an approved personal leave without pay, but will resume upon the employee's return from leave. New York State Retirement System participation will continue; but the employee will not earn

service credit during the period of the leave. Service credit will resume upon an employee's return from leave.

6.7 If an employee is on personal leave without pay on January 1, he/she will receive sick and vacation leave credits on a pro-rated basis upon his/her return to work. If an employee receives sick and vacation leave credits on January 1, and commences a Personal Leave without pay after that date, he/she keeps the sick and vacation leave that was credited on January 1.

6.8 When an employee is on personal leave without pay, he/she will not receive holiday pay if a holiday occurs during the leave.

6.9 Before an employee's return from an approved personal leave without pay, the supervisor must notify the Employee Relations Unit of Human Resources at headquarters or the Facility Manager - Human Resources, so that the necessary payroll and benefits transactions can be initiated to return the employee to active status (the employee cannot return to work at his/her discretion).

Paid Volunteer Time (PVT)

7.1 In order to support the efforts of full-time, salaried employees (as defined in Section 2.1 (a) of EP: 3.1) who give their time to communities and charitable organizations, the Authority provides two days of paid volunteer time (PVT) annually.

7.2 Employees must be actively at work to participate.

7.3 One day may be used for an individual volunteer activity and one day may be used for a team (of Authority employees) volunteer project. Or, both days may be used for team volunteer projects.

Volunteer activities/projects include, but are not limited to, park cleanup, fundraising walks, food drives, blood drives, disaster recovery assistance, and building/repairing affordable housing. The activities/projects can support local, national or international charities.

7.4 Employees may request to use PVT in full days or half days. PVT hours must be used during normal business hours. PVT will be paid at an employee's normal rate of pay, and is not subject to overtime rates or any other expenses that are associated with such leave. Unused PVT may not be carried over to the next calendar year.

7.5 If an employee is seeking to take a PVT day, he/she must submit a request form to his/her supervisor and Human Resources, indicating the organization he/she will be volunteering

for, the type of activity, and the date of the PVT. Human Resources will confer with the Chief Ethics and Compliance Officer to conduct a conflict of interest analysis consistent with the Authority's Ethics Code of Conduct. If the analysis results in a finding of compliance with the Code of Ethics, the request will be approved. Supervisors/Managers will approve requests on an individual basis as long as the absence will not adversely affect his/her department's work goals and needs. An employee is not authorized to take a PVT day unless he/she has obtained approvals from Supervisor/Manager, Human Resources and the Chief Ethics and Compliance Officer.

7.6 The organization must have an IRS designation of a 501(c) (3) or be recognized as tax-deductible under Section 170(c) of the Internal Revenue Code. All charities must also be vetted in accordance with the U.S. Patriot Act.

7.7 The Authority cannot approve requests for certain activities/projects, including but not limited to:

- pose a potential conflict of interest for the Authority;
- are not aligned with the Code of Conduct or our corporate values;
- may involve the Authority in controversial issues;
- pose safety or security risks to employees (e.g. clean-up work at a disaster site; construction or remodeling work not under the supervision of a trained professional; or any type of volunteer activity not under the supervision of an eligible charity);
- consist of fundraisers for individuals rather than registered charities;
- benefit charities with the sole purpose of providing support to a named individual or a named family;
- influence legislation or involve electing candidates to public office;
- involve religious programs of churches, temples, mosques, or other sectarian organizations;
- are programs offered by clubs, fraternities/sororities, or membership associations; or
- involvement in schools for attendance at social or sporting events.

Vice President, Human Resources

7/30/13
Date

NEW YORK POWER AUTHORITY	EP:	3.6
	REVISION:	10
EMPLOYEE POLICY	DATE:	12/18/2012

PLEASE REFER TO THE PORTION OF THE HUMAN RESOURCES POLICIES ENTITLED DISCLAIMERS WHERE YOU WILL FIND A STATEMENT WHICH PERTAINS TO ALL EMPLOYEE POLICIES, INCLUDING THIS ONE.

EDUCATIONAL ASSISTANCE PROGRAM

Purpose

1.1 The Authority is committed to helping its salaried employees pursue professional growth and development and encourages the use of the Educational Assistance Program for courses leading to an undergraduate or graduate degree or a certificate program that is of mutual benefit to employees and the Authority.

Eligibility

2.1 The Educational Assistance Program is available to full-time or part-time salaried Authority employees and transitional employees, who maintain a performance rating of "achieved expectations" or better. Generally, employees who receive a performance rating of "partially achieved" or "did not meet expectations" are not eligible to receive benefits under this program. (However, there may be instances when supervisors determine that the overall performance of employees with a rating of "partially achieved expectations" may have sufficiently improved to allow them to receive benefits under this program (see section 8.4 for additional details). Provisional and temporary employees, including cooperative employees and developmental interns, are not eligible for the Educational Assistance Program. (See EP: 3.1, Salaried Employees' Eligibility for Benefits, for definitions of employee categories.)

2.2 Eligibility for the Educational Assistance Program ends upon termination or separation from employment as a NYPA employee. Courses started prior to an employee's employment date or while on a leave, or courses that are completed after an employee's termination or separation from employment, are not eligible for reimbursement (see section 3.1 and 8.5 for additional details). Courses started prior to an approved FMLA medical leave are eligible for reimbursement at the discretion of an employee's immediate supervisor (see section 8.5 for additional details).

2.3 School attendance will be at times other than an employee's normal working hours.

Reimbursement to the Authority

3.1 All benefits under this Policy are contingent upon the employee's continued employment with the Authority for a continuous period of at least one year for undergraduate degree programs and two years for graduate programs, such period to begin from the employee's last payment towards a degree or certificate program either in process or earned. If the employee leaves NYPA's employment before the end of the commitment period (for a reason

other than a reduction in force), the employee agrees to repay in full all monies expended by NYPA on behalf of the employee in connection with the applicable degree program.

Eligible Institutions and Programs

4.1 In order to be covered by the Educational Assistance Program, the degree or certificate program must be obtained from a regionally accredited educational institution. On-line or distance educational course work (course work taken via the internet) from a regionally accredited educational institution may be reimbursable pending review and approval by an employee's immediate supervisor or Department Head/Business Unit Head or Regional Managers at the facilities, Business Group Head and Corporate Human Resources. Fees for proficiency examinations (CLEP for example) are reimbursable only if employees pass the examination and college credit is granted. Fees for review courses are reimbursable only if the course is completed in full and employees obtain a completion certificate. (Employees will be reimbursed for the same or similar review course only once.) A certificate program means an advanced program sponsored by a college or university leading to the award of a specialized certificate. It does not include stand-alone courses such as Dale Carnegie and others. Courses that are not part of a degree or certificate program are not covered by the Educational Assistance Program. The costs for approved courses are covered by individual Business Unit budgets.

Approval

5.1 At Headquarters (WPO, Albany Office), the degree or certificate program must first be approved by an employee's immediate supervisor, the Business Unit/Department Head and the Business Group head in accordance with this policy. Approved requests should be forwarded to Human Resources for final determination of eligibility for the Educational Assistance Program. At all other facilities, the degree or certificate program must be approved by an employee's Department Head and Regional Manager in accordance with this policy. Approved requests should be forwarded to site Human Resources for final determination of eligibility for the Educational Assistance Program.

5.2 Approval for educational assistance is contingent upon a careful review that the degree or certificate program supports or improves skills required for (1) the employee's current position or (2) a potential future assignment with the Authority. The degree or certificate program should also be in line with the employee's NYPA career development objectives. Supervisors who approve an educational assistance program application should include the skills the employee will learn in the degree or certificate program in the Developmental Plan section of the employee's Performance Plus Document ("PPD"), and measure the application of those skills on the job in the Performance Assessment Summary section of the employee's PPD.

5.3 If the degree or certificate program is aimed at a new career field, there must be a reasonable expectation that job opportunities will exist in the new field within the Authority, and that

the employee will qualify for those opportunities. A reasonable expectation, however, is not a guarantee that a position will be available at the time the degree or certificate program is completed. Therefore, reimbursement for tuition and fees will be at half the financial level of reimbursement for undergraduate degrees. At Headquarters, the Business Group Head where this expected opportunity will exist is also required to approve the proposed degree or certificate program. At all other sites, the Regional Manager where this expected opportunity will exist and Human Resources will be required to approve the proposed degree or certificate program.

Reimbursement for Expenses

6.1 Employees are responsible for payment of tuition and eligible required fees when registering for courses at eligible institutions. Tuition and eligible fees will be reimbursed only after successful completion of the course(s) at a minimum grade level of "C" or its equivalent or a "P" in a pass/fail course (limited to two courses per degree curriculum) and provided employees are employed by NYPA at the time the course is completed. If requested, Human Resources will provide a school with verification of an employee's eligibility for the program. For employees that are approved for Educational Assistance after September 1, 2012, reimbursements are limited to one reimbursement per season. Seasons are defined as Spring, Summer I, Summer II, Fall and Winter.

6.2 For undergraduate courses, the Authority will reimburse 100% of tuition, books, lab and computer fees, and mandatory course registration fees up to a maximum reimbursement of $2,000 per season (as defined above). For undergraduate or graduate courses aimed at a new career field, the Authority will reimburse 100% of tuition, books, lab and computer fees, and mandatory course registration fees up to a maximum reimbursement of $1,000 per season.

6.3 For graduate courses related to an employee's current career field, the Authority will reimburse 100% of tuition, books, lab and computer fees, mandatory course registration fees, and thesis/dissertation supplies up to a maximum benefit of $3,000 per season.

6.4 Ancillary fees that may be required by the educational institution (either mandatory or optional), including but not limited to fees for application, late registration, student activities, health care services, student licensing, matriculation, graduation or diploma are not reimbursable. Parking fees are not reimbursable. Applications for non-routine items not mentioned in this paragraph must be submitted to Human Resources for consideration.

Initial Application Process

7.1 Employees must submit an initial Application for Educational Assistance to their immediate supervisor at least 30 days before the registration period. The application must include a copy of the degree or certificate program curriculum. Applications for review courses must be accompanied by a description of the course. Late applications, if accompanied by a written

explanation, will be considered on a case-by-case basis. Updated course curriculum may be requested on occasion.

7.2 At Headquarters, an employee's immediate supervisor, Business Unit/Department Head and Business Group Head must approve a request for educational assistance. Approved requests should be forwarded to Human Resources for final determination of an employee's eligibility for the program at least two weeks prior to registration. At the sites, Department Heads and Regional Managers must approve an employee's educational assistance requests. Approved requests should be forwarded to the local Human Resources Department for final determination of an employee's eligibility for the program at least two weeks prior to registration. Rejected requests will be returned with the reason for disapproval.

7.3 If an employee transfers to a new degree or certificate program or school, or transfers to a new Business Unit/Department within the Authority, a new Application for Educational Assistance must be approved.

Reimbursement Process

8.1 If the degree or certificate program is approved, in order to receive reimbursement, a completed Educational Assistance Program Request for Reimbursement Form must be submitted to Human Resources, along with the following items, no later than thirty (30) working days following receipt of evidence of satisfactory course completion:

- an itemized bursar's receipt for tuition and eligible fees paid;
- a grade report, official transcript or certification of completion of the course(s) documenting a grade of "C" or better, or a "P" in a pass/fail course(s);
- a sales receipt with book titles and prices itemized by the bookstore;
- original documentation indicating completion of a review course, if applicable;
- original documentation from school itemizing necessary supplies for a graduate level thesis/dissertation and an original sales receipt for the supplies, if applicable;
- copy of degree after completion of course curriculum.

8.2 Employees who receive financial aid, such as a scholarship, grant or reimbursement from any alternate source must report it and the amount on the Educational Assistance Program Request for Reimbursement Form. This amount will be deducted before computing the allowable reimbursement. Employees who fail to report amounts of financial aid or any other type of reimbursement on the reimbursement form, will be liable for return of the reimbursement award, and face possible exclusion from future Educational Assistance Program eligibility. Any falsification or misrepresentation of information will result in the denial of educational assistance.

8.3 If approved, employees will receive their reimbursement in a subsequent paycheck. Original documents will not be returned.

8.4 Generally, employees are not eligible for reimbursement for courses started after they receive a performance rating of "partially achieved expectations" or "did not meet expectations". Courses started prior to receiving a rating of "partially achieved expectations" or "did not meet expectations" will be reimbursed. Employees will be eligible for reimbursement once again for courses started after they achieve and maintain a performance rating of at least "achieved expectations" or in instances when supervisors determine that the performance of employees with a rating of "partially achieved expectations" has sufficiently improved.

8.5 Courses started prior to an employee's employment date, or while on a non-FMLA medical leave or a personal leave without pay, are not eligible for reimbursement. Courses that are completed after an employee's termination, or while on a non-FMLA medical leave or personal leave without pay, are not eligible for reimbursement.

8.6 Courses started prior to an approved FMLA medical leave are eligible for reimbursement at the discretion of an employee's immediate supervisor. Courses started during an approved FMLA medical leave are not eligible for reimbursement.

Tax Consequences

9.1 For undergraduate and graduate level courses, the IRS allows the first $5,250 in employer-provided educational assistance in a calendar year to be considered as non-taxable income. Educational assistance above $5,250 per year may qualify for "favorable tax treatment" as a working condition fringe benefit, as defined in IRS Publication 15-B Employer's Tax Guide to Fringe Benefits. Requests for favorable tax treatment will be forwarded to the Law Department and when necessary, will confer with the Accounting Department to determine if the educational assistance qualifies for exclusion as a working condition benefit.

9.2 While the Authority may initially determine that an employee's educational assistance reimbursement is not taxable income, the Internal Revenue Service's decision is controlling in such circumstances and the Authority disclaims any responsibility for additional taxes, assessments, fines, or penalties imposed by the IRS. Employees are advised to consult with their own qualified tax professional, if they have tax questions.

Vice President, Human Resources

1/24/13
Date

NEW YORK POWER AUTHORITY	EP:	3.8
	REVISION:	5
EMPLOYEE POLICY	DATE:	01/01/2010

PLEASE REFER TO THE PORTION OF THE HUMAN RESOURCES POLICIES ENTITLED DISCLAIMERS WHERE YOU WILL FIND A STATEMENT WHICH PERTAINS TO ALL EMPLOYEE POLICIES, INCLUDING THIS ONE.

RELOCATION BENEFITS FOR NEW AND TRANSFERRED EMPLOYEES

1.1 This policy applies to those employees who have been offered relocation benefits by the Director of Compensation and Benefits or his/her designee or the Facility Manager of Human Resources (for site employees). Relocation benefits may be provided to eligible employees based on the Recruiting Location Guidelines (Attachment 1) utilized by Human Resources. Relocation benefits may be offered to an employee who: (1) meets the IRS distance test described below and other criteria as specified in IRS Publication 521- Moving Expenses, (2) meets all other criteria within EP 3.8, and (3) is either:

(a) a full-time, salaried employee or union employee (other than a temporary or provisional employee) who meets all other criteria and is transferring to an exempt salaried position at the request of the Authority (no minimum grade required), or who applies for a posted exempt salaried position and is hired from one Authority facility to another, for a period that is expected to last one year or longer;

(b) newly hired from outside the Authority as an exempt, full-time, salaried employee (other than a temporary or provisional employee) at any Authority location for a period that is expected to last one year or longer;

(c) a provisional employee who is offered full-time exempt salaried employment with the Authority, with benefits subject to certain limitations (see 6.1).

Please contact the Corporate Controller's group regarding assignments of less than one year. The Corporate Controller's group provides the most up-to-date information regarding per diem rates and associated issues.

1.2 This policy shall be applicable only if relocation benefits are extended by the Director of Compensation and Benefits or his/her designee or the Facility Manager of Human Resources at the facilities to the transferred employee at the time the transfer is formally approved or authorized by the Human Resources Department, or to the new employee at the time an official offer of employment is extended by an Employment Administrator in the White Plains Office or the Facility Manager of Human Resources at the facilities.

1.3 The Director of Compensation and Benefits or his/her designee shall have sole discretion in determining whether an employee or new hire otherwise meeting the requirements specified herein may be offered relocation benefits.

1.4 If relocation benefits are offered to a newly hired employee, the policy shall be distributed and explained to the employee at the time the offer of employment is officially extended by an Employment Administrator or the Facility Manager of Human Resources at the facilities.

1.5 If relocation benefits are offered to a transferring employee, the policy shall be distributed to and fully explained to the employee by the respective Site Human Resources Representative or the Director of Compensation and Benefits or his/her designee in the White Plains Office. This should be done for employees who are contemplating a transfer from one site to another **before** the offer is accepted. A transferred employee has the option of choosing either the Relocation Benefits as outlined in this policy or a $12,000.00 stipend, grossed up for tax purposes. If Relocation Benefits are being offered, the staffing authorization for a transferee or a new hire must include this information. **If a transferred employee chooses the Relocation Benefits outlined in this policy and owns a house, appraisals must be conducted prior to the transfer being accepted.** The average of two appraisals will be used to determine the fair market value of the property. In the event that the two appraisals vary by more than 10%, a third appraisal may be conducted at the request of the transferring employee and the three appraisals will then be used to determine the average anticipated sale price of the property. These appraisals will be coordinated by the Director of Compensation and Benefits or his/her designee.

1.6 A new employee has the option of choosing either the Relocation Benefits outlined in this policy or a $12,000.00 stipend. If the new hire chooses the stipend option, the above mentioned gross-up is **not** applicable, and the lump-sum will be taxed.

IRS Distance Test

2.1 According to the IRS Distance Test, the new main job location must be at least 50 miles further from the employee's former home than his/her prior job location. For example, if the prior work or job assignment was three miles from the employee's former home, the new work or job assignment must be at least 53 miles from the former home. If the IRS should change this distance test, the one in effect at the time of relocation shall apply.

a) In determining whether or not a relocation candidate meets the IRS distance requirement, the Rand McNally Standard Highway Mileage Guide, and/or the American Automobile Association, and/or reputable online mapping resources will be consulted.

b) The distance between two points is the shortest of the more commonly traveled routes between those points. The distance test does not apply to the location of the new home.

c) In determining whether a provisional employee who is offered employment on a full-time basis meets the distance requirement for the purposes of this policy, NYPA will treat prior job location for purposes of the distance test as the job prior to the NYPA provisional assignment.

2.2 In determining if an employee is in compliance with the distance test NYPA does not determine and is not responsible for tax implications. The relocating employee is advised to consult with his/her own tax advisor.

Financial Controls & Tax Implications

3.1 This policy must be applied with attention to the most cost-effective and economic means. Therefore, only those expenses incurred in accordance with the Authority's guidelines and procedures which are in the opinion of the Corporate Human Resources Department to be reasonable and cost effective, will be reimbursed under this policy.

Note:

a) The Authority does not reimburse any New York State sales tax. To avoid being charged New York State sales tax the relocating employee should submit a State of New York Exemption Certificate to the vendor. Exemption Certificates are available from the WPO Benefits Department, Facility Manager of Human Resources, or the Corporate Travel Department. (It is the responsibility of the relocating employee to obtain and utilize the Exemption Certificate).

b) The employee is eligible for up to two days off with pay to conduct the move of his/her household goods. Paid time off must receive prior approval of the employee's immediate supervisor.

c) Reimbursement for covered expenses is limited to the first **six months** of employment or transfer from one Authority facility to another.

3.2 Expenses reimbursed under this policy are includible in an employee's gross income. An employee may be able to offset some or all of the expenses reimbursed under this policy by itemizing his/her moving expense deductions. **The employee is advised to consult his/her own tax advisor.**

3.3 If the employee is responsible for any tax liability associated with the relocation, the tax liability is outlined in IRS Publication 521 - Moving Expenses, which is provided to the eligible employee along with the Policy. The Authority will withhold taxes on all amounts reimbursed under this policy in accordance with the Internal Revenue Code and IRS regulations and Authority Accounting Procedure AP 85-01, Employee Relocation Expense Reimbursements.

3.4 If any reimbursements for transferred employees under this policy are considered taxable income, such reimbursements shall be subject to an appropriate gross-up to provide compensation for the employees' additional local, state and federal tax obligations.

3.5 The newly hired employee shall be solely responsible for all taxes payable on the amounts received pursuant to this policy and the Authority shall not provide additional compensation for any such tax liability in the form of a gross up, indemnity, or otherwise. **The employee is advised to consult his/her own tax advisor.**

Exceptions

4.1 The Authority recognizes that extenuating circumstances may necessitate a deviation from this policy. A deviation will be deemed an exception to the policy. For an exception to be considered, detailed written justification as to the need, as well as an indication of which comparably valued reimbursable expense(s) the employee is willing to surrender to offset the cost of the exception, is required. If the employee is not willing to surrender a reimbursable expense(s) of comparable value, the exception will be denied.

4.2 The justification/expense-offset indication must be sent to the Director of Compensation and Benefits or his/her designee in the White Plains Office for review; it will then be determined whether the request for exception is approved or denied. **Exceptions above and beyond the provided benefits under policy 3.8 will be charged to the department to which the employee is being hired/transferred.**

4.3 Any exception to this policy, other than outlined in sections 4.1 and 4.2, will require detailed written justification as to the business need. The justification must be sent to the Director of Compensation and Benefits in the White Plains Office for review and recommendation to the Human Resources Department Head or his/her designee who determines whether the request for exception is approved or denied.

The following items as described below may be reimbursed under this policy:

House Hunting

5.1 Reimbursable house hunting trips must not exceed three trips or a maximum of six days of house hunting (three trips maximum, i.e., three two-day trips or two three-day trips).

5.2 Transferred employees must conduct house-hunting trips within the first three months of the employees' transfer date to the new position. The transferred employee will be granted up to three days off with pay for house hunting to locate a new residence. Scheduling of these paid days off is subject to prior approval of the employee's supervisor.

5.3 New employees must conduct house-hunting trips within the first three months of employment with the Authority. House hunting trips must be conducted on the new employee's own time.

5.4 Air or train travel reimbursement for house hunting must be approved by the Director of Compensation and Benefits or his/her designee at headquarters or the Facility Manager of Human Resources with concurrence from the Director of Compensation and Benefits prior to incurring the expense.

5.5 **To obtain the best possible lodging and transportation rates for house hunting trips, the employee must make <u>all</u> travel arrangements through the Authority's Travel Department. If the Travel Department is not used, the employee will not be reimbursed.**

5.6 Arrangements with the Travel Department for lodging and transportation during the house hunting trips are the responsibility of the employee unless other arrangements are made with the Benefits Representative in the White Plains Office or the Facility Manager of Human Resources. During house hunting, occupation of more than one hotel room must be approved by the Benefits Representative prior to incurring expenses.

5.7 An employee will be reimbursed through the use of a Relocation Expense Statement with itemized receipts for the following reasonable travel and living expenses which may be incurred by the employee and spouse during the search for a new residence near the facility:

a) Travel by personal car at the Authority established rate per mile which includes the mileage traveled to and from the area of the new work site, or in connection with authorized air or train travel to and from the airport/station; **use of a rental vehicle for house hunting will be applied towards the employee's miscellaneous expense allowance.**

b) Fees for tolls and parking expenses incurred in connection with house hunting and travel by personal car, or in connection with authorized air or train travel to and from the airport/station;

c) Travel by air or train with prior approval provided that reservations are made through the Authority's Travel Department to obtain the best possible fare.

d) Reasonable meal expenses for breakfast, lunch and dinner, for the employee and his/her spouse may be reimbursed (providing house hunting does not take place during the interim living period); and must not exceed the daily maximum allowance as specified in the Corporate Accounting Policy (1.5).

e) Reasonable lodging expenses. The Authority issued AMEX charge card should be used for this expense. If the employee does not have an Authority issued AMEX charge card, a personal credit card may be used.

Interim Living Expenses

6.1 Reimbursement for interim living expenses will be provided for the employee who has been transferred or begun new employment and must live in temporary lodging at the new location. Interim living expenses should begin as close to the employees start date as possible. **Provisional employees who are hired on a full-time basis are not entitled to interim living expenses as detailed in 6.1 – 6.7.**

6.2 Interim living expenses will be reimbursed to the employee for a maximum of three months, which should be taken during the first six months of employment or transfer from one Authority facility to another. Any day in which the employee submits living expenses during their move to the new residence or when moving their household goods, will be included in the three month maximum if the move is conducted within the Interim Living period. Any expenses incurred for moving to the new residence and/or movement of household goods beyond the six-month maximum time period will not be reimbursed.

6.3 A lump sum gross amount of $3,250 per month downstate (WPO and POL) and $2,500 per month upstate (B-G, CEC, NIA, and STL) will be provided for employee's interim living expenses. An employee will be reimbursed this lump sum amount by submitting a Relocation Expense Statement at the end of each month. No receipts are required; however, in the Explanation section on the Relocation Expense Statement the period of time for which reimbursement is being requested must be indicated. Expenses for a partial month will be pro-rated. Interim living expenses will not be paid for time beyond the earlier date of delivery of household goods or occupying the new home. Under no circumstances will interim living expenses exceed three months.

6.4 It is the responsibility of the employee to make any interim living and transportation arrangements.

6.5 Travel, tolls, and parking expenses incurred during the commute to and from work during the interim living period are at the employee's own expense.

6.6 The following will be covered by the appropriate monthly lump sum:

a) Charge for a hotel or rental fees;
b) Charge for laundry;
c) Charges for local and long distance telephone calls;
d) Expenses for meals.

6.7 The employee may be reimbursed for trips home every other weekend or holidays when the family still resides at the former residence. If the employee elects to make a trip home by personal car, mileage will be reimbursed in accordance with the Authority's Travel Policy, CP: 2.1. If the employee elects to travel home by air or train, the fare, which must be arranged by the Authority's Travel Department, and parking and tolls connected with the travel will be reimbursed. Reimbursement will not be made beyond the three-month interim living period.

Moving to New Residence

7.1 The employee will be reimbursed when itemized receipts are furnished through the use of a Relocation Expense Statement for the following covered expenses for the employee and his/her family which may be incurred during the actual move from the time the old residence is vacated until the arrival at the new residence. Actual dates of vacating the old residence and arrival at the new residence, should be clearly indicated on the Relocation Expense Statement:

a) The reasonable charge for meals. There is a daily maximum allowance specified in the Corporate Accounting Policy (1.5). Meals submitted under the relocation policy as a qualified expense, or if a lump-sum or stipend is provided to the employee, may not be reimbursed as a business expense under any other Authority policy.

b) The reasonable charge for a hotel room. Hotel rates **must** be discussed with the Benefits Representative in the White Plains Office or the Facility Manager of Human Resources for approval prior to incurring expenses. While moving to the new location, occupancy of more than one room per family **must** be approved by the Benefits Representative in the White Plains Office prior to incurring expenses;

c) Travel for up to two personal vehicles at the Authority established rate per mile while traveling from the old residence to the new residence. In no instance will mileage for travel of more than two personal vehicles be paid by the Authority; The Authority does not reimburse for transport of vehicles by commercial van line.

d) Tolls for a maximum of two personal vehicles;

e) Any day used for moving to the new residence will be included in the three months allocated for interim living.

Movement of Household Goods

8.1 The employee may elect to have his or her household goods moved from the old residence to the new residence either by a commercial van line or through a self-move using a rental vehicle.

8.2 If a commercial van line is used, the employee must use the services of one of the current moving companies with whom the Authority has contracted. A moving company will be assigned on a rotational basis.

8.3 Shipment of household goods by a commercial van line will be limited to the move from the location of the former primary residence to the location of the new primary residence.

8.4 The following items will be covered expenses which may be incurred in a commercial van line move:

1. linehaul charges;
2. insurance charges - declared value;
3. cost of containers;
4. charges for packing and basic unpacking;
5. shipment of appliances and servicing; (third party fees - services provided by an individual or company other than the assigned moving company will not be reimbursed).

8.5 The following items are **not** covered in a commercial van line move:

1. extra pick-up/delivery;
2. automobiles, boats, or any other recreational or lawn vehicles
3. frozen food, and/or perishable goods;
4. swing sets, pool tables, lumber/building materials, or any other cumbersome items;
5. storage and associated costs;
6. crates and/or crating charges.

Movement of any other unusual, cumbersome or dangerous items will be subject to prior approval by the Benefits Representative in the White Plains Office with concurrence from the Director of Compensation and Benefits.

8.6 The employee who elects a self-move may be reimbursed for the following items through use of a Relocation Expense Statement when itemized receipts are furnished:

1. rental of the vehicle;
2. cost of containers and equipment for packing;
3. insurance coverage;
4. rental of a tow bar for a personal vehicle;
5. gas used during rental of a vehicle;
6. servicing of appliances.

8.7 In no event will an employee be reimbursed for any labor involved during the course of a self-move, (i.e., to help load the vehicle).

Miscellaneous Relocation Expenses

9.1 Employees will be reimbursed through the use of a Relocation Expense Statement with itemized receipts for miscellaneous expenses which may be incurred by the employee when relocating to the new location that are not otherwise listed as covered expenses in this policy, not to exceed $1,500 for new hires or $1,800 for transferees.

9.2 Proof of payment will be required in order to be reimbursed for these expenses (i.e., cancelled check and invoice).

9.3 Items that would be reimbursed may include, but are not limited to:

a) Expenses incurred for disconnecting and reconnecting of appliances, if done by a third party and separate from commercial van line charges;

b) Installation charge for telephone service at new residence;

c) Rental car expenses during house hunting, including gasoline for the rental car only in cases where the employee travels by air or train to the new location, or a personal vehicle is unavailable;

d) Child care expenses necessary to permit employee and spouse to search for a new residence. Childcare will be covered up to $7.00 per hour or $350.00 per week for in-home care for all of the employee's children. Childcare arrangements such as day care centers, family day care and live in arrangements must be discussed with the Benefits Representative in the White Plains Office or the Facility Manager of Human Resources;

e) Installation charge for television/internet/cable connection which was installed in the employee's previous residence. Proof of telephone/cable/internet connection in employee's previous residence and new residence in the form of recent invoices must be provided;

f) Fees for kennel or shipment of pets; and

9.4 See Attachment 2 for additional reimbursable expenses for transferred employees.

Reimbursement to the Authority

All benefits provided under this Policy are contingent upon the employee's continued employment with the Authority for a continuous period of at least one year from the employee's start date at the new Authority location. If the employee separates from service voluntarily prior to completion of such one-year period, he/she shall reimburse the Authority for a portion of all benefits (including any gross-up if applicable) paid under this policy in the following manner: (1) 75% of the total benefits (including any gross-up if applicable) provided if separation occurs within six months of the employee's start date at the new Authority location; (2) 50% of the total benefits (including any gross-up if applicable) provided if separation occurs on or after six months but prior to the completion of the one-year period.

10.1 In determining the portion of benefits which must be reimbursed by the employee under this policy, the one-year period shall be calculated from the first day of work at the new location.

10.2 In the event that a reimbursement is due and owing to the Authority under this policy, the Authority shall deduct such amount from monies which would otherwise be payable by the Authority to the employee until the required reimbursement is satisfied. This shall be accomplished via payroll deduction from monies which would otherwise be due the employee in the following order: first, payments allocable to unused vacation time; second, payment for services rendered. If such deductions are insufficient to satisfy the reimbursement due hereunder, the employee shall provide the Authority with a certified check for the balance prior to his/her last day of employment.

10.3 Prior to the receipt of any relocation monies available under this policy, an employee shall indicate his/her affirmative consent to the reimbursement procedures specified in this policy by signing the Relocation Reimbursement Option Certification (Attachment 3).

Vice President Human Resources

ATTACHMENT 1

RECRUITING LOCATION GUIDELINES FOR RELOCATION

The recruiting process limits the location of the search for different positions which therefore limits the availability of relocation benefits. All non-exempt positions and any position that falls outside of the following recruitment guidelines are ineligible for relocation benefits.

Eligible Positions for Relocation Benefits:

East of the Mississippi:

Exempt positions (non-engineering): grades 4-6, grades C-D, and leadership bands

Engineering and positions related to the generation and transmission of power: grades 2-6, grades C-D, and leadership bands

Nationwide:

Exempt (non-engineering) positions: leadership bands only

Engineering and positions related to the generation and transmission of power: grade D and leadership bands

ADDITIONAL REIMBURSABLE EXPENSES
FOR EMPLOYEES **TRANSFERRED**
FROM ONE AUTHORITY LOCATION TO ANOTHER

1.0 Sale of Residence at Former Location

a) The transferred employee will be reimbursed through the use of a Relocation Expense Statement, with itemized receipts and a copy of the signed Settlement Statement (HUD Form), for the following expenses which may be incurred during the sale of his/her residence at the former location:

1) Real estate broker's commission not to exceed six percent of the gross selling price;

2) Personal attorney's fees not to exceed one percent of the selling price.

Additionally the transferred employee will receive up to a maximum of 5% of the gross selling price to cover the following expenses:

3) FHA, VA, and GI mortgage fees which are paid on the old residence. Reimbursement up to a maximum of three points;

4) Appraisal fee on former residence when appraiser is certified, whether or not transfer is accepted by employee;

5) Property survey fee, if this is a seller's expense in an amount as dictated by locale;

6) Lender's legal fees for preparing and recording legal documents and searching the title, if this is a seller's expense in an amount as dictated by the locale;

7) Unavoidable prepayment penalty fees on mortgage;

8) State transfer tax;

9) Records mortgage satisfaction and mortgage pick-up fees paid to the title company; and

10) Radon testing and resultant modifications required to sell old residence, not to exceed $500.00 when proper documentation has been provided indicating that property is located in radon area.

2.0 Renting at Former Location

a) A penalty for cancellation of the lease not to exceed security deposit and two months' rent less any amount earned by subleasing will be reimbursed when itemized receipts are furnished, accompanied by a complete Relocation Expense Statement;

b) Forfeiture of security deposit as the result of damages to the residence is not covered.

3.0 Purchase of Residence at New Location

3.1 If the transferred employee was renting at former location, he/she will not be reimbursed for expenses which may be incurred if he/she chooses to purchase a residence at new location.

3.2 The transferred employee will be reimbursed through the use of a Relocation Expense Statement with itemized receipts and a copy of the signed Settlement Statement for the following expenses which may be incurred when purchasing a residence at the new location;

a) Personal attorney's fees not to exceed one percent of the purchase price;

b) Closing costs not to exceed five percent of the purchase price which have been incurred for:

1. lender's legal fees for preparing and recording legal documents and searching the title, if this is a purchaser's expense in an amount as dictated by the locale;

2. loan origination fees - one point maximum;

3. pre-purchase appraisal fees;

4. inspection fees (radon, pest and flood only - not structural);

5. lender's mortgage title insurance premium; (if not broken out by lender's/owner's premium, no amount will be reimbursed);

6. credit report;

7. application fee;

8. property survey fee, if this is a purchaser's expense in an amount as dictated by locale;

9. real estate taxes (escrow for those taxes are not reimbursed) state tax stamps only;

10. lump sum mortgage insurance premium - private mortgage insurance premium (PMI) will not be reimbursed; and

11. points - not to exceed three (this includes one point for origination fees).

4.0 Renting at New Location

The transferred employee will be reimbursed through the use of a Relocation Expense Statement with itemized receipts for real estate broker's fees in connection with the rental of an apartment or house, not to exceed ten percent of the first year's rent.

ATTACHMENT 3

Relocation Reimbursement Option Certification

I, _____, hereby choose to receive:

[_] Relocation Expense (as outlined in Relocation Policy EP 3.8)

or

[_] A $12,000.00 stipend in lieu of the relocation benefits available under the New York Power Authority's ("Authority") Relocation Policy, EP 3.8. In doing this I relinquish all relocation benefits that I would otherwise be eligible for as a newly hired or transferred employee.

[_] Taxable lump-sum

[_] Net stipend (for **Transferred** employees **only**)

I also agree that I will provide reimbursement to the Authority according to the schedule listed below if I voluntarily separate employment prior to completion of one year of service at the Authority.

1. I will reimburse the Authority 75% of the total benefit received, provided separation occurs within six months of my start/transfer date to the new Authority location.

2. I will reimburse the Authority 50% of the total benefit received, provided separation occurs on or after six months, but prior to the completion of one year beginning from my start/transfer date to the new Authority location.

In the event that my employment should terminate involuntarily, I understand that the above mentioned reimbursement will not be required.

I further authorize the Authority to deduct any such reimbursement due and owing to the Authority from such amounts as may otherwise be payable to me until full reimbursement is made to the Authority. Specifically, I herby authorize payroll deduction first from any and all monies attributable to unused vacation time and second, if required, from compensation for services rendered.

If the above amounts provide insufficient to cover the entire reimbursement due and owing to the Authority, I hereby agree to provide the Authority with a certified check or money order for the balance prior to my last day of employment.

I acknowledge that this certification is **not** an employment contract and will not be construed or interpreted by me as containing any guarantee of continued employment. I recognize that my employment with the Authority shall be at-will and that the Authority may terminate my employment at any time and for any reason. I may also terminate my employment at any time and for any reason.

_____ _____Sworn to before me on the _____ day of _____

Signature Date

_____

Print Name

_____

Received by HR (Initial and Date)

NEW YORK POWER AUTHORITY	EP: 3.9
	REVISION: 3
EMPLOYEE POLICY	DATE: 8/5/2014

PLEASE REFER TO THE PORTION OF THE EMPLOYEE POLICIES ENTITLED DISCLAIMERS WHERE YOU WILL FIND A STATEMENT, WHICH PERTAINS TO ALL EMPLOYEE POLICIES, INCLUDING THIS ONE.

SICK LEAVE

1.1 This policy provides guidelines for the accrual and use of sick leave credits, sick leave at half-pay and long-term disability for salaried employees.

Sick Leave Accrual

2.1 Full-time salaried employees accrue sick time as follows:

a) 3.46 hours per pay period if on a 37.5 hour work week
b) 3.69 hours per pay period if on a 40 hour work week

2.2 Part-time salaried Authority employees (working 20 or more hours per week, but less than full-time weekly work hours) and provisional employees that work less than full-time (working at least 30 hours or more per week) accrue sick leave on a prorated basis based on the number of hours the employee is scheduled to work.

2.3 There is no maximum on the amount of sick leave credits that may be carried over from one year to another.

2.4 Sick time only accrues when an employee is on full-pay status. Accruals resume when the employee returns to full pay status.

2.5 In the event of an active employee's death, payment for accrued and unused sick leave, up to a maximum of 100 days, will be paid to the beneficiary designated by the employee for their NYPA life insurance. If no beneficiary is designated, or the designated beneficiary pre-deceases the employee, payment will be made as allowed by law.

2.6 Sick leave may be paid out to employees who retire with the New York State Retirement System directly upon separation of service from the Authority (see the Employee Benefits Handbook).

Sick Leave Usage

3.1 Sick time shall only be used for an employee's illnesses or injuries, or that of family members as specified in Section 3.4 below, and not substituted for any other type of absences.

3.2 Employees who are absent from work due to their own illness or injury (or that of eligible family members under Section 3.4), must notify their supervisor of such absence as soon as practicable, and keep the supervisor informed as to their expected date of return. Accrued sick leave may only be used in full or half-day increments.

3.3 Full or half-day absences (including reasonable travel and actual visit time) for trips to a health care provider will require use of sick leave credits, and prior approval of an employee's supervisor when foreseeable. However, supervisors may, with advanced approval, allow employees to take less than half-days off with flexible scheduling allowing employees to make up time by working extra hours on other days during the same workweek. Supervisors may also grant employees time off without using accrued sick leave if the absence is so brief that it does not affect the employee's work or performance.

3.4 Employees may use up to 10 sick days per calendar year to care for the medical needs of their sick children, spouse, parent (not parent-in-law), or domestic partner who has satisfied NYPA's Domestic Partner Benefits eligibility (even if not subscribed to NYPA Domestic Partner medical benefits). These days may also be used for caring for a wife or domestic partner recovering from routine childbirth.

3.5 An employee may not use more than five (5) consecutive or closely occurring intermittent business days due to their own "serious health condition," or that of their relatives listed in Section 3.4, without applying for and if approved having the leave designated under the Family Medical Leave Act ("FMLA") leave (See EP: 3.3). When the absences exceed this five (5) day period for a "serious health condition," the employee should provide notification to Human Resources, at which point the FMLA process will be initiated for eligible employees.

3.6 The Authority may require written medical documentation of an illness or injury, and/or that the employee be examined by a physician designated by the Authority before approving the use of any sick leave and/or prior to the employee's return to work. In addition, supervisors may request a doctor's note to confirm/verify a medical appointment on a given day and time.

3.7 Supervisors are responsible for monitoring sick leave usage for appropriateness, accuracy, and adherence to Employee Policies, and for remaining informed of employees' available sick and vacation balances when approving time off. Excessive and/or questionable patterns of absenteeism, frequency of requests for full or partial sick days, or low or zero balances should signal the need for further review or action which may take several courses [i.e.: need for Family Medical Leave Act ("FMLA"), performance issue, etc.] to prevent abuse of this benefit.

3.8 An employee's use of accrued sick leave is approved by his/her supervisor when the supervisor approves the employee's timesheet or electronic time record. If there are false time sheets or electronic time records of an employee's time and attendance, the employee (and depending on the circumstances, the supervisor who is responsible for approving such time) will be held accountable.

Sick Leave at Half-Pay

4.1 Employees who have completed one or more years of Authority service, are eligible for sick leave at half-pay during an approved Employee Medical Leave ("EML") under the FMLA when they do not have, or have exhausted, sufficient accumulated sick leave credits to cover their FMLA leave. For part-time or provisional employees that are less than full-time and on EML, sick leave at half-pay will be paid on a prorated basis based on their weekly work schedule.

4.2 The cumulative total of all sick leave at half-pay shall not exceed two pay periods (four weeks) for each completed year of service. However, vacation leave may be used to complete a full year of service for sick leave at half-pay purposes if it used before sick leave is exhausted. This use of vacation time does not extend any job security or FMLA time periods.

4.3 Sick leave at half-pay will be paid retroactively from the first day an eligible employee is without sick leave credits through either the end of their EML or earlier through the exhaustion of the half-pay benefit, or due to the circumstances described in Section 4.6 below.

4.4 Upon request, and with the approval of the local Human Resources Benefits Group, sick leave at half pay may be allowed to minimally exceed the 12 workweek FMLA entitlement period in those limited unique situations where there is a small gap of time between the end of the maximum sick leave at half pay benefit and the beginning of the LTD eligibility period.

4.5 Sick leave at half pay will be replenished a) if an employee has returned to work from an EML for at least 30 calendar days and then needs to go out again for that same illness or injury, or b) without regard to timing, if an employee has returned to work from an EML and then needs to go out again for a different illness or injury. However, in no event will the replenishment of sick leave at half-pay extend any job security or FMLA time periods.

4.6 If an employee who has already been on an approved FMLA leave other than an EML, returns to work and then goes back out for their own illness or injury on an approved EML within the same FMLA yearly period, the employee will only be eligible to receive sick leave at half-pay for the remaining FMLA time period (even if the employee's own medical needs extend beyond the total 12-week FMLA entitlement). See EP: 3.3.

4.7 All payroll deductions will continue to be made regardless of whether an employee on sick leave is being paid on a full or half-pay status. Any questions concerning the priority of deductions should be addressed to the Payroll Unit and/or the applicable Human Resources representative.

4.8 Employees receiving sick leave at half pay or on no pay status are not eligible to receive Holidays, Floating Holidays, sick accruals or annual vacation accruals until they return to work on either full or part-time status. Those on sick leave at half pay will receive half pay benefit for all days which occur during their sick leave at half pay.

Long Term Disability

5.1 Employees may be eligible for benefit payments under the Long-Term Disability Plan they selected at open enrollment, once they have been on leave for three consecutive months of absence for their own illness or injury, and after they have exhausted all of their accrued sick time. Provisional employees are not eligible for long-term disability. Information on long-term disability is provided in the Long-Term Disability booklet available from Human Resources and the Employee Benefits Handbook for Salaried Employees on the NYPA Intranet.

Vice President Human Resources

8/5/14

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2.5 In the event of an active employee's death, payment for accrued and unused sick leave, up to a maximum of 100 days, will be paid to the beneficiary designated by the employee for their NYPA life insurance. If no beneficiary is designated, or the designated beneficiary pre-deceases the employee, payment will be made as allowed by law.

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approval, allow employees to take less than half-days off with flexible scheduling allowing employees to make up time by working extra hours on other days during the same ~~pay period.~~workweek. Supervisors may also grant employees time off without using accrued sick leave if the absence is so brief that it does not affect the employee's work or performance.

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3.5 An employee may not use more than ~~three (3~~five (5) consecutive or closely occurring intermittent business days due to their own "serious health condition," or that of their relatives listed in Section 3.4, without applying for and if approved having the leave designated under the Family Medical Leave Act ("FMLA") leave (See EP: 3.3). When the absences exceed this ~~three~~five (5) day period for a "serious health condition," the employee should provide notification to Human Resources, at which point the FMLA process will be initiated for eligible employees.

3.6 The Authority may require written medical documentation of an illness or injury, and/or that the employee be examined by a physician designated by the Authority before approving the use of any sick leave and/or prior to the employee's return to work. In addition, supervisors may request a doctor's note to confirm/verify a medical appointment on a given day and time.

3.7 Supervisors are responsible for monitoring sick leave usage for appropriateness, accuracy, and adherence to Employee Policies, and for remaining informed of employees' available sick and vacation balances when approving time off. Excessive and/or questionable patterns of absenteeism, frequency of requests for full or partial sick days, or low or zero balances should signal the need for further review or action which may take several courses [i.e.: need for Family Medical Leave Act ("FMLA"), performance issue, etc.] to prevent abuse of this benefit.

3.8 An employee's use of accrued sick leave is approved by his/her supervisor when the supervisor approves the employee's timesheet or electronic time record. If there are false time sheets or electronic time records of an employee's time and attendance, the employee (and depending on the circumstances, the supervisor who is responsible for approving such time) will be held accountable.

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4.6 If an employee who has already been on an approved FMLA leave other than an EML, returns to work and then goes back out for their own illness or injury on an approved EML within the same FMLA yearly period, the employee will only be eligible to receive sick leave at half-pay for the remaining FMLA time period (even if the employee's own medical needs extend beyond the total 12-week FMLA entitlement). See EP: 3.3.

4.7 All payroll deductions will continue to be made regardless of whether an employee on sick leave is being paid on a full or half-pay status. Any questions concerning the priority of deductions should be addressed to the Payroll Unit and/or the applicable Human Resources representative.

4.8 Employees receiving sick leave at half pay or on no pay status are not eligible to receive Holidays, Floating Holidays, sick accruals or annual vacation accruals until they return to work on either full or part-time status. Those on sick leave at half pay will receive half pay benefit for all days which occur during their sick leave at half pay.

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5.1 Employees may be eligible for benefit payments under the Long-Term Disability Plan they selected at open enrollment, once they have been on leave for three consecutive months of absence for their own illness or injury, and after they have exhausted all of their accrued sick time. Provisional employees are not eligible for long-term disability. Information on long-term disability is provided in the Long-Term Disability booklet available from Human Resources and the Employee Benefits Handbook for Salaried Employees on the NYPA Intranet.

_____

Vice President Human Resources

NEW YORK POWER AUTHORITY	EP:	4.6
	REVISION:	9
EMPLOYEE POLICY	DATE:	03/01/2014

PLEASE REFER TO THE PORTION OF THE EMPLOYEE POLICIES ENTITLED DISCLAIMERS WHERE YOU WILL FIND A STATEMENT, WHICH PERTAINS TO ALL EMPLOYEE POLICIES, INCLUDING THIS ONE.

ATTENDANCE AND FLEXIBLE HOURS

1.1 To ensure an efficient and productive work environment, this policy establishes basic hours of daily work, basic workweek, flexible hours, punctuality guidelines, and guidelines for reporting to work in the event of inclement weather, transportation or other emergency situations for salaried employees. The work hours, workweek and lunch schedules may vary at different NYPA work locations.

1.2 NYPA expects all employees, regardless of location, to assume responsibility for their attendance and promptness, and to begin work no later than their designated start time. Employees should anticipate that NYPA work locations will be open every workday.

Basic Work Hours and Workweek

2.1 If an employee works in the White Plains or Albany Office:

a) The basic daily hours of work may vary based on department needs. This is defined as "flexible hours." The typical workday may begin anytime between 7:00am and 9:30am.

b) The basic workweek shall consist of five workdays (in a seven-day period) of seven and a half hours each, exclusive of mealtime.

c) A lunch period is normally provided between the hours of 12:00 pm and 2:00pm unless adjusted by an employee's supervisor.

2.2 If an employee works at a location where NYPA generation or transmission facilities are operated or maintained and the normal workweek is 40 hours, the following shall apply:

a) For salaried employees the basic daily hours of work shall be determined by the Regional Manager or the person otherwise responsible for the work location. The typical workday may begin anytime between 7:00 am and 9:00am.

b) The basic workweek shall consist of five workdays, (in a seven-day period) of eight hours each, exclusive of mealtime, and shall be determined by the Regional Manager or the person otherwise responsible for the work location.

c) Salaried employees' mealtime shall be determined by the Regional Manager or the person otherwise responsible for the work location.

2.3 Salaried Employees who work in areas requiring 24/7 coverage may be on 12 hour shift rotations, with schedules based on 2,080 hours for the year.

a) Schedules will be determined by the requirements of the department at the location and approved by the Regional Manager (sites) or Department Head.

b) Various schedule rotations will result in an average of 40 hours per week over the course of the rotation (e.g. a 5 week rotation of: 48 – 36– 36 – 48 – 32 hours per week for a total of 200 hours) and employees are paid a base 80 hours per pay period, regardless of the scheduled hours in the period.

c) Pay for all hours in the schedule is at straight time inclusive of Holiday, Sick, Vacation, etc. [See EP 2.4 Salaried Non-Exempt and Facility-Based Exempt Overtime for additional information regarding hours outside of scheduled shift.]

d) Holidays, including floating holidays, are included in the 2,080 work hours in the year. For employees on 12 hour shift, holidays are adjusted to the equivalent hours based on the 12 hour days. [E.g. if there are 14 8 hour holidays in the holiday schedule, salaried employees on 12 hour shift will be adjusted to nine 12 hour holidays and one 8 hour floater.]

a. Holidays that do not fall on a scheduled day in the rotation are moved to the nearest scheduled day. It is at management's discretion as to whether or not the employee has to work this day or take the holiday based on available coverage.

2.4 The payroll period for a basic workweek at some locations may be Thursday through the following Wednesday, or Sunday through the following Saturday.

Punctuality Guidelines

3.1 Employees are expected to report for and remain at work during the basic daily hours as established by the applicable employing department or location, except for meal periods.

3.2 The basic daily workhours and basic workweek will vary at the Albany and White Plains Offices and at the facilities; however, the punctuality guidelines require that all employees report to work in a timely manner. Once hours have been established, employees will be expected to work the designated hours and days.

3.3 Employees who leave NYPA's employment, must physically be at work on their last day in order for it to be considered their last day of work.

3.4 Adherence to the flexible hours schedule will be enforced by the manager or supervisor. An employee may not switch his/her flexible hours schedule without approval by his/her manager or supervisor.

3.5 When an employee is late, he/she should meet with his/her supervisor to discuss the reason for the tardiness. Lateness is not charged against sick or vacation leave unless a half day or more is taken.

3.6 If the tardiness is not excusable, the employee's supervisor should document the occurrence. Specific guidelines for dealing with performance problems are established in EP 4.2, Performance Improvement. Documented cases of excessive absenteeism, tardiness or requests to leave early may result in the application of vacation time for such events and\or disciplinary action in accordance with EP 4.2.

Flex Time Schedules

4.1 In an effort to offer employees work-life balance solutions and minimize workplace commutes, flex time schedules to allow one day or a half day off within a two week pay period, will be permitted as follows:

a) Employees wishing to participate in the program must submit a matrix indicating his/her flex time period to his/her manager for approval, reference and planning. Once the flex schedule is approved, every attempt must be made to adhere to that schedule (any deviations must be pre-approved by the employee's supervisor).

b) Flex time schedules are not guaranteed and may be altered as necessary to accommodate the business needs of each Business Group/Unit and/or department.

c) Employees cannot be required to work a flex time schedule.

d) Non-exempt employee work schedules cannot exceed 40 hours in any given week as a result of an employee's working an approved flex time schedule.

e) All employees working a flex time schedule must take at least a half hour lunch period.

f) Total hours worked within a given pay period must be no less than 75 hours or 80 hours (whichever is applicable) and must correspond to a two week pay period (Monday-Friday).

g) Holidays (including floating holidays) sick, vacation, and paid volunteer time must be recorded as your base hours (i.e.: 7.5 hours at WPO and Albany). In the event of a holiday or if an employee takes a sick day, vacation day, or paid volunteer day and he/she was scheduled to work 8.5 hours, he/she will only get credit for 7.5 hours and will need to make up the extra hour another day during that pay period.

h) Employees who take more than 2 days off (sick, vacation, holiday, or paid volunteer time) in a two week pay period are not eligible to work a flex time schedule in that pay period.

i) An employee's participation in this program may be discontinued at any time at the discretion of the employee's supervisor, manager or Business Unit head.

Office Closing Due to Inclement Weather or Emergencies

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April 4, 2014

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c) A lunch period is normally provided between the hours of 12:00 pm and 2:00pm unless adjusted by an employee's supervisor.

2.2 If an employee works at a location where NYPA generation or transmission facilities are operated or maintained and the normal workweek is 40 hours, the following shall apply:

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NEW YORK POWER AUTHORITY	EP:	4.6
	REVISION:	9
EMPLOYEE POLICY	DATE:	03/01/2014

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New York Power Authority	CAP: 1.5
Corporate Accounting	Date: 3/31/12
Subject: Reimbursement of Employee Meal Costs	Revision: 13

1.0 Objectives

1.1 This policy provides for the reimbursement of reasonable and prudent meal expenses employees incur in the performance of their duties. Each employee is responsible for ensuring that submitted expenses are accurate and in compliance with the provisions of the policy. The approving supervisor is responsible for ensuring cost effectiveness and reasonableness of expenses incurred as well as compliance with policy.

1.2 This policy is established to ensure:

a. that employees are reimbursed for the necessary and reasonable cost of meals incurred while performing their duties
b. meal reimbursement guidelines are clearly communicated to staff and consistently applied, and
c. meal expenses are reimbursed within Authority policy limits

1.3 The policy applies to reimbursement of all meals except for those covered by union agreements.

2.0 General

2.1 Meals eligible for reimbursement are incurred by an employee:

a. While traveling overnight on Authority business.
b. While taking a day trip on Authority business.
c. In connection with working overtime.
d. As a "working meal" during a business meeting.

Specific guidelines governing reimbursement are provided in the following sections of this policy.

2.2 Requests for reimbursement are required to include identification of the meal (breakfast, lunch or dinner) with an explanation of its business purpose. Receipts are required for individual meals with a cost in excess of $10. All reimbursement requests require the approval of the employee's supervisor / manager or business unit head before processing for payment.

2.3 Employees who hold the company-sponsored corporate travel card should use the card to pay for business meals. If an employee does not have a corporate travel card, the use of a personal card is encouraged. (Authority policy requires the use of the corporate travel card to pay for all business transportation*, lodging, and car rentals in order to ensure proper receipt documentation and eligibility for special negotiated fares) (Refer to CAP 1.7 Corporate Travel Card Program for further details).

* Except for air/rail travel which should be charged to the Authority's direct billed Citi card.

Employees may use the company procurement credit card (procard) with direct billing to the Authority to pay for meals incurred for internal training courses and working meetings including board and management committee meetings. The procurement card should not be used for any other meal expenses. Refer to Corporate Accounting Policy CAP 4.1 Petty Cash for further details.

3.0 Policy Details

3.1 Overnight Travel

Employees traveling on business that requires an overnight stay will be reimbursed for **actual** and reasonable meal costs up to the following maximum daily amounts:

$55	travel to upstate facilities (BG, CEC, Niagara, St. Law-FDR, Albany)
$70	travel to downstate facilities (White Plains, New York swing office, 500MW and Flynn)
$70	other travel

When on overnight travel status, employees will be reimbursed for breakfast when travel begins before 7:00 AM, for lunch when travel begins before noon, and for dinner when returning home after 7:00 PM.

Employees who spend more than the maximum daily amount should reduce their reimbursement requests for the applicable days to the appropriate amounts (i.e. $55 or $70 depending on the destination). The Authority reimburses employees for the cost of breakfast, lunch, and dinner within these meal guidelines. The cost of food and beverages between breakfast, lunch, and dinner is not reimbursable.

3.2 Day Trips

Employees taking day trips to conduct Authority business will be reimbursed for meals based on travel times. Reimbursements will be provided (1) for breakfast, if the employee leaves home at least one hour before their normal departure time, and (2) for lunch, if the employee is on travel status during their normal lunch hour, and (3) for dinner, if the employee returns home at least two hours after their normal returning time. The Authority reimburses employees for the cost of breakfast, lunch, and dinner within these meal guidelines. The cost of food and beverages between breakfast, lunch, and dinner is not reimbursable.

3.3 Overtime Meals

Employees are eligible for reimbursement of actual expenses for dinner when they work two hours of overtime during a weekday. On Saturdays, Sundays or holidays, employees are entitled to reimbursement of actual expenses for

a Lunch if they work 4 hours or more.
b Dinner if they work 7 hours or more.

Reimbursements cannot exceed the actual cost of the meal. There is no "reimbursement allowance" if a meal is not purchased.

3.4 Limits

Reimbursements for business meals incurred on day trips and for overtime meals will be based on actual and reasonable meal costs up to the following maximum amounts:

Breakfast $10 (day trips only)
Lunch $20
Dinner $40

3.5 Authority- Provided Meals

Whenever the Authority pays directly for a meal, the employee is not entitled to a meal payment. This provision also pertains to arrangements made by certain operating plants, with local restaurants, that provide a meal to an employee through the issuance of a "meal ticket". The cost of a meal obtained by a meal ticket should be within reasonable limits as determined by the Site Regional Manager. Meal tickets can only be used by the employee to whom it was issued and only on the day overtime is worked.

3.6 Business Meals

Business meals will be reimbursed when the meal is provided as a "working meal" during a meeting (on or off the premises) for the convenience of the Authority and for the efficient conduct of business. In all cases of business meals, the senior employee in attendance should pay the bill and file the expense report. All reimbursement requests require the approval of the employee's supervisor/manager or business unit head before processing for payment.

3.6.1 Meals with business guests

Employees will be reimbursed for the actual and reasonable cost of meals, up to $70 per person, with business guests (i.e. non-Authority employees) on or off the Authority's premises when the meal is approved by a vice president or equivalent or higher position. The nature of business discussed, as well as the name, title, and affiliation of each business guest, must be documented on the employee's expense statement.
The senior NYPA employee in attendance should pay the bill and file an expense report for reimbursement.
If the business meal is with an outside vendor and/or 3rd party contractor, the outside vendor and/or 3rd party contractor should **not** be permitted to pay the bill.

3.6.2 Authority Employees Only

In situations where it is necessary for the efficient conduct of business, employees will be reimbursed for the cost of an on-premises meal (breakfast or lunch only) with other Authority employees up to $30 per person, in connection with a working meeting or conference. Reimbursement of off-premises meals where only Authority employees are present will be permitted only when deemed appropriate for business purposes and authorized in writing by a vice president or equivalent or higher position. The cost of such meals should not exceed $70 per person. The senior NYPA employee in attendance should pay the bill and file an expense report for reimbursement.

3.7 Extended Assignments

Employees who work on an extended assignment (i.e. assignments for more than three months but less than one year) at a location other than their assigned work location may elect to receive: (1) reimbursement of actual and reasonable meal and lodging expenses or (2) a daily per diem, based on IRS guidelines, covering meals, lodging and incidental expenses (e.g., laundry, tips, etc.) Per Diem arrangements must be approved by the appropriate business unit head and the Vice President and Controller. Amounts will be set by the Director - General Accounting & Financial Reporting (or his designee) based on Federal guidelines.

3.8 Non-Reimbursable Meal Expenses

3.8.1 Excessive Meal Expenses

While the Authority expects its employees to have good meals at reasonably priced restaurants when traveling, and to conduct business in comfort, it also expects its employees to exercise good judgment. Accordingly, charges exceeding limits established by this policy will be considered personal expenses and will not be reimbursed.

3.8.2 Personal Meals

Meals in connection with retirement, farewells, birthdays, holidays and similar events are considered personal and will not be reimbursed.

4.0 Taxability

Meal reimbursements that are not associated with overnight travel are generally considered taxable income under IRS regulations, and are therefore subject to withholding of social security and income taxes unless such payments are classified as de minimus by the Vice President – Controller's office. Taxable meal reimbursements include those associated with day trips and overtime. Reimbursements for working meals during meetings (Section 3.6) are not subject to taxes.

5.0 Responsibilities

5.1 Employee

Employees have primary responsibility for ensuring that meal expenses are necessary and reasonable, fully - documented as to business purpose, supported by receipts, properly submitted on the appropriate form, accurately coded in the proper account, and otherwise in compliance with the provisions of this policy. Employees should also exercise sound business judgment and common sense whenever they incur expenses to be paid by the Authority.

5.2 Approving Supervisors and Managers

Approving supervisors and managers are responsible for ensuring that their employees understand the content and intent of this policy before expenses are incurred and that the provisions of this policy are met. Supervisors must ensure that Authority funds are spent wisely and carefully, that their employees have submitted appropriate, reasonable and prudent expenses and that all expenses, except as noted otherwise, are submitted on expense reports. In addition, they are responsible for ensuring that their employees seek cost-effective solutions to situations/needs, carefully reviewing their employees' expense reports and confirming that coding for expenses, to be reimbursed, is accurate.

5.3 Site Manager of Business Services
Headquarters Accounts Payable Manager

The Site Manager of Business Services, at the operating plants, and the Accounts Payable Manager, at the Headquarters Office, are responsible for implementing and administering this policy at their respective locations. This responsibility includes ensuring their staff properly reviews, processes, and retains all reimbursement requests received.

5.4 Headquarters Accounts Payable Manager and Headquarters Payroll Manager

The Headquarters Accounts Payable Manager and Headquarters Payroll Manager are jointly responsible for ensuring that meal reimbursements are properly included in the employee's paycheck (i.e. by separate check or direct deposit), taxable reimbursements are reported on the employees W-2 Wage and Tax Statement and social security and income taxes are withheld.

5.5 Director - General Accounting & Financial Reporting

The Director-General Accounting & Financial Reporting or his authorized designee is responsible for overall implementation, administration, and maintenance of this policy on a company-wide basis.

5.6 Vice President - Controller

The Vice President - Controller must approve any deviations from this policy.

6.0 References

6.1.1 Agreement between the Power Authority of the State of New York and Local Unions 2032 and 2104 of the International Brotherhood of Electrical Workers IBEW, AFL-CIO, 2006-2011, as amended or superseded from time to time.

6.1.2 Agreement between the Power Authority of the State of New York and Local Union 1-2 of the Utility Workers Union of America (UWUA), AFL-CIO, 2004 - 2009, as amended or superseded from time to time.

6.2 CAP 1.7 Corporate Travel Card Program.

6.3 CAP 7.4 Processing Overtime Meal Payments

6.4 CAP 4.1 Petty Cash

6.5 Credit Card Procurement System Policy & Procedures (Rev. 11/08)

6.6 Travel Hand Book, NYPA Power net website, Travel Desk section.

Thomas Concadora

Vice President-Controller

SUBJECT: TRAVEL

1.0 SCOPE

This policy establishes guidelines for business travel arrangements and travel expense reimbursements.

2.0 IMPLEMENTATION

This policy shall be adhered to by the staff of all Authority Business Units and Departments, and contractors/consultants doing business on behalf of the Authority. Recommendations for changes to this policy or a new corporate policy shall be processed in accordance with CP 1-1 "Corporate Policy Program Administration".

3.0 MANAGEMENT CONTROLS

3.1 General

3.1.1 Employees must use Power Business Travel, (the Corporate Travel Desk), for air, hotel and car rental arrangements in order to be reimbursed for business travel expenses.

Contractors/consultants, doing business on behalf of the Authority, must use Power Business Travel for all air, hotel and car rental arrangements in order to be reimbursed for approved business travel expenses.

3.1.2 The Travel Desk will make the most cost effective arrangements that meet the traveler's needs. Exceptions or changes to Travel Desk recommended arrangements must be approved by the traveler's Business Unit/Department Head.

3.1.3 Travel associated invoices must be submitted with expense statements for reimbursement.

3.1.4 In the event that emergency travel arrangements are made by the traveler, written explanation of the circumstances must be provided to the Travel Desk, which will produce an invoice to be submitted with the expense statement.

3.1.5 Every effort will be made to meet the traveler's needs while taking advantage of New York State contracted or "economy/advance purchase" airfares, "government" or "corporate/seminar" lodging rates and "negotiated" or "discount" rates for vehicle rental.

3.1.6 Tax exempt forms should always be used to prevent state and local taxes from being added to hotel or car rental bills whenever employees or contractors/consultants are traveling for Authority business in New York State, since New York taxes will not be reimbursed.

3.1.7 The Travel Desk will make the most cost effective arrangements for out-of-office meetings, seminars and training sessions, and must be used to book the rooms and facilities required for these types of events.

3.1.8 The Travel Desk will optimize cost savings and minimize trip times by scheduling/assigning the company plane and arranging charter flights as appropriate. (See sections 3.8 and 3.9)

3.1.9 Whenever possible, employees should use fleet vehicles, which will be maintained at all sites, to secure ground transportation for business purposes; however, employees are expected to use alternate means, including personal vehicles, if fleet vehicles are not available. To request a fleet car, the traveler should go to the Authority's intranet page and follow the instructions.

3.1.10 Expenses incurred for meals while traveling will be reimbursed according to the Corporate Accounting Policy (CAP) 1.5, Reimbursement of Employee Meal Costs.

3.1.11 Any exceptions to this travel policy must be approved by the Director of Corporate Support Services and Senior Vice President (SVP) Corporate Support Services.

3.2 Responsibilities

3.2.1 The manager authorized to approve an employee's or contractor's/consultant's Expense Statement must ensure that the traveler has complied with the provisions of this policy.

3.2.2 Travelers are responsible for compliance with this Corporate Policy and also for verification that all travel arrangements are correct.

3.2.3 The Director of Corporate Support Services and the SVP Corporate Support Services implement this policy and determine appropriate revisions.

3.3 Travel Arrangements

3.3.1 Employees and contractors/consultants must book all business travel through the Travel Desk. The Travel Coordinator will book the most cost effective and time efficient travel for the trip.

3.3.2 If the traveler requests a different itinerary than provided by the Travel Desk, then the traveler must obtain approval from the appropriate manager or Departmental designee. The email with the approval should be forwarded to the Travel Desk before tickets are issued. All approved exceptions will be maintained in Travel Desk files.

3.3.3 Employees and contractors/consultants should make travel requests as far in advance as possible, so that the Travel Coordinator may obtain the lowest airfares and hotel rates. The travel request should include preferred time and departure airport, location and dates of meetings/accommodations, etc.

3.3.4 Every effort will be made to secure alternatives that meet both the traveler's preferences and this policy. The Travel Coordinator will notify the traveler if preferred routings, departure times, or hotel accommodations are not available or if preferred airlines or airports or hotel accommodations are not the most reasonable and lowest-cost alternatives. New York State Office of General Services (OGS) or Authority negotiated discount fares will always take precedence over whatever airline or routing that the passenger prefers.

3.3.5 The Authority allows travelers to participate in and keep the benefits of "frequent flyer" and other mileage/usage related programs. However, required business travel will not be arranged to meet any particular program requirements, unless program pricing is equal to or less than competing alternatives.

3.3.6 If the employee is traveling on Authority business outside the U.S., the employee may need a valid passport. If the employee needs to acquire or renew his U.S. Passport to conduct Authority business, then the Authority will reimburse the employee for that expense.

3.4 Reimbursement

3.4.1 Business air or train travel will be charged by the Travel Desk to a centralized Citibank VISA account that will be reconciled by Accounting staff. Air and/or train travel for Authority business will not be expensed by the individual traveler.

3.4.2 Employee travel expenses, other than air or train tickets, are reimbursed through the Employee Expense Statement (hard copy or electronic, whichever applies). The employee submitting an expense statement is responsible for its accuracy and completeness. Additionally, the signature or electronic approval of the employee's supervisor, manager, director (or higher) indicates that the expense statement is complete, meets documentation and receipt requirements, includes only reasonable business expenses and is in compliance with this policy.

3.4.3 Travel invoices issued by the Travel Desk in response to a traveler's requested trip arrangements must be attached to the expense statement to ensure reimbursement of approved business expenses.

3.4.4 Travelers will not be reimbursed for any travel expenses not in compliance with this policy.

3.5 Air Transportation

3.5.1 The Travel Coordinator will seek to provide economical airfares which could include a one-stop flight or a connecting flight with a layover of two hours or less. Travelers should be ready to accept alternative reservations established within a two hour "window" of their requested departure times in order to achieve fare savings.

3.5.2 A commercial aircraft must be multi-engine turbine powered with two (2) pilots flying the trip.

3.5.3 All business travel of less than 2500 one-way air miles will be booked in "coach". If a business traveler has one way flight time exceeding 7 hours to their destination, the traveler can depart a day earlier so that he/she can be rested for the next day's activity. If a business traveler has one way flights that exceed 2500 air miles, business class may be booked instead of coach upon the approval of the Senior Vice President, Corporate Support Services.

3.5.4 If a New York State Office of General Services (OGS) negotiated fare is available for a traveler's proposed trip, then that fare will be used as long as it fits the requirements of provision 3.5.1. If an "incentive" or "special" fare is also available for the same city-pair, then that roundtrip fare may be used if the savings is more than $200 over the OGS roundtrip fare. Any other exception must be approved by the traveler's supervisor.

3.5.5 "Special fares" frequently provide substantial fare savings, but may carry up to a 100% charge for changes or cancellations. These fares can be very economical, but they have scheduling drawbacks, and should be used with caution. If these fares are booked and a change or cancellation is required due to legitimate business circumstances, the Authority will absorb the expense and charge the employee's cost center.

3.5.6 Employees may use a transportation differential of up to $200.00 (roundtrip) to choose between trips originating from the New York City airports, i.e., LaGuardia, JFK and Newark, and from Westchester County Airport or Newburgh/Stewart Airport. For example, if a roundtrip originating from Newburgh/Stewart costs over $200.00 more than the same trip from Newark, then the employee's trip will be booked from Newark unless an approval of the exception is sent by the individual who signs off on the employee's expense statement or the employee agrees to pay the amount in excess of $200.00

3.5.7 Ground transportation to/from airports should be as reasonable as possible, given employee's individual travel situations. The Travel Desk will assist employees in choosing among the airport transit options most suitable for them. Car service is expensive and should be a last resort to get to the airport. Preferred car service providers, who provide cost-effective rates for Authority travelers, are listed on the Travel Desk's website. The use of the employee's personal car will be reimbursable in accordance with the Authority's "Business Mileage Rate for Transportation" which conforms to the IRS guidelines.

3.6 Hotel Reservations

3.6.1 The Travel Coordinator at the Travel Desk will always attempt to secure the lowest available rates for hotel accommodations, whether at "government", "corporate/seminar", "negotiated discount" or other special rates. The federal reimbursement rate for that location, as referenced in the U.S. General Services Administration's Domestic Per Diem Rates, will be used as a guideline.

3.6.2 It is the policy of the Travel Desk to book overnight stays in hotels that provide a "government rate", unless no such suitable accommodation is available. The Travel Coordinator will indicate a choice of median-rated hotel accommodations within reasonable proximity to the employee's meeting/business location, in order to provide the most economical rates available for the employee. For conferences, reservations will be made in the hotel where the conference is being held, provided that those accommodations are in accordance with this policy. If an employee prefers to stay at a hotel with no government rate, when, in fact, a government rate is available at a nearby hotel, the reimbursement will cover only the amount of the declined government rate. All exceptions will be reported to Accounts Payable by the Travel Desk staff.

3.6.3 Unless otherwise requested, hotel accommodations for late arrivals will be automatically guaranteed to the traveler's credit card.

3.6.4 When canceling a hotel reservation, the Travel Coordinator will give the traveler a cancellation code which should be retained in the traveler's files. If cancellations are called in directly to the hotel, then the caller should notify the Travel Desk of the cancellation number, the name of the individual who accepted the cancellation, and the date and time of the cancellation. Please note that this information is necessary if the hotel mistakenly charges the traveler a "no-show" expense (usually the cost of one night's lodging).

3.7 Car Rentals

3.7.1 The Travel Coordinator will secure car reservations using the Authority's contract vendors.

3.7.2 The Travel Coordinators will always request the lowest (most fuel-efficient) car class size suitable for the business purposes. When fewer than three employees are traveling together, an intermediate-sized car or smaller will be booked unless there are compelling business related reasons that call for a larger vehicle.

3.7.3 Employees travelling for business should use their Corporate Credit Cards for car rental transactions since the credit card is providing collision damage coverage through a separate agreement with the Authority. A traveler will NOT be reimbursed for collision damage insurance on a car rental.

3.7.4 Liability Insurance Supplement (LIS) and Personal Accident Insurance (PAI)/Personal Effects Coverage (PEC) will be offered by most car rental agencies. PAI allows renters to elect accidental death and blanket medical coverage for themselves and their passengers, while PEC provides insurance for loss of or damage to a customer's personal effects during the rental period. These extra insurances, e.g., LIS, PAI, and PEC will NOT be reimbursed by the Authority.

3.7.5 The completed car rental agreement with the receipt for payment must be attached to the traveler's expense statement submitted for reimbursement.

3.7.6 All rental vehicle accidents must be immediately reported to the Authority's Insurance Department using appropriate forms.

3.7.7 Travelers should attempt to minimize rental costs. Cars picked up at one location should be returned to the same location whenever possible to avoid incurring mileage and drop-off charges. To avoid contract vendor fill-up charges, employees should return cars with a full tank of gas.

3.7.8 Transportation to/from airports to the office/hotel by van or bus service is encouraged. Many hotels/conferences offer a complimentary transport service. The Travel Desk can often provide advance information on such services, and assist in arranging for their use.

3.8 Corporate Airplane

3.8.1 Flight Operations will reserve the corporate airplane on a first-come, first-serve basis for personnel on Authority related business.

3.8.2 Each flight on the corporate airplane must be to and from destinations within New York State. Use of the corporate airplane should be the cost-effective choice when compared to commercial flights plus hotel lodging, car rentals, and employee lost productivity. This cost comparison is done through the use of Travel$ense, a software program designed to compare the cost of travel via business aircraft versus airlines or other alternate means. If the Travel$ense analysis indicates a trip is not a cost-effective use of the corporate airplane, Flight Operations, in conjunction with the Travel Desk, will recommend other travel alternatives. Approvals are subject to the terms of Section 3.1.11. Travel$ense reports are kept by Flight Operations for all Authority flights conducted on the corporate airplane detailing the cost savings associated with each trip.

3.8.3 The corporate airplane cannot be used solely to transport members of the Board of Trustees to and from board meetings. Trustees performing Authority related work, or for government purposes, may travel on the corporate airplane only on trips which have already been scheduled for other Authority employees and which result in no additional cost to the Authority.

3.8.4 Requests for use of the corporate airplane are made through the intranet-based NYPA Aircraft Reservation System, hosted by Professional Flight Management. Select individuals are granted access to the site, and act on behalf of their Business Unit head, and the Business Unit travelers, to submit all appropriate requests to Flight Operations. These requests are then processed and scheduled by the company pilots, and a notice of trip status is then sent to all passengers via email. All changes to trip requests are made through the same process. To gain access to the intranet-version of the company airplane reservation system, a written request should be made to the Director, Aviation and Travel Operations. The basis for the request should be indicated, e.g. that he/she is replacing someone who has current access or showing that the group he/she represents is a frequent user of the corporate airplane, and has been approved by the Business Unit head to act on their behalf as a designated scheduler. The Director, Aviation and Travel Operations will then ensure that these individuals are granted access to the reservation system, which includes the corporate airplane's 90 day extended schedule.

3.8.5 Consultants or contractors, performing Authority related work, may utilize the corporate airplane provided that this trip results in no additional cost to the Authority.

The Chairman of the Board of Trustees or the President and Chief Executive Officer may authorize:

(1) Non-Authority employees to accompany an Authority employee on the corporate plane for governmental purposes; or
(2) Federal, State or Local Government officials to utilize the corporate airplane for government purposes.

All non-Authority travelers will be required to sign a written waiver of any claim or liability against the Authority arising out of such use.

3.8.6 The cost of the corporate airplane is not charged back to the employees' budgets, but is budgeted as a corporate resource for all Business Units of the Authority.

3.8.7 If the corporate airplane is unexpectedly unable to fly a scheduled trip, then other means of travel will be arranged for those booked. -If the other means of travel, due to special conditions, is a charter plane, then the cost of the charter will in most cases be charged back to the appropriate departmental budget(s).

3.9 Charters

3.9.1 The Travel Desk is responsible for arranging aircraft charters. If the Authority's corporate airplane is unable to do a trip for the business group and commercial flights are not available or cost justified, then charter prices may be sought from those vendors with contracts with the Authority to provide charter aircraft. The aircraft most closely meeting the needs of the business group and with the most cost effective pricing will be booked to satisfy extreme travel circumstances.

A charter aircraft must be multi-engine turbine powered with 2 pilots flying the trip. The pilots must meet the Authority's minimum standards for its own pilots.

3.9.2 The request for a charter aircraft must be approved by the President and Chief Executive Officer before committing to the charter provider. In the case of the President and Chief Executive Officer's request for a charter aircraft, the Senior Vice President Corporate Support Services, the General Counsel and the Chairman must approve the trip.

3.9.3 To finalize the transaction, the business group must provide the appropriate Authority cost center code and the cost element to the Travel Desk, so that the cost can be charged back to the departmental budget.

3.9.4 The charter trip will be scheduled as an additional trip on the corporate plane schedule, viewable on the Authority's intranet, so that the Authority's pilots and other users are aware of it. In this way, available seats might be filled with other travelers.

3.9.5 If there is a concern over the possibility of an emergency need for the corporate airplane, the charter plane may be kept reserved until 24 hours before the trip and then released. If the corporate airplane becomes available by the close of business on the day before the scheduled trip, it should be the preferred aircraft, replacing the charter.

3.9.6 Charters usually have cancellation penalty clauses that are substantial. Any arrangements must include the business group representative's agreement to pay the cancellation fees which may be imposed.

3.10 Meetings/Seminars/Training Sessions

3.10.1 Authority events such as meetings, seminars, and training sessions that are held out of the office must be reviewed by the Travel Desk.

All hotel accommodations must be booked for these events through the Travel Desk to ensure rate policy compliance. Employees should send written proposals to the Travel Desk in a timely fashion for review and scheduling. Only hotel rooms and meeting facilities will be reviewed and booked by Travel Desk personnel. Food requirements, meeting set-up, etc. are the responsibility of the respective Business Unit.

Plans for group business luncheons or dinners for 50 people or less, may be made by the appointed person from within the Business Unit or department sponsoring the event. The cost of all such luncheons or dinners must be kept within the limits of the reimbursement policy covering Off Premises Meals.

3.10.2 Events estimated to exceed $15,000 will be submitted to Procurement for advertising in the Contract Reporter. Procurement will issue a bidding document to interested parties. Travel Desk staff and the requesting group's representative(s) will review the bids and select the most appropriate and cost-effective provider.

Events in the $5,000 to $14,999 range will be researched by the Travel Desk staff who will recommend a vendor to Procurement for contract execution.

3.10.3 When the cost and details of the conference room(s), refreshments, and other amenities from the hotel, restaurant or conference center have been agreed upon, the designated business group representative should sign the contract from the vendor. After the event, the requestor is responsible for reviewing, entering budget codes and sending the subsequent bill for services in a timely manner to Accounts Payable for issuance of the payment.

3.10.4 Outside meeting arrangements generally include cancellation clauses. Please note that any arrangement must include the requesting business group representative's agreement to pay any cancellation fees involved.

3.11 Cancellation/Revisions

3.11.1 The Travel Coordinator should be immediately advised of any cancellations – air, hotel, car, etc. Failure to do so could result in penalties on airfares and no-show charges for hotels and cars. Please note that any Authority incurred avoidable charges will be billed to the business group's appropriate responsibility code/cost element if not properly cancelled.

3.11.2 Except in emergency situations, any revisions to an employee's itinerary must be made by the Travel Desk. Charges for emergency measures must be supported by appropriate documentation from vendors.

3.12 Contractor Travel

3.12.1 Contractors **must** make all travel arrangements through the Travel Desk. Travel arrangements made through outside travel agencies are only reimbursable in accordance with current Authority negotiated or government rates.

3.12.2 A complete Contractor Travel Profile form must be on file for each contractor prior to requesting travel services from the Travel Desk. The contractor's Authority manager will request Accounts Payable staff to assign an Authority Contractor Number for each contractor who will be traveling. The number is then added to the Contractor Travel Profile before it is forwarded to the Travel Desk.

3.12.3 All Contractor Travel requests must have the contractor's Authority manager's approval prior to submission to the Travel Desk.

3.12.4 The maximum amount of reimbursable airfare should not exceed the cost of round trip government airfare between the appropriate Authority facility and the location of the contractor's preapproved point of origin, unless otherwise authorized by the appropriate Authority Business Unit head or designee.

3.12.5 The Travel Desk will issue itineraries for all travel arrangements made for contractors. A copy of these itineraries will be sent via email to the contractor and the appropriate Authority manager. A copy of a contractor's Authority travel itinerary and associated receipts, excluding meals, must be attached to vendor's invoice.

3.12.6 The Authority Meal Policy must be adhered to by contractors at all times. Meal expenses which do not conform to this policy are not reimbursable. Meals shall be paid on a per diem based on the schedule listed below. Meal receipts are not required.

3.12.7 The Authority's N.Y. State Sales Tax Exemption forms must always be used for hotel and car expenses incurred within New York State. Sales tax charged for these services within New York State are not reimbursable.

3.12.8 Contractors/consultants will use their personal credit cards for car rental transactions.

3.12.9 Additional fees, such as auto insurance, road side assistance on car rentals, will not be reimbursed. Upgrades to larger size or luxury vehicles are also not reimbursable. Miscellaneous expenses, such as tips, fees for use of hotel gym/ fitness rooms, medications, toiletries, snacks, magazines, newspapers, etc. are personal and will not be reimbursed.

3.12.10 The Travel Desk must be advised immediately of any cancellations. Failure to do so may result in penalties or no show charges which are not reimbursable.

3.12.11 Authority managers will review all contractor travel expense invoices to ensure compliance with this policy.

4.0 REFERENCES

4.1 CP 1-1 – Corporate Policy Program Administration
4.2 CAP 1.5 – Reimbursement of Employee Meal Costs
4.3 Corporate Flight Operations Manual

5.0 ATTACHMENTS

5.1 Power Business Travel Invoice
5.2 NYS Sales Tax Exemption Certificate
5.3 NYS Hotel Tax Exemption Certificate

President and Chief Executive Officer

Attachment 5.1

NYPA Power Business TRAVEL NewYorkPower Authority

SALES PERSON: 95 ITINERARY/INVOICE NO. 0008112 DATE: 29 OCT 04
CUSTOMER NBR: 0001171200 HMTZOZ PAGE: 01

TO: NY POWER AUTHORITY
123 MAIN ST 628D
WHITE PLAINS NY 10601
ATTN

FOR: REF:

02 NOV 04 - TUESDAY
AIR US AIRWAYS FLT:4079 COACH CLASS
OPERATED BY US AIRWAYS EXPRESS-PIEDMONT AIRLINES
LV NEW YORK LGA 600P EQP: DASH 8 TPROP
DEPART: USAIRWAYS LA GUARDIA TERM 01HR 49MIN
AR BUFFALO 749P NON-STOP
REF: CFTYNP
SEAT- 2D US-1439360

CAR BUFFALO BUDGET RENT A CAR INTER CAR AUTO A/C
PICK UP-1949
RETURN-03NOV/1915
RATE PLAN 1 DAYS 0 HRS USD MI/KM EX MI/KM
DAILY RATE 42.50 UNL
XTRA DAY- 42.50 UNL
XTRA HOUR- 14.18 UNL
MANDATORY CHARGES 5.53
APPROX RENTAL COST 48.03 UNL
CONFIRMATION NUMBER 06103994US1 RATE-GUARANTEED
CD-X909800 ID-HA681D

HOTEL BUFFALO OUT-03NOV
HOLIDAY INN 1 NIGHT
HOLIDAY INN NIAGARA FALLS 1 ROOM
231 THIRD ST RATE-89.00USD PER NIGHT
NIAGARA FALLS NY 14303 CANCEL BY 06P DAY OF ARRIVAL
FONE 716-282-2211
FAX 716-282-2748
GUARANTEED LATE ARRIVAL
CONFIRMATION 65551308

03 NOV 04 - WEDNESDAY
AIR US AIRWAYS FLT:3998 COACH CLASS
OPERATED BY US AIRWAYS EXPRESS-PIEDMONT AIRLINES
LV BUFFALO 715P EQP: DASH 8 TPROP
01HR 45MIN
AR NEW YORK LGA 900P NON-STOP
ARRIVE: USAIRWAYS LA GUARDIA TERM REF: CFTYNP
SEAT- 6C US-1439360

CONTINUED ON PAGE 2

Attachment 5.1 Continued

NYPA Power Business TRAVEL New York Power Authority

SALES PERSON: 95 ITINERARY/INVOICE NO. 0008112 DATE: 29 OCT 04
CUSTOMER NBR: 0000171800 HMTZOZ PAGE: 02

TO: NY POWER AUTHORITY
123 MAIN ST 628D
WHITE PLAINS NY 10601
ATTN :

FOR: REF: 12165

AIR TICKET US1100908793
ELEC TKT

BILLED TO VISA	331.20*
SUB TOTAL	331.20
NET CC BILLING	331.20*
TOTAL AMOUNT DUE	0.00

TO CHANGE OR CANCEL RESERVATIONS
PLEASE CALL POWER BUSINESS TRAVEL AT 914-287-3191
DURING 8A-5P MON-FRI EXCEPT HOLIDAYS
IF ITS AN EMERGENCY HIT ZERO POUND
FOR AFTER HOURS *EMERGENCY ONLY* CALL 800-390-6474
PLEASE BE SURE TO BRING POSITIVE PHOTO IDENTIFICATION
IF YOU DO **NOT** USE ANY PART OF YOUR ETKT YOU MUST
NOTIFY THE TRAVEL DESK TO PROCESS A REFUND
FOR THE UNUSED PORTIONS OF YOUR TICKET
YOUR RECORD LOCATOR FOR THIS RESERVATION IS *CFTYNP

Attachment 5.2

For use only by Representatives or Employees of Departments or Agencies of the State of New York

TO: **NEW YORK STATE SALES TAX BUREAU**

#131850882
EXEMPTION CERTIFICATE
TAXES IMPOSED PURSUANT TO ARTICLES 28 AND 29 OF THE TAX LAW

_____ DATE _____ 20____
NAME OF PERSON OR FIRM FURNISHING SERVICES

_____
ADDRESS

This is to certify that I, the undersigned, am a representative or employee of the New York State Department or Agency indicated below; that the charges for the transaction on the date set forth below have been or will be paid for by such department or agency; and that such charges are incurred in the performance of my official duties as such representative or employee.

NATURE OF TRANSACTIONS _____

DATES OF TRANSACTIONS _____ _____
SIGNATURE OF REPRESENTATIVE OR EMPLOYEE

NEW YORK STATE DEPT. OR AGENCY NEW YORK POWER AUTHORITY _____
TITLE

NOTE: A separate exemption certificate is required for each transaction and from each person claiming exemption

Attachment 5.3

TAX EXEMPT ID# 131850882

New York State Department of Taxation and Finance

Exemption Certificate

Tax on occupancy of hotel rooms

ST-129
(10/00)

This form may only be used by government employees of the United States, New York State, or political subdivisions of New York State.

Name of hotel, motel, lodging house, etc.			Dates of occupancy From: / / To: / /	
Number and street	City, village, or post office	State	ZIP code	Country

This is to certify that I, the undersigned, am a representative of the department, agency, or instrumentality of New York State, the United States government, or the political subdivision of New York State indicated below; that the charges for the occupancy at the above establishment on the dates listed have been or will be paid for by that governmental entity; and that these charges are incurred in the performance of my official duties as a representative or employee of that governmental entity.

Governmental entity *(federal, state, or local)*	Agency, department, or division
New York Power Authority	

Employee name *(print or type)*	Employee title	Employee signature	Date
			/ /

Instructions for the government representative or employee

If you are on official New York State or federal government business and staying in a hotel or motel:

1. Complete all information requested in the box above.
2. Sign and date this exemption certificate in the box above.
3. Show the operator of the hotel or motel your appropriate and satisfactory identification.
4. Give this completed Form ST-129 to the operator of the establishment.

You may pay your hotel bill with cash, with a personal check or personal credit card, with a government voucher, or with a government credit card.

Please note:

- If, while on official business, you stay at more than one location, you must complete an exemption certificate for each establishment.
- If you are in a group traveling on official business and staying in this particular hotel, each person must complete a separate exemption certificate and give it to the hotel operator.

Caution: Willfully issuing a false or fraudulent certificate with the intent to evade tax is a misdemeanor under section 1817(m) of the Tax Law and section 210.45 of the Penal Law, punishable by a fine of up to $10,000.

Instructions for the operator of the hotel or motel

Keep this completed Form ST-129, *Exemption Certificate*, as evidence of exempt occupancy by New York State and federal government employees who are on official business and staying at your establishment. You must keep this exemption certificate for at least three years after the later of: 1) the due date of the last sales tax return to which this exemption certificate applies; **or** 2) the date when you filed the return.

This exemption certificate is valid if the government employee is paying with:

- Cash.
- A personal check or personal credit card.
- A government voucher.
- A government credit card.

Do not accept this certificate unless the representative or employee presenting it shows appropriate and satisfactory identification.

Exhibit A

2015 Mission Statement and Strategic Plan

MISSION	1
VISION	1
VALUES	2
CORPORATE STRATEGIC GOALS	3
STRATEGIC INITIATIVES	4

MISSION

Our Mission is to …

Power the economic growth and competitiveness of New York State by providing customers with low cost, clean, reliable power and the innovative energy infrastructure and services they value.

VISION

Our vision is a Power Authority that enables a thriving New York State through the provision of sustainable, affordable energy, stewardship of the state's natural resources and leadership in innovative technologies and energy efficiency services.

VALUES

Integrity – Operate at the highest level of honesty, ethical conduct, and public trust in all of our activities.

Safety – Always strive to encourage and support an accident-free workplace for our employees.

Employee Development – Value our employees and invest in their development.

Job Development – Utilize our power resources to support the creation and retention of New York State jobs

Sustainability – Manage all aspects of our business through the lens of sustainability, which holistically integrates financial objectives with environmental and social concerns (i.e., the "triple bottom line" approach).

Clean Energy – Remain committed to the efficient use of energy and promoting clean power supply technologies.

Stakeholder Management – Aggressively build awareness of NYPA's Mission and purpose by cultivating positive relationships with our Stakeholders – customers, public officials, regulatory agencies, community representatives, and other opinion leaders – to ensure they support us and share in our commitment.

Financial Strength – Maintain NYPA's financial strength so as to have the financial resources needed to fulfill our Mission.

CORPORATE STRATEGIC GOALS

Financial Effectiveness:

Maximize the financial capacity of NYPA to make capital investments that help achieve our goals.

Operational Effectiveness:

Maximize the efficiency, reliability and flexibility of our assets and organization.

Value from Energy:

Maximize the benefit and minimize the negative impact of each unit of energy provided to the customer and the state.

STRATEGIC INITIATIVES

Customer Solutions:

Expand NYPA's customer solution offerings through the provision of a seamless bundle of services aligned to customer needs.

Asset Management:

Develop an asset management capability and process to improve the efficiency and effectiveness of our O&M and capital investments.

Smart Generation and Transmission:

Make the generation and transmission system more flexible, resilient, and agile utilizing existing and emerging technologies.

Workforce Planning:

Develop and implement a strategic workforce plan that ensures that NYPA attracts, retains, and develops employees with the skills competencies to achieve our goals.

Knowledge Management:

Establish an enterprise wide system to capture, organize, distribute, and utilize NYPA intellectual assets.

Process Excellence:

Create a business process improvement function to increase productivity, reduce cost, advance sustainability and safety goals and manage risk.

Exhibit 2e viii - A
March 26, 2015

2014 Confidential Evaluation of NYPA Board Performance

Criteria	Agree	Somewhat Agree	Somewhat Disagree	Disagree
1. Board members have a shared understanding of the mission and purpose of the Authority.	IIIII	I		
2. The policies, practices and decisions of the Board are always consistent with this mission.	III	III		
3. Board members comprehend their role and fiduciary responsibilities and hold themselves and each other to these principles.	IIIII	I		
4. The Board has adopted policies, by-laws, and practices for the effective governance, management and operations of the Authority and reviews these annually.	IIIII I			
5. The Board sets clear and measurable performance goals for the Authority that contribute to accomplishing its mission.	IIIII I			
6. The decisions made by Board members are arrived at through independent judgment and deliberation, free of political influence, pressure or self-interest.	III	III		
7. Individual Board members communicate effectively with executive staff so as to be well informed on the status of all important issues.	IIIII I			
8. Board members are knowledgeable about the Authority's programs, financial statements, reporting requirements, and other transactions.	IIII	II		
9. The Board meets to review and approve all documents and reports prior to public release and is confident that the information being presented is accurate and complete.	IIIII	I		
10. The Board knows the statutory obligations of the Authority and if the Authority is in compliance with state law.	IIIII	I		
11. Board and committee meetings facilitate open, deliberate and thorough discussion, and the active participation of members.	IIIII	I		
12. Board members have sufficient opportunity to research, discuss, question and prepare before decisions are made and votes taken.	IIIII I			
13. Individual Board members feel empowered to delay votes, defer agenda items, or table actions if they feel additional information or discussion is required.	IIIII	I		
14. The Board exercises appropriate oversight of the CEO and other executive staff, including setting performance expectations and reviewing performance annually.	IIIII	I		
15. The Board has identified the areas of most risk to the Authority and works with management to implement risk mitigation strategies before problems occur.	IIIII I			
16. Board members demonstrate leadership and vision and work respectfully with each other.	IIIII	I		

NEW YORK STATE OF OPPORTUNITY. | NY Power Authority

President & Chief Executive Officer Report

Gil Quiniones

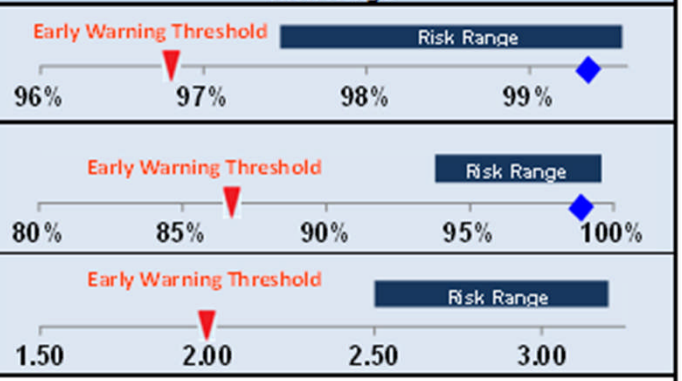
March 26, 2015

NYPA Overall Performance February 2015

Goal	Measure	Year-To-Date 2015 Status	Target	Actual
Maintain Infrastructure	Generation Market Readiness *(%)*	▲	99.40	99.48
	Transmission System Reliability *(%)*	▲	98.00	98.94
Financial Management	Debt Coverage *(Ratio)*	▲	2.70	2.90
	O&M Budget Performance *($ Millions)*	▲	61.1	53.4
Energy Services	MMBTU's Saved	▲	3.5	31.8
	Energy Efficiency Investment in State Facilities *($ Millions)*	▲	6.5	7.9
Workforce Management	Retention *(# of Touchpoints)*		100	*
Safety Leadership	DART Rate *(Index)*	●	0.78	2.05
Environmental Responsibility	Environmental Incidents *(Units)*	▲	6	3

*Quarterly measure. Actual will be provided at end of Q1.

Year 2015 Risk Range



NEW YORK STATE OF OPPORTUNITY. NY Power Authority

EDWARD WELZ, PE
Chief Operating Officer

TO: NYPA BOARD OF TRUSTEES

FROM: EDWARD WELZ, CHIEF OPERATING OFFICER

DATE: FEBRUARY 10, 2015

SUBJECT: MONTHLY REPORT FOR THE BOARD OF TRUSTEES

****

This report covers performance of the Operations group in February 2015.

Operations

Plant Performance

Systemwide net generation[1] in February was 2,052,478 MWh (megawatt-hours[2]) year-to-date which is below the projected net generation of 2,155,884 MWh. For the year, net generation was 4,206,754 MWh which is below the projected target of 4,517,132 MWh.

The fleet availability factor[3] in February was 89.37 percent year-to-date, and was 89.42 percent for the year. Generation Market Readiness factor[4] in February was 99.02 percent year-to-date, which is lower than the target of 99.40 percent. Year-to-date Generation Market Readiness factor was at 99.48 percent, which is above the annual target of 99.40 percent.

There were four significant forced outage[5] events in February:

1. Gilboa Unit 3 remains in a forced outage from December 5, 2014 because of cracks discovered in the main rotor ledge.
2. St. Lawrence Power Project units were hampered by extreme ice conditions throughout the month. The resulted in the equivalent of 48 forced outage hours for the plant.
3. Astoria 500MW Unit 7A had failed to swap to liquid fuel which resulted in $511,127 in lost revenue.
4. Unit 7A shutdown automatically while transferring to liquid fuel. This outage resulted in a revenue loss of $153,260.

Generation Net Revenue in February was $33.6 million with lost revenue of $0.7 million. Year-to-date net revenue was $67.2 million while revenue loss was $0.7 million.

Niagara River flows in February were above the historical average and are expected to be above normal levels for the year. St. Lawrence River flows for February were above forecast levels and are expected to be near historical levels for the year.

NEW YORK STATE OF OPPORTUNITY. | NY Power Authority

EDWARD WELZ, PE
Chief Operating Officer

Transmission Performance

Transmission reliability[6] in February was 98.86 percent, which was above the target of 97.59 percent. Year-to-date transmission reliability is 98.94 percent, above the target of 98.00 percent.

There were no significant unplanned transmission events in February to report.

Safety

The NYPA DART (Days Away, Restricted or Transferred) Rate for February is 2.38. For the year, the DART Rate is 2.05 compared to the target of 0.78.

The Operations DART Rate for February is 2.31. For the year, the DART Rate is 2.46 compared to the target of 1.08.

There were 3 lost time incidents in February that met the DART criteria.

For the year, there have been 5 injuries that resulted in lost time and met the DART criteria.

Environmental

There were two reportable incidents in February. Both of them occurred at the Astoria 500MW facility:

1. An exciter compartment bard unit at Combustion Turbine 7A released 11.7 pounds of R-22 fluid.
2. The trailer filter system failed to remove chlorine from the city water supply system. This exceeded the SPDES limit.

For the year, there have been 3 incidents. The annual target is 32 incidents.

NEW YORK STATE OF OPPORTUNITY. | NY Power Authority

EDWARD WELZ, PE
Chief Operating Officer

Life Extension and Modernization Programs

Transmission LEM

T-LEM is a multiyear program that will upgrade the Authority's existing transmission system to maintain availability, increase reliability, and ensure regulatory compliance. The Program encompasses Authority transmission assets in the Central, Northern, and Western Regions. The Program is estimated to cost $726 million and is comprised of several projects:

- **St. Lawrence Breaker & Relay Replacement:** Trustees authorized funding for Phase 1 in the amount of $67.8 million (total $110 million) at the December 2012 meeting.
  - Removing demo cables from control tunnel.
  - Pulling cables for PCB 1724 from SAMAC building to control tunnel in preparation for PCB 1724 install.
  - Installing cable tray and conduit in Service Building basement for new 480V system.
  - Draining oil from OCB 1702, 1708 and 1714 bushings in preparation for removing them from site next week.
- **STL Remote Substations and Switchyard LEM (CPR 558, 1162, and 1163)**
  - 2017 Construction Start – Plattsburgh.
  - Adirondack Sub work will be included in the MA1 rebuild package.
  - Engineering for replacement of Adirondack breakers OCB 102 and 202 in progress.
  - Engineering for Station Service Upgrade at Plattsburgh in progress. Switchgear removal to be completed before relay replacement work can take place.
  - Relaying/Metering/Communications/SCADA conceptual design for Plattsburg discussed 12/8. Follow up meeting with site scheduled for January.
  - Schedule for Remote Substation BARR/LEM work under development.
  - CT/VT metering units for MA1&2 in procurement. Final round of technical clarifications underway.
- **NIA Protective Relay Replacement:** Trustees authorized funding for Phase 1 in the amount of $25.9 million (total $52 million) at the December 2012 meeting.
  - **NIA Packard 195, Gardenville 180, and Panel 9NR (CPR 209):** The replacement of the Packard 194 relay with a 311L is planned for the 3rd quarter 2015.
- **NIA Switchyard LEM:** Trustees authorized funding for Phase 1 in the amount of $154 million (total $266.9 million) at the December 2012 meeting.
  - **NIA DC Distribution Upgrade:** Preliminary design completed. RCMT will issue 60% drawings on 1/23/15 and 90% drawings on 5/15/15.
  - 800MVA auto-transformer design/fabrication is in process.
  - 115kV circuit breaker contract awarded; kick off meeting was held on 1/16/15
  - 230kV circuit breaker proposals are being evaluated.
  - 115kV trenching and conduit installation is in progress.
  - Engineering for Tubular Bus, Disconnect Switches, and MODS by RCMT in progress.

- **CEC Switchyard LEM:**
  - CEAR and award for 765 kV circuit breakers approved by Trustees.
  - 345 kV circuit breakers and 765 kV potential transformers award in progress.
  - Kick Off meeting with ABB for 765 KV Circuit Breakers was held on 1/20/2015.
- **CEC Auto-Transformer/Reactor Refurbishment:**
  - Reactor 1A, 1C, 1X completed.
  - Reactor 1B scheduled for Spring 2015.
  - Meeting conducted with ABB on January 22, 2015 to review detailed report following damage to auto-transformer 1X; NYPA has decided to proceed with the repair to address the damage caused by ABB as well as legacy issues discovered during the inspection.
- **Massena Substation Reactor Refurbishment:**
  - Refurbishment of (2) reactors is planned for 2015.
- **PV-20 Submarine Cable Replacement:**
  - Final review of bid package is in progress by NYPA and VELCO.
- **BG & CEC Relay Replacements:**
  - The project team continues to design, procure equipment, and install relays.
- **Massena Substation Autotransformer Replacement:**
  - (2) auto-transformers have been delivered to Massena with the remaining (4) being planned for delivery in late February – March 2015; installation in progress.
  - Continued working on marshaling cabinet enclosure for Bank 2 and conduits.
  - Bank 2 is planned to return-to-service in April 10, 2015; Bank 1 return-to-service will be in 2016.
- **Tower Painting:**
  - Contract awarded to Tower Maintenance.
  - Estimated start is April 2015 running through November 2015.
  - Kick-off meeting conducted January 21, 2015.

<u>LPGP LEM</u>

The third unit outage (Unit 7) commenced on August 4, 2014 and is presently being re-assembled. The "dry commissioning" of the new unit control, static excitation and relay protection systems are well underway. The unit will return to service in March. The fourth unit outage (Unit 2) is scheduled to commence on April 1, 2015 and return to service on November 10, 2015.

The fourth runner was inspected and is scheduled to be delivered in July 2015. The fifth runner components arrived at MHPSA's facility located in Japan and assembly commenced and is scheduled to be completed in December 2015.

The fabrication of the sixth runner components are occurring at two new facilities: Japan Steel Works (JSW) located in Japan and Voestalpine located in Austria. The seven blades for the sixth runner as fabricated by Voestalpine arrived at MHPSA's facility located in Japan. The fabrication of the crown

and band are nearing completion at JSW's facility and are scheduled to be shipped to MHPSA's facility at the end of March. The seventh runner components are in various stages of fabrication at the Litostroj foundry located in Slovenia and a blade for the eighth runner has been poured by Litostroj.

The fourth set of wicket gates are in transit to the port of New Jersey from China. The fabrication of the fifth set of wicket gates is underway and the sixth set of gates has been released. The third set of spare shafts was delivered and we are in the process of releasing three additional spare sets of shafts as previously reported.

The time frame between the future unit outages has been condensed in order to maintain the completion of the LPGP LEM program in 2020 as originally planned.

Technical Compliance – NERC Reliability Standards

Enforcement Actions – Northeast Power Coordinating Council (NPCC)

During the reporting period, NYPA reported one (1) new possible violation of the North American Electric Reliability Corporation (NERC) Reliability Standards to the NPCC. The NPCC is reviewing NYPA's determination that the violation posed minimal risk to the reliability of the Bulk Electric System and that the violation can be processed as a compliance exception under NERC's risk-based enforcement program for self-logging of minimal risk issues.

Internal Investigation of Possible Violations

Since the last report, three (3) new internal investigations were initiated and one (1) was closed. There are currently seven (7) open internal investigations.

New Bulk Electric System (BES) Definition

As stated in earlier reports, the Federal Energy Regulatory Commission (FERC) approved the new Bulk Electric System (BES) definition and that NYPA has nearly 50 newly identified BES elements that will be subject to the NERC reliability standards in July 2016. NYPA continued its participation in meetings with the NYISO and the other NY Transmission Owners to assess new state-wide functional registration and compliance management impacts and actions pursuant to the new BES definition.

In February, NYPA engaged NYSEG and National Grid in discussions to add some of NYPA's newly identified BES elements to their list of controlled assets for Transmission Operator (TOP) compliance purposes. NYPA is taking a position that since it does not operate most of its newly identified BES assets, in a NERC functional model sense, that it will not be registered as a TOP. These discussions are ongoing.

NYPA continues to work closely with Alcoa in the development of a joint exception request to exclude the Moses-Alcoa 115 kV transmission lines from the BES. In January, RSC staff and

consultants met with Alcoa staff at the Alcoa headquarters in Tennessee to review supporting documentation for the exclusion exception request. It is anticipated the exclusion exception request will be submitted to NPCC for evaluation in April 2015.

NYPA staff continued discussions with NY Transmission Owners to reach agreements that clarify the roles and responsibilities for compliance management for the Transmission Owner (TO) standards related to NYPA assets operated and maintained by others. NYPA's discussions with these organizations also focused on reaching agreements, before April 2016, for managing compliance with the Version 5 of the Critical Infrastructure Protection (CIP) cyber security standards for assets owned by NYPA but that reside in facilities owned by others.

Critical Infrastructure Protection (CIP) Standards - Version 5

During the reporting period, final ballots for five Critical Infrastructure Protection Standards Version 5 standards revisions and two definitions were approved by industry. The standards will be submitted to the NERC Board of Trustees for adoption and then filed with the appropriate regulatory authorities. NYPA will continue to monitor the regulatory changes and adjust its plan transition for moving from Version 3 to Version 5 accordingly.

The CIP Version 5 Implementation Project Management team stood up a Core Transition team and the seven (7) compliance teams. These teams have cross functional representation of NYPA's internal stakeholders and are focusing on the following areas:

- Review and update of the CIP policies and procedures,
- Identification and classification of all the new in-scope cyber assets,
- Implementation of the physical security controls, and
- Identification and modifications to the work management tools

NYPA expects to engage NPCC in July 2015 to conduct an assessment of its progress in moving from Version 3 to Version 5 compliance. The assessment is an opportunity for NYPA to validate its approach and implement any recommendations made by NPCC. Execution of the implementation plan and the NPCC assessment are expected to enable NYPA to demonstrate compliance with the new standards by the April 1, 2016 enforcement date.

With the cancellation of the January 2015 Board of Trustees meeting, the CIP Version 5 implementation Capital Expenditure Authorization Request (CEAR) was presented for approval at the February 2015 Board of Trustees meeting. A procedural matter further delayed approval of the CEAR until the March 2015 meeting. In the interim, a letter of intent was provided to the successful bidder with a funding release that has enabled the vendor to undertake engineering tasks and initiate other long-lead time activities that are critical to meet the compliance enforcement date. The CIP Version 5 implementation expenditure estimates are included in the Operations budget plan for 2015-2016.

Physical Security Standard

FERC approved the new physical security standard (CIP-014-1 – Physical Security) on November 20, 2014 and it will become effective October 1, 2015.

In February, NYPA continued to attend meetings with the NYISO and New York Transmission Owner planning staffs to help facilitate the development of a modeling methodology to ensure consistency across New York State in the assessment and identification of transmission facilities that will be subject to this standard. Those facilities that are identified from the modeling studies will be subject to other requirements including a vulnerability assessment and a documented security plan that must be reviewed and updated every 30 months.

NERC Alerts

On February 5, 2015, NERC issued a public industry advisory to alert the industry of recommended governor dead band and droop settings that will enable generators to provide better frequency response to support the reliable operation of the Bulk Electric System and restoration of the electric grid. This alert is associated with the Eastern Interconnection Frequency Initiative to ensure proper setting of dead bands, droop, and other controls. NYPA has provided the NYISO with its generator dead band, droop, and other controls settings in support of this initiative and is working to develop internal procedures for clarifying ownership for and ensuring communication of control setting changes to the NYISO.

Energy Resource Management

NYISO Markets

In February, Energy Resource Management (ERM) bid 2.16 million MWh of NYPA generation into the NYISO markets, netting $98.5 million in power supplier payments to the Authority. Year-to-date net power supplier payments are $159.4 million.

Fuel Planning & Operations

In February, NYPA's Fuels Group transacted $73.2 million in natural gas and oil purchases, compared with $64.2 million in February 2014. Year-to-date natural gas and oil purchases are $111.7 million, compared with $140.4 million at this point in 2014. The total -$28.7 million decrease is due to the lower cost of fuel and / or fuel consumption at the Astoria Energy II Plant (-$16.9 million), 500 MW Combined Cycle Plant (-$3.2 million), and Richard M. Flynn Power Plant (-$3.3 million), and Small Clean Power Plants (-$5.3 million).

NEW YORK STATE OF OPPORTUNITY. | NY Power Authority

EDWARD WELZ, PE
Chief Operating Officer

GLOSSARY

[1] **Net Generation** – The energy generated in a given time period by a power plant or group of plants, less the amount used at the plants themselves (station service) or for pumping in a pumped storage facility. Preliminary data in the COO report is provided by Accounting and subject to revision.

[2] **Megawatt-hour (MWh)** – The amount of electricity needed to light ten thousand l00-watt light bulbs for one hour. A megawatt is equal to 1,000 kilowatts and can power about 800 homes, based on national averages.

[3] **Availability Factor** – The Available Hours of a generating unit over the Period Hours (hours in a reporting period when the unit was in an active state). Available Hours are the sum of Service Hours (hours of generation), Reserve Shutdown Hours (hours a unit was not running but was available) and Pump Hours (hours a pumped storage unit was pumping water instead of generating power).

[4] **Generation Market Readiness Factor** – The availability of generating facilities for bidding into the New York Independent System Operator (NYISO) market. It factors in available hours and forced outage hours that drive the results.

[5] **Significant Unplanned Generation Events** – Those events (forced or emergency outages of individual generator units) of duration greater than 72 hours, or have a total repair cost of greater than $75,000, or result in greater than $50,000 of lost revenues.

[6] **Transmission Reliability** - A measurement of the impact of forced and scheduled outages on the statewide system's ability to transmit power.

[7] **Significant Unplanned Transmission Events** – Those events (forced or emergency outages of individual transmission lines) which directly affect the reliability of the state's transmission network, or affect the availability of any component of the state's transmission network for greater than 8 hours, or that have a repair cost greater than $75,000.

Chief Financial Officer- Summary Report

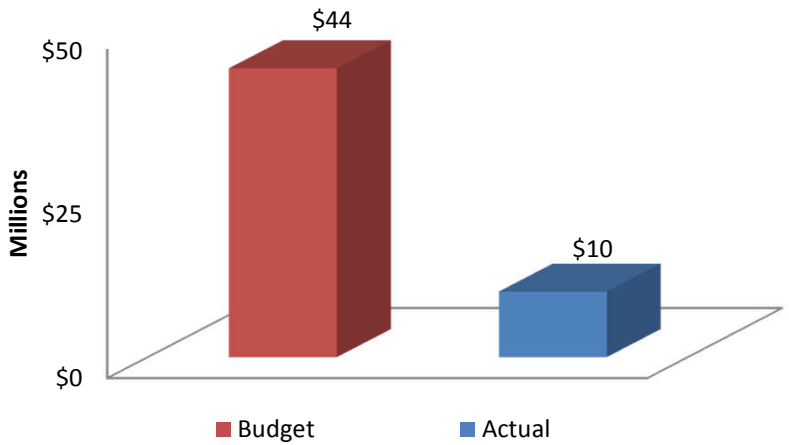
Two Months Ended

February 28, 2015

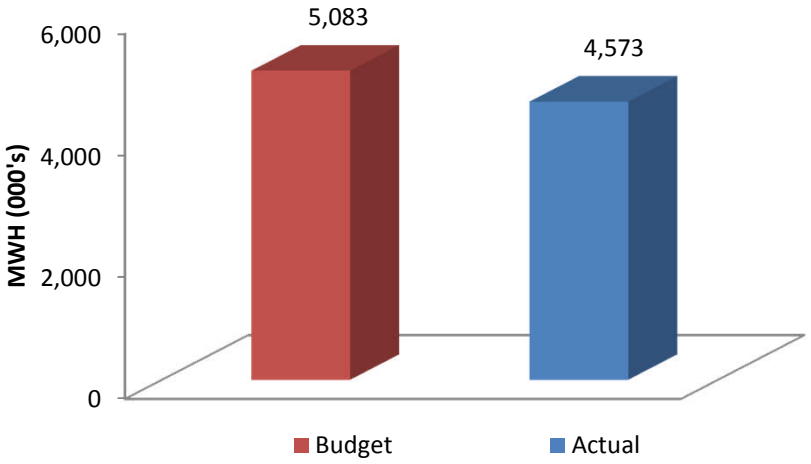
New York Power Authority

YTD February 2015

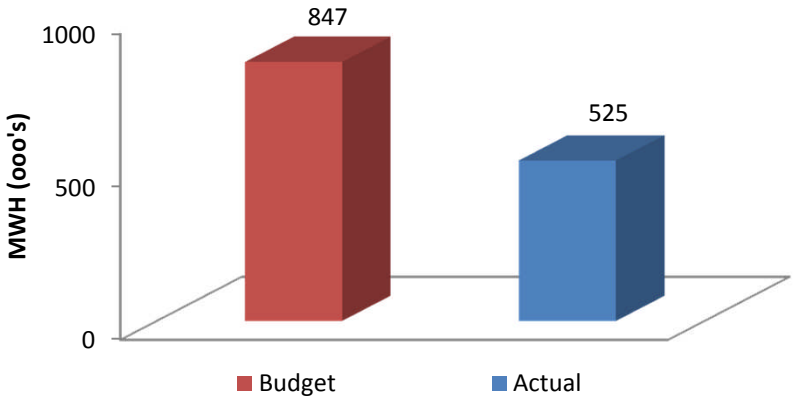
Net Income



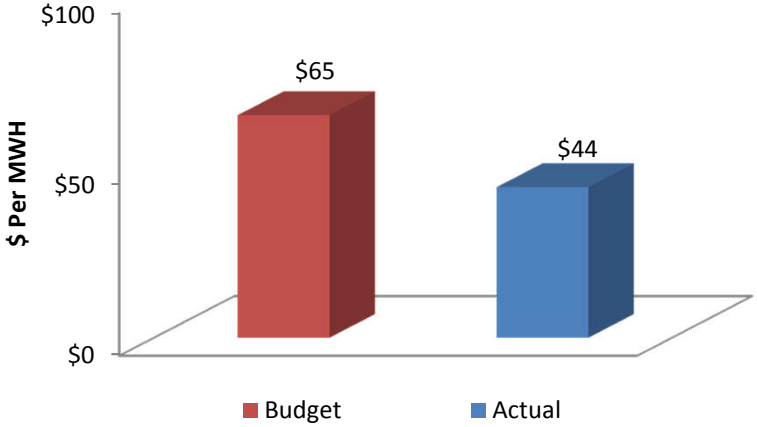
Net Generation



NYISO Sales Zone A MWH



NYISO Sales Zone A Prices



Highlights

- Net income for the two months ended February 28, 2015 was $9.9 million, which was $34.4 million lower than budgeted due primarily to a lower net margin on sales ($53.7 million), partially offset by lower other operating expenses ($8.3 million), lower operations and maintenance expenses ($7.8 million) and higher non-operating income ($5.1 million).

- Margins on market-based sales were lower than budgeted primarily at Niagara ($33.9 million), St. Lawrence ($7 million) and the SCPP's ($6.8 million) due to lower energy revenues resulting from significantly lower prices, and the impact of 17% lower Niagara production caused by low precipitation and less than normal ice thaw.

- Other operating and operations and maintenance expenses reflected early year timing differences. Non-operating income included a mark-to-market gain on the Authority's investment portfolio ($3.0 million) due to lower than expected market interest rates.

- As authorized by the Trustees in February 2015, the Authority made a $42 million voluntary contribution to the Empire State Development Corp. in support of the Open for Business economic development initiative. This payment was included in the January budget.

Exhibit “A”

Benefits to North Country to Be Provided by NYPA

- The Northern New York Power Proceeds Allocation Act (“NNYPPAA”) establishes the “Northern New York Economic Development Fund” using the “net earnings” from the sale of “St. Lawrence County Economic Development Power,” the value of which is estimated at two million dollars per year ($2 million/year), and thereafter the allocation of “fund benefits” to “eligible applicants” for “eligible [economic development] projects,” as such terms are defined in the NNYPPAA.

- Commencing no later than sixty (60) days after approval of the NYPA Board of Trustees, NYPA: (1) shall fund an economic development and strategic marketing and global search study conducted by McKinsey and Company or equivalent firm for the Task Force communities. This study shall require the active participation of local government, economic development, business, and civic leaders from the Task Force communities; and (2) thereafter, for a period of up to five (5) years, NYPA as part of that study will fund the services of McKinsey and Company or equivalent firm to assist the Task Force communities’ local government, economic development, business, and civic leaders, as necessary and appropriate with a national and international marketing and search effort to identify and secure the development of business and industry in the Task Force communities. The firm will be selected in accordance with NYPA procurement procedures. Funding for this study will be up to $5 million.

- Commencing after approval of the NYPA Board of Trustees and continuing for a period of three (3) years, (subject to annual ratification by the NYPA Board of Trustees) during Alcoa’s current reduced purchases of “Preservation Power,” NYPA shall reduce electric costs for businesses and farms in St. Lawrence, Jefferson and Franklin counties by a combined total of ten million dollars per year ($10 million/yr.). Forty percent (40%) of the annual saving shall accrue to the benefit of St. Lawrence County businesses and farms.

- Commencing no later than sixty (60) days after approval by the NYPA Board of Trustees, NYPA shall initiate a BuildSmartNY energy efficiency and renewable energy savings program and grant fund for eligible Task Force communities government and school buildings in the amount of one and a half million dollars ($1.5 million). NYPA will fund energy audits as needed for buildings participating in the energy efficiency and renewable energy savings program from the $1.5 million grant fund. The target date to

complete the NYPA-funded audits is within three (3) years of NYPA Board approval, and the target date to complete any recommended and qualifying installations or upgrades is within seven (7) years of NYPA Board approval. The buildings eligible to participate in the energy efficiency and renewable energy savings program are identified in Exhibit 2 of the LGTF correspondence of December 8, 2014. Following completion and review of the NYPA-funded audit, qualifying installations or upgrades identified by the audit shall be eligible for additional funding from the existing NYPA efficiency and renewable energy savings program and grant fund. An installation or upgrade shall be eligible if it provides for no- or low-cost operational improvements, retro commissioning, capital energy efficiency retrofits, on-site renewable high efficiency, combined heat and power, renewable energy or other measures identified by the NYPA central management and implementation team that are otherwise consistent with the Executive Order 88 BuildsmartNY guidelines.

- Commencing with the completion of NYPA's current on-going study and evaluation of emergency response capabilities at each of NYPA's existing projects and facilities or Approval by NYPA's Board of Trustees whichever comes later, NYPA shall provide, funding and support that is appropriate and consistent with the results of the aforementioned study for emergency response infrastructure and services to: (1) Massena Memorial Hospital, with respect to emergency room services; (2) the Louisville Company #2, Massena and Waddington fire departments; (3) the Massena and Waddington rescue squads; and (4) the St Lawrence County Hazardous Materials Response Team and Dive Team. The level of funding for the capital improvements and services will be determined by the study.

- By the end of calendar year 2015 NYPA will: (1) (a) evaluate the appropriate changes to the Iroquois Dam road located in the Town of Waddington, New York so as to allow use and passage by emergency vehicles and authorized users of the adjoining lands, and move to implement said changes as mutually agreed by the Town and NYPA; and (2) complete all agreed to and necessary improvements to the emergency access road for the community located on Wilson Hill Island and to be located on the dike separating the east and west pools of the Wilson Hill Wildlife Management Area, including: paving, 24-foot wide emergency access road atop this structure to connect the west end of Wilson Hill Island with the mainland; openings in guard rails along both shoulders will be included to assure access to dikes for maintenance purposes; crest elevation of the new dike and roadway will be at elevation 245 feet (IGLD 1955); access to both ends of the road will be controlled by a gate and automatic control device that will facilitate access to the road by emergency vehicles; DEC and the Town of Louisville will be consulted

relative to the control device to be installed; and (3) provide materials for paving projects at Leishman's Point Road and Sucker Brook Overlook.

- NYPA will work with the LGTF communities to identify roads that are used predominately to service and access NYPA facilities. NYPA and the LGTF communities agree that the list of identified roads will evolve over time depending on development patterns and change of use and will adjust the list to reflect the roads used predominately to service and access NYPA facilities. NYPA will provide annual support for the maintenance of the identified roads in an amount not to exceed the annual average cost per mile for the maintenance of other town roads in the communities.

- After approval by the NYPA Board of Trustees, NYPA will hire an independent Recreational Facility Planner before the formal recreational assessment is conducted to develop a suite of proposed recreational improvements that are consistent with the interests expressed by the LGTF. These would be facilities specifically targeted toward increasing recreational boating tourism (including those traveling from Canada or outside the region) and fishing tournaments. Other recreational improvements to be considered in the study will include docking facilities (including year round docking facilities), trails for walking and biking (including trails from Barnhart Island to the Village of Waddington and thereafter along River Road and around Leishman's Point), facilities (*e.g.*, showers, wash rooms, rest rooms, etc.) necessary to support boating tourists and fishing tournaments; access to and recreational use of Ogden Island and all other islands located within the Project Boundary (docking facilities, trails, camping facilities, potential access by roped ferry, etc.) and the need for winter sport recreational activities and the possible need for an indoor facility to support existing and projected demand for such activities.

  NYPA and LGTF will work with the consultant to develop this integrated list of projects. LGTF and NYPA will review and comment on the plan and the resulting conceptual facility designs. There will be mutual agreement on the list. NYPA will include this integrated list of facilities into its recreational assessment and incorporate the recreational assessment into its Recreational Use Report (RUR). The list of proposed facilities will include trails and facilities to support fishing tournaments as supported by the assessment. NYPA will take the lead in working with DEC, USFWS, COE and DOS so as to maximize regulatory cooperation. NYPA will incorporate this plan with any modifications resulting from the 2015 RUR into a proposal to FERC to modify the Project Recreational Plan. The RUR and the application to modify the Project Recreation Plan

will be filed simultaneously in 2015. NYPA will implement the plan after FERC approval. NYPA commits up to $7.5 million for this work.

- NYPA will work with the LGTF, DEC, USFWS and clubs that currently obtaining walleye eggs from DEC to continue the current level of fish hatchery work that is being completed on the St. Lawrence River. In addition, NYPA will work with the same parties to evaluate the potential for establishing imprinted stock in the upstream tributaries of the St. Lawrence River to enhance walleye spawning in these tributaries. The work or research necessary to support this effort may qualify for funding from the Fish Enhancement, Mitigation and Research Fund ("FEMRF"), the Future Habitat Improvement Project Fund and/or the St. Lawrence River Research and Education Fund ("SLRREF"). In the event that tributary stocking proves unsuccessful, a study will be conducted to evaluate the efficacy of a conventional fish hatchery to increase the walleye population.

- Within twenty (20) days of NYPA Board approval, NYPA shall pay $286,000 to the Task Force for monies due under the terms of the 2002 Relicensing Settlement Agreement (RSA). The High River Flow Adjustment program established by the 2002 RSA will otherwise continue for the term of the Agreement and NYPA shall adopt and apply the Task Force's calculation methodology for determining when High River Flow Adjustment payments are required under the terms of the 2002 RSA.

- Within 90 days of approval by the NYPA Board of Trustees, NYPA shall provide the Town of Louisville and the Town of Waddington with an update of the status of each parcel removed from the Project Boundary based on the terms of the 2003 FERC license renewal that has not yet been transferred from NYPA to the subject Town or a third-party. NYPA thereafter shall work cooperatively with each Town toward resolving any impediments to a transfer or otherwise manage the subject parcels. With respect to each already transferred parcel, if requested, NYPA shall provide each Town with available information on site boundaries and the location of easements.

- NYPA shall continue the Adjacent Landowner Shoreline Stabilization Program ("ALSP"), without imposing limitation on the length or cost of any individual project. NYPA shall fund the ALSP to a level of $1.75 million. Approximately $1 million of this funding will come from unused monies currently allocated for shoreline stabilization pursuant to the current FERC-approved shoreline stabilization plan. FERC will need to approve the change in the shoreline stabilization plan. The ALSP shall be the subject of further review by the Parties and any mutual agreement reached at that time during the second

10-year review. In return for NYPA continuing the ALSP, the Task Force will support NYPA in any application or filing made with FERC intended to terminate any obligation under the terms of the FERC license and existing implementation plan other than the continuation of the ALSP program.

- Commencing nine months after approval by the NYPA Board of Trustees, NYPA and the Task Force shall meet annually to review the progress NYPA and the LGTF have made in implementing the items listed above.

New York Power Authority **Exhibit "A"**
ReCharge New York Retention Power Allocation Recommendations **March 26, 2015**

Line	Company	City	County	Economic Development Region	IOU	Description	kW Request	kW Recommendation	Jobs Retained	Jobs Created	Total Job Commitment	Capital Investment ($)		Contract Term (years)
1	Schweitzer-Mauduit International, Inc.	Ancram	Columbia	Capital District	CHUD	Producer of paper products for cigars	1,428	710	65	0	65	$3,000,000		7
2	Von Roll USA, Inc.	Schenectady	Schenectady	Capital District	NGRID	Produces high and low voltage insulating materials	1,026	510	155	0	155	$4,000,000	(1)	7
	Capital District Region Sub-totals:						**2,454**	**1,220**	**220**	**0**	**220**	**$7,000,000**		
3	Auburn Community Hospital	Auburn	Cayuga	Central New York	NYSEG	Hospital & healthcare services	131	40	560	0	560	$5,000,000		7
4	Weaver Machine & Tool Co., Inc.	Auburn	Cayuga	Central New York	NYSEG	Metal machine shop for aerospace industry	165	80	15	0	15	$500,000		7
	Central New York Region Sub-totals:						**296**	**120**	**575**	**0**	**575**	**$5,500,000**		
5	Complemar Partners, Inc.	Rochester	Monroe	Finger Lakes	RGE	Supplier of various business services	1,173	586	100	0	100	$600,000		7
6	G.W. Lisk Company, Inc.	Clifton Springs	Ontario	Finger Lakes	NYSEG	Manufacturer of custom solenoids	2,685	1,340	600	0	600	$5,000,000	(1)	7
7	Muller Quaker Dairy LLC	Batavia	Genesee	Finger Lakes	NGRID	Manufacturer of yogurt & dairy products	2,624	1,310	145	35	180	$10,000,000		7
	Finger Lakes Region Sub-totals:						**6,482**	**3,236**	**845**	**35**	**880**	**$15,600,000**		
8	Beach Terrace Care Center, Inc.	Long Beach	Nassau	Long Island	LIPA	Rehabilitation and nursing center	163	56	129	0	129	$250,000		7
9	Creative Bath Products, Inc.	Central Islip	Suffolk	Long Island	LIPA	Manufacturer of injected molded bath-related items	1,100	456	200	0	200	$1,000,000	(1)	7
10	East/West Industries, Inc.	Ronkonkoma	Suffolk	Long Island	LIPA	Manufacturer of aircraft seats and life support systems	97	46	43	0	43	$194,200		7
11	Evans & Paul Unlimited Corp.	Plainview	Nassau	Long Island	LIPA	Manufacturer of solid surface products	175	70	45	0	45	$400,000	(1)	7
12	Eyeking, LLC	Melville	Suffolk	Long Island	LIPA	Manufacturer of designer sunglasses	44	20	70	0	70	$500,000	(1)	7
13	Grandell Rehabilitation & Nursing Center, Inc.	Long Beach	Nassau	Long Island	LIPA	Rehabilitation and nursing center	230	90	208	0	208	$1,500,000		7
14	G.S.E. Dynamics, Inc.	Hauppauge	Suffolk	Long Island	LIPA	Manufacturer of military structures & assemblies	552	256	56	0	56	$500,000		7
15	Oceanside Care Center, Inc.	Oceanside	Nassau	Long Island	LIPA	Rehabilitation and nursing center	114	56	82	0	82	$500,000		7
16	Parfuse Corporation	Westbury	Nassau	Long Island	LIPA	Produces aluminum dip brazed assemblies	280	136	29	0	29	$150,000		7
17	Precision Metals Corp.	Bayshore	Suffolk	Long Island	LIPA	Manufacturer of machined metal parts	109	46	42	0	42	$203,000		7
18	Queens Nassau Nursing Home, Inc.	Far Rockaway	Nassau	Long Island	LIPA	Rehabilitation and nursing center	515	160	165	0	165	$500,000		7
19	RSM Electron Power, Inc.	Deer Park	Suffolk	Long Island	LIPA	Manufactures semiconductors and microelectronics	492	246	61	0	61	$750,000	(1)	7

New York Power Authority
ReCharge New York Retention Power Allocation Recommendations

Exhibit "A"
March 26, 2015

Line	Company	City	County	Economic Development Region	IOU	Description	kW Request	kW Recommendation	Jobs Retained	Jobs Created	Total Job Commitment	Capital Investment ($)		Contract Term (years)
20	RSM Electron Power, Inc.	Hauppauge	Suffolk	Long Island	LIPA	Manufactures semiconductors and microelectronics	319	156	76	0	76	$750,000		7
	Long Island Region Sub-totals:						**4,190**	**1,794**	**1,206**	**0**	**1,206**	**$7,197,200**		
21	Lady M Confections Co., Ltd.	New York	New York	New York City	CONED	Producer of baked goods	40	20	53	0	53	$175,000		7
	New York City Region Sub-totals:						**40**	**20**	**53**	**0**	**53**	**$175,000**		
	Totals							**6,390**	**2,899**	**35**	**2,934**	**$35,472,200**		

(1) These companies are also recommended for expansion-related allocations of RNY for separate and distinct job creation and capital investment commitments associated with proposed business expansions.

New York Power Authority
ReCharge New York Expansion Power Allocation Recommendations

Exhibit "B"
March 26, 2015

Line	Company	City	County	Economic Development Region	IOU	Description	kW Request	kW Recommendation (1)	Base Employment (3)	Job Creation Commitment	Project Capital Investment ($)		Contract Term (years)
1	Hudson Valley Beverage Co. Inc.	Elizaville	Columbia	Capital District	NGRID	Brewing company	219	150	2	3	$650,000	(3)	7
2	Von Roll USA, Inc.	Schenectady	Schenectady	Capital District	NGRID	Produces high and low voltage insulating materials	200	140	155	18	$21,000,000	(2)	7
	Capital District Region Sub-totals:							**290**	**157**	**21**	**$21,650,000**		
3	G.W. Lisk Company, Inc.	Clifton Springs	Ontario	Finger Lakes	NYSEG	Manufacturer of custom solenoids	650	456	600	100	$10,000,000	(2)	7
	Finger Lakes Region Sub-totals:							**456**	**600**	**100**	**$10,000,000**		
4	Century Direct, LLC	Islandia	Suffolk	Long Island	LIPA	Commercial printing & data management	367	256	312	75	$4,200,000	(3)	7
5	Creative Bath Products, Inc.	Central Islip	Suffolk	Long Island	LIPA	Manufacturer of injected molded bath-related items	200	140	200	10	$10,000	(2)	7
6	Evans & Paul Unlimited Corp.	Plainview	Nassau	Long Island	LIPA	Manufacturer of solid surface products	240	166	45	125	$3,500,000	(2)	7
7	Eyeking, LLC	Melville	Suffolk	Long Island	LIPA	Manufacturer of designer sunglasses	80	56	70	12	$120,000	(2)	7
8	RSM Electron Power, Inc.	Deer Park	Suffolk	Long Island	LIPA	Manufactures semiconductors and microelectronics	100	70	61	4	$500,000	(2)	7
	Long Island Region Sub-totals:							**688**	**688**	**226**	**$8,330,000**		
	Totals							**1,434**	**314**	**347**	**$39,980,000**		

(1) All Allocations are recommended to be up to the amount indicated based on the companies' fulfillment of capital spending, job creation, and new electric load consistent with the ratio of recommended to requested amount.
(2) These companies are also recommended for retention-related allocations of RNY for separate and distinct job retention and capital investment commitments associated with retaining their existing businesses.
(3) New jobs committed must be above the base employment level; the base employment total includes only retained jobs not associated with another allocation; specifically Hudson Valley Beverage Co. Inc. & Century Direct, LLC.

New York Power Authority
ReCharge New York Power Program
Ineligible Applicants and/or Projects

Exhibit "C"
March 26, 2015

Line	Company	City	County	Economic Development Region	IOU	Description	Reason
1	Arts Center and Theatre of Schenectady, Inc	Schenectady	Schenectady	Capital District	NGRID	Theatre and arts center	Retail business, including entertainment
2	Cortland Sports Complex, Inc, operating as J.M. McDonald Sports Complex	Cortland	Cortland	Central New York	NGRID	Sports and recreation center	Retail business, including sports venue and entertainment.

New York Power Authority **Exhibit "D"**
ReCharge New York Power Program **March 26, 2015**
Applications Not Considered or Not Recommended

Line	Company	City	County	Economic Development Region	IOU	Description	Reason
1	Amber Water LLC	Walker Valley	Ulster	Mid-Hudson	NYSEG	Spring water bottling plant	Withdrawn
2	East Coast Assistance Dogs, Inc.	Brewster	Putnam	Mid-Hudson	CONED	Service dog training facility	Withdrawn
3	MultiDyne Electronics, Inc.	Locust Valley	Nassau	Long Island	LIPA	Fiber-optic based transport systems	Not responsive
4	Proliant Dairy, Inc.	Johnstown	Fulton	Mohawk Valley	NGRID	Production of acid whey by-product	Withdrawn
5	Sustainable Waste Power Systems, Inc.	Kingston (Town of Ulster)	Ulster	Mid-Hudson	CHUD	Corporate headquarters for waste to energy systems	Withdrawn
6	The Decorated Cookie Company, LLC	Syracuse	Onondaga	Central New York	NGRID	Producer of decorated cookies	Applicant is served by a municipal electric utility which is not in a position to accept and account for RNY Power to individual customers, and the applicant already enjoys competitive electric rates by virtue of the utility's receipt of low-cost NYPA hydropower.

New York Power Authority
Recommendations for Western New York Hydropower Allocations

Exhibit "A"
March 26, 2015

Exhibit Number	Company Name	Program	City	County	Base Jobs [1]	New Jobs	Estimated Capital Investment	New Jobs Avg. Wage & Benefits	Power Requested (kW)	Power Recommended (kW)	Contract Term
A-1	Pride Pak Canada Ltd.	RP	Medina	Orleans	0	163	$18,230,000	$27,055	1,000	**1,000**	7 Years
	Totals					163	$18,230,000			**1,000**	

(1) Represents employment at time of application or, in the case of existing customer, the higher of existing employment or the highest contract employment commitment

APPLICATION SUMMARY
Replacement Power

Company:	Pride Pak Canada Ltd.
Project Location:	Village of Medina
County:	Orleans
IOU:	National Grid
Business Activity:	Processor of organic fresh cut fruit and vegetables
Project Description:	Applicant is seeking to purchase and renovate the former Bernzomatic building located at 1 Bernzomatic Drive, Medina, NY. Renovations would include installing several production lines to process organic fruit and vegetables for the Wegmans grocery chain.
Existing Allocation(s):	None
Power Request:	1,000 kilowatts ("kW")
Power Recommended:	1,000 kW
Job Commitment:	
Current:	0 jobs
New:	163 jobs
New Jobs/Power Ratio:	163 jobs/megawatt ("MW")
New Jobs - Avg. Wage and Benefits:	$27,055
Capital Investment:	$18.23 million
Capital Investment/MW:	$18.23M/MW
Other ED Incentives:	Applicant has applied for support from Empire State Development Excelsior Program, Orleans County Economic Development Agency and Orleans County Office of Community Renewal.
Summary:	Pride Pak has an opportunity to renovate the 180,000-square-foot former Bernzomatic building on 33.85 acres in Medina, NY to establish a major fruit and vegetable production facility. The Ontario-based company is also exploring a location in Pottsville, Pennsylvania, but is hopeful a state and local incentive package from New York-related entities will provide enough incentive to locate in Orleans County. Pride Pak's plan anticipates further expansion and job growth after completion of this initial project.

Exhibit B
March 26, 2015

POWER AUTHORITY

OF THE

STATE OF NEW YORK

30 South Pearl Street
10th Floor
Albany, New York 12207-3425

AGREEMENT FOR THE SALE OF EXPANSION POWER AND/OR REPLACEMENT POWER to PRIDE PAK CANADA LTD.

The POWER AUTHORITY OF THE STATE OF NEW YORK ("Authority"), created pursuant to Chapter 772 of the New York Laws of 1931 and existing under Title I of Article V of the New York Public Authorities Law ("PAL"), having its office and principal place of business at 30 South Pearl Street, 10th Floor, Albany, New York 12207-3425, hereby enters into this Agreement for the Sale of Expansion Power and/or Replacement Power ("Agreement") with Pride Pak Canada Ltd. ("Customer"), with offices at _____. The Authority and the Customer are from time to time referred to in this Agreement as "Party" or collectively as "Parties" and agree follows:

RECITALS

WHEREAS, the Authority is authorized to sell hydroelectric power produced by the Niagara Power Project, Federal Energy Regulatory Commission ("FERC") Project No. 2216, known as "Expansion Power" (or "EP"), as further defined in this Agreement, to qualified businesses in New York State in accordance with PAL § 1005(5) and (13);

WHEREAS, the Authority is authorized to sell hydroelectric power produced by the Niagara Power Project, FERC Project No. 2216, known as "Replacement Power" (or "RP"), as further defined in this Agreement, to qualified businesses in New York State in accordance with PAL § 1005(5) and (13);

WHEREAS, EP consists of 250 megawatts ("MW") of firm hydroelectric power and associated firm energy produced by the Niagara Power Project;

WHEREAS, RP consists of 445 MW of firm hydroelectric power and associated firm energy produced by the Niagara Power Project;

WHEREAS, the Authority is authorized pursuant to PAL § 1005(13)(a) to award EP and/or RP based on, among other things, the criteria listed in the PAL, including but not limited to an applicant's long-term commitment to the region as evidenced by the current and planned capital investment; the type and number of jobs supported or created by the allocation; and the state, regional and local economic development strategies and priorities supported by local units of governments in the area in which the recipient's facilities are located;

WHEREAS, the Customer applied to the Authority for an allocation of hydropower to support operations at a new and/or expanded facility to be constructed and operated by the Customer (defined in Section I of this Agreement as the "Facility");

WHEREAS, on March 26, 2015, the Authority's Board of Trustees ("Trustees") approved a 1,000 kilowatt ("kW") allocation of RP to the Customer for a seven (7) year term (defined in Section I of this Agreement as the "Allocation") in connection with the construction and operation of the Facility as further described in this Agreement;

WHEREAS, on March 26, 2015, the Trustees authorized the Authority to, among other things, take any and all actions and execute and deliver any and all agreements and other documents necessary to effectuate its approval of the Allocation;

WHEREAS, the provision of Electric Service associated with the Allocation is an

unbundled service separate from the transmission and delivery of power and energy to the Customer, and delivery service will be performed by the Customer's local electric utility in accordance with the Utility Tariff;

WHEREAS, the Parties have reached an agreement on the sale of the Allocation to the Customer on the terms and conditions provided for in this Agreement;

WHEREAS, the Authority has complied with requirements of PAL § 1009 which specifies the approval process for certain contracts negotiated by the Authority; and

WHEREAS, the Governor of the State of New York has approved the terms of this Agreement pursuant to PAL § 1009(3).

NOW THEREFORE, in consideration of the mutual covenants herein, the Authority and the Customer agree as follows:

NOW THEREFORE, the Parties hereto agree as follows:

I. Definitions

A. **Agreement** means this Agreement.

B. **Allocation** refers to the allocation of EP and/or RP awarded to the Customer as specified in Schedule A.

C. **Contract Demand** is as defined in Service Tariff No. WNY-1.

D. **Electric Service** is the Firm Power and Firm Energy associated with the Allocation and sold by the Authority to the Customer in accordance with this Agreement, Service Tariff No. WNY-1 and the Rules.

E. **Expansion Power** (or **EP**) is 250 MW of Firm Power and associated Firm Energy from the Project eligible to be allocated by the Authority for sale to businesses pursuant to PAL § 1005(5) and (13).

F. **Facility** means the Customer's facilities as described in Schedule A to this Agreement.

G. **Firm Power** is as defined in Service Tariff No. WNY-1.

H. **Firm Energy** is as defined in Service Tariff No. WNY-1.

I. **FERC** means the Federal Energy Regulatory Commission (or any successor organization).

J. **FERC License** means the first new license issued by FERC to the Authority for the continued operation and maintenance of the Project, pursuant to Section 15 of the Federal Power Act, which became effective September 1, 2007 after expiration of the Project's original license which became effective in 1957.

K. **Hydro Projects** is a collective reference to the Project and the Authority's St. Lawrence-FDR Project, FERC Project No. 2000.

L. **Load Serving Entity** (or **LSE**) means an entity designated by a retail electricity customer (including the Customer) to provide capacity, energy and ancillary services to serve such customer, in compliance with NYISO Tariffs, rules, manuals and procedures.

M. **NYISO** means the New York Independent System Operator or any successor organization.

N. **NYISO Tariffs** means the NYISO's Open Access Transmission Tariff or the NYISO's Market Administration and Control Area Services Tariff, as applicable, as such tariffs are modified from time to time, or any successor to such tariffs.

O. **Project** means the Niagara Power Project, FERC Project No. 2216.

P. **Replacement Power** (or **RP**) is445 MW of Firm Power and associated Firm Energy from the Project eligible to be allocated by the Authority for sale to businesses pursuant to PAL § 1005(5) and (13).

Q. **Rules** are the applicable provisions of Authority's rules and regulations (Chapter X of Title 21 of the Official Compilation of Codes, Rules and Regulations of the State of New York), as may be modified from time to time by the Authority.

R. **Service Tariff No. WNY-1** means the Authority's Service Tariff No. WNY-1, as may be modified from time to time by the Authority, which contains, among other things, the rate schedule establishing rates and other commercial terms for sale of Electric Service to Customer under this Agreement.

S. **Schedule A** refers to the Schedule A entitled "Expansion Power and/or Replacement Power Allocations" which is attached to and made part of this Agreement.

T. **Schedule B** refers to the Schedule B entitled "Expansion Power and/or Replacement Power Commitments" which is attached to and made part of this Agreement.

U. **Schedule C** refers to the Schedule C entitled "Takedown Schedule" which is attached to and made part of this Agreement.

V. **Substitute Energy** means energy that the Authority provides at the request of the Customer to replace hydroelectricity that would otherwise have been supplied to the Customer under this Agreement. Unless otherwise agreed upon by the Parties, Substitute Energy refers to energy purchased by the Authority for the Customer from markets administered by the NYISO.

W. **Taxes** is as defined in Service Tariff No. WNY-1

X. **Unforced Capacity (or "UCAP")** means the electric capacity required to be provided by LSEs to serve electric load as defined by the NYISO Tariffs, rules, manuals and procedures.

Y. **Utility Tariff** means the retail tariff(s) of the Customer's local electric utility filed and approved by the PSC applicable to the delivery of EP and/or RP.

II. Electric Service

A. The Authority shall make available Electric Service to enable the Customer to receive the Allocation in accordance with this Agreement, Service Tariff No. WNY-1 and the Rules. The Customer shall not be entitled to receive Electric Service under this Agreement for any EP and/or RP allocation unless such EP and/or RP allocation is identified on Schedule A.

B. The Authority will provide, and the Customer shall pay for, Electric Service with respect to the Allocation specified on Schedule A. If Schedule C specifies a Takedown Schedule for the Allocation, the Authority will provide, and the Customer shall take and pay for, Electric Service with respect to the Allocation in accordance with such Takedown Schedule.

C. The Authority shall provide UCAP in amounts necessary to meet the Customer's NYISO UCAP requirements associated with the Allocation in accordance with the NYISO Tariffs. The Customer shall be responsible to pay the Authority for such UCAP in accordance with Service Tariff No. WNY-1.

D. The Customer acknowledges and agrees that Customer's local electric utility shall be responsible for delivering the Allocation to the Facility specified in Schedule A, and that the Authority has no responsibility for delivering the Allocation to the Customer.

E. The Contract Demand for the Customer's Allocation may be modified by the Authority if the amount of Firm Power and Firm Energy available for sale as EP or RP from the Project is modified as required to comply with any ruling, order, or decision of any regulatory or judicial body having jurisdiction, including but not limited to FERC. Any such modification will be made on a pro rata basis to all EP and RP customers, as applicable, based on the terms of such ruling, order, or decision.

F. The Contract Demand may not exceed the Allocation.

III. Rates, Terms and Conditions

A. Electric Service shall be sold to the Customer based on the rates, terms and conditions provided for in this Agreement, Service Tariff No. WNY-1 and the Rules.

B. Notwithstanding any provision of this Agreement to the contrary, the power and energy rates for Electric Service shall be subject to increase by Authority at any time upon 30 days prior written notice to Customer if, after consideration by Authority of its legal obligations, the marketability of the output or use of the Project and Authority's

competitive position with respect to other suppliers, Authority determines in its discretion that increases in rates obtainable from any other Authority customers will not provide revenues, together with other available Authority funds not needed for operation and maintenance expenses, capital expenses, and reserves, sufficient to meet all requirements specified in Authority's bond and note resolutions and covenants with the holders of its financial obligations. Authority shall use its best efforts to inform Customer at the earliest practicable date of its intent to increase the power and energy rates pursuant to this provision. Any rate increase to Customer under this subsection shall be on a non-discriminatory basis as compared to other Authority customers after giving consideration to the factors set forth in the first sentence of this subsection. With respect to any such increase, Authority shall forward to Customer with the notice of increase, an explanation of all reasons for the increase, and shall also identify the sources from which Authority will obtain the total of increased revenues and the bases upon which Authority will allocate the increased revenue requirements among its customers. Any such increase in rates shall remain in effect only so long as Authority determines such increase is necessary to provide revenues for the purposes stated in the preceding sentences.

IV. Expansion Power and/or Replacement Power Commitments

A. Schedule B sets forth the Customer's specific "Expansion Power and/or Replacement Power Commitments." The commitments agreed to in Schedule B are in addition to any other rights and obligations of the Parties provided for in the Agreement.

B. The Authority's obligation to provide Electric Service under this Agreement, and the Customer's obligation to take and pay for such Electric Service, are expressly conditioned upon the Customer's timely completion of the commitments described in Schedule B.

C. In the event of partial completion of the Facility which has resulted in such Facility being partly operational and the partial attainment of the Base Employment Level, the Authority may, upon the Customer's request, provide Electric Service to the Customer in an amount determined by the Authority to fairly correspond to the completed portion of the Facility, provided that the Customer demonstrates that the amount of requested Electric Service is needed to support the operations of the partially completed Facility.

D. The Customer shall give the Authority not less than ninety (90) days' advance notice in writing of the anticipated date of partial or full completion of the Facility. The Authority will inspect the Facility for the purpose of verifying the completion status of the Facility and notify Customer of the results of the inspection. The Authority will thereafter commence Electric Service within a reasonable time after verification based on applicable operating procedures of the Authority, the Customer's local electric utility and the NYISO.

E. In the event the Customer fails to complete the Facility by March 26, 2018 (*i.e.*, within three (3) years of the Authority's award of the Allocation), the Allocation, at the option and discretion of the Authority, may be canceled or reduced by the total amount of kilowatts determined by the Authority to fairly correspond to the uncompleted portion of the Facility, provided that in such event, and upon request of the Customer, such date may be extended by the Authority in its sole discretion.

V. Rules and Service Tariff

Service Tariff No. WNY-1, as may be modified or superseded from time to time by the Authority, is hereby incorporated into this Agreement with the same force and effect as if set forth herein at length. In the event of any inconsistencies, conflicts, or differences between the provisions of Service Tariff No.WNY-1 and the Rules, the provisions of Service Tariff No. WNY-1 shall govern. In the event of any inconsistencies, conflicts or differences between the provisions of this Agreement and Service Tariff No. WNY-1, the provisions of this Agreement shall govern.

VI. Transmission and Delivery of Firm Power and Firm Energy; Responsibility for Charges

A. The Customer shall be responsible complying with all requirements of its local electric utility that are necessary to enable the Customer to receive delivery service for the Allocation. Delivery of the Allocation shall be subject to the Utility Tariff.

B. The Customer shall be solely responsible for paying its local electric utility for delivery service associated with the Allocation in accordance with the Utility Tariff. Should the Authority incur any charges associated with such delivery service, the Customer shall reimburse the Authority for all such charges.

C. The Customer understands and acknowledges that delivery of the Allocation will be made over transmission facilities under the control of the NYISO. The Authority will act as the LSE with respect to the NYISO, or arrange for another entity to do so on the Authority's behalf. The Customer agrees and understands that it shall be responsible to the Authority for all costs incurred by the Authority with respect to the Allocation for the services established in the NYISO Tariff, or other applicable tariff ("NYISO Charges"), as set forth in Service Tariff No. WNY-1 or any successor service tariff, regardless of whether such NYISO Charges are transmission-related. Such NYISO Charges shall be in addition to the charges for power and energy.

D. By entering into this Agreement, the Customer consents to the exchange of information between the Authority and the Customer's local electric utility pertaining to the Customer that the Authority and the local electric utility determine is necessary to provide for the Allocation, sale and delivery of EP and/or RP to the Customer, the proper and efficient implementation of the EP and/or RP programs, billing related to EP and/or RP, and/or the performance of such parties' obligations under any contracts or other arrangements between them relating to such matters.

E. The provision of Electric Service by the Authority shall be dependent upon the existence of a written agreement or other form of understanding between the Authority and the Customer's local electric utility on terms and conditions that are acceptable to the Authority.

F. The Customer understands and acknowledges that the Authority may from time to time require the Customer to complete forms, provide documentation, execute consents and provide other information (collectively, "Information") which the Authority determines is necessary for the provision of Electric Service, the delivery of EP and/or RP, billing

related to the EP and/or RP program, the effective and proper administration of the EP and/or RP program, and/or the performance of contracts or other arrangements between the Authority and the Customer's local electric utility. The Customer's failure to provide such Information shall be grounds for the Authority in its sole discretion to withhold or suspend Electric Service to the Customer.

VII. Billing and Billing Methodology

A. The billing methodology for the Allocation shall be determined on a "load factor sharing" basis in a manner consistent with the Utility Tariff and any agreement between the Authority and the Customer's local electric utility. An alternative basis for billing may be used provided the Parties agree in writing and the local electric utility provides its consent if such consent is deemed necessary.

B. The Authority will render bills by the 10th business day of the month for charges due for the previous month. Such bills shall include charges for Electric Service, NYISO Charges associated with the Allocation (subject to adjustment consistent with any later NYISO re-billings to the Authority), and other applicable charges.

C. The Authority may render bills to the Customer electronically.

D. The Authority and the Customer may agree in writing to an alternative method for the rendering of bills and for the payment of bills, including but not limited to the use of an Authority-established customer self-service web portal.

E. The Authority will charge and collect from the Customer all Taxes (including local, state and federal taxes) the Authority determines are applicable, unless the Customer furnishes the Authority with proof satisfactory to the Authority that (i) the Customer is exempt from the payment of any such Taxes, and/or (ii) the Authority is not obligated to collect such Taxes from the Customer. If the Authority is not collecting Taxes from the Customer based on the circumstances described in (i) or (ii) above, the Customer shall immediately inform the Authority of any change in circumstances relating to its tax status that would require the Authority to charge and collect such Taxes from the Customer.

F. Unless otherwise agreed to by the Authority and the Customer in writing, if the Customer fails to pay any bill when due, an interest charge of two percent (2%) of the amount unpaid shall be added thereto as liquidated damages, and thereafter, as further liquidated damages, an additional interest charge of one and one-half percent (1 1/2%) of the sum unpaid shall be added on the first day of each succeeding billing period until the amount due, including interest, is paid in full.

G. Unless otherwise agreed to by the Authority and the Customer in writing, in the event the Customer disputes any item of any bill rendered by Authority, the Customer shall pay such bill in full within the time provided for by this Agreement, and adjustments, if appropriate, will be made thereafter.

H. If at any time after commencement of Electric Service the Customer fails to make complete and timely payment of any two (2) bills for Electric Service, the Authority shall

have the right to require the Customer to deposit with the Authority a sum of money in an amount equal to all charges that would be due under this Agreement for Electric Service for two (2) consecutive calendar months as estimated by the Authority. Such deposit shall be deemed security for the payment of unpaid bills and/or other claims of the Authority against the Customer upon termination of Electric Service. If the Customer fails or refuses to provide the deposit within thirty (30) days of a request for such deposit, the Authority may, in its sole discretion, suspend Electric Service to the Customer or terminate this Agreement.

I. All other provisions with respect to billing are set forth in Service Tariff No. WNY-1 and the Rules.

J. The rights and remedies provided to the Authority in this Article are in addition to any and all other rights and remedies available to Authority at law or in equity.

VIII. Hydropower Curtailments and Substitute Energy

A. If, as a result of reduced water flows caused by hydrologic conditions, there is insufficient energy from the Hydro Projects to supply the full power and energy requirements of the Authority's firm power customers served by the Authority from the Hydro Projects, curtailments (*i.e.* reductions) in the amount of Firm Power and Firm Energy associated with the Allocation to which the Customer is entitled shall be applied on a *pro rata* basis to all firm power and energy customers served from the Hydro Projects, consistent with Service Tariff No. WNY-1 as applicable.

B. The Authority shall provide reasonable notice to Customer of any curtailments referenced in Section VIII.A of this Agreement that could impact Customer's Electric Service under this Agreement. Upon written request by the Customer, the Authority will provide Substitute Energy to the Customer to replace the Firm Power and Firm Energy that would otherwise have been supplied pursuant to this Agreement.

C. For each kilowatt-hour of Substitute Energy supplied by the Authority, the Customer will pay the Authority directly during the billing month: (1) the difference between the market cost of the Substitute Energy and the charge for firm energy as provided for in this Agreement; and (2) any NYISO charges and taxes the Authority incurs in connection with the provision of such Substitute Energy. Billing and payment for Substitute Energy shall be governed by the Billing and Payments provision of the Authority's Rules (Section 454.6) and shall apply directly to the Substitute Energy service supplied to the Customer.

D. The Parties may enter into a separate agreement to facilitate the provision of Substitute Energy, provided, however, that the provisions of this Agreement shall remain in effect notwithstanding any such separate agreement. The provision of Substitute Energy may be terminated by the Authority or the Customer on fifteen (15) days' prior written notice.

IX. Effectiveness, Term and Termination

A. This Agreement shall become effective and legally binding on the Parties upon execution of this Agreement by the Authority and the Customer.

B. Once commenced, Electric Service under the Agreement shall continue until the earliest of: (1) termination by the Customer with respect to its Allocation upon ninety (90) days prior written notice to the Authority; (2) termination by the Authority pursuant to this Agreement, Service Tariff No. WNY-1, or the Rules; or (3) expiration of the Allocation by its own term as specified in Schedule A.

C. The Customer may exercise a partial termination of the Allocation upon at least thirty (30) days' notice prior written notice to the Authority. The termination shall be effective commencing with the first billing period as defined in Service Tariff No. WNY-1.

D. The Authority may cancel service under this Agreement or modify the quantities of Firm Power and Firm Energy associated with the Allocation: (1) if such cancellation or modification is required to comply with any final ruling, order or decision of any regulatory or judicial body of competent jurisdiction (including any licensing or re-licensing order or orders of the FERC or its successor agency); or (2) as otherwise provided in this Agreement, Service Tariff No. WNY-1, or the Rules.

X. Additional Allocations

A. Upon proper application by the Customer, the Authority may in its discretion award additional allocations of EP or RP to the Customer at such rates and on such terms and conditions as the Authority establishes. If the Customer agrees to purchase Electric Service associated with any such additional allocation, the Authority will (i) incorporate any such additional allocations into Schedule A, or in its discretion will produce a supplemental schedule, to reflect any such additional allocations, and (ii) produce a modified Appendix to Schedule B, as the Authority determines to be appropriate. The Authority will furnish the Customer with any such modified Schedule A, supplemental schedule, and/or a modified Appendix to Schedule B, within a reasonable time after commencement of Electric Service for any such additional allocation.

B. In addition to any requirements imposed by law, the Customer hereby agrees to furnish such documentation and other information as the Authority requests to enable the Authority to evaluate any requests for additional allocations and consider the terms and conditions that should be applicable of any additional allocations.

XI. Notification

A. Correspondence involving the administration of this Agreement shall be addressed as follows:

To: The Authority

New York Power Authority

123 Main Street
White Plains, New York 10601
Email:
Facsimile: _____
Attention: Manager – Business Power Allocations and Compliance

To: The Customer

[_____-]
Pride Pak Canada Ltd.
[_____]
[_____]
Email:
Facsimile:
Attention:

The foregoing notice/notification information pertaining to either Party may be changed by such Party upon notification to the other Party pursuant to Section XI.B of this Agreement.

B. Except where otherwise herein specifically provided, any notice, communication or request required or authorized by this Agreement by either Party to the other shall be deemed properly given: (1) if sent by U.S. First Class mail addressed to the Party at the address set forth above; (2) if sent by a nationally recognized overnight delivery service, two (2) calendar days after being deposited for delivery to the appropriate address set forth above; (3) if delivered by hand, with written confirmation of receipt; (4) if sent by facsimile to the appropriate fax number as set forth above, with written confirmation of receipt; or (5) if sent by electronic mail to the appropriate address as set forth above, with written confirmation of receipt. Either Party may change the addressee and/or address for correspondence sent to it by giving written notice in accordance with the foregoing.

XII. Applicable Law

This Agreement shall be governed by and construed in accordance with the laws of the State of New York to the extent that such laws are not inconsistent with the FERC License and the Niagara Redevelopment Act (16 USC §§836, 836a).

XIII. Venue

Each Party consents to the exclusive jurisdiction and venue of any state or federal court within or for Albany County, New York, with subject matter jurisdiction for adjudication of any claim, suit, action or any other proceeding in law or equity arising under, or in any way relating to this Agreement.

XIV. Successors and Assigns; Resale of Hydropower

A. The Customer may not assign or otherwise transfer an interest in this Agreement.

B. The Customer may not resell or allow any other person to use any quantity of EP and/or RP it has purchased from the Authority under this Agreement.

C. Electric Service sold to the Customer pursuant to this Agreement may only be used by the Customer at the Facility specified in Schedule A.

XV. Previous Agreements and Communications

A. This Agreement shall constitute the sole and complete agreement of the Parties hereto with respect to the subject matter hereof, and supersedes all prior negotiations, representations, warranties, commitments, offers, contracts and writings, written or oral, with respect to the subject matter hereof.

B. Except as otherwise provided in this Agreement, no modification of this Agreement shall be binding upon the Parties hereto or either of them unless such modification is in writing and is signed by a duly authorized officer of each of them.

XVI. Severability and Voidability

A. If any term or provision of this Agreement shall be invalidated, declared unlawful or ineffective in whole or in part by an order of the FERC or a court of competent jurisdiction, such order shall not be deemed to invalidate the remaining terms or provisions hereof.

B. Notwithstanding the preceding paragraph, if any provision of this Agreement is rendered void or unenforceable or otherwise modified by a court or agency of competent jurisdiction, the entire Agreement shall, at the option of either Party and only in such circumstances in which such Party's interests are materially and adversely impacted by any such action, be rendered void and unenforceable by such affected Party.

XVII. Waiver

A. Any waiver at any time by either the Authority or the Customer of their rights with respect to a default or of any other matter arising out of this Agreement shall not be deemed to be a waiver with respect to any other default or matter.

B. No waiver by either Party of any rights with respect to any matter arising in connection with this Agreement shall be effective unless made in writing and signed by the Party making the waiver.

XVIII. Execution

To facilitate execution, this Agreement may be executed in as many counterparts as may be required, and it shall not be necessary that the signatures of, or on behalf of, each Party, or that the signatures of all persons required to bind any Party, appear on each counterpart; but it shall be sufficient that the signature of, or on behalf of, each Party, or that the signatures of the persons required to bind any Party, appear on one or more of the counterparts. All counterparts shall collectively constitute a single agreement. It shall

not be necessary in making proof of this Agreement to produce or account for more than a number of counterparts containing the respective signatures of, or on behalf of, all of the Parties hereto. The delivery of an executed counterpart of this Agreement by email as a PDF file shall be legal and binding and shall have the same full force and effect as if an original executed counterpart of this Agreement had been delivered.

[SIGNATURES FOLLOW ON NEXT PAGE]

AGREED:

PRIDE PAK CANADA LTD.

By: _____

Title: _____

Date: _____

AGREED:

POWER AUTHORITY OF THE STATE OF NEW YORK

By: _____
John R. Koelmel, Chairman

Date: _____

SCHEDULE A TO AGREEMENT FOR THE SALE OF EXPANSION POWER AND/OR REPLACEMENT POWER TO CUSTOMER

EXPANSION POWER AND/OR REPLACEMENT POWER ALLOCATIONS

Customer: Pride Pak of Canada, Ltd. Type of Allocation	Allocation Amount (kW)	Facility	Trustee Approval Date	Expiration Date
Replacement Power	1,000	1 Bernzomatic Drive Medina, NY 14103	March 26, 2015	Seven (7) years from commencement of Electric Service of any portion of this Allocation.

SCHEDULE B TO AGREEMENT FOR THE SALE OF EXPANSION POWER AND/OR REPLACEMENT POWER TO CUSTOMER

EXPANSION POWER AND/OR REPLACEMENT POWER COMMITMENTS

I. Employment Commitments

A. Employment Levels

The provision of EP and/or RP to the Customer hereunder is in consideration of, among other things, the Customer's creation and/or maintenance of the employment level set forth in the Appendix of this Schedule (the "Base Employment Level"). Such Base Employment Level shall be the total number of full-time positions held by: (1) individuals who are employed by the Customer at Customer's Facility identified in the Appendix to this Schedule, and (2) individuals who are contractors or who are employed by contractors of the Customer and assigned to the Facility identified in such Appendix (collectively, "Base Level Employees"). The number of Base Level Employees shall not include individuals employed on a part-time basis (less than 35 hours per week); provided, however, that two individuals each working 20 hours per week or more at such Facility shall be counted as one Base Level Employee.

The Base Employment Level shall not be created or maintained by transfers of employees from previously held positions with the Customer or its affiliates within the State of New York, except that the Base Employment Level may be filled by employees of the Customer laid off from other Customer facilities for *bona fide* economic or management reasons.

The Authority may consider a request to change the Base Employment Level based on a claim of increased productivity, increased efficiency or adoption of new technologies or for other appropriate reasons as determined by the Authority. Any such change shall be within Authority's sole discretion.

B. Employment Records and Reports

A record shall be kept monthly by the Customer, and provided on a calendar year basis to the Authority, of the total number of Base Level Employees who are employed at or assigned to the Customer's Facility identified in the Appendix to this Schedule, as reported to the United States Department of Labor (or as reported in such other record as agreed upon by the Authority and the Customer). Such report shall separately identify the individuals who are employed by the Customer, and the individuals who are contractors or who are employed by contractors of the Customer, and shall be certified to be correct by an officer of the Customer, plant manager or such other person authorized by the Customer to prepare and file such report and shall be provided to the Authority on or before the last day of February following the end of the most recent calendar year. The Authority shall have the right to examine and audit on reasonable advance written notice

all non-confidential written and electronic records and data concerning employment levels including, but not limited to, personnel records and summaries held by the Customer and its affiliates relating to employment in New York State.

II. Reductions of Contract Demand

A. Employment Levels

If the year-end monthly average number of employees is less than 90% of the Base Employment Level set forth in this Schedule B, for the subject calendar year, the Authority may reduce the Contract Demand subject to Article II.D of this Schedule. The maximum amount of reduction will be determined by multiplying the Contract Demand by the quantity one minus the quotient of the average monthly employment during the subject calendar year divided by the Base Employment Level. Any such reduction shall be rounded to the nearest fifty (50) kW. In the event of a reduction of the Contract Demand to zero, the Agreement shall automatically terminate.

B. Power Utilization Levels

A record shall be kept monthly by the Customer, and provided on a calendar year basis to the Authority on or before the last day of February following the end of the most recent calendar year, of the maximum demand utilized each month in the Facility receiving the power covered by the Agreement. If the average of the Customer's six (6) highest Billing Demands (as such term is described in Service Tariff No. WNY-1) for Expansion Power and/or Replacement Power is less than 90% of the Customer's Contract Demand in such calendar year the Authority may reduce the Contract Demand subject to Article II.D of this Schedule. The maximum amount by which the Authority may reduce the Contract Demand shall be determined by multiplying the Contract Demand by the quantity one minus the quotient of the average of the six (6) highest Billing Demands for in such calendar year divided by the Contract Demand. Any such reduction shall be rounded to the nearest fifty (50) kW. In the event of a reduction of the Contract Demand to zero, this Agreement shall automatically terminate.

C. Capital Investment

The Customer agrees to undertake the capital investment set forth in the Appendix to this Schedule.

Notwithstanding any other provision of the Agreement, the Customer shall provide the Authority with such access to the Facility, and such documentation, as the Authority deems necessary to determine the Customer's compliance with the Customer's obligations provided for in this Schedule B.

D. Notice of Intent to Reduce Contract Demand

In the event that the Authority determines that the Contract Demand will be wholly or partially reduced pursuant to this Schedule , the Authority shall provide the Customer with at least thirty (30) days prior written notice of such reduction, specifying the amount of the reduction of Contract Demand and the reason for the reduction, provided, however, that before making the reduction, the Authority may consider the Customer's scheduled or unscheduled maintenance or Facility upgrading periods when such events temporarily reduce plant employment levels or electrical demand as well as business cycle.

III. Energy Efficiency Audits; Information Requests

Unless otherwise agreed to by the Authority in writing, the Customer shall undergo an energy efficiency audit of its Facility and equipment at which the Allocation is consumed at the Customer's expense at least once during the term of this Agreement but in any event not less than once every five years. The Customer will provide the Authority with a copy of the audit or, at the Authority's option, a report describing the results of the audit, and provide documentation requested by the Authority to verify the implementation of any efficiency measures implemented at the Facility.

The Customer agrees to cooperate to make its Facility available at reasonable times and intervals for energy audits and related assessments that the Authority desires to perform, if any, at the Authority's own expense.

The Customer shall provide information requested by the Authority or its designee in surveys, questionnaires and other information requests relating to energy efficiency and energy-related projects, programs and services.

The Customer may, after consultation with the Authority, exclude from written copies of audits, reports and other information provided to the Authority under this Article trade secrets and other information which if disclosed would harm the competitive position of the Customer.

APPENDIX TO SCHEDULE B

BASE EMPLOYMENT LEVEL

Within three (3) years of commencement of Electric Service, the Customer shall employ at least one hundred sixty-three (163) full-time employees ("Base Employment Level") at the Customer's Facility. The Base Employment Level shall be maintained thereafter for the term of the Allocation in accordance with Article I of Schedule B.

CAPITAL INVESTMENT

The Customer shall make a total capital investment of at least $18,230,000 to renovate and furnish the Facility (the "Capital Investment"). The Capital Investment for the Facility is expected to consist of the following specific expenditures:

Renovations, Equipment, Machinery:	$18,230,000
Total Capital Investment:	**$18,230,000**

The Capital Investment shall be made, and the Facility shall be completed and fully operational, no later than March 26, 2018 (*i.e.*, within three (3) years of the date of the Authority's award of the Allocation). Upon request of the Customer, such date may be extended in the sole discretion of the Authority.

SCHEDULE C TO AGREEMENT FOR THE SALE OF EXPANSION POWER AND/OR REPLACEMENT POWER TO CUSTOMER

TAKEDOWN SCHEDULE

N/A

POWER AUTHORITY OF THE STATE OF NEW YORK
30 SOUTH PEARL STREET
ALBANY, NY 12207

Schedule of Rates for Sale of Firm Power to Expansion and Replacement Customers located In Western New York

Service Tariff No. WNY-1

Date of Issue: September 24, 2013 Date Effective: October 2013 Billing Period

Issued by James F. Pasquale, Senior Vice President
Power Authority of the State of New York
30 South Pearl Street, Albany, NY 12207

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Schedule of Rates for Firm Power Service

I. Applicability

To sales of Expansion Power and/or Replacement Power (as defined below) directly to a qualified business Customer (as defined below) for firm power service.

II. Abbreviations and Terms

- kW kilowatt(s)
- kW-mo. kilowatt-month
- kWh kilowatt-hour(s)
- MWh megawatt-hour(s)
- NYISO New York Independent System Operator, Inc. or any successor organization
- PAL New York Public Authorities Law
- OATT Open Access Transmission Tariff

Agreement: An executed "Agreement for the Sale of Expansion and/or Replacement Power and Energy" between the Authority and the Customer (each as defined below).

Annual Adjustment Factor or **AAF**: This term shall have the meaning set forth in Section V herein.

Authority: The Power Authority of the State of New York, a corporate municipal instrumentality and a political subdivision of the State of New York created pursuant to Chapter 772 of the New York Laws of 1931 and existing and operating under Title 1 of Article 5 of the PAL, also known as the "New York Power Authority."

Customer: A business customer who has received an allocation for Expansion Power and/or Replacement Power from the Authority and who purchases Expansion Power and/or Replacement Power directly from the Authority.

Electric Service: The power and energy provided to the Customer in accordance with the Agreement, this Service Tariff and the Rules.

Expansion Power and/or **Replacement Power**: Firm Power and Firm Energy made available under this Service Tariff by the Authority from the Project for sale to the Customer for business purposes pursuant to PAL § 1005(5) and (13).

Firm Power: Capacity (kW) that is intended to be always available from the Project subject to the curtailment provisions set forth in the Agreement between the Authority and the Customer and this Service Tariff. Firm Power shall not include peaking power.

Firm Energy: Energy (kWh) associated with Firm Power.

Load Serving Entity or **LSE**: This term shall have the meaning set forth in the Agreement.

Load Split Methodology or **LSM**: A load split methodology applicable to a Customer's allocation. It is usually provided for in an agreement between the Authority and the Customer's local electric utility, an agreement between the Authority and the Customer, or an agreement between the Authority, the Customer and the Customer's local electric utility, or such local utility's tariff, regarding the delivery of WNY Firm Power. The load split methodology is often designated as "Load Factor Sharing" or "LFS" , "First through the Meter" or "FTM", "First through the Meter Modified" or "FTM Modified", or "Replacement Power 2" or "RP 2".

Project: The Authority's Niagara Power Project, FERC Project No. 2216.

Rate Year or **RY**: The period from July 1 through June 30 starting July 1, 2013, and for any year thereafter.

Rules: The Authority's rules and regulations set forth in 21 NYCRR § 450 *et seq.*, as they may be amended from time to time.

Service Tariff: This Service Tariff No. WNY-1.

Target Rate: This term shall have the meaning set forth in Section III herein.

All other capitalized terms and abbreviations used but not defined herein shall have the same meaning as set forth in the Agreement.

III. Monthly Rates and Charges

A. Expansion Power (EP) and Replacement Power (RP) Base Rates

Beginning on July 1, 2013, there will be a 3-year phase-in to new base rates. The phase-in will be determined by the rate differential between the 2012 EP/RP rates and a "Target Rate." The Target Rate, specified in Section III.A.1. below, is based on the rates determined by the Authority to be applicable in RY 2013 for sales of "preservation power" as that term is defined in PAL § 1005(13). The following Sections III.A.1-4 describe the calculation and implementation of the phase-in.

1. The initial rate point will be established by the EP/RP rates ($/kW and $/MWh), determined by mid-April 2012 and made effective on May 1, 2012 in accordance with the Authority's then-applicable EP and RP tariffs. The Target Rate (*i.e.* demand and energy rates) for RY 2013 shall be $7.99/kW and $13.66/MWh.

2. The difference between the two rate points is calculated and divided by 3 to correspond with the number of Rate Years over which the phase-in will occur. The resulting quotients (in $/kW and $/MWh) are referred to as the "annual increment."

3. The annual increment will be applied to the base rates for the 3-year period of the 2013, 2014 and 2015 Rate Years, which shall be as follows:

   RY 2013: July 1, 2013 to June 30, 2014
   RY 2014: July 1, 2014 to June 30, 2015
   RY 2015: July 1, 2015 to June 30, 2016

   The annual rate adjustments normally made effective on May 1, 2013 under then-applicable EP and RP tariffs will be suspended, such that demand and energy rates established in 2012 shall be extended through June 30, 2013.

4. Effective commencing in RY 2013, the Annual Adjustment Factor ("AAF") described in Section V herein, shall be applied as follows:

   A. For the RY 2013 only, the AAF will be suspended, and the RY 2013 rate increase will be subject only to the annual increment.

   B. For the RYs 2014 and 2015, the AAF will be applied to the demand and energy rates after the addition of the annual increment to the rates of the previous RY rates. Such AAF will be subject to the terms and limits stated in Section V herein.

   C. Beginning in RY 2016, the AAF will be applied to the previous RY rates, and the annual increment is no longer applicable.

B. EP and RP Rates no Lower than Rural/Domestic Rate

At all times the applicable base rates for demand and energy determined in accordance with Sections III.A and V of this Service Tariff shall be no lower than the rates charged by the

Authority for the sale of hydroelectricity for the benefit of rural and domestic customers receiving service in accordance with the Niagara Redevelopment Act, 16 U.S.C. § 836(b)(1) and PAL § 1005(5) (the "Rural/Domestic Rate"). This provision shall be implemented as follows: if the base rates, as determined in accordance with Sections III.A and V of this Service Tariff, are lower than the Rural/Domestic Rate on an average $/MWh basis, each set of rates measured at 80% load factor which is generally regarded as representative for EP and RP Customers, then the base rates determined under Sections III.A and V of this Service Tariff will be revised to make them equal to the Rural/Domestic Rate on an average $/MWh basis. However, the base rates as so revised will have no effect until such time as these base rates are lower than the Rural/Domestic Rate.

C. Monthly Base Rates Exclude Delivery Service Charges

The monthly base rates set forth in this Section III exclude any applicable costs for delivery services provided by the local electric utility.

D. Minimum Monthly Charge

The minimum monthly charge shall equal the product of the demand charge and the contract demand (as defined herein). Such minimum monthly charge shall be in addition to any NYISO Charges or Taxes (each as defined herein) incurred by the Authority with respect to the Customer's Allocation.

E. Estimated Billing

If the Authority, in its sole discretion, determines that it lacks reliable data on the Customer's actual demand and/or energy usage for a Billing Period during which the Customer receives Electric Service from the Authority, the Authority shall have the right to render a bill to the Customer for such Billing Period based on estimated demand and estimated usage ("Estimated Bill").

For the purpose of calculating a Billing Demand charge for an Estimated Bill, the demand charge will be calculated based on the Customer's Load Split Methodology as following:

- For Customers whose allocation is subject to a Load Factor Sharing/LFS LSM, the estimated demand (kW) will be calculated based on an average of the Customer's Billing Demand (kW) values for the previous three (3) consecutive Billing Periods. If such historical data is not available, then the estimated demand (kW) value for the Estimated Bill will equal the Customer's Takedown (kW) amount.

- For Customers whose allocation is subject to a First through the Meter/ FTM, FTM Modified, or RP 2 LSM, the estimated demand (kW) value will equal the Customer's Takedown (kW) amount.

For the purpose of calculating a Billing Energy charge for an Estimated Bill, the energy charge will be calculated based on the Customer's Load Split Methodology as following:

- For Customers whose allocation is subject to a Load Factor Sharing/LFS LSM, the estimated energy (kWh) will be based on the average of the Customer's Billing Energy (kWh) values for the previous three (3) consecutive Billing Periods. If such historical data is not available, then the estimated energy value (kWh) will be equal to the Takedown (kW) amount at 70 percent load factor for that Billing Period.

- For Customers whose allocation is subject to a First through the Meter/FTM, FTM Modified, or RP 2 LSM, the estimated energy (kWh) will be equal to the Takedown (kW) amount at 100 percent load factor for that Billing Period.

If data indicating the Customer's actual demand and usage for any Billing Period in which an Estimated Bill was rendered is subsequently provided to the Authority, the Authority will make necessary adjustments to the corresponding Estimated Bill and, as appropriate, render a revised bill (or provide a credit) to the Customer.

The Minimum Monthly Charge provisions of Section III B.D. shall apply to Estimated Bills.

The Authority's discretion to render Estimated Bills is not intended to limit the Authority's rights under the Agreement.

F. Adjustments to Charges

In addition to any other adjustments provided for in this Service Tariff, in any Billing Period, the Authority may make appropriate adjustments to billings and charges to address such matters as billing and payment errors, the receipt of actual, additional, or corrected data concerning Customer energy or demand usage.

G. Billing Period

Any period of approximately thirty (30) days, generally ending with the last day of each calendar month but subject to the billing cycle requirements of the local electric utility in whose service territory the Customer's facilities are located.

H. Billing Demand

The billing demand shall be determined by applying the applicable billing methodology to total meter readings during the billing period. See Section IV.E, below.

I. Billing Energy

The billing energy shall be determined by applying the applicable billing methodology to total meter readings during the billing period. See Section IV.E, below.

J. Contract Demand

The contract demand of each Customer will be the amount of Expansion Power and/or Replacement Power, not to exceed their Allocation, provided to such Customer by the Authority in accordance with the Agreement.

IV. General Provisions

A. Character of Service

Alternating current; sixty cycles, three-phase.

B. Availability of Energy

1. Subject to Section IV.B.2, the Authority shall provide to the Customer in any billing period Firm Energy associated with Firm Power. The offer of Firm Energy for delivery shall fulfill the Authority's obligations for purposes of this provision whether or not the Firm Energy is taken by the Customer.

2. If, as a result of reduced water flows caused by hydrologic conditions, there is insufficient energy from the Hydro Projects to supply the full power and energy requirements of NYPA's Firm Power customers served from the Hydro Projects, hydropower curtailments (*i.e.* reductions) in the amount of Firm Power and Energy to which the Customer is entitled shall be applied on a *pro rata* basis to all Firm Power and Energy customers served from the Hydro Projects. Reductions as a percentage of the otherwise required Firm Power and Energy sales will be the same for all Firm Power and Energy customers served from the Hydro Projects. The Authority shall be under no obligation to deliver and will not deliver any such curtailed energy to the Customer in later billing periods. The Customer will receive appropriate bill credits as provided under the Rules.

C. Delivery

For the purpose of this Service Tariff, Firm Power and Firm Energy shall be deemed to be offered when the Authority is able to supply Firm Power and Firm Energy to the Authority's designated NYISO load bus. If, despite such offer, there is a failure of delivery caused by the Customer, NYISO or local electric utility, such failure shall not be subject to a billing adjustment pursuant to Section 454.6(d) of the Rules.

D. Adjustment of Rates

To the extent not inconsistent with the Agreement, the rates contained in this Service Tariff may be revised from time to time on not less than thirty (30) days written notice to the Customer.

E. Billing Methodology and Billing

Unless otherwise specified in the Agreement, the following provisions shall apply:

1. The billing methodology to be used to render bills to the Customer related to its Allocation shall be determined in accordance with the Agreement and delivery agreement between the Authority and, as applicable, the Customer or local electric utility or both.

2. Billing Demand –The Billing Demand charged by the Authority to each Customer will be the highest 15 or 30-minute integrated demand, as determined by the local utility, during each Billing Period recorded on the Customer's meter multiplied by a percentage based on the Load Split Methodology provided for in any contract between the Authority and the Customer's local electric utility, any contract between the Authority and the Customer, or any contract between the Authority, the Customer and the Customer's local electric utility for delivery of WNY Power. Billing Demand may not exceed the amount of the Contract Demand.

3. Billing Energy –The kilowatt-hours charged by the Authority to each Customer will be the total number of kilowatt-hours recorded on the Customer's meter for the Billing Period multiplied by a percentage based on the methodology provided for in any contract between the Authority and the Customer's local electric utility for delivery of WNY Power.

F. Payment by Customer to Authority

1. Demand and Energy Charges, Taxes

The Customer shall pay the Authority for Firm Power and Energy during any billing period the higher of either (i) the sum of (a), (b) and (c) below or (ii) the monthly minimum charge as defined herein:

a. The demand charge per kilowatt for Firm Power specified in this Service Tariff or any modification thereof applied to the Customer's billing demand (as defined in Section IV.E, above) for the billing period; and

b. The energy charge per MWh for Firm Energy specified in this Service Tariff or any modification thereof applied to the Customer's billing energy (as defined in Section IV.E, above) for the billing period; and

c. A charge representing reimbursement to the Authority for all applicable Taxes incurred by the Authority as a result of providing Expansion Power and/or Replacement Power allocated to the Customer.

2. Transmission Charge

The Customer shall compensate the Authority for all transmission costs incurred by the Authority with respect to the Allocation, including such costs that are charged pursuant to the OATT.

3. NYISO Transmission and Related Charges ("NYISO Charges")

The Customer shall compensate the Authority for the following NYISO Charges assessed on the Authority for services provided by the NYISO pursuant to its OATT or other tariffs (as the provisions of those tariffs may be amended and in effect from time to time) associated with providing Electric Service to the Customer:

A. Ancillary Services 1 through 6 and any new ancillary services as may be defined and included in the OATT from time to time;

B. Marginal losses;

C. The New York Power Authority Transmission Adjustment Charge ("NTAC");

D. Congestion costs, less any associated grandfathered Transmission Congestion Contracts ("TCCs") as provided in Attachment K of the OATT;

E. Any and all other charges, assessments, or other amounts associated with deliveries to Customers or otherwise associated with the Authority's responsibilities as a Load Serving Entity for the Customers that are assessed on the Authority by the NYISO under the provisions of its OATT or under other applicable tariffs; and

F. Any charges assessed on the Authority with respect to the provision of Electric Service to Customers for facilities needed to maintain reliability and incurred in connection with the NYISO's Comprehensive System Planning Process (or similar reliability-related obligations incurred by the Authority with respect to Electric Service to the Customer), applicable tariffs, or required to be paid by the Authority in accordance with law, regardless of whether such charges are assessed by the NYISO or another third party.

The NYISO Charges, if any, incurred by the Authority on behalf of the Customer, are in addition to the Authority production charges that are charged to the Customer in accordance with other provisions of this Service Tariff.
The method of billing NYISO charges to the Customer will be based on Authority's discretion.

4. Taxes Defined

Taxes shall be any adjustment as the Authority deems necessary to recover from the Customer any taxes, assessments or any other charges mandated by federal, state or local agencies or authorities that are levied on the Authority or that the Authority is required to collect from the Customer if and to the extent such taxes, assessments or charges are not recovered by the Authority pursuant to another provision of this Service Tariff.

5. Substitute Energy

The Customer shall pay for Substitute Energy, if applicable, as specified in the Agreement.

6. Payment Information

Bills computed under this Service Tariff are due and payable by electronic wire transfer in accordance with the Rules. Such wire transfer shall be made to J P Morgan Chase NY, NY / ABA021000021 / NYPA A/C # 008-030383, unless otherwise indicated in writing by the Authority. In the event that there is a dispute on any items of a bill rendered by the Authority, the Customer shall pay such bill in full. If necessary, any adjustments will be made thereafter.

G. Rendition and Payment of Bills

1. The Authority will render bills to the Customer for Electric Service on or before the tenth (10^{th}) business day of the month for charges due for the previous Billing Period. Bills will reflect the amounts due and owing, and are subject to adjustment as provided for in the Agreement, Service Tariff No. WNY-1 and the Rules. Unless otherwise agreed to by the Authority and the Customer in writing, the Authority shall render bills to the Customer electronically.

2. Payment of bills by the Customer shall be due and payable by the Customer within twenty (20) days of the date the Authority renders the bill.

3. Except as otherwise agreed by the Authority in writing, if the Customer fails to pay any bill when due an interest charge of two percent of the amount unpaid will be added thereto as liquidated damages, and thereafter, as further liquidated damages, an additional interest charge of one and one-half percent of the sum unpaid shall be added on the first day of each succeeding Billing Period until the amount due, including interest, is paid in full.

4. If at any time after commencement of Electric Service the Customer fails to make complete payment of any two (2) bills for Electric Service when such bills become due pursuant to Agreement, the Authority shall have the right to require that the Customer deposit with the Authority a sum of money in an amount equal to all charges that would be due under this Agreement for Electric Service for two (2) consecutive calendar months as estimated by the Authority. Such deposit will be deemed security for the payment of unpaid bills and/or other claims of the Authority against the Customer upon termination of Electric Service. The failure or refusal of the Customer to provide the deposit within thirty (30) days of a request for such deposit will be grounds for the Authority in its sole discretion to suspend Electric Service to the Customer or terminate this Agreement.

H. Adjustment of Charges

1. Distribution Losses

   The Authority will make appropriate adjustments to compensate for distribution losses of the local electric utility.

I. Conflicts

The Authority's Rules shall apply to the Electric Service provided under this Service Tariff. In the event of any inconsistencies, conflicts or differences between the provisions of this Service Tariff and the Rules, the provisions of this Service Tariff shall govern.

J. Customer Resales Prohibited

The Customer may not resell any quantity of Expansion Power and/or Replacement Power.

V. Annual Adjustment Factor

A. Adjustment of Rates

1. The AAF will be based upon a weighted average of three indices described below. For each new Rate Year, the index value for the latest available calendar year ("Index Value for the Measuring Year") will be compared to the index value for the calendar year immediately preceding the latest available calendar year (the Index Value for the Measuring Year -1"). The change for each index will then be multiplied by the indicated weights. As described in detail below, these products are then summed, producing the AAF. The AAF will be multiplied by the base rate for the current Rate Year to produce the base rates for the new Rate Year, subject to a maximum adjustment of ±5.0% ("±5% Collar"). Amounts outside the ±5% Collar shall be referred to as the "Excess."

   Index 1, "BLS Industrial Power Price" (35% weight): The average of the monthly Producer Price Index for Industrial Electric Power, commodity code number 0543, not seasonally adjusted, as reported by the U.S. Department of Labor, Bureau of Labor Statistics ("BLS") electronically on its internet site and consistent with its printed publication, "Producer Price Index Detailed Report". For Index 1, the Index Value for the Measuring Year will be the index for the calendar year immediately preceding July 1 of the new Rate Year.

   Index 2, "EIA Average Industrial Power Price" (40% weight): The average weighted annual price (as measured in cents/kWh) for electric sales to the industrial sector in the ten states of CT, MA, ME, NH, NJ, NY, OH, PA, RI and VT ("Selected States") as reported by Coal and Electric Data and Renewables Division; Office of Coal, Nuclear, Electric and Alternate Fuels; Energy Information Administration ("EIA"); U.S. Department of Energy Form EIA-861 Final Data File. For Index 2, the Index Value for the Measuring Year will be the index for the calendar year two years preceding July 1 of the new Rate Year.

   Index 3, "BLS Industrial Commodities Price Less Fuel" (25% weight): The monthly average of the Producer Price Index for Industrial Commodities less fuel, commodity code number 03T15M05, not seasonally adjusted, as reported by the U.S. Department of Labor, BLS electronically on its internet site and consistent with its printed publication, "Producer Price Index Detailed Report". For Index 3, the Index Value for the Measuring Year will be the index for the calendar year immediately preceding July 1 of the new Rate Year.

2. Annual Adjustment Factor Computation Guide

   Step 1: For each of the three Indices, divide the Index Value for Measuring Year by the Index Value for the Measuring Year-1.

   Step 2: Multiply the ratios determined in Step 1 by percentage weights for each Index. Sum the results to determine the weighted average. This is the AAF.

   Step 3: Commencing RY 2014, modifications to the AAF will be subject to ±5% Collar, as described below.

   a) When the AAF falls outside the ±5% Collar, the Excess will be carried over to the subsequent RY. If the AAF in the subsequent RY is within the ±5% Collar, the current RY Excess will be added to/subtracted from the subsequent Rate Year's AAF, up to the ±5% Collar.

b) Excesses will continue to accrue without limit and carry over such that they will be added to/subtracted from the AAF in any year where the AAF is within the ±5% Collar.

Step 4: Multiply the current Rate Year base rate by the AAF calculated in Step 2 to determine the new Rate Year base rate.

The foregoing calculation shall be performed by the Authority consistent with the sample presented in Section V.B below.

3. The Authority shall provide the Customer with notice of any adjustment to the current base rate per the above and with all data and calculations necessary to compute such adjustment by June 15th of each year to be effective on July 1 of such year, commencing in 2014. The values of the latest officially published (electronically or otherwise) versions of the indices and data provided by the BLS and EIA as of June 1 shall be used notwithstanding any subsequent revisions to the indices.

4. If during the term of the Agreement any of the three above indices ceases to be available or ceases to be reflective of the relevant factors or of changes which the indices were intended by the Parties to reflect, the Customer and the Authority shall mutually select a substitute Index. The Parties agree to mutually select substitute indices within 90 days, once notified by the other party that the indices are no longer available or no longer reflect the relevant factors or changes with the indices were intended by the Parties to reflect. Should the 90-day period cover a planned July 1 rate change, the current base rates will remain in effect until substitute indices are selected and the adjusted rates based on the substitute indices will be retroactive to the previous July 1. If unable to reach agreement on substitute indices within the 90-day period, the Parties agree to substitute the mathematic average of the PPI—Intermediate Materials, Supplies and Components (BLS Series ID WPUSOP2000) and the PPI-- Finished Goods (BLS Series ID WPUSOP3000) indices for one or more indices that have ceased to be available and shall assume the percentage weighting(s) of the one or more discontinued indices as indicated in Section V.A.1.

B. Sample Computation of the AAF (hypothetical values for July 1, 2014 implementation):

STEP 1

Determine the Index Value for the Measuring Year (MY) and Measuring Year - 1 (MY-1) for Each Index

- Index 1 - Producer Price Index, Industrial Power

	Measuring Year (2013)	Measuring Year - 1 (2012)
January	171.2	167.8
February	172.8	167.6
March	171.6	168.2
April	173.8	168.6
May	175.1	171.6
June	185.7	180.1
July	186.4	182.7
August	184.7	179.2
September	185.5	181.8
October	175.5	170.2
November	172.2	168.8
December	171.8	166.6
Average	177.2	172.8
Ratio of MY/MY-1		**1.03**

- Index 2 – EIA Industrial Rate

State	Revenues ($000s)	Sales (MWh)	Avg. Rate (cents/kWh)	
Measuring Year (2012)				
CT	590,972	6,814,757		
MA	1,109,723	13,053,806		
ME	328,594	4,896,176		
NH	304,363	2,874,495		
NJ	1,412,665	15,687,873		
NY	2,001,588	26,379,314		
OH	3,695,978	78,496,166		
PA	3,682,192	63,413,968		
RI	152,533	1,652,593		
VT	155,903	2,173,679		
TOTAL	13,434,511	215,442,827		**6.24**
Measuring Year -1 (2011)				
CT	579,153	6,678,462		
MA	1,076,431	12,662,192		
ME	310,521	4,626,886		
NH	298,276	2,817,005		
NJ	1,370,285	15,217,237		
NY	1,891,501	24,928,452		
OH	3,622,058	76,926,243		
PA	3,571,726	61,511,549		
RI	144,144	1,561,700		
VT	152,785	2,130,205		
TOTAL	13,016,880	209,059,931		**6.23**
	Ratio of MY/MY-1			**1.00**

- Index 3 – Producer Price Index, Industrial Commodities Less Fuel

	Measuring Year (2013)	Measuring Year -1 (2012)
January	190.1	187.2
February	190.9	188.0
March	191.6	188.7
April	192.8	189.9
May	194.7	191.8
June	195.2	192.3
July	195.5	192.3
August	196.0	193.1
September	196.1	193.2
October	196.2	193.8
November	196.6	193.7
December	196.7	194.0
Average	194.4	191.5
Ratio of MY/MY-1		**1.02**

STEP 2

Determine AAF by Summing the Weighted Indices

Index	Ratio of MY to MY-1	Weight	Weighted Factors
PPI Industrial Power	1.03	0.35	0.361
EIA Industrial Rate	1.00	0.40	0.400
PPI Industrial Commodities less fuel	1.02	0.25	0.255
AAF			**1.016**

STEP 3

Apply Collar of ±5.0% to Determine the Maximum/Minimum AAF.

-5.0% < 1.6% < 5.0%; collar does not apply, assuming no cumulative excess.

STEP 4

Apply AAF to Calculate the New Rate Year Base Rate

	Demand $/kW-mo.	Energy $/MWh
Current Rate Year Base Rate	7.56	12.91
New Rate Year Base Rate	7.68	13.12

Resolution

Whereas, Joanne M. Mahoney's aspirational vision during a time of transformational change in the electric utility industry has inspired and emboldened the New York Power Authority Board of Trustees and its employees as they chart a course for the future; and

Whereas, Ms. Mahoney has distinguished herself as the quintessential public servant, adhering to the highest code of personal and professional ethics that have informed her unequaled success as the first woman elected County Executive for Onondaga County, her appointment as NYPA Trustee and subsequent appointment to the position of Vice Chairman; and

Whereas, Ms. Mahoney's transfer of her vast knowledge of fiscal and budgetary policy as a member of the Governance Committee, was pivotal in influencing the actions by Standard & Poor's to upgrade NYPA's long-term credit rating and to raise the rating of NYPA's already highly regarded short-term debt, is a testament to her brilliant stewardship of NYPA resources; and

Whereas, Ms. Mahoney's imprimatur provided inspiration and guidance from concept to completion, in articulating the 2014 – 2019 Strategic Vision, positioning NYPA to optimize its strengths, and to adapt and add to its current capabilities in helping to make New York a leader in forging the new power industry; and

Whereas, Ms. Mahoney's conviction that protection of the environment is every New Yorker's responsibility and that our children and future generations have a right to a cleaner, greener, and sustainable future has inspired communities; and

Whereas, Ms. Mahoney, as a staunch defender of New York State's unparalleled position as a national and global leader in energy technology and innovation, and ever mindful of the resulting potential for significant economic development, has been an agent of change in laying the foundation for the State's emerging energy economy; and

Whereas, Ms. Mahoney has led by example, placing principles above personalities by "reaching across the aisle" to find commonality rather than difference, helping to reinforce that good government can change people's lives for the better; and

Whereas, Ms. Mahoney leaves a legacy of true statesmanship, inclusivity and respect for all;

Now Therefore Be It Resolved, That the Trustees of the Power Authority of the State of New York convey their deepest gratitude, admiration and appreciation to Joanne M. Mahoney for her service to NYPA and that they wish her, her husband, Marc, and their four sons, a happy, healthy and rewarding future.

February 26, 2015